

# Inbuilt tendency of the eIF2 regulatory system to counteract uncertainties

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**Abstract**—Eukaryotic initiation factor 2 (eIF2) plays a fundamental role in the regulation of protein synthesis. Investigations have revealed that the regulation of eIF2 is robust against intrinsic uncertainties and is able to efficiently counteract them. The robustness properties of the eIF2 pathway against intrinsic disturbances is also well known. However the reasons for this ability to counteract stresses is less well understood. In this paper, the robustness conferring properties of the eIF2 dependent regulatory system is explored with the help of a mathematical model. The novelty of the work presented in this paper lies in articulating the possible reason behind the inbuilt robustness of the highly engineered eIF2 system against intrinsic perturbations. Our investigations reveal that the robust nature of the eIF2 pathway may originate from the existence of an attractive natural sliding surface within the system satisfying reaching and sliding conditions that are well established in the domain of control engineering.

**Index Terms**—Protein synthesis, mathematical modelling, linearisation, key non-linearities, stability, sliding surface.

## I. INTRODUCTION

The regulation of gene expression takes place at multiple levels. However it is primarily controlled by the machinery of translation initiation [1]–[3]. There are various eukaryotic initiation factors (eIFs) that play a crucial role in modulating the dynamic control properties of translation initiation. Eukaryotic initiation factor 2 (eIF2) is one of the main factors that sustain the ongoing translation activity [4], [5]. Defects or down-regulation in eIF2 can result in severe illnesses, for example, disturbance in the translation initiation machinery due to excessive phosphorylation of eIF2 can cause neurological diseases [6]–[8].

The perpetuation of sustainable behaviour of the translation activity is achievable only when eIF2 regulates between its active (GTP-bound) and inactive (GDP-bound) states, and carries out its fundamental role of transferring Met-tRNA<sub>i</sub> (initiator transfer ribonucleic acid) to the 40S ribosomal subunit without interruption. However, during amino-acid starvation, phosphorylation of the  $\alpha$ -subunit of eIF2 takes place, which results in down-regulation of general translation activity [9], [10].

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The phosphorylation process is one of the main disruptors of translation activity, converting eIF2 to a dominant inhibitor of the guanine nucleotide exchange factor (GEF) eIF2B, which disrupts the upcoming round of translation activity. The phosphorylated eIF2 loses the affinity with eIF2B or converts from a substrate to an inhibitor of eIF2B, and hence is unable to re-energise its active state with the help of eIF2B, resulting in disruption of the translation process [11], [12].

There are four important kinases that have a tendency to phosphorylate the eIF2 $\alpha$ , namely general control non-repressible-2 (GCN2), protein kinase double-stranded RNA-dependent (PKR), PKR-like ER kinase (PERK), and heme-regulated inhibitor (HRI) [13]. From the kinases, GCN2 is one of the most highly conserved that activates due to the presence of uncharged tRNA and primarily targets eIF2 [14], [15]. Hence, the kinase GCN2 is a focus in this study and is included in the mathematical model to understand the dynamic aspects of the eIF2 dependent regulatory pathway. The regulatory pathway representing the impact of phosphorylated eIF2 (eIF2-P) on translation activity or protein synthesis is illustrated in Fig. 1. The figure demonstrates eIF2-P as a competitive inhibitor of eIF2B in the form of bold black dots.

Investigations have revealed that the eIF2 pathway is robust against uncertainties and can counteract disturbances created by intrinsic stressors [16], [17]. The robustness properties of biomolecular processes against internal and structural disturbances are well known. However the reasons for this ability to cope against stresses is less well understood [18]–[20]. Hence, this study is focused on investigating the possible reason behind the inbuilt tendency of the highly engineered eIF2 dependent system to counteract intrinsic uncertainties.

In control theory, the application of sliding mode control has been widely acknowledged to efficiently stabilise the uncertain nonlinear systems, such as power systems, biped robots etcetera [21]. Analogous to the highly engineered system, most of the biological systems naturally hold the high level of robustness against disturbances, possibly due to the existence of natural sliding surface within the system which is able to attain the stable sliding motion by reaching the phase where the motion converges from a non-zero initial value to the naturally existing sliding surface and then converges to the origin along that sliding surface.

The remainder of the paper is organized as follows. In Section II, a mathematical model of eIF2 regulatory system is presented. Section III presents the limitations of the linearisation concept of the nonlinear eIF2 model. The role of key nonlinearities in maintaining the temporal behaviour of the model is explored in Section IV. The same section also

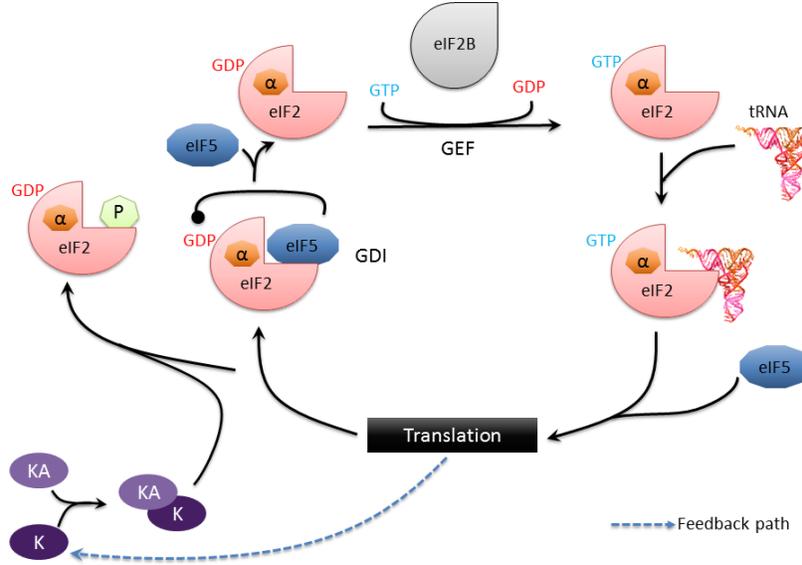


Fig. 1. Impact of phosphorylation of eIF2 on translation initiation machinery. The eIF5 has an additional role of GDP dissociation inhibitor (GDI) in translation initiation along with its established functions in pre-initiation complex assembly and GTPase activating proteins (GAPs) activity. The kinase K (GCN2) is activated by activator KA (uncharged tRNAs) and forming a feedback path for its regulation

investigates the robustness conferring nature of eIF2 pathway against intrinsic stresses. Section V presents the novel theory regarding the possible reason behind the inbuilt tendency of the highly engineered eIF2 system to counteract intrinsic uncertainties. Finally, the paper is concluded in Section VI.

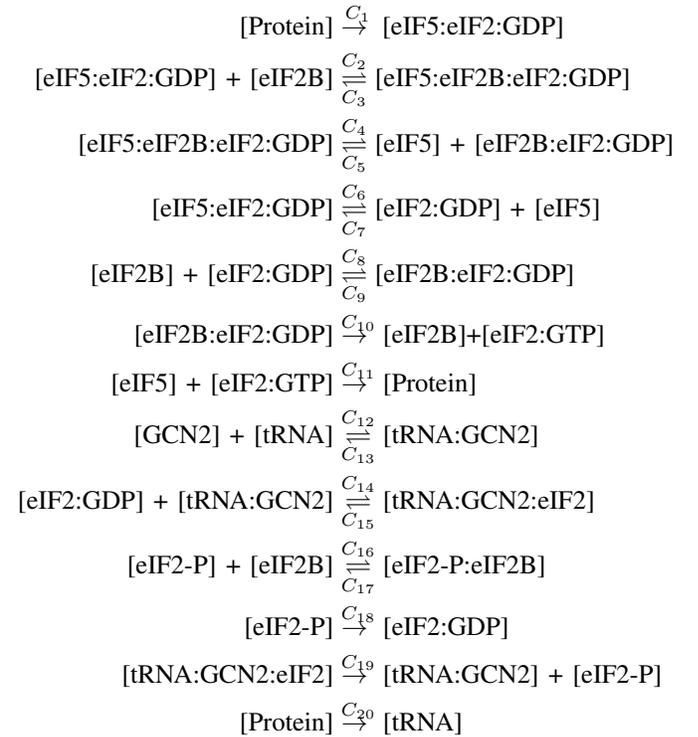
## II. MATERIALS AND METHODS

Molecular reaction network theory is one of the earliest attempts to theoretically model the dynamical behaviour of biomolecular systems. Reaction network theory has also attracted researchers from other communities where nonlinear dynamical systems are examined such as biochemistry, physics, control engineering and theoretical chemistry [22]–[25]. The reaction networks resembling the nonlinear interaction of a large number of biological elements are very complex in nature [26], [27]. Therefore some model reduction transformations or simplifications have been suggested in the literature to reduce the complexity of large reaction networks. Examples include linearisation of the resulting network, model reduction based on quasi steady state analysis and gramian-based input/output balancing [28]–[30]. It should be noted that each species of the regulatory pathway should hold a positivity characteristic during any simplification or reduction process. During simulation the concentration of each species (say  $Y$ ) must satisfy the following proposition.

**Proposition:** The concentrations  $Y_i \forall i \in [1, n]$  of all  $n$  species in a given model have non-negative values, that is  $Y_i \geq 0, \forall t \geq 0$ .

One of the well known approaches to efficiently model regulatory systems is to focus on the details of the core reactions under study [31]–[34]. In addition, it is desirable to combine the theoretical model with experimental data. In the present study, the core reactions constituting eIF2:GDP complexes (refer Fig. 1) are considered for developing a mathematical model, which is comprised of two stages, namely

the unstressed and stressed stages. The unstressed stage is the one in which kinase GCN2 is not active and the translation machinery is uninterrupted. The stressed stage is the one in which phosphorylation of eIF2 takes place due to activation of GCN2, resulting into cease in the translation activity. The overall reaction model of the eIF2 regulatory system is as follows:



The detailed nonlinear ordinary differential equations (ODEs) of the overall reaction model, initial concentrations and rate constant values are described in supplementary files S1, S2 and S3 respectively.

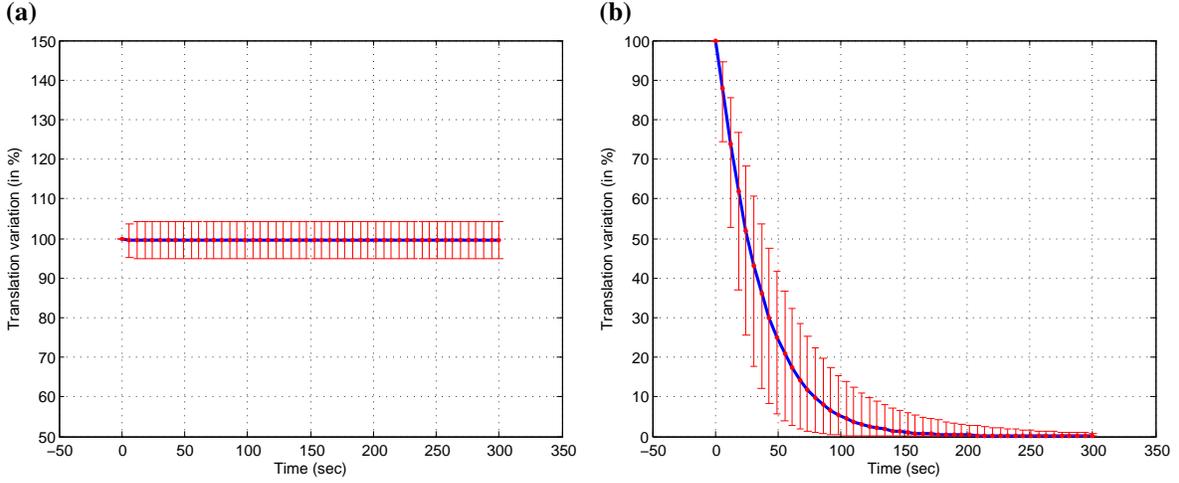


Fig. 2. Impact of mutation induced changes in the rate constants of the pathway for: (a) unstressed stage, and (b) stressed stage, resulted into controlled variation in the overall translation activity from its experimental value. The blue line represents mean behaviour of the eIF2 model for the rate constants described in supplementary file S3, while red error bar represents the deviation of the model from its mean behaviour due to perturbations in the rate constants.

The experimental observations of eIF2 pathway have revealed that the translation activity of the pathway illustrated in Fig. 1 is insensitive to the changes in the levels of eIF2, eIF2B and eIF5 [4], and is also robust against the mutation induced changes in the rate constants [35]. That is, the tolerance characteristic of the eIF2 pathway against intrinsic robustness is naturally controlled might be due to the fact that the pathway is structured in such a way that its output is relatively insensitive to the variations in the micro-environment [36]. Computationally such intrinsic robustness can be visualised by randomly perturbing the rate constants of the model to the limit of  $\pm 50\%$  from its actual values described in supplementary file S3.

Fig. 2 summarises the impact of intrinsic perturbations in the eIF2 pathway for unstressed and stressed stages due to mutation induced changes in the rate constants, which are accordant with the biological observations. The robustness conferring property of the eIF2 pathway against disturbances is well known, however the reasons for this ability is less well understood. From the control theory perspective, it can be proposed that the natural phenomena of intrinsic robustness against perturbations in both the stages is likely due to the pre-existence of a highly engineered natural control within the eIF2 pathway which is helping the system to cope with such uncertainties.

### III. LINEAR APPROXIMATION OF NONLINEAR EIF2 SYSTEM

In order to investigate the ability of the highly engineered eIF2 system to counteract uncertainties, it is necessary to first simplify or linearise the developed nonlinear ODE model. Linear models can be efficiently analysed in the frequency domain which can predict the non-trivial states of the system that are responsible for maintaining its basal activity [17]. The linearisation process is helpful in approximating the higher order nonlinear system by a lower order linear system, through which the local behaviour of nonlinear systems can be estimated around the equilibrium point [37]–[39]. The

feasible equilibrium point of the eIF2 pathway is given in supplementary file S4.

The generalised form of the nonlinear mathematical model described in supplementary file S1 can be defined as follows:

$$\dot{Y}(t) = f(Y, t) \quad (1)$$

where,  $Y$  is the non-negative concentration of the species and  $t$  is the evolution time. The state space representation of the approximate linear model around equilibrium point  $Y^{eq}$  using the Jacobian matrix  $A$  of the vector  $f(\cdot)$  can be re-written in the form:

$$\dot{Y}(t) = AY(t) \quad (2)$$

The diagonal elements of  $A$  (presented in the supplementary file S5) represent the behaviour of the species due to reversible (or irreversible) interaction, whereas non-diagonal elements represent interactions between species. In order to compare the performance of the linear and nonlinear models,  $Y^{eq}$  is considered as an initial condition of the nonlinear model, which has been perturbed by a small amount  $\delta$  and then the same  $\delta$  value is used as an initial condition of the corresponding linear model.

The comparison of steady states of both models for different values of  $\delta$  is given in supplementary file S6. Observe that as the value of  $\delta$  increases, the difference between the models becomes substantial.

In order to investigate the origins of robustness within the system with the help of applied control theory, the overall system is converted into a single input single output (SISO) system by eliminating the dynamics of uncharged tRNA and considering it as a control input  $u$  [17].

Consider the nonlinear system described in supplementary file S1 where the dynamics of uncharged tRNA has been eliminated. The generalised state space representation of the system can be written in the following SISO form:

$$\dot{Y}(t) = \mathbb{Y}(Y, t) + \mathbb{B}(Y(t))u \quad (3a)$$

$$Z(t) = Y_1(t) \quad (3b)$$

where,  $\mathbb{B}(Y(t)) = [0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ -C_{12}Y_9 \ C_{12}Y_9 \ 0 \ 0 \ 0 \ 0]^T$ ,  $Z(t)$  is the output signal or translation rate, and vector  $Y = [Y_1 \ Y_2 \ \dots \ Y_{12} \ Y_{14}]^T$ .

Investigations illustrated in supplementary file S7 have revealed that linearising the nonlinear SISO model in order to analyse the trivial species in the eIF2 pathway using the matched DC gain method [40] produces unfeasible temporal behaviour such as a substantial difference in the transient behaviour of models and concentrations of kinase and kinase-activator reaching negative values at certain physiological time instants. In order to cope with the problem of the infeasible behaviour of the system, the key nonlinearities of the system have been estimated and restored. This is necessary to preserve the overall transient behaviour of the system, and hence the approximate model can be used to estimate the origins of robustness within the highly engineered eIF2 dependent system that are responsible for counteracting the intrinsic uncertainties.

#### IV. RESTORING KEY NONLINEARITIES WITHIN THE EIF2 SYSTEM

As stated earlier, linearisation is a helpful tool in simplifying the nonlinear interactions between the species, so that the individual effect of each parameter can be investigated. However linearising the whole system may produce an unacceptable transient response. Therefore in this section emphasis is given to illustrating the role key nonlinearities play in maintaining the temporal behaviour of the system.

Consider a SISO system defined in eq.(3), which can be rewritten in the generalised state space form:

$$\dot{Y}(t) = \hat{\mathbb{Y}}(Y, t) + \varphi(Y, t) + \mathbb{B}(Y(t))u \quad (4a)$$

$$Z(t) = Y_1(t) \quad (4b)$$

where, the term  $\varphi(Y, t)$  represents important nonlinearities of the system. Note that,  $\mathbb{Y}(Y, t) = \hat{\mathbb{Y}}(Y, t) + \varphi(Y, t)$ . Now, linearising the whole system expect  $\varphi(Y, t)$  around an equilibrium point will result in the partially linearised SISO model given in supplementary file S8. The generalised state space system of the model can be defined as:

$$\dot{Y} = \hat{A}Y + \varphi(Y, t) + Bu \quad (5a)$$

$$Z = DY \quad (5b)$$

where  $\hat{A}$  is a Jacobian matrix,  $B$  and  $D$  are constant matrices, and  $\varphi(Y, t)$  is a nonlinear vector defined as:

$$\varphi(Y, t) = [0 \ 0 \ -\gamma \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ -\gamma \ \gamma \ 0]^T$$

where,  $\gamma = C_{16}Y_3Y_{11}$ . The above system which includes key nonlinearity is expected to provide better temporal behaviour than a completely linearised system when compared with the original nonlinear system. The figures in supplementary file S9 illustrate the important role of key nonlinearities within the eIF2 system in maintaining the temporal behaviour of the model. It is worth noting that the partially linearised SISO system should not only possess approximate temporal behaviour but also exhibit similar stability properties to those exhibited by the nonlinear SISO system.

Consider a candidate Lyapunov function  $V(Y, t)$  for the eIF2 system defined in supplementary file S8:

$$V(Y, t) = \sum_{i=1}^{14} Y_i + Y_1 + Y_2 + 2Y_4 + Y_6 + Y_{10} + 2Y_{14} \quad (6)$$

where  $V(0, t) = 0$  and  $V(Y, t) > 0, \forall Y \neq 0$ .

According to Lyapunov theory, the system is stable if the temporal derivative of  $V(Y, t) \leq 0$ . Following the Lyapunov stability theorem, the eIF2 system is said to be stable if  $\dot{V}(Y, t)$  for the eIF2 system is negative semidefinite. The temporal derivative of eq.(6) can be defined as:

$$\dot{V}(Y, t) = \sum_{i=1}^{14} \dot{Y}_i + \dot{Y}_1 + \dot{Y}_2 + 2\dot{Y}_4 + \dot{Y}_6 + \dot{Y}_{10} + 2\dot{Y}_{14} \quad (7)$$

$$= -(C_{16}Y_3Y_{11} + C_{17}Y_{12} + C_{20}Y_1) \quad (8)$$

Since  $C_{16}$ ,  $C_{17}$  and  $C_{20}$  are non-negative rate constants, from eq.(8) it is evident that  $\dot{V}(Y, t)$  is negative semidefinite. Therefore the approximate eIF2 system defined in supplementary file S8 is stable. Further, it can be observed that perturbing the rate constants will have no impact on the overall stability of the dynamical system. This observation leads to the conclusion that the eIF2 pathway is a highly engineered system that can efficiently tolerate intrinsic perturbations without compromising the overall stability characteristics.

#### V. EXISTENCE OF NATURAL SLIDING SURFACES WITHIN THE EIF2 REGULATORY MODEL

In control theory, model uncertainties can be divided into two forms, namely matched and unmatched uncertainties. Generally, in a given dynamical system if uncertainty lies in the input channel, then this type of uncertainty is known as matched uncertainty. On the other hand, unmatched uncertainty corresponds to uncertainty acting in channels that are not implicit in the input channels. Note that, in engineering systems not all unmatched uncertainty can be rejected by design of a control but an appropriate control will reject matched uncertainty completely [21].

The motivation for considering this theory is to provide evidence of robust conferring feature in the biomolecular systems against intrinsic disturbances. That is, the biomolecular systems are robust and relatively insensitive to alterations in their internal parameters and are able to adapt to changes in their micro-environment. In this section, matched uncertainties are embedded in the eIF2 system to investigate the reason behind the inbuilt tendency of the eIF2 regulatory system to counteract uncertainties. The supplementary file S10 defines the mathematical model of an eIF2 regulatory pathway with key nonlinearities and matched uncertainties.

Fig. 3 shows the inbuilt ability of the eIF2 dependent regulatory model, which includes key nonlinearities, to counteract matched uncertainties. In other words, the pathway is able to completely reject matched uncertainty. It is observed from Fig. 3 that perturbing the value of  $C_{13}$  to 100% from its reference value has no effect on the translational behaviour (red solid line) with respect to the behaviour of the SISO model including key nonlinearities (green dotted line). Fig. 4

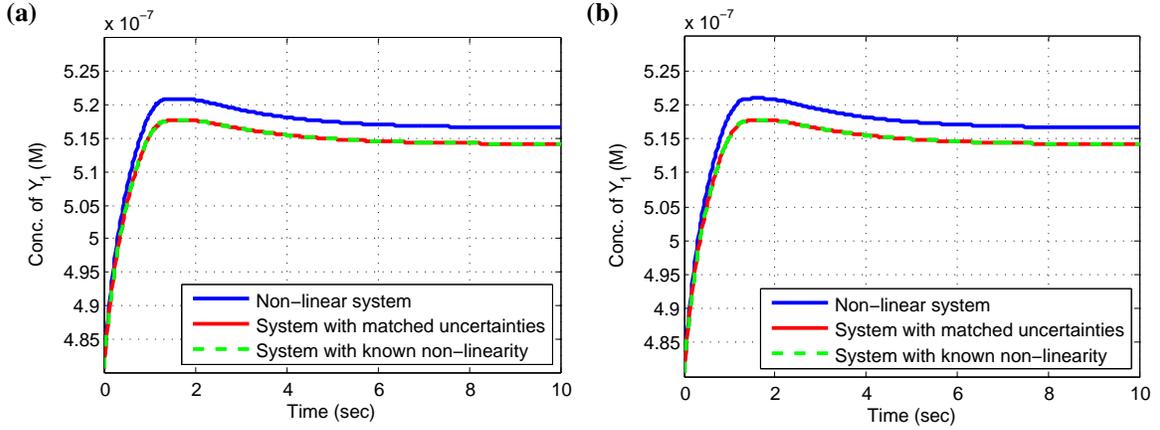


Fig. 3. Comparison of translation rate of nominal model, model with known nonlinearity and uncertain model with known nonlinearity around equilibrium point for: (a)  $\delta = 10^{-1}$  and  $\Delta C_{13} = 10^{+2} \times C_{13}$ , and (b)  $\delta = 10^{-1}$  and  $\Delta C_{13} = 10^{-1} \times C_{13}$

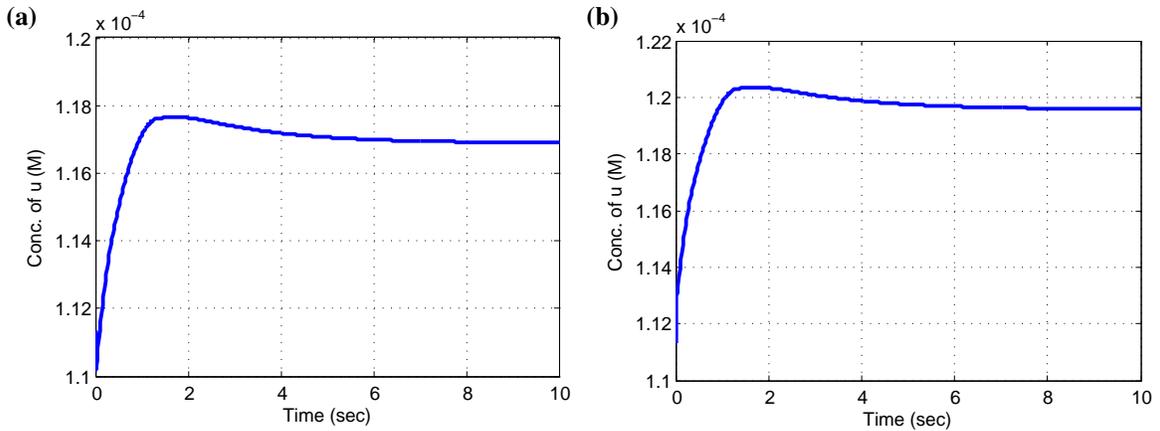


Fig. 4. Change in control  $u$  for: (a)  $\delta = 10^{-1}$  and  $\Delta C_{13} = 10^{+2} \times C_{13}$ , and (b)  $\delta = 10^{-1}$  and  $\Delta C_{13} = 10^{-1} \times C_{13}$

shows the time evolution of the control  $u$  that is counteracting the effect of matched uncertainty in the eIF2 regulatory system.

The above observation asserts that the eIF2 dependent regulatory model is able to counteract matched uncertainties by natural control and making it robust against such uncertainties. One of the reasons behind such tolerance may be the existence of attractive natural sliding surfaces within the system which prescribe an appropriate stable sliding motion. The regulation within the system would effectively ensure a corresponding reachability condition is satisfied, which effectively ensures the sliding motion is established. In sliding mode control, motion of the system is divided into two phases: the first phase is generally called the reaching phase where motion converges from a non-zero initial value to the sliding surface, and the second phase of the motion is called the sliding phase during which the system converges to the origin along the sliding surface. The reachability condition accommodates the nonlinearities within the system and robustness properties with respect to parameter and modelling uncertainty are exhibited. Hence, the reachability analysis provides a dynamical condition for the translation response to reach and maintain the steady state. Satisfaction of both conditions ensures that system output is

not affected by matched uncertainty and indicates the system includes a natural sliding surface which results in an ability to counteract uncertainty.

In order to verify the validity of this theory within the nonlinear system, consider the following nonlinear sliding surface for the eIF2 dependent regulatory model that has a relative degree of two.

$$S = Y_1 Y_{14} \quad (9)$$

A nonlinear sliding function is chosen to fulfil both sliding and reaching conditions which is essential for counteracting matched uncertainties within the eIF2 dependent regulatory system. Note that the existence of a unique nonlinear sliding surface for both the non-stress and stress cases assures accomplishment of sliding motion and instigation of the natural tendency for robustness. In order to investigate the reachability condition, the derivative of the sliding surface is computed as follows:

$$\dot{S} = \dot{Y}_1 Y_{14} + Y_1 \dot{Y}_{14} \quad (10)$$

$$= -(C_1 + C_{20} + C_{15} + C_{19}) Y_1 Y_{14} + C_{11} Y_5 Y_8 Y_{14} + C_{14} Y_7 Y_{10} Y_1 \quad (11)$$

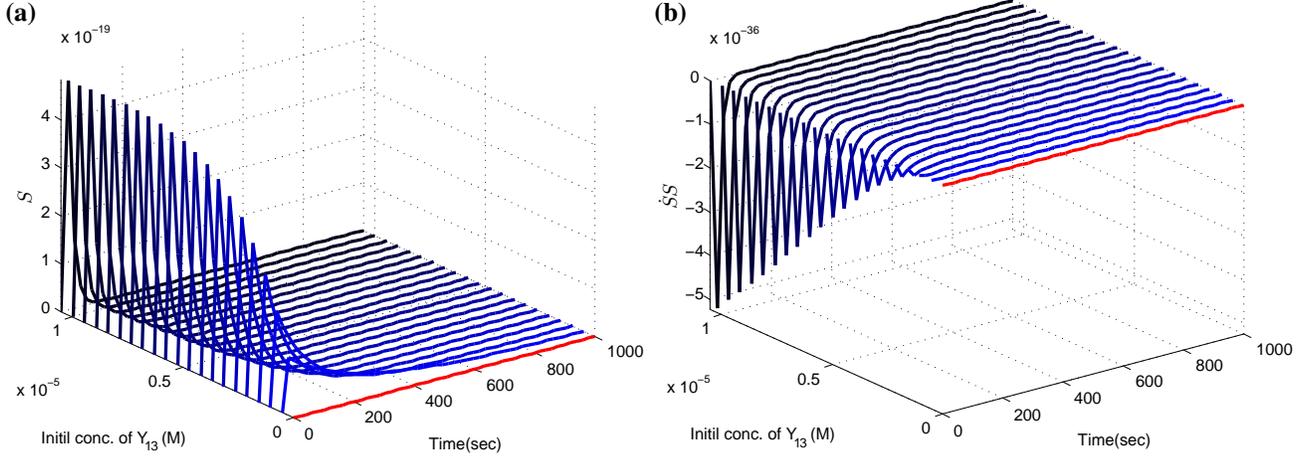


Fig. 5. Time evolution of: (a) sliding surface ( $S$ ), and (b)  $\dot{S}$  for varying concentration of uncharged tRNA

Considering both cases in the eIF2 pathway, the eq.(11) becomes:

**Case I: Unstressed condition**

Under nominal or unstressed condition, the accumulation of uncharged tRNA remains nil, which fails to induce any stress complexes into the nominal system, that is complexes such as tRNA:GCN2 ( $Y_{10}$ ), tRNA:GCN2:eIF2 ( $Y_{14}$ ) etcetera remain in an inactive state resulting in zero concentration.

**Case II: Stressed condition**

In the stressed condition, when the stress dynamics enters the eIF2 system, the uncharged tRNA starts accumulating which induces the stress complexes into the system resulting into cessation of protein synthesis ( $Y_1$ ) due to its dependency on core eIF2 complexes such as eIF2:GDP, eIF2:GTP ( $Y_8$ ) etcetera.

Considering the above cases, it can be seen that when the system moves from non-stress to stress condition or vice-versa, the temporal derivative of  $S$  reaches zero. Fig. 5 illustrates the transient response of the sliding surface for varying concentration of uncharged tRNA. When the reachability condition is fulfilled, it follows that  $S = \dot{S} = 0$ . Observing Fig. 5, it is evident that in the unstressed case the motion is strictly taking place on the constraint manifold, i.e.  $S = 0 \forall t$  and  $\dot{S} = 0 \forall t$ . Hence it can be established that in both unstressed and stressed cases, the sliding phase and reaching phase have been fulfilled i.e. the function  $S$  reaches zero at finite time  $t$  and  $\dot{S} \leq 0$ .

Note that, in the scenario of biomolecular systems, satisfaction of the reachability condition gives rise to two distinct cases:

**Case I: When  $Y_1 = 0, Y_{14} \neq 0$**

$$\begin{aligned} \dot{S} &= Y_1 \dot{Y}_{14} + \dot{Y}_1 Y_{14} = 0 \\ \Rightarrow \dot{Y}_1 Y_{14} &= 0 \Rightarrow \dot{Y}_1 = 0 \\ \Rightarrow -C_1 Y_1 + C_{11} Y_5 Y_8 - C_{20} Y_1 &= 0 \\ \Rightarrow C_{11} Y_5 Y_8 &= 0 \end{aligned}$$

The above case is biologically infeasible because in the unstressed case  $C_{11} Y_5 Y_8 \neq 0$ .

**Case II: When If  $Y_1 \neq 0, Y_{14} = 0$**

$$\begin{aligned} \dot{S} &= Y_1 \dot{Y}_{14} + \dot{Y}_1 Y_{14} = 0 \\ \Rightarrow Y_1 \dot{Y}_{14} &= 0 \Rightarrow \dot{Y}_{14} = 0 \\ \Rightarrow C_{14} Y_7 Y_{10} &= 0 \end{aligned}$$

The aforementioned equality is biologically feasible under both stressed and unstressed cases, because in the unstressed case the concentration of tRNA:GCN2 ( $Y_{10}$ ) is zero while the concentration of eIF2:GDP ( $Y_7$ ) is non-zero, and as the stress dynamics enters the concentration of eIF2:GDP falls to zero while the concentration of tRNA:GCN2 increases.

Observing Case II, it can be asserted that when the reachability condition for a sliding mode is satisfied, the dynamics of the system self regulates itself and the system is driven towards a naturally existing sliding manifold and remains on it.

## VI. CONCLUSION

In this paper the inbuilt robustness tendency of the eIF2 dependent regulatory model has been explored with the help of a mathematical model and applied control theory. In order to explore the origins of the robustness conferring properties of the eIF2 system, a linearisation concept has been adopted. The study indicates that entirely linearising the eIF2 system around an equilibrium point results in unacceptable system behaviour. Therefore a key nonlinearity within the eIF2 system has been identified and restored within the model. A simplified mathematical model results which exhibits comparatively closer temporal behaviour to that of the original nonlinear system. The investigations have revealed that the eIF2 pathway is robust to intrinsic uncertainties and is able to efficiently counteract them without affecting system stability. One of the possible reasons behind this tendency of highly engineered eIF2 system to exhibit robustness against intrinsic perturbations is the existence of an attractive natural sliding surface within the eIF2 system that satisfies reaching and sliding conditions through which the system is able to counteract efficiently the disturbances.

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