

# 1 **Predicting Non-Residential Building Fire Risk Using Geospatial** 2 **Information and Convolutional Neural Networks**

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## 9 **Abstract**

10 Building fire risk prediction is crucial for allocation of building inspection  
11 resources and prevention of fire incidents. Existing research of building fire  
12 prediction makes use of data relating to local demography, crime, building use and  
13 physical building characteristics, yet few studies have analysed the relative  
14 importance of predictive features. Furthermore, image features relating to  
15 buildings, such as aerial imagery and digital surface models (DSM), have not been  
16 explored. This research presents a multi-modal hybrid neural network for the  
17 prediction of fire risk at the building level using the London Fire Brigade dataset.  
18 The inclusion of traditional and novel image features is assessed using Shapley  
19 values and an ablation study. The ablation study found that while building use is  
20 the most effective contributor of classification performance, demographic features,  
21 apart from social class, are detrimental. Moreover, while the DSM did not lead to  
22 any significant improvement in classification performance, the inclusion of the  
23 aerial imagery feature lead to a 4% increase in median validation ROC AUC. The  
24 final model presented achieved an ROC AUC of 0.8195 on the test set.

## 25 **1. Introduction**

26 Fire related incidents impact human communities across the globe, posing a threat to  
27 human life, damaging property, and hindering productivity in their wake. Recent  
28 advances in fire safety have aided a decrease in the total number of fires in the United

29 Kingdom from 473,000 in 2003/04 to 162,000 in 2016/17 (Bryant and Preston, 2017).  
30 While more effective fire safety and incident response systems have brought total  
31 casualties down, the costs associated with property loss due to fire have remained high  
32 since 1990 in the United States, residing at a value of \$13.2 billion as of 2014 (Zhuang et  
33 al., 2017). Due to such cost associated with fire, municipal fire brigades, such as The City  
34 of Pittsburgh's Bureau of Fire (Madaio et al., 2018) conduct inspections on properties to  
35 assess properties deemed to have a high risk of fire. Owing to the high number of potential  
36 properties it is not possible to carry out inspections on all buildings on a regular basis  
37 (Pringle and Welsh, 2015). Approaches to inspection allocation, employed throughout  
38 the world, rely on the analysis of the relationship between building fire incidents and  
39 potential determinant variables, ranging from physical building characteristics to  
40 sociodemographic factors, to focus efforts where they are needed. For this reason,  
41 numerous studies have focussed on finding effective means by which properties at high  
42 risk of fire can be more readily identified (Dang et al., 2019; Walia et al., 2018). By  
43 adopting such methods, fire brigade services can reduce their operational costs and more  
44 fires may be prevented. Although several studies have employed data regarding fire  
45 inspection, demography, and commercial information to classify non-residential building  
46 fire risk, the use of aerial imagery and digital surface models (DSMs) was rarely explored.  
47 Furthermore, while the datasets used are effective in their task, little research has  
48 investigated these variables as individual contributors to classification performance.  
49 Risk prediction models have been implemented extensively in a range of subject areas,  
50 such as medicine (Preuschoff, Quartz and Bossaerts, 2008) and economics (Kuester et  
51 al., 2006). Although academic work has been dedicated to the task of predicting non-  
52 residential building fire risk, there has been a relative lack of studies that explore feature  
53 impact on classification performance and none have explored the use of aerial imagery

54 and DSMs as features. In the context of machine learning ML, the term feature denotes a  
55 variable that is used to predict an output.

56 To focus fire risk inspection efforts to areas within a city where risk of fire a greater, some  
57 studies have grouped areas of higher building fire incidence together and treated them as  
58 a whole. In a randomized control trial with Surrey Fire Services, British Columbia, Claire  
59 et al. (2012) indicated zones of high residential building fire risk within the study area for  
60 a smoke alarm installation initiative. They then mapped residential structure fires as point  
61 features before a series of ellipses were drawn to capture points within zones containing  
62 high concentrations. A drawback of such an approach is the subjective nature of the  
63 methods. In contrast, DaCosta et al., (2015) joined American Housing Survey and  
64 American Community Survey datasets then used a Random Forest to model residential  
65 fire risk at census block level. Such a method, whereby regional open data is aggregated  
66 will also be adopted in this study. Increasingly, studies concerned with prediction of fire  
67 risk are looking to a more granular approach where individual building fire risk is  
68 considered. Garis and Clare (2014) developed a commercial building fire risk framework  
69 based on physical building characteristics in conjunction with history of fire regulation  
70 compliance. Although this system is heavily based on specifics of local fire safety  
71 regulation, their method builds a systematic approach to fire safety inspection resource  
72 allocation. To prioritise fire inspection to higher risk commercial properties, Madaio et  
73 al., (2015) joined historical fire incident and inspection records at the building-level with  
74 U.S. census data, such as age, ethnic population, and income, at a more regional level.  
75 They also used crime incident records and Google Place API data to get up to date  
76 business information. The area under curve (AUC) for true positive rate against false  
77 positive rate obtained from random forest and SVM models was 0.813 and 0.805,  
78 respectively, suggesting that both algorithms used produce a good separation of the

79 classes. Although this research refers to data sources used, the features themselves are  
80 not described in detail. The current study draws some parallels from Madaio et al., (2015)  
81 in that Google Places API and demographic data will be used to gather information to aid  
82 fire risk predictions at the building scale.

83 Madaio et al., (2015) briefly touch on feature importance in their modelling. They find  
84 that for the random forest model, features relating to building size and its physical  
85 characteristics have the greatest impact on their output. In contrast, they then construct a  
86 logistic regression model and analyse feature coefficients which suggest that the Google  
87 Places feature has the greatest importance. Dang et al. (2019) used data provided by  
88 Humberside Fire and Rescue Service regarding property inspections and fire severity in  
89 conjunction with publicly available open data on food hygiene and Google Places ratings,  
90 among others, to build a commercial fire risk model. They experiment with several  
91 different learning algorithms.

92 While the multi-layer perceptron model they implemented attained an area under curve  
93 (AUC) score of 0.78, an AUC score of 0.89 for an XGBoost model was also achieved.  
94 Although this study represents the highest performing classification of commercial  
95 building fires in the literature, it does not give much consideration to analysis of features  
96 as individual contributors to classification performance.

97 Whilst there is some crossover in mutually used features in Madaio et al., (2015) and  
98 Dang et al., (2019), there is little rationale given for features used and attempts made to  
99 analyse the classification performance contributions of individual features are  
100 inconsistent within studies. In contrast to using a feature set that includes demographic  
101 variables, Hong and Jeong (2018) conducted a study whereby 16 features relating to  
102 physical building characteristics and fire history were used to make fire predictions of  
103 fire risk. Data was used to train support vector machine SVM, Naive Bayes, Decision tree

104 and artificial neural networks ANN models. The SVM had highest test set accuracy  
105 overall at 63.54%. While many features used by Hong and Jeong (2018) relating to  
106 physical building characteristics were not available for this study, it is hypothesised that  
107 the use of aerial imagery and DSMs may supplement physical features that they used.

108 Although this study is only concerned with predicting fire risk for buildings, by using  
109 features based on aerial imagery, similarities are shared with studies in wildfire prediction  
110 and land use classification (Collins et al., 2020; Oliveira and Zêzere 2020), building  
111 assessment (Monfort et al., 2019), potential of rooftop solar energy (Schunder et al.,  
112 2020). Mitri et al., (2015) used pixel segmentation and subsequent object classification  
113 to determine presence of different wildfire fuels (e. g. grass, shrub, etc.) to discover areas  
114 susceptible to combustion.

115 In ML a hybrid model is a combination of two or more existing algorithms to produce a  
116 single output that can make use of different forms of data related to the same task. Such  
117 architectures have been implemented using CNN models for multiple image features  
118 (Wang et al., 2018) and ANN models for features of varying structure (Audebert et al.,  
119 2019). This method has advantages over running models separately as the error can be  
120 calculated over the entire network. Audebert et al., (2019) trained a hybrid model for  
121 classification of documents from image and textural input which attained a higher  
122 performance than the two separate models. A hybrid model will be implemented in this  
123 study to make use of multiple features of varying formats for each training example.

124 Convolutional neural networks (CNN) for risk-based classification of image data have  
125 been used more widely in the field of medical research than any other subject. Wang et  
126 al., (2018) uses three image features of lung scans to predict the malignancy risk of  
127 pulmonary nodules through use of a CNN. In their methodology they compare a hybrid  
128 CNN (whereby three image inputs per training example are inputted to a multi-branch

129 CNN) with a multi-channel fusion CNN (where three image inputs are layered on of one  
130 another to produce a single tensor then propagated through a single-branch CNN). They  
131 achieve multi-channel and fusion channel AUC of 0.93 and 0.97, respectively. Although  
132 Wang et al., (2018) achieve a greater AUC on the fusion-channel model, the multi-branch  
133 CNN approach makes more sense for this study as the inputs require merging with  
134 additional dimensionally dissimilar tabular data before a final classification.

135 Urban street view imagery has been successfully implemented in several studies to extract  
136 useful information about the built environment. Liu et al. (2017) used street view imagery  
137 to classify construction and maintenance quality of buildings in Beijing. The model they  
138 produced achieved an F1 score of 61.8%, suggesting that computer vision can be  
139 implemented to classify quality of buildings to some extent. Similarly, Law et al., (2018)  
140 produced a CNN model that classified street view imagery by aesthetic street frontage  
141 quality. Furthermore, Law et al., (2018) used aerial imagery in conjunction with street  
142 view imagery to estimate house prices. This research relates to these studies in that an  
143 attempt will be made to gain information regarding the built environment through  
144 computer vision.

145 Numerous studies have investigated classifying roof types of buildings. In a study  
146 concerned with building detection and roof type classification from aerial imagery  
147 Alidoost and Arefi (2018) used labelled instances of roof types to achieve a classification  
148 accuracy of 92% with a CNN model. Such research suggests that ML algorithms have the  
149 potential to classify areal characteristics of buildings.

150 Our study will address this gap in the literature by exploring the use of aerial imagery and  
151 DSMs, and assess the relative importance of these in addition to traditional variables for  
152 non-residential building fire risk classification using CNN. Moreover, we assess the  
153 ability of traditionally used features in building fire risk prediction. We do this using a

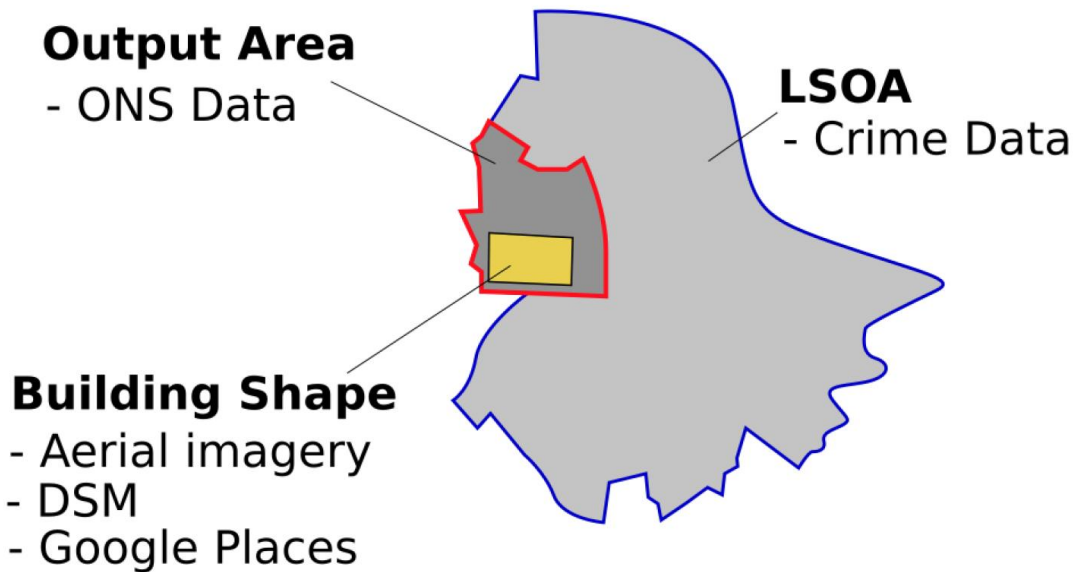
154 feature attribution method, named Shapley values, to understand the importance of  
155 feature values towards the prediction; and conduct a feature ablation study to assess the  
156 impact of each individual feature.

## 157 **2. Material and methods**

### 158 **2.1 Data**

159 The following section details the procedure taken and rationale behind the  
160 implementation in the data pre-processing and methodological stages of the study. Scripts  
161 used in this research can be found in a Github repository and accessed via the URL in  
162 supplemental materials. The tabular data included in the predictive modelling of non-  
163 residential building fire risk was chosen due to them being included in previous studies.  
164 This allows better comparison of the results of this work with other models constructed  
165 in the literature. While some data sets like those used previously have been implemented  
166 here, data availability has been a constraining factor. Many variables used in this study  
167 have been attributed to buildings via spatial joins, summarised in Figure 1. An assumption  
168 of this method is that data collected for a building is representative of that building, the  
169 people who use it and the processes that occur within or around it. Furthermore, it is  
170 assumed that data of a more regional resolution that is attributed to individual buildings  
171 is also representative of the social characteristics at a local level.

172 Due to the investigation of the inclusion of imagery and surface models in prediction  
173 being a central aim of this study, the approach chosen has been influenced by methods  
174 where image data may be an input to the model. An ANN was chosen as the algorithm to  
175 be used as they have been successfully implemented in recent years in the field of image  
176 classification and can accept multiple data types as inputs to the model (e.g. tabular data  
177 and imagery) (Geiß et al., 2020; Kim et al., 2020a, 2020b).



178

179 Figure 1: Diagram illustrating how data from varying levels of spatial attribution have

180 been merged to represent individual buildings, LSOA = Lower super output area

### 181 *2.1.1 London Fire Brigade Incident Data*

182 The London Fire Brigade (LFB) provide information regarding all fire incidents reported

183 from 2009 to present (London Fire Brigade, 2011). This was used in order to find

184 locations of fire incidents. The accuracy to which the incident location is recorded varies

185 within the dataset. This is recorded with an address qualifier variable that states, for

186 example, whether the incident location is correct or accurate to the street on which it

187 occurred. When the proportion of building category fires in the dataset is compared to the

188 proportion of building category fires recorded at the correct incident location (figure 1) it

189 can be seen that there is a bias towards collecting the correct location information for non-

190 residential fires. Although only non-residential building fires are the subject of this study,

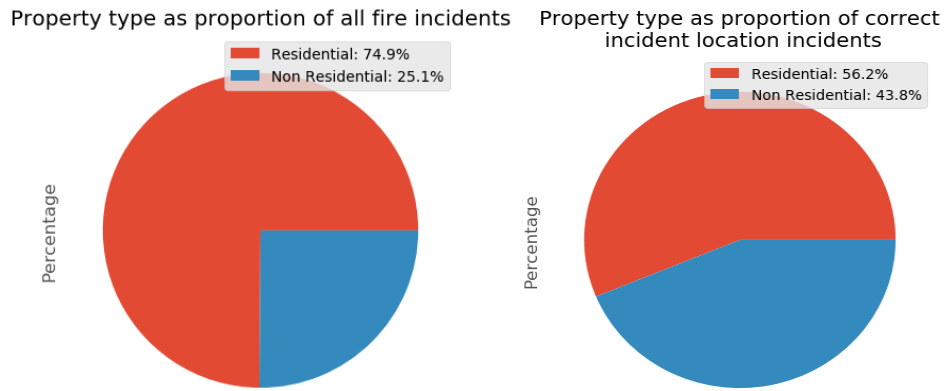
191 this bias suggests that there are some inconsistencies within the ways that the data is

192 collected. While this is only apparent in a contrast between how residential and non-

193 residential buildings are handled, it is not clear whether there exists a bias within the way

194 that non-residential building fire locations are recorded.





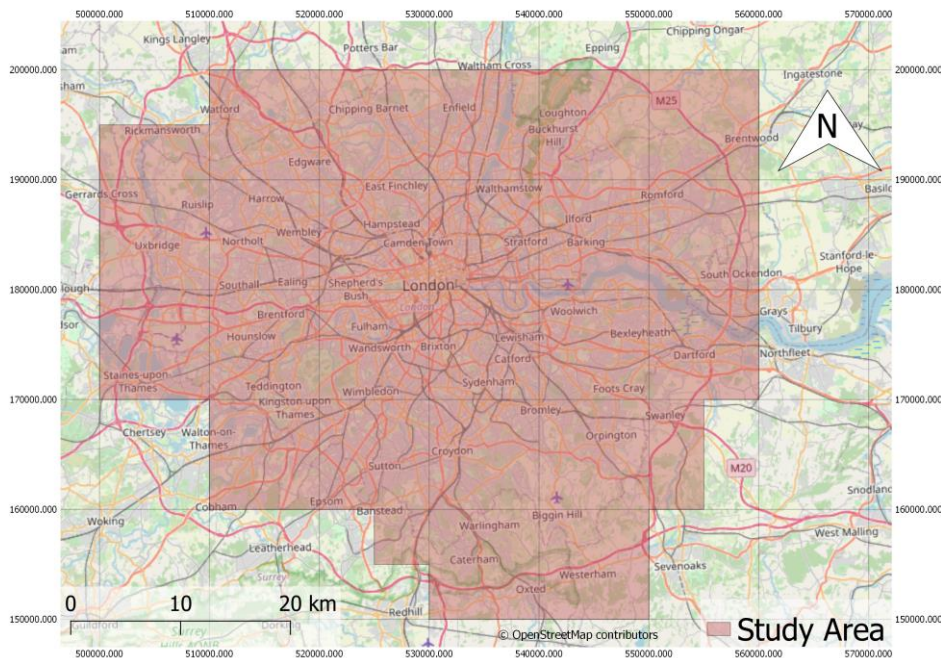
195

196

Figure 2: Proportions of property types for (a) all fire incidents in dataset and (b) fire incidents

197

with correct location



198

199

Figure 3: Study area used in this investigation, Greater London

200

The geographic study area is based on the spatial extent of the LFB dataset. This covers

201

the area of Greater London and is presented in figure 3.

202

203

This study is concerned with fires whose severity may have been impacted by building

204

design. For this reason, all fires in the dataset were filtered for those that were primary

205

fires. Primary fires are generally more serious and caused more damage than other

206

categories of fire (Home Office, 2020). The address qualifier variable, stating to what

207 degree of accuracy the incident location is recorded was used to filter incidents for those  
208 that are recorded at an accuracy of being in the correct building or greater. The location  
209 of the incidents were recorded in British National Grid, which was used to convert the  
210 tabular data into point features.

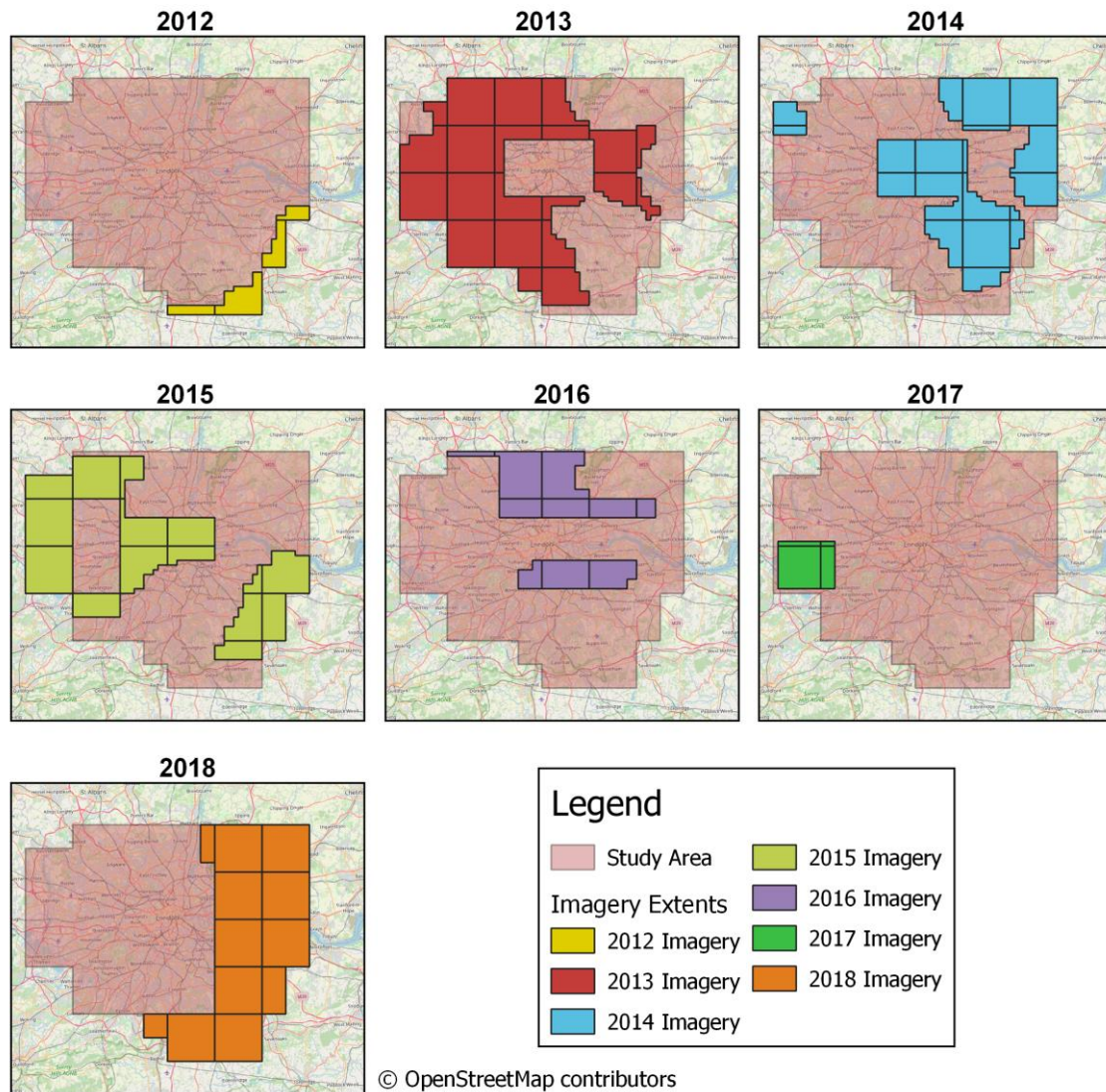
### 211 *2.1.2 Ordnance Survey MasterMap*

212 Ordnance Survey MasterMap (MM) is a database of shapefiles recording every fixed  
213 feature larger than a few metres in Great Britain (Ordnance Survey, 2020). This data was  
214 used to obtain the shapes of buildings used for clipping aerial imagery and DSMs. This  
215 data was accessed and downloaded from Digimap (Edina, 2019). Multiple versions of  
216 MM were been downloaded to match the annual extents of the aerial imagery.

217 MasterMap shapefiles covering the area of Greater London were collected for all years  
218 available within the timespan of the London Fire Brigade (LFB) dataset. After being  
219 filtered for buildings, LFB incident points were grouped annually, and a spatial join was  
220 performed between the LFB points and the version of MM building polygons closest to  
221 the time of the LFB incidents. All points that did not fall within a building shapefile were  
222 eliminated from the data set.

### 223 *2.1.3 Aerial Imagery and Digital Surface Model*

224 The vertical aerial imagery used in this study was obtained using EDINA Aerial Digimap  
225 Service (Edina, 2019) and collected by Getmapping (Getmapping, 2019). Although  
226 satellite imagery may have been available for use in this study, the flight captured imagery  
227 had a resolution of 25cm ground sample distance (GSD), greater than any other open  
228 aerial imagery data available at the time this research was conducted.



229

230 Figure 4: Annual spatial coverage of aerial imagery used in the study (10km tile grid)

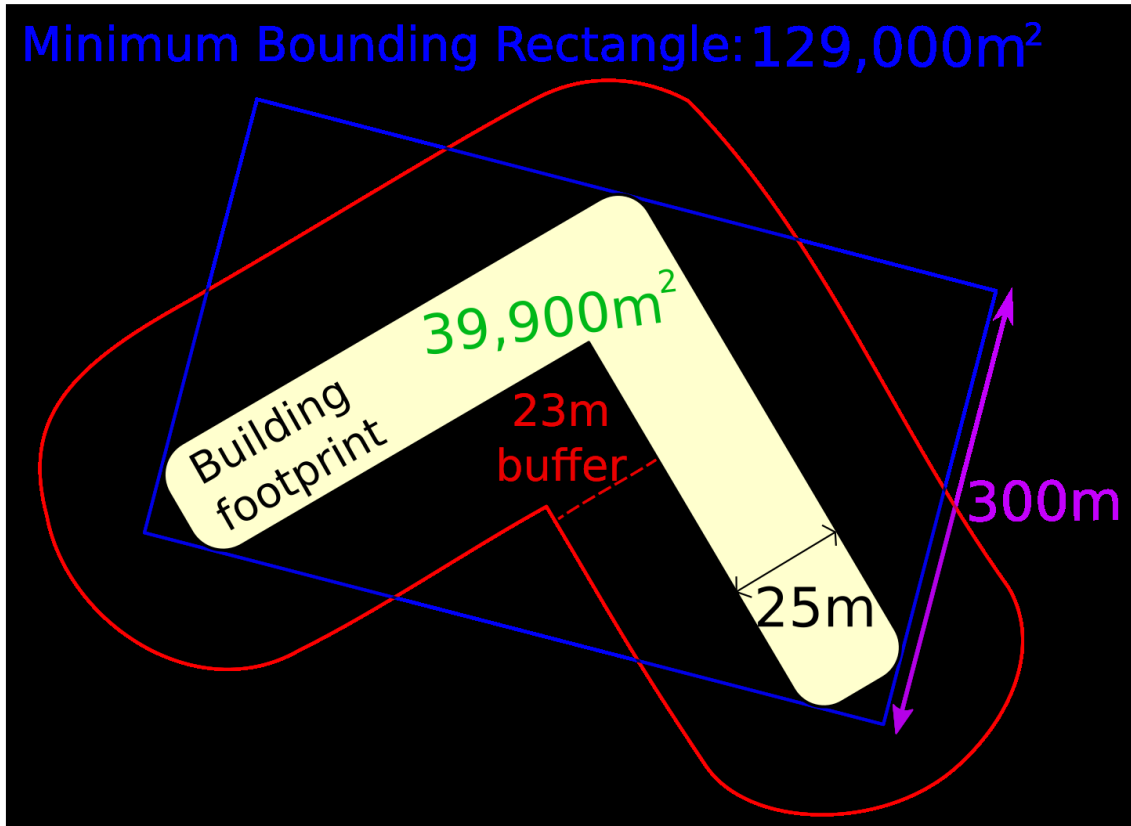
231

232 Aerial imagery used was collected between 2012-2018, however different extents of the  
 233 study area were collected each year (figure 4), with each point on the ground being  
 234 revisited every 3-4 years. Georeferencing had already been performed on the imagery and  
 235 this was provided in the form of JGW files. The imagery was not orthorectified so some  
 236 building lean exists in the data. The digital surface models were accessed from EDINA  
 237 DigiMap (Edina, 2019) and consist of a LiDAR composite digital surface model. The  
 238 data was collected by the Environment Agency from 2006 onwards and is available under

239 the Open Government Licence for public sector information (Environment Agency,  
240 2020). The dataset has a vertical accuracy of  $\pm 15$ cm RMSE and a spatial accuracy of  
241  $\pm 40$ cm RMSE (Environment Agency, 2020). As the resolution of the dataset is 1m,  
242 greater than the mean spatial error, the spatial error has negligible impact in this  
243 application.

244 Imagery metadata was used to determine the date that each image was taken. Because the  
245 appearance of, or even the buildings themselves, can change over time it was decided that  
246 only imagery that was taken within a year prior to a fire incident would be used. The  
247 recorded incident date was used to find relevant imagery. In contrast, the DSM was much  
248 more mixed in terms of when it was collected and processed, for instance some individual  
249 tiles have data collection spanning several years so it is difficult to determine the exact  
250 time in which the DSM for a building was collected. While building structures do change  
251 over time, they are not as variable as the appearance of buildings and so the time of DSM  
252 was not considered in this study.

253



254

255 Figure 5: Diagram showing how data regarding building shape is used to calculate a scale and  
 256 shape complexity variant buffer distance with equation (1), Pink=MRA shortest dimension,  
 257 Green=Shape area, Blue=MRA, Red=Buffer distance.

258

259  $\text{Building footprint} * 0.25 * 300 (39900 / 129900) = 23$

260

261 The area surrounding a building may also have an impact on its fire risk, so a buffer was  
 262 made around the building before clipping the imagery. A building size dependent buffer  
 263 amount was chosen due to variation in building size within the dataset. When using a  
 264 buffer size proportionate to the area of the building or the bounding box of the shape,  
 265 complex or narrow and branching building shapes became dominated by the buffered  
 266 area (Figure 5). A method was required for the final images to be representative of the  
 267 building, whilst also considering the surrounding features.

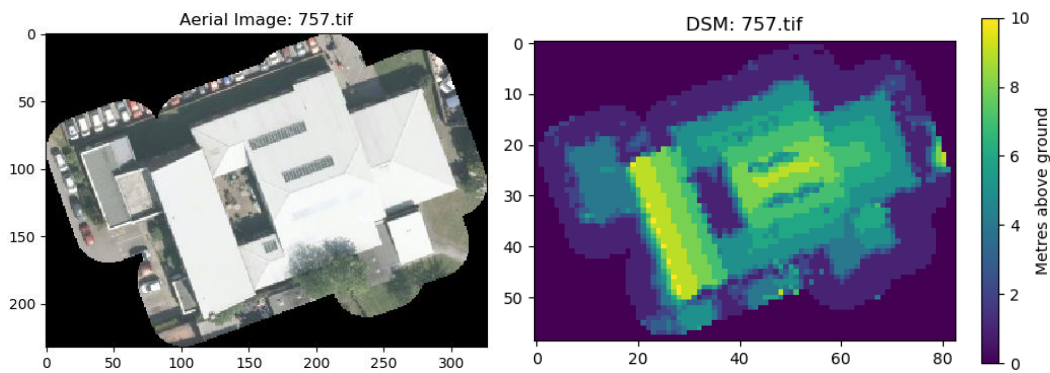
268 The following rule was applied.

269 
$$BD = 0.25 * S (A/MRA) (1)$$

270 Where BD is the buffer distance, A is the area of the shape, MRA is the area of the  
271 minimum bounding rectangle of the shape, and S is the shortest dimension of the  
272 minimum bounding rectangle. This method is summarised in figure 6.

273 When the building is a rectangle this results in a buffer size of a quarter of its shortest  
274 dimension, however, with more complex building shapes with more open space within  
275 their bounding boxes, the size of the buffer becomes moderated by the ratio between the  
276 area and the minimum bounding rectangle area.

277



278

279 (a) Aerial imagery, left panel (b) Digital surface model, right panel

280 Figure 6: Example of aerial imagery and DSM data after clipping (Getmapping, 2019;  
281 Environment Agency, 2020).

282

283 The building shapes were then buffered with a buffer size from the equation (4.1) and this  
284 was used to clip the aerial imagery and DSM to image files (figure 6). The minimum  
285 height of the DSM for each building was then subtracted from each file so that the DSM  
286 was relative to the ground level in each instance. The aerial imagery was greyscale  
287 sampled to produce a 2D feature. The regions of the aerial images and DSM that fell  
288 outside of the buffer were given a pixel value of 0.

#### 289 2.1.4 Google Places

290 The Google Places API is a tool implemented in this study to obtain information regarding  
291 businesses and services that occupy a building (Google, 2020). In addition to finding  
292 information about the buildings in which incidents occurred, Google Places was also used  
293 to find commercial data for spatially random buildings where fires did not occur. Using  
294 the service involves sending a request with a query to the Places server before receiving  
295 a response of 20 results per request. Requests can be made by place name, address, or by  
296 location and can be filtered by type of place.

297 To get the business information about the buildings in the fire incidents dataset, a request  
298 was made for each MM building centroid linked to a fire incident. A radius option,  
299 whereby places returned whose location falls within were prioritised in the request, was  
300 set at 100m. Returned places results were searched for businesses whose location was  
301 within the building shapes. The building, incident and place data were then joined. The  
302 Places API was also used to find commercial buildings to be used for the  
303 negative fire incident dataset (i.e. those where a fire did not occur). To attempt to have  
304 the negative classes to have a similar spatial distribution to the positive class, the  
305 frequency of fire incidents in each 10km tile was calculated. These were then used as the  
306 basis for the quantity of negative classes to find in each 10km tile. For each tile, a series  
307 of random points was generated and used to make Places API requests. The results were  
308 then searched for places whose location fell within buildings where no fire incident was  
309 recorded. This served as the foundation for the negative fire examples for which aerial  
310 imagery and DSM was also clipped. Due to 95 categories of business being represented  
311 in the data, attempts had to be made to reduce the sparsity in the data to improve  
312 performance. These Places data were aggregated into 14 broad categories: amusement,  
313 car, drink, emergency, food, contractor, leisure, medical, office, public building, retail,  
314 service, transport, and storage. A summary of all type categories before and after

315 reduction can be found in Table A.2 Supplemental materials (appendix A). The places  
316 type data was then converted into one-hot-vector variables for categorical data to be  
317 represented in the model.

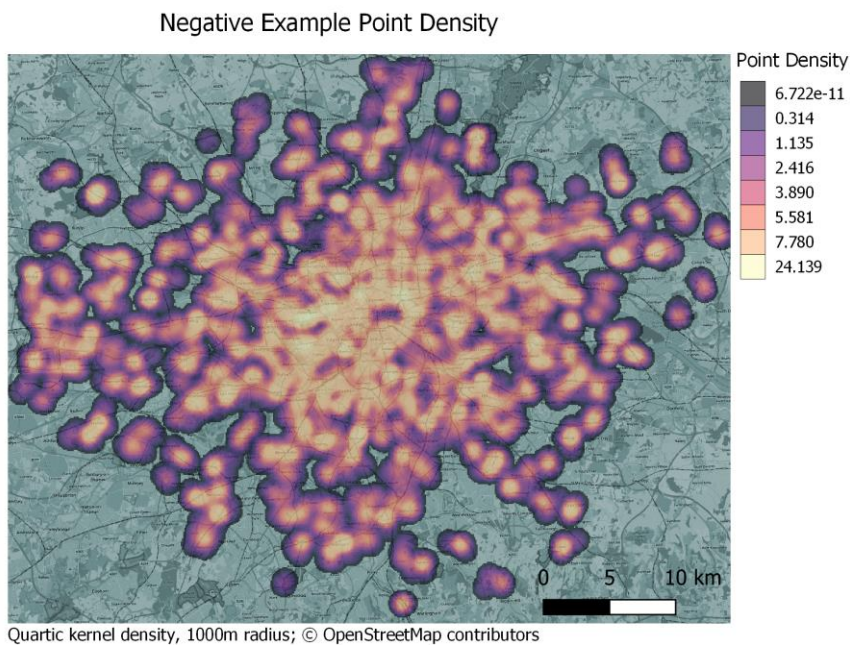
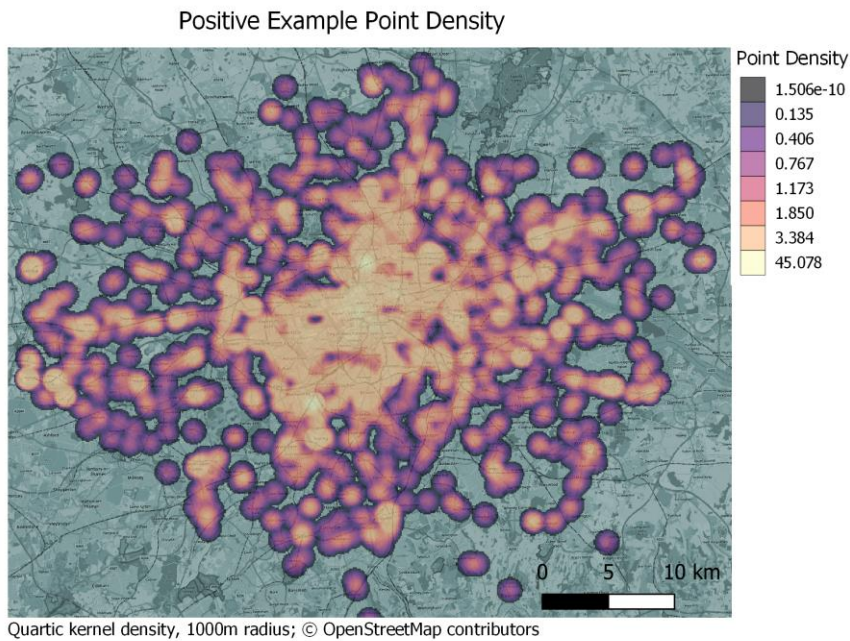
### 318 *2.1.5 Demographic and Crime Data*

319 Demographic features were used in this study due to their inclusion in previous building  
320 fire prediction research (Madaio et al., 2016; Walia et al., 2018). Demographic data was  
321 collected and released by the ONS and accessed from EDINA DigiMap as data attributed  
322 to output area shapefiles (Office for National Statistics, 2016; Edina, 2019). The census  
323 data used was released in 2011 and is the most recent census data available (Office for  
324 National Statistics, 2011). Data relating to age, employment, education, social class,  
325 residency, tenancy, and ethnicity was collected for the study in order to evaluate their  
326 contribution to classification performance. Crime data was included in this study due to  
327 its usage in prior research (Madaio et al., 2016; Walia et al., 2018). Crime data was  
328 collected by the Metropolitan Police service and accessed from the London data store  
329 (London Data Store, 2019). Crime records represent numbers of crime incidents at the  
330 lower super output level (Office for National Statistics, 2016). Crime rates for 2016 were  
331 used as this interval represents the period in the middle of the incidents used. Population  
332 data was also collected and used to derive crime rates from the crime totals.

333 The demographic data came in the form of output area shapefiles of ONS output areas  
334 attributed with demographic variables. The attribute tables were filtered for series that  
335 were relevant to the application before spatial joins were performed to attribute building  
336 shapefiles with the desired variables. Crime total data was acquired in the form of tables  
337 of crime rate data organised by lower super output area (LSOA). LSOA crime values  
338 were divided by LSOA population totals to produce crime rates. A shapefile of London  
339 LSOAs was downloaded, and crime data was joined to produce geographic extents of



340 crime rate values. This was then spatially joined to the MM buildings to pass on the  
341 desired attributes.



342  
343 Figure 7: Spatial distribution of datasets for positive and negative classes

### 344 2.1.6 Final Data

345 After elimination of data entries that were missing features, a total of 6690 examples  
346 remained in the final dataset. Within the final data were 2087 positive examples and 4603  
347 negative examples of building fire, giving a ratio of 0.312: 0.688 to be used for class

348 weighting. The spatial distributions of the classes can be found in Figure 7. All data series  
349 apart from the aerial imagery and DSM were put into the same data table and normalised  
350 in preparation to be inputted to the model. The image and DSM files were put into their  
351 own directory. The image data was also normalised before being used in modelling. A  
352 training-validation-test split of 80:10:10 was used.

## 353 **2.2 Modelling**

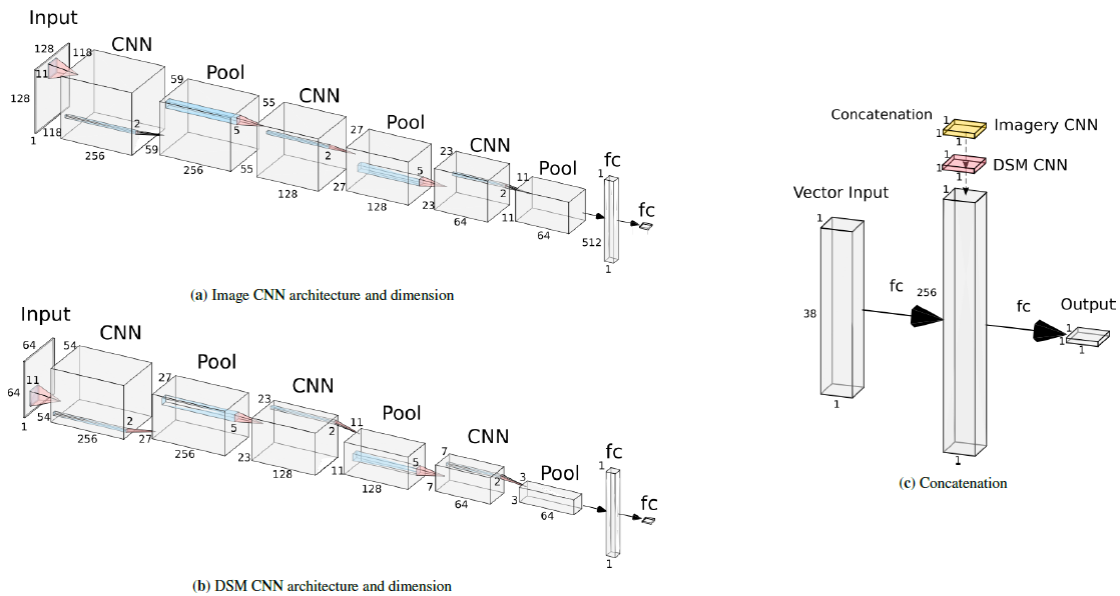
354 The PyTorch package was chosen as the framework for using ANN in this study due to  
355 general usability, ease at which it can be implemented, GPU Capability and its wide range  
356 of features (PyTorch, 2019).

### 357 *2.2.1 Architecture*

358 An initial architecture compatible with the input data types had to be chosen upon which  
359 hyperparameters could be tested. Two CNNs (Figure 8, a,b) would each take an image  
360 input then a standard ANN would take the tabular data and run it through a series of fully  
361 connected layers before being concatenated to the outputs of the convolutional layers  
362 (Figure 8c). This would then go through a final fully connected layer before classification.  
363 The path of each data input through the model will be referred to as a branch (e.g. the  
364 image branch). This architecture is illustrated in Figure 8 and 9. The benefit of having a  
365 hybrid model is that the algorithm can make a classification based on multiple sources of  
366 data that are of different types (Audebert et al., 2019). This allows the model to optimise  
367 itself across the different data sources.

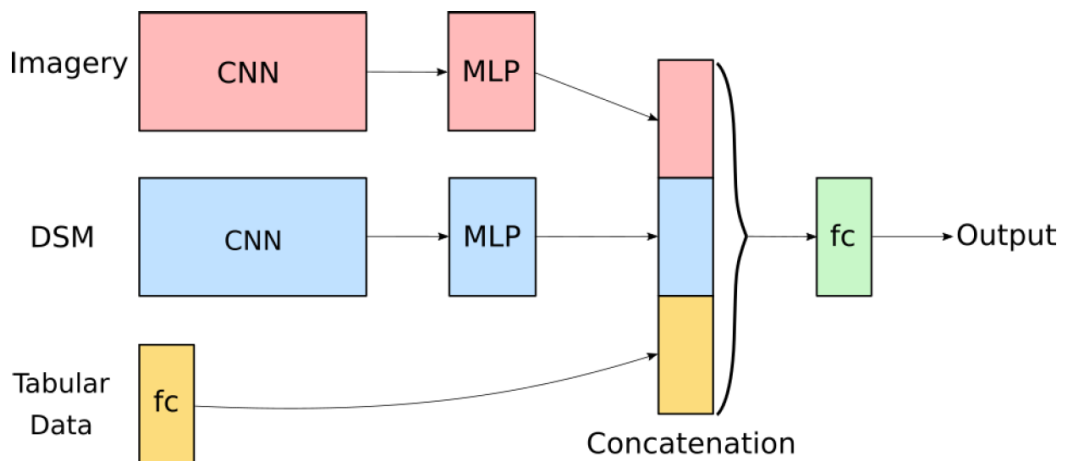
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371 Figure 8: Diagrams summarising model architecture: The output of CNN image branches (a) and  
 372 (b) are concatenated to the output of a fully connected layer of the vector branch before a final  
 373 fully-connected layer (c), produced using NN-SVG (LeNail, 2019) rather than make separate  
 374 models that each make an individual classification.



375

376 Figure 9: A schematic diagram of the model architecture chosen after hyperparameter testing.  
 377 CNN=Convolutional neural network, MLP=Multi-layer perceptron, fc=Fully connected layer (a)  
 378 Image CNN architecture and dimension, (b) DSM CNN architecture and dimension, (c)  
 379 Concatenation

380

381

382 Preliminary testing of the CNN branches as individual models found that by having a  
383 11x11 convolution kernel size in the first CNN layer led to an 13% reduction in average  
384 validation error when conducted over 10 sets of training. This was used as it may be that  
385 the larger kernel is able to recognise larger objects within the images. The other  
386 convolution kernel sizes were kept at 5x5. The increased size of the first convolution  
387 kernel is also seen in the architecture of AlexNet (Krizhevsky et al., 2012).

### 388 *2.2.2 Loss Function*

389 The classes of the dataset being used in this study are unbalanced and so a loss function  
390 that takes this into account is used so that each class is treated equally. The binary cross-  
391 entropy loss function is used as, along with the model prediction and target, this function  
392 also takes in a weighting of each example (Phan et al., 2006). The values 0.688 and 0.312  
393 were used for the positive and negative classes, respectively. These are proportionate to  
394 the class imbalance so that the model will not just attempt to optimise with respect to the  
395 majority class.

### 396 *2.2.3 Training Evaluation*

397 Model training is achieved by inputting a dataset to the model and mapping this input to  
398 a desired target output. The error between the target output and prediction will usually  
399 improve with number of epochs taken however it is not a good indicator of model  
400 performance as the model has seen the training data before and may eventually learn the  
401 subtleties of the training data perfectly, thus overfitting the data. By testing performance  
402 against an unseen validation data set, the model's ability to generalise can be investigated.  
403 This gives a better indication as to whether there is a correlation in the data used to make  
404 predictions and the actual target the model is attempting to predict. Loss was tested

405 against the validation data on every 32<sup>nd</sup> batch during training. This was done over 128  
406 data samples, close to the maximum number that could be accommodated on the GPU.  
407 All pre-processing stages were conducted on a Dell XPS 9550 laptop with an intel i7  
408 processor. A computer running a Nvidia Titan RTX was connected to via SSH for running  
409 parallel processing in the methods used to train the ANNs.  
410 Pre-processing was carried out on a Windows 10 operating system with Python 3.7 using  
411 PyCharm 2019.2 as the IDE, while Jupyter Lab was used for SSH. Python packages used  
412 in the study are summarised in Table A.1 in Supplemental materials, appendix A while  
413 scripts used can be found in appendix B.1.

#### 414 *2.2.4 Hyperparameter Optimisation*

415 Overall, 162 combinations of hyperparameters were tested. The hyperparameters tested  
416 are summarised in Table 1. Due to the complexity of the architecture of the model used,  
417 simplifications have been made to the range of potential hyperparameters that could be  
418 tested. In each branch a starting number of nodes was specified for the first hidden layer  
419 then this value was halved with each succeeding hidden layer. Furthermore, the  
420 hyperparameters associated with the CNNs were both altered in unison to further reduce  
421 the number of required hyperparameter permutations. The rectified linear unit function  
422 was used for activation of layers as it offers better performance and generalization when  
423 compared to some counterparts (Chigozie et al., 2018). A sigmoid function was used for  
424 the output to produce a probability value.

425 Table 1.

426 A learning rate scheduler was implemented whereby an initial rate of 0.005 was halved whenever  
427 validation loss did not improve for 7 consecutive epochs. An early dropout was also implemented  
428 where if the model did not improve for 20 epochs training would cease. If validation loss was  
429 lower than any previous end-epoch value, the model weights were saved, potentially overwriting

430 a previous epoch’s weights. This allowed the highest performing weights to always be preserved.  
 431 As training was run on a GPU to speed up processing, the batch size was constrained to a  
 432 maximum of 128 so that there was sufficient memory available to hold all data and weights at  
 433 any time.

<b>Hyperparameter</b>	<b>Description</b>	<b>Values tested</b>
Vector start nodes	The number of nodes in the first hidden layer of the vector branch	64, 128, 256
CNN start nodes	The number of nodes in the first hidden convolutional layers of the image branches	64, 128, 256
Vector layers	The number of hidden layers the vector data propagates through before concatenation	1, 2, 3
CNN layers	The number of convolutional layers the image data propagates through before concatenation	1, 2, 3
Batch size	The number of training examples to be used in backpropagation in each epoch	64, 128

434

### 435 *2.2.5 Shapley Values*

436 In order to calculate Shapley values, the SHapley Additive exPlanations (SHAP) package  
 437 (Lundberg and Lee, 2017) is implemented where, for each example put through the  
 438 model, feature values are altered to observe the impact they have on the model output. It  
 439 is assumed that by giving a feature a low value the absence of a feature is simulated.

### 440 *2.2.6 Ablation*

441 Individual features and feature groups were aggregated within the tabular data,  
 442 summarised in Table A.2 in supplemental materials, and were ablated by excluding the  
 443 features from training. With the aerial image and DSM CNN branches, each CNN branch  
 444 architecture had to be excluded along with the feature. For each feature ablated the model  
 445 was trained 10 times and median validation ROC AUC was used to compare the

446 performance of the models. The highest performing model from this analysis would then  
447 be used as the final model.

### 448 **2.3 Cost-Benefit Analysis**

449 Building fire incidents have the potential to inflict high cost of repair and even fatalities  
450 when they occur. For this reason, there is motivation in taking preventative measures  
451 towards reducing fire risk, such as inspections carried out to ensure that the building  
452 complies with fire regulation. The cost of an inspection in conjunction with the potential  
453 cost of a fire incident may be combined in order to assess the costs and benefits of  
454 mitigation measures, where the resources required to carry out the inspection are thought  
455 of as the cost value then the cost of fire that may be avoided will be the benefit value. The  
456 cost-benefit ratio can then be used as the acceptable FN/FP ratio in classification, defined  
457 below (Sheng and Ling, 2006).

$$458 \text{Cost/ benefit} = \text{FN/FP} \quad (4.2)$$

459 A cost-benefit analysis will be implemented in this study to suggest an optimal  
460 classification threshold of the final model in a fire brigade's operational scenario. While  
461 the LFB does not publish the cost of a building inspection, a value of £1875 was averaged  
462 over three commercial quotes for the building fire safety inspection of a 230m<sup>2</sup> property,  
463 the median size in the dataset used. The average area of fire damage of a non-residential  
464 building in the UK was 28.3m<sup>2</sup> in 2018/2019 (Home Office, 2018), while the average  
465 cost of fire damage for non-residential buildings is £1405/m<sup>2</sup> (Salter et al., 2013). This  
466 gives an average fire damage cost of £39,761. Thus, the desired FN/FP can be calculated.

$$467 \quad 1875/39761 = 0.047 \quad (4.3)$$

468 The threshold at which the FN/FP is closest to 0.047 will be used to demonstrate the  
469 performance of the final classifier in an operational setting.

470 **3. Results**

471 **3.1 Hyperparameter Optimisation**

472 Experimentation of model hyperparameters was conducted to find the best parameters  
473 for a model. As training was conducted on an unbalanced dataset the validation loss was  
474 used to measure model performance during training. The hyperparameters of the model  
475 used are presented in Table 2, while changes in error associated with varying  
476 hyperparameters are graphed in (Figure 1 supplemental materials).

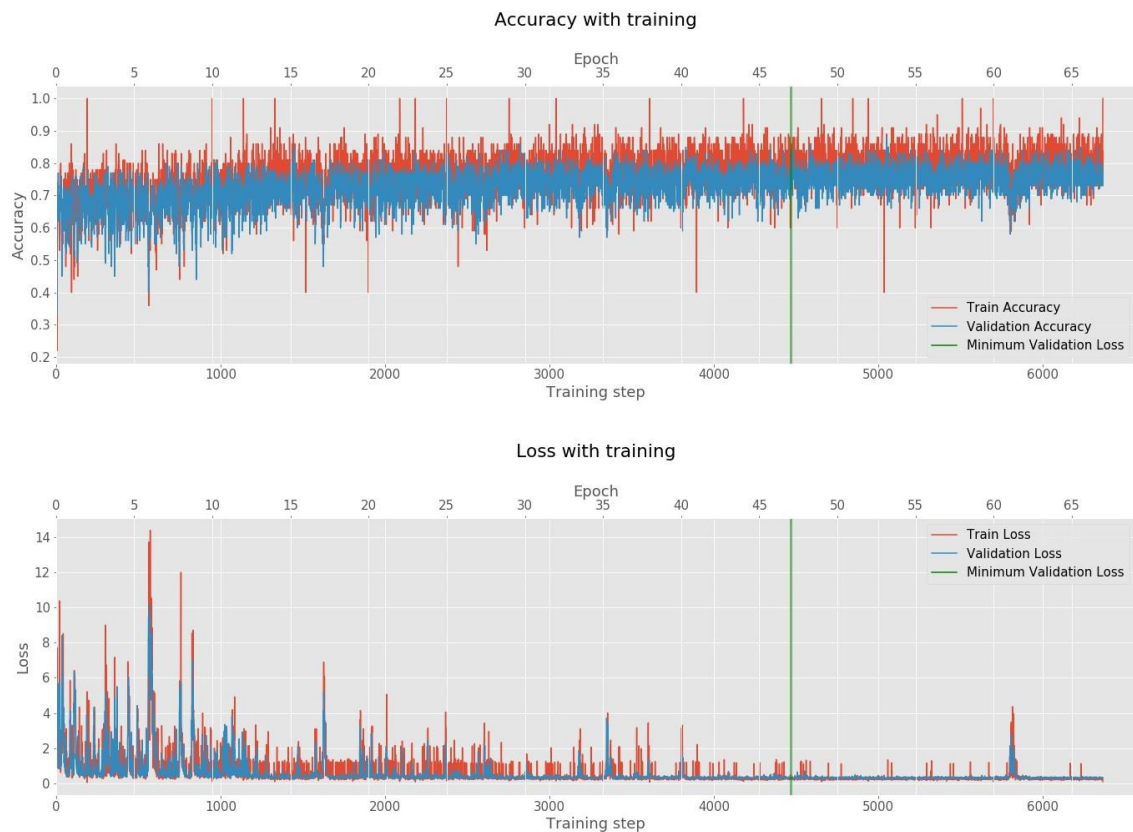
477 Table 2: The hyperparameter combination used for the hybrid model in this study

<b>Hyperparameter</b>	<b>Value chosen</b>
Vector start nodes	256
CNN start nodes	128
Vector layers	1
CNNlayers	3
Batch size	128

478

479 The lowest validation loss achieved in hyperparameter testing was 0.225. The  
480 hyperparameter combination of this model is summarised in Table 2 while the losses and  
481 accuracy values are shown in Figure 10. The weights of the chosen model were saved  
482 after training over 47 epochs, shown by the green vertical line in Figure 10, before training  
483 was dropped out after 67 epochs.





484

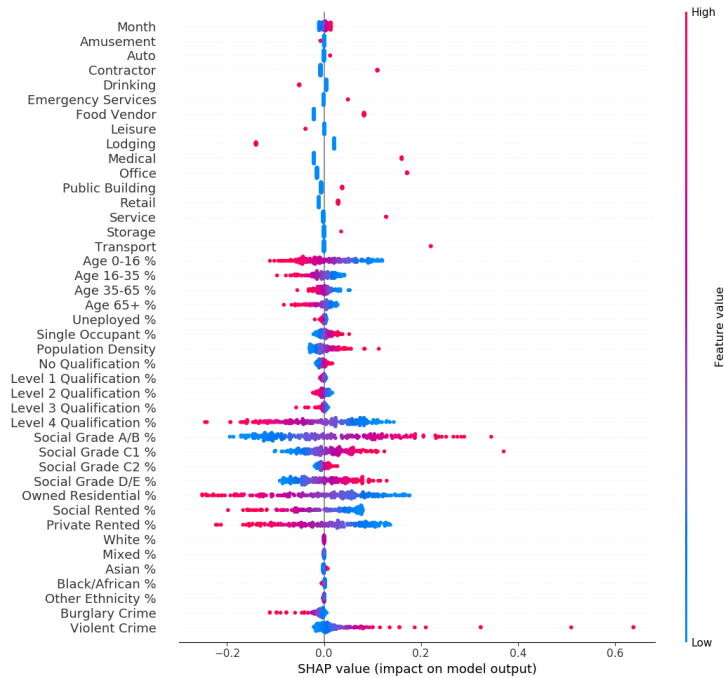
485 Figure 10. Graph showing changes in loss and accuracy over train and validation sets throughout  
 486 training

487 It can be seen from Figure 10 that, overall, train accuracy increases and train loss  
 488 decreases throughout training of the model, suggesting that there is a correlation between  
 489 the training data and the target that the model is capable of learning. Furthermore, a  
 490 similar pattern in the validation accuracy and loss suggests that the learned mapping is  
 491 consistent across the dataset and train performance are not entirely due to overfitting. This  
 492 model achieved a validation ROC AUC of 0.778.

### 493 3.2 Shapley values

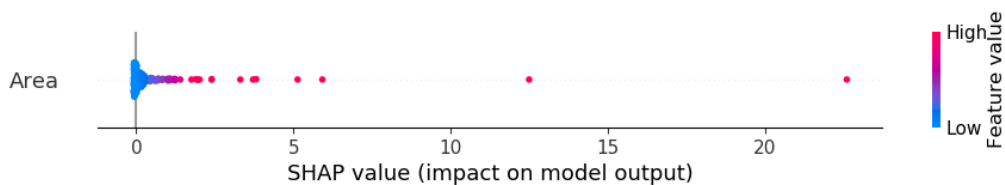
494 Shapley values were calculated over the entire training set for all features from the tabular  
 495 data using the SHAP package. In general, most features show some contribution to the  
 496 output value. The summary plot in Figure 11 show the SHAP values. Each row on the  
 497 plot represents a feature of the dataset and each training example is represented by a dot.

498 The colour of the dot represents the value of the feature while the SHAP value is shown  
 499 by the dots position on the x-axis. The area feature is shown on its own separately on  
 500 Figure 12 using a different scale while all other features are shown on Figure 11.



501

502 Figure 11. SHAP summary plot for all tabular features excluding building area



503

504 Figure 12. SHAP summary plot the building area feature

505 For the area feature, in very few examples, a high feature value heavily influences the  
 506 target output. Compared to other features, the area feature has a vastly higher mean SHAP  
 507 value at 5.7, 32 times greater than the second highest mean SHAP value, for violent crime  
 508 at 0.018.

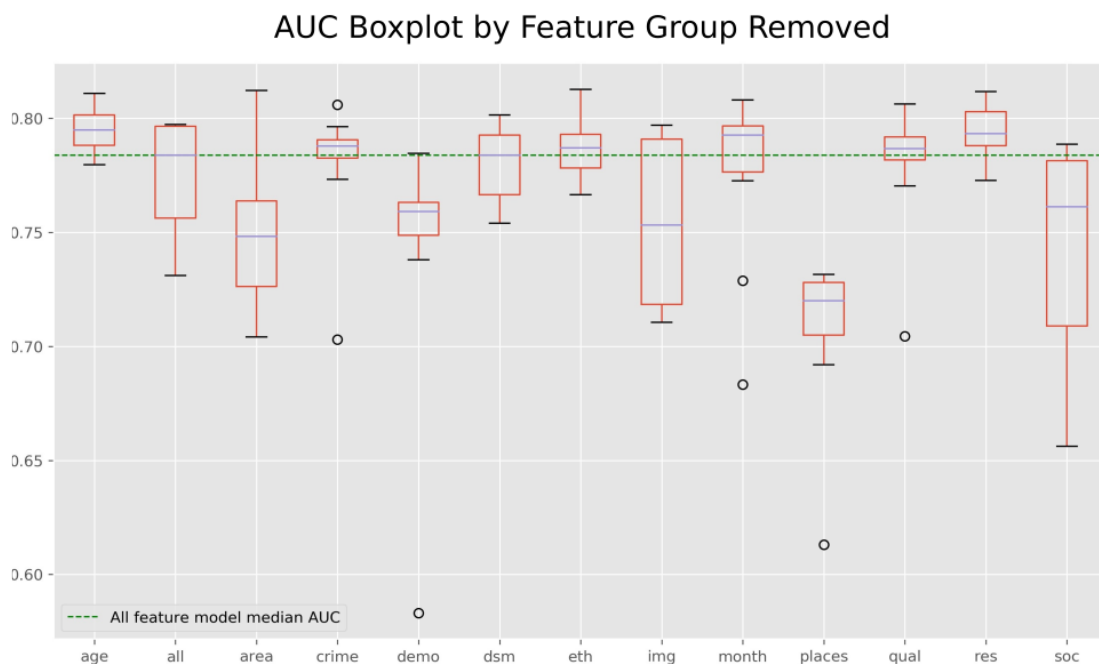
### 509 3.3 Ablation

510 In the feature ablation portion of this study features were excluded from the dataset,  
 511 models were trained using the new feature set, and performance was evaluated to gauge

512 the impact of the individual features on the classification performance of the model. Each  
513 model was trained 10 times to get a reliable estimate of performance. Figure 13 shows a  
514 boxplot of all ablation AUC results for each group removed. A lower position on the y  
515 axis represents a deterioration in classification performance when that feature was  
516 removed. The median statistic is chosen for comparison as several groups exhibit a  
517 skewed distribution of AUC values.

518 In Figure 13, while models trained excluding some feature groups, such as age, show a  
519 narrow range of AUC scores, for other features, such as social class, there is a wide range.  
520 Comparisons between median AUC is more easily made by observing differences  
521 between the 'all' feature model median AUC (green dashed line on Figure 13) and the  
522 group removal medians (blue lines within boxes). By removing either the age, crime,  
523 ethnicity, month, qualification or residential features, the median AUC increases.

524 Conversely, it is seen that the removal of either area, demographics as a whole, the image  
525 branch, places or social class lead to a decrease in the median AUC. When the DSM  
526 branch is removed there is little change in median AUC.



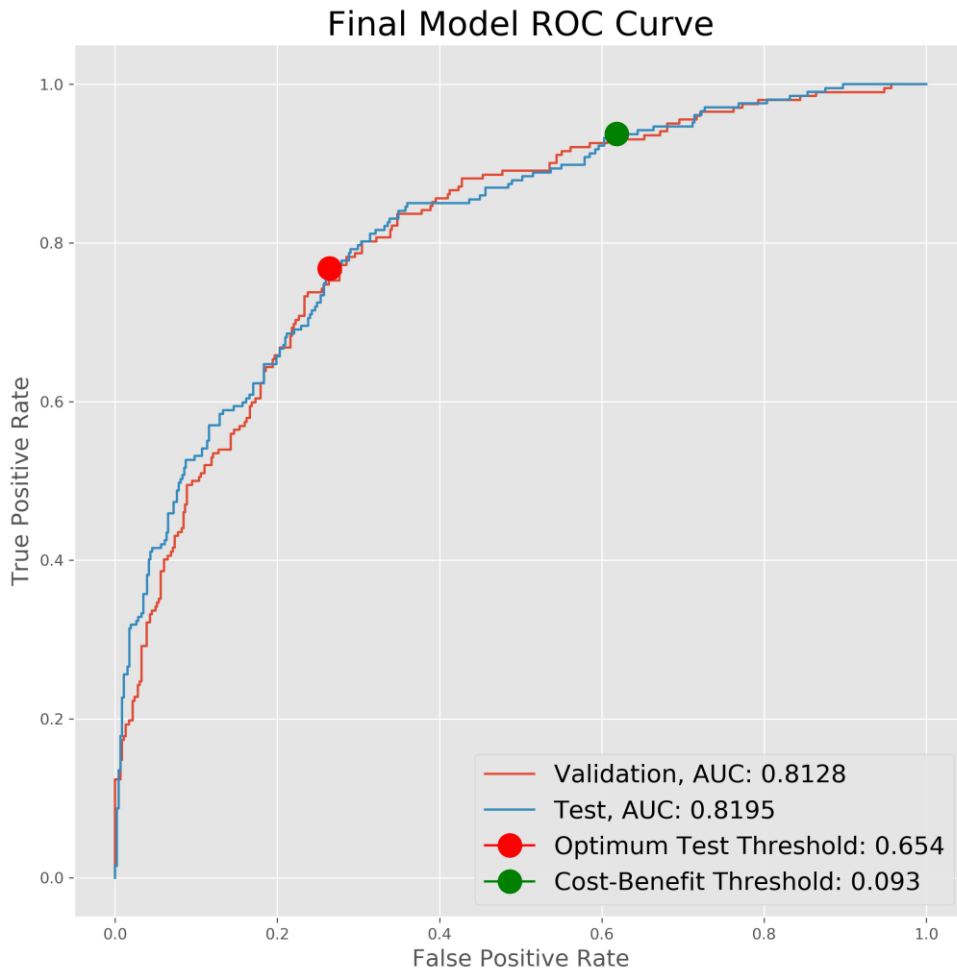
527

528 Figure 13. Box plot of AUC for models trained on datasets with features removed. The

529 x-axis shows the feature removed, except for 'all' which is the model trained with the original set  
530 of features.

### 531 ***3.4 Final Model***

532 The highest AUC from the ablation study on the validation set was 0.8128 and was  
533 achieved by a model where the ethnicity feature was removed. The final model ROC for  
534 validation and test set of this model is presented in Figure 14. The threshold of highest  
535 classification performance, observed to be 0.654, was found by taking the threshold at  
536 which the sum of sensitivity and specificity (1-FPR+TPR) was at its maximum. A map  
537 of confusion matrix components at this threshold is presented in Figure 15. The threshold  
538 at which FN=FP = 0:047, the cost-benefit ratio, was 0.093. Tables 3 and 4 show the  
539 confusion matrices for highest class separation and cost-benefit thresholds, respectively.  
540 The lowest validation loss weights for this mode were saved after 83 epochs at 0.2113  
541 validation loss before model training was dropped out after 103 epochs. Figure 15  
542 summarises the accuracy and loss over the training and validation data sets throughout  
543 training. Diagrams summarising the CNN branches and network architecture are shown  
544 in Figure 9.



545

546 Figure 14. ROC curve for final model validation and test classification performance showing  
 547 positions of optimum and cost-benefit thresholds

548

549 Table. 3 Test set confusion matrix for the threshold of max class separation

550

		predicted	
		positive	negative
actual	positive	129	59
	negative	106	375

551

552 Table. 4 Test set confusion matrix for the cost-benefit threshold

		predicted	
		positive	negative
actual	positive	194	14
	negative	285	176

553

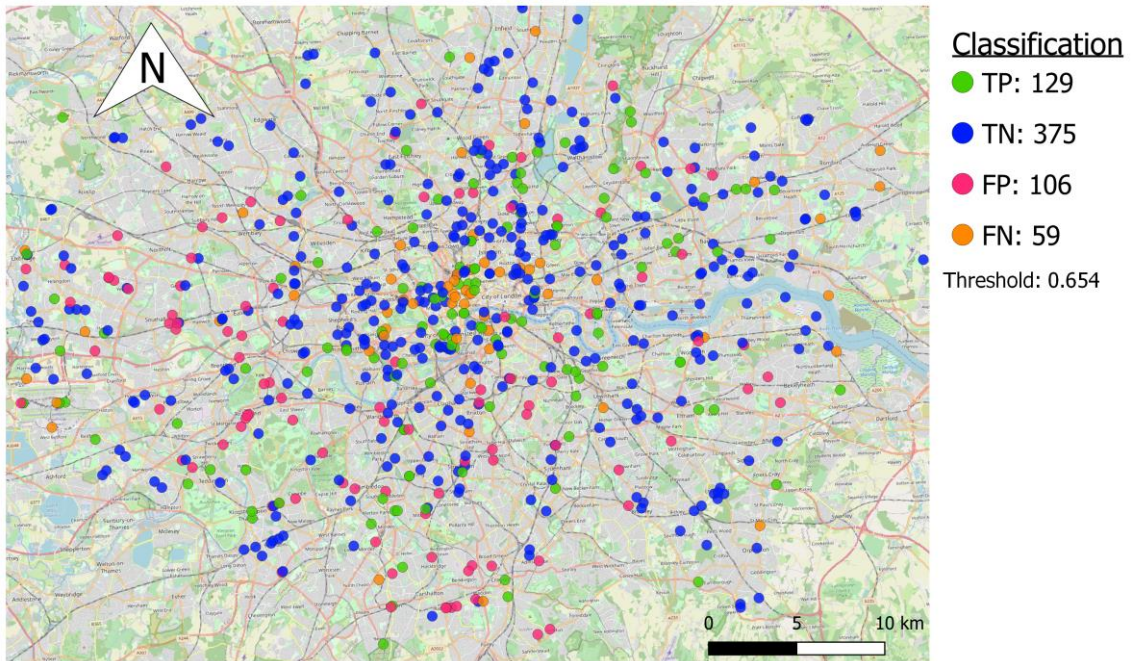
554



555  
556  
557

Figure 15. Training summary for the final model

### Spatial Distribution of Building Fire Risk Classification



558  
559  
560  
561  
562

Figure 16. Spatial distribution of test set confusion matrix classification performance terms for a threshold of 0.654. TP represent the number of true positives in the dataset, TN represent the number of true negative in the dataset, FP represent the number of false positives in the dataset, FN represent the number of false negatives in the dataset

## 563 4. Discussion

### 564 4.1 Shapley Values

565 The results from the Shapley value investigation represent the impact of feature values  
566 on a model output.

#### 567 4.1.1 Building Area

568 The building area feature had a higher impact on model output than any other feature  
569 from the tabular data. Similar results have been found by Madaio et al., (2016) who point  
570 out that many of the most important features from their modelling are related to building  
571 size for their Random Forest model. While one would expect larger buildings to be  
572 generally more susceptible to fire risk as they have potential for more activity to take  
573 place within them, it is difficult to conclusively say that this is the reason for the observed  
574 correlation. The subject is complicated further by the fact that incident location is not  
575 accurate for all building incidents in the LFB data. It may be that there is a greater driving  
576 force behind the accurate collection of data relating to larger buildings within which  
577 incidents with more casualties or a greater cost of damage may occur. This would lead to  
578 larger buildings being over-represented within the positive fire incident class and give a  
579 skewed representation of reality.

#### 580 4.1.2 Places

581 Generally, the places features had a large impact on model outputs. This is a significant  
582 finding as it solidifies the idea that the types of activities that occur within a non-  
583 residential building have an impact on the buildings fire risk. This agrees with the  
584 regression coefficients described by Madaio, et al. (2016). A higher building fire risk  
585 from food establishments may be explained by the cooking activities that are carried out  
586 in these establishments as cooking equipment have been shown to be one of the major

587 sources of building fire ignition (Shai, 2006). This is also supported by Manes and Rush  
588 (2018), who found that fire incident rate for food premises in the UK were 1.8 times  
589 higher than any other category. It is interesting to note that while the SHAP values for the  
590 presence of food vendors were positive, they were negative for the presence drinking  
591 (alcohol) establishments. These results suggest that useful fire risk predictors can be made  
592 through a distinction between these categories.

593 The presence of medical services within a building also leads to a higher SHAP value.  
594 This pattern is seen in the results of Manes and Rush (2018) whose statistical analysis of  
595 building fires in the UK from 2014-15 find that fires in hospitals occurred in 2% of all  
596 buildings of that category within the sample, the second highest incidence rate of the  
597 categories they observe. High SHAP values have been found to be associated with the  
598 presence of offices in buildings in this study. This contrasts with results of Manes and  
599 Rush (2018) who find that offices have a 0.3% incidence rate, second lowest to dwellings.

#### 600 *4.1.3 Age*

601 For all age group features, generally higher feature values are associated with a decrease  
602 in the model output. This seems at face value rather counter-intuitive as these features are  
603 proportions, and it was expected that higher fire risk would be associated with higher  
604 proportions of some age group. There does appear to be some minor trends, however.

605 For the age group 0-16, representing children, the extremes of this feature were associated  
606 with a higher magnitude impact on the model output than the other age features. In  
607 particular, the absence of children in the population is associated with the highest model  
608 output of age features.



609 *4.1.4 Unemployment, Qualification and Social Class, Ethnicity and Crime*

610 The SHAP values for unemployment show a narrow spread suggesting that there is little  
611 impact of employment rates on model output and virtually no contribution to fire risk.  
612 This finding is concordant with the results found by Špatenková and Stein (2010), where  
613 no link was found between unemployment and fire incidence. A higher proportion of  
614 single occupants in the population of an area lead to an increase in SHAP value. A similar  
615 finding is also seen in population density.

616 Of the qualification features, level 4 qualification had the potential to have the greatest  
617 impact on SHAP values. Higher proportions of level 1, 2, 3 and 4 qualified populations  
618 led to a negative model impact, while higher proportions of population with no  
619 qualification lead to a slight positive impact on model output. These results may suggest  
620 that education levels in the population have an impact on non-residential fire incidence.

621 Higher social class feature values all have a positive impact on model output. The largest  
622 impact on model output is seen from the A/B social grade which reaches up to around 0.3  
623 and as low as -0.2 SHAP value. Grades C1 and D/E feature values have a moderate impact  
624 on model output, while C2 has little impact.

625 All ethnic population features have a very low impact on model output when compared  
626 to other features analysed. This suggests that they are not a good predictor of non-  
627 residential building fire and may cast doubt onto their use in previous studies (Madaio et  
628 al., 2016), however the impact is likely to vary for different cities.

629 While high burglary crime values were associated with an increase in model output, the  
630 opposite was the case for violent crime where a few high feature value outliers lead to  
631 SHAP values around 0.6. This suggests both features may be effective contributors to  
632 model performance.

## 633 *4.2 Ablation Study*

### 634 *4.2.1 Places*

635 It was found that the places data was the largest contributor to the classification  
636 performance of the dataset. The median AUC decreased by 8% when the places features  
637 were removed, higher than any other feature group removal. This finding also reflects the  
638 result of the Places SHAP values. This suggests that, in this model, building use is the  
639 most crucial factor to consider when classifying non-residential fire risk and outweighs  
640 the importance of social factors. This also highlights a major difference in analysis of  
641 building fire risk factors between residential and non-residential properties.

### 642 *4.2.2 Building Area*

643 The second highest feature removal decrease in median AUC was from the area feature,  
644 with a 5% decrease in median AUC. While the area features removal tended to improve  
645 the model, it is worth noting that the second highest performing model from the ablation  
646 study had this feature removed at over 0.81 AUC. While it tends to improve the model  
647 there is a possibility that removing the area feature can improve classification  
648 performance. It may be the case that, while the area feature does provide a useful indicator  
649 of potential fire risk, the model has the potential to rely on it heavily as an individual  
650 feature. When this is related to the SHAP value results, where the area features impact on  
651 model output had the potential to be over 30 times greater than any other feature, it can  
652 be seen how the area feature could overshadow the values of other features when making  
653 a prediction.

### 654 *4.2.3 Demographic Features*

655 When all demographic features were removed the median AUC decreased by 3%  
656 suggesting that social factors contribute somewhat to classification performance and are

657 useful indicators of non-residential fire risk. When the sub-groups are analysed, however,  
658 the removal of only the social classes group leads to a decrease in median AUC. Other  
659 demographic variables, when treated as their own feature groups, do not provide any  
660 increase in classification performance.

#### 661 *4.2.4 Aerial Imagery and DSM*

662 Ablation was also performed on the aerial imagery and DSM CNN branches. It must be  
663 noted that while the other ablation results represent the removal of tabular features from  
664 the vector branch of the model, the imagery and DSM ablation results are collected by  
665 removing the entire CNN branch of that feature. While the architecture of the model  
666 changes slightly with the tabular feature ablation in that the dimension of the inputs  
667 change, the architecture is changed more profoundly by removing an entire CNN branch.  
668 When the imagery branch was removed there was a decrease in median AUC 6.3. Final  
669 Model Performance 47 by 4%, the third highest decrease seen in the ablation study. This  
670 suggests that the aerial imagery component of the model is an effective contributor to  
671 classification performance. Conversely, the median AUC increased by 0.002% when the  
672 DSM channel was removed, suggesting that it contributed little improvement to  
673 classification performance and was not as useful as the imagery branch. There are some  
674 fire risk factors of non-residential buildings that can be deciphered visually. These results  
675 do not suggest a mechanism for what characteristics of a building image the model uses  
676 to come to more accurate classifications. For instance, it may be that like Liu et al. (2017),  
677 the model is able to visually assess the construction and maintenance quality.

#### 678 *4.3 Final Model Performance*

679 It was found that the removal of the ethnicity feature yielded the highest classification

680 performance with a validation set AUC of 0.8128 and a test set AUC of 0.8195. An  
681 optimum threshold was found to be at 0.654 where a TPR of 0.768 and FPR of 0.264 was  
682 achieved (Figure 14). The final TPR vastly exceeding the FPR suggests that this classifier  
683 has good potential to focus inspection efforts to buildings of high risk.

#### 684 ***4.4 Classification Spatial Distribution***

685 Figure 16 shows the spatial distribution of confusion matrix terms from a classification  
686 at optimum class separation. Generally, the spatial distribution of the test set is like that  
687 of the entire dataset (figure 6), with a higher concentration of examples in central London.  
688 Although true positives are found in most regions of the study area, there is some  
689 clustering of these values around central London.

#### 690 ***4.5 Cost-Benefit Analysis***

691 This study has demonstrated how the classification threshold may be moved to meet a  
692 cost-benefit efficiency level for building inspections. It can be seen in Figure 14 and Table  
693 3, that the cost-benefit threshold on the final model manages to correctly classify 93.2%  
694 of instances of building fire in the test set, an increase of 36% when compared to the  
695 optimal threshold. This does come at a cost, however, as the threshold would then  
696 incorrectly classify 65.7% of negative examples as being instances of building fire, an  
697 increase of 180% when compared to the optimal threshold. When the sum of sensitivity  
698 and specificity ( $1 - \text{FPR} + \text{TPR}$ ) for the two thresholds are compared it can be seen that the  
699 optimal threshold, at 1.466, is 12% higher than the cost benefit threshold, at 1.314. This  
700 suggests that, although such an approach enables certain operational requirements to be  
701 met, it is by no means the best performing classifier overall.  
702 For instance, municipal fire departments may implement systems whereby, instead of an  
703 average area of building, the inspection cost value could be calculated using the building

704 area of individual cases. Furthermore, the unit area cost of non-residential buildings varies  
705 between occupancy types so an occupancy dependent benefit value may be implemented  
706 (Salter et al., 2013).

## 707 **5. Conclusion**

708 This study has presented a non-residential building fire risk prediction methodology  
709 based on a hybrid CNN-MLP approach and assessed the effectiveness of some features  
710 commonly used in the literature along with novel image features that were previously  
711 unexplored. Three key conclusions have been made through this investigation: i) while  
712 classification performance may be improved by including an aerial imagery feature of the  
713 building to the model via a CNN branch, the inclusion of 1m GSD DSM data to the model  
714 showed no improvement, ii) data relating to building use had the greatest impact on  
715 classification performance, while demographic data, apart from that regarding social  
716 class, did not lend benefit to the model. Such a finding is significant as some existing  
717 studies have used a suite of demographic features, iii) spatial analysis of final model  
718 classifications suggest that models built over large regions may lead to areas of poor  
719 model performance.

720 As future perspectives, whilst the findings of this study answer some questions about use  
721 of specific features in building fire risk models, it raises many more about the future of  
722 building fire risk classification. As aerial imagery has been found to benefit building fire  
723 risk classification, future work should be concerned with investigating other novel  
724 features that hold contextual building information.

725 While the 1m DSM feature was not beneficial to the classification in this study, there is  
726 insufficient evidence to suggest that building geometry is completely irrelevant. Future  
727 work should experiment with DSMs at higher resolution to assess any classification  
728 potential before it is ruled out entirely. A more rigorous ablation study, potentially

729 assessing a wider range of features, in all combinations would yield more conclusive  
730 evidence regarding which features are relevant. Furthermore, a comparison of  
731 classification models built with the same features for different locations should be  
732 explored. Future work should investigate whether temporal evolution of features can aid  
733 classification.

734 **Acknowledgements:** We thank two anonymous reviewers whose comments and  
735 suggestions helped improve on earlier drafts of the manuscript.

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