## An ice-climate oscillatory framework for

## <sup>2</sup> Dansgaard–Oeschger cycles

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## ABSTRACT

Intermediate glacial states were characterized by large temperature changes in Greenland and the North Atlantic, referred to as Dansgaard–Oeschger (D–O) variability, with some transitions occurring over a few decades. D–O variability included changes in the strength of the Atlantic Meridional Overturning Circulation (AMOC), temperature changes of opposite sign and asynchronous timing in each hemisphere, shifts in the mean position of the Intertropical Convergence Zone and variations in atmospheric CO<sub>2</sub>. Palaeorecords and numerical studies indicate that the AMOC, with a tight coupling to Nordic Seas sea ice,

is central to D–O variability, yet a complete theory remains elusive. In this Review, we synthesize the climatic expression and processes proposed to explain D–O cyclicity. What emerges is an oscillatory framework of the AMOC–sea-ice system, arising through feedbacks involving the atmosphere, cryosphere and the Earth's biogeochemical system. Palaeoclimate observations indicate that the AMOC might be more sensitive to perturbations than climate models currently suggest. Tighter constraints on AMOC stability are thus needed to project AMOC changes over the coming century as a response to anthropogenic carbon emissions. Progress can be achieved by additional observational constraints and numerical simulations performed with coupled climate–ice-sheet models.

## **Key points**

Abrupt warming events in Greenland and the North Atlantic, referred to as Dansgaard–Oeschger (D–O) events, were
 associated with changes in the strength of the Atlantic Meridional Overturning Circulation (AMOC), global climate and
 the carbon cycle.

AMOC changes, with a tight coupling to Nordic Seas sea ice, strongly affect the climate and marine carbon cycle, and, in
 turn, ice-sheet mass balance. Resultant changes in oceanic wind stress, ocean heat content and salinity feed back on the
 AMOC.

Owing to the different timescales of the feedbacks, self-sustained AMOC oscillations could emerge during intermediate glacial states. The boundary conditions of intermediate glacial states (size of ice sheets, Bering Strait throughflow and concentration of atmospheric CO<sub>2</sub> appear to be key in enabling these oscillations.

- Perturbations other than changes in meltwater input, including changes in atmospheric CO<sub>2</sub> or Northern Hemisphere ice-sheet height and extent, can lead to, and may be required for, D–O variability.
- The relatively large and frequent AMOC changes associated with D–O variability suggest a relatively low AMOC
- stability during intermediate glacial states. This low stability is not evident in all numerical experiments performed with

- coupled climate models, implying that some might either overestimate the AMOC stability or have a mismatch in the
   required background state for the low AMOC stability regime.
- Additional observations on the location and strength of North Atlantic Deep Water formation and its link with sea ice, as well as its improved representation in climate models, are needed to better constrain future climate projections.

## <sup>29</sup> [H1] Introduction

The Atlantic Meridional Overturning Circulation (AMOC) (Box 1) is a central part of the Earth's climate and biogeochemical 30 system as it transports heat, dissolved salts, nutrients and carbon throughout the ocean's basins. The AMOC is dependent on 31 North Atlantic Deep Water (NADW) formation, which, at present, primarily occurs in the Nordic Seas with a minor component 32 in the Labrador Sea<sup>1</sup>. Despite recent weakening<sup>2</sup>, the AMOC has mostly been in a strong state over at least the past 2,000 33 years, and probably most of the Holocene<sup>3-5</sup>. To a first order, a stable and strong AMOC can be explained by the Stommel 34 salt advection feedback<sup>6</sup>, whereby a strong AMOC is associated with a strong North Atlantic current and thus advection of 35 salty tropical Atlantic waters to the North Atlantic, enhancing deep-water formation at high latitudes. Owing to anthropogenic 36 greenhouse gas emissions, it is likely that the AMOC will weaken over the coming century<sup>7</sup>, with implications for the climate, 37 ecosystems and continental ice sheets. However, there remain significant uncertainties associated with future rates of AMOC 38 changes and the potential of reaching a tipping point, particularly as the AMOC in current coupled climate models has been 39 deemed too stable<sup>8,9</sup>. It is thus crucial to better understand the processes that affect the AMOC as well as the subsequent 40 response of the climate and carbon cycle. 41 Progress can be achieved by studying past millennial-scale climatic variability (Fig. 1), such as Dansgaard–Oeschger (D–O) 42 cyclicity, during which the AMOC is inferred to have varied substantially  $^{10}$  (Fig. 1a). First highlighted in Greenland ice cores 43 spanning the last glacial period and deglaciation ( $\sim$ 115–11.6 thousand years ago (ka)), D–O cycles are characterized by a 44 decadal-scale air-temperature increase of 5–16 °C in Greenland (a D–O warming event), leading to an interstadial peak (warm 45 conditions)<sup>11-14</sup> (Fig. 1b). After this interstadial peak, Greenland temperatures gradually decrease over a few centuries to a 46

<sup>47</sup> millennium and then abruptly drop to stadial (cold conditions).

Marine sediment cores from the North Atlantic have revealed that some of the D-O stadials identified in Greenland ice 48 cores are associated with thick layers of ice-rafted debris (IRD), inferred to be sourced from fast-flowing terrestrial ice<sup>15–17</sup>. 49 The majority of these thick IRD layers have a high detrital carbonate content, indicating Hudson Strait provenance<sup>18</sup> and thus 50 implying discharges from the Laurentide Ice Sheet (LIS) and IRD transport by icebergs. These high-IRD episodes are known 51 as Heinrich events and occur within longer cold phases referred to as Heinrich stadials<sup>19,20</sup>. Twenty five D-O stadials and 52 interstadials have been identified within the last glacial-interglacial cycle, 15 of which occurred during the relatively mild 53 glacial conditions of Marine Isotope Stage 3 (MIS 3; 59.4–24 ka)<sup>13,14</sup>. By contrast, Heinrich stadials were less frequent, with 54 only six events identified during the last glacial interval and subsequent deglaciation<sup>18,21</sup> (Fig. 1). 55

<sup>56</sup> D–O cycles and Heinrich events are not restricted to the last glacial period: ice-core and speleothem records suggest <sup>57</sup> prevalent D–O variability over at least the past 800 kyr (ref.<sup>22</sup>), and sediment cores from the North Atlantic document IRD <sup>58</sup> layers accompanied by a drop in sea-surface temperature (SST) during glacial periods and deglaciations of the Pleistocene<sup>21–33</sup>. <sup>59</sup> As evidenced by benthic oxygen isotopic ratio ( $\delta^{18}$ O) records, which, to a first order, provide an estimate of the volume of <sup>60</sup> continental ice sheets<sup>34</sup>, maximum D–O climate variability appears to occur in an intermediate glacial state, when the Northern

<sup>61</sup> Hemisphere continental ice sheets are of intermediate size. This intermediate state corresponds to a North Atlantic  $\delta^{18}$ O

<sup>62</sup> range of 3.5–4.2‰, a globally averaged benthic  $\delta^{18}$ O stack in the range 4–4.7‰ and a relative sea level ~45–80 m lower than <sup>63</sup> present<sup>25, 33, 35–37</sup>. Although strict background thresholds for the occurrence of D–O variability are difficult to define precisely,

it is clear that D–O variability has generally been suppressed during peak interglacial and peak glacial states<sup>25</sup>.

Palaeoproxy records and numerical simulations provide strong evidence that D–O variability (including Heinrich stadials unless specified otherwise) was associated with changes in the strength of the  $AMOC^{10, 38-40}$ . These AMOC variations,

accompanied by shifts in the seasonal sea-ice extent in the Nordic Seas, Labrador Sea and potentially northern North

Atlantic<sup>41,42</sup>, induced changes in global climate and biogeochemistry<sup>43–46</sup>. However, the sequence of events and mechanisms 68 that led to D-O climate variability is still highly debated. Each stadial is associated with a higher IRD abundance in the 69 North Atlantic, indicative of enhanced iceberg discharges  $^{20,25,47-51}$ . To test the climatic effects of iceberg discharges into the 70 North Atlantic, a freshwater flux is artificially added into the North Atlantic in coupled climate models (termed freshwater 71 hosing experiments)<sup>52</sup>. As the addition of freshwater into the North Atlantic reduces the surface-water density, it weakens 72 deep-water formation and the AMOC, leading to colder conditions over the North Atlantic and Greenland<sup>52, 53</sup>. It was therefore 73 initially suggested that D-O climatic variability is due to the AMOC response to variations in meltwater input into the North 74 Atlantic<sup>38,47</sup>. However, this hypothesis would mean that the amplitude of stadials (that is, the difference between Heinrich and 75 non-Heinrich stadials) are entirely determined by the magnitude of the forcing. In addition, it has also been suggested that 76 iceberg discharges follow, instead of precede, North Atlantic cooling<sup>20</sup>. As an alternative to the freshwater hosing proposition, 77 internal oscillations of the ocean-sea-ice system have been suggested<sup>54</sup>. However, these oscillations have been simulated in 78 only a few climate models forced under specific boundary conditions<sup>55–58</sup>. Abrupt AMOC changes have also been simulated as 79 a response to gradual changes in LIS height<sup>59</sup> and atmospheric CO<sub>2</sub> concentration<sup>60</sup>. However, the processes leading to these 80 changes in ice sheets, meltwater or CO<sub>2</sub> also need to be constrained and integrated into a D-O framework. To date, no Earth 81 system model has fully replicated all observed climatic and biogeochemical characteristics associated with D-O variability, 82 especially under relevant boundary conditions. D-O variability, including prognostic changes in continental ice sheets and CO<sub>2</sub>, 83 remains to be simulated by Earth system models. 84

In this Review, we assess the global climatic changes associated with D–O stadials, Heinrich stadials and interstadials, and the evidence for their link with AMOC changes. We then discuss the possible mechanisms put forward to explain D–O variability and propose a self-sustained oscillatory framework involving all components of the Earth system. Finally, we conclude by considering the implications of the oscillatory framework for AMOC stability.

#### IH1] D–O climatic variability and AMOC changes

In this section, we examine the climatic changes associated with D–O variability, as deduced from palaeoproxy records from Greenland and the North Atlantic region, where this climatic variability was first highlighted. We also review the millennial-scale climatic variability that occurs concurrently in distal regions and their link to D–O variability, as revealed by palaeoclimate modelling (Fig. 2). We show that both proximal and distal climatic variability can be explained by variations in AMOC strength in combination with dynamical responses at high southern latitudes and in the North Pacific.

#### 95 [H2] D–O stadials.

<sup>96</sup> Greenland ice-core records suggest that the transitions into interstadials are followed by a slow cooling trend, lasting 500 to <sup>97</sup> more than 2,000 years, which ends with a decadal-scale cooling back to stadial conditions<sup>61</sup> (Fig. 1b), with a total temperature <sup>98</sup> change of 6.5–16.5 °C (ref.<sup>14</sup>). This cooling is also recorded in the Norwegian Sea, with the spring sea-ice cover advancing <sup>99</sup> to  $\sim 62^{\circ}N^{41,42}$ , and in the northern North Atlantic, with an equatorward shift of the polar front to  $\sim 57^{\circ}N^{17,20,49,50}$ . Notable <sup>100</sup> cooling is also recorded over southern Europe<sup>62–64</sup>, as well as in marine sediment cores from the western Iberian margin and <sup>101</sup> the Mediterranean Sea, with an estimated  $\sim 1.5^{\circ}$ C decrease in SST during D–O stadials<sup>26,65,66</sup> (Fig. 1d).

Planktonic  $\delta^{18}$ O records reveal the presence of a strong halocline in the Nordic Seas and the northeastern North Atlantic during stadials<sup>48,67</sup>. It is proposed that these North Atlantic coolings and freshenings, associated with the presence of IRD layers, are linked to AMOC weakening during interstadial to stadial transitions<sup>47</sup>. Furthermore, proxy records indicative of changes in oceanic circulation, such as North Atlantic records of the sedimentary <sup>231</sup>Pa/<sup>230</sup>Th (refs<sup>10,68,69</sup>) (Fig. 1a), benthic foraminifera carbon isotope ratio ( $\delta^{13}$ C)<sup>51,70–73</sup>, neodymium isotope ratios ( $\varepsilon$ Nd)<sup>68,74,75</sup> and the concentration of carbonate ions ([CO<sub>3</sub><sup>2–</sup>]) in South Atlantic bottom water<sup>76</sup>, support recurrent AMOC weakening during each stadial of MIS 3.

Although the atmospheric poleward heat transport accounts for 78% of the total heat transport at  $35^{\circ}N^{77}$ , the oceanic meridional heat transport in the North Atlantic, with the AMOC being its main contributor<sup>78</sup> (Box 1), accounts for the total

oceanic heat transport north of 30°N. AMOC weakening thus leads to considerable cooling in the North Atlantic and sea-ice 110 advance over the Labrador and Nordic Seas<sup>53,79</sup> (Fig. 2a). Greater sea-ice cover in the Nordic Seas increases surface albedo 111 and reduces heat loss from the ocean to the atmosphere, thus leading to substantial cooling over Greenland<sup>80</sup>. Paleoproxy 112 records suggest that increased sea-ice cover, reduced air-sea heat exchange and weaker deep-ocean convection could also 113 induce sub-surface ocean warming (>1  $^{\circ}$ C) in the Nordic Seas<sup>41,42,67,81–83</sup>. Annual mean sub-surface warming in the Nordic 114 Seas resulting from AMOC weakening, such as that inferred for D-O stadials, is not necessarily simulated in freshwater hosing 115 experiments because of the dominant effect of reduced advection of warm North Atlantic waters into the Nordic Seas and the 116 occurrence of deep-ocean convection<sup>84</sup> (Fig. 3a). However, as deep-ocean convection occurs in winter close to the sea-ice 117 edge, summer sea-ice melting and increased stratification could lead to sub-surface warming in summer, as inferred from proxy 118 records. 119

As the AMOC leads to northward oceanic meridional heat transport at all latitudes in the Atlantic basin<sup>85</sup>, a weaker AMOC 120 induces warming in the South Atlantic, extending from the surface to intermediate depths, due to weaker 'heat piracy'<sup>86–88</sup> 121 (Fig. 2a). Proxy records indeed suggest slightly warmer conditions during D-O stadials than interstadials at mid and high 122 southern latitudes, with a potential southward shift of the thermal subtropical and sub-Antarctic fronts in the South Atlantic<sup>89–91</sup> 123 and an  $\sim 1$  °C SST increase in the sub-Antarctic and South Pacific<sup>92–94</sup> (Fig. 2a). High-resolution Antarctic ice-core records, 124 synchronized with Greenland ice cores through atmospheric methane (CH<sub>4</sub>) evolution, also suggest that all stadials of the 125 last glacial period were associated with a multi-millennial  $\sim 1$  °C warming in Antarctica<sup>44,95–97</sup> (Fig. 1g). This north–south 126 asynchrony, termed the thermal bipolar seesaw<sup>88,98</sup>, was initially described by a thermodynamic model in which AMOC 127 changes modulate the meridional ocean heat transport<sup>88</sup>, increasing the Southern Ocean heat content, decreasing Southern 128 Ocean sea-ice cover and leading to warming over Antarctica owing to ocean heat release. 129

Climate modelling experiments in which the AMOC is artificially weakened and proxy records (including pollen records, 130 speleothems and the geochemical composition of marine and lake sediment) provide evidence for changes in the hydrological 131 cycle during D-O stadials. Lower SSTs in the North Atlantic, coupled to a strengthening of the subtropical high-pressure 132 system in the North Atlantic, lead to drier conditions over southern Europe and the Mediterranean region<sup>53, 64, 79, 99–102</sup> (Fig. 133 2c). For example, numerical simulations performed with climate models under glacial conditions estimate a reduction in 134 precipitation of approximately  $-10 \text{ cm yr}^{-1}$  over southern Europe<sup>79</sup>. Stadials were also associated with drier conditions in 135 the northern tropical Atlantic ( $\sim -10 \text{ cm yr}^{-1}$ )<sup>45,79</sup> (Fig. 1e) and wetter conditions in the southern tropical Atlantic ( $\sim +10 \text{ cm}$ ) 136  $yr^{-1}$ )<sup>69,79</sup>, with a stronger South American monsoon<sup>103–105</sup> (Fig. 2c). Furthermore, analyses of marine sediment cores and 137 freshwater hosing experiments suggest a weaker Indian summer monsoon during stadials<sup>45, 53, 79, 106–109</sup>. Although higher  $\delta^{18}$ O 138 values recorded in speleothems from China (Fig. 1f) have been interpreted as reflecting a weaker East Asian Monsoon during 139 stadials<sup>43,110,111</sup>, this is not consistently supported by numerical simulations performed with coupled climate models<sup>79,107</sup> (Fig. 140 2c). The latitudinal location of maximum precipitation, the Intertropical Convergence Zone (ITCZ), lies at the energy flux 141 equator, the position of which depends on the tropospheric air-temperature difference between the hemispheres<sup>112</sup>. Cooler 142 conditions over, at least part, of the high northern latitudes and warmer conditions at high southern latitudes thus induce a 143 southward shift of the ITCZ in the Atlantic and Indian Ocean sectors during stadials<sup>39,53,79,107,108,112</sup>, consistent with the 144 hydrological changes observed in the palaeo records. 145

<sup>146</sup> D–O stadials are therefore characterized by the following climatic changes, consistent with a change in oceanic meridional <sup>147</sup> heat transport in the Atlantic: sea-ice advance in the Nordic Seas; cooling over Greenland ( $\sim$ -12 °C), the North Atlantic ( $\sim$ -1.5 <sup>148</sup> °C at mid latitudes and  $\sim$ -4 °C close to the sea-ice front) and Europe; and small-amplitude ( $\sim$ 1 °C) warming at mid and high <sup>149</sup> southern latitudes. D–O stadials are also associated with drier conditions in southern Europe and over the northern Tropics, <sup>150</sup> while the southern Tropics become wetter, consistent with a southward shift of the ITCZ. Numerical simulations suggest that <sup>151</sup> the observed climatic and oceanic geochemical changes are consistent with a weaker AMOC.

#### 152 [H2] Heinrich stadials.

In Greenland ice cores, the amplitude of the  $\delta^{18}$ O and correlated temperature changes that occur during D–O and Heinrich 153 stadials are similar (Fig. 1b), even though Heinrich stadials are usually longer than non-Heinrich stadials<sup>14,28,44</sup>. Proxy records 154 suggest that sea-ice cover is perennial in the Norwegian Sea and reaches  $62^{\circ}N^{41,42}$  during Heinrich stadials and that the cooling 155 in the northern North Atlantic is similar to that during D-O stadials<sup>20,49,50</sup>. However, additional data are needed to better 156 constrain the full extent of the sea-ice advance in the Nordic Seas and northern North Atlantic during Heinrich stadials. Over 157 southern Europe, the cooling is usually larger during Heinrich stadials than D–O stadials<sup>62–64</sup>. In marine sediment cores 158 from the western Iberian margin and the Mediterranean Sea, the SST anomalies are twice as large ( $\sim -3$  °C) for Heinrich 159 stadials<sup>26,65,66</sup> (Fig. 1d and Fig. 2a,b). 160

Heinrich events are characterized by a particularly high IRD abundance in North Atlantic sediments<sup>20,25,48–51</sup>, indicating 161 sustained iceberg discharge and transport to core sites. In addition, compared with D-O stadials, Heinrich stadials are associated 162 with higher amplitude climatic anomalies in the North Atlantic and far-field regions (as detailed below), thus pointing to a 163 very weak or even fully shutdown AMOC<sup>15, 38, 54, 113</sup>. Numerical simulations performed with coupled climate models indeed 164 suggest that an AMOC shutdown reduces the meridional oceanic heat transport to the North Atlantic by  $\sim 40\%$  ( $\sim -0.8$  PW at 165  $30^{\circ}N$ )<sup>53,79,114</sup> (Fig. 2b), thus leading to strong North Atlantic cooling ( $\sim$ 3–6 °C). As deep-ocean convection brings surface 166 waters that are close to freezing point to depth, reduced deep-water formation in the Nordic Seas leads to sub-surface warming<sup>84</sup> 167 (Fig. 3). In addition, as deep-water formation in the Nordic Seas weakens, so does transport through the East Greenland current, 168

which brings cold water to the northwestern Atlantic. As a result, the sub-surface temperature increases in the Greenland Sea,
 the Labrador Sea and in the northwestern Atlantic<sup>84, 115, 116</sup>. The geographical location and depth of the sub-surface warming is
 dependent on changes in the site of deep-water formation and associated changes in sub-surface currents.

Proxies for oceanic circulation provide further support for a very weak AMOC during Heinrich stadials. For example, the sedimentary  $^{231}$ Pa/ $^{230}$ Th in the North Atlantic increases towards the production ratio $^{10,68,117}$  (Fig. 1a), indicating a notable reduction in the southward advection of Pa at depth in the North Atlantic, in agreement with an AMOC shutdown. Furthermore,  $\delta^{13}$ C decreases in the intermediate and deep North Atlantic<sup>71,72,118–120</sup> (although the signal is muted for Heinrich stadials 2 and 3)<sup>40,73</sup>, and [CO<sub>3</sub><sup>2–</sup>] decreases in the deep South Atlantic, both indicating reduced NADW transport<sup>76</sup>.

Hydrological changes are also generally larger during Heinrich than D–O stadials. Relative to an interstadial, conditions are much drier over southern Europe, the Mediterranean ( $\sim$ -20 cm yr<sup>-1</sup>)<sup>64,99-102</sup> and the northern tropical Atlantic ( $\sim$ -20 to -40 cm yr<sup>-1</sup>)<sup>45,121,122</sup>. The Indian summer monsoon is weaker ( $\sim$ -20 to -40 cm yr<sup>-1</sup>)<sup>45,106,108,109,123</sup>, and possibly also the East Asian Monsoon<sup>43,110,111</sup> (Fig. 1e,f and Fig. 2d). By contrast, wetter conditions prevail in the southern tropical Atlantic ( $\sim$ +10–30 cm yr<sup>-1</sup>)<sup>69</sup>, and the South American monsoon is stronger<sup>103–105</sup>. Heinrich stadials have thus been associated with more spatially extensive and more extreme southward shifts of the ITCZ<sup>39,45,53,112,124–126</sup> (Fig. 2d).

The greater amplitude of the AMOC changes, and the associated changes in oceanic meridional heat transport, during Heinrich stadials leads to more pronounced warming at mid to high southern latitudes (Fig. 2b). Proxy records suggest a southward shift of the thermal subtropical and sub-Antarctic fronts in the South Atlantic<sup>89–91</sup>, a 2–3 °C ( $\pm 0.5$  °C) SST increase in the sub-Antarctic and South Pacific<sup>92–94</sup>, and a multi-millennial 2–3 °C ( $\pm 1^{\circ}$ C) increase in surface air temperature over Antarctica<sup>44,95,97</sup> (Fig. 1g).

Freshwater hosing experiments performed with climate models consistently simulate a South Atlantic SST increase, of up 188 to 3 °C, as a result of an AMOC cessation<sup>53,79</sup> (Fig. 2b). However, not all simulations display a SST increase in the South 189 Pacific Ocean, and the magnitude of the simulated temperature increase over Antarctica is lower ( $\sim 0.5$  °C) than suggested by 190 proxy records<sup>53,79,127,128</sup>. The magnitudes of the surface temperature increase over Antarctica and the Southern Ocean are, 191 however, correlated, confirming the important role of ocean processes in Antarctic warming<sup>79</sup>, even if regional differences in 192 the temperature response over Antarctica are most likely due to atmospheric processes<sup>127</sup>. These simulations thus highlight the 193 limits of the bipolar seesaw theory: an AMOC weakening and associated change in meridional oceanic heat transport might not 194 explain the full magnitude of the high-southern-latitude warming. Instead of just passively responding to AMOC changes, 195

changes in Southern Ocean dynamics might need to be invoked<sup>98, 129</sup>. Enhanced deep-ocean convection in the Southern Ocean
 during stadials<sup>130, 131</sup>, resulting from stronger and/or poleward-shifted Southern Hemisphere westerlies or reduced surface
 buoyancy, would increase the ocean meridional heat transport towards Antarctica<sup>132</sup>. In turn, this change would lead to surface
 ocean warming, sea-ice decrease and warmer conditions at high southern latitudes<sup>132–135</sup>.

Even if the response of Southern Hemisphere westerlies to Heinrich stadials is poorly constrained, temperature changes in 200 the North Atlantic could affect the Southern Hemisphere westerlies through an atmospheric tropical bridge<sup>127, 128</sup>. As the ITCZ 201 corresponds to the ascending branch of the Hadley cell, a southward ITCZ shift strengthens the Northern Hemisphere Hadley 202 cell, owing to increased heat transport by the Northern Hemisphere Hadley cell to compensate for reduced northward oceanic 203 heat transport<sup>136</sup>. This strengthening of the Northern Hemisphere Hadley cell in turns weakens the Southern Hemisphere 204 Hadley cell. An associated weakening of the Southern Hemisphere subtropical jet would shift the Southern Hemisphere 205 eddy-driven jet poleward and strengthen the Southern Hemisphere surface westerlies<sup>137,138</sup>. Although additional constraints on 206 the response of Southern Hemisphere westerlies to North Atlantic cooling are needed, Antarctic ice-core isotopic records<sup>139</sup> 207 indicate a strengthening and poleward shift of the Southern Hemisphere westerlies during stadials. This rapid atmospheric 208 teleconnection between the North Atlantic and the Southern Ocean would be superposed onto a slower oceanic teleconnection. 209 Enhanced deep-ocean convection in the Southern Ocean during Heinrich stadials, potentially resulting from strengthening 210 of the Southern Hemisphere surface westerlies, could explain the observed concurrent increase in CO<sub>2</sub> concentration (Fig. 1h), 211 through increased upwelling of carbon-rich deep waters to the surface<sup>46,132,134,140,141</sup>. Deep-ocean convection in the Southern 212 Ocean would lead to further sea-ice retreat<sup>132</sup>, which could also contribute to the CO<sub>2</sub> rise<sup>142</sup>. Increased dissolved oxygen 213 content and reduced ventilation ages in the deep South Atlantic during Antarctic warm events may corroborate this possibility 214

<sup>215</sup> by pointing to increased Southern Ocean ventilation<sup>91,130,131</sup>.

Palaeoproxy records suggest that the formation of North Pacific Intermediate Water (NPIW) was probably stronger during 216 Heinrich stadial 1 than during either the Last Glacial Maximum (LGM;  $\sim 20$  ka) or the Holocene<sup>143–145</sup>. Numerical simulations 217 show that, when the Bering Strait is closed, an AMOC shutdown could enhance NPIW formation<sup>143, 146–148</sup> through a reduction 218 in moisture transport from the Atlantic to the Pacific, coupled to reduced precipitation in the western equatorial Pacific owing to 219 the southward shift of the ITCZ. Increased surface salinity in the Northwest Pacific could then strengthen NPIW formation, 220 which would be reinforced through the Stommel feedback by enhanced advection of low-latitude saline waters. The associated 221 increase in heat transport to the northeast Pacific could lead to warmer and wetter conditions over North America (Fig. 2b), 222 thus potentially affecting the LIS mass balance. In addition, through enhanced ventilation of carbon-rich intermediate North 223 Pacific waters, a stronger NPIW would contribute to a CO<sub>2</sub> increase<sup>149</sup>. 224

The climatic imprint of Heinrich stadials is thus similar to that of D–O stadials, but they are longer, and the amplitude of the climatic and oceanic geochemical changes is generally larger, consistent with a weaker AMOC (Fig. 4). A weaker AMOC is also consistent with larger changes in Northern Hemisphere ice sheets and iceberg discharges into the North Atlantic during Heinrich stadials. The larger high-southern-latitude warming and considerable CO<sub>2</sub> increase occurring during Heinrich stadials further point to changes in Southern Ocean processes, potentially linked to a non-linear or threshold response to AMOC changes.

#### [H2] Interstadials.

Temperature reconstructions from the North Greenland Ice Core Project (NGRIP) suggest that D–O events of the last glacial period are characterized by a mean temperature increase of  $12 \pm 2.6$  °C over a few decades<sup>14</sup>. Each episode of abrupt Greenland warming during MIS 3 was associated with a reduction in Norwegian Sea sea-ice cover, the spring sea-ice edge shifting north of ~62°N<sup>41,42</sup> and an abrupt 4–6 °C increase in North Atlantic summer SST<sup>49,50</sup>.

This rapid North Atlantic temperature increase and sea-ice reduction is most likely due to the resumption of deep-ocean convection and thus NADW formation in the Nordic Seas<sup>38,39,42,48,67,82,150</sup>. Evidence for a consistently strong AMOC during interstadials also comes from low North Atlantic sedimentary  $^{231}$ Pa/ $^{230}$ Th<sup>10,68,117</sup> (Fig. 1a), the magnetic properties of North

Atlantic sediment<sup>151</sup> and a high  $[CO_3^{2-}]$  in the deep sub-Antarctic Atlantic Ocean<sup>76</sup>.

A strong AMOC, similar to today's, is also consistent with the relatively warm and wet conditions over Europe and the 240 Mediterranean region recorded during each interstadial<sup>28,63,64,99–102,152–154</sup>. In addition, Greenland and Antarctic ice-core 241 records indicate that changes in the concentration of atmospheric CH<sub>4</sub> are tightly coupled to D–O variability<sup>155–157</sup>. As the 242 dominant source of CH<sub>4</sub> to the atmosphere is anaerobic decomposition of organic matter in low-latitude wetlands<sup>158</sup>, CH<sub>4</sub> 243 variations indicate changes in the hydrological cycle of tropical and subtropical regions<sup>155</sup>. As northern tropical wetlands cover 244 a larger area than their southern counterparts, the relatively high atmospheric CH4 concentration recorded in high-resolution 245 Antarctic ice cores during all interstadials of the last glacial period<sup>159</sup> (Fig. 1c) suggests a northward position of the ITCZ<sup>160</sup>, 246 consistent with a strong AMOC<sup>112</sup>. 247

To summarize, palaeoproxy records from the Atlantic basin indicate changes in oceanic circulation associated with D–O cycles of the last glacial period. In addition, there is substantial evidence for concurrent climatic variations in both proximal and distal regions, including southern high latitudes, which are consistent with AMOC changes. Together, the available observational records and numerical experiments indicate AMOC weakening during the transition to a D–O stadial, further AMOC weakening, or even shutdown, during Heinrich stadials and rapid AMOC strengthening towards interstadial conditions<sup>10, 38, 39, 117</sup> (Fig. 4).

<sup>253</sup> However, what led to these AMOC variations?

## [H1] Processes involved in D–O variability

Although the expression of D–O variability is relatively well constrained (except for details in relative phasing), particularly 255 in the Atlantic region, and there is substantial evidence for its association with AMOC changes, the processes leading to this 256 variability are still highly debated. No hypothesis can explain all inferred climate changes of the D-O and Heinrich continuum, 257 raising questions regarding their origin. We address these questions in this section. Specifically, we consider whether the 258 variability is internal to the atmosphere-ocean-sea-ice system<sup>55-58</sup> and whether changes in  $pCO_2$  could trigger the transitions<sup>60</sup>. 259 Moreover, we discuss whether ice-sheet discharges are needed to explain non-Heinrich stadials, and whether the correspondence 260 of Heinrich events with D-O stadials represents the phase locking of two separate oscillatory systems through, for example, 261 stochastic resonance<sup>161–163</sup>. We also address questions regarding the processes involved in Heinrich events and the role of 262 background conditions in AMOC stability. 263

#### [H2] Internal oscillations.

Early studies hypothesized that D–O cycles were the result of a 'salt oscillator'<sup>54,113</sup>, whereby warm and wet conditions in the Northern Hemisphere during interstadials, associated with increased continental ice-sheet melt, result in greater surface buoyancy in the North Atlantic, thus weakening NADW formation and the AMOC. Cold and dry conditions in the Northern Hemisphere during stadials, due to the southward shift of the ITCZ, would increase salinity in the tropical North Atlantic thus strengthening the AMOC<sup>164,165</sup> (Fig. 5). Numerical experiments<sup>55,57,164</sup> and palaeoproxy records<sup>67,81</sup> provide support for the role of a North Atlantic salt oscillator during D–O cycles, highlighting the tight coupling between sea ice, North Atlantic salinity transport and NADW formation.

Internal millennial-scale AMOC variations have been simulated in coupled climate models<sup>55–58,166–168</sup>, usually under 272 specific boundary conditions. Some of these oscillations were initiated by stochastic atmospheric forcing<sup>167, 168</sup> or stochastic 273 changes in the Nordic Seas overturning circulation<sup>166</sup>. In other models, the radiative balance led to sea-ice growth, and the 274 associated North Atlantic salinity re-distribution was sufficient to induce fairly rapid ( $\leq$ 500 years) cooling towards stadial 275 conditions<sup>55,57</sup>. The interstadial transition is then initiated by a reorganization of the vertical thermohaline structure of the 276 northern North Atlantic. On the basis of palaeoproxy records<sup>42,67,81,82</sup>, it has been postulated that extensive perennial sea-ice 277 cover and a strong halocline in the Nordic Seas during stadials would prevent heat transfer to the atmosphere and lead to an 278 increase in heat content below the pycnocline (a depth of  $\sim$  300 m; Figs 3 and 5). Although a simple column model of the 279 Nordic Seas has shown that this heat build-up below the pycnocline could induce convective overturning<sup>169</sup>, the convective 280 overturning in coupled models occurred in the northern North Atlantic owing to either a northward salinity flux below the 28

sea-ice lid<sup>55</sup> or the creation of a super polynya<sup>57</sup>. However, these simulated convective overturning events were obtained by
 adjusting the diapycnal diffusivity profiles of the ocean models<sup>55,57</sup>. Additional work is thus required to assess whether such
 convective overturning events could arise in the Nordic Seas under intermediate glacial conditions.

The cooling towards stadial conditions would potentially be slower, and thus in better agreement with palaeo records, if it involved the build-up of extensive ice shelves in the Labrador and/or Nordic Seas<sup>170, 171</sup>. There is some observational support for the presence of fringing ice shelves around Greenland during the last glacial interval<sup>172, 173</sup>. However, the current evidence suggests that Baffin Bay was not covered by a full ice shelf at the LGM and, thereby, perhaps also during MIS 3<sup>174</sup>.

An outstanding challenge for models is to obtain D–O-like oscillations under only appropriate boundary conditions and forcings. Oscillatory behaviour under fixed boundary conditions has been observed in only a few modelling experiments<sup>55–58</sup>, some of which used very specific boundary conditions, including pre-industrial Northern Hemisphere ice sheets, low obliquity (22°), low CO<sub>2</sub> concentration ( $\leq$ 217 ppm)<sup>56,58,166</sup> or LGM boundary conditions<sup>55,57</sup>, that do not match those of MIS 3 when D–O variability was most prevalent.

#### <sup>294</sup> [H2] Impact of atmospheric CO<sub>2</sub> changes on the AMOC.

Heinrich stadials are associated with an increase in  $CO_2$  concentration of up to  $\sim 20$  ppm, followed by a millennial-scale 295 20-30 ppm decrease<sup>46,141</sup> (Fig. 1h). However, current records are unable to resolve significant CO<sub>2</sub> concentration changes 296 during D-O stadials, and MIS 3 includes multi-millennial periods with D-O events but CO<sub>2</sub> changes of <10 ppm. A gradual 297 CO2 increase could lead to abrupt AMOC strengthening at the end of Heinrich stadials by decreasing the sea-ice cover in the 298 North Atlantic either directly or through increased salt transport from the tropical to the North Atlantic<sup>59,60,175</sup>. This AMOC 299 sensitivity to CO<sub>2</sub> changes seems to occur in models that display deep-water formation in the northern North Atlantic, south of 300 Iceland. By contrast, models that simulate deep-water formation in the Nordic Seas display a much higher AMOC stability to 301 changes in CO<sub>2</sub> under MIS 3 boundary conditions<sup>176</sup>, potentially indicating that AMOC stability is dependent on the location 302 and strength of deep-water formation. 303

#### <sup>304</sup> [H2] Meltwater discharges and D–O stadials.

 $\delta^{18}$ O records from the Norwegian and Irminger Seas are consistent with decreases in sea-surface salinity (and thus halocline strengthening) occurring in phase with stadials<sup>49,81</sup>, possibly due to melting and calving of the circum-North Atlantic ice sheets<sup>177,178</sup>. It is, however, unclear whether the magnitude of the associated meltwater input would have been sufficient to weaken the AMOC. Although the AMOC stability generally seems to be lower under intermediate glacial conditions than interglacial ones<sup>38,39,60,179</sup>, only a few models are very close to the stability threshold<sup>60,179</sup>. However, changes in LIS height could have also directly affected the AMOC strength through changes in North Atlantic windstress, thus lowering the stability threshold<sup>59,60</sup>.

Calving of circum-North Atlantic ice sheets is supported by the presence of IRD layers in North Atlantic marine sediment 312 cores during all D–O stadials<sup>20,47,51</sup>. Iceberg discharges require a marine ice-sheet boundary. The cold surface air and SSTs 313 during stadials would have promoted marine ice-sheet expansion<sup>177</sup>. But with the stadial accumulation of heat below the 314 halocline, these marine margins could have then become destabilized with a resultant increase in iceberg discharges<sup>178</sup>. A 315 complication for causal inference is that the presence of IRD also reflects, to an uncertain extent, enhanced preservation of 316 icebergs owing to colder near-surface ocean temperatures as they are advected to the marine core sites. Therefore, it has 317 also been suggested that North Atlantic cooling preceded IRD deposition, thus implying that iceberg discharges could be a 318 consequence and not a cause of stadials<sup>20</sup>. 319

Although Nordic Seas sea ice, freshwater balance and thus stratification appear to have a key role in D–O variability, further work is needed to fully understand the underlying processes. In this regard, key questions to resolve are: what are the mechanisms involved specifically in Heinrich events, and how do they relate to D–O cycles?

#### 323 [H2] Processes leading to Heinrich events.

From the mid-Pleistocene transition ( $\sim$ 640 ka), Heinrich events are identified by thick layers of IRD originating from the Hudson Strait, thus indicating LIS discharges. Red Sea isotope records and fossil coral data suggest that Heinrich events could have been associated with a global mean sea-level rise of >15 m (refs<sup>36, 180–182</sup>). However, the amplitude and, especially, the timing of these potential sea-level variations are still uncertain<sup>183</sup>. Given the evidence for Hudson Strait provenance of IRD and substantial sea-level change, LIS dynamics have been proposed to explain Heinrich events<sup>177</sup>.

The most prominent theories include a growth-purge mechanism (usually referred to as binge-purge), which involves 329 free oscillations of the ice stream owing to build-up and subsequent purging of basal ice at the pressure melting point for 330 ice streaming<sup>184</sup>. A numerical simulation performed with a coupled ice-sheet and simplified climate model<sup>185</sup> obtained 331 multi-millennial oscillations with an associated change in LIS ice volume equivalent to a sea-level change of 5–10 m. For this 332 model, each Heinrich event was triggered by small-scale instabilities of the ice sheet at the mouth of the Hudson Strait. In 333 addition, a comparison of ice-sheet models<sup>186</sup> found the growth-purge mechanism to be fairly robust across most participating 334 models, with a strong dependence of ice-loss magnitude on the parameterization of the basal sliding rate and with periods in the 335 5-17 kyr range, depending on the model. This study also showed that the oscillations cease with higher temperatures owing to 336 sustained ice streaming. 337

Complementary theories have sought to more directly link LIS disintegration to climatic conditions or to elucidate the 338 physical trigger mechanism that was idealized in previous studies<sup>185</sup>. Sub-surface warming in the northern North Atlantic 339 and Nordic Seas during AMOC weakening<sup>67,81,115,187,188</sup> could trigger iceberg discharges in the Hudson Strait through two 340 mechanisms: the break-up of an ice shelf on the Labrador Sea, or direct retreat of marine-terminated LIS. The first mechanism 341 assumes that stadial conditions would enable the formation of a large ice shelf at the mouth of the Hudson Strait, in part 342 through buttressing and the suppression of submarine convection by adjacent sea ice<sup>189</sup>, especially land-fast ice<sup>190</sup>. This ice 343 shelf would be vulnerable to intra-stadial climate ameliorations<sup>172,174</sup> and/or sub-surface warming resulting from a weaker 344 AMOC<sup>115,187,191,192</sup>, thus inducing catastrophic ice-shelf break-up and a loss of buttressing of the Hudson Strait ice stream. 345 To date, however, it is unclear whether a substantial ice shelf developed at the mouth of the Hudson Strait during glacial 346 times. Even without the presence of a large terminal ice shelf, the Hudson Strait ice stream was marine terminating and 347 thus subject to potentially high melt from sub-surface ocean warming, which could facilitate fast marine margin retreat. If 348 such a sub-surface warming also extended into the Greenland and Nordic Seas, and at a depth where it could affect the 349 marine-terminated ice sheets or ice shelves, as inferred from some climate model experiments<sup>84,115,135,188</sup> (Fig. 3), then it 350 could lead to concurrent discharges of the European and Greenland ice sheets<sup>178, 193</sup>. Isostatic adjustment of the sill elevation 351 at the mouth of the Hudson Strait could also control the contact between warm sub-surface waters and the calving face and, 352 thus, modulate LIS discharges<sup>194</sup>. These hypotheses can therefore explain the occurrence of Heinrich events during stadials<sup>20</sup>, 353 as well as the occurrence of simultaneous disintegration events from other circum-Atlantic ice sheets through sub-surface 354 warming. However, although there is some observational evidence for sub-surface warming of the Norwegian Sea<sup>67,81,82</sup> and 355 northwestern Atlantic<sup>115</sup> during Heinrich stadials, additional studies are needed to constrain the magnitude, location and depth 356 of this warming. 357

<sup>358</sup> Changes in the southern extent or height of the LIS could also directly affect North Atlantic sea-ice concentration, <sup>359</sup> temperature<sup>195–197</sup> and the AMOC<sup>59</sup> by modulating the strength and position of the North Atlantic westerlies. There is some <sup>360</sup> evidence for a dynamic LIS during MIS 3: radiocarbon dates and relative sea-level constraints are consistent with a LIS <sup>361</sup> southern margin proximal to the southern edge of Hudson Bay ( $\sim$ 51°N) during the interstadial at 46.7 ka, followed by a fast <sup>362</sup> southward advance to  $\sim$ 44°N during Heinrich stadial 4 and subsequent retreat<sup>198</sup>. Thus, a dynamic LIS may have modulated the <sup>363</sup> AMOC strength, either through atmospheric steering or freshwater delivery to the North Atlantic (as the southern extent would <sup>364</sup> determine whether central LIS meltwater was routed southward to the Gulf of Mexico or eastward to the North Atlantic)<sup>199</sup>.

#### [H2] Background conditions and AMOC stability.

Although D-O variability stricto sensu is suppressed during interglacial times owing to the lack of large circum-Atlantic 366 ice-sheets, recent palaeoproxy records have highlighted periods of weaker AMOC during several interglacial periods<sup>200–202</sup>. 367 The processes leading to these AMOC weakenings are poorly constrained, but some of these weakenings could be due to 368 meltwater discharges from the Greenland ice sheet<sup>203</sup>. Nevertheless, during interglacial times, the AMOC seems to be a in a 369 relatively stable strong state, and the AMOC perturbations are of lower magnitude than those during glacial periods. 370 The prevalence of D–O variability during intermediate glacials indicates that background conditions, including CO<sub>2</sub> 371 concentration, the size of Northern Hemisphere continental ice sheets and the associated sea level most likely influence the 372 AMOC stability. Owing to the presence of Northern Hemisphere ice sheets, colder conditions in the North Atlantic and larger 373 sea-ice coverage under glacial conditions, the AMOC transition threshold during intermediate glacial states seems to be lower 374 than during interglacials — that is, a smaller perturbation is needed to significantly increase the sea-ice coverage and weaken 375 the AMOC<sup>38, 39, 179, 204</sup>. The closure of the Bering Strait, with its shallow sill depth at  $\sim$ 50 m below sea level, further lowers 376 the perturbation threshold<sup>205</sup> owing to its influence on the North Atlantic freshwater budget. On the one hand, the closure 377 of the Bering Strait strengthens the AMOC by hampering the flow of low-salinity Pacific waters towards the Arctic<sup>205, 206</sup>. 378 On the other hand, when the Bering Strait is closed, freshwater pulses would lead to persistent low-salinity anomalies in the 379 North Atlantic, as they cannot be flushed out through the Bering Strait<sup>207</sup>. In addition, numerical experiments suggest that the 380 formation of NPIW could strengthen when the AMOC weakens considerably and the Bering Strait is closed<sup>143,146</sup>. This change 381 in the NPIW could lead to warmer and wetter conditions over North America<sup>208</sup> (Fig. 5) and thus affect the LIS mass balance. 382 Using a zonally averaged multibasin model, it was suggested that a weak (stadial) AMOC state with deep convection south 383 of Iceland could be stable under glacial conditions<sup>38</sup>. However, modelling studies performed with coupled climate models 384 under intermediate glacial background conditions found the AMOC to be bi-stable, shifting between a weak and strong state 385 following small perturbations to the system<sup>59,60,179</sup>, or with a stable strong AMOC state<sup>176</sup> and temporarily stable AMOC off 386 state following meltwater perturbations<sup>39</sup>. It is thus likely that both the stability of the AMOC is lower and the perturbations to 387 the system are greater during intermediate glacial than interglacial states owing to the presence of larger Northern Hemisphere 388 ice sheets. As developed further below, perturbations to the system are not restricted to meltwater input, but also include 389 centennial-scale changes in Northern Hemisphere ice sheets, CO<sub>2</sub> concentration and sub-surface warming. 390 Finally, palaeoproxy records suggest that the AMOC was shallower<sup>209,210</sup> and potentially weaker<sup>211–213</sup> during the LGM, 391

whereas most of the Paleoclimate Modelling Intercomparison Project phase 3 and 4 (PMIP3 and PMIP4) LGM experiments 392 suggest the AMOC was as strong as during interglacials<sup>214,215</sup>. This discrepancy between the AMOC state inferred from 393 proxy records and modelling suggests that either the glacial perturbations (in this case, most likely meltwater input) are poorly 394 constrained or that deep-water formation does not occur at the 'right place' in the models, making them overly sensitive to 395 changes in North Atlantic windstress. Through strengthening of the North Atlantic windstress, a large LIS could lead to a strong 396  $AMOC^{216}$ , which would likely be more stable and less prone to internal oscillations<sup>38,56,58,176</sup>. Strong westerly windstress over 397 the North Atlantic indeed strengthens the subpolar gyre, the salt transport in the Irminger current and the eddy salt flux to the 398 centre of the gyre, which would favour NADW formation<sup>217,218</sup>. However, the importance of this process is likely dependent 399 on the location of deep-water formation (that is, in the Labrador or Nordic Seas). Indeed, in contrast to recent estimates<sup>1</sup>, in 400 some historical CMIP5 simulations, deep water primarily formed in the Labrador Sea<sup>219</sup>. 40

#### **[H1] Synthesis and proposed oscillatory system**

Although a complete explanation for D–O variability remains elusive, a tentative scheme can be advanced. As there is strong coupling between sea-ice formation, deep-ocean convection in the Nordic Seas and the AMOC, a small perturbation in the heat or salt flux in the Nordic Seas, such as increased meltwater runoff from circum-Atlantic ice-sheets, a decrease in  $CO_2$  or other climatic instabilities could lead to sea-ice advance<sup>220</sup>, a southward shift of the convection site<sup>221</sup> and AMOC weakening, thus leading to a stadial (Fig. 5b).

AMOC weakening would reduce the transport of cold surface and sub-surface waters through the Denmark overflow<sup>1,116</sup>. 408 This change in oceanic circulation, associated with increased sea-ice cover, would lead to sub-surface warming in the Nordic 409 Seas<sup>81,135</sup> and the Northwestern Atlantic<sup>115,188</sup> (Fig. 3). In turn, Northwestern Atlantic sub-surface warming could have 410 triggered the disintegration of a Labrador Sea ice shelf<sup>115,191</sup> or the retreat of the Hudson Strait ice stream<sup>194</sup>, thus leading to the 411 Hudson Strait iceberg discharges characteristic of Heinrich events. Recurrent episodes of AMOC weakening and sub-surface 412 warming would trigger a Heinrich event only when ice-shelf or ice-sheet conditions permitted (depending on, for example, 413 ice-sheet thickness and basal ice temperature), thus providing a plausible explanation for the link between D-O cyclicity and 414 Heinrich events. 415

Further AMOC weakening during a Heinrich stadial is linked to sea-ice advance and the lack of sustained deep-ocean 416 convection. The transition to an interstadial would arise from an increase in surface salinity in the northern North Atlantic 417 and/or a decrease in sea-ice extent. The proposed mechanisms are not mutually exclusive and include convective overturning in 418 the Nordic Seas, brought about by the sub-surface warming<sup>81</sup>; increased transport of low-latitude salty surface waters to the 419 North Atlantic<sup>164</sup>, potentially amplified by a strengthening of the westerlies over the North Atlantic owing to an increase in LIS 420 height; and/or a gradual CO<sub>2</sub> increase<sup>59,60</sup> (Fig. 5a). Finally, Southern Ocean processes could also contribute to reinvigoration 421 of the AMOC. Through enhanced Southern Ocean upwelling and Ekman transport of Southern Ocean waters to the Atlantic, 422 a strengthening of Southern Hemisphere westerlies (due to a southward shift of the ITCZ) during Heinrich stadials could 423 strengthen the AMOC by up to 4 Sv (refs<sup>222,223</sup>). However, eddy compensation in the Southern Ocean could substantially 424 reduce the impact of Southern Hemisphere winds on the AMOC<sup>224</sup>. A bipolar ocean seesaw, NADW-Antarctic Bottom Water 425 (AABW), could also strengthen NADW formation after episodes of deep-ocean convection in the Southern Ocean during 426 Heinrich stadials, owing to density differences between NADW and AABW<sup>225, 226</sup>. 427

[H2] A self-sustained oscillatory framework.

428

On the basis of the evidence for a 1-2 kyr periodicity of D-O cycles<sup>23,31,35,49,227,228</sup>, the occurrence of stochastic resonance, 429 both 'autonomous' (purely internal to the ocean-sea-ice-atmosphere system) and 'non-autonomous' (arising from the influence 430 of an external, possibly periodic, forcing), has been proposed 161-163. However, the numerical models first used to investigate 431 the role of stochastic resonance in D-O variability were box models, lacking important processes and feedbacks. As detailed 432 above, improvements in climate modelling capacity as well as additional and more detailed palaeorecords now provide a clearer 433 picture of the processes at play. 434

We thus propose that the D-O variability could be explained by a self-sustained oscillatory framework of the coupled 435 climate-ice-sheet system, which would be characterized by a relatively low stability of the AMOC in its strong state under 436 intermediate glacial conditions. Small perturbations (for example, centennial-scale changes in the northern North Atlantic 437 freshwater balance, CO<sub>2</sub> or wind) could then lead to AMOC weakening and an associated rapid Northern Hemisphere sea-ice 438 advance into a stadial. This AMOC weakening and sea-ice advance would induce climatic changes, including sub-surface 439 warming in the northern North Atlantic and a southward shift of the ITCZ, with the latter leading to saltier conditions in the 440 tropical North Atlantic. On a multi-centennial timescale, these climatic changes act as negative feedback on the AMOC and 441 sea-ice changes, thus leading to AMOC recovery and rapid sea-ice retreat into an interstadial. Depending on the state of the 442 LIS, and possibly the surrounding ice shelves, the initial AMOC weakening and associated climatic changes could trigger 443 the LIS discharges characteristic of Heinrich events, which act as a positive feedback, amplifying the AMOC weakening and 444 sea-ice advance. An AMOC off state, with enhanced NPIW and AABW formation, could then be marginally stable. However, 445 the larger amplitude of the anomalies generated during Heinrich stadials, particularly North Atlantic sub-surface warming, 446 changes in LIS extent, strengthening of Southern Hemisphere westerlies and CO<sub>2</sub> increase, would have the potential to trigger 447 AMOC recovery and even overshoot (Figs. 1 and 5). Such an AMOC overshoot and associated North Atlantic surface warming 448 could then push the system back towards stadial conditions. 449

From this synthesis of the proposed D-O sequence tentatively emerges an oscillatory system characterized by self-sustained 450 centennial to millennial scale variations in the ocean-sea-ice-atmosphere system that resonate with (and help to trigger) 451

<sup>452</sup> multi-millennial scale variations in marine-based ice sheets, potentially through ice-shelf-related dynamical instabilities. The <sup>453</sup> expression of this oscillatory system would, in theory, be amplified or damped (turned on or off) according to the background

climate state (for example, temperature, salinity and wind stress), which is also linked to ice volume. The preponderance of

<sup>455</sup> D–O variability during intermediate glacial states indeed suggests a dependence on background conditions, including any or all

of the following: the presence of the LIS, but of moderate size so as not to induce strong North Atlantic westerly windstress,

such as prevails at the LGM<sup>214,216</sup>; relatively high boreal summer insolation at high northern latitudes, leading to summer melt

of circum-Atlantic ice-sheets; and sea-level modulation (if not closure) of the Bering Strait throughflow, which would affect the

<sup>459</sup> North Atlantic freshwater budget and AMOC stability<sup>205,206</sup>. However, it should be noted that D–O cycles 4 and 3 occurred

<sup>460</sup> when the LIS was large and the summer insolation at high northern latitudes was moderate.

#### <sup>461</sup> [H1] Summary and outlook

Glacial periods of the Pleistocene, and particularly glacial states with an intermediate Northern Hemisphere ice-sheet volume, are characterized by millennial-scale climate variability<sup>23,25–27,31,33</sup>. This D–O variability involves variations in AMOC strength and Nordic Seas sea ice, thus leading to surface temperature changes of opposite signs and asynchronous timing in both hemispheres<sup>22</sup>. The climatic expression of D–O variability is fairly well constrained in the Atlantic region and surrounding landmasses, but the knowledge of its expression in other regions is more limited owing to a lack of high-resolution proxy records, issues with age constraints and interpretations, as well as a lack of knowledge on the oceanic and atmospheric teleconnections arising from a weaker AMOC and associated cooler North Atlantic.

The sequence of events that led to D–O climatic variability is still highly debated. Several mechanisms have been put 469 forward to explain the D-O variability; however, none can currently replicate all the characteristics of D-O cycles, including 470 their preponderance during intermediate glacial states. Here, we propose a self-sustained oscillatory model of the climate-471 ice-sheet system, modulated by background climatic conditions, to synthesize observations and current understanding of the 472 underlying processes. In this self-sustained oscillatory conceptual framework centred on AMOC and Nordic Seas sea-ice 473 changes, which feed back on each other, the climatic, CO<sub>2</sub> and ice-sheet changes occurring during stadials provide a negative 474 feedback on the AMOC and Nordic Seas sea-ice cover, thus leading to the AMOC reinvigoration to interstadial conditions (Fig. 475 5b), and vice versa. D-O variability would not be a simple response to meltwater fluxes. Instead, D-O cyclicity would represent 476 an emergent phenomenon rooted in the ocean-sea-ice-atmosphere system and linked to ice-sheet dynamics (and therefore 477 Heinrich events) through the impact of AMOC changes on ice-shelf stability and/or ice streaming, as well as through potential 478 positive feedbacks from meltwater delivery on AMOC strength. This framework complements the previously suggested AMOC 479 hystereses as a function of meltwater input<sup>8</sup>, LIS or CO<sub>2</sub> changes<sup>59,60</sup> as it acknowledges that the AMOC does not only respond 480 to but also influences the climate, ice sheets and the carbon cycle. 481

The principal challenge in further developing these theories is that current Earth system models do not include all necessary 482 components (for example, biogeochemistry, ice shelves, ice-sheet dynamics), inadequately represent important processes 483 or cannot be integrated long enough under intermediate glacial conditions to simulate self-sustained D-O cycles. NADW 484 formation in climate models is highly parametrized and not well constrained by observations, so there is little confidence in 485 simulated changes in the strength and location of NADW formation in response to climate change, both past and future. Owing 486 to relatively long integration times necessary to understand the processes involved in D–O variability, numerical experiments 487 have been performed with simpler or relatively coarse resolution climate models that do not include ice sheets and/or do not 488 adequately resolve relevant boundary currents and key marine sills. Given the complexity of the oceanic circulation in the 489 North Atlantic and Nordic Seas regions, and the central role of mesoscale eddies in salt and heat transport, the processes at play 490 could be better constrained by performing numerical experiments with higher-resolution coupled climate models. Coupled 491 climate-ice-sheet model simulations of past glacial periods are starting to emerge<sup>197</sup> but need to be expanded to help in the 492 understanding of the interaction between ice-sheet, ice-shelf, sea-ice and AMOC variations. Additional observational data on 493 the magnitude and timing of glacioeustatic changes in sea levels and Northern Hemisphere ice sheets, and their relative timing 494

with respect to D–O variability, are needed to better constrain the role of changes in ice-sheet volume and associated meltwater input into the ocean. Owing to the strong coupling between sea-ice and deep-water formation, additional records of past millennial-scale changes in sea-ice cover in the Nordic Seas and northern North Atlantic are required. Finally, as sub-surface warming in the northern North Atlantic during stadials likely had an important role in triggering D–O and Heinrich events, additional ocean interior temperature records and process studies are needed to quantify the magnitude, location and depth of this potential warming.

Past AMOC changes suggest that the AMOC might be less stable than currently simulated by climate models and/or that the range of processes affecting the buoyancy and dynamics of the North Atlantic and Nordic Seas might be larger than classically thought. As anthropogenic emissions of carbon accumulate in the atmosphere, runoff from the Greenland ice sheet will increase and the Arctic sea-ice extent will continue to decline. These factors are likely to weaken the AMOC, with important implications for the climate, cryosphere and global carbon cycle.

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## **Author contributions**

L.M. wrote the manuscript with contributions from L.C.S., L.T. and P.C.T. All authors contributed to the ideas in this paper.

## **....** Competing interests

<sup>987</sup> The authors declare no competing interests.

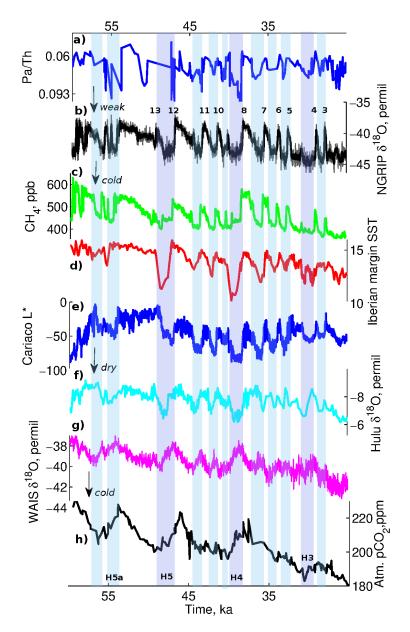
#### **Peer review information**

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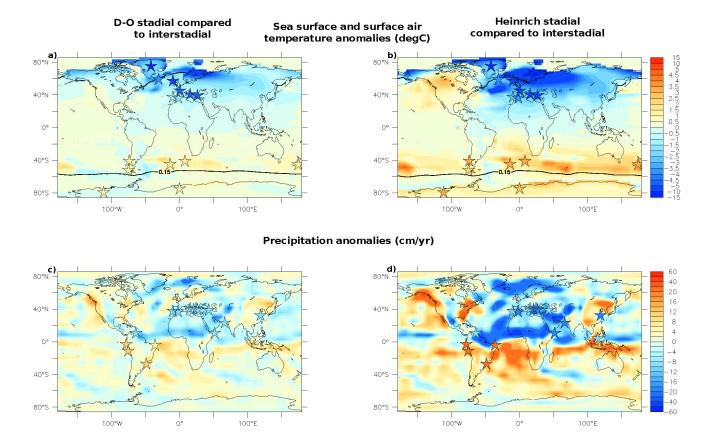
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## **Supplementary information**

<sup>992</sup> Supplementary information is available for this paper at https://doi.org/10.1038/s415XX-XXX-XXX-X



**Figure 1.** Proxy records showing D–O variability across Marine Isotope Stage 3. a  $|^{231}$ Pa/ $^{230}$ Th from the Bermuda rise<sup>10</sup>. b | North Greenland Ice Core Project (NGRIP) oxygen isotope ratio ( $\delta^{18}$ O) on the Greenland ice-core chronology 2005 (GICC05)<sup>229</sup>, with the interstadials numbered. c | Atmospheric methane (CH<sub>4</sub>) concentration from the West Antarctic Ice Sheet (WAIS) Divide ice core<sup>159</sup>. d | Sea-surface temperature (SST) estimate based on the alkenone unsaturation index ( $U_{37}^{k'}$ ) from sediment core MD01-2443 retrieved from the Iberian margin<sup>26</sup>. e | Total reflectance ( $L^*$ ) of sediment from the Cariaco basin<sup>45</sup>. f |  $\delta^{18}$ O record from Hulu Cave, China<sup>43</sup>. g | WAIS  $\delta^{18}$ O record<sup>97</sup>. h | Atmospheric CO<sub>2</sub> concentration from Siple<sup>46</sup> and Talos<sup>230</sup> Domes. Blue shading indicates Dansgaard–Oeschger (D–O) stadials, and purple shading indicates Heinrich (H) stadials 5 through to 3. These proxy records show that each stadial is associated with weakening of the Atlantic Meridional Overturning Circulation (AMOC) (panel **a**), cooling over Greenland (panel **b**) and the North Atlantic (panel **d**), low atmospheric CH<sub>4</sub> content (panel **c**), dry conditions in the northern tropics (panel **e**) and a weaker East Asian monsoon (panel **f**), indicating a southward shift of the Intertropical Convergence Zone. D–O stadials are associated with a small  $\delta^{18}$ O increase over Antarctica, indicating much warmer conditions. Data for panel **a** from ref.<sup>10</sup>. Data for panel **b** from ref.<sup>229</sup>. Data for panel **d** from ref.<sup>26</sup>. Data for panel **e** from ref.<sup>45</sup>. Data for panel **b** from ref.<sup>46</sup>, 230.



**Figure 2.** Climatic anomalies associated with D–O and Heinrich stadials compared with an interstadial peak. Stadials are associated with colder and drier conditions in the North Atlantic and Europe, drier conditions in the northern tropics, wetter conditions in the southern tropics and warmer conditions in the South Atlantic. The amplitude of these changes is larger during Heinrich stadials, with notable warming over the Southern Ocean and Antarctica. **a,b** I Annual mean sea-surface temperature (SST) and surface air temperature (SAT) anomalies for a Dansgaard–Oeschger (D–O) stadial (~37.1 ka; panel **a**) and a Heinrich stadial (~39.1 ka; panel **b**) relative to an interstadial peak (~38.1 ka), as simulated in LOVECLIM<sup>39</sup>. The black line represents the 15% concentration sea-ice contour. **c,d** I Precipitation anomalies for the D–O stadial (panel **c**) and Heinrich stadial (panel **d**) relative to the interstadial peak, as simulated in LOVECLIM<sup>39</sup>. Stars indicate quantitative (SST) and qualitative (SAT and precipitation) estimates (see Supplementary Tables S1 and S2) of the climatic changes associated with D–O variability of Marine Isotope Stage 3 discussed in the main text.

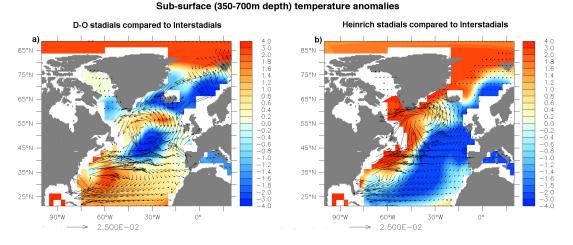
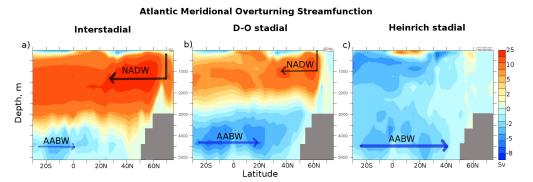


Figure 3. Sub-surface temperature anomalies associated with D–O and Heinrich stadials compared with an interstadial peak. Annual sub-surface (346–694 m depth) temperature anomalies for a Dansgaard–Oeschger (D–O) stadial ( $\sim$ 37.1 ka; panel a) and a Heinrich stadial ( $\sim$ 39.1 ka; panel b) relative to an interstadial peak ( $\sim$ 38.1 ka), as simulated in LOVECLIM<sup>39</sup>. The sub-surface currents (m s<sup>-1</sup>), indicated by black arrows, are overlaid.



**Figure 4.** Possible AMOC states for stadials and interstadials. Possible states of the Atlantic Meridional Overturning Current (AMOC) for interstadials (panel **a**), Dansgaard–Oeschger (D–O) stadials (panel **b**) and Heinrich stadials (panel **c**). Positive values indicate a clockwise ocean circulation associated with North Atlantic Deep Water (NADW), whereas negative values indicate an anticlockwise circulation associated with Antarctic Bottom Water (AABW). The grey areas at the surface represent possible winter sea-ice extension in the Nordic Seas.

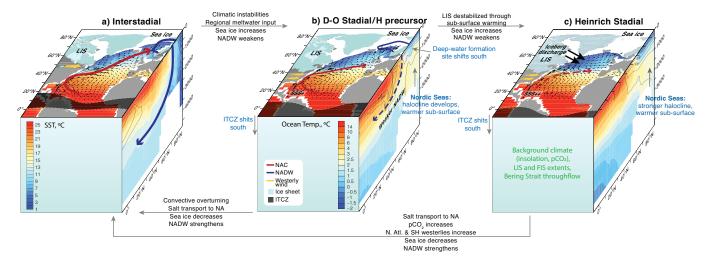


Figure 5. Summary of interactions and feedbacks involved in D–O variability. Schematic of an interstadial peak (panel **a**), a Dansgaard–Oeschger (D–O) stadial or Heinrich precursor (panel **b**) and a Heinrich stadial (panel **c**) showing the possible mechanisms leading to transitions. These schematics qualitatively illustrate the main climatic changes associated with D-O variability, taking into account the large uncertainties associated with quantitative estimates. On going from interstadials to D-O and Heinrich stadials, North Atlantic Deep Water (NADW) formation weakens, deep-water formation sites shift southward, sea-ice extent increases, the annual mean sea-surface temperature (SST) decreases and surface currents (black arrows) are modified, with, in particular, weakening of the North Atlantic Current (NAC). The sub-surface temperature in the northern North Atlantic (NA) increases (the side panel shows the annual mean zonally averaged temperature in the Atlantic with respect to depth and latitude), while a stronger halocline develops (side panel contours). Warmer sub-surface conditions could destabilize the Laurentide ice sheet (LIS) and lead to iceberg discharges in the Hudson Strait, which is characteristic of Heinrich events. As the Atlantic Meridional Overturning Circulation (AMOC) weakens, the Intertropical Convergence Zone (ITCZ) shifts southward, increasing sea-surface salinity in the tropical Atlantic (SSS+). A possible southward extension of the LIS during stadials would intensify North Atlantic westerly winds (yellow arrows). Breakdown of the halocline through convective overturning or increased salt transport to the North Atlantic could lead to a stadial to interstadial transition. Stronger Northern Hemisphere westerlies (arising from LIS changes), Southern Hemisphere (SH) westerlies or increased atmospheric CO<sub>2</sub> concentration during Heinrich stadials could also contribute to AMOC reinvigoration towards an interstadial. Favourable background conditions for D-O variability to occur are indicated in green. FIS, Fennoscandian Ice Sheet.

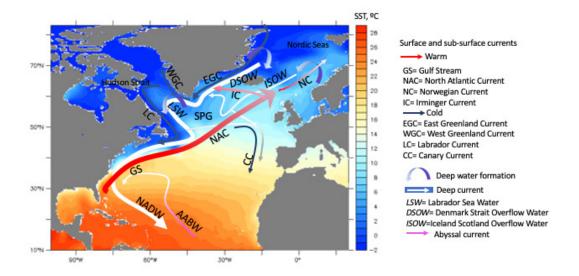


Figure 6. North Atlantic circulation and the AMOC

#### 993 Box 1 | The AMOC

The figure shows the annual mean sea-surface temperature (SST) in the North Atlantic<sup>231</sup>, as well as the fast-flowing surface 994 western boundary currents in the Atlantic — the Gulf Stream and its northeast extension, the North Atlantic current — which 995 bring warm and salty water to the North Atlantic. Subsequent advection of this water to the Nordic Seas, coupled with heat 996 loss to the atmosphere and sea-ice formation, induces intermediate-depth convection and the formation of North Atlantic 997 Deep Water (NADW)<sup>232</sup>. NADW, one of today's main deep-water masses, primarily forms in the Nordic Seas with a minor 998 component in the Labrador Sea<sup>1</sup> and flows southward at a depth of  $\sim 1,500-3,500$  m in the Atlantic along the deep western 999 boundary current, below Antarctic Intermediate Waters and above Antarctic Bottom Waters. These water masses, along with 1000 recirculated deep water from the Indian and Pacific Oceans, mix in the Southern Ocean to form Circumpolar Deep Waters, 1001 which then flow at depth into the Indian and Pacific Oceans. 1002

The zonal integral of the surface and deep currents in the Atlantic defines the Atlantic Meridional Overturning Circulation 1003 (AMOC). Estimating the AMOC transport is a challenge as it requires making measurements across the Atlantic. The RAPID 1004 Meridional Overturning Circulation and Heatflux Array, established in 2004, has measured an AMOC transport at 26.5°N of 1005  $\sim 18.7 \pm 5.6$  Sv (where 1 Sv =  $10^6$  m<sup>3</sup> s<sup>-1</sup>), with large seasonal and inter-annual variability<sup>233</sup>. The more recent Overturning in 1006 the Subpolar North Atlantic Program (OSNAP) observing system, which measures the AMOC over two sections southwest and 1007 east of Greenland (between 53°N and 59.5°N), reported a mean AMOC transport of 16.8 Sv for the period 2014–2016 (ref.<sup>1</sup>). 1008 The AMOC has a crucial role in heat, freshwater and nutrient transport. The oceanic poleward heat transport at 26.5°N in the 1009 North Atlantic has been estimated at  $\sim 1.3$  PW. The AMOC contributes 60–88% of this oceanic heat transport<sup>78,234</sup>, with the 1010 remainder being due to the wind-driven gyre circulation. The AMOC strength depends on the density of surface waters in the 1011 NADW formation region, with the density being a function of salinity and temperature. Dynamical effects, such as the strength 1012 of the subpolar gyre (SPG), which itself is modulated by North Atlantic wind stress, also affect NADW formation<sup>216,218</sup>. 1013

## 1014 Online summary

Large changes in Greenland and North Atlantic temperature —- termed Dansgaard–Oeschger (D–O) cycles —- have been

<sup>1016</sup> linked to variations in the strength of the Atlantic Meridional Overturning Circulation. However, the mechanisms are debated.

<sup>1017</sup> This Review proposes an oscillatory framework to explain D–O cyclicity, involving atmosphere–ocean–ice interactions.