Title

Initial Symptoms in Symptomatic and Pre-symptomatic Genetic Frontotemporal Lobar

Degeneration

Authors

Tamara P. Tavares¹, Derek G.V. Mitchell¹, Kristy Coleman^{2,3}, Brenda L. Coleman⁴, Christen Shoesmith³, Chris Butler⁵ Isabel Santana⁶, Adrian Danek⁷, Alexander Gerhard⁸, Alexandre de Mendonça⁹, Barbara Borroni¹⁰, Carmela Tartaglia¹¹, Caroline Graff¹², Daniela Galimberti¹³, Fabrizio Tagliavini¹⁴, Fermin Moreno¹⁵, Giovanni Frisoni¹⁶, James Rowe¹⁷, Johannes Levin¹⁸, John van Swieten¹⁹, Markus Otto²⁰, Matthis Synofzik²¹, Raquel Sanchez-Valle²², Rik Vandenberghe²³, Robert Laforce²⁴, Roberta Ghidoni²⁵, Sandro Sorbi²⁶, Simon Ducharme²⁷, Mario Masellis^{28,} Jonathan D. Rohrer²⁹, and Elizabeth C. Finger^{1,2,3}, on behalf of the Genetic FTD Initiative, GENFI

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Affiliations

Graduate Program in Neuroscience and Brain and Mind Institute, Schulich School of Medicine and Dentistry, University of Western Ontario

² Parkwood Institute, Lawson Health Research Institute

Department of Clinical Neurological Sciences

⁴Infectious Disease Epidemiologic Research Unit, Mount Sinai Hospital, Toronto, ON, Canada ⁵Nuffield Department of Clinical Neurosciences, Medical Sciences Division, University of Oxford, Oxford, UK

⁶Faculty of Medicine, University of Coimbra, Coimbra, Portugal, Centre of Neurosciences and Cell biology, Universidade de Coimbra, Coimbra, Portugal, Neurology Department, Centro Hospitalar e Universitario de Coimbra, Coimbra, Portugal

⁷Neurologische Klinik, Ludwig-Maximilians-Universität München, Munich, Germany

⁸Faculty of Medical and Human Sciences, Institute of Brain, Behaviour and Mental Health,

University of Manchester, Manchester, UK

⁹Laboratory of Neurosciences, Institute of Molecular Medicine, Faculty of Medicine, University of Lisbon, Lisbon, Portugal

¹⁰Centre for Neurodegenerative Disorders, Neurology Unit, Department of Clinical and Experimental Sciences, University of Brescia, Brescia, Italy

¹¹Tanz Centre for Research in Neurodegenerative Diseases, University of Toronto, Toronto, Canada

¹²Department of Geriatric Medicine, Karolinska University Hospital-Huddinge, Stockholm, Sweden

¹³Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico, Neurodegenerative Diseases

Unit, Milan, Italy, University of Milan, Centro Dino Ferrari, Milan, Italy

¹⁴Fondazione IRCCS Istituto Neurologico Carlo Besta, Milano, Italy

¹⁵Cognitive Disorders Unit, Department of Neurology, Donostia University Hospital, San Sebastian, Gipuzkoa, Spain, Neuroscience Area, Biodonostia Health Research Insitute, San Sebastian, Gipuzkoa, Spain

¹⁶Instituto di Recovero e Cura a Carattere Scientifico Istituto Centro San Giovanni di Dio Fatebenefratelli, Brescia, Italy

¹⁷Department of Clinical Neurosciences, University of Cambridge, Cambridge, UK

¹⁸Neurologische Klinik, Ludwig-Maximilians-Universität München, Munich, Germany

¹⁹Department of Neurology, Erasmus Medical Centre, Rotterdam, Netherlands

²⁰Department of Neurology, University of Ulm, Ulm

²¹Department of Neurodegenerative Diseases, Hertie-Institute for Clinical Brain Research and Center of Neurology, University of Tübingen, Tübingen, Germany, Center for Neurodegenerative Diseases (DZNE), Tübingen, Germany

²²Alzheimer's disease and Other Cognitive Disorders Unit, Neurology Service, Hospital Clínic, Institut d'Investigacións Biomèdiques August Pi I Sunyer, University of Barcelona, Barcelona, Spain

²³Laboratory for Cognitive Neurology, Department of Neurosciences, KU Leuven, Leuven,

Belgium, Neurology Service, University Hospitals Leuven, Belgium, Laboratory for

Neurobiology, VIB-KU Leuven Centre for Brain Research, Leuven, Belgium

²⁴Clinique Interdisciplinaire de Mémoire Département des Sciences Neurologiques Université Laval Québec, Quebec, Canada

²⁵Molecular Markers Laboratory, IRCCS Istituto Centro San Giovanni di Dio Fatebenefratelli, Brescia, Italy

²⁶Department of Neuroscience, Psychology, Drug Research, and Child Health, University of Florence, Florence, Italy

²⁷Department of Psychiatry, McGill University Health Centre, McGill University, Montreal, Québec, Canada, McConnell Brain Imaging Centre, Montreal Neurological Institute, McGill University, Montreal, Québec, Canada

²⁸Sunnybrook Health Sciences Centre, Sunnybrook Research Institute, University of Toronto, Toronto, Canada

²⁹Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK

*List of GENFI Consortium Members in Appendix

Abstract

Objectives: The clinical heterogeneity of Frontotemporal Dementia (FTD) complicates identification of biomarkers for clinical trials that may be sensitive during the pre-diagnostic stage. It is not known whether cognitive or behavioural changes during the pre-symptomatic period are predictive of genetic status or conversion to clinical FTD. The first objective was to evaluate the most frequent initial symptoms in patients with genetic FTD. The second objective was to evaluate whether pre-symptomatic mutation carriers demonstrate unique FTD-related symptoms relative to familial mutation non-carriers.

Methods: The current study used data from the Genetic Frontotemporal Dementia Initiative (GENFI) multicentre cohort study collected between 2012-18. Participants included symptomatic carriers (N=185) of a pathogenic mutation in *C9orf72*, *GRN* or *MAPT* and their first-degree biological family members (N=588). Symptom endorsement was documented using informant and clinician-rated scales.

Results: The most frequently endorsed initial symptoms amongst symptomatic patients were apathy (23%), disinhibition (18%), memory impairments (12%), decreased fluency (8%), and impaired articulation (5%). Predominant first symptoms were usually discordant between family members. Relative to biologically related non-carriers, pre-symptomatic *MAPT* carriers endorsed worse mood and sleep symptoms, and *C9orf72* carriers endorsed marginally greater abnormal behaviours. Pre-symptomatic *GRN* carriers endorsed less mood symptoms compared to non-carriers, and worse everyday skills.

Conclusion: Pre-symptomatic mutation carriers exhibited neuropsychiatric symptoms compared to non-carriers that may be considered as future clinical trial endpoints. The heterogeneity of initial symptoms in genetic FTD may be best captured by use of focussed composite indices specific to the most frequent initial symptoms for each genetic group.

Introduction

Frontotemporal dementia (FTD) is a heterogeneous neurodegenerative disorder characterized by progressive alterations in behaviour and/or language abilities. Approximately 30% of FTD is familial, where roughly 10% of these patients have a clear autosomal dominant pattern of inheritance caused primarily by mutations in the chromosome 9 open reading frame 72 (*C9orf72*), progranulin (*GRN*) and microtubule-associated protein tau (*MAPT;* (Rohrer et al., 2009). While advancements have been made in the development of therapies targeting the underlying pathology (Tsai & Boxer, 2016), currently, no treatments are available to prevent or alter the course of disease progression.

As the early symptoms of FTD are impairing (Rasmussen, Hellzen, Stordal, & Enmarker, 2019), treatments will likely need to intervene during the pre-symptomatic stage, before a patient meets the current international consensus criteria (Gorno-Tempini et al., 2011; Rascovsky et al., 2011). Consequently, there is a growing interest in identifying biomarkers and clinical endpoints that can best inform when to administer these interventions and how to track treatment efficacy. A major challenge in designing clinical trials and the designation of clinical endpoints for early intervention is the heterogeneity of genetic FTD at the phenotypic (Benussi, Padovani, & Borroni, 2015), genetic and pathological levels (Seelaar, Rohrer, Pijnenburg, Fox, & van Swieten, 2011). For instance, clinical symptoms in FTD range from language disturbances (Gorno-Tempini et al., 2011) to behavioural and neuropsychiatric features (Rascovsky et al., 2011), which occur at various frequencies and ages even within families (Moore et al., In press; Snowden et al., 2015). At present, it is not yet known whether or when neuropsychiatric and

other symptoms associated with FTD may occur during the prodromal period, and whether such symptoms may be specific to the later development of clinical FTD. Now sizable longitudinal cohorts of patients with genetic FTD and their at-risk family members offer a unique opportunity to examine the rates of reported behavioural, neuropsychiatric and cognitive symptoms in mutation carriers vs. non-carriers, to determine whether certain symptoms may be used as clinical endpoints in pre-diagnostic stages of the disease.

To inform such clinical endpoint selection for future clinical trials in at-risk cohorts, the first objective of the current study was to evaluate the most frequent initial symptoms in patients with symptomatic genetic FTD due to *C9orf72*, *GRN* or *MAPT* mutation. The second objective was to evaluate whether pre-symptomatic mutation carriers demonstrate greater or unique behavioural/neuropsychiatric or cognitive symptoms relative to biologically related non-carriers during the pre-diagnostic period.

Method

Participants

The current study used data from the Genetic Frontotemporal Dementia Initiative (GENFI) multicentre cohort study, which consists of research centres across Europe and Canada (http://genfi.org.uk/). This dataset is comprised of (1) known symptomatic carriers of a pathogenic mutation in the *GRN* or *MAPT* genes or with a pathogenic expansion in the *C9orf72* gene (greater than 30 repeats) based on the international consensus diagnostic criteria (Gorno-Tempini et al., 2011; Rascovsky et al., 2011), and (2) first-degree biological family members of a known *GRN*, *MAPT* or *C9orf72* mutation carrier who are at-risk for developing FTD and were not yet demonstrating evidence of progressive cognitive or behavioral symptoms (including both carriers and non-carriers of known mutations). Importantly, the majority of at-risk family members in the GENFI study, and the local GENFI research teams and PIs were not aware of their genetic status at the time of the assessments. After their baseline visit, participants were followed for up to five annual visits. All participants had an identified informant who completed the study measures (see below). The data was part of the GENFI data freeze 4 collected at 22 GENFI sites (2012-2018).

Study Measures

GENFI Symptom List: The 37-symptom list was designed to include a variety of FTD-related symptoms based on standardized rating scales (section S1.0). Based on novel findings in the FTD literature in 03/2015, 31 additional symptoms were included (modified symptom list; section S1.0). Informants of symptomatic patients (typically a spouse or sibling) described the initial symptom and trained research coordinators selected the corresponding symptom from the list. For at-risk family members, clinicians completed the **GENFI** symptom list (original or modified depending on enrollment date) with the at-risk family member and their study informant, and evaluated the presence of each symptom using a 5-point Likert scale (0=absent, 0.5= questionable/very mild, 1=mild, 2=moderate, 3=severe). Symptom ratings of questionable/very mild, moderate, severe were coded as *symptom endorsement* and absent coded as *symptom absent*.

Cambridge Behavioural Inventory Questionnaire-Revised: Informants of at-risk family members completed the Cambridge Behavioural Inventory-Revised questionnaire (CBI-R; (Wear et al., 2008). Each question is evaluated on a 5-point scale, where higher scores indicate greater symptom endorsement and severity. Symptom domains included memory and orientation, everyday skills, self-care, abnormal behaviour, mood, beliefs, eating habits, sleep, stereotypic and motor behaviours and motivation. Each domain includes 2-8 sub-items. The inclusion of the CBI-R allowed us to examine other symptoms that are not captured by the original (*Sleep, Self-Care, Mood* (i.e. agitation, irritability) or modified GENFI symptom list (i.e. *Everyday skills* and *Motivation*).

Years from expected symptom onset was used to determine whether participants who were closer to the age of anticipated symptom onset endorsed greater symptoms. Years from expected symptom onset (YEO) was calculated by subtracting the mean age of symptom onset within the family from the participant's current age (Rohrer et al., 2015). Negative values denote that the participant is at an age *prior* to expected symptom onset; positive values indicate that the participant is at an age *after* expected symptom onset.

Statistical Analysis

GENFI Symptom List: Descriptive statistics were used to illustrate the most frequent symptoms endorsed at participants' initial visits. As only a *single* initial symptom was selected for the symptomatic patients, we first investigated whether a different pattern of results was reported for symptomatic patients who used the original vs. modified GENFI symptom list (which included more symptom options), by evaluating the pattern of symptom endorsement at baseline in both version groups (Table S1a). Across the symptomatic cohort, the most frequent symptoms within each list were items that were present in both versions of the GENFI symptom list: disinhibition, apathy, decreased fluency, memory impairment, impaired articulation and impaired word retrieval. Thus, subsequently, data from both cohorts for the main analysis were combined (see Table S1b for demographic details, and section 2.0 for analysis conducted on the two cohorts separately). Differences amongst the three genetic groups in the frequency of endorsing the most prevalent sub-symptoms were examined using Chi-squared test or Fisher's exact test for the symptomatic patients, and separately comparing pre-symptomatic mutation carriers and noncarriers. Mixed models were not used to account for potential clustering effects of family membership and site, due to the low symptom endorsement (creating small samples) by patients and at-risk family members.

To evaluate changes in symptom endorsement over time in at-risk family members who had at least one follow-up visit, a difference score was calculated by subtracting symptom endorsement at the final visit from symptom endorsement at the first visit (0=not endorsed, 1=symptom endorsed). This resulted in three categories for each symptom: decrease in symptom endorsement over time (score of -1), no change in symptom endorsement over time (score of 0), increase in symptom endorsement over time (score of 1). Calculating change scores enabled all participants to be included in the analysis, regardless of the number of follow-up visits. Chisquared tests/Fisher's Exact tests were completed to assess group differences.

To evaluate whether the initial symptoms were similar amongst patients from the same family a congruency score was calculated as the number of pairwise comparisons in which family

members shared an initial symptom, divided by the total number of possible pairwise comparisons. A congruency score was also calculated to evaluate the congruency of initial predominant symptoms for specific *GRN* and *MAPT* mutations.

Cambridge Behavioural Inventory Questionnaire-Revised: A generalized linear mixed model with a Laplace likelihood approximation function was used to examine differences in the total CBI-R scores between pre-symptomatic mutation carriers vs. non-mutation carriers at the initial GENFI visit as a function of years from expected symptom onset. This analysis accounted for potential clustering effects based on family membership. Plots of the CBI-R total scores suggested a Poisson distribution; however, due to overdispersion as indicated through the Pearson Chi-Square/DF, a negative binomial distribution with a log link function was used. Predictor variables included random effects [family membership] and fixed effects [genetic status (pre-symptomatic vs. non-carriers), years from expected symptom onset, and an interaction between genetic status and years from expected symptom onset]. Examination of the residuals suggested the use of weights to account for the within-family correlation in the model. Given the variability in contribution of family membership to predicting age of onset by mutation group (Moore et al., In press), a confirmatory analysis was conducted substituting years from expected symptom onset with the participant's age. Of note, as age was highly correlated with years from expected symptom onset (r=0.84, p < 0.001), participant's age could not be included in the model due to multicollinearity. However, when age was substituted for estimated years to symptom onset, the pattern of results was similar (Table S2).

Change scores (symptom score at final visit – score at first visit)/ time interval) were calculated to compare longitudinal data. Participants with studentized residuals greater than +/- 3 were removed (Table S3), and a linear mixed model was used (see section S3.0 on the description of the model formation). Predictor variables included random effects [family membership] and fixed effects [genetic status (pre-symptomatic vs. non-carriers), years from expected symptom onset or participant's age, CBI total score at baseline, and an interaction between genetic status and years from expected symptom onset]. A confirmatory analysis was run substituting participant's age at baseline for the years from expected symptom onset (Table S3). As differences between the pre-symptomatic and non-carriers in the *total* CBI scores may be obscured by opposed group differences in the sub-scale scores, we also examined group differences for each of the sub-scales by using the model developed for the total score. For these models, the same model parameters were used with one exception: the sub-scale score at baseline was used as a fixed effect instead of the CBI total score at baseline. Additionally, for both the baseline and change score analysis, the potential influence of specific FTD-causing mutations was examined by assessing the impact of genetic mutation type as the grouping variable (C9orF72, GRN, MAPT, mutation non-carriers), and post-hoc comparisons were conducted between each genetic group and non-carriers.

Results

Participants

185 patients diagnosed with FTD (*C9orf72* n=87, *GRN* n=65, *MAPT* n=33) from 164 unique families were included in the analysis. Additionally, 637 at-risk family members from 248

families (317 pre-symptomatic mutation carriers, 320 mutation non-carriers) and 588 at risk individuals from 228 families (294 pre-symptomatic carriers, 294 non-carriers) completed the GENFI symptom list and CBI-R scales, respectively (Table 1).

Predominant Initial Symptoms in Symptomatic Patients

Across the entire cohort the most frequently endorsed initial symptoms were apathy (23%), disinhibition (18%), memory impairments (12%) decreased fluency (8%) and impaired articulation (5%; see Table 2). When the most frequent initial symptoms were compared amongst the mutation groups, patients with *MAPT* mutations presented with disinhibition more frequently relative to *C9orf72* and *GRN* carriers, and displayed memory impairments more frequently than *GRN* carriers. *GRN* carriers exhibited impaired articulation and decreased fluency more often than *C9orf72* and *MAPT* carriers. No group differences were observed for apathy.

Symptom Congruency

14 families had at least two related patients in the study cohort; amongst these families, the average percentage congruency for first symptom similarity was 19%. Five families with a MAPT mutation and 7 families with a GRN mutation had at least two related symptom patients in the study cohort and the specific genotype was known (Table S4b). Of the specific genotypes, the average congruency score was 33% for MAPT and 20% for GRN mutations.

Symptom Endorsement in at-risk Family Members (GENFI symptom list)

At baseline greater than 10% of at-risk (pre-symptomatic mutation carriers and non-carriers) reported depression, anxiety, impaired sleep, irritability/lability and memory impairments (Table

2). Additionally, smoking, hypertension, traumatic brain injury and recreational drug use were common *other disorders* amongst the at-risk groups. There were no statistically differences between at-risk groups or genetic group (Tables 2 & 3) in the proportion of participants who endorsed the initial symptoms most commonly reported in affected patients (i.e. apathy, disinhibition decreased fluency, impaired articulation and memory impairments). Overall, at-risk groups (pre-symptomatic vs. non-carriers) and at-risk genetic groups (pre-symptomatic *C9orf72, GRN, MAPT* vs. non-carriers) showed a similar pattern of symptom endorsement over time, with a very low proportion of participants reporting changes in the most common initial symptoms (Table S5).

Symptom Endorsement & Severity in at-risk Family Members (CBI-R questionnaire)

CBI-R scores at baseline: As participants approached the anticipated time to symptom onset there was a significant increase in the reported total symptom score (Table 4). Subscale scores associated with increased years to expected symptom onset included for memory and orientation, eating habits, sleep, motivation, and stereotypic & motor behaviours (marginal effect). There was no association between overall or subscale scores and genetic status, nor between CBI-R scores and genetic status by years to onset interactions.

Genetic Group: As expected, the association between the CBI-R symptom score and anticipated time to symptom onset remained significantly associated when the mutation carrying participants when stratified by genetic group (*C9orf72, GRN, MAPT*, vs. non-carrier). Participants closer to the anticipated symptom onset had higher total scores and for memory and orientation, sleep, motivation, eating habits, and stereotypic and motor behaviours. When adjusting for expected

years to symptom onset and relative to non-carriers, post-hoc contrasts showed that *MAPT* carriers experienced greater mood, sleep, and motivation symptoms; *C9orf72* carriers endorsed greater abnormal behaviour and stereotypic & motor symptoms; and *GRN* carriers had lower mood scores (Table 5; Figure 1).

Longitudinal CBI scores: Improved symptoms over time (negative change scores) were associated with greater symptom scores at baseline when adjusted for expected years to onset and carrier status. This finding held true across all sub-scale scores as well. The only sub-scales with significant associations between change scores and expected years to onset were memory and orientation and stereotypic and motor behaviours (Table 4). There was no significant genetic status by years to symptom onset interaction. However, pre-symptomatic carriers showed a greater deterioration of everyday skills relative to the non-carrier group (Figure 2). *Genetic Group:* The analysis by genetic group was similar: improved symptom scores over time with significant associations between expected years to symptom onset and memory and orientation scores, stereotypic and motor behaviours, but also for eating habits (Table 5). Within the sub-scales, *GRN* and *C9orf72* pre-symptomatic carriers demonstrated worse everyday skills over time relative to mutation non-carriers, but only the GRN carriers' scores met statistical significance (Figure 2).

Discussion

As the first study to compare initial symptoms in symptomatic and at-risk patients with genetic FTD across the three main genetic mutations *MAPT*, *C9orf72* and *GRN*, our findings demonstrate the overlap and differences in the presence and frequencies of specific FTD-related

symptoms. We also report the first longitudinal differences between pre-symptomatic mutation carriers in comparison to familial non-carriers in the endorsement of cognitive and behavioural/neuropsychiatric symptoms prior to diagnosis. Important to the interpretation of symptom reports and design of clinical trials, we found that pre-symptomatic *MAPT* and *C9orf72* mutation carriers endorsed greater symptoms at the initial assessment (approximately 14 years prior to anticipated age of onset), and over time *GRN* and *C9orf72* mutation carries exhibited poorer everyday skills. The direct comparison of symptoms among mutation groups may be important in the consideration of basket-design clinical trials where, for example, patients with TDP-43 pathology arising from different mutations (*C9orf72 & GRN*) may be grouped together.

Symptomatic Period

Within the symptomatic cohort, apathy, disinhibition and memory impairments were the most frequently endorsed initial symptoms. While apathy and disinhibition were the most frequent initial symptoms across the mutation groups, some gene specific patterns emerged. The relative proportion of *MAPT* carriers (46%) endorsing disinhibition as the initial complaint relative to *C9orf72* carriers (15%) and *GRN* carriers (8%) is similar to group differences reported by (Snowden et al., 2015) that 93% of *MAPT* carriers exhibited signs of disinhibition over the course of their disease relative to 63% of *C9orf72* and 56% of *GRN* carriers. *GRN* carriers endorsed impaired articulation and decreased fluency most often, which corresponds with the language-based clinical presentation found in some patients in this mutation group (Rademakers et al., 2007; Snowden et al., 2015). *C9orf72* expansion carriers reported motor symptoms most often which is consistent with reports of Amyotrophic Lateral Sclerosis found only in *C9orf72* carriers and absent in *GRN* and *MAPT* (Snowden et al., 2015). Although the symptoms discussed

above are characteristic of the specific gene affected, it is critical to recognize that these symptoms are not endorsed by *all* the participants in each genetic group. Further, the predominant first symptoms differ even within families or specific mutation types. Thus, we suggest a composite symptom index for each mutation group composed of the top three most frequently endorsed symptoms (*C9orf72*: apathy, disinhibition, memory impairment; *GRN*: apathy, decreased fluency, impaired articulation; *MAPT*: disinhibition, apathy, memory impairment) may be considered as an outcome measure or clinical endpoint in future clinical trials.

Although apathy and disinhibition are observed in the diagnostic criteria for behavioural variant FTD (Rascovsky et al., 2011), memory impairments are an exclusionary criterion (Gorno-Tempini et al., 2011; Rascovsky et al., 2011). In our cohort of symptomatic patients, we found that approximately 12% of patients initially experienced memory impairments. Additionally, in our cohort, *MAPT* carriers more frequently displayed memory impairments compared to *GRN* carriers. Early memory complaints have been reported in some *GRN* cases (Kelley et al., 2009; van Swieten & Heutink, 2008), though is usually a more prominent feature later in the disease (Rohrer & Warren, 2011). Previous studies have documented differing rates of initial memory impairments ranging from ~2 to 27% in cohorts of FTD including behavioural variant, semantic variant primary progressive aphasia (PPA) and nonfluent agrammatic variant PPA (Lindau et al., 2000; Pijnenburg, Gillissen, Jonker, & Scheltens, 2004; Shinagawa, Ikeda, Fukuhara, & Tanabe, 2006). Prior studies of small genetic FTD cohorts have found varying rates of initial memory complaints within and across genetic groups. Within our cohort, 24% of *MAPT* carriers reported memory complaints as their initial symptom followed by *C9orf72* carriers (11.5%) and *GRN*

carriers (6%). Previous studies have found that 15% of *MAPT* carriers (N=15; (Borrego-Ecija et al., 2017) report initial memory loss, and 60% (N=5) had memory problems within 12 months of the initial presentation (Piguet et al., 2004). Furthermore, Mahoney et al. (2012) recorded 63% (N=16) of *C9orf72* carriers exhibited early memory impairments (episodic n=6, topographical n=4), and Van Langenhove et al. (2013) found that 42% (N=26) of *C9orf72* carriers reported memory disturbances as initial symptoms. In a cohort of *GRN* carriers (N=33), memory impairment was the second most common early symptom, affecting 30% of the cohort (Rademakers et al., 2007), and Kelley et al. (2009) found that 47% (N=17) had an early memory impairment (impairment new learning or temporal disorientation) within the first year of symptoms. In addition to the sample size, one reason for the variance in rates across studies may be due to different methods of symptom ascertainment, from study partner/caregiver report in this current study, to retrospective chart reviews found in previous reports (Borrego-Ecija et al., 2017; Mahoney et al., 2012; Rademakers et al., 2007).

Pre-symptomatic Period

Overall, and counter to our predictions, pre-symptomatic carriers and non-carriers endorsed similar rates of initial symptoms endorsed by affected patients (apathy, disinhibition, memory impairments, decreased fluency and impaired articulation). Similarly, pre-symptomatic and non-mutation carriers did not differ in their rates of the most common symptoms endorsed including depression, anxiety and memory impairments, impaired sleep and irritability. Our cohort included biologically related non-mutation carriers which enabled us to control for potential environmental influences (e.g. worry about inheriting an FTD-causing mutation, stress from a family member with FTD) that may impact symptom endorsement. Although biomarkers in

blood and cerebrospinal fluid, grey matter atrophy, white matter hyperintensities and hypometabolism have been detected prior to cognitive impairments during the pre-symptomatic period (Greaves & Rohrer, 2019), the present findings indicate that the behavioural and cognitive symptoms endorsed as initial symptoms by patients may not emerge until just a few years prior to fulminant disease onset. In a recent longitudinal study of 46 pre-symptomatic mutation carriers, 8 of which "converted" to symptomatic during follow-up, cognitive decline during the pre-symptomatic period was evident but were largely driven by the convertors. Additionally, differences in cognitive decline between converters and pre-symptomatic mutation carriers was detectable starting only 2 years prior to symptom onset. This may suggest that cognitive performance may remain relatively stable during the pre-symptomatic period and cognitive decline may begin near or at symptom onset (Jiskoot et al., 2018).

Similar symptom endorsement between pre-symptomatic carriers and non-carriers was also found with a caregiver report (CBI-R), though potential differences could have been obscured in the combination of the three pre-symptomatic genetic groups. Relative to non-carriers, pre-symptomatic *MAPT* carriers endorsed poorer mood and sleep symptoms, and *C9orf72* carriers exhibited marginally greater abnormal behaviours. Moreover, *GRN* pre-symptomatic carriers endorsed *less* mood symptoms relative to non-carriers. Given the natural co-occurrence of sleep and mood alterations, it is not surprising that *MAPT* carriers experienced symptoms in both domains. In line with our current findings, depressive disorder not otherwise specified has been found to be more prevalent amongst *MAPT* pre-symptomatic carriers relative to mutation non-carriers and the general population (Cheran & Silverman, 2018). As well, over a 4-year follow-up, it was reported that *MAPT* pre-symptomatic carriers (n=15) developed more depressive

symptoms than *GRN* carriers (n=31) and healthy controls (n=39; (Jiskoot et al., 2018). In contrast to the current study, other reports have documented inconsistent findings on the prevalence of depressive and other neuropsychiatric symptoms during the pre-symptomatic period. For example, a greater lifetime prevalence of major depressive disorder, generalized anxiety disorder and panic disorder has previously been observed in *non-carriers* (n=46), but not in MAPT mutation carriers (n=12; (Cheran & Silverman, 2018). Furthermore, other studies have found that neuropsychiatric features may not emerge until symptom onset. For example, in a Dutch cohort of approximately 80 MAPT and GRN mutation and non-carriers, mutation carriers who "converted" from pre-symptomatic to symptomatic status (3 GRN and 5 MAPT) displayed greater depressive and general neuropsychiatric features (as measured through the Neuropsychiatric Inventory) relative to pre-symptomatic mutation carriers and mutation noncarriers at the time of clinical symptom onset (Jiskoot et al., 2019). In our cohort of presymptomatic mutation carriers, mood symptoms did not emerge as participants approached their expected time of disease onset; therefore, the endorsement of symptoms by mutation carriers' may reflect a developmental predisposition.

When symptom endorsement was examined longitudinally, pre-symptomatic *GRN* carriers endorsed worse *Everyday Skills* over time compared to non-mutation carriers. Relative to healthy controls and normative data, asymptomatic *GRN* carriers demonstrate poorer performance on a variety of cognitive domains including attention/processing speed ~ 8 years prior to symptom onset(Jiskoot et al., 2016), visuospatial and working memory ~11 years prior to symptom onset (Hallam et al., 2014), verbal fluency, emotion recognition (Rohrer et al., 2008), attention, mental flexibility and language (Barandiaran et al., 2019). With this, it is likely that the decline in *Everyday skills* in pre-symptomatic *GRN* carriers reflects subtle changes in a variety of cognitive domains. Therefore, as differences are evident between *GRN* pre-symptomatic mutation carriers and non-carriers everyday skills as measured through the CBI-R may potentially be used as an end point for clinical trials in *GRN* pre-symptomatic individuals.

Clinical trial modeling may need to consider the participants knowledge of their genetic status when considering rates of symptom reporting. In autosomal dominant AD, at-risk participants that did not know their genetic status but who thought they were mutation carriers or who preferred not to answer whether they believe they were carriers showed higher rates of depression, irritability and sleep changes in comparison to participants who thought they were not mutation carriers (Ringman et al., 2015).

Limitations

Potential clustering effects of family membership and testing site could not be accounted for in the clinician-rating scale, due to low symptom endorsement. Furthermore, although the different scales used in the current study allow for the assessment of symptom endorsement by multiple informants, we could not account for potential differences in reporting style based on the sex of the informant or the relationship of the informant to the at-risk family member. An additional potential limitation is the reliance on retrospective caregiver reports to acquire reports of the initial symptom in symptomatic mutation carriers, though the diagnosis of FTD is reliant on caregiver's reports (Rabinovici & Miller, 2010). Moreover, another limitation is the usage of two slightly different versions of the GENFI symptom list. Minor discrepancies in symptom endorsement reported in each version may be the result of varying sample sizes, differing proportions of FTLD sub-types, and re-categorization of symptoms from the original list into more specific symptoms in the modified list (e.g. including "poor response to social/emotional cues" and "inappropriate trusting behaviour" in the modified list may have been categorized as "disinhibition" in the original list). Importantly though, the inclusion of additional symptoms in the modified symptom list did not detract reporting of symptoms found only in the original version.

Conclusions

In conclusion, and of interest for clinical trial design, we report the frequencies of the most common initial symptoms for the main genetic forms of FTD and suggest that given the heterogeneity between gene groups, family members, and even specific mutations, composite measures of these symptoms may serve as clinical outcomes for detection of early conversion to symptomatic FTD. Of interest, we did not find differences between pre-symptomatic mutation carriers and non-carriers for the most common initial symptoms in affected patients (disinhibition, apathy and memory changes). Future studies with the GENFI and other genetic FTD cohorts examining initial symptoms with additional longitudinal data points will aid in the understanding of the progression of these symptom from the pre-symptomatic, prodromal and the affected diseases stages and further pinpoint the onset of initial symptoms heralding conversion to symptomatic FTD.

Figure 1: Figure 1: CBI-R scores at baseline for (a) abnormal behaviours (b) mood and (c) sleep (d) stereotypic & motor (e) motivation sub-scales. Y-axis represents the scores as modeled through the generalized mixed models, and X-axis represents the expected years to symptom onset. Blue =pre-symptomatic *C9orf72* mutation carriers, red =pre-symptomatic *GRN* mutation carriers, green=pre-symptomatic *MAPT* carriers, and brown =non-carriers.

Figure 2: CBI-R change score for everyday skills sub-scale. Y-axis represents the linear predicted scores for as modeled by linear mixed models and X-axis represents the expected years to symptom onset. Blue =pre-symptomatic *C9orf72* mutation carriers, red =pre-symptomatic *GRN* mutation carriers, green=pre-symptomatic *MAPT* carriers, and brown=non-carriers.

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| | | Sy | mptomatic H | Patients | | At-risk Family Members | | | | | | |
|------------------|-------|---------|-------------|----------|----------------------|--------------------------------------|------------------------------|------------------------------|----------------------------------|--------------------------|------------------------------|--|
| | Total | C9orf72 | GRN | MAPT | Contrasts | Pre- symptomatic ^{&} | Non-carrier ^{&} | Contrasts ^{&} | Pre- symptomatic [^] | Non-carrier [^] | Contrasts [^] | |
| N | 185 | 87 | 65 | 33 | | 317 | 320 | | 294 | 294 | | |
| Handedness | | | | | <i>p</i> =0.02*# | | | <i>p</i> =0.16 ^{*#} | | | <i>p</i> =0.14 ^{*#} | |
| Right | 174 | 80 | 65 | 29 | | 282 | 298 | | 275 | 262 | | |
| Left | 9 | 5 | 0 | 4 | | 31 | 20 | | 17 | 28 | | |
| Ambidextrous | 2 | 2 | 0 | 0 | | 4 | 2 | | 2 | 4 | | |
| Sex | | | | | X ² =6.2, | | | X ² =0.90, | | | X ² =0.86, | |
| | | | | | p=0.045 | | | p=0.34 | | | <i>p</i> =0.35 | |
| Male | 108 | 57 | 30 | 21 | | 123 | 136 | | 112 | 123 | | |
| Female | 77 | 30 | 35 | 12 | | 194 | 184 | | 182 | 171 | | |
| Genotype | | | | | | | | X ² =0.21, | | | X ² =0.58, | |
| | | | | | | | | p=0.90 | | | <i>p</i> =0.75 | |
| C9orf72 | | | | | | 117 | 115 | | 104 | 103 | | |
| GRN | | | | | | 144 | 144 | | 138 | 132 | | |
| MAPT | | | | | | 56 | 61 | | 52 | 59 | | |
| Maximum | | | | | | | | | | | | |
| number of visits | | | | | | | | | | | | |
| 1 | | | | | | 121 | 118 | | 124 | 122 | | |
| 2 | | | | | | 80 | 98 | | 80 | 95 | | |

Table 1: Demographics Table for Symptomatic and At-risk Family Members

| 3 | | | | | | 72 | 58 | | 60 | 38 | |
|-------------------|-------|------------|------------|------------|----------------------------------|-------------|-------------|----------------|-------------|-------------|------------------|
| 4 | | | | | | 30 | 27 | | 22 | 23 | |
| 5 | | | | | | 10 | 15 | | 7 | 16 | |
| 6 | | | | | | 4 | 4 | | 1 | 0 | |
| Time interval for | | | | | | 2.6 (1.4) | 2.5 (1.5) | t(394.7) = - | 2.5 (1.3) | 2.4 (1.5) | t(340)= -0.7, |
| change score | | | | | | [n=196] | [n=202] | 0.6, p=0.54 | [n=170] | [n=172] | <i>p</i> =0.49 |
| (SD) | | | | | | | | | | | |
| Age (SD) | 62.3 | 63.7 (8.3) | 63.5 (6.9) | 56.2 (9.5) | F(2,184)=11 | 44.0 (11.8) | 46.3 (14.0) | t(619)=2.3, | 44.0 (11.9) | 46.7 (14.1) | t(570.1)=2.6 |
| | (8.5) | | | | .5, <i>p</i> <0.001 [#] | | | <i>p</i> =0.03 | | | , <i>p</i> =0.01 |
| | | | | | | | | | | | |
| | | | | | C9> MAPT | | | | | | |
| | | | | | GRN > | | | | | | |
| | | | | | MAPT | | | | | | |
| Age at onset (SD) | 58.1 | 58.8 (9.0) | 60.6 (7.2) | 51.1 (7.7) | F(2,184)=11 | | | | | | |
| | (8.8) | | | | .5, <i>p</i> <0.001 [#] | | | | | | |
| | | | | | | | | | | | |
| | | | | | C9>MAPT | | | | | | |
| | | | | | GRN | | | | | | |
| | | | | | >MAPT | | | | | | |
| Education, Yrs, | 12.2 | 12.6 (4.0) | 11.2 (4.0) | 13.2 (3.6) | F(2,184)=3. | 14.3 (3.3) | 13.9 (3.6) | t(635)=-1.5, | 14.3 (3.3) | 13.9 (3.6) | t(586)= - |
| (SD) | (4.0) | | | | 5, <i>p</i> =0.03 [#] | | | <i>p</i> =0.13 | | | 1.58, p=0.1 |
| | | | | | | | | | | | |

| | | | MAPT> GRN (<i>p</i> =0.065) | | | | | | |
|---------------|--|--|------------------------------------|--------------|--------------|--------------|--------------|-------------|--------------|
| Years from | | | | -14.4 (11.8) | -13.2 (14.1) | t(618.5) = | -14.5 (12.0) | 12.9 (14.2) | t(569.3)= |
| expected | | | | | | 1.17, p=0.24 | | | 1.51, p=0.13 |
| symptom onset | | | | | | | | | |
| (SD)** | | | | | | | | | |

Chi-squared, Fisher's Exact tests (if expected cell count was less than 5), independent sample t-tests or one-way analysis of variance were used to discern group differences for relevant variables

- [#]Bonferroni correction applied
- [&]At-risk participants who completed the GENFI symptom list
- At-risk participants who completed the CBI questionnaire
- **Fisher's Exact Test was used
- **Years from expected symptom onset was calculated by subtracting the participant's age at the time of participation from the mean age of symptom onset within the family

| | | Symptom | natic Patients | | | Pre- | Non-carrier | |
|---------------------------|---------|---------|----------------|--------|-----------------------|-------------|-------------|------------------------------------|
| | | | | | | symptomatic | | |
| | Total | C9orF72 | GRN | МАРТ | Group | Symptom | Symptom | Group |
| | (N=185) | (N=87) | (N=65) | (N=33) | Contrasts | Endorsement | Endorsement | Contrasts |
| | | | | | | (%) | (%) | |
| Behavioural | | | | | | | | |
| Disinhibition | | | | | $X^2 = 22.2,$ | | | X ² = 1.6, |
| | | | | | <i>p</i> <0.001 | | | <i>p</i> =0.2 |
| | 17.0 | 14.0 | | 15.5 | | 2.5 | 1.0 | |
| | 17.8 | 14.9 | 7.7 | 45.5 | MAPT > | 3.5 | 1.9 | |
| | | | | | C9orf72 & | | | |
| | | | | | GRN | | | |
| Apathy | 23.2 | 23.0 | 26.2 | 18.2 | X ² = 0.8, | 4.10 | 4.38 | X ² =0.9, <i>p</i> =1.0 |
| | 23.2 | 25.0 | 20.2 | 10.2 | <i>p</i> =0.7 | 4.10 | 4.50 | |
| Loss of sympathy/empathy | 1.6 | 1.1 | 3.1 | 0.0 | | 2.52 | 1.88 | |
| Ritualistic/compulsive | 1.1 | 2.3 | 0.0 | 0.0 | | 1.89 | 1.25 | |
| behaviour | 1.1 | 2.5 | 0.0 | 0.0 | | 1.09 | 1.25 | |
| Hyperorality and appetite | 1.6 | 2.3 | 1.5 | 0.0 | | 1.26 | 1.25 | |
| changes | 1.0 | 2.5 | 1.5 | 0.0 | | 1.20 | 1.25 | |
| Poor response to | 0.9 | 1.9 | 0.0 | 0.0 | | 3.13 | 1.23 | |
| social/emotional cues** | | 1.9 | 0.0 | 0.0 | | | | |

 Table 2: Symptom endorsement (%) in symptomatic patients and at-risk family members (GENFI symptom list)

| Inappropriate trusting | 0.9 | 1.9 | 0.0 | 0.0 | | 3.65 | 0.61 | |
|----------------------------|-----|-----|------|-----|-------------------|----------|----------|---------------------------|
| behaviour** | | 1.9 | 0.0 | 0.0 | | | | |
| Neuropsychiatric | | | | | | | | |
| Visual hallucinations | 1.1 | 2.3 | 0.0 | 0.0 | | 1.89 | 0.00 | |
| Auditory hallucinations | 0.0 | 0.0 | 0.0 | 0.0 | | 0.32 | 1.25 | |
| Tactile hallucinations | 0.5 | 1.1 | 0.0 | 0.0 | | 0.63 | 0.00 | |
| Delusions | 1.1 | 1.1 | 1.5 | 0.0 | | 0.32 | 0.94 | |
| Depression | 3.2 | 2.3 | 4.6 | 3.0 | | 14.20 | 13.75 | |
| Anxiety | 0.0 | 0.0 | 0.0 | 0.0 | | 16.09 | 13.13 | |
| Irritability/Lability** | 0.9 | 1.9 | 0.0 | 0.0 | | 11.98 | 14.11 | |
| Agitation/Aggression** | 0.0 | 0.0 | 0.0 | 0.0 | | 5.21 | 3.68 | |
| Euphoria/Elation** | 0.0 | 0.0 | 0.0 | 0.0 | | 2.60 | 0.61 | |
| Aberrant motor behaviour** | 0.0 | 0.0 | 0.0 | 0.0 | | 3.13 | 0.61 | |
| Hypersexuality** | 0.0 | 0.0 | 0.0 | 0.0 | | 0.52 | 0.0 | |
| Hyperreligiosity** | 0.0 | 0.0 | 0.0 | 0.0 | | 1.04 | 0.0 | |
| Impaired sleep** | 0.0 | 0.0 | 0.0 | 0.0 | | 14.58 | 12.27 | |
| Altered sense of humour** | 0.9 | 1.9 | 0.0 | 0.0 | | 2.604167 | 1.226994 | |
| Language | | | | | | | | |
| Impaired articulation | 5.4 | 1.1 | 13.8 | 0.0 | <i>p</i> =0.001*# | 1.58 | 1.88 | $X^2 = 0.08,$ p = 0.77 |

| | | | | | GRN > | | | |
|---------------------------------------|-----|-----|------|-----|-----------|------|-------|-----------------------|
| | | | | | C9orf72 & | | | |
| | | | | | MAPT | | | |
| Decreased fluency | 8.1 | | | | p=0.005*# | 2.52 | 3.13 | X ² =0.21, |
| | | | | | | | | <i>p</i> =0.65 |
| | | 4.6 | 16.9 | 0.0 | GRN > | | | |
| | | | | | C9orf72 & | | | |
| | | | | | MAPT | | | |
| Impaired grammar/syntax | 1.1 | 0.0 | 3.1 | 0.0 | | 0.95 | 1.25 | |
| Impaired word retrieval | 4.3 | 4.6 | 6.2 | 0.0 | | 7.26 | 10.63 | |
| Impaired speech repetition | 0.5 | 1.1 | 0.0 | 0.0 | | 0.00 | 0.31 | |
| Impaired sentence comprehension | 1.1 | 0.0 | 3.1 | 0.0 | | 0.95 | 0.31 | |
| Impaired single word comprehension | 0.5 | 1.1 | 0.0 | 0.0 | | 0.95 | 0.31 | |
| Dyslexia | 0.0 | 0.0 | 0.0 | 0.0 | | 1.89 | 1.56 | |
| Dysgraphia | 1.1 | 1.1 | 1.5 | 0.0 | | 1.26 | 2.50 | |
| Impaired functional communication | 1.1 | 1.1 | 0.0 | 3.0 | | 0.63 | 0.31 | |
| Cognitive | | | | | | | | |

| Memory Impairment | 11.9 | | | | <i>p</i> =0.46 ^{*#} | 10.41 | 12.50 | $X^2 = 0.69, p =$ |
|-----------------------------|------|------|-----|------|------------------------------|-------|-------|-------------------|
| | | 11.5 | 6.2 | 24.2 | | | | 0.41 |
| Impaired judgement/problem | 2.7 | | | | | 1.58 | 1.56 | |
| solving | | 3.4 | 1.5 | 3.0 | | | | |
| _ | | | | | | | | |
| Visuo-spatial or perceptual | 0.0 | 0.0 | 0.0 | 0.0 | | 0.95 | 0.31 | |
| impairment | | | | | | | | |
| Impaired | 0.0 | 0.0 | 0.0 | 0.0 | | 5.99 | 8.75 | |
| attention/concentration | | | | | | | | |
| Impaired Orientation** | 2.8 | 1.9 | 4.9 | 0.0 | | 2.08 | 0.0 | |
| Problems with community | 0.9 | 1.9 | 0.0 | 0.0 | | 1.04 | 0.6 | |
| affairs** | | | | | | | | |
| Problems at home or with | 0.0 | 0.0 | 0.0 | 0.0 | | 1.04 | 1.23 | |
| hobbies** | | | | | | | | |
| Impaired personal care** | 0.0 | 0.0 | 0.0 | 0.0 | | 0.52 | 0.0 | |
| Person recognition | 0.0 | 0.0 | 0.0 | 0.0 | | 1.04 | 3.07 | |
| difficulty** | | | | | | | | |
| Impaired topographical | 0.0 | 0.0 | 0.0 | 0.0 | | 2.60 | 2.45 | |
| memory** | | | | | | | | |
| Bradyphrenia** | 0.0 | 0.0 | 0.0 | 0.0 | | 2.60 | 3.68 | |
| Motor | | | | | | | | |
| Dysarthria | 0.5 | 1.1 | 0.0 | 0.0 | | 0.63 | 0.94 | |
| | | 1.1 | 0.0 | 0.0 | | | | |
| Dysphagia | 0.5 | 1.1 | 0.0 | 0.0 | | 1.26 | 0.94 | |

| Tremor | 0.5 | 1.1 | 0.0 | 0.0 | 2.21 | 5.63 | |
|--|-----|-----|-----|-----|------|------|--|
| Slowness | 0.0 | 0.0 | 0.0 | 0.0 | 0.32 | 1.56 | |
| Weakness | 2.2 | 4.6 | 0.0 | 0.0 | 0.63 | 0.00 | |
| Gait disorder | 1.1 | 2.3 | 0.0 | 0.0 | 0.32 | 0.94 | |
| Falls | 0.0 | 0.0 | 0.0 | 0.0 | 0.00 | 0.63 | |
| Functional Difficulties using hands** | 2.8 | 3.8 | 0.0 | 6.7 | 1.0 | 0.0 | |
| Autonomic | | | | | | | |
| Impaired blood pressure** | 0.0 | 0.0 | 0.0 | 0.0 | 5.73 | 4.29 | |
| Gastrointestinal symptoms** | 0.0 | 0.0 | 0.0 | 0.0 | 2.60 | 5.52 | |
| Impaired thermoregulation** | 0.0 | 0.0 | 0.0 | 0.0 | 4.17 | 5.52 | |
| Urinary symptoms** | 0.0 | 0.0 | 0.0 | 0.0 | 4.69 | 4.29 | |
| Altered responsiveness to pain** | 0.0 | 0.0 | 0.0 | 0.0 | 1.04 | 1.84 | |
| Other Physical | | | | | | | |
| Altered perception to sounds or music** | 0.0 | 0.0 | 0.0 | 0.0 | 0.52 | 1.84 | |
| Altered perception of smell or taste** | 0.0 | 0.0 | 0.0 | 0.0 | 2.1 | 2.5 | |

| Persistent unexplained | 0.0 | 0.0 | 0.0 | 0.0 | 2.1 | 0.0 | |
|-------------------------|-----|-----|-----|-----|-------|-------|--|
| physical symptoms** | | | | | | | |
| Impaired breathing** | 0.0 | 0.0 | 0.0 | 0.0 | 0.5 | 1.2 | |
| Other Disorders | | | | | | | |
| Seizures | 0.0 | 0.0 | 0.0 | 0.0 | 1.58 | 0.94 | |
| Stroke or TIA | 0.0 | 0.0 | 0.0 | 0.0 | 0.32 | 0.63 | |
| Traumatic brain injury | 0.0 | 0.0 | 0.0 | 0.0 | 9.46 | 11.56 | |
| Hypertension | 0.0 | 0.0 | 0.0 | 0.0 | 12.62 | 11.56 | |
| Hypercholesterolaemia | 0.0 | 0.0 | 0.0 | 0.0 | 9.78 | 11.56 | |
| Diabetes mellitus | 0.0 | 0.0 | 0.0 | 0.0 | 2.21 | 2.19 | |
| Smoking** | 0.0 | 0.0 | 0.0 | 0.0 | 27.08 | 34.97 | |
| Excess alcohol use** | 0.0 | 0.0 | 0.0 | 0.0 | 4.69 | 4.91 | |
| Recreational drug use** | 0.0 | 0.0 | 0.0 | 0.0 | 9.38 | 11.0 | |
| Autoimmune disease** | 0.0 | 0.0 | 0.0 | 0.0 | 5.73 | 6.75 | |

• **Indicates sub-symptoms collected using the modified GENFI symptom list (Symptomatic: N=109; Pre-symptomatic=192, Non-carriers N=163)

• **Fisher's Exact Test was used as the expected count was less than 5

Table 3. Baseline symptom Endorsement on the GENFI symptom list (%) by gene mutation type in at-riskt family members

| | C9orf72 | | | GRN | | | MAPT | | | |
|--------------------------|---------------------|-----------------|----------------------------------|---------------------|-----------------|---------------------------------|---------------------|-----------------|---------------------------------|--|
| | Pre- symptomatic | Non- carrier | Contrast (test | Pre- symptomatic | Non- carrier | Contrast (test | Pre- symptomatic | Non- carrier | Contrast (test | |
| | (n=117) | (n=115) | statistic, <i>p</i> -value) | (n=144) | (n=144) | statistic, <i>p</i> -value) | (n=56) | (n=61) | statistic, <i>p</i> - value) | |
| Sub-symptoms* | | | | | | | | | | |
| Disinhibition | 6.0 | 1.7 | 0.17# | 2.1 | 2.1 | 1.00# | 1.8 | 1.6 | 1.00# | |
| Apathy | 6.8 | 6.1 | X ² =0.05, p=0.82 | 2.8 | 3.5 | 1.00# | 1.8 | 3.3 | 1.00# | |
| Decreased fluency | 1.7 | 6.1 | 0.10# | 2.8 | 0.7 | 0.37# | 3.6 | 3.3 | 1.00# | |
| Impaired articulation | 1.7 | 0.9 | 1.00# | 1.4 | 3.5 | 0.44# | 1.8 | 0 | 0.48# | |
| Memory impairment | 13.7 | 13.9 | X ² =0.002, p=0.96 | 8.3 | 11.8 | X ² =0.96, p=0.33 | 8.9 | 11.5 | X ² =0.21, p=0.65 | |

*Reflects the sub-symptoms that were most frequently endorsed as "first symptoms" by symptomatic patients

• [#] Fisher's Exact Test was used as the expected count was less than 5

• ^t At-risk: pre-symptomatic carriers and non-carriers

| | Baseline [#] | | | | Change Score | | | |
|------------------------|------------------------------|-------------------|-----------------|-----|------------------------|-----------------|--|--|
| | Ν | Estimate (95% CI) | <i>p</i> -value | N | Estimate (95% CI) | <i>p</i> -value | | |
| Total Score | 588 | | | 336 | | | | |
| Pre-symptomatic | | 1.28 (0.84, 1.94) | 0.26 | | 0.35 (-0.63, 1.32) | 0.49 | | |
| YEO | | 1.02 (1, 1.03) | 0.02 | | 0.03 (-0.007, 0.07) | 0.11 | | |
| Baseline score | | - | - | | -0.15 (-0.21, -0.1) | <.0001 | | |
| GS*YEO | | 1 (0.98, 1.03) | 0.65 | | -0.01 (-0.06, 0.05) | 0.85 | | |
| Memory and Orientation | 588 | | | 334 | | | | |
| Pre-symptomatic | | 0.94 (0.62, 1.44) | 0.79 | | -0.02 (-0.25, 0.21) | 0.85 | | |
| YEO | | 1.03 (1.01, 1.04) | 0.001 | | 0.01 (0.002, 0.02) | 0.02 | | |
| Baseline score | | - | - | | -0.18 (-0.23, -0.13) | <.0001 | | |
| GS*YEO | | 1 (0.97, 1.02) | 0.73 | | -0.002 (-0.02, 0.01) | 0.73 | | |
| Everyday Skills | 588 | | | 334 | | | | |
| Pre-symptomatic | | 0.74 (0.18, 3.11) | 0.68 | | 0.06 (0.01, 0.10) | 0.01 | | |
| YEO | | 1.03 (0.98, 1.09) | 0.27 | | 0.0005 (-0.001, 0.002) | 0.52 | | |
| Baseline score | | - | - | | -0.5 (-0.54, -0.46) | <.0001 | | |
| GS*YEO | | 1 (0.92, 1.09) | 0.92 | | 0.001 (-0.001, 0.003) | 0.35 | | |
| Abnormal Behaviour | 588 | | | 334 | | | | |
| Pre-symptomatic | | 1.62 (0.92, 2.85) | 0.09 | | -0.03 (-0.19, 0.13) | 0.71 | | |
| YEO | | 1.00 (0.98, 1.02) | 0.94 | | 0.004 (-0.002, 0.01) | 0.19 | | |
| Baseline score | | - | - | | -0.23 (-0.28, -0.18) | <.0001 | | |
| GS*YEO | | 1.01 (0.98, 1.04) | 0.53 | | -0.006 (-0.01, 0.003) | 0.21 | | |
| Mood | 588 | | | 334 | | | | |
| Pre-symptomatic | | 1.16 (0.74, 1.84) | 0.51 | | 0.17 (-0.07, 0.40) | 0.16 | | |

Table 4: CBI total and sub-scale scores at baseline and overtime for at-risk⁺ individuals

| YEO | | 1.01 (0.99, 1.03) | 0.26 | | -0.002 (-0.01, 0.01) | 0.7 |
|-----------------------|-----|--------------------|------|-----|-------------------------|--------|
| Baseline score | | - | - | | -0.23 (-0.27, -0.18) | <.0001 |
| GS*YEO | | 1 (0.97, 1.02) | 0.67 | | -0.005 (-0.02, 0.008) | 0.43 |
| Beliefs | 588 | | | 340 | | |
| Pre-symptomatic | | 0.66 (0.01, 30.74) | 0.83 | | -0.006 (-0.01, 0.001) | 0.11 |
| УЕО | | | | | 0.00008 (-0.0002, | |
| | | 0.96 (0.87, 1.07) | 0.47 | | 0.0004) | 0.6 |
| Baseline score | | _ | - | | -0.38 (-0.41, -0.34) | <.0001 |
| GS*YEO | | | | | -0.0002 (0.0006, | |
| | | 0.96 (0.8, 1.15) | 0.65 | | 0.0003) | 0.45 |
| Eating habits | 588 | | | 334 | | |
| Pre-symptomatic | | 0.97 (0.38, 2.45) | 0.94 | | 0.02 (-0.08, 0.11) | 0.74 |
| ЧЕО | | 1.05 (1.01, 1.09) | 0.01 | | 0.003 (-0.0009, 0.006) | 0.14 |
| Baseline score | | - | - | | -0.31 (-0.35, -0.27) | <.0001 |
| GS*YEO | | 0.98 (0.93, 1.03) | 0.43 | | 0.0002 (-0.01, 0.01) | 0.93 |
| Sleep | 588 | | | 334 | | |
| Pre-symptomatic | | 1.61 (0.98, 2.65) | 0.06 | | 0.001 (-0.15, 0.16) | 0.99 |
| ЧЕО | | 1.03 (1.01, 1.05) | 0.01 | | -0.0009 (-0.007, 0.005) | 0.76 |
| Baseline score | | - | - | | -0.28 (-0.33, -0.22) | <.0001 |
| GS*YEO | | 1.01 (0.98, 1.04) | 0.49 | | -0.002 (-0.01, 0.007) | 0.73 |
| Stereotypic and motor | | | | 335 | | |
| behaviours | | | | | | |
| Pre-symptomatic | | 1.55 (0.85, 2.83) | 0.15 | | 0.02 (-0.16, 0.19) | 0.85 |
| YEO | | 1.02 (1, 1.05) | 0.06 | | 0.008 (0.001, 0.01) | 0.02 |
| Baseline score | | - | - | | -0.31 (-0.37, -0.25) | <.0001 |
| GS*YEO | | 1 (0.97, 1.04) | 0.85 | | -0.002 (-0.01, 0.008) | 0.68 |

| Motivation | 587 | | | 330 | | |
|-----------------|-----|-------------------|-------|-----|-----------------------|--------|
| | | | | | | |
| Pre-symptomatic | | 1.79 (0.82, 3.91) | 0.14 | | 0.03 (-0.13, 0.20) | 0.7 |
| V.D.O | | 1.05 (1.01.1.00) | 0.004 | | | |
| YEO | | 1.05 (1.01, 1.08) | 0.004 | | 0.002 (-0.005, 0.008) | 0.59 |
| Baseline score | | _ | - | | -0.26 (-0.33, -0.19) | <.0001 |
| | | | | | 0.20 (0.33, 0.19) | |
| GS*YEO | | 0.98 (0.94, 1.02) | 0.3 | | 0.003 (-0.006, 0.01) | 0.51 |
| | | | | | | |

- Statistics are from the Solution for Fixed Effects Table
- *Baseline data was modeled with a negative binomial distribution with a log link function. Estimates and confidence intervals of fixed effects are exponentiated (base e) and indicate the incident rates. Estimates below 1 indicate an inverse relationship between the variable and outcome
- ^{*} At-risk: pre-symptomatic carriers and non-carriers No participants endorsed self-care symptoms at baseline and only 1 non-carrier endorsed a change in self-care symptoms.
- GS= genetic status; YEO=Years from expected symptom onset; CI=confidence interval; GS*EYO= genetic status by estimated years to onset interaction
- For the main effect of genetic status and GS*EYO interaction= reference group are the non-carriers

Table 5: CBI total and sub-scale scores at baseline and over time for at-risk family membersby genetic group (no outliers included)

| | | Baseline [#] | | Change Score | | | | |
|-----------------|-----|-----------------------|-----------------|--------------|----------------------|-----------------|--|--|
| | Ν | Estimate (95% CI) | <i>p</i> -value | N | Estimate (95% CI) | <i>p</i> -value | | |
| Total Score | 588 | | | 336 | | | | |
| C9orf72 | 104 | 1.34 (0.78, 2.31) | 0.29 | | 0.28 (-1.42, 1.97) | 0.75 | | |
| GRN | 138 | 0.95 (0.52, 1.73) | 0.86 | | 0.38 (-0.8, 1.56) | 0.53 | | |
| MAPT | 52 | 1.96 (0.88, 4.38) | 0.1 | | 0.39 (-1.37, 2.15) | 0.66 | | |
| УЕО | | 1.02 (1, 1.03) | 0.02 | | 0.03 (-0.01, 0.07) | 0.11 | | |
| Baseline score | | - | - | | -0.15 (-0.21, -0.1) | <.0001 | | |
| C9orf72*YEO | | 1 (0.98, 1.03) | 0.8 | | 0.01 (-0.08, 0.11) | 0.78 | | |
| GRN*YEO | | 1 (0.97, 1.03) | 0.87 | | -0.02 (-0.08, 0.05) | 0.63 | | |
| МАРТ*УЕО | | 1 (0.96, 1.05) | 0.85 | | -0.01 (-0.12, 0.1) | 0.86 | | |
| Memory and | 588 | | | 334 | | | | |
| Orientation | | | | | | | | |
| C9orf72 | 104 | 0.88 (0.51, 1.52) | 0.65 | 49 | -0.02 (-0.41, 0.37) | 0.92 | | |
| GRN | 138 | 1.03 (0.56, 1.89) | 0.92 | 85 | -0.03 (-0.3, 0.25) | 0.85 | | |
| MAPT | 52 | 0.89 (0.39, 2.03) | 0.78 | 33 | -0.01 (-0.42, 0.41) | 0.98 | | |
| YEO | | 1.03 (1.01, 1.04) | 0.001 | | 0.01 (0.002, 0.02) | 0.02 | | |
| Baseline score | | - | - | | -0.18 (-0.23, -0.13) | <.0001 | | |
| C9orf72*YEO | | 0.98 (0.96, 1.01) | 0.29 | | -0.003 (-0.02, 0.02) | 0.74 | | |
| GRN*YEO | | 1.01 (0.98, 1.04) | 0.47 | | -0.002 (-0.02, 0.01) | 0.78 | | |
| МАРТ*ҮЕО | | 0.99 (0.95, 1.03) | 0.59 | | 0.0003 (-0.02, 0.03) | 0.98 | | |
| Everyday Skills | 588 | | | 335 | | | | |
| C9orf72 | 104 | 0.77 (0.09, 6.56) | 0.81 | 50 | 0.07 (-0.01, 0.14) | 0.09 | | |
| GRN | 138 | 0.71 (0.1, 4.92) | 0.72 | 85 | 0.11 (0.05, 0.16) | 0.0001 | | |

| MAPT | 52 | 1.08 (0.05, 22.27) | 0.96 | 32 | 0.03 (-0.06, 0.11) | 0.53 |
|--------------------|-----|--------------------|------|-----|-----------------------|--------|
| YEO | | 1.03 (0.97, 1.09) | 0.34 | | 0.001 (0, 0) | 0.57 |
| | | 1.05 (0.97, 1.09) | 0.54 | | | |
| Baseline score | | - | - | | -0.5 (-0.55, -0.45) | <.0001 |
| C9orf72*YEO | | 1 (0.89, 1.13) | 0.96 | | 0.003 (0, 0.01) | 0.21 |
| GRN*YEO | | 1.05 (0.93, 1.2) | 0.42 | | 0.003 (0, 0.01) | 0.07 |
| MAPT*YEO | | 0.96 (0.82, 1.11) | 0.57 | | 0.0002 (0, 0.01) | 0.95 |
| Abnormal Behaviour | 588 | | | 334 | | |
| C9orf72 | 104 | 2.16 (1.09, 4.26) | 0.03 | 48 | -0.02 (-0.3, 0.25) | 0.86 |
| GRN | 138 | 0.83 (0.36, 1.91) | 0.67 | 86 | -0.03 (-0.22, 0.15) | 0.73 |
| MAPT | 52 | 2.07 (0.8, 5.38) | 0.14 | 33 | -0.02 (-0.3, 0.26) | 0.89 |
| YEO | | 1 (0.98, 1.02) | 0.9 | | 0.004 (0, 0.01) | 0.19 |
| Baseline score | | - | - | | -0.23 (-0.28, -0.18) | <.0001 |
| C9orf72*YEO | | 1.02 (0.98, 1.06) | 0.37 | | -0.006 (-0.02, 0.01) | 0.47 |
| GRN*YEO | | 1 (0.96, 1.04) | 0.99 | | -0.007 (-0.02, 0) | 0.23 |
| MAPT*YEO | | 0.99 (0.95, 1.04) | 0.77 | | -0.0033 (-0.02, 0.01) | 0.71 |
| Mood | 587 | | | 334 | | |
| C9orf72 | 104 | 1.22 (0.7, 2.12) | 0.49 | 49 | -0.07 (-0.47, 0.34) | 0.75 |
| GRN | 137 | 0.46 (0.23, 0.93) | 0.03 | 84 | 0.18 (-0.11, 0.47) | 0.2 |
| MAPT | 52 | 2.75 (1.29, 5.89) | 0.01 | 33 | 0.38 (-0.05, 0.81) | 0.08 |
| YEO | | 1.01 (0.99, 1.03) | 0.26 | | -0.002 (-0.01, 0.01) | 0.7 |
| Baseline score | | - | - | | -0.23 (-0.28, -0.18) | <.0001 |
| C9orf72*YEO | | 1 (0.97, 1.03) | 0.80 | | -0.018 (-0.04, 0) | 0.11 |
| GRN*YEO | | 0.97 (0.94, 1) | 0.05 | | -0.003 (-0.02, 0.01) | 0.73 |
| MAPT*YEO | | 1.01 (0.97, 1.05) | 0.58 | | 0.0031 (-0.02, 0.03) | 0.81 |
| Beliefs | | | | 340 | | |
| C9orf72 | | | | 49 | -0.004 (-0.02, 0.01) | 0.56 |

| GRN | | | | 86 | -0.01 (-0.02, 0.0014) | 0.097 |
|----------------|-----|-------------------|-------|-----|-----------------------|--------|
| | | | | 33 | -0.01 (-0.02, 0.01) | 0.46 |
| MAPT | | | | 33 | -0.01 (-0.02, 0.01) | 0.40 |
| YEO | | | | | 0.00007 (-0.0002, | 0.62 |
| | | | | | 0.0004) | |
| Baseline score | | | | | -0.38 (-0.41, -0.34) | <.0001 |
| C9orf72*YEO | | | | | -0.00017 (-0.0009, | 0.64 |
| | | | | | 0.0005) | |
| GRN*YEO | | | | | -0.00017 (-0.0007, | 0.52 |
| | | | | | 0.0004) | |
| MAPT*YEO | | | | | -0.0001 (-0.0009, | 0.86 |
| | | | | | 0.0007) | |
| Eating habits | 588 | | | 335 | | |
| C9orf72 | 104 | 0.61 (0.16, 2.32) | 0.46 | 49 | -0.02 (-0.2, 0.16) | 0.83 |
| GRN | 138 | 1.57 (0.46, 5.39) | 0.47 | 86 | 0 (-0.13, 0.1247) | 0.99 |
| MAPT | 52 | 0.68 (0.1, 4.82) | 0.70 | 32 | 0.1 (-0.09, 0.29) | 0.29 |
| YEO | | | | | 0.0041 (0.0001, | 0.04 |
| | | 1.05 (1.01, 1.09) | 0.01 | | 0.008) | |
| Baseline score | | - | - | | -0.35 (-0.39, -0.31) | <.0001 |
| C9orf72*YEO | | 0.96 (0.89, 1.03) | 0.25 | | -0.006 (-0.02, 0.005) | 0.28 |
| GRN*YEO | | | | | -0.00002 (-0.007, | 0.996 |
| | | 1 (0.94, 1.07) | 0.91 | | 0.007) | |
| MAPT*YEO | | 0.95 (0.87, 1.05) | 0.35 | | 0.003 (-0.008, 0.01) | 0.6 |
| Sleep | 588 | | | 334 | | |
| C9orf72 | 104 | 1.4 (0.75, 2.64) | 0.29 | 49 | -0.13 (-0.39, 0.13) | 0.33 |
| GRN | 138 | 1.16 (0.56, 2.39) | 0.68 | 86 | 0.05 (-0.14, 0.23) | 0.62 |
| MAPT | 52 | 3.37 (1.46, 7.74) | 0.004 | 32 | 0.02 (-0.26, 0.3) | 0.89 |
| YEO | | | | | -0.0009 (-0.007, | 0.76 |
| | | 1.03 (1.01, 1.05) | 0.01 | | 0.005) | |

| Baseline score | | - | - | | -0.28 (-0.33, -0.22) | <.0001 |
|-----------------------|-----|-------------------|-----------------------|-----|------------------------|--------|
| C9orf72*YEO | | 1.01 (0.97, 1.05) | 0.56 | | -0.008 (-0.02, 0.006) | 0.25 |
| GRN*YEO | | 1 (0.96, 1.04) | 0.86 | | 0.003 (-0.008, 0.01) | 0.63 |
| МАРТ*УЕО | | 1.03 (0.98, 1.08) | 0.26 | | -0.005 (-0.02, 0.01) | 0.54 |
| Stereotypic and motor | 588 | | | 335 | | |
| behaviours | | | | | | |
| C9orf72 | 104 | 2.15 (1.05, 4.39) | 0.04 ^{&} | 49 | -0.12 (-0.42, 0.18) | 0.44 |
| GRN | 138 | 1.07 (0.46, 2.52) | 0.87 | 86 | 0.08 (-0.13, 0.28) | 0.47 |
| MAPT | 52 | 1 (0.31, 3.23) | 0.999 | 32 | 0.002 (-0.31, 0.32) | 0.99 |
| YEO | | 1.02 (1, 1.05) | 0.05 | | 0.0079 (0.001, 0.01) | 0.02 |
| Baseline score | | - | - | | -0.3 (-0.37, -0.24) | <.0001 |
| C9orf72*YEO | | 1.03 (0.98, 1.07) | 0.23 | | -0.01 (-0.03, 0.007) | 0.23 |
| GRN*YEO | | 1 (0.96, 1.05) | 0.96 | | 0.0001 (-0.01, 0.01) | 0.99 |
| МАРТ*ЧЕО | | 0.94 (0.89, 1) | 0.05 | | 0.002 (-0.02, 0.02) | 0.86 |
| Motivation | 587 | | | 330 | | |
| C9orf72 | 104 | 1.91 (0.72, 5.06) | 0.19 | 49 | 0.093 (-0.19, 0.38) | 0.52 |
| GRN | 138 | 0.93 (0.31, 2.75) | 0.9 | 84 | 0.02 (-0.19, 0.22) | 0.88 |
| MAPT | 52 | 3.68 (1, 13.52) | 0.05* | 31 | 0.0004 (-0.3, 0.3) | 1 |
| УЕО | | 1.05 (1.02, 1.08) | 0.003 | | 0.002 (-0.0047, | 0.62 |
| | | | | | 0.008) | |
| Baseline score | | - | - | | -0.26 (-0.33, -0.19) | <.0001 |
| C9orf72*YEO | | 0.98 (0.93, 1.04) | 0.51 | | 0.005 (-0.0109, 0.02) | 0.54 |
| GRN*YEO | | 0.97 (0.92, 1.02) | 0.26 | | 0.006 (-0.0057, 0.02) | 0.31 |
| МАРТ*УЕО | | 0.97 (0.9, 1.04) | 0.41 | | -0.006 (-0.0247, 0.01) | 0.49 |

• Statistics are from the Solution for Fixed Effects Table

- *Baseline data was modeled with a negative binomial distribution with a log link function. Estimates and confidence intervals of fixed effects are exponentiated (base e) and indicate the incident rates.
 Estimates below 1 indicate an inverse relationship between the variable and outcome
- [&]Overall effect of genetic group was not statistically significant at *p*<0.05 (based on Type III Tests of Fixed Effects)
- The model could not be run on some subscales after outliers were removed due to low symptom endorsement. At baseline, for the self-care sub-scale, 3 participants (3 pre-symptomatic) had scores above zero after outliers were removed. At baseline, for the beliefs sub-scale, 4 participants (1 presymptomatic, 2 non-carrier) had scores above zero after outliers were removed. For the change score, for the self-care scale, 1 non-carrier endorsed a change in symptom.
- For the main effect of genetic group and Gene*EYO interaction= reference group are the noncarriers
- YEO= Years from estimated symptom onset; CI=confidence interval

Appendix

List of other GENFI consortium members

- Alazne Gabilondo Neuroscience Area, Biodonostia Health Research Insitute, San Sebastian, Gipuzkoa, Spain
- Albert Lladó Alzheimer's disease and Other Cognitive Disorders Unit, Neurology Service, Hospital Clínic, Barcelona, Spain
- Alessandro Padovani Centre for Neurodegenerative Disorders, Neurology Unit, Department of Clinical and Experimental Sciences, University of Brescia, Brescia, Italy
- Ana Gorostidi Neuroscience Area, Biodonostia Health Research Insitute, San Sebastian, Gipuzkoa, Spain
- Ana Verdelho Department of Neurosciences and Mental Health, Centro Hospitalar Lisboa Norte Hospital de Santa Maria & Faculty of Medicine, University of Lisbon, Lisbon, Portugal
- Andrea Arighi Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico, Neurodegenerative Diseases Unit, Milan, Italy; University of Milan, Centro Dino Ferrari, Milan, Italy
- Anna Antonell Alzheimer's disease and Other Cognitive Disorders Unit, Neurology Service, Hospital Clínic, Barcelona, Spain
- Beatriz Santiago Neurology Department, Centro Hospitalar e Universitario de Coimbra, Coimbra, Portugal
- Begoña Indakoetxea Cognitive Disorders Unit, Department of Neurology, Donostia University Hospital, San Sebastian, Gipuzkoa, Spain; Neuroscience Area, Biodonostia Health Research Insitute, San Sebastian, Gipuzkoa, Spain
- Benedetta Nacmias Department of Neuroscience, Psychology, Drug Research and Child Health, University of Florence, Florence, Italy
- Benjamin Bender Department of Diagnostic and Interventional Neuroradiology, University of Tübingen, Tübingen, Germany
- Camilla Ferrari Department of Neuroscience, Psychology, Drug Research and Child Health, University of Florence, Florence, Italy
- Carlo Wilke Department of Neurodegenerative Diseases, Hertie-Institute for Clinical Brain Research and Center of Neurology, University of Tübingen, Tübingen, Germany; Center for Neurodegenerative Diseases (DZNE), Tübingen, Germany
- Carolin Heller Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Carolina Maruta Laboratory of Language Research, Centro de Estudos Egas Moniz, Faculty of Medicine, University of Lisbon, Lisbon, Portugal
- Caroline Greaves Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Carolyn Timberlake Department of Clinical Neurosciences, University of Cambridge, Cambridge, UK
- Catarina B. Ferreira Laboratory of Neurosciences, Institute of Molecular Medicine, Faculty of Medicine, University of Lisbon, Lisbon, Portugal

- Catharina Prix Neurologische Klinik, Ludwig-Maximilians-Universität München, Munich, Germany
- Chiara Fenoglio Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico, Neurodegenerative Diseases Unit, Milan, Italy; University of Milan, Centro Dino Ferrari, Milan, Italy
- Christin Andersson Department of Clinical Neuroscience, Karolinska Institutet, Stockholm, Sweden
- Cristina Polito Department of Biomedical, Experimental and Clinical Sciences "Mario Serio", Nuclear Medicine Unit, University of Florence, Florence, Italy
- David Cash Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- David L Thomas Neuroimaging Analysis Centre, Department of Brain Repair and Rehabilitation, UCL Institute of Neurology, Queen Square, London, UK
- David Tang-Wai The University Health Network, Krembil Research Institute, Toronto, Canada
- Diana Duro Faculty of Medicine, University of Coimbra, Coimbra, Portugal
- Ekaterina Rogaeva Tanz Centre for Research in Neurodegenerative Diseases, University of Toronto, Toronto, Canada
- Elio Scarpini Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico, Neurodegenerative Diseases Unit, Milan, Italy; University of Milan, Centro Dino Ferrari, Milan, Italy
- Elisa Semler Department of Neurology, University of Ulm, Ulm
- Elisabeth Wlasich Neurologische Klinik, Ludwig-Maximilians-Universität München, Munich, Germany
- Emily Todd Department of Neurodegenerative Disease, UCL Institute of Neurology, UK
- Enrico Premi Centre for Neurodegenerative Disorders, Neurology Unit, Department of Clinical and Experimental Sciences, University of Brescia, Brescia, Italy
- Gabriel Miltenberger Faculty of Medicine, University of Lisbon, Lisbon, Portugal
- Gemma Lombardi Department of Neuroscience, Psychology, Drug Research and Child Health, University of Florence, Florence, Italy
- Georgia Peakman Department of Neurodegenerative Disease, UCL Institute of Neurology, UK
- Giacomina Rossi Fondazione IRCCS Istituto Neurologico Carlo Besta, Milano, Italy
- Giorgio Fumagalli Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico, Neurodegenerative Diseases Unit, Milan, Italy; University of Milan, Centro Dino Ferrari, Milan, Italy; Department of Neurosciences, Psychology, Drug Research and Child Health (NEUROFARBA), University of Florence, Florence, Italy
- Giorgio Giaccone Fondazione IRCCS Istituto Neurologico Carlo Besta, Milano, Italy
- Giuliano Binetti Istituto di Ricovero e Cura a Carattere Scientifico Istituto Centro San Giovanni di Dio Fatebenefratelli, Brescia, Italy
- Giuseppe Di Fede Fondazione IRCCS Istituto Neurologico Carlo Besta, Milano, Italy
- Hakan Thonberg Center for Alzheimer Research, Division of Neurogeriatrics, Karolinska Institutet, Stockholm, Sweden
- Hans-Otto Karnath Division of Neuropsychology, Hertie-Institute for Clinical Brain Research and Center of Neurology, University of Tübingen, Tübingen, Germany
- Henrik Zetterberg Dementia Research Institute, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK

- Ione Woollacott Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Janne Papma Department of Neurology, Erasmus Medical Center, Rotterdam
- Jason Warren Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Jaume Olives Alzheimer's disease and Other Cognitive Disorders Unit, Neurology Service, Hospital Clínic, Barcelona, Spain
- Jennifer Nicholas Department of Medical Statistics, London School of Hygiene and Tropical Medicine, London, UK
- Jessica Panman Department of Neurology, Erasmus Medical Center, Rotterdam, Netherlands
- Jorge Villanua OSATEK, University of Donostia, San Sebastian, Gipuzkoa, Spain
- Jose Bras Dementia Research Institute, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Katrina Moore Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London UK
- Lieke Meeter Department of Neurology, Erasmus Medical Center, Rotterdam, Netherlands
- Linn Öijerstedt Department of Geriatric Medicine, Karolinska University Hospital-Huddinge, Stockholm, Sweden
- Lize Jiskoot Department of Neurology, Erasmus Medical Center, Rotterdam, Netherlands
- Luisa Benussi Istituto di Ricovero e Cura a Carattere Scientifico Istituto Centro San Giovanni di Dio Fatebenefratelli, Brescia, Italy
- María de Arriba Neuroscience Area, Biodonostia Health Research Insitute, San Sebastian, Gipuzkoa, Spain
- Maria João Leitão Centre of Neurosciences and Cell Biology, Universidade de Coimbra, Coimbra, Portugal
- Maria Rosario Almeida Faculty of Medicine, University of Coimbra, Coimbra, Portugal
- Martin Rosser Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Martina Bocchetta Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Mathieu Vandenbulcke Geriatric Psychiatry Service, University Hospitals Leuven, Belgium; Neuropsychiatry, Department of Neurosciences, KU Leuven, Leuven, Belgium
- Maura Cosseddu Centre for Neurodegenerative Disorders, Neurology Unit, Department of Clinical and Experimental Sciences, University of Brescia, Brescia, Italy
- Michela Pievani Istituto di Ricovero e Cura a Carattere Scientifico Istituto Centro San Giovanni di Dio Fatebenefratelli, Brescia, Italy
- Michele Veldsman Nuffield Department of Clinical Neurosciences, Medical Sciences Division, University of Oxford, Oxford, UK
- Miguel Castelo-Branco Faculty of Medicine, University of Coimbra, Coimbra, Portugal
- Miguel Tábuas-Pereira Neurology Department, Centro Hospitalar e Universitario de Coimbra, Coimbra, Portugal
- Mikel Tainta Neuroscience Area, Biodonostia Health Research Insitute, San Sebastian, Gipuzkoa, Spain
- Mircea Balasa Alzheimer's disease and Other Cognitive Disorders Unit, Neurology Service, Hospital Clínic, Barcelona, Spain

- Miren Zulaica Neuroscience Area, Biodonostia Health Research Insitute, San Sebastian, Gipuzkoa, Spain
- Morris Freedman Baycrest Health Sciences, Rotman Research Institute, University of Toronto, Toronto, Canada
- Myriam Barandiaran Cognitive Disorders Unit, Department of Neurology, Donostia University Hospital, San Sebastian, Gipuzkoa, Spain; Neuroscience Area, Biodonostia Health Research Insitute, San Sebastian, Gipuzkoa, Spain
- Nick Fox Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Nuria Bargalló Imaging Diagnostic Center, Hospital Clínic, Barcelona, Spain
- Paola Caroppo Fondazione IRCCS Istituto Neurologico Carlo Besta, Milano, Italy
- Pedro Rosa-Neto Translational Neuroimaging Laboratory, McGill Centre for Studies in Aging, McGill University, Montreal, Québec, Canada
- Philip Vandamme Neurology Service, University Hospitals Leuven, Belgium; Laboratory for Neurobiology, VIB-KU Leuven Centre for Brain Research, Leuven, Belgium
- Pietro Tiraboschi Fondazione IRCCS Istituto Neurologico Carlo Besta, Milano, Italy
- Rachelle Shafei Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Rhian Convery Dementia Research Centre, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Ricardo Taipa Neuropathology Unit and Department of Neurology, Centro Hospitalar do Porto Hospital de Santo António, Oporto, Portugal
- Rick van Minkelen Department of Clinical Genetics, Erasmus Medical Center, Rotterdam, Netherlands
- Rita Guerreiro Dementia Research Institute, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Robart Bartha Department of Medical Biophysics, The University of Western Ontario, London, Ontario, Canada; Centre for Functional and Metabolic Mapping, Robarts Research Institute, The University of Western Ontario, London, Ontario, Canada
- Roberto Gasparotti Neuroradiology Unit, University of Brescia, Brescia, Italy
- Ron Keren The University Health Network, Toronto Rehabilitation Institute, Toronto, Canada
- Rosa Rademakers Department of Neurosciences, Mayo Clinic, Jacksonville, Florida, USA
- Rose Bruffaerts Laboratory for Cognitive Neurology, Department of Neurosciences, KU Leuven, Leuven, Belgium
- Sandra Black Sunnybrook Health Sciences Centre, Sunnybrook Research Institute, University of Toronto, Toronto, Canada
- Sandra Loosli Neurologische Klinik, Ludwig-Maximilians-Universität München, Munich, Germany
- Sara Mitchell Sunnybrook Health Sciences Centre, Sunnybrook Research Institute, University of Toronto, Toronto, Canada
- Sara Prioni Fondazione IRCCS Istituto Neurologico Carlo Besta, Milano, Italy
- Sarah Anderl-Straub Department of Neurology, University of Ulm, Ulm, Germany
- Sebastien Ourselin School of Biomedical Engineering & Imaging Sciences, King's College London, London, UK
- Serge Gauthier Alzheimer Disease Research Unit, McGill Centre for Studies in Aging, Department of Neurology & Neurosurgery, McGill University, Montreal, Québec, Canada

- Sergi Borrego-Ecija Alzheimer's disease and Other Cognitive Disorders Unit, Neurology Service, Hospital Clínic, Barcelona, Spain
- Silvana Archetti Biotechnology Laboratory, Department of Diagnostics, Spedali Civili Hospital, Brescia, Italy
- Simon Mead MRC Prion Unit, Department of Neurodegenerative Disease, UCL Institute of Neurology, Queen Square, London, UK
- Sónia Afonso Instituto Ciencias Nucleares Aplicadas a Saude, Universidade de Coimbra, Coimbra, Portugal
- Sonja Schönecker Neurologische Klinik, Ludwig-Maximilians-Universität München, Munich, Germany
- Stefano Gazzina Centre for Neurodegenerative Disorders, Neurology Unit, Department of Clinical and Experimental Sciences, University of Brescia, Brescia, Italy
- Thomas Cope Department of Clinical Neuriscience, University of Cambridge, Cambridge, UK
- Tim Rittman Department of Clinical Neurosciences, University of Cambridge, Cambridge, UK
- Tobias Hoegen Neurologische Klinik, Ludwig-Maximilians-Universität München, Munich, Germany
- Toby Flanagan Faculty of Biology, Medicine and Health, Division of Neuroscience and Experimental Psychology, University of Manchester, Manchester, UK
- Valentina Bessi Department of Neuroscience, Psychology, Drug Research and Child Health, University of Florence, Florence, Italy
- Veronica Redaelli Fondazione IRCCS Istituto Neurologico Carlo Besta, Milano, Italy
- Vesna Jelic Division of Clinical Geriatrics, Karolinska Institutet, Stockholm, Sweden
- Yolande Pijnenburg Amsterdam University Medical Centre, Amsterdam VUmc, Amsterdam, Netherlands
- Zigor Díaz CITA Alzheimer, San Sebastian, Gipuzkoa, Spain