

- We demonstrate the feasibility of applying carpooling big data in metropolitan studies.
- We propose a data-driven three-step method to characterize the metropolitan polycentricity in-depth and comprehensively
- Beijing Metropolitan Region has a hierarchical polycentric structure and an influence sphere beyond the administrative boundary.
- The heterogeneity of human activity performance and role for each regional center is remarkable.

# **Characterizing the Polycentric Spatial Structure of Beijing**

## **Metropolitan Region Using Carpooling Big Data**

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# Characterizing the Polycentric Spatial Structure of Beijing Metropolitan Region Using Carpooling Big Data

## Abstract

Polycentric metropolitan regions are a high-level urbanization form characterized with dynamic layout, fuzzy boundary and various human mobility performances. Owing to the complexity of polycentricity, it can be difficult to understand their spatial structure characteristics merely based on conventional survey data and method. This poses a challenge for authorities wishing to make effective urban land use and transport policies. Fortunately, the presence and availability of big data provides an opportunity for scholars to explore the complex metropolitan spatial structures, but there are still some research limitations in terms of data use and processing, unit scale, and method. To address these limitations, we proposed a three-step method to apply the carpooling big data in metropolitan analysis including: first, locating the metropolitan sub-centers; second, delimiting the metropolitan sphere; third, measuring the performance of polycentric structure. The developed method was tested in Beijing Metropolitan Region and the results show that the polycentric metropolitan region represents a hierarchical regional center system: one primary center interacting with seven surrounding secondary centers. These metropolitan centers have a strong attraction, which results in the continuous expansion beyond the administrative boundary to radiate more adjacent jurisdictions. Furthermore, the heterogeneity of human activity performance and role for each regional center is remarkable. It is necessary to consider the specific role of each sub-center when making metropolitan transport and land use policies. Compared with previous studies, the proposed method has the advantages of being more reliable, accurate and comprehensive in characterizing the polycentric spatial structure. The application of carpooling big data and the proposed method would provide a novel perspective for research on the other metropolitan regions.

**Key words:** Polycentric spatial structure, functional boundary, carpooling, commuting, Beijing Metropolitan Region

## 1. Introduction

In recent decades, the urban sprawl and job decentralization have given rise to metropolitan regions (MRs) that extend geographically beyond the boundaries of single urban cores to multiple interconnected centers (Meijers & Burger, 2010). Urban planners have realized that the development of multiple centers with mixed use has become a necessary choice for megacities to overcome typical urban diseases around the central business district (CBD), such as traffic congestion, environmental pollution, and the heat island effect (Liu et al., 2020). Although it is still arguable about which urban form is the most efficient and sustainable, the polycentric development is considered as a normative planning strategy to reach important objectives in terms of enhancing regional economic competitiveness, environmental sustainability and social cohesion (Davoudi, 2003). The characterization of metropolitan polycentricity, more

41 generally, urban spatial structure, has become an important research topic (Schleith et  
42 al., 2016; Lin et al., 2015, Zhen et al., 2017).

43 The metropolitan polycentric spatial structures are often characterized with  
44 dynamic layout and fuzzy boundary, as well as various human activity performances of  
45 multiple regional centers (Veneri 2013; Fang and Yu, 2017; Hu et al., 2018; Liu et al.,  
46 2020). Traditionally, regions and their structures have been measured based on survey  
47 data (Wong and Huang, 2017), most of which are static, limited by survey cycle time,  
48 are either expensive, or gathered for administrative purposes (Elwood et al., 2012).  
49 Owing to the complexity of polycentricity, it can be difficult to understand their spatial  
50 structure characteristics merely based on conventional survey data and method. This  
51 poses a challenge to implement this planning strategy in practice, such as designing  
52 sustainable land use and transport policies that are effective across planning areas with  
53 multiple municipalities. Fortunately, the presence and availability of big data provides  
54 us an opportunity to address this challenge. Some scholars have attempted to investigate  
55 the urban polycentric structure based on diverse big data (Wong and Huang, 2017;  
56 Zhang et al., 2017; Zhen et al., 2017; Wan et al., 2018), but there are still some research  
57 limitations in terms of data use and processing, unit scale, and method.

58 First, a more competent dataset and the innovations about data application need to  
59 be emphasized. The current higher level of information communication technology  
60 (ICT) and associated device usage record a large amount of activity data from nearly  
61 all residents (Lynch, 2008; Allam and Newman, 2018). Scholars and planners have used  
62 massive night light data from satellite images (Gao et al., 2015; Zhang and Su, 2016),  
63 geo-web data from mobile applications (Sobolevsky et al., 2013; Wong and Huang,  
64 2017), and taxi GPS data (Liu et al., 2015; Zhang et al., 2018) to better depict spatial  
65 performance of human activities. Some features of these data sources, however, limit  
66 their usage for exploring the metropolitan structure in practice. For example, the  
67 saturation effects of night light data make it difficult to reflect the intensity and spatial  
68 distribution of human activities exactly, especially in developed regions (Liu et al.,  
69 2012), while the Geo-referenced data from mobile applications have the disadvantages  
70 of positional uncertainty and representation vagueness (Li et al., 2013; Longley et al.,  
71 2015). On the other hand, seldom studies have focused on this topic on a delicate scale  
72 of data application, such as a grid level. One reason is that obtaining a high-quality  
73 dataset is difficult in traditional approaches. Another reason is the unsolved issues in  
74 data consistency, especially for different data resources, inconsistent scales and diverse  
75 formats (Liu et al., 2020).

76 Second, most previous studies directly used the administrative divisions in the  
77 topic of metropolitan polycentricity. Limited to data sources or just for convenience,  
78 without exception, most of the studies on BMR (Long et al., 2013; Zhou et al., 2014)  
79 or metropolitan regions in other countries (Angel and Blei, 2016; Burger et al., 2011;  
80 Veneri 2013) ignored the territory problem. Simply using the administrative divisions  
81 as the geographical divisions would hinder the sophisticated investigation into the

82 regional development (Shi and Cao, 2020) and cause the unpredictable regional bias  
83 due to inconsistency in size (Liu et al., 2020). Moreover, it is more likely to encounter  
84 a modifiable areal unit problem when applying these local administrative units in  
85 comparative analyses across countries (Veneri 2013).

86 Third, the heterogeneity of human activity performance and role for each regional  
87 center is largely overlooked, which may be also due to the insufficient data source.  
88 Owing to different geographical and social environment, the regional centralization  
89 versus decentralization and clustering versus dispersion performance can be various not  
90 only from one country (or region) to another (Veneri 2013; Hu et al., 2018), but also  
91 among different centers within a same metropolitan region. A comprehensive  
92 investigation on this regional centers' heterogeneity is necessary to determine the  
93 priority of public resource assignment and make more targeted land use and transport  
94 policies. The combination of morphological and functional approach on characterizing  
95 the polycentric structure is a good choice for contemporary complex MRs (Riguelle et  
96 al., 2007). However, most studies involved the performance of sub-centers merely  
97 consider one specific facet, such as the job density and share (Angel and Blei, 2016),  
98 job-housing relationship (Lin et al., 2015), commuting duration (Hu et al., 2018).  
99 Furthermore, works on the regional centers' roles in metropolitan regions receive much  
100 less attention. Only Giuliano and Small (1991) conducted a cluster analysis using 32  
101 centers as observations and eight industry shares as variables. They found that the more  
102 service-oriented centers tend to be at higher densities and somewhat closer to the core  
103 area.

104 To address these limitations in previous studies, we first use the carpooling big  
105 data under a grid-based Geographic Information System (GIS) environment and  
106 propose a three-step method: first, identifying the metropolitan CBD and sub-centers  
107 by a grid-based clustering algorithm; second, delimiting the metropolitan sphere of  
108 influence based on a three-fold judgment criterion; third, measuring the human activity  
109 performance and role of each center using two set of morphological and functional  
110 indexes. The emerging carpooling big data can help put this three-step task into practice.

111 The objective of this paper is to characterize the metropolitan polycentric spatial  
112 structure in-depth and comprehensively with the advantage of big data. More  
113 specifically, first, we need to demonstrate the feasibility of carpooling data in  
114 metropolitan studies and find the way to use these data. Furthermore, we need to  
115 determine the advanced clustering algorithm, delimiting approach and measurement  
116 system based on the carpooling data and literature review, to realize the proposed three-  
117 step method. Last, applying our data and method in the Beijing Metropolitan Area, we  
118 hope the associated results and findings can provide valuable insights for metropolitan  
119 land use and transport planning.

120 The rest of this paper is organized as follows. Section 2 presents a review of the  
121 relevant literature. Section 3 details the methodology used to measure the metropolitan

122 spatial structure. The proposed method is tested in the case of Beijing Metropolitan  
123 Region and the results are analyzed and compared with similar studies in Section 4.  
124 Section 5 conducts a comparison with other works and provides some policy  
125 suggestions based on the results. Finally, Section 6 summarizes our major conclusions  
126 and some points for future research.

## 127 **2. Literature review**

### 128 2.1 The spatial structure of metropolitan regions

129 The design of urban transport and land use policies are frequently on the basis of  
130 people's perceptions of the current spatial structure of cities or regions (Angel and Blei,  
131 2016). These perceptions inform decision-makers of what can and should be done —  
132 in terms of public plans and investments as well as regulatory reforms of land use — to  
133 improve urban land use and transportation systems in the coming years. Therefore,  
134 scholars in related fields have been working on defining regions and their spatial  
135 structure, especially on the functional regions with complex structures, e.g., the  
136 Metropolitan Regions. A metropolitan region can be thought of a multi-functional  
137 region consisting of a densely populated urban core and its less-populated surrounding  
138 territories, sharing industry, infrastructure, and housing (Squires, 2002). From the  
139 perspective of spatial scope, a metropolitan region is similar with a large metropolitan  
140 area belt defined by Fang and Yu (2017), which usually comprises multiple mega-cities  
141 and tens of millions of populations.

142 In the abstract, the term metropolitan spatial structure can be regarded the  
143 discernible patterns in the distribution of human activity in cities (Anas et al., 1998),  
144 especially the discernible patterns in the distribution of residences and workplaces and  
145 the commuting flows that connect them to each other (Angel and Blei, 2016). The latter  
146 study argued there can be five types of spatial structures in cities: the Maximum  
147 Disorder model, the Mosaic of Live-Work Communities model, the Monocentric City  
148 model, the Polycentric City model, and the Constrained Dispersal model. Among them,  
149 the Polycentric City model was defined as that workers commute to a discrete set of  
150 identifiable employment sub-centers—including but not restricted to the CBD—located  
151 throughout the metropolitan region.

152 In recent decades, worldwide metropolitan spatial structure has experienced great  
153 changes along with population decentralization or regional integration. The classic  
154 monocentric model has gradually lost its power to explain these evolutions (Clark,  
155 2000). In western cities, the polycentric model has been widely involved in  
156 metropolitan structure studies (Burger et al., 2011; Veneri, 2013), while currently the  
157 disperse model has also been proposed in some large western metropolises (Dong, 2013;  
158 Angel and Blei, 2016). As a contrast, the evolutions of metropolitan structure in  
159 developing countries are at a slow pace; most studies focus on the transformation of  
160 metropolitan regions from monocentric to polycentric (Fernandez-Maldonado et al.,  
161 2014; Hashem and Mehdi, 2017). In China, under the influences of both the market

162 force and government interventions, many large urban areas, such as Beijing, Shanghai,  
163 Guangzhou and Shenzhen, also present polycentric structure (Liu et al., 2015; Huang  
164 et al., 2017; Lv et al., 2017), although the number and the size of employment sub-  
165 centers tend to be limited. Exploring the polycentric spatial structure can provide a  
166 wider knowledge of metropolitan spatial organization, which is significant to make  
167 scientific spatial planning policies and public resource assignments.

## 168 2.2 The characterization of metropolitan polycentric spatial structure

169 The previous studies on the characterization of metropolitan polycentricity  
170 frequently focused on one or more of these three broad issues: a) the identification on  
171 the regional sub-centers; b) the delineation of the metropolitan spatial extension; c) the  
172 measurement on the human activity performance (especially the employment  
173 performance).

174 A necessary first step in the characterization of polycentric MA concerns the  
175 identification of metropolitan sub-centers (Anas, Arnott, & Small, 1998). The  
176 identification of sub-centers can provide a wider understanding of metropolitan spatial  
177 organization, which is necessary for any spatial planning policy (Veneri, 2013).  
178 Numerous studies have examined the location of sub-centers and their boundaries by  
179 identifying centers (Veneri, 2013, Fernandez-Maldonado et al., 2014, Huang et al., 2017;  
180 Hu et al., 2018). Although various practical approaches have been proposed for  
181 identifying layout of sub-centers, the employment density-based indexes are most  
182 widely applied (Zhou et al., 2001; Angel and Blei, 2016; Guzman et al., 2017). Zhou et  
183 al. (2001), for instance, measured the centrality of a city using urban employment data  
184 for five industries in China. Considering the work-commuting flows do not represent  
185 all the movements that take place in a metropolitan region, we may neglect the urban  
186 nodes that can indeed be central for activities related to consumption, study and leisure  
187 in their way. As a consequence, it is necessary to distinguish the concept of employment  
188 sub-center from the wider one of urban sub-center. Veneri (2013) indicated that a  
189 metropolitan sub-center must have a minimum degree of productive variety and can  
190 supply a wide range of urban functions. The point density of origins and destinations  
191 (OD) of resident trips based on GPS trajectory data, involving a variety of human  
192 activities, can help us investigate which area has higher agglomeration capacity and  
193 productive variety in an urban system (Yue et al., 2012; Liu et al., 2015), which can be  
194 a rational centrality index for locating the CBD and other general sub-centers.

195 As a complex, dynamic and huge systems, metropolitan spatial structure are  
196 typically characterized by fuzzy boundaries. Defining the spatial boundaries of MRs  
197 from a variety of aspects is one of the traditional tasks in urban geography and planning  
198 (Ouředníček et al., 2018). A major reason behind the need to delineate the metropolitan  
199 regions is that official information at that scale are frequently based on administrative  
200 or legally-defined regions (Moreno-Monroy et al., 2020), while the latter cannot adapt  
201 timely to rapid changes in spatial population and economic activities, causing a  
202 persistent misalignment between legal and functional boundaries. Metropolitan regions

203 are frequently delimited by functional approaches, relying on commuting ties between  
204 local units and regional centers (Bosker et al., 2019). In practice, for example, Japan set  
205 the standard of its metropolitan regions with the number of commuting population and  
206 the proportion of the population commuting to the central area of the metropolis in the  
207 1960s (Fang and Yu, 2017). Since then, commuting density index has become a  
208 universally accepted determinant of the metropolitan circles' boundaries (Schleith et al.  
209 2018; Ouředníček et al., 2018). Such methods are likely to be accurate to delineate  
210 metropolitan regions, but the lack of commuting data in many countries limit a global  
211 and consistent delineation (Moreno-Monroy et al., 2020). Another method frequently  
212 used in looking at the potential region scope is the accessibility measures. A trade-off  
213 between economies and diseconomies of commuting to metropolitan sub-centers can  
214 determine the growth boundary of MRs to some degree. One of the classic accessibility  
215 measures applied is the time-threshold based contour measure, also be called isochrone  
216 measure (Geurs & van Wee, 2004; Sánchez-Mateos et al., 2014). The isochrone  
217 measure provides evidence of the spatial scale expansion of urban regions by the  
218 increasing number of municipalities, people and jobs that can be reached within a  
219 certain time budget. Although this indicator is considered straightforward for  
220 implementation and interpretation, it has some theoretical shortcomings. First, the wide  
221 variety of travel time budgets used in literature means the difficulty of establishing a  
222 unique value of the time threshold, which greatly varies from country to country  
223 (Reggiani et al., 2011). Second, it does not take into account a distance-decay function  
224 to weight the opportunities (Sánchez-Mateos et al., 2014). Hence the area delimited by  
225 a travel time budget value should only be considered as a potential interaction  
226 metropolitan sphere.

227 There are also plenty of scholars focusing on the specific human activity  
228 performance of metropolitan polycentric structure, especially the employment  
229 performance, such as the regional job-housing relationship, interaction intensity  
230 between centers, and commuting efficiency. Two main approaches have been used to  
231 measure these performances— morphological and functional (Veneri, 2013; Sánchez-  
232 Mateos et al., 2014). The morphological approach is based on identifying nodes (centers)  
233 and characterizing them in terms of size and complementarities to other nodes (Giuliano  
234 and Small, 1993). A growing body of literature attempts to measure spatial structure by  
235 investigating the job-housing relationship for cities or regions (Wan et al. 2018; Zhang  
236 et al., 2017), while Lee & Gordon (2011) and Angel & Blei (2016) used the share of  
237 jobs in sub-centers (and CBD) to explore the whether a metropolitan structure has  
238 polycentric structure. The functional approach is based on characterizing centers by  
239 their interconnecting flows (Sánchez-Mateos et al., 2014). In previous studies, scholars  
240 mainly measured the spatial flows patterns in metropolitan regions from two  
241 perspectives. The first concerns the flow intensity. The flows of people and freight are  
242 key ties that connect the discrete physical resources of a city into an integrated system,  
243 and flow intensities can represent the spatial-interaction strengths between places.  
244 Based on the measurement of flow intensity to centers, a series of indexes were



245 proposed to reveal spatial structure of cities or regions, such as the network dominance  
246 index (Limtanakool et al., 2007), the flow centrality (Veneri, 2013), the connection  
247 intensity (Zhen et al. 2017). The second focus is on the flow cost. (or travel cost), e.g.  
248 passenger travel time (or distance). Some scholars have studied the impact of  
249 polycentric structure on commuting time (Lin et al., 2015; Zhao et al., 2011) and others  
250 explored complex metropolitan structures by using a travel-time based accessibility  
251 index to show the interplay between the transport network and land use (Li et al., 2018;  
252 Sánchez-Mateos, et al., 2014). Furthermore, a number of scholars (Zhen et al. 2017;  
253 Chen et al., 2014) have suggested that a multi-criteria approach needs to be adopted to  
254 better understand the human activity performance of complex polycentric structure.

255 Furthermore, some scholars have recognized it is more rigorous and accurate to  
256 measure the performance of spatial structure on the basis of valid center layout and  
257 functional boundary in a given metropolitan region (Zhen et al., 2017; Sun and Lv,  
258 2020). However, limited by data or just for convenient, most studies on metropolitan  
259 performance paid less attention on these two steps, but directly use directly took the  
260 lower-level administrative divisions as the regional centers and took the boundary of  
261 higher-level administrative division as the scope of whole study area.

### 262 2.3 The potential of carpooling big data in metropolitan studies

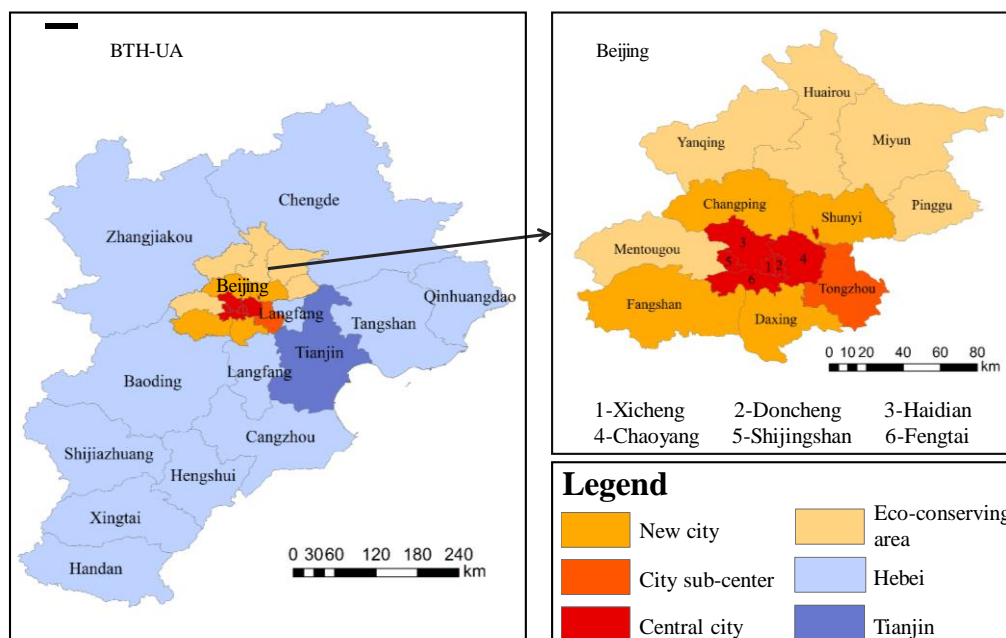
263 With the advent of the sharing economy era, on-demand carpooling services have  
264 become popular in many countries by their benefits of reducing travel costs, total fuel  
265 consumption, and carbon emissions compared to driving in single-occupancy vehicles.  
266 Carpooling trip data have two key advantages compared with conventional taxi trip data  
267 in metropolitan studies. First, smartphone-based carpooling mainly caters for  
268 commuting trips; commuting flows can be used to effectively uncover the spatial  
269 structure of an urban system (Angel and Blei, 2016). In general, non-professional  
270 carpooling drivers have their own jobs, so commuting is their primary travel purpose.  
271 Yongqi et al. (2018) conducted an empirical study on internet based ride-sharing travel  
272 patterns and demonstrated that carpooling primarily serves commuters from the  
273 perspective of data visualization and mathematical method. Second, the service scope  
274 of carpooling trips can spread over the whole metropolitan area. Carpooling can be a  
275 feeder for public transit to support commuting, and other travel activities, between  
276 suburban and urban areas, central and satellite cities. Some research has also implicitly  
277 viewed the application scope of carpooling as the metropolitan area (Xing et al., 2009;  
278 Najmi et al., 2017). Due to its commuting function and broader service scope,  
279 carpooling big data has huge advantages for exploring metropolitan spatial structures,  
280 which have not been utilized for metropolitan study to date.

## 281 **3. Methodology**

### 282 3.1 Identifying the study area

283 Beijing is located on the North China Plain and covers an area of 16,400 km<sup>2</sup>. It  
284 includes 16 urban, suburban, and rural districts, with 21.71 million permanent residents

285 in 2017 (BMBS, 2018). According to the new “Beijing General City Planning (2016-  
 286 2030)”<sup>1</sup>, the administrative region of Beijing has four different functional areas based  
 287 on the layout of its urban space: a) the central city area (six inner districts including  
 288 Xicheng district, Dongcheng district, Haidian district, Chaoyang district, Shijingshan  
 289 district and Fengtai district); b) the city sub-center (i.e. Tongzhou district); c) the new  
 290 city on the plain, including four suburban districts – Daxing district, Fangshan district,  
 291 Changping district, Shunyi district, and one planned community – Yizhuang economic  
 292 development zone, located within Daxing district; d) the eco-conservation area (the  
 293 mountainous area, comprising of the five remaining districts). The locations of these  
 294 four areas are shown in Fig. 1 (right). Based on the conceptual definition of  
 295 Metropolitan regions, the Beijing Metropolitan Region (BMR) can be said to comprise  
 296 of the highly-populated central city area and its surrounding close-connected territories.  
 297 Most of previous works focusing on the BMR, simply took the Beijing administrative  
 298 region as the study area (Long et al., 2013; Tian et al., 2010). Given the continual sprawl  
 299 of this metropolitan region, however, we cannot determine intuitively whether Beijing's  
 300 administrative boundary is identical to the functional boundary of BMR or not. In  
 301 general, the size of the BMR ought to be smaller than Beijing-Tianjin-Hebei Urban  
 302 Agglomeration (BTH-UA), i.e., the broad region covering Beijing, Tianjin and 11  
 303 prefectural cities of the neighboring Hebei Province, also shown in Fig. 1 (left).  
 304 Therefore, we take the wider BTH-UA as our initial study area before delineating the  
 305 BMR.

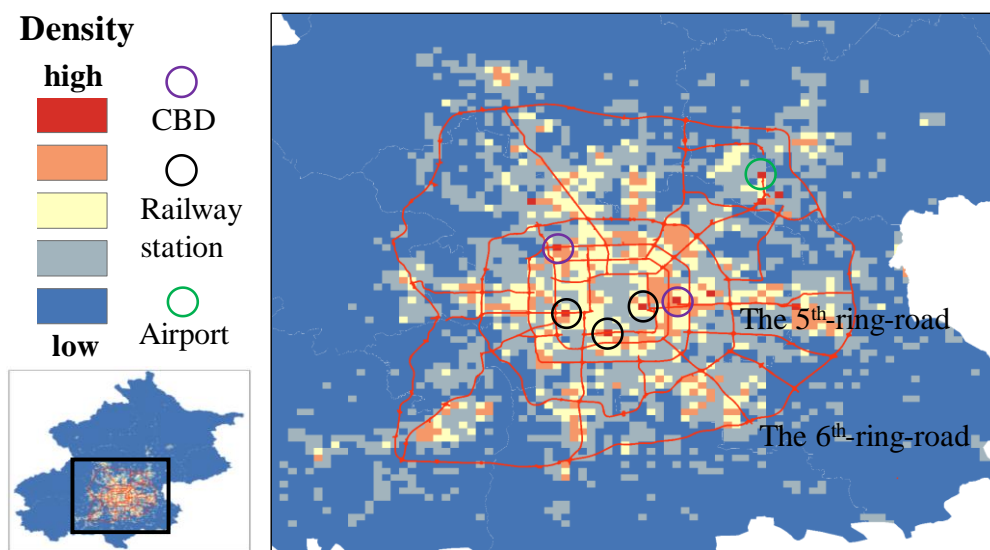


306  
 307 **Fig. 1.** The Beijing-Tianjin-Hebei Urban Agglomeration and the fourfold functional  
 308 components of Beijing

309 Although we cannot ascertain, at this stage, the specific sphere of the BMR, we do  
 310 know the urban area of Beijing is frequently regarded as the core of the BMR and even

<sup>1</sup> <http://www.bjghw.gov.cn/web/ztgh/ztgh000.html>

311 of BTH-UA. A preliminary visualized analysis of the spatial structure of Beijing was  
 312 thus conducted using the density distribution of the OD points of the carpooling trips,  
 313 as presented in Fig. 2. Most of the carpooling trips took place within the 6th-ring-road  
 314 of Beijing, aggregating to be some highly-populated centers, while few people travel  
 315 by carpooling in the outer suburbs. There are a large number of carpooling trips to/from  
 316 railway stations and the airport, as well as to/from the traditional Central Business  
 317 District (CBD). Intuitively, the BMR doesn't seem to have a uniform polycentric  
 318 structure, but has one continuous large-scale settlement within the 5th-ring-road and  
 319 some small-scale settlements scattered around the 6th-ring-road. In other words, the  
 320 BMR has a hierarchical polycentric structure. In reality, this form of metropolitan  
 321 structure is common globally, especially in developing countries (Lin et al., 2015).



322  
 323 **Fig. 2.** The spatial distribution of carpooling trips in Beijing

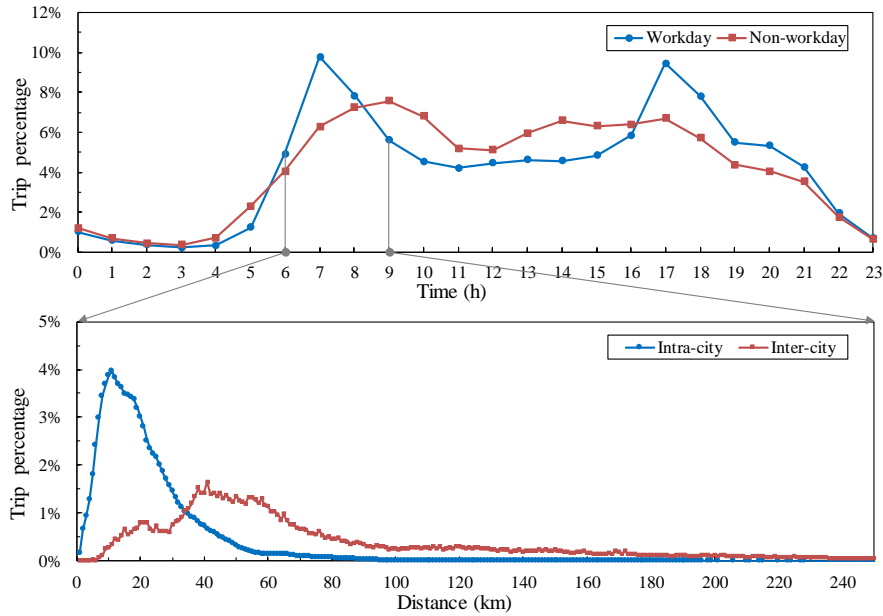
324 **3.2 Dataset and preliminary analysis**

325 The dataset used here contains 15 million randomly sampled records of carpooling  
 326 trips that occurred in BTH-UA between October 2017 and December 2017 (92 days in  
 327 total). These carpooling trips were provided by an application-based system named  
 328 DiDi Hitch, which was developed by the DiDi transportation company. DiDi is the  
 329 largest ride-hailing service company in China and one of the largest on-demand ride  
 330 sourcing service platforms in the world (DiDi, 2018). There are 922,021 carpooling  
 331 drivers and 4,074,158 passengers included in the dataset. Each trip record includes a  
 332 unique identifier for each driver and passenger, passengers' pick-up/drop-off locations  
 333 (longitude and latitude) and the associated time stamp, as well as the actual distance  
 334 travelled. Abnormal data where distance travelled was less than 1km or travel time was  
 335 less than 5 minutes was removed from database, removing only 94,550 trips in total. To  
 336 investigate the characteristics of the Beijing's carpooling big data, we conducted  
 337 statistical analysis on the temporal and spatial distribution of the carpooling trips as  
 338 shown in Fig. 3.

339 From the temporal perspective, the morning peak (7:00-9:00) and evening peak

340 (17:00-19:00) are obvious on workdays (Monday to Friday); up to 35% of daily trips  
341 are made during these times, while the same period on non-workdays only accounts for  
342 26% of daily trips. In contrast, only 20% of conventional taxi trips are made within  
343 peak hours (Yongqi et al., 2018). This suggests a higher proportion of carpooling trips  
344 are made by commuters compared with taxi trips; this accords with the commuting  
345 function of carpooling trips demonstrated in previous works (Liu et al., 2019; Yongqi  
346 et al. 2018). For this dataset, we assumed that most carpoolers departing between 6:00  
347 to 9:00 on workdays would be commuting for three reasons. Firstly, commuting trips  
348 in Beijing are generally concentrated within peak hours of workdays (BTI, 2018). There  
349 is no reason to suspect carpooling trips would be an exception. Secondly, people living  
350 in outer suburbs, especially out of Beijing, are likely to need more time to travel to their  
351 inter-city workplaces and thus may set off earlier. Taking Beijing as destination, for  
352 example, the percentage of inter-city carpooling trips departing to total trips from 6:00  
353 to 7:00 on workdays is higher than the percentage departing during other hours; the  
354 former accounts for 12%, while later hours less than 4% on average. Thirdly, the  
355 evening peak is likely to include a higher proportion of leisure travel, with a proportion  
356 of commuters travelling to entertainment venues rather than going straight home  
357 (Yongqi et al., 2018). The inflection point of hourly carpooling trips at 19:00-20:00  
358 shown in Fig. 3(top) may result from some people going home from entertainment  
359 venues.

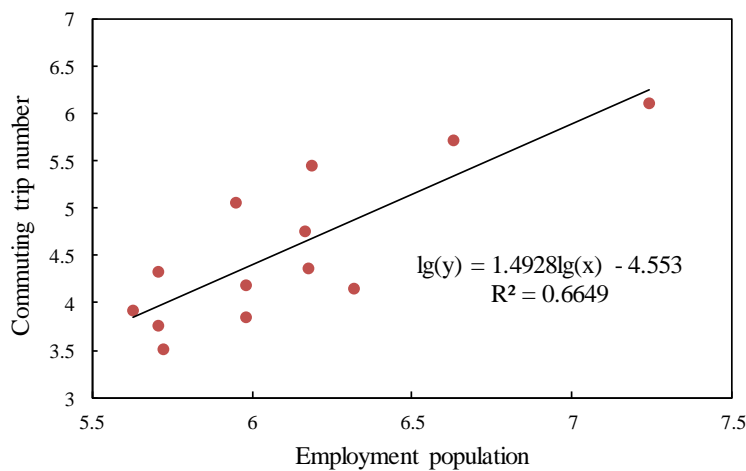
360 From the spatial perspective, not only are there intra-city commuting carpooling  
361 trips, but some commuters travel from their residential cities to another one, shown in  
362 Fig. 3 (bottom). The average distance of morning commuting carpooling trips is 23.1km,  
363 which is much higher than the average distance travelled by other passenger  
364 transportation modes in Beijing, which are, for example, 9.9 km and 13.3 km for taxi  
365 trips and urban rail transit trips respectively (BTI, 2018). Moreover, the inter-city  
366 carpooling trips have a longer average travel distance (83.4km) compared to intra-city  
367 carpooling trips. This implies that the service scope of carpooling can exceed the  
368 administrative boundary of Beijing and the may spread throughout the BMR. Moreover,  
369 the influence sphere of BMR seems not accordance with the administrative boundary  
370 of Beijing. This analysis supports our premise that carpooling data can be used to  
371 represent commuting flows of the metropolitan region and characterize the  
372 metropolitan structure.



373

374 **Fig. 3.** Workday and non-workday carpooling orders number distribution by hour (top) and intra-  
 375 city and inter-city commuting carpooling trips distribution by traveling distance (bottom)

376 Furthermore, we tested whether carpooling trips data could substitute for  
 377 household travel surveys to describe the commuting demand of all residents. To do this  
 378 we collected data on the size of the employed population for all cities in the BTH-UA  
 379 to represent the real commuting demand, and explored its correlation with the  
 380 distribution of carpooling trips. Considering Beijing's employment population and trip  
 381 numbers have different orders of magnitude from the other cities, we took the logarithm  
 382 for both variables, as shown in Fig. 4. With the R-squared and elasticity coefficients  
 383 equal to 0.66 and 1.49 respectively, there is a relatively high positive log-linear  
 384 correlation between commuting carpooling trips and commuting population. This  
 385 suggests using carpooling trips made within morning peak hours to represent the  
 386 commuting flows of residents in the BMR is a reasonable assumption.



387

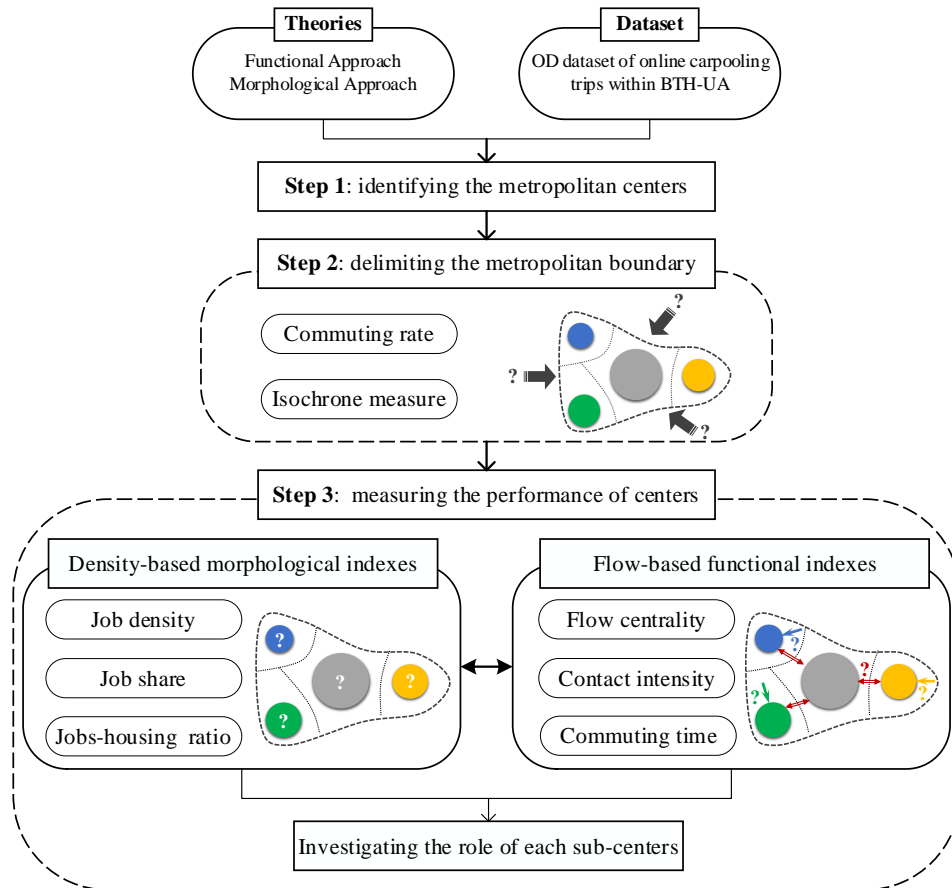
388

**Fig. 4.** Log-linear fitting for commuting carpooling trips and employment population

389 3.3 Methods and tools of data analysis

390 3.3.1 Research framework

391 Given our preliminary identification of the BMR and analysis on the carpooling  
392 trips data, Fig. 5 outlines the three-step method used to measure the polycentric  
393 metropolitan structure. Firstly, we developed a grid-based clustering algorithm to  
394 identify the CBD and sub-centers of the metropolitan region. Secondly, we delineated  
395 the specific metropolitan functional sphere based on the regional commuting intensity  
396 and commuting accessibility to centers. Lastly, combining the morphological approach  
397 and functional approach, we developed two sets of indexes to measure human activity  
398 performance and investigate the possible role of each center, visualized by the last two  
399 concept maps, respectively. The multi-criteria quantitative indexes, including three  
400 density-based indexes and three flow-based indexes, estimated by the carpooling trip  
401 data within the defined metropolitan sphere. We would introduce the specific method  
402 and define the index system in more details in the subsequent sections.



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Fig. 5. Method framework of this study based on carpooling big data

405 3.3.2 Algorithm on identifying the regional centers

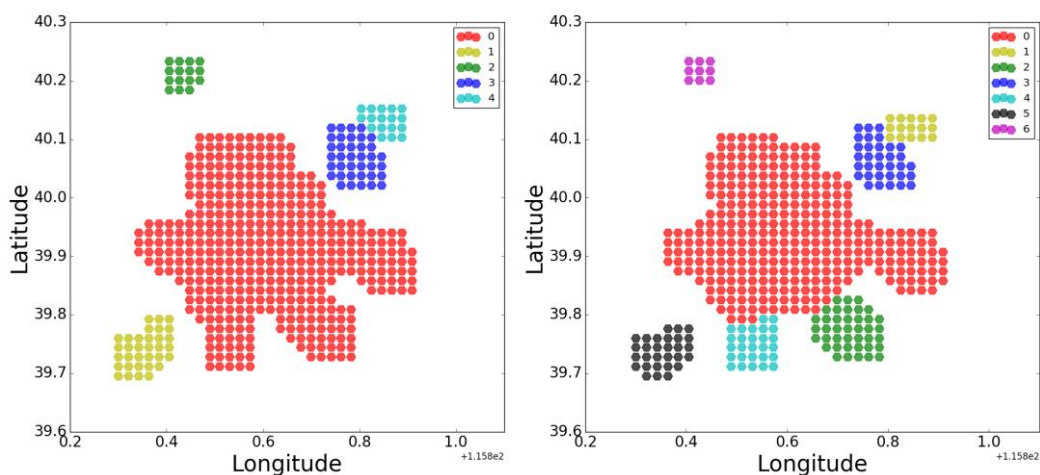
406 The Density-Based Spatial Clustering of Applications with Noise (DBSCAN)  
407 algorithm is widely used to form clustering in large scale data due to its simple  
408 calculation structure and low computing cost (Tang et al., 2015; Ester et al., 1996).  
409 Taking clusters of origin and destination points as metropolitan centers can transcend

410 the limitation of administrative units.

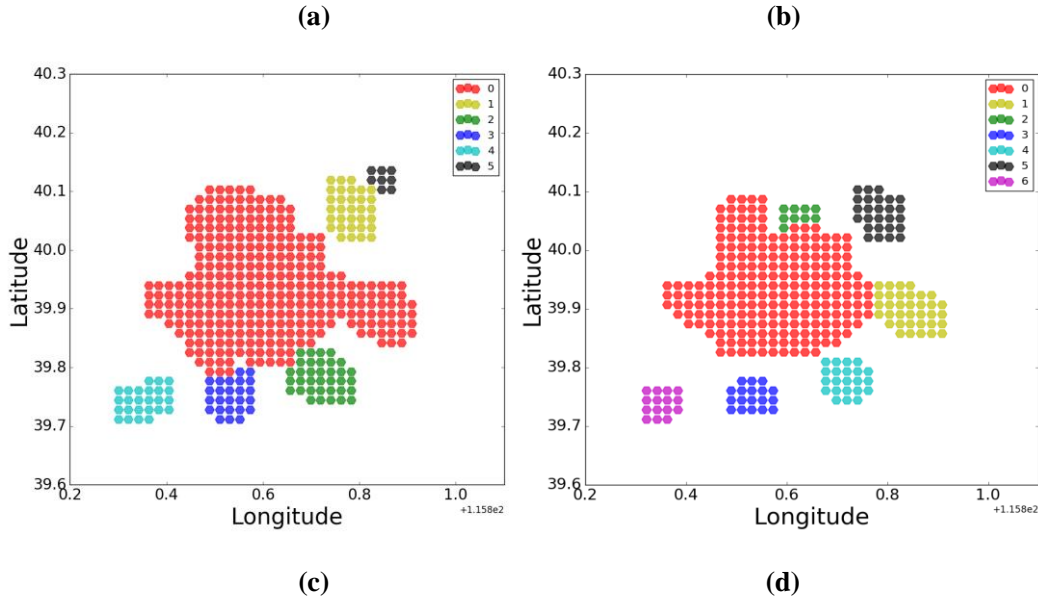
411 In carpooling trip dataset, although we know the position where a customer is  
412 picked up or dropped off, the exact place or building that the customer comes from or  
413 goes to is unknown. Given that a small spatial unit usually has a single land use, we can  
414 reasonably aggregate trips to obtain spatial interactions between these small spatial  
415 units. These small units could be traffic analysis zones (TAZs), grids, or parcels  
416 segmented by major roads. Due to a lack of TAZ data, we take grids as the basic unit  
417 of density clustering.

418 There are two parameters we need to set before conducting this grid-based  
419 clustering method (Liu et al., 2017). We set the parameter  $\epsilon$  (search radius) as the  
420 smallest 2-cell neighborhood to guarantee the basic search scope only covers one  
421 adjacent unit in each direction and obtain accurate clustering results. As for the MinPts  
422 (the minimum number of OD points within the 2-cell search scope to form a cluster),  
423 we need to choose a rational value based on the local situation as follows.

424 Focusing on the region surrounded by the 6th-ring-road, i.e. the central city areas  
425 and inner suburbs of Beijing (see Fig. 2), we partitioned this area into 1,050 (30  
426 lines $\times$ 35 rows) cells with a unit area of 1.8km $\times$ 1.8km; these are a similar size to the  
427 latest (2010) Traffic Analysis Areas (TAZs) for this area. We obtain preliminary cluster  
428 results based on four values of MinPts using the grid-based DBSCAN algorithm, shown  
429 in Fig. 6. Obviously, as MinPts rises, the total number grid cells within clusters reduces,  
430 but the separation between the central cluster and outer clusters increases. Compared  
431 with Fig. 6 (b) and (c), when the density threshold is 200,000, there are less clusters  
432 (only five) in Fig. 6 (a) and its central cluster (the red cells) is so dominant that it  
433 consumes some outer clusters. When the value of MinPts reaches 300,000 in Fig. 6  
434 (d), the separation between the central cluster and the outer clusters is more evident at  
435 the cost of outer clusters vanishing. We take the cluster results with parameter  
436 MinPts=230,000 in Fig. 6 (b) as the final sub-center system; this captures more outer  
437 clusters whilst matching the five new cities on the plain, introduced in Beijing city plans  
438 (as shown in Fig. 1).



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**Fig. 6.** The clustering results when the density threshold  $minPts$  takes (a) 200000, (b) 230000, (c) 250000 and (d) 300000, respectively.

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### 3.3.3 Method on delimiting the metropolitan influence sphere

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We determine whether a certain region belongs to a metropolitan region based on a threefold judgment criterion: a) regional commuting population number; b) regional commuting intensity to the metropolitan sub-centers; c) regional commuting accessibility to the metropolitan sub-centers. We disperse the study area as grids under the GIS environment; a grid can be regarded as a part of the metropolitan region, if it has the certain commuting population, higher commuting interaction with metropolitan centers and is reachable within a rational time threshold. This grid-based boundary is dynamic and fully independent from local jurisdictions boundaries with cross-country comparability.

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For the first judgment criterion a), therefore, we can exclude the grids generating less commuting trips than a preset lower threshold to extract the grids (regions) with sufficient commuting populations. For the judgment criterion b), we use the carpooling-based commuting rate (CR) as a measurement of the commuting interaction to the metropolitan centers. Based on the regional unit of grid,  $CR_k$  here is the ratio between the sum of commuting carpooling trips  $\sum_{i=1}^m N_{ki}^o$  from a certain grid  $k$  to every sub-center  $i$  and the total number of commuting trips  $N_k^o$  from grid  $k$ , shown in Eq. 1.  $\Omega = \{1, 2, \dots, m\}$  is the set of sub-centers and  $i \in \Omega$ ;  $\Phi = \{1, 2, \dots, n\}$  is the set of grids  $k \in \Phi$ . Note that the set of sub-centers is the subset of the set of grids, i.e.  $\Omega \in \Phi$ . A contour map of all grids' CR was used to visualize the distribution of sub-centers' influence; this was produced using the interpolation algorithm embedded in the ArcGIS software.

467

$$CR_k = \sum_{i=1}^m N_{ki}^o / N_k^o \quad (1)$$



468 For the third judgment criterion c), the isochrone or contour measure can be used  
 469 to define catchment areas by determining their limits within certain travel times to the  
 470 metropolitan centers, assessing the number of accessible job opportunities within each  
 471 time threshold. This isochrone measure is formulated in Eq.2 as an expression of  
 472 accessibility index  $AI$  depending on a Boolean function  $x_k^t$  and on the sum of job  
 473 opportunities to all centers  $\sum_{i=1}^m N_{ki}^o$  from grid  $k$ . The Boolean function  $x_k^t = 1$  if the  
 474 commuting times of major carpoolers from grid  $k$  to centers less than predetermined  
 475 time threshold  $t$  and  $x_k^t = 0$ , otherwise. Accessibility index  $AI$  is the sum of  
 476 commuting trips from all the associated grids.

$$477 \quad AI = \sum_{k=1}^n \sum_{i=1}^m x_k^t N_{ki}^o \quad (2)$$

478 To avoid the theoretical shortcomings mentioned in literature, in this paper, (1) we  
 479 pick out the cells (grids) with sufficient commuting population and commuting intensity  
 480 and visualize their spatial distribution as initial metropolitan sphere; (2) we depict a  
 481 sequence of isochrone maps with different commuting time thresholds and select a  
 482 isochrone map approximate to the former spatial distribution; (3) we delimit the  
 483 metropolitan boundary based on the overlapping content of the former initial sphere  
 484 and the latter isochrone map.

#### 485 3.3.4 Measurement on the performance of polycentric structure

486 We measure the performance of a metropolitan region based on two sets of indexes:  
 487 three density based indexes including the job density (JD), job share (JS) and job-  
 488 housing ratio (JHR); three flow-based indexes including the flow-centrality ratio (FCR),  
 489 connection intensity (CI) and time-threshold based cumulative trip ratio (CTR). These  
 490 indexes are calculated based on the information of carpooling trips within above  
 491 delimited metropolitan sphere.

492 To investigate the morphological patterns of sub-centers, we used the employment  
 493 aggregation performance of each sub-center as measurement indexes. 1) Job density  
 494 (JD) is the number of jobs to each sub-center per unit area. Since the number of jobs  
 495 for each area was not available, we used a proxy based on commuting carpooling trips;  
 496 so it is in following indexes. 2) Job share ( $JS_i$ ) is the percent of a sub-center's job  
 497 number accounting for the total jobs within the metropolitan region, shown in Eq.3.  
 498 The  $N_i^d$  is the commuting carpooling trips to the sub-center  $i$  and the  $N_k^d$  is the  
 499 commuting trips to the grid  $k$ . 3) Job-housing ratio ( $JHR_i$ ) is the ratio of total  
 500 employment number to local employed residents number within each sub-center, shown  
 501 in Eq.4.  $N_i^d$  and  $N_i^o$  is the commuting carpooling trips taking sub-center  $i$  as  
 502 destination and origin, respectively.

$$503 \quad JS_i = N_i^d / \sum_{k=1}^n N_k^d \quad (3)$$

504  $JHR_i = N_i^d / N_i^o$  (4)

505 To explore the functional performance of the sub-center system, three  
 506 measurement indexes are proposed based on the carpooling trip flows between sub-  
 507 centers, from the two perspectives of flow intensity and flow cost.

508 Flow-centrality ratio is another form of human activity based regional centrality  
 509 index, besides the OD density. In this paper, flow-centrality ratio is the ratio of regional  
 510 in-degree index to the associated out-degree index. The former represents the number  
 511 of flows that directly enter each sub-center, while the latter is the number of flows that  
 512 directly exit each sub-center. Hence the flow-centrality ratio ( $FCR_i$ ) for sub-center  $i$  is  
 513 computed based on the formula Eq.5, where the in-degree indicator  $I_{ik}$  is the number  
 514 of carpooling trips (or commuting carpooling trips) towards the sub-center  $i$  from the  
 515 grid  $k$  and the out-degree indicator  $O_{ki}$  is the number of carpooling trips (or  
 516 commuting carpooling trips) from the sub-center  $i$  towards the grid  $k$ . Note that any  
 517 of the grid  $k$  is not in the associated sub-center  $i$ . We can compare this functional  
 518 centrality index with the trip density index we used in identifying the sub-center to  
 519 examine the regional central role in a metropolitan network.

520  $FCR_i = \sum_{k=1}^n I_{ik} / \sum_{k=1}^n O_{ki}$  (5)

521 Connection intensity is another essential index to analyze the potential function of  
 522 each sub-center. For the sub-center  $l$ , its connection intensity  $CI_{lj}$  with sub-center  $j$   
 523 is the percentage of carpooling trips towards sub-center  $j$  from sub-center  $l$   
 524 accounting for all carpooling trips from the sub-center  $l$ , where  $l, j \in \Omega$  and  $l \neq j$ ,  
 525 shown in Eq.6. A higher value of  $CI_{lj}$  means sub-center  $l$  has a closer connection  
 526 with sub-center  $j$ .

527  $CI_{lj} = O_{jl} / \sum_{i=1}^m O_{il}$  (6)

528 The commuting time distribution of passenger flows to each center can help us  
 529 explore the level of flow cost and traffic performance in a given metropolitan network.  
 530 Taking sub-center  $i$  as a destination, the time-threshold based cumulative trip ratio  
 531 ( $CTR_i^t$ ) is the ratio between the sum of commuting carpooling trips  $I_{ik}^t$  from grid  $k$   
 532 that can reach the sub-center  $i$  within a certain time threshold  $t$  and all commuting  
 533 trips  $I_{ik}$  from grid  $k$  to this sub-center, shown in Eq. 7. For example, a  $CTR_i^{30\text{min}}$   
 534 value of 0.75 indicates that 75% of all jobs (commuting carpooling trips) in  
 535 metropolitan region can reach sub-center  $i$  within a particular time threshold of 30  
 536 minutes. The use of a relative value eliminates ill effects due to the large variations of

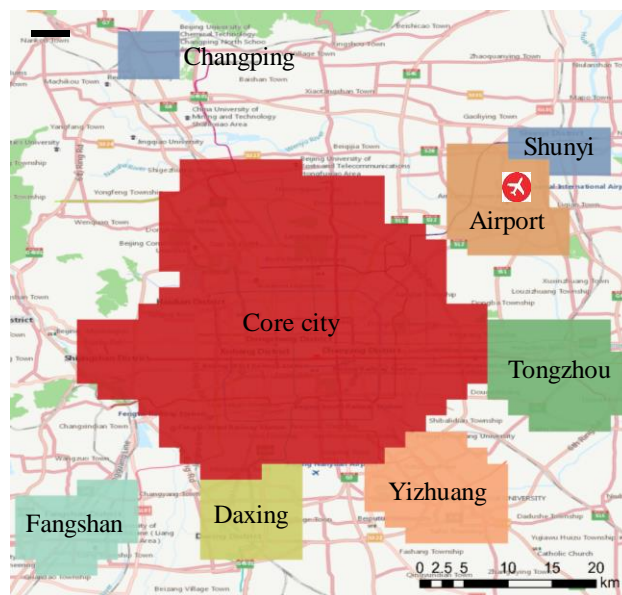
537 population scale between higher-order centers and lower-order centers.

538 
$$CTR_i^t = \sum_{k=1}^n I_{ik}^t / \sum_{k=1}^n I_{ik} \quad (7)$$

539 **4. Results**

540 4.1 Clustering the layout of metropolitan centers

541 Mapping the clustering results onto the Beijing road network, we replaced the  
542 cluster codes with the name of corresponding administrative districts or planned  
543 districts that locate each cluster (Fig.7). These clusters identify the built-up areas of the  
544 inner urban and suburban areas. Note that we separated the Tongzhou cluster from the  
545 largest central cluster (red cells) considering its relatively isolated topologies and  
546 independent administrative attribution<sup>2</sup>. As expected, the current BMR is a hierarchical  
547 polycentric sub-center system. The majority of the area covered by the six inner districts  
548 of Beijing constitutes the core city (the largest cluster), i.e. the primary center or the  
549 higher-order sub-center. The built-up areas of the Tongzhou district and five new cities,  
550 as well as the new settlement around airport can be regard as secondary centers (or  
551 lower-order sub-centers). Some basic information on the sub-centers of the BMR is  
552 given in Table 1. Both the trip numbers and the area of the core city are larger than the  
553 sum of all other seven secondary centers together. The core city also has the highest  
554 OD point density; this further illustrates the core city's dominant role within the BMR.  
555 Of the secondary centers, the Tongzhou cluster is the largest in each value. With the  
556 smallest area among all the secondary centers, the Changping cluster has the second  
557 highest density; this may be due to its more intensive build-up area. Overall, 56% of  
558 carpooling trips are from or to these sub-centers and 78% of these trips associated with  
559 sub-centers pick up or drop off in the core city.



560

<sup>2</sup> Tongzhou district was declared as Beijing's administrative sub-center by local authorities in 2015.

Table. 1. Basic statistical analysis of sub-centers

Hierarchy	Primary			Secondary				
Centers	Core city	Tongzhou	Yizhuang	Airport	Fangshan	Daxing	Shunyi	Changping
Carpooling trip number (10 <sup>3</sup> )	6872	1107	827	762	532	513	301	219
Area (km <sup>2</sup> )	888	139	130	107	94	87	49	29
OD Density (10 <sup>3</sup> /km <sup>2</sup> )	10.91	8.55	7.31	7.49	6.10	6.16	6.51	7.69

#### 563 4.2 Delimiting the metropolitan boundary

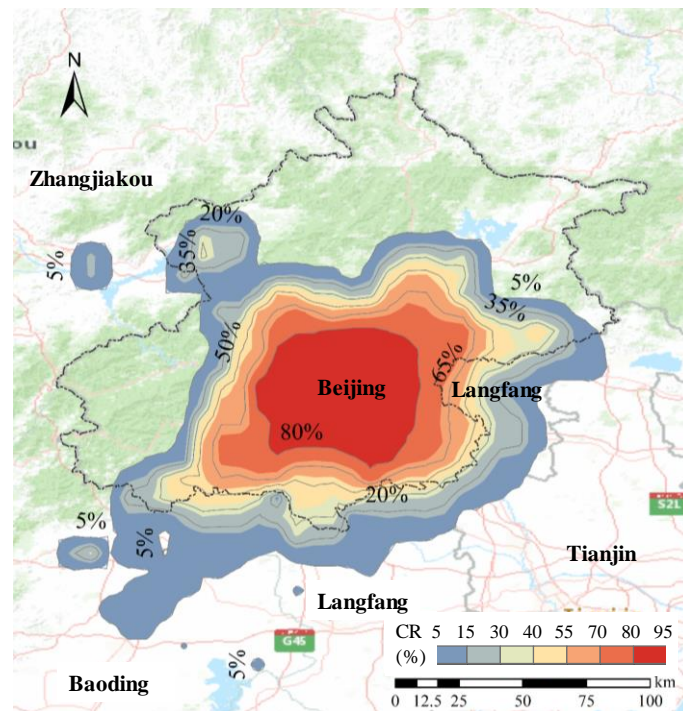
564 Before defining the boundary of this metropolitan region, the broader area of BTH-  
 565 UA was divided into 7000 grid cells (70 lines×100 rows), each with an area of  
 566 7km×7km. The larger grid cells (than the grid used in sub-centers identification) are to  
 567 ensure the sufficient carpooling trips and commuting population in each grid cell that  
 568 the proposed positive correlation between commuting carpooling trips and commuting  
 569 population applies.

570 For the first judgment criteria of regional commuting population constraint, we  
 571 preset a filter threshold of 65 trips per grid cell and remove cells with less origin points  
 572 for commuting rate estimation. Sixty-five trips could guarantee there is at least one trip  
 573 every workday on average during the three months covered by the sample data. There  
 574 are 657 grids cell left, less than 10% of total grid cells.

575 For the second judgment criteria of commuting intensity, the commuting rate of  
 576 each grid cell to sub-centers is estimated by the Eq.1. Then we used the Kriging  
 577 interpolation method to smooth the commuting rate spatial distribution and produce a  
 578 contour map of the commuting rate, shown in Fig. 8. Note we take the commuting rate  
 579 of 5% as the lower commuting intensity threshold and we only include and depict the  
 580 grid cells with commuting rate beyond this threshold. The region comprised by all of  
 581 these qualified grids is defined as the metropolitan commuting sphere (MCS).

582 Remarkably, the metropolitan commuting sphere of the sub-centers is beyond  
 583 Beijing administrative district, gradually decaying from inside to outside the BMR. For  
 584 the continuous settlement areas, commuting rates spread in the shape of concentric rings  
 585 over the south-central region of Beijing with the core city as the heart; the sub-center  
 586 commuting rate of the innermost rings exceeds 80%. Unsurprisingly, the sub-center  
 587 commuting rate of the eco-conserving area is less than 5% due to the limitations  
 588 imposed by the mountainous geographical environment. There are also some relative  
 589 isolated pockets separated by rural areas, especially in the surrounding cities beyond  
 590 the Beijing administrative district, like Baoding city, Zhangjiakou city, and Langfang  
 591 city (see Fig. 8). For the scattered pockets with higher commuting rates, these

592 commonly aggregate and distribute along the expressways (the red lines); this  
 593 demonstrates the important role of high grade transportation facilities in the process of  
 594 urban evolution. For example, Tianjin is a developed city that has strong  
 595 communication links with Beijing and other cities in BTH-UA. The level of commuting  
 596 by carpooling between Tianjin and the sub-centers of Beijing, however, is very low,  
 597 maybe because the Beijing-Tianjin inter-city railway, with its high speeds and high  
 598 departure frequencies, provides a more attractive option for travelling between these  
 599 two cities than carpooling.

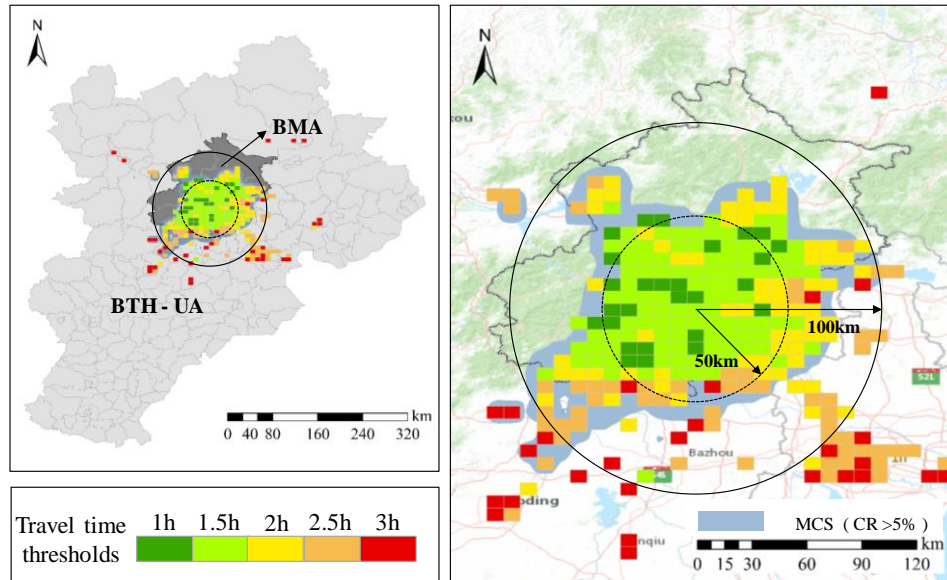


600

601 **Fig. 8.** Contour map of commuting rate to the sub-centers of the BMR. The regions with  
 602 commuting rate beyond 5% are defined as the metropolitan commuting sphere.

603 For the third judgment criteria of commuting accessibility, the multiple-time-  
 604 threshold commuting isochrones are calculated and shown in Fig.9. If there are more  
 605 than half of commuters from a certain grid can reach the sub-centers within 1 hour, we  
 606 regarded these regions are 1-hour accessible, shown as the dark green grids; similar for  
 607 the other time thresholds. The travel time thresholds take from 1 hour to 3 hours, step  
 608 by half hour. It can be seen that the 2.5-hour accessible regions are approximate to the  
 609 scope of above MCS. Hence we define the overlapping region that are 2.5-hour  
 610 accessible and with commuting rate beyond 5% as the BMR; it covers about a 100km  
 611 radius of region around the Beijing core city and can be regarded as the outer  
 612 commuting circle. BMR excludes the mountainous areas of Beijing and extends beyond  
 613 the administrative boundary of Beijing and further to the adjacent counties of Baoding  
 614 and Langfang city, which involves 23 counties in BTH-UA and about 30 million people  
 615 (in 2016). Furthermore, all of these sub-centers are within the 1.5-hour accessible  
 616 regions and covering a 50km radius circle and these inner areas can be regarded the  
 617 core commuting circle of the BMR. Compared with the previous related study with a

618 study duration from 1995 to 2010 (Shi and Cao, 2020), the spatial range of BMR  
 619 delimited in this paper is broader and radiating more adjacent jurisdictions but not based  
 620 on the administrative units. This shows that these regional centers have strong attraction  
 621 and caused the continuous expansion of BMR.



622

623 **Fig. 9.** Multiple-time-threshold based commuting isochrones and the influence sphere of BMR.

624 For better presentation, we excluded the grids with commuting trips less than 10.

625 For further understanding the defined metropolitan influence sphere, we  
 626 conducted the statistical analysis on the specific commuting accessible trips and regions,  
 627 shown in Table 2. More than half of commuting trips cannot reach the sub-centers  
 628 within 1.5 hours in this metropolitan region. When up to 2.5 hours, the majority of  
 629 commuters (96%) can reach these sub-centers; this also supports our previous decision  
 630 on selecting the 2.5-hour threshold in defining the BMR. Moreover, from 1-hour to 2-  
 631 hour, there is a significant gap between the actual accessible trip number and the  
 632 expected accessible trips number calculated based on the Eq.2; this reflects the strong  
 633 fluctuation of commuting times within the core circle of BMR because of the serious  
 634 road congestion. The area of accessible regions is not totally consistent with the area of  
 635 accessible regions with sufficient commuting intensity and the differences between  
 636 them become wider along with the ascending time thresholds; this demonstrates that a  
 637 longer travel time can erode the regional commuting intensity to metropolitan centers,  
 638 especially for the outer commuting circle area.

639

**Table 2.** Multiple-time-threshold commuting accessible trips and regions

Time thresholds	1h	1.5h	2h	2.5h	3h
Actual accessible trips	67744	538406	733581	1072096	1098538
Expected accessible trips	128794	939406	1100748	1113519	1114804
Actual accessible trip ratio	6%	48%	66%	96%	99%
Total accessible grid number	27	127	192	246	280

Accessible grid number within MCS (CA>5%)	25	121	176	209	226
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640 4.3 Measuring the performance of the metropolitan region

641 4.3.1 Qualifying the employment aggregation performance

642 For the morphological patterns of sub-centers, we qualified the employment  
643 aggregation performance based on the carpooling big data and three indexes are shown  
644 in Table. 3. As expected, the higher-order center, the core city of Beijing is the most  
645 important employment agglomeration zone as it has the highest job density and job  
646 share (beyond 60%) in the BMR. The core city’s JBR of 144.4% shows its serious  
647 imbalance between the living and working provision for citizens. In total, 81.9% of  
648 commuters take the core city and sub-centers as their destination; this also demonstrates  
649 that the hierarchical polycentric structure of BMR with a dominant core center. This  
650 total proportion is highly larger than the jobs share of employment centers including  
651 the CBD for the 50 largest metropolitan regions in the U.S. (24.6±1.8% in 2000, Angel  
652 and Blei, 2016). Compared with the constrained dispersal form of American cities, the  
653 BMR still does not have a single, integrated labor market where workers and  
654 workplaces are matched at a truly metropolitan scale. Although local government  
655 planned Tongzhou to be an administrative sub-center of Beijing, so far it mainly  
656 provides housing for people working in the core city, which has the lowest JBR and the  
657 second lowest jobs density. Fangshan also performs poorly for local employment  
658 attractions with the lowest job density. As the only national Economic-Technological  
659 Development Area (ETDA) in Beijing, Yizhuang has these three indexes ranking  
660 second only to the core city. The new city built surrounding the Beijing Capital  
661 International Airport also attracts plenty of job-seekers from the BMR. Distinctively,  
662 Shunyi has a good job-housing balance and a moderate job density.

663 **Table. 3.** The employment aggregation performance of sub-centers in the BMR

Centers	Core city	Tongzhou	Daxing	Yizhuang	Shunyi	Airport	Fangshan	Changping
Job density (per km <sup>2</sup> )	918.86	327.40	332.25	731.20	455.49	524.63	231.02	383.09
Job share	60.9%	3.5%	2.1%	7.1%	1.7%	4.2%	1.6%	0.8%
Job-housing ratio	144.4%	41.6%	65.8%	129.1%	91.9%	128.4%	46.1%	62.4%

664 4.3.2 Discerning the flow interaction performance

665 For the functional patterns of sub-centers, we discerned the flow interaction  
666 between the sub-centers based on the carpooling big data and three indexes including  
667 flow-centrality ratio, connection intensity and time-threshold based cumulative trip  
668 ratio are calculated; the former two indexes are shown in Table. 4.

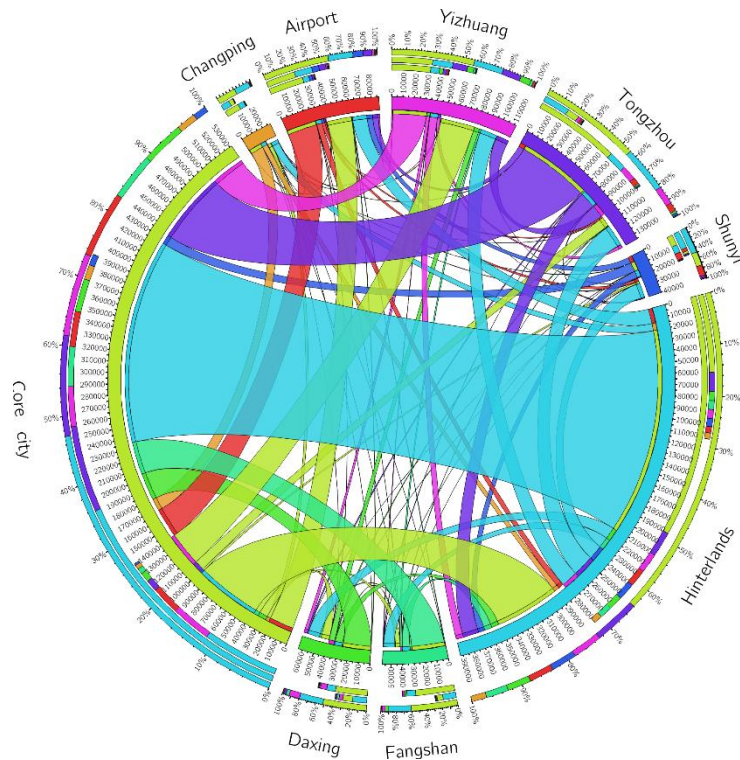
669 Considering the diverse activities and commuting trips, we estimated the multi-  
670 flows centrality and commuting-flow centrality, respectively, based on the formula Eq.5.

671 Considering the regions satisfying  $FCR > 1$  as the metropolitan first-order centers, most  
672 of sub-centers, even the core city, are of poorly flow-based centrality; these results are  
673 highly different with the identification of OD density based centrality. Core city and  
674 other two employment centers (Yizhuang and Airport) perform prominent in the  
675 commuting-flow centrality, while other centers still cannot reach the threshold value  
676 ( $FCR=1$ ). Especially, affiliating to the core city and without a local employment base,  
677 Tongzhou is with the lowest commuting-flow centrality. We conjecture that the forming  
678 and growing of BMR's polycentricity can be more of the result a decentralization of  
679 employment from a congested core city (or CBD) than the consequence of a  
680 coalescence or integration process, like many European metropolitan regions (Veneri,  
681 2013). The decentralization here can be defined as the movement of populations and  
682 their activities (residential function, employment, services, administration, etc.) from  
683 the core cities to the hinterland. Therefore, density measures based on the former idea  
684 can be more appropriate to be used as the centrality indexes. Except for Shunyi, all the  
685 outer (lower-order) sub-centers have highly close connections with the core city  
686 ( $CI > 70\%$ ), which also reflects the dominated function of the core city within in the  
687 BMR. As for the connection intensity of core city to outer center secondary centers,  
688 beyond one fourth of passenger flows from core city are towards the Tongzhou; this  
689 indicates the construction of this administrative sub-center has taken effect and shared  
690 the huge population pressure of core city. The Shunyi has barely connection with core  
691 city, but has a relative independent status in this metropolitan region.

692 **Table. 4.** The connections between the core city and lower-order sub-centers within the BMR

Places	Core city	Tongzhou	Daxing	Yizhuang	Shunyi	Airport	Fangshan	Changping
Multi-flows centrality	0.96	0.97	1.01	0.96	0.91	1.22	1.03	0.97
Commuting-flow centrality	2.78	0.34	0.63	1.46	0.91	1.33	0.39	0.61
CI (outer centers to core city)	/	76.7%	72.8%	71.9%	51.2%	76.4%	84.1%	87.0%
CI (core city to outer centers)	/	27.2%	11.9%	17.9%	3.5%	21.8%	12.5%	5.1%





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**Fig. 10.** Commuting flow distribution based on commuting carpooling trips within BMR

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To further explore the commuting interactions between sub-centers within this metropolitan, we depicted the commuting flows between various orders of sub-centers and the associated hinterlands in a Sankey diagram (Fig.10). 71.4% of the commuting carpooling trips are related to the core city, whose workers mainly come from the broad hinterlands of Beijing, Tongzhou and other cities. Reverse commuting trips from the core city to the secondary centers account for 24.9% of the total commuting trips from the core city. Most of these take new employment sub-centers (Yizhuang and Airport) and the hinterlands as destinations and are the most important part of the local employment sources. This result can be regarded as evidences of metropolitan suburbanization and the polycentric nature, which is accordance with our viewpoint in the forming of BMR. Notably, more than two thirds of the external commuters to Shunyi are from neighboring communities; this embodies Shunyi's function as an employment base for local citizens. Apart from the core city, commuting connections from Shunyi to Airport and from Tongzhou to Yizhuang are also very strong, maybe due to their adjacent geographical locations.

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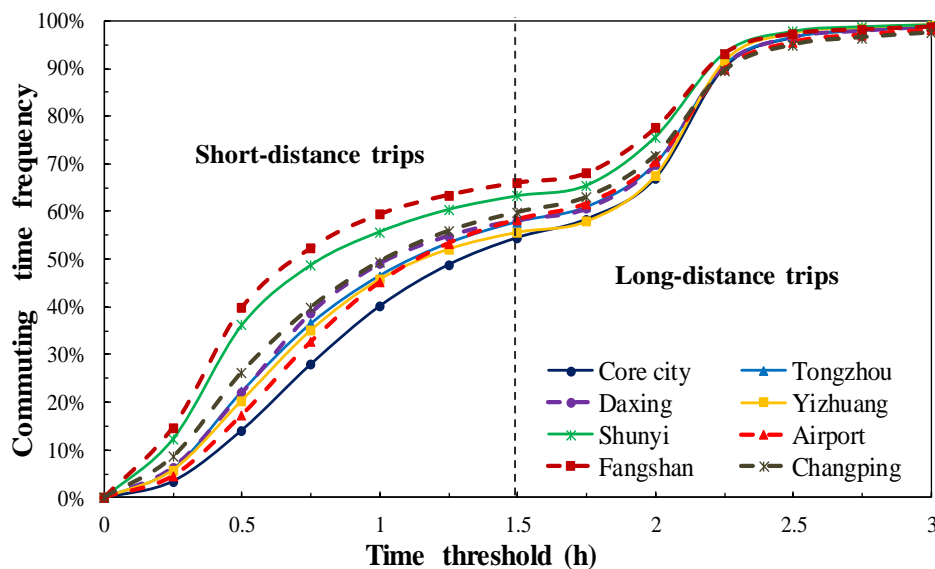
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716

As a measure of flow interaction cost, the time-threshold based cumulative trip ratio (CTR) of carpoolers departing to each center within morning peak hours were computed from 0 to 3 hours by a 15-minute interval. These distribution curves of the eight centers are shown in Fig. 11. At first glance, the cumulative commute time distributions of trips to the eight sub-centers are similar. These each distribution curve is composed of double S-shaped curves of short-distance trips (travel time <1.5h) and long-distance trips (>1.5h) and there is an obvious flat segment neighboring the 1.5-

717 hour join line. The S-shaped curves of short-distance trips rise sharply at each side of  
 718 the 30-minute time threshold, while the S-shaped curves of long-distance trips show  
 719 dramatic changes around the 2-hour time threshold. These characteristics of the curves  
 720 demonstrate the uneven distribution of carpoolers' commuting times. Most of short-  
 721 distance commuters need to reach their workplaces within 1 hour, while most of long-  
 722 distance commuters will finish their trips within 2.5 hours. According to the previous  
 723 results of commuting isochrones, the short-distance trips to these centers are mainly  
 724 from the core city and its adjacent centers, while most of trips from the outer suburbs  
 725 or other cities are the long-distance trips.



726

727 **Fig. 11.** Cumulative commute duration frequency distribution of carpoolers travelling to regional  
 728 centers with varying time thresholds

729 Although the cumulative commuting time distributions shown in Fig. 11 are  
 730 similar, there exist obvious differences among different destinations. Carpoolers  
 731 working in the core city need the longest commuting time and nearly half of them  
 732 cannot reach their workplaces within 1.5 hours, while commuters to outer sub-centers  
 733 spend less time. Carpoolers to Fangshan often need the least time cost; there even is  
 734 more than a 20% difference between the core city and when the commute time threshold  
 735 is 30 minutes. Carpoolers travelling to Yizhuang and the Airport settlement, both of  
 736 which perform well in terms of employment attractions, also spend considerable time  
 737 commuting. People living and working in the employment centers show a higher  
 738 tolerance to long-distance commutes. A number of studies have found that a longer  
 739 commute time is associated with lower levels of both life satisfaction and happiness  
 740 (Kahneman et al., 2004; Choi et al., 2013). In the developed cities of China like Beijing,  
 741 these negative correlations are also significant, especially when commute times are  
 742 more than 1 hour per trip (Nie and Sousa-Poza, 2018; Yin et al., 2019). Beijing  
 743 government planned to reduce its average commuting time within the Fifth Ring Road

744 (similar with the core city in this paper) from 97 minutes in 2014 to 60 minutes in 2020<sup>3</sup>.  
745 However, except for those travelling to the centers of Shunyi and Fangshan, less than  
746 50% of carpoolers can reach a center within one hour during morning peak hours. The  
747 average driving speed of carpooling commuters within the BMR is only 22.17 km/h,  
748 illustrating the severe traffic congestion problems in this mega metropolitan region.  
749 According to previous studies or reports on commute in Beijing, the average  
750 commuting time to regional centers are from 30 minutes to 50 minutes (Lin et al., 2015;  
751 Hu et al., 2018; BTI, 2018). The obvious differences can be partly due to the longer  
752 travel distance of carpooling service and partly due to the broader study area. Overall,  
753 the performance of the road network in the BMR seems lower than the expectations of  
754 citizens and decision makers.

#### 755 4.3.3 Investigating the role of each sub-center

756 Using the proposed spatial indexes, including the job-density, job-housing ratio,  
757 the workforce source composition, resident employment distribution and connection  
758 intensity of each sub-center, the driving force of sub-center forming and primary role  
759 of each sub-center in this metropolitan region can be revealed, which are listed in Table  
760 5.

761 Taking the BMR as an example, the core city has dominant performance in all  
762 sorts of indexes due to its strong employment and residence centralization among this  
763 metropolitan region. There is no doubt that core city is the primary center of BMR.  
764 Reverse commuting trips (trips from core city to secondary centers) account for nearly  
765 50% of total commuting trips to the Yizhuang and Airport (see Fig.10), which means  
766 the employment decentralization from the core city is the important cause of forming  
767 these two sub-centers. Yizhuang and Airport have the higher employment aggregation  
768 performance only inferior to the core city (see Tab.3) and the commuting-flow  
769 centrality ratio above the threshold value (see Tab.4), hence they can be regarded two  
770 employment sub-centers of the BMR. In contrast to Yizhuang, the Airport (and its  
771 associated built-area) has a close connection with the core city, maybe because of its  
772 special function as a transportation hub. In contrast, Tongzhou and Fangshan have the  
773 lowest local jobs density and JBR (see Tab.3); most of commuters (about 70%, see  
774 Fig.10) from these two sub-centers are towards the core city. These places grow and  
775 evolve mainly by residence decentralization from core city, maybe because the higher  
776 living cost and house price of the latter, which be regarded as commute towns  
777 surrounding the core city. There still is a long way for Tongzhou to be the administrative  
778 sub-center. As for Daxing and Changping, it is difficult to directly indicate the driving  
779 force of regional development and define their functional property considering their  
780 mediocre performance in both employment aggregation (see Tab.3) and commuting  
781 distribution (see Fig.10). Therefore, we tentatively identify them as mixed-role cities  
782 forming by mixed forces, which can evolve by more than one trajectories possible in

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<sup>3</sup> <http://www.ebeijing.gov.cn/BeijingInformation/BeijingNewsUpdate/t1397427.htm>

783 the future. Considering the longer travel distances to the core city, the residents of  
 784 Changping need to pay a higher commuting cost for working in the core city, so  
 785 Changping is more likely to become a satellite city under sustained economic  
 786 development, while Daxing is more susceptible to becoming another employment sub-  
 787 centers, if decision-makers adopt powerful measures to improve local employment  
 788 attraction. Compared with other centers, Shunyi shows its specificity in many  
 789 quantitative indexes: its job density is not very high, but has relatively balanced Job-  
 790 housing ratio, commuting-flow centrality close to 1, and less connection with core city  
 791 (see Tab.3 and Tab.4). As a local employment base, Shunyi with is relatively  
 792 independent of the core city in terms of both mobility connection and geographic  
 793 location. 70% of commuters towards Shunyi are from its surrounding hinterlands (see  
 794 Fig.10). The forming of this center can be a result of spatial coalescence or integration  
 795 process, by the extension of the metropolitan influence over close systems of small and  
 796 medium-sized cities. Hence we can consider Shunyi as a satellite city of Beijing  
 797 downtown.

798 **Table. 5.** The forming process and main roles of sub-centers in the BMR

Places	Driving force	Regional role
Core city	Employment and residence centralization	Primary center
Tongzhou	Residence decentralization	Commuter town
Daxing	Mixed-forces	Mixed-functions city
Yizhuang	Employment decentralization	Employment sub-center
Shunyi	Spatial integration	Satellite city
Airport	Employment decentralization	Employment sub-center and transportation hub
Fangshan	Residence decentralization	Commuter town
Changping	Mixed-forces	Mixed-functions city

## 799 **5. Discussion**

### 800 5.1 Comparison with studies on metropolitan spatial structure

801 Comparing with previous works on typical metropolitan regions or urban regions,  
 802 either in developed country or developing country, the method developed in this study  
 803 has the advantages of being more reliable, accurate and comprehensive.

804 First, the advantage of reliability in this research is manifested by the fact that the  
 805 carpooling big data used in this paper is dynamic, massive and more applicable to  
 806 metropolitan study. Most of previous studies on metropolitan structure based on survey  
 807 data or secondary data may be limited by the periodicity and subject of surveys; thus it  
 808 is difficult to obtain updated and independent conclusions. For example, Angel and Blei  
 809 (2016) recognized that a number of important recent changes, like revival of city centers  
 810 and CBDs as centers of employment, have occurred in the intervening 15 years, raising  
 811 the question as to whether their conclusions still hold. Burger et al. (2011) and Veneri  
 812 (2013) used commuting flow survey data to uncover the spatial structure of city-regions

813 in British and Italy, respectively. Several authors, however, have pointed out that  
814 journey-to-work travel should be used with other indicators to provide realistic insights  
815 into the interdependence of places and structure in urban systems (Lambregts et al.,  
816 2005; Parr and Hewings, 2007). Studies attempting to reveal the city structure based on  
817 other emerging big data, such as taxi trip data (Liu et al., 2015), fail to show the  
818 metropolitan characteristics due to lack of information on long-distance commuting  
819 within a given metropolitan region. We can extract reliable, up-to-date and consistent  
820 information on the urban spatial structure based on carpooling big data, which is vital  
821 for numerous applications central to urban planning and land use analysis.

822 Second, rather than using the administrative divisions of Beijing Municipality, we  
823 clustered the polycentric layout under a grid-based, GIS-enabled environment and  
824 delimited this metropolitan sphere based on a threefold criterion. The identification  
825 methods on study area are more rigorous and the associated results can be more accurate.  
826 In fact, there would be a significant difference in the value of some indexes based on  
827 different metropolitan spheres, when the inter-city trips were identified incompletely.  
828 Within the Beijing Metropolitan Region (BMR), the inter-city trips beyond the  
829 municipal boundary of Beijing accounted for 11.2% of total trips. These trips obviously  
830 have different spatial-temporal characteristics compared with the trips within Beijing.  
831 More specifically, the inter-city trips had much longer travel distances and travel times  
832 (31.3km and 92min on average) than the latter (20.3km and 71min on average). If we  
833 simply took Beijing Municipality as the case study, we would not only miss the chance  
834 of understanding the flow-base patterns of these inter-city trips, but also cause a  
835 considerable estimation error of some density-based indexes, especially for the outer  
836 sub-centers. For example, the differences of job density and job share would reach 13%  
837 and 8% for Tongzhou and be up to 25% and 31% for Fangshan, comparing using the  
838 Beijing administrative boundary with using the defined metropolitan sphere.  
839 Considering the common existence of inter-city trips in other urban areas, this type of  
840 incomplete analysis on metropolitan structure and corresponding estimation errors may  
841 exist in other studies. Moreover, this delimiting method can provide effective  
842 alternative boundaries for metropolitan planning, especially in highly dynamic cities  
843 such as Beijing.

844 Last, to uncover the metropolitan spatial structure in-depth and comprehensively,  
845 we combined the density-based morphological and flow-based functional approach  
846 based on a twofold index system. If we only use the employment density-based methods  
847 to measure the performance, we may miss the chance to investigate the commuter towns,  
848 like Tongzhou in BMR, and the sub-centers without any particularly high employment  
849 density, but still as a local center of the metropolitan territory, like Shunyi in BMR. On  
850 the other hand, if we measure the polycentric structure only by interaction flows, like  
851 some studies (Limtanakool et al., 2009; Veneri 2013), it is difficult to find the  
852 metropolitan centers in accordance with the real-world, referring to the flow-centrality  
853 indexes in Tab.4. Hence, the combination of a morphological and functional approach

854 can avoid drawing lopsided conclusions to some degree. Compared with the macro-  
855 research focusing on the structure of tens of cities, e.g., Burger et al. (2011) in English  
856 and Welsh and Angel and Blei (2016) in America, this work first proposed a more  
857 delicate method to in-depth investigate each center in a given metropolitan region.

## 858 5.2 Takeaways for practice

859 The emerging of on-demand carpooling services generate massive trip data that  
860 have commuting function and broader service scope. This provide us a good chance to  
861 understand the metropolitan structure better and then support making metropolitan  
862 development planning. Based on the results of this paper, some extended suggestions  
863 are listed as the takeaways for practice, not only for BMR, but also for other cities.

864 First, an effective policy change in transportation and land use patterns, including  
865 the regulations, taxes and subsidies and public investments, shall focus on helping the  
866 great majority of actual travelers, especially the commuters, with the least expense.  
867 Hence, we can divide the metropolitan regions with polycentric structure inner and  
868 outer two commuting circles to make the associated policies that can facilitate  
869 commuting by promoting the transport modes and routes, respectively. For the inner  
870 (core) commuting circle covering all centers with higher job density, the authorities  
871 should focus on reducing the gaps between expected traveling times and actual ones by  
872 relieving the road traffic congestion. For this issue, we can encourage the ridesharing  
873 modes, improve the service level and extend the capacity of public transport. For the  
874 outer commuting circle covering the broader hinterlands, the authorities should seek to  
875 guarantee the mobility demand of longer-range metropolitan travelers to reach their  
876 destinations quickly and economically, especially for commuters during the rush hours.  
877 For example, we can build the suburban or intercity railways and link them with the  
878 inner metro networks to reduce the proportion of long-distance trips by car. Local  
879 planners should seek to strike a balance between keeping the attraction of the  
880 metropolitan centers and avoiding excessive urban sprawl when developing their  
881 polycentric development strategies.

882 Moreover, when making local policies, we shall consider the specific role of each  
883 sub-center within a given metropolitan region. The metropolitan development planning  
884 treats all centers without difference can waste the social resources or even hinder the  
885 normal development of local city. For the primary center (core city) with the highest  
886 job shares and unbalanced job-housing relationship, planner should try to optimize the  
887 job-housing distribution (e.g., encouraging local employment in the metropolitan sub-  
888 centers and hinterlands) and improve the urban carrying capacity. For the employment  
889 sub-centers, to reduce commute travel and to improve quality of life in the long-run, it  
890 is important to plan and provide the housing and services suitable for local workers,  
891 while for the commuter towns, we shall pay more attention to the construction of local  
892 residential infrastructure and the promoting measures on the transport modes and routes  
893 from these towns to core city. For the satellite cities with the potential to be a new  
894 metropolitan region, policy-makers should focus more on its link with surrounding

895 hinterlands, rather than its connection with core city. For the mix-functions city, the first  
 896 thing for authorities maybe is to determine a clear regional development orientation  
 897 before making the associated planning.

898 Although we take the BMR as a case study, the application of carpooling big data  
 899 and the proposed method of identifying the polycentric structure would provide a novel  
 900 perspective for research on other metropolitan regions. Like many emerging  
 901 metropolitan regions in the developing world, BMR has a polycentric structure, a large  
 902 but under-developed hinterland, and an ambitious local authority with a strong intention  
 903 to create a mega-region (Shi and Cao, 2020). For the data availability, carpooling  
 904 services have been emerging in many large cities and their associated metropolitan  
 905 regions. Table 6 lists several current online carpooling services provided by the major  
 906 platforms and their respective development scales. Hundreds of millions of carpooling  
 907 trips in hundreds of cities generate massive data that can be used in metropolitan studies.  
 908 More specifically, in the UK, the majority of metropolitan regions is with polycentric  
 909 forms (Burger et al., 2011), and the local social enterprise Liftshare has more than  
 910 500,000 active members, who share more than 1 million journeys each month<sup>4</sup>. In  
 911 Shanghai, another mega-city with polycentric structure of China, there are about 800  
 912 thousand carpooling trips through the Didi Hitch APP during one month (September,  
 913 2017). Therefore, the research framework and some conclusions on BMR in this paper  
 914 may have potentials to be applied to the other metropolitan regions for a similar  
 915 research purpose, which gives this research a global relevance.

916 **Table 6.** The characteristics and scales of online carpooling services provided by typical platforms  
 917 (Data source: the official websites of the respective TNCs)

Major platforms	Launch time	Trip purpose	Popular regions	Service scale
Blablacar	2006	Long-distance trip including commuting	22 countries mainly in Europe and Latin America	87 million users, 30 billion kilometers shared since 2003
Didi Hitch	2015	Diverse, mainly for commuting	351 major cities in China	30 million registered drivers; up to 2 million daily orders
Waze Carpool	2016	Commuting	America, Brazil, Mexico	60 million users, up to 1 million monthly orders

## 918 6. Conclusion

919 As social, economic and political institutions have changed, contemporary MRs  
 920 are characterized by more complex spatial structures. Fortunately, the rapid  
 921 development of big data technology offers us an opportunity to better measure the  
 922 metropolitan polycentricity and then make targeted metropolitan land use and transport  
 923 planning. Using carpooling big data, we identified the polycentric layout of Beijing

<sup>4</sup> <https://business.liftshare.com/>

924 Metropolitan Region based on a grid-based clustering algorithm. Then we delimited  
925 this metropolitan using the overlapping area of higher commuting intensity region with  
926 sufficient population and 2.5-hour commuting contour. Lastly, a two-group index  
927 system was established to measure the performance of metropolitan polycentricity. This  
928 three-step method driven by carpooling big data are more reliable, accurate and  
929 comprehensive, based on which we provide some valuable insights to global knowledge.

930 Regional centers identification and boundary definition shall be the first two  
931 necessary steps before conducting in-depth analysis on human activity performances of  
932 metropolitan polycentric structure, while the combination of a morphological and  
933 functional approach can avoid drawing lopsided conclusion on these performances. The  
934 emerging carpooling big data with commuting function on a metropolitan scale can  
935 help realize these approaches.

936 The polycentric metropolitan region represents a hierarchical center system: one  
937 primary center interacting with seven surrounding secondary centers. These regional  
938 centers have such a strong attraction that results in the continuous spatial expansion  
939 beyond the original administrative boundary to radiate more adjacent jurisdictions. The  
940 proposed center identification method can help recognize the places where the public  
941 resources shall be assigned, while the boundary delimiting method can provide  
942 effective alternative boundaries for metropolitan planning. Furthermore, the  
943 heterogeneity of human activity performance and role for each regional center is  
944 remarkable. The employment sub-centers have higher job density and job-housing ratio,  
945 while the commuter towns show reverse trends in employment density indexes, but  
946 have closer connections with the core city. An independent satellite city with local  
947 employment base perform better in job-housing balance and commuting duration.  
948 Travelers working in the core city need the longest commuting time, while commuters  
949 to outer sub-centers spend less time. It is necessary to consider the specific role of each  
950 sub-center within a given metropolitan area before making more delicate transportation  
951 and land use policies.

952 This study can be regarded as a starting point with respect to researches on  
953 metropolitan spatial structure using carpooling data. The limitation needs to be stated.  
954 Although we have shown the positive correlation between commuting carpooling trips  
955 and employment population, without considering the impact of public transit flows on  
956 the structure of the metropolitan region, there will be some differences between the  
957 metropolitan spatial structure uncovered using carpooling data and the reality. As  
958 mentioned previously, the interaction between the sub-center system and Tianjin is  
959 likely to be underestimated due to travel splitting caused by the presence of the inter-  
960 city high-speed railways. Therefore, it is necessary to integrate the carpooling data with  
961 the data of other transport modes and human activities in metropolitan regions to  
962 improve the proposed method and associated results.

963 The methodological challenge of using unconventional source of data does



964 dominate the paper, hence further work is needed in the development of this research.  
965 First, we have indicated that various sub-centers can play different roles in a  
966 metropolitan region; then it is interesting to investigate the relationship between  
967 different sub-centers by observing the extent to which their functions are  
968 complementary or alternative. Second, we illustrated a novel method to explore the  
969 metropolitan structure based on the carpooling big data. Due to the limitation in the  
970 Beijing case study, it is suggested to apply similar data to the various structural forms  
971 of global cities. Considering there are tens of huge cities with millions of carpooling  
972 trips annually in China, our further work is to scan the spatial structure of other  
973 metropolitan regions and then conduct a comparative analysis to dig the underlying  
974 laws and meanwhile demonstrate the wider suitability of the proposed method.

### 975 **Acknowledge**

976 This work is financially supported by National Natural Science Foundation (91746201,  
977 71621001). Many thanks to the Institute of Policy Studies, DiDi Company for providing  
978 the data in this study.

### 979 **Conflicts of Interest**

980 The authors declare that there is no conflict of interest in any aspect of the data  
981 collection, analysis, or the funding received regarding the publication of this paper.

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Dear Editor,

Please find the electronic submission of “Characterizing the Polycentric Spatial Structure of Beijing Metropolitan Region Using Carpooling Big Data” by Xiaobing LIU, Xuedong YAN, Helena TITHERIDGE, Wei WANG, Rui WANG, Yang LIU. We would like to have this manuscript reviewed by the *Cities (Special Issues on Big Data and Urban Planning)*.

For each revision, each of the coauthors has seen and agrees with each of the changes made to this manuscript in the revision and to the way his or her name is listed.

Sincerely,  
Xuedong Yan  
Beijing Jiaotong University, China