

# Modelling the Milky Way – I. Method and first results fitting the thick disc and halo with DES-Y3 data

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## ABSTRACT

We present a technique to fit the stellar components of the Galaxy by comparing Hess Diagrams (HDs) generated from TRILEGAL models to real data. We apply this technique, which we call MWFITTING, to photometric data from the first 3 yr of the Dark Energy Survey (DES). After removing regions containing known resolved stellar systems such as globular clusters, dwarf galaxies, nearby galaxies, the Large Magellanic Cloud, and the Sagittarius Stream, our main sample spans a total area of  $\sim 2300$  deg<sup>2</sup>. We further explore a smaller subset ( $\sim 1300$  deg<sup>2</sup>) that excludes all regions with known stellar streams and stellar overdensities. Validation tests on synthetic data possessing similar properties to the DES data show that the method is able to recover input parameters with a precision better than 3 per cent. We fit the DES data with an exponential thick disc model and an oblate double power-law halo model. We find that the best-fitting thick disc model has radial and vertical scale heights of  $2.67 \pm 0.09$  kpc and  $925 \pm 40$  pc, respectively. The stellar halo is fit with a broken power-law density profile with an oblateness of  $0.75 \pm 0.01$ , an inner index of  $1.82 \pm 0.08$ , an outer index of  $4.14 \pm 0.05$ , and a break at  $18.52 \pm 0.27$  kpc from the Galactic centre. Several previously discovered stellar overdensities are recovered in the residual stellar density map, showing the reliability of MWFITTING in determining the Galactic components. Simulations made with the best-fitting parameters are a promising way to predict Milky Way star counts for surveys such as the LSST and *Euclid*.

**Key words:** Galaxy: structure – Galaxy: stellar content – Galaxy: halo.

## 1 INTRODUCTION

Over the last 40 yr, we have learned the utility of describing a complex system such as the Milky Way (MW) through simple building blocks (e.g. Bahcall & Soneira 1981), composed of nearly homogeneous stellar populations, smoothly distributed in space in a few components such as the thin and thick discs, bulge, and halo. The derivation of simple parameters for these components – such as scale lengths and heights, limiting radii, central densities, etc. – allows us to put our Galaxy in perspective by comparing it to other spiral galaxies (Courteau et al. 2011) and to galaxies produced in cosmological simulations (see e.g. Hopkins et al. 2014; Bland-Hawthorn & Gerhard 2016). Examining the residuals of the best-

fitting models enables the identification of stellar substructure such as dwarf galaxies and stellar streams (e.g. Shipp et al. 2018). Fitted models can also be used to estimate the distribution of stars in future surveys.

Our understanding of the MW has steadily advanced over the past several decades. For example, the thick disc (Gilmore & Reid 1983) has long been proposed to explain the MW stellar population within 1–5 kpc on either side of the Galactic plane. Thick disc stars differ from those closer to the Galactic plane in kinematics, age and metallicity, being older, more metal-poor, less rotationally supported, and having typically higher  $[\alpha/\text{Fe}]$  at a fixed metallicity (for instance, see Reddy, Lambert & Allende Prieto 2006; Fuhrmann 2008). More recently, the spatial structure of different stellar populations has been studied by Anders et al. (2014) and Bovy et al. (2016), among others, using survey data from APOGEE (Majewski, APOGEE &

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APOGEE-2 2016). In brief, high  $[\alpha/\text{Fe}]$  stars tend to follow a double exponential density profile parallel and perpendicular to the Galactic plane, with scales of  $h_R \simeq 2.2$  kpc and  $h_z \simeq 1.0$  kpc, respectively (Bovy et al. 2016). The lower  $[\alpha/\text{Fe}]$  stars display a more complex distribution, including a metallicity gradient and disc flaring (Anders et al. 2014). Even so, the traditional description of the thin and thick disc components with double exponential profiles (or a  $\text{sech}^2 z$  perpendicular to the disc plane) is adequate (Cabrera-Lavers, Garzón & Hammersley 2005; Jurić et al. 2008; de Jong et al. 2010).

At the outer limit of the MW, the stellar Galactic halo is roughly spherical in shape. Early studies indicated that the radial density of this component is better described by a power-law profile with index  $n \sim -2.75$  than an exponential profile (Jurić et al. 2008; de Jong et al. 2010). However, more recent work has found that the stellar density drops off faster at typical distances  $\simeq 20$  kpc, suggesting that the density of the stellar halo follows a broken power-law profile (Watkins et al. 2009; Deason, Belokurov & Evans 2011; Sesar et al. 2011; Deason, Belokurov & Koposov 2018) or another model that decreases more rapidly at large radii (Einasto 1965; Merritt et al. 2006; Deason et al. 2011; Hernitschek et al. 2018). These observations are not unexpected, since a power-law index of  $n < -3$  is necessary at large radii in order for the integrated mass of the stellar halo to converge.

In addition to the aforementioned developments in describing the stellar content of the Galaxy, an impressive amount of work has been dedicated to determine the star formation rate (SFR; Ryan & Norris 1991; Fuhrmann 1998), initial mass function (IMF; Kroupa 2001; Chabrier 2003; Kroupa & Weidner 2003; Wood & Mao 2005), and age–metallicity relation (AMR; Rocha-Pinto et al. 2000; Zoccali et al. 2003; Fuhrmann 2008; Casagrande et al. 2011) for the stars in the MW, along with the modelling of stellar evolution (Bertelli et al. 1994; Girardi et al. 2000, 2002; VandenBerg, Bergbusch & Dowler 2006; Marigo & Girardi 2007; Girardi et al. 2010; Paxton et al. 2011; Spada et al. 2013) and the stellar contents of the Galaxy itself (Sharma et al. 2011; Czekaj et al. 2014<sup>1</sup>; Pasetto et al. 2018). Because of all these developments, we are now able to build a detailed structural model for the Galaxy.

To take advantage of this knowledge and the increasing number of deep wide-field astronomical surveys, we have developed MWFITTING. This work aims to present the method and to show its first application to data in the Dark Energy Survey (DES; DES Collaboration 2005).

In this work we aim to

(i) Present an efficient method to describe the structure of the Galaxy by comparing star counts to predictions of stellar population synthesis models. The comparison between data and models is made through binned colour–magnitude diagrams (CMDs; i.e. Hess Diagram, HD) in specific regions in the sky. Many different models are used to predict star counts, such as the spatial distribution of stars in the MW components, the stellar IMF, SFR, and AMR. Also crucial in determining star counts are the input stellar evolutionary models that prescribe magnitudes and colours as a function of fundamental stellar parameters, such as mass, age, and metallicity.

(ii) Validate the code using mock data. These tests are done to test the accuracy of MWFITTING to evaluate systematic uncertainties, and to measure the effect initial values has on recovering the input parameters.

(iii) Apply MWFITTING to model the Galactic thick disc and halo in DES 3 yr (Y3) data.

(iv) Show and discuss the results of the method and the implications on the Galactic model adopted.

This paper is structured as follows: in Section 2 we discuss the MWFITTING. In Section 3 we briefly describe the DES Y3 data. In Section 4 we present the results of MWFITTING. In Section 5 we describe a simulation based on the best-fitting parameters and discussion of the results. Finally, we conclude in Section 6.

## 2 MWFITTING PACKAGE

In this paper, we adopt TRILEGAL<sup>2</sup> models to describe the stellar content of the Galaxy. TRILEGAL is a stellar population synthesis code, based on the Girardi et al. (2002) data base of stellar isochrones, and augmented with models for brown and white dwarfs. For more details about the stellar models, we refer to Girardi et al. (2005). Note that even though several upgrades in the data base of evolutionary tracks and stellar atmospheres have become available recently (see e.g. Marigo et al. 2017), they severely reduce computational speed, and only include short-lived evolutionary phases and cool stars, which are not the subject of this work.

The following subsections present the sequence of steps that leads to a final product of the MWFITTING. Section 2.1 describes TRILEGAL input parameters to model a sky region with a specific Galactic model. The previous attempts to calibrate the Galactic model using TRILEGAL are briefly discussed in Section 2.2; the adopted Galactic model is presented in Section 2.3; in Section 2.4 we discuss the implementation of the MWFITTING; in Section 2.5 we validate the MWFITTING pipeline using synthetic data with known input parameters.

### 2.1 TRILEGAL parameters

TRILEGAL simulates stellar populations in several steps: (1) it builds the distribution of stellar populations on the age–metallicity plane (a.k.a. the Hodge diagram), (2) it builds isochrones for each small bin in this plane, (3) it populates the isochrones with both single and (non-interacting) binary stars, (4) it distributes these stars along the line of sight, and (5) produces their synthetic photometry for the filter system under consideration. These steps are partially intertwined in the code, so as to avoid the simulation of ‘unseeable stars’ and increase computational efficiency. Many input parameters and data bases are required in these steps, in particular the SFR and AMR for each Galactic component in step (1), the grid of stellar evolutionary models in step (2), the IMF for single stars, binary fraction, and mass ratios of unresolved binaries in step (3), the covered area, 3D position of the Sun and stellar density profile for each Galactic component in step (4), a library of bolometric corrections and extinction coefficients, and the dust distribution in (5). Also required are the magnitudes and colour ranges that define that stars are detectable and hence limit the simulation. The parameters and equations regarding the structural models are listed in Table 1, and the SFR and AMR of each Galactic component are described at the end of Section 2.3.

Regarding the colour and magnitude ranges, TRILEGAL models are very successful in describing the stellar evolutionary phases as the main sequence (MS), including the turn-off (MSTO), and stars in the sub giant branch (SGB) and red giant branch (RGB), for stars in a wide range of masses.

<sup>1</sup>See [https://model.obs-besancon.fr/modele\\_ref.php](https://model.obs-besancon.fr/modele_ref.php) for a complete list of publications of the Besançon group.

<sup>2</sup><http://stev.oapd.inaf.it/cgi-bin/trilegal>

**Table 1.** The MW model adopted in this work includes the bulge (as a triaxial truncated spheroid component), the thin disc (as an exponential model in the radial direction and a squared hyperbolic secant model in the vertical direction), the thick disc (as an exponential model in both  $R$  and  $z$  directions), and the halo (modelled with a double power-law profile). The columns list: the formula describing each MW component (first), free parameters (second), a description of each component (third), units (forth), initial value (fifth), and the best-fitting value with errors (last column) for both samples ( $^\dagger$  for *raw* sample and  $^\ddagger$  for *refined* sample).

Formula	Symbol	Meaning	Unit	Initial value	Fixed/best-fitting value <sup>h</sup>
<b>Bulge<sup>a</sup></b>					
$\rho_{GC}^{\text{bulge}} = \rho_{GC}^{\text{bulge}} \frac{\exp(-a^2/a_m^2)}{(1+a/a_0)^{1.8}}$	$\rho_{GC}^{\text{bulge}}$	Space density at GC	$M_\odot \text{ pc}^{-3}$	400	Fixed
with $\rho^{\text{bulge}}(0, 0, 0) = \rho_{GC}^{\text{bulge}}$	$a_m$	Scale length	pc	2500	Fixed
with $a = (x'^2 + y'^2/\eta^2 + z^2/\zeta^2)^{1/2}$	$a_0$	Truncation scale length	pc	95	Fixed
and $x', y'$ rotated by $\phi_0$ w.r.t. $x, y$	$\eta, \zeta$	1: $\eta$ : $\zeta$ scale ratios	–	0.68, 0.31	Fixed
	$\phi_0$	Angle w.r.t. Sun-GC line	deg ( $^\circ$ )	15	Fixed
<b>Thin disc</b>					
$\rho^{\text{thin}} = A^{\text{thin}} \text{sech}^2(h/h_z^{\text{thin}}) \times \exp(R/h_R^{\text{thin}})$	$\Sigma_\odot^{\text{thin}}$	Local mass surface density	$M_\odot \text{ pc}^{-2}$	55.41 <sup>b</sup>	Fixed
	$h_R^{\text{thin}}$	Thin disc scale length	pc	2913 <sup>b</sup>	Fixed
with $h_z^{\text{thin}} = h_{z,0}^{\text{thin}} + (1 + t/t_{\text{incr}}^{\text{thin}})^\alpha$	$R_{\text{max}}^{\text{thin}}$	Maximum radius	kpc	15	Fixed
and $\int_{h=-\infty}^{+\infty} \rho^{\text{thin}} dz \Big _\odot = \Sigma_\odot^{\text{thin}}$	$h_{z,0}^{\text{thin}}$	Scale height for youngest stars	pc	94.7 <sup>b</sup>	Fixed
	$t_{\text{incr}}^{\text{thin}}$	Time-scale for increase in $h_z$	Gyr	5.55 <sup>b</sup>	Fixed
	$\alpha$	Exponent for increase in $h_z$	–	1.67 <sup>c</sup>	Fixed
<b>Thick disc</b>					
$\rho^{\text{thick}} = A^{\text{thick}} \exp(h/h_z^{\text{thick}}) \times \exp(R/h_R^{\text{thick}})$	$h_z^{\text{thick}}$	Scale height	pc	925	925 $\pm$ 40 <sup>i</sup> 910 $\pm$ 46 <sup>j</sup>
	$h_R^{\text{thick}}$	Thick disc scale length	pc	2667	2667 $\pm$ 95 <sup>i</sup> 2631 $\pm$ 121 <sup>j</sup>
with $\int_{h=-\infty}^{+\infty} \rho^{\text{thick}} dz \Big _\odot = \Sigma_\odot^{\text{thick}}$	$\Sigma_\odot^{\text{thick}}$	Local mass surface density	$10^{-3} M_\odot \text{ pc}^{-2}$	3.89	3.89 $\pm$ 0.65 <sup>i</sup> 3.97 $\pm$ 0.74 <sup>j</sup>
	$R_{\text{max}}^{\text{thick}}$	Maximum radius (fixed)	kpc	15	Fixed
<b>Halo</b>					
$\rho^{\text{halo}} = f \rho_\odot^{\text{halo}} \left(\frac{r_\odot}{r_{\text{obl}}}\right)^n$	$n_1$	Inner exponent	–	1.82	1.82 $\pm$ 0.08 <sup>i</sup> 1.86 $\pm$ 0.11 <sup>j</sup>
with $\rho^{\text{halo}}(R_\odot, 0, z_\odot) = \rho_\odot^{\text{halo}}$ ,	$n_2$	Outer exponent	–	4.14	4.14 $\pm$ 0.05 <sup>i</sup> 4.24 $\pm$ 0.06 <sup>j</sup>
$r_{\text{obl}} = \sqrt{R^2 + (z/q)^2}$	$r_{\text{br}}$	Break radius	kpc	18.52	18.52 $\pm$ 0.27 <sup>i</sup> 18.59 $\pm$ 0.49 <sup>j</sup>
if $r_{\text{obl}} \leq r_{\text{br}}, n = n_1, f = 1$ else ( $r_{\text{obl}} > r_{\text{br}}$ ), $n = n_2$ , $f = (r_\odot/r_{\text{br}})^{n_1-n_2}$	$q$	Axial ratio $z/x$ (oblateness)	–	0.75	0.75 $\pm$ 0.01 <sup>i</sup> 0.74 $\pm$ 0.02 <sup>j</sup>
	$\rho_\odot^{\text{halo}}$	Local mass space density	$10^{-5} M_\odot \text{ pc}^{-3}$	3.31	3.31 $\pm$ 0.20 <sup>i</sup> 3.51 $\pm$ 0.26 <sup>j</sup>
<b>Dust layer</b>					
$\rho^{\text{dust}} = A_V^{\text{dust}} \exp(h/h_z^{\text{dust}})$	$A_V^\infty$	Total extinction at infinity	–	$d$	Fixed
with $\int_{\ell=0}^{+\infty} \rho^{\text{dust}} d\ell = A_V^\infty$	$h_z^{\text{dust}}$	Dust scale height	pc	110 <sup>e</sup>	Fixed
<b>Others</b>					
	$R_\odot$	The Sun's distance to the GC	kpc	8.122 <sup>f</sup>	Fixed
	$z_\odot$	The Sun's height above plane	pc	20.8 <sup>g</sup>	Fixed

<sup>a</sup>Parameters from Vanhollenbeke et al. (2009)

<sup>b</sup>Best-fitting parameter from Girardi et al. (2005)

<sup>c</sup>Adopted in Girardi et al. (2005)

<sup>d</sup>Schlegel, Finkbeiner & Davis (1998)

<sup>e</sup>Lynga (1982)

<sup>f</sup>Gravity Collaboration et al. (2018)

<sup>g</sup>Bennett & Bovy (2019)

<sup>h</sup>See Table 3 for more details about those parameters. <sup>i</sup>for *raw* sample. <sup>j</sup>for *refined* sample.

Stellar evolutionary models present a poor colour-fit for low-mass stars with  $[\text{Fe}/\text{H}] \geq -2$ , such as M-type stars, which is the most abundant spectral type in thin disc. See, for instance, Sarajedini et al. (2007), for a discussion about the comparisons of simple stellar populations of globular clusters to theoretical models.

Based on that, we choose to exclude the red thin-disc stars (see fig. 2 and discussion in de Jong et al. 2010) and keep the parameters of this component fixed. The magnitude depth of DES also favours stars farther away than those in the thin disc, which supports our choice.

## 2.2 Previous attempts to calibrate TRILEGAL

Early descriptions of the MW components and their calibrations using TRILEGAL are found in Groenewegen et al. (2002) and Girardi et al. (2005). Those first attempts were based on a simple trial-and-error approach, where each model parameter was set to literature values, changed by hand until a ‘good description’ for the star counts was met for a given survey. Surveys used in these analyses compromise both deep (e.g. Deep Multicolor Survey and ESO Imaging Survey-deep; Osmer et al. 1998; Arnouts et al. 2001), shallow (e.g. Skrutskie et al. 2006) photometric data, and local (e.g. *Hipparcos* catalogue, Perryman et al. 1997).

Vanhollebeke, Groenewegen & Girardi (2009) explored a different approach to calibrate the bulge’s parameters using TRILEGAL. They defined a likelihood function to quantitatively evaluate the goodness of fit between data and model (see also Dolphin 2002; Eidelman et al. 2004) as

$$-2 \ln \lambda(\theta) = 2 \sum_{i=1}^N \left( v_i(\theta) - n_i + n_i \ln \frac{n_i}{v_i(\theta)} \right), \quad (1)$$

where  $n_i$  is the number of observed objects in a given magnitude/colour bin  $i$ , and  $v_i(\theta)$  is the number of objects predicted by the set of parameters  $\theta$  that describes the model. The summation is performed over all lines of sight, and magnitude/colour bins included in the comparison. The authors used the Broyden–Fletcher–Goldfarb–Shanno algorithm (Fletcher 1987) to maximize their likelihood and derived uncertainties from the likelihood profile, as detailed in that work.

In this context, the fitting of disc and halo parameters using the latter method requires an extra set of variables. This presents several issues:

- (i) Fitting the disc (thin and thick) and halo implies the simultaneous fitting of  $\sim 30$  structural parameters, with many samples across the sky. The resulting analysis is very time consuming.
- (ii) Local maxima in likelihood space may be very common, and due to the high dimensionality of the problem, finding the absolute maximum may be challenging.

This is not the case when fitting the bulge, as there are fewer parameters, and there are a large set of lines of sight, which leaves little chance for solutions to be trapped in local maxima (Vanhollebeke et al. 2009). In this case, it is advisable to implement tests for local maxima in log-likelihood space, and check whether different starting conditions lead to the same solution. These tests imply even longer computing times.

In the following sections, we describe the implementation of MWFITTING aimed at tackling the challenges discussed above (see also Girardi et al. 2012).

## 2.3 Galactic components

Table 1 summarizes the functional form utilized for each Galactic component, the parameters that describe the component, and whether the parameter is fixed or free in the fit. We adopt an exponential model along the disc plane and a square hyperbolic secant perpendicular to it for the thin disc. The parameters of the thin disc and bulge modelled by TRILEGAL in this work are kept fixed at the values described in Girardi et al. (2005), with some minor tweaks as in Girardi et al. (2012). The only parameters allowed to vary are related to the thick disc and to the halo of our Galaxy. An exponential model in both radial and vertical directions describes the distribution of stars in the thick disc. The stellar halo is described by a double power-law

profile, with an inner exponent,  $n_1$ , describing the stellar density of the halo out to a certain distance,  $r_{br}$  (radius of the break) and an outer exponent,  $n_2$ , for farther distances. We require that the density of halo stars is continuous at  $r_{br}$  for both exponents. Since DES covers the south Galactic cap ( $b < -30^\circ$ ), it largely excludes the MW bulge. Therefore, in this analysis, we fix the parameters of the bulge component following the triaxial model presented in Binney, Gerhard & Spergel (1997) and calibrated as in Vanhollebeke et al. (2009).

The IMF assumed for Galactic stars is the Chabrier lognormal IMF (Chabrier 2003) and the fraction of binaries adopted is 30 per cent, with the mass ratio of the secondary over the primary limited between 0.7 and 1.0 (Barmina, Girardi & Chiosi 2002). The SFR and AMR are specific to each MW component. Stars in the bulge and in the thick disc follow an SFR and AMR that (1) include only ages between 10 and 10.1 Gyr, and (2) reproduce the observed metallicity distributions from Zoccali et al. (2003) and Boeche et al. (2013), respectively. We remark that at these old assumed ages, the exact way the metallicity increases with decreasing age (that is, the AMR) is not relevant since the simulated photometry does not change noticeably even for age changes as large as  $\sim 1$  Gyr. Thin disc and halo stars are modelled following previous comparisons from Groenewegen et al. (2002) and Girardi et al. (2005).

## 2.4 The MWFITTING pipeline: fitting the galaxy with Hess diagrams

The MWFITTING pipeline code fits a global, multicomponent model of the MW to the observed stellar density in bins of Galactic longitude and latitude, magnitude, and colour. The inclusion of spatial and colour–magnitude information allows us to break degeneracies between the various MW model components.

We begin by pixelizing the sky using the HEALPIX<sup>3</sup> scheme to define individual lines of sight (which we call ‘cells’). We select cells that reside within the survey, and remove cells that are contaminated by resolved stellar populations such as globular clusters and dwarf galaxies. For each cell, we calculate the coordinates of the centre, the average reddening and reddening dispersion, the limiting magnitude (as specified by the user), the colour range, and the bin size in the CMD space.

Within each cell, we calculate model HDs for each component (i.e. bulge, halo, thin, and thick disc) over a range of distance moduli, typically separated by 0.1 mag. These so-called ‘partial HDs’ for each component and distance are stored in separate header data units of a multiextension FITS<sup>4</sup> file. This data format allows the normalizations of different model components to be quickly adjusted. For example, the normalization of the stellar halo can be adjusted by a factor  $f$ , by multiplying all partial HDs associated with the halo by the same factor  $f$ . The total model-predicted MW HD can be quickly calculated from a linear combination of the individual partial HDs, incorporating typical photometric errors of the survey in each band. This method allows us to rapidly construct stellar density predictions for a wide range of MW model parameters as listed in Table 1. Variation in each parameter corresponds to varying the weight of each partial HD, which are then combined to produce a total HD in each HEALPIX cell.

The Poisson log likelihood (equation 1) is calculated by first comparing the total model-predicted HDs to the data in each cell and

<sup>3</sup><https://healpix.jpl.nasa.gov/>

<sup>4</sup>[https://fits.gsfc.nasa.gov/fits\\_standard.html](https://fits.gsfc.nasa.gov/fits_standard.html)

**Table 2.** Results of two tests (A and B) using MWFITTING. Even though the initial guesses start far from the input values, the final parameter values are within 3 per cent of the input values. The simulations in this table compare 100 fields and oversample the models in the same way as the comparison to real data.

Parameter	Unit	True value	Initial guess		Best-fitting		$\frac{ Best-True }{True}$ (per cent)		$\frac{Best-True}{\sigma}$	
			A	B	A	B	A	B	A	B
Thick disc $h_z$	pc	925	1037.6	903.6	$923.1^{+2.3}_{-1.9}$	$922.5^{+1.9}_{-1.9}$	0.2	0.2	-0.8	-1.2
Thick disc $R_e$	pc	2666	2849	2397	$2657^{+6}_{-6}$	$2675^{+6}_{-6}$	0.3	0.3	-1.5	+1.5
Thick disc $\rho$ ( $R = R_0$ )	$\times 10^{-3} M_{\odot} \text{pc}^{-2}$	3.90	4.23	3.57	$3.91^{+0.02}_{-0.03}$	$3.94^{+0.02}_{-0.02}$	0.3	1.0	+0.4	+1.7
Halo $n_1$	–	1.821	1.501	1.991	$1.861^{+0.010}_{-0.011}$	$1.867^{+0.009}_{-0.010}$	2.2	2.5	+3.8	+4.8
Halo $n_2$	–	4.139	4.407	4.520	$4.133^{+0.005}_{-0.005}$	$4.124^{+0.005}_{-0.005}$	0.1	0.4	-1.2	-3.0
Halo $r_{br}$	kpc	18.52	17.61	20.06	$18.65^{+0.04}_{-0.04}$	$18.63^{+0.04}_{-0.05}$	0.7	0.6	+3.0	+2.5
Halo $q$	–	0.748	0.785	0.827	$0.745^{+0.001}_{-0.001}$	$0.748^{+0.001}_{-0.001}$	0.4	0.0	-3.0	0.0
Halo $\rho$ ( $R = R_0$ )	$\times 10^{-5} M_{\odot} \text{pc}^{-3}$	3.31	3.36	3.52	$3.40^{+0.02}_{-0.02}$	$3.39^{+0.02}_{-0.02}$	2.7	2.4	+4.5	+4.0

then summing the log-likelihoods over all cells. To fit the MW model to an observed data set, we apply an Affine Invariant Markov Chain Monte Carlo (MCMC) Ensemble sampler (i.e. EMCEE; Foreman-Mackey et al. 2013). The free and fixed parameters of our model, along with their initial values, are listed in Table 1. We assume flat priors ranging from 0.5 to 2.0 times the initial value of each free model parameter. We also checked visually whether the walkers converged or not at the end of the burn-in phase, to inform realistic best-fitting parameters.

Since TRILEGAL computes a discrete distribution of points as a realization of the expected population of stars in each cell, we are left with statistical noise due to sampling a finite number of points. To mitigate this noise, we increase the number of simulated stars by an *overfactor* that is then taken into account while normalizing the final HDell. A typical *overfactor* value is 30, for the magnitude, colour range, and MW components explored in this work.

The MWFITTING code was developed and is currently implemented in the DES-Brazil Portal powered by Laboratório Interinstitucional de e-Astronomia (LIneA<sup>5</sup>). More details on the DES-Brazil Portal can be found in Gschwend et al. (2018) and Fausti Neto et al. (2018). The application of MWFITTING to the DES data took 23 h in a SGI ICE-X FC3Y cluster with four compute nodes. Each node contained 48 cores and 125 GB of RAM. For more detailed or technical information, the reader is directed to the Appendix B, where the input parameters of the pipeline and details about them are described.

## 2.5 Validating the code with mock data

In this section, we test MWFITTING using mock data. We verify that we can recover the input parameters of our simulated data set when applied to an area with the same footprint as DES-Y3.

Each test utilizes 100 cells, and each cell has the same area as the unit cell designed for the real data (HEALPIX pixels with  $N_{SIDE} = 16$ ), following identical footprint and coverage maps (see Section 3). The range in magnitude and colour is the same as the DES data ( $17 < g < 23$  and  $0.0 < g - r < 0.6$ , respectively), with the same bin size in magnitude and colour space (0.1 mag). Uncertainties in the magnitude of the stars were also incorporated in the synthetic data.

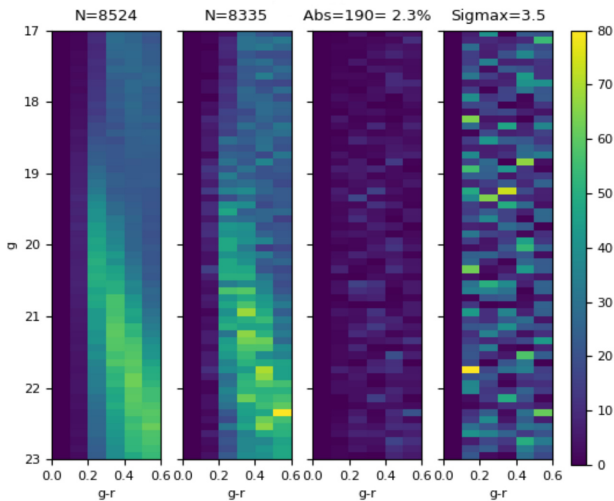
Table 2 lists the parameters, units, input values, best-fitting values, and their errors, as indicated by EMCEE, and the significance of the differences between the best-fitting and the true value, for two trials. We run two tests with the same input parameters but different initial values for the MCMC, which we refer to as tests A and B. Analysing Table 2, we find that MWFITTING is able to recover the input values of the mock data accurately, even when the initial starting points are far from the true ones. Differences between true and best-fitting values are within 3 per cent of the true parameters at the maximum, and the deviations are within  $3\sigma$ , with a few exceptions. The maximum differences occur for the density of the halo and its inner exponent, while the differences for the remaining parameters are all below 1 per cent.

Inspecting the HDs, there is excellent concordance between the mock data and the best-fitting model data. The overall range of differences in test A between input data and best-fitting models is ( $-2.28$  per cent,  $+1.40$  per cent), in terms of star counts. Fig. 1 shows the HDs of the cell with the largest absolute difference ( $-2.28$  per cent), with the centre located at  $[l = 226.41 \text{ deg}, b = -69.02 \text{ deg}]$ . The panels of Fig. 1 shows the HD of the best-fitting model, simulated input data (mock), absolute difference, and the Poissonian significance over the HD cells, limited by the maximum significance (given in the title of the panel). The distribution of differences and their significance values show no systematic trend in the colour–magnitude plane. Note that the best-fitting HD is smoother than the mock HD distribution due to the oversampling of the model.

Test B produced similar results to test A, with star counts differences in the range ( $-2.25$  per cent,  $+2.19$  per cent). The cell with the largest absolute difference ( $-2.25$  per cent) exhibits one bin in the HD diagram with maximum significance of  $4.6\sigma$ . There is a general concordance in the remaining cells, with typical maximum significance  $\leq 4\sigma$  in the cells of the HDs.

The differences between the recovered and true values (the last two columns of Table 2) are expected to follow a standard normal distribution, with  $\mu = 0$  and  $\sigma = 1$ . However, those values appear to be somewhat higher than expected, reflecting a systematic error in the recovery of the true model greater than the uncertainty reported by EMCEE. To encompass half of the recovery errors within  $\pm 0.67\sigma$  (or 50 per cent of the area of the standard normal distribution), the uncertainties provided by EMCEE are increased by a factor of 6.0. In this way, we aim to incorporate realistic systematic errors, and we are assuming they are downsampled by EMCEE method.

<sup>5</sup><http://www.linea.gov.br/>



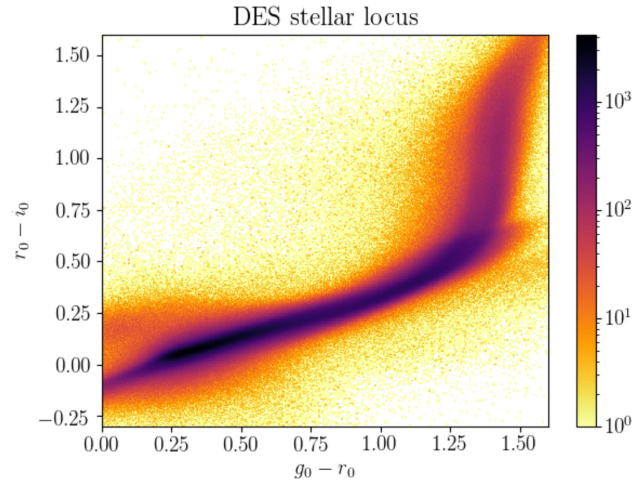
**Figure 1.** HDs for the cell with the largest difference in star counts between the mock data and the best-fitting data in test A. *Leftmost panel:* best-fitting model. *Second from the left:* input mock data. *Second from the right:* absolute differences between mock data and the best-fitting model. These three HDs are colour-coded by star counts according to the colour bar. *Rightmost panel:* Poissonian significance, normalized by the maximum significance ( $\sigma_{\max} = 3.6$ ). In this case, the colour code is different from that of the colour bar. The title indicates the number of stars (first and second panel), absolute difference (third panel), and the maximum of the Poisson significance (fourth panel).

### 3 DES DATA

DES is a wide-area photometric survey covering about 5 000 deg<sup>2</sup> in the southern Galactic cap (DES Collaboration 2005). DES images were taken with the Dark Energy Camera (DECam; Flaugher et al. 2015), with a typical single-exposure (90 s in *griz* bands and 45 s in *Y* band)  $10\sigma$  limiting magnitudes of  $g = 23.57$ ,  $r = 23.34$ ,  $i = 22.78$ ,  $z = 22.10$ , and  $Y = 20.69$  for point sources (Morganson et al. 2018). The final coadded images at the end of the first 3 yr of observations achieve  $g = 24.33$ ,  $r = 24.08$ ,  $i = 23.44$ ,  $z = 22.69$ , and  $Y = 21.44$  at  $10\sigma$  (DES Collaboration 2018). DES was designed for cosmological analyses, avoiding the Galactic plane (DES Collaboration 2018). Therefore, also considering the depth of the survey, the DES stellar sample will mostly contain stars from the Galactic thick disc and halo. In this section, we characterize the main aspects of the photometry and star/galaxy (S/G) separation in the DES.

DES-Y3 data were processed by the DES Data Management system (DESDM; Morganson et al. 2018) and include observations from the first 3 yr of the survey. The DES catalogue studied here is the Year 3 Gold release version 2.2 (Sevilla-Noarbe et al., in preparation), hereafter referred to as the DES-Y3 catalogue. This catalogue is composed of the same objects as the first public data release (DES-DR1; see DES Collaboration 2018), but contains enhanced photometric and morphological measurements and other ancillary information.

To identify the area covered by the DECam observations, the sky is partitioned in HEALPIX pixels ( $N_{\text{SIDE}} = 4096$ ) with size equal to 52 arcsec  $\times$  52 arcsec (footprint map). Regions around stars brighter than  $J = 12$  in 2MASS (Skrutskie et al. 2006), globular clusters (Harris 1996, updated 2010), and a small area close to Large Magellanic Cloud (LMC) were masked. The area covered by DECam in each band and pixel (coverage map) is also estimated by a coverage map produced from *mangle* (Swanson et al. 2008). The DES-Y3 catalogue lists objects located in pixels (with  $N_{\text{SIDE}} = 4096$ ) with



**Figure 2.** Colour-colour diagram showing the sources selected as stars in DES-Y3 Gold catalogue ( $g < 23$ ), following the selection described in the text and corrected for interstellar extinction.

sampled area  $> 50$  per cent in *g*, *r*, *i*, and *z* bands and imaged at least once in all those four filters.

The DES-Y3 Gold data are photometrically calibrated by the Forward Global Calibration Method,<sup>6</sup> see Burke et al. 2018. A comparison between DES-Y3 and *Gaia* DR1 (Lindgren et al. 2016) shows a mean difference of 0.0014 mag with  $\sigma = 0.0067$  mag (DES Collaboration 2018). The PSF photometry for DES-Y3 catalogue was performed by simultaneously fitting each object in multiple exposures (single object fitting or SOF). This procedure is very similar to the multi-object PSF-fitting described in Drlica-Wagner et al. (2018).

Initially, we apply a S/G separation procedure that is similar to Shipp et al. (2018). We use the parameter `EXTEND_CLASS_MASH_SOF`, which is a variable designed to classify point source (star or quasi-stellar objects – QSO) or extended sources (galaxies) based on `ngmix` (Sheldon 2015). We nominally adopt values from the SOF photometry and when SOF photometry is unavailable we adopt photometry from the coadded images. This criterion increases the stellar sample by including stars with good PSF-fitting in coadded images but with failures in SOF. This S/G separation is applied for objects in the full range of magnitudes. Similar to Shipp et al. (2018), the same weight-averaged `SPREAD_MODEL` in *i* band is applied as S/G classification for the sample of bright stars ( $g < 18$ ) where PSF photometry fails.

Extensive completeness assessments were carried out in the DES year 1 (DES-Y1) catalogue, assuring that the catalogue is virtually complete in the range  $17 < g < 22$ , with estimated completeness  $\geq 95$  per cent at the faint limit (Sevilla-Noarbe et al. 2018).

The quality of the DES photometry and S/G classification is illustrated in Fig. 2, where we show a colour–colour diagram ( $g - r$  versus  $r - i$ ) for sources classified as stars and corrected for reddening following Schlegel et al. (1998). There are 13,990,013 sources within the magnitude range  $17 < g < 23$  and the limits shown in Fig. 2, namely  $0.0 < g_0 - r_0 < 1.6$  and  $-0.3 < r_0 - i_0 < 1.6$ . A blue plume close to  $g_0 - r_0 \cong 0$  and  $r_0 - i_0 \cong 0.25$  amounts to a few thousands stars, probably due to binary systems with a white dwarf and an MS star (Kleinman et al. 2004). A lower level of contamination by QSO’s is expected in that region of the

<sup>6</sup><https://github.com/lst/fgcmcal>

colour–colour diagram ( $0.0 \leq g - r \leq 0.5$  and  $-0.25 \leq r - i \leq 0.50$ ), along with contamination on the redder end, which is not taken into account in the process of fitting.

To further decrease contamination from misclassified galaxies, we tested alternative methods for star–galaxy separation. The best method that we found was to use the photometric redshift as a way to identify galaxies that were morphologically classified as stars. Photometric redshifts were estimated using the Directional Neighbourhood Fitting or DNF (De Vicente, Sánchez & Sevilla-Noarbe 2016), and we refer to this work for details about the fitting of the redshift. We removed objects with DNF photo- $z > 0.55$ .

To assess the stellar completeness of DES at faint magnitudes, we matched the DES stars to the SPLASH-SXDF catalogue (Mehta et al. 2019), using as reference for the S/G classification the tag `STAR_FLAG`, based on the  $BzK$  colour–colour diagram. The comparison between DES data and SPLASH-SXDF shows DES data are  $>90$  per cent complete down to  $g = 23$ . This confirms the estimates in Shipp et al. (2018), and we expect that this sample will have minimal contamination from galaxies and QSOs.

#### 4 MWFITTING APPLIED TO DES-Y3 STARS

We partition the DES data into cells corresponding to HEALPIX pixels with  $N_{\text{SIDE}} = 16$ , covering a solid angle of  $13.43 \text{ deg}^2$ . The cells included in the analysis are those with a fill factor  $\geq 80$  per cent ( $> 10.74 \text{ deg}^2$ ) of its footprint. Such criterion (and others mentioned below) are identical to those adopted for the validation tests.

We choose a constant range of magnitude ( $17 < g < 23$ ) and colour ( $0.0 < g - r < 0.6$ ) when applying MWFITTING to DES data. This constant colour–magnitude selection is motivated by the uniformity of the DES footprint in this magnitude depth, and by the high confidence of the modelled stars in this colour range. We bin the data in colour–magnitude space with a bin size of 0.1 mag in both colour and magnitude. This choice of bin size is somewhat arbitrary, and we have found that the results of our analysis are insensitive to the choice of bin size.

The stars in our sample are not reddening corrected, instead the reddening is incorporated in the models following a Gaussian distribution based on the average and dispersion of the reddening on each cell.

We exclude cells with known stellar clusters and dwarf galaxies. The list of objects includes globular clusters and dwarf galaxies discovered up to date (Harris 1996, 2010 edition; McConnachie 2012; Drlica-Wagner et al. 2015; Kim & Jerjen 2015; Koposov et al. 2015; Luque et al. 2018), along with nearby galaxies partially resolved into stars in the DES images and catalogues (IC5152, ESO294-G010, NGC 55, NGC 300, NGC 1399, NGC 247, IC1613, ESO410-G005). The stars from those objects represent a potential contamination to Galactic fields and these fields contained positive residuals in initial iterations of MWFITTING.

Cells with any region closer than 22 deg from the LMC centre were also masked. Nidever et al. (2019) clearly shows (see their fig. 5) a significant population of LMC MS stars located out to 21 deg from the centre of the LMC. Furthermore, we masked the Sagittarius Stream, removing a stripe of width equal to 20 deg along the centre of the stream (Majewski et al. 2003).

After removing the aforementioned regions and selecting only cells with a fill factor of more than 80 per cent, the remaining 194 cells constitute our so-called *raw sample*. This sample includes the stellar population of streams discovered in the DES footprint (Shipp et al. 2018) and the Eridanus–Phenix overdensity (Eri-Phe; Li et al.

2016). Since these objects cover a large area with a much lower stellar density than that of the Galaxy, we retain them in the *raw sample*.

#### 4.1 With or without streams?

To explore the influence of including regions with known stellar streams and the Eri-Phe overdensity, we define a second sample removing the regions where those objects are located. The list of masked stellar streams is that described by Mateu (2017), and we refer to this work for further details. In the case of Eri-Phe overdensity, the masked area has a triangular shape as shown in fig. 3 of the discovery paper (Li et al. 2016). The second sample of DES data comprise 105 cells, and we refer to this sample as the *refined sample*.

Fig. 3 puts into perspective the footprint of the *raw* and *refined* samples using an orthonormal projection of the southern Galactic Hemisphere. The DES footprint is outlined in black. The cells included in MWFITTING are displayed in green and masked cells are shown in orange. The *raw* and *refined* samples are top and bottom, respectively. A significant portion of the DES footprint is masked in the *refined* sample.

The Sagittarius Stream stands out in both panels of Fig. 3 as a wide stripe crossing the South Galactic Pole and cells masked due to proximity to the LMC are in the lower left corner. The area sampled by DES-Y3 and compared to models amounts to  $2315 \text{ deg}^2$  (194 cells) in the *raw* sample, and to  $1256 \text{ deg}^2$  (105 cells) in the *refined* sample.

#### 4.2 MWFITTING configuration and errors

Before discussing the outcomes from applying MWFITTING to DES data, we first discuss the EMCEE configuration used. We use 200 walkers along 250 steps with step length as 1 per cent of each parameter to sample the posterior distribution. We perform initial iteration, starting with input values from the literature. In a second iteration, we redo the fit starting from previous fitting. The first 200 steps are discarded as a burn-in phase, and we examine the remaining distribution to check that the walkers have converged. We apply a Gelman–Rubin convergence diagnostic ( $R_c \leq 1.004$ ) to check for convergence of the Markov chains.

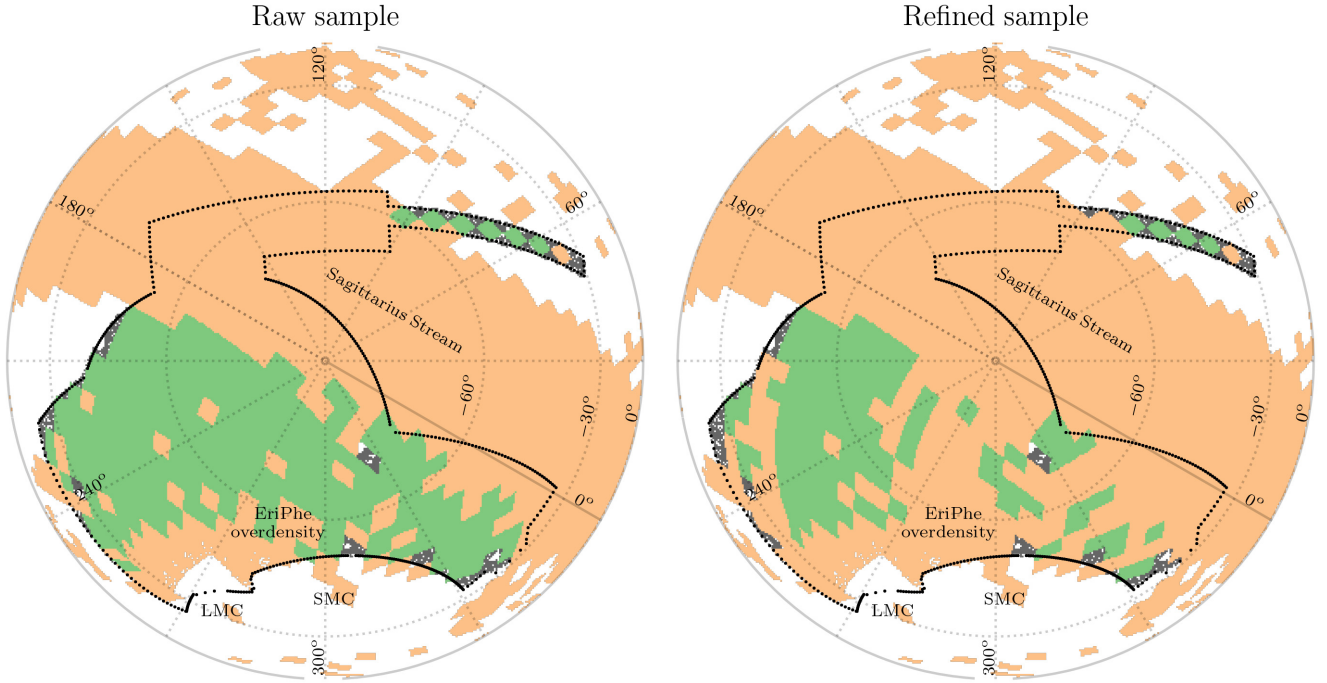
The results from applying MWFITTING to the *raw* and *refined* samples are listed in Table 3. We find that the errors reported from the posterior distribution are smaller than the difference of best-fitting parameters when we tested the pipeline with subsets of the *raw* or *refined* sample.

Hence, we have decided to estimate the statistical errors from a *jackknife* resampling method (Feigelson & Babu 2012), in addition to the systematic errors based on the EMCEE method. The *jackknife* method creates  $n$  samples (where  $n$  is the number of observations), replicating the initial sample in each iteration, but omitting the  $i$ th observation. The *jackknife block* method is similar, but instead we group the observations into  $n_b$  data blocks with size  $k$  (in our case, the blocks are a set of cells). In each  $i$  subsample with  $k$  size, a pseudo-value  $ps_i$  is calculated:

$$ps_i(X) = n_b \phi_n(X_1, \dots, X_n) - (n_b - 1) \phi_{n-k}((X_1, \dots, X_n)_{[i]}) \quad (2)$$

where  $\phi_n$  is the statistical estimator (e. g. mean or dispersion) defined for  $n$  blocks and  $\phi_{n-k}((X_1, \dots, X_n)_{[i]})$  is the same estimator but for the *deleted-one* sample. The pseudo-values,  $ps_i$ , follow a standard normal distribution for the  $\phi$  parameter with mean and standard deviation.

We adopted  $k = 10$  for both samples, with  $n_b = 20$  blocks in the *raw* and  $n_b = 10$  blocks in *refined* sample. Following this method, the statistical errors indicated in Table 3 bound  $1\sigma$  or 68 per cent of the



**Figure 3.** Galactic coordinates in an orthonormal projection showing the DES footprint (outlined by the black dots) in the southern Galactic Hemisphere. The *raw sample* (top) and the *refined sample* (bottom) are shown as the green diamonds. Cells in orange are masked, due to prominent stellar overdensities such as: globular clusters, dwarf galaxies, the Sagittarius Stream, the outskirts of the LMC and SMC, Eridanus–Phenix overdensity, and stellar streams. LMC and SMC positions are indicated in the figure.

**Table 3.** Best-fitting parameters for the *raw* and *refined* samples. The last two columns are results from the literature. In our results, the first errors listed are the  $1\sigma$  statistical error or the standard deviation of the mean estimated by the *jackknife block* method (see more details in the text). The second errors are the systematic errors as discussed in Section 5 and 4.3. They represent the ability of the pipeline to recover the true model, and the degeneracy of the parameters regarding the uncertainty of the local density of the thin disc.

Parameter	Unit	MWFITTING		Jurić et al. 2008	de Jong et al. 2010	Deason et al. 2011
		Raw sample	Refined sample			
Thick disc $h_e$	pc	$925 \pm 6 \pm 40$	$910 \pm 8 \pm 45$	$743 \pm 150$	$750 \pm 70$	–
Thick disc $R_e$	pc	$2667 \pm 89 \pm 34$	$2631 \pm 111 \pm 49$	$3261 \pm 650$	$4100 \pm 400$	–
Thick disc $\rho$ ( $R = R_0$ )	$10^{-3} M_\odot \text{pc}^{-2}$	$3.89 \pm 0.09 \pm 0.64$	$3.97 \pm 0.12 \pm 0.73$	$7.53 \pm 0.75$	$5.01 \pm 1.30$	–
Halo $n_1$	–	$1.82 \pm 0.05 \pm 0.06$	$1.86 \pm 0.07 \pm 0.08$	–	–	$2.3^{+0.1}_{-0.1}$
Halo $n_2$	–	$4.14 \pm 0.03 \pm 0.04$	$4.24 \pm 0.04 \pm 0.05$	–	–	$4.6^{+0.2}_{-0.1}$
Halo $r_{br}$	kpc	$18.52 \pm 0.15 \pm 0.23$	$18.59 \pm 0.39 \pm 0.29$	–	–	$27^{+1}_{-1}$
Halo $q$	–	$0.75 \pm 0.01 \pm 0.01$	$0.74 \pm 0.02 \pm 0.01$	$0.64 \pm 0.01$	$0.88 \pm 0.03$	$0.59^{+0.02}_{-0.03}$
Halo $\rho$ ( $R = R_0$ )	$10^{-5} M_\odot \text{pc}^{-3}$	$3.31 \pm 0.10 \pm 0.17$	$3.51 \pm 0.13 \pm 0.23$	$2.95 \pm 0.74$	$6.31 \pm 0.77$	–

likelihood distribution of each parameter. One potential concern is that imperfect modelling of the thin disc could affect fitted parameters of the thick disc and halo. To assess this possible degeneracy, we run multiple fits of the halo and thick disc with the thin disc density set to 60 per cent–110 per cent (with bin size equal to 10 per cent) of the benchmark value listed in Table 1 ( $55.41 M_\odot \text{pc}^{-2}$ ). Assuming an uncertainty of 10 per cent in the local surface density of the thin disc (similar to the uncertainty of Holmberg & Flynn 2004), those trials indicate a strong dependence between the densities of thin/thick disc. A decrease of 10 per cent in the modelled density of the thin disc means an increase of the same amount in the density of the thick disc, while for the remaining parameters the dependence is much weaker. In this way, we assume an uncertainty of 10 per cent in the local density of the thin disc, and we added the systematic dependence on the thin disc local density as an systematic error in Table 3 for all the

parameters. We assume that the correlation between the parameters in Table 3 and the parameters of the thin disc (with the exception of the density of the thick disc) is much weaker than the correlation between the same parameters and the normalization of the thin disc.

Following this reasoning, the systematic errors included in Table 3 account for the ability of the pipeline to recover input values, and the dependence of the parameters on the local density of the thin disc. The best-fitting parameters for the *raw* and *refined* samples agree within  $1\sigma$  and have quite similar errors.

### 4.3 MWFITTING results

There is a general agreement between our results and previous works (see Table 3), even though our uncertainties are smaller in most of the cases.



The vertical and radial scale of the thick disc are consistent within  $\sim 1\sigma$  given the estimate and uncertainty from Jurić et al. (2008), and the density normalization of the thick disc is within  $1\sigma$  of the estimate and uncertainty from de Jong et al. (2010).

The large differences in the density of the thick disc reported by previous works may be related to the different methods used to estimate the total stellar mass. Different IMFs heavily influence the number of low-mass stars, most of which are not sampled by the HDs in this work. Different approaches in selecting stars also impact the estimation of the total stellar mass. Likewise, we point out there is a discrepancy by a factor of  $\sim 2$  in the local halo stellar density between the estimations of Jurić et al. (2008) and de Jong et al. (2010).

Comparing our measurements of the Galactic halo to the literature, the best-fitting values of oblateness ( $q$ ) are between the results of Jurić et al. (2008) and Deason et al. (2011) and that of de Jong et al. (2010). Regarding the inner and outer exponents of the double power law describing the halo density, we find that estimates from Deason et al. (2011) are steeper than ours, but that the two results are consistent to within 20 per cent. This relative discrepancy could be due to many factors: minor tweaks in the stellar evolutionary models, the different regions sampled (SDSS imaged most the Northern hemisphere, while DES samples the Southern hemisphere), or the other model parameters adopted. Similar explanations could account for the difference between our results and the single power-law fit by Hernitschek et al. 2018 ( $n = 4.40^{+0.05}_{-0.04}$ ), in addition to the fact that they use RR Lyrae stars from Pan-STARRS1 between  $20 \text{ kpc} \leq R_{\text{GC}} \leq 131 \text{ kpc}$ , which extend to much larger distances than our sample ( $r_{\text{GC}} \leq 60 \text{ kpc}$ ).

Our model indicates a closer power-law break radius than that indicated by Deason et al. (2011); however, our best-fitting break radius is consistent with the larger range of fits in the literature. To illustrate the range of distances for the radius of the break in previous works, we cite a few examples using diverse methods. For example, Watkins et al. (2009) use a sample of RR Lyrae stars in Stripe-82 region sampled by SDSS, finding a break radius of 23 kpc. Pila-Díez et al. (2015) fit F stars from fields of MENeCS and CCCP projects determining a power-law break at 20 kpc from the Galactic centre, and in a more recent work Xue et al. (2015) modelled giant stars from SDSS/SEGUE-2 found a closer break radius than our value ( $18 \pm 1 \text{ kpc}$ ).

In a more recent work, Deason et al. (2018) determined the orbital properties of a sample of MS and Blue Horizontal Branch (BHB) stars from halo using position, kinematic properties, and metallicities from Gaia DR2 and SDSS. Adding the Galactic gravitational potential, they derive the apocenter of the star’s orbits, addressing the break of the halo to a ‘pile-up’ effect where the stars with eccentricity  $e > 0.9$  slow-down near the most distant part of the orbit. After excluding stars from the disc, the average apocenter derived for MS and BHB stars are  $16 \pm 6 \text{ kpc}$  and  $20 \pm 7 \text{ kpc}$ , respectively, in excellent agreement with our fit (see also Bullock & Johnston 2005; Deason et al. 2013 and references therein).

We also fit two alternative models for the Galactic halo: an Einasto profile and a single power law (in both cases the thick disc was modelled with the same exponential profile). The best-fitting model with halo modelled by an Einasto profile yielded a lower likelihood ( $-2\ln \lambda = 440, 340$ ) than the model with the double power law ( $-2\ln \lambda = 218, 355$ ), both following equation (1). The best-fitting model for thick disc and halo with a single power law resulted in an even lower likelihood ( $-2\ln \lambda \simeq 1, 000, 000$ ). These conclusions are quite similar to those of Deason et al. (2011).

## 5 SIMULATING THE STELLAR CONTENTS OF DES-Y3

With the best-fitting parameters, we produce a simulated stellar catalogue matched to DES-Y3 with limiting magnitude of  $g = 24$  and in the colour range  $0 < g - r < 0.6$ . We compare these simulations to the real data to study the stellar distribution in DES-Y3, to highlight asymmetries in the Galactic components (such as flares and warps in the disc), and to reveal stellar substructures.

Fig. 4 compares the star counts as a function of  $g$  magnitude in DES-Y3 to simulations using the best-fitting models. The regions where the stars are sampled exclude areas containing dwarf galaxies, globular clusters, stellar streams, the Sagittarius Stream and Eridanus–Phoenix overdensity, and regions with high reddening ( $b \leq -30 \text{ deg}$ ). The magnitude bins in this figure are twice the size of the magnitude bins in the fitting to sample a smooth histogram.

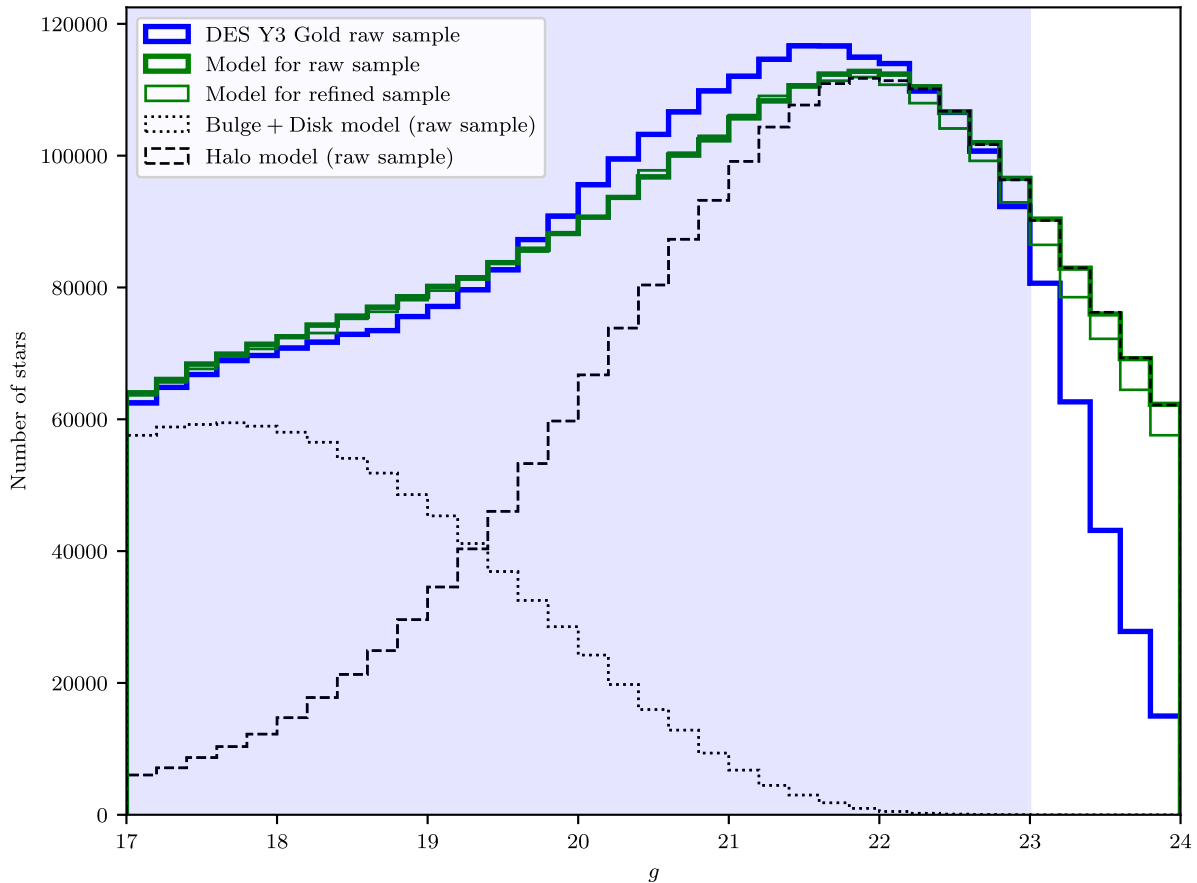
The distribution of DES-Y3 stars in Fig. 4 is shown as a blue line, while the distribution of stars in the simulations using the best-fitting parameters from the *raw* and *refined* samples are shown as the thick and thin green lines, respectively. The grey lines sample the distribution of modelled stars belonging to the bulge and disc (the dotted line) or to the halo (the dashed line), both following the best-fitting model for *raw* sample.

An initial look at Fig. 4 reveals a high level of consistency between the two best-fitting models. The differences between both models are  $< 2$  per cent in general. These models are reasonably similar to the data, agreeing within 5 per cent in the magnitude range  $17 < g < 23$ . The histogram shows an excess in the DES-Y3 data with respect to both best-fitting models between  $20 < g < 22$ , with an excess in the modelled stars of a few per cent between  $18 < g < 19.5$ . The discrepancies between data and model in Fig. 4 may be improved in several ways: better models for the evolution of metal-poor stars (population of the halo), minor tweaks in the halo’s SFH, additional components in the Galactic halo model (e.g. the Gaia-Enceladus galaxy), a potential metallicity gradient in the halo, slight changes to the Sun–Galactic centre distance, or in any other parameter taken into account in the TRILEGAL models, such as the interstellar extinction. We are investigating the possible causes for the observed excess of stars, to develop an improved model for the Galaxy. Interestingly, the difference between data and models is dependent on the region of the sky examined, with better agreement found including only Galactic fields at higher latitude.

Fig. 5 shows the same distribution of stars, but in the  $g \times g - r$  CMD space, with bins in magnitude and colour equal to 0.2 and 0.02, respectively. We note that this bin size is different from that used in the fitting, but is equal to that in Fig. 4 for the  $g$  magnitude. To highlight subtle differences in colour, we oversample the  $g - r$  colour range with bin sizes equal to 0.02.

The left-hand panel of Fig. 5 shows the distribution of DES-Y3 stars, similar to the blue line in Fig. 4. The best-fitting model for the *raw* and *refined* samples are shown in the central and right-hand panels representing the green lines in Fig. 4. Analogous to the observed magnitude distribution at the faint end in Fig. 4, the fainter end of the first panel in Fig. 5 shows a decreasing number of sources below  $g = 23$ , where the dashed line delimits the bound of the stars compared to models in the fitting. The panels share the same colour bar, indicated on the right of the figure.

The three panels of Fig. 5 exhibit strong similarities down to  $g \lesssim 23$ . The thick disc leaves its main imprint by the plume of MSTO metal-rich stars at  $g < 19$  and  $g - r \simeq 0.4$ . There is a smooth transition between the crowding of MSTO stars of the thick disc and the MSTO stars of the halo, which starts at  $g \simeq 19$  but in a bluer



**Figure 4.** Stellar number distribution in  $g$  band for the DES-Y3 catalogue (the blue line) and four different models (the green and grey histograms). The best-fitting model for the *raw* and *refined* samples are shown as the thick and thin green lines, respectively. In grey, we show the same model as the raw sample, but splitted in two main components: disc (the dotted line, with a small contribution of the bulge) and halo (the dashed line).

region. This transition is seen in the Fig. 4 as a distribution of stars slightly more flat ( $18 < g < 19$ ) than the preceding or subsequent range. The MSTO halo’s stars are concentrated in a large range of magnitudes centered at  $g \simeq 21$ , whose density smoothly decreases towards the fainter end.

An excess of DES-Y3 stars in the range  $21 < g < 22$  is seen on the left-hand panel of Fig. 5, similar to Fig. 4, but with the additional information that the excess stars are concentrated near the MSTO of halo stars. The most populated bin in the left-hand panel of Fig. 5 ( $g - r = 0.33$ ,  $g = 21.25$ ) contains 25 per cent more stars when compared to the same bin in the central panel.

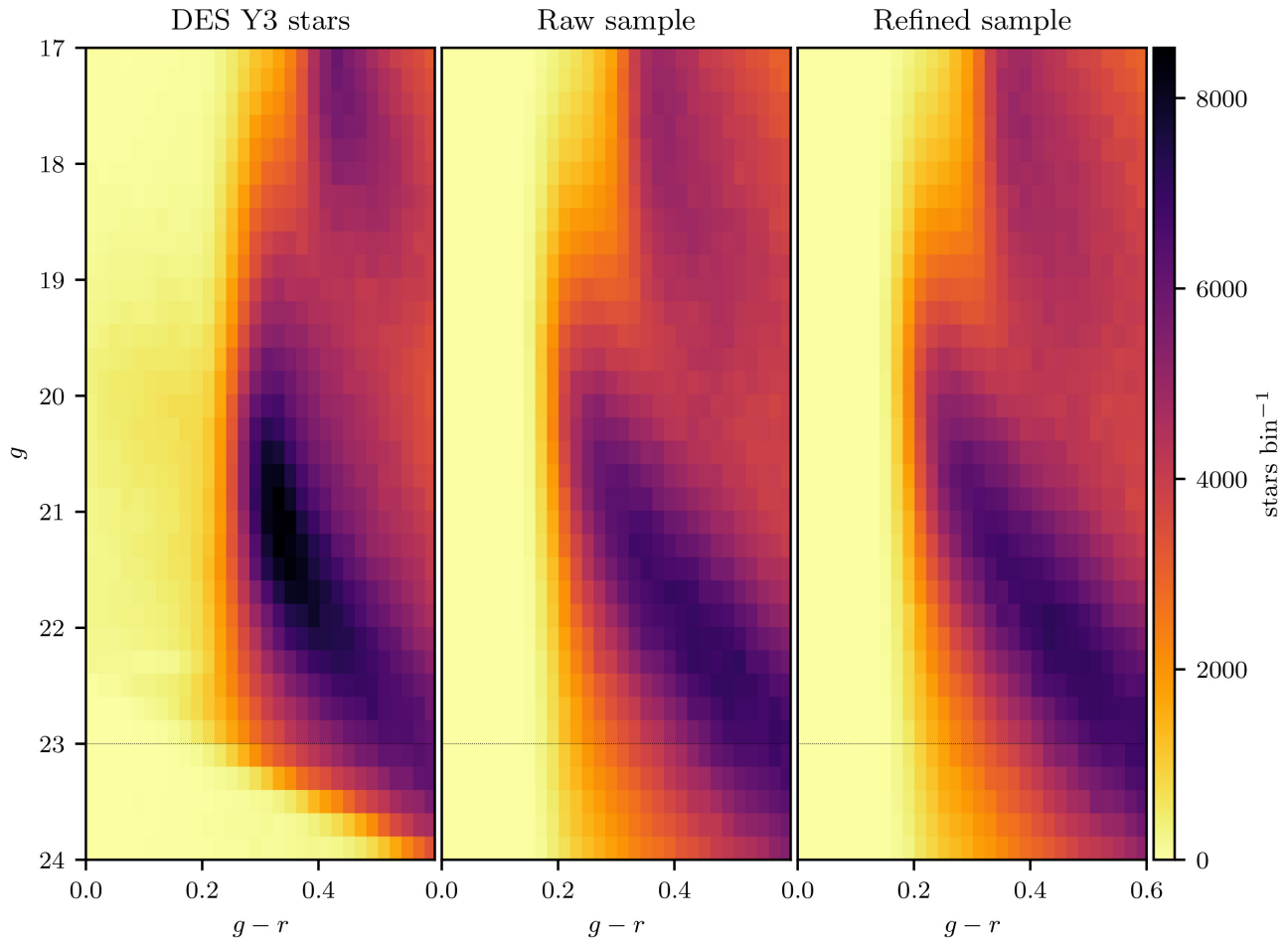
Even though they are not included in this comparison, the estimation of star counts fainter than  $g = 23$  is important for future surveys such as the Rubin Observatory LSST (LSST Science Collaboration 2009) and *Euclid* (Sartoris et al. 2016), where S/G classification will be important. For example, at  $g = 24$ , Fig. 4 shows that the expected number of halo stars in the models is roughly double the number of stars in the data. Realistic simulations for future large and deep surveys must consider and account for this incompleteness.

### 5.1 Poissonian significance maps

Fig. 6 shows the Poissonian significance maps generated for both samples of the DES-Y3 data using the best-fitting model parameters. Given the steep decrease of stars at faint  $g$ -magnitudes in Fig. 4, we restrict the sample to stars with  $g < 23.5$ . The significance

of each  $7 \times 7$  arcmin<sup>2</sup> pixel is taken as the residual star counts (difference between the DES-Y3 catalogue and the model catalogue) divided by the square root of modelled star counts. Both maps are smoothed by a Gaussian kernel with  $\sigma = 7$  arcmin, resulting in a minimum significance of  $-1.67$  for *refined sample* and  $-1.69$  for *raw sample*. To highlight under/overdensities as blue/reddish colours, and white colour as a perfect agreement between models and data, the significance range is set to  $(-1.67, 1.67)$ . Pixels with significance higher than 1.67 (mainly known globular clusters and dwarf galaxies) are saturated with that maximum value.

Many known Galactic substructures are enhanced in this residual map, attesting to the accuracy of the MWFITTING model. We label the most significant stellar overdensities on both panels of Fig. 6. For instance, the stripe roughly parallel to  $l = 180$  deg is the Sagittarius Stream. The overdensity associated with SMC (SMCNOD) in the anti-LMC side (Pieres et al. 2017; Mackey et al. 2018) is also evident. Although we are not using a *matched filter*, a technique commonly applied to highlight fainter substructures as streams (e.g. Odenkirchen et al. 2003), a few streams are noticeable in Fig. 6. The ATLAS stream (Koposov et al. 2014; Shipp et al. 2018), a track of stars close to Galactic Pole (indicated in Fig. 6), is a good example of such a structure, as well as the Phoenix stream (Balbinot et al. 2016), a long track of stars seemingly pointing toward the Phoenix dwarf galaxy. Other visible features are the Indus stellar stream (just below Tuc II), and the Tuc III stream, centred on the dwarf galaxy Tuc III.



**Figure 5.** *Left-hand panel:* CMD for the raw sample of DES-Y3 stars (blue line in Fig. 4). *Central panel:* simulated CMD for the raw sample. *Right-hand panel:* simulated CMD for the refined sample. The cut in photometric redshift explained in Section 3 is responsible for the reduced source density at the faint end of the left-hand panel.

The regions at the lowest Galactic latitudes between  $240 \text{ deg} < l < 270 \text{ deg}$  presents smooth and flat overdensities (with the exception of the region close to LMC) in both panels of Fig. 6, which may indicate that there is room for improvement in the thin disc model. The region at  $b < -30 \text{ deg}$ ,  $220 \text{ deg} < l < 240 \text{ deg}$  in DES-Y3 footprint exhibits a strong excess of stars related to Monoceros Ring (Newberg et al. 2002). The CMDs of these regions show that the excess stars constitute a metal-poor stellar population with MSTO at  $g \sim 21$ , or residing at  $\simeq 16 \text{ kpc}$  from the Sun ( $\simeq 20 \text{ kpc}$  from the Galactic centre). In fact, the stars of the Monoceros Stream are located in a narrow range of distances, forming a structure similar to a ring or stream orbiting the Galactic centre, rather than a flare of the disc. The origin of this stream is probably the accretion of a dwarf galaxy with low inclination (Rocha-Pinto et al. 2003; Peñarrubia et al. 2005; Jurić et al. 2008), and with a metallicity different than that of the thick disc and that of the halo as noted by (Meisner et al. 2012). The Eridanus–Phoenix overdensity (Li et al. 2016) is a very large overdensity of stars between  $270 \text{ deg} < l < 330 \text{ deg}$  and  $-40 \text{ deg} < b < -70 \text{ deg}$ , populating a triangle with vertices close of LMC, SMC, and Fornax dwarf galaxy, seen on both panels of Fig. 6. Subtracting the stars in the modelled catalogue, the Eridanus–Phoenix cloud contains an overdensity of 4756 (4755) stars within the range ( $17 < g < 22$  and  $0.0 < g - r < 0.6$ ) when compared to the best-fitting of the raw (refined) sample.

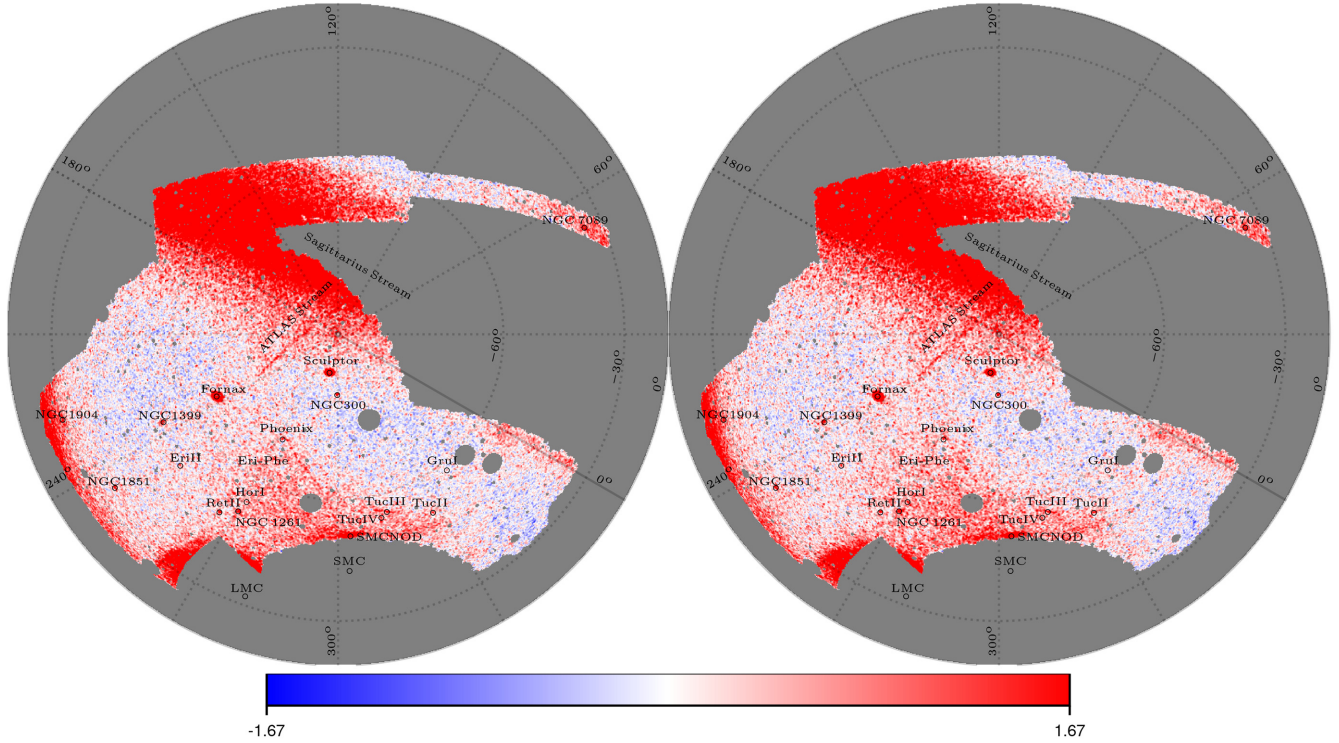
Accounting for stars more massive than  $0.1 M_{\odot}$  in a Chabrier mass function (Chabrier et al. 2000) for a disc-like IMF stars, those values correspond to an object with  $\simeq 1.6 \times 10^4$  ( $\simeq 1.5 \times 10^4$ )  $M_{\odot}$  for the raw (refined) sample. These mass estimations represent a decrease in mass of at least by factor of 5 compared to the estimates in Li et al. (2016).

Even though the best-fitting parameters for both samples agree within  $1\sigma$ , there are slight differences regarding the two panels of Fig 6. For instance, ATLAS and Phoenix streams seem to be more continuous in the left-hand panel with best-fitting parameters from raw sample than with the refined sample.

## 5.2 MW stellar mass

We calculate the stellar masses of the halo and thick disc MW components with the best-fitting parameters (Table 3) and list them in Table 4. These mass estimations only include field stars following from a smooth model for the Galactic components, and therefore exclude the mass from globular clusters, dwarf galaxies, and streams.

The bulge parameters are kept fixed, and the model described in Table 1 amounts to a stellar mass of  $1.28 \times 10^{10} M_{\odot}$  or 21.4 per cent of the total stellar mass of the Galaxy ( $5.97 \pm 0.99 \times 10^{10} M_{\odot}$ , following the adopted model here). This agrees with mass estimates



**Figure 6.** Smoothed Poisson significance ( $(N_{\text{obs}} - N_{\text{mod}})/\sqrt{N_{\text{mod}}}$ ) of residual maps between the DES-Y3 stars and best-fitting MW models created with the *raw* (left) and *refined* (right) samples, with a limiting magnitude of  $g = 23.5$ . The significance value in each cell is smoothed using a Gaussian kernel with full width at half-maxima  $\simeq 7$  arcmin. Many overdensities are identified, most of them are associated with known objects including globular clusters, dwarf galaxies and stellar streams. The insertion of more labels in the figure were avoided to do not pollute excessively the map. Both maps are set to the same scale and regions masked (not covered by DES or close to bright stars) are shown in grey. Despite the fact that we are not fitting the thin disc and the bulge, the overall smoothed Poissonian significance across the footprint is close to zero.

**Table 4.** Stellar masses estimates for the MW components fit in this work, for the *raw* and *refined* samples.

Component	Estimated mass ( $M_{\odot}$ )	
	<i>Raw sample</i>	<i>Refined sample</i>
Thick disc	$3.57 \pm 0.43 \times 10^6$	$3.70 \pm 0.44 \times 10^6$
Halo ( $r < 100$ kpc)	$6.80 \pm 1.04 \times 10^8$	$6.98 \pm 1.56 \times 10^8$

from the literature, where estimates of the stellar bulge mass range from 10 to 20 per cent of the MW stellar mass (Licquia & Newman 2015; Portail et al. 2017). Our model includes a thin disc (with fixed parameters) and has a stellar mass of  $4.62 \times 10^{10} M_{\odot}$ , which is within  $1\sigma$  of the estimation by Licquia & Newman 2015 ( $5.17 \pm 1.11 \times 10^{10} M_{\odot}$ ) and within  $2\sigma$  of McMillan 2011 ( $5.54 \pm 0.63 \times 10^{10} M_{\odot}$ ). The thick disc has a small contribution to total disc mass, with a ratio of stellar masses in the thin and thick discs as  $\cong 13\,000 : 1$ . The total mass of the thick disc calculated here is broadly consistent with that derived from the parameters of Jurić et al. 2008 ( $5.85 \times 10^6 M_{\odot}$ ) and more closely matches that of de Jong et al. 2010 ( $3.38 \times 10^6 M_{\odot}$ ).

The halo mass is estimated by integrating the double power-law profile from the Galactic Centre out to 100 kpc. Based on our fits, we find that the stellar halo contributes 1.1 per cent of the Galactic stellar mass, while the discs contribute with  $\simeq 80$  per cent of the total. Our estimate of the total stellar halo mass is within the range estimated by Deason et al. 2011 ( $2\text{--}10 \times 10^8 M_{\odot}$ ), while being more massive than estimated by Bell et al. 2008, where the latter authors found a halo with an integrated stellar mass out to 40 kpc of  $3.7 \pm 1.2 \times 10^8 M_{\odot}$ .

### 5.3 Possible scenarios for the formation of the halo and thick disc

While the DES data alone are insufficient to define a clear track for the formation and evolution of the Galaxy, they can be combined with other studies to provide clues about the origin of the halo and thick disc. For example, N-body simulations (e.g. Bullock & Johnston 2005) show that the density profile of the stellar halo provides information about the epoch, number, and character of past accretion events (see also Deason et al. 2013). More centrally concentrated haloes have been shown to arise in scenarios where massive accretion events contribute the majority of stars in the inner region of the halo. The double power-law density profile that fits the DES data could arise from a scenario where stars from the accretion of a massive satellite dominate the Galactic halo out to the break radius. We posit that these stars may be associated with the merger of the *Gaia*–Enceladus–Sausage galaxy (Belokurov et al. 2018; Helmi et al. 2018). By integrating our best-fitting double power-law model derived from the DES *raw sample* from the Galactic centre out to the break radius we estimate the total stellar mass of the central halo to be  $\simeq 3.6 \times 10^8 M_{\odot}$ . This estimate can be used as an upper limit on the merged stellar mass of the *Gaia*–Enceladus–Sausage galaxy (not including any undisrupted globular clusters population associated with this galaxy). In comparison, Mackereth & Bovy (2020) selected a mono-abundance population of halo red giant stars from APOGEE-DR14 [ $-3 < \text{Fe}/\text{H} < -1$  and  $0.0 < (\text{Mg}/\text{Fe}) < 0.4$ ], and they estimated that the current mass of stars with high eccentricity ( $e > 0.7$ ) associated to the *Gaia*–Enceladus–Sausage galaxy is  $3 \pm 1 \times 10^8 M_{\odot}$ , which is similar to our estimate above.

The formation of the MW thick disc has been debated since its discovery nearly four decades ago (Gilmore & Reid 1983). The most popular models for formation of the thick disc discussed in the literature are (1) accretion of stars from disrupted satellites, (2) slow kinematical heating of stars from the thick disc, (3) radial migration of stars from the inner galaxy, and (4) *in situ* star formation from a gas-rich merger (e.g. Jurić et al. 2008; Steinmetz 2012). Bensby, Feltzing & Oey (2014) point out that the distribution of the  $\alpha$  elements in F and G dwarf stars form two distinct stellar populations extending throughout most of the Galactic disc. These findings strongly disfavour scenarios where the thick disc was formed solely from models (2) and (3). Rather, they suggest that accretion events closely aligned to the plane of the Galaxy (similar to the Monoceros Stream) are at least partially responsible for the formation of the thick disc. The clumpy distribution of stars in Fig. 6 and the low estimated mass for the thick disc (similar to the most massive globular cluster in the Galaxy) support scenario (1) where the accretion of stars from disrupted objects contributes to the formation of the thick disc and halo, in agreement with bottom-up  $\Lambda$ CDM hierarchical formation model (e.g. Bullock & Johnston 2005; Deason, Belokurov & Weisz 2015; Fattahi et al. 2020), and with the recent discoveries/studies of mergers involving the Milky Way (e.g. Belokurov et al. 2018; Helmi et al. 2018; Kruijssen et al. 2020).

## 6 CONCLUDING REMARKS

We have developed a new code to fit the stellar components of the MW. In this first paper, we concentrate on fitting the thick disc and the halo due to the location of the DES footprint in the south Galactic cap. We list below our main conclusions from this work:

- (i) This work presents MWFITTING, a pipeline constructed to fit structural parameters for the Galactic components with TRILEGAL stellar population synthesis models.
- (ii) The MWFITTING pipeline is validated with synthetic catalogues. We successfully recovered the input parameters (with a maximum deviation  $\leq 3$  per cent) using the same oversampling factor and a footprint smaller than the real data (see Table 2).
- (iii) Our main goal in this work is to model the halo and the thick disc components by applying the MWFITTING pipeline to data from DES-Y3 Gold catalogue. We defined two different samples based on known stellar overdensities. Both samples excluded cells populated by dwarf galaxies, globular clusters, and cells close to the LMC. In the *refined* sample, we further excluded cells where stellar streams and Eridanus–Phoenix overdensity are located.
- (iv) Table 3 lists the results for both samples, with statistical uncertainties determined by *jackknife* resampling and the EMCEE method. The systematic uncertainties are sampled by the ability of the pipeline in recovering the true parameters based on simulations and the uncertainties in the local density of the thin disc. Results from both samples agree within a confidence level of 68 per cent ( $1\sigma$ ).
- (v) The distribution of DES-Y3 stars presents a reasonable agreement (within  $\leq 5$  per cent in number of stars in each bin) with our models down to  $g = 23$ . The distribution of stars in the DES-Y3 catalogue and in the models both peak close to  $g = 22.0$ . Fainter than  $g = 23$ , there is a decrease in the number of stars, that we interpret as a result of the S/G classification schema applied here, coupled with the relative scarcity of stars in the outer MW halo.

(vi) CMDs comparing DES-Y3 stars and both simulations reasonably agree down to  $g = 23$ , suggesting that the double power law is a good description of the Galactic halo, at least at this depth.

(vii) The star counts in the stellar halo is crucial for predicting the density of faint stars with  $g - r \lesssim 1$ , which will be sampled in future surveys such as the Rubin Observatory LSST and *Euclid*.

(viii) Simulations over the entire DES-Y3 footprint based on our best-fitting models were produced. Both simulations agree well with the data. Residual maps highlight many overdensities associated with globular clusters, dwarf galaxies, clouds, and streams in the DES footprint.

(ix) We found a mass ratio between the thin and thick discs equal to  $\simeq 13\,000:1$ , while the halo amounts to 1.1 per cent of the total MW stellar mass.

Future work with MWFITTING will include data from other wide-field surveys to extend the analysis to both the north and south Galactic hemispheres and will include improvements to the modeling for the Galactic halo (e.g. tri-axial model).

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## DATA AVAILABILITY

The DES-Y3 Gold catalogue underlying this work is proprietary. Please see the DES project page for public releases.<sup>7</sup> The simulated data generated in this research will be shared on a reasonable request to the corresponding author.

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## APPENDIX A: MWFITTING PIPELINE INPUTS

This appendix describes input parameters of the pipeline when submitting MWFITTING through the science portal, intended to guide LIneA users. Table A1 lists the name, description, standard configuration, and units of the parameters that the user should use to reproduce our results. These parameters are not related to the components of the MW models, but needed to run the code.

**Table A1.** The main parameters to run MWFITTING pipeline.

Parameter name	Description	Std. configuration	Unit
<b>Input data</b>			
NSIDE	Footprint map granularity	4096	HEALPIX Nside
Input as simulation	Build and fit mock catalogues	False	–
Random factor	Range to multiply initial values	2	–
Seed for input	(for ‘input as simulation’ method) Seed to generate random numbers and multiply random factor	0	–
<b>Mock catalogues</b>			
Build mock catalogue?	Build a mock catalogue following the best-fitting parameters	False	–
<b>Hess Diagrams</b>			
Nside	Size of cells for HD	16	HEALPIX Nside
Minimum area	Minimum coverage of the cell	0.9	Cell area
Global seed	Global seed for fields choice	1	–
Magnitude range	Min, max, step	17.0;23.0;0.1	Mag
Colour range	Min, max, step	0.0;0.6;0.1	Mag
Cell counts	Number of fields to be fitted	10	Field
<b>Filters</b>			
Streams	Filter streams	False	–
Minimum Galactic latitude	Lowest absolute value for $b$	30	Degree
<b>Optimize</b>			
Overfactor	Oversampling the models	32	Area in cell

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