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**TITLE:**

***Method to construct mutants in serotype 1 Streptococcus pneumoniae strain 519/43***

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**KEYWORDS:**

Serotype 1, *S. pneumoniae*, Mutagenesis, natural competence, Meningitis belt

**SUMMARY:**

Here we describe a *S. pneumoniae* serotype 1 strain 519/43, that can be genetically modified by using its ability to naturally acquire DNA and a suicide-plasmid. As proof of principle, an isogenic

42 mutant in the pneumolysin (*ply*) gene was made. This protein was chosen because it has a well-  
43 known and easy to follow phenotype, the ability to lyse red blood cells.

44

45 **ABSTRACT:**

46 *Streptococcus pneumoniae* serotype 1 remain a huge problem in low-and-middle income  
47 countries, particularly in sub-Saharan Africa. Despite its importance, studies in this serotype have  
48 been hindered by the lack of genetic tools to modify it. In this study we describe a method to  
49 successfully modify genetically a serotype 1 clinical isolate (strain 519/43). Interestingly, this was  
50 achieved by exploiting the Pneumococcus' ability to naturally acquire DNA, however, unlike most  
51 pneumococci, the use of linear DNA was not successful, to mutate this important strain a suicide  
52 plasmid had to be used. This methodology has provided us with the means for a deeper  
53 understanding of this elusive serotype, both in terms of its biology and pathogenicity. In order to  
54 validate the method, the major known pneumococcal toxin, pneumolysin, was mutated because  
55 it has a well-known and easy to follow phenotype. We showed that the mutant, as expected, lost  
56 its ability to lyse Red Blood Cells. By being able to mutate an important gene in the serotype of  
57 interest, we were able to observe different phenotypes for loss of function mutants upon  
58 intraperitoneal and intranasal infections from the ones observed for other serotypes. In  
59 summary, this study proves that strain 519/43 (serotype 1) can be genetically modified.

60

61 **INTRODUCTION:**

62 *Streptococcus pneumoniae* (*S. pneumoniae*, the pneumococcus) is one of the principal causes of  
63 morbidity and mortality globally. Up until recently, close to 100 serotypes of *S. pneumoniae* have  
64 been discovered<sup>1-7</sup>. Yearly, invasive pneumococcal disease (IPD) claims around 700,000 deaths,  
65 of children younger than 5 years old<sup>8</sup>. *S. pneumoniae* is the major cause of bacterial pneumonia,  
66 otitis media, meningitis and septicaemia worldwide<sup>9</sup>.

67 In the African meningitis belt, serotype 1 is responsible for meningitis outbreaks, where sequence  
68 type (ST) ST217, an extremely virulent sequence type is dominant<sup>10-15</sup>. Its importance in  
69 meningitis pathology has been likened to that of *Neisseria meningitidis* in the African meningitis  
70 belt<sup>16</sup>. Serotype 1 is often the main cause of IPD; however, it is very rarely found in carriage. In  
71 fact, in The Gambia, this serotype is accountable for 20% of all invasive disease, but it was only  
72 found in 0.5% of healthy carriers<sup>14, 17-19</sup>. Genetic exchange and recombination in competent  
73 pneumococci occurs generally in carriage rather than in invasive disease<sup>20</sup>. Furthermore,  
74 serotype 1 has been shown to have one of the shortest carriage rates described amongst  
75 pneumococci (only 9 days). Therefore, it has been proposed that this serotype might have a much  
76 lower recombination rate than others<sup>21</sup>.

77 In depth studies are necessary to understand the reason behind serotype 1 strains' low rate of  
78 carriage and its importance in invasive disease in sub-Saharan Africa.

79 Here we report a protocol that allows genome-wide mutagenesis of a particular serotype 1 strain,  
80 519/43. This strain can easily acquire and recombine new DNA into its genome. This method is

81 not yet inter-strain, but it is very efficient when done in 519/43 background (other targets have  
82 been mutated, manuscripts in preparation). By simply using 519/43 strain, and exploit its natural  
83 competence, as well as substituting the way that the exogenous DNA is provided, we were able  
84 to mutate the pneumolysin gene (*ply*) in this serotype 1 strain. This method represents an  
85 improvement on the one presented by Harvey *et al.*, 2016<sup>22</sup> as it is done in one-step without the  
86 need to passage the DNA through a different serotype. Nevertheless, and due to inter-strain  
87 variability, no method is yet standardized to all strains. The ability to mutate specific genes and  
88 observe its effects will allow a profound understanding of serotype 1 *S. pneumoniae* strains and  
89 it will provide answers for the role of these strains in meningitis in sub-Saharan Africa.

90

## 91 **PROTOCOL:**

### 92 **1. Generation of the mutating amplicon by SOE-PCR<sup>23</sup> and amplification of the** 93 **spectinomycin cassette**

94 **1.1.** Start by performing PCR for the amplification of the homology arms (ply 5' (488bp) and  
95 ply3' (715bp) respectively) of the flanking regions of the *ply* gene from strain 519/43. Use  
96 primers plyFw1\_NOTI (TTT GCGGCCGCCAGTAAATGACTTTATACTAGCTATG), ply5'R1\_BamHI  
97 (CGAAATATAGACCAAAGGACGCGGATCCAGAACCAAACCTTGACCTTGA), ply3'F1\_BamHI  
98 (TCAAGGTCAAGTTTGGTTCTGGATCCGCGTCCTTTGGTCTATATTTTCG) and plyRv2\_NotI  
99 (TTTGCGGCCGCCATTTTCTACCTTATCCTCTACC).

100

101 **1.2.** Use the following PCR conditions denaturing at 94 °C for 60 sec, step 2: denaturing at 94  
102 °C for 30 sec, step 3: annealing at 58 °C for 30 sec, step 4: extension at 72 °C for 30 sec, step 5:  
103 go back to step 2 and repeat for 35 cycles, step 6: final extension at 72 °C for 30 sec, for ply5',  
104 and the same PCR conditions should be used for ply3' with the exception of the extension time  
105 on step 4 and step 6 where it should be 60 sec.

106

107 **1.3.** Analyse the PCR products by gel electrophoresis and excise the amplicon from the gel.

108

109 **1.4.** Purify the PCR amplicons following the protocol described in the manufacturer's  
110 instructions (Monarch DNA Gel Extraction, NEB, UK).

111

112 **1.5.** Use equimolar amounts of both homology arms as templates in the SOE-PCR. Fuse the  
113 two amplicons using primers plyFw1\_NOTI (TTT  
114 GCGGCCGCCAGTAAATGACTTTATACTAGCTATG), and plyRv2\_NotI  
115 (TTTGCGGCCGCCATTTTCTACCTTATCCTCTACC).

116

117 **1.6.** Use the following SOE-PCR conditions (step1: denaturing at 94 °C for 2 min, step2:  
118 denaturing at 94 °C for 30 sec, step3: annealing 58 °C for 30 sec, Step 4: extension at 68 °C for  
119 60 sec, step 5: go back to step 2 and repeat for 25 cycles, step 6: final extension at 68 °C for 90  
120 sec);

121

122 **1.7.** Analyse the SOE-PCR product by gel electrophoresis and excise it from the gel using a  
123 gel extraction kit and follow the instructions provided.

124

125 **1.8.** Amplify the spectinomycin cassette from plasmid pR412 using the following PCR  
126 conditions: step 1: denaturing at 94 °C for 60 sec, step 2: denaturing at 94 °C for 30 sec, step 3:  
127 annealing at 55 °C for 30 sec, step 4: extension at 68 °C for 60 sec, step 5: go back to step 2  
128 and repeat for 25 cycles, step 6: final extension at 68 °C for 60 sec. Use primers BamHI\_SP2F2  
129 (GGATCC CTA GAA CTA GTG GAT CCC CC) and BamHI\_SP2R2 (GGATCC AAT TCT GCA GAT TTT AC  
130 ATG ATC). Plasmid pR412 was acquired from Dr Marc PrudHomme (CNRS-Universite Paul  
131 Sabatier Toulouse France).

132

133 **1.9.** Analyse the PCR amplicons by gel electrophoresis and excise and purify the resulting  
134 PCR amplicon as described above.

## 135 **2. Generation of plasmid pSD1 and Chemical transformation of *E. coli* Dh5 $\alpha$**

136

137 **2.1.** Perform a Ligation Reaction following the pGEMT-easy system I manufacturer  
138 instructions (Promega, UK). In a microcentrifuge tube add 5  $\mu$ l 2X ligation buffer, 1  $\mu$ l  
139 pGEMTeasy, 2  $\mu$ l of the ply\_SOE product, 1  $\mu$ l T4 DNA ligase and water to 20  $\mu$ l total volume.  
140 Incubate overnight at 4 °C. This generates plasmid pSD1.

141

142 **2.2.** Transform chemically competent *E. coli* Dh5 $\alpha$  (Invitrogen UK) with pSD1. Start by  
143 incubate 50  $\mu$ l of chemically competent *E. coli* Dh5 $\alpha$  with 3  $\mu$ l pSD1 ligation reaction for 15 min  
144 on ice, then continue by exposing the cells to thermic shock (42 °C, 30 sec). Place the cells on  
145 ice for 2 min.

146

147 **2.3.** Remove the cells from ice and add 350  $\mu$ l S.O.C media. Incubate the culture for 2 h at  
148 37°C, 120 rpm.

149

150 **2.4.** Plate the transformation on Luria Bertani Agar (LBA) supplemented with 0.4mM IPTG,  
151 0.24mg/ml X-Gal for blue/white selection and 100  $\mu$ g/ml ampicillin to ensure all colonies  
152 growing in the plate have the plasmid backbone. White colonies contain pSD1.

153

154 **2.5.** Pick three white colonies and set up overnight growths in 10 ml LB, supplemented with  
155 100 µg/ml ampicillin. Incubate the cultures overnight at 37 °C with shaking.

156

157 **2.6.** The next day centrifuge the cultures at 3082 xg and use the pellet for plasmid  
158 extraction.

### 159 **3. Plasmid DNA extraction, restriction digestion of pSD1 and spectinomycin** 160 **gene and assembly of pSD2**

161

162 **3.1.** Extract the plasmid DNA following the instructions provided with the Kit (NEB, Monarch,  
163 UK).

164

165 *Set up a BamHI-restriction digestion for both pSD1 plasmid and the spectinomycin cassette previously amplified and purified.*

166 *Use the following conditions and quantities described in **Table 1**-Restriction digestion reaction components*  
167 *for pSD1 and spectinomycin cassette.*

168 **3.2.** .

169

170 **3.3.** Incubate the restriction digestion reactions and controls at 37 °C for 3 hr.

171

172 **3.4.** Analyse the restriction digest by electrophoresis, excise the band and purify following  
173 the instructions provided with the Kit (Monarch, UK).

174

175 **3.5.** Next, prepare a ligation reaction following the pGEMT-easy system I manufacturer  
176 instructions (Promega, UK) using the BamHI- digested pSD1 and spectinomycin (from 0). In a  
177 microcentrifuge tube, add the following reaction components: 5 µl 2X ligation buffer, 2 µl pSD1,  
178 2 µl of spectinomycin cassette, 1 µl T4 DNA ligase and incubate overnight at 4 °C. This generate  
179 plasmid pSD2.

180

181 **3.6.** Transform plasmid pSD2 into chemically competent *E. coli* Dh5α as described in point  
182 2.2.

183

184 **3.7.** Select the transformants carrying plasmid pSD2 based on their ability to grow in LBA  
185 supplemented with 100 µg/ml of spectinomycin and ampicillin.

186

187 **3.8.** Perform a plasmid DNA extraction (pSD2) as described above and following the  
188 manufacturer's instructions (Monarch, NEB, UK).

189 **4. Transformation of *S. pneumoniae* strain 519/43**

190

191 **4.1.** Prepare an overnight culture of *S. pneumoniae* 519/43 in BHI and allow it to grow  
192 statically, at 37 °C, 5% CO<sub>2</sub>.

193

194 **4.2.** The following day dilute the cultures 1:50 and 1:100 in 10 ml of fresh BHI broth (Oxoid,  
195 UK). Incubate the cultures statically, at 37 °C until the OD<sub>595nm</sub> is between 0.05 and 0.1 (optimal  
196 acquisition of DNA closer to 0.1 OD).

197

198 **4.3.** Once an OD of 0.1 is reached, take 860 µl and transfer into a microcentrifuge tube. In  
199 this same microcentrifuge tube add: 100 µl 100 mM NaOH, 10 µl 20% (w/v) BSA, 10 µl 100mM  
200 CaCl<sub>2</sub> and 2 µl 50 ng/ml CSP1<sup>24</sup> and 500 ng of pSD2.

201

202 **4.4.** Incubate the reaction statically at 37°C, for 3 hours.

203

204 **4.5.** Plate 330 µl onto 5% blood Agar plates (BA) supplemented with 100 µg/ml  
205 spectinomycin, every hour over the 3 incubation hours.

206

207 **4.6.** Incubate plates overnight at 37 °C, 5% CO<sub>2</sub>. Spectinomycin resistant colonies must be  
208 patched onto another BA plate supplemented with 100 µg/ml spectinomycin as well as onto BA  
209 plates supplemented with 100 µg/ml of ampicillin. Both sets of plates must be and incubated  
210 overnight under the conditions stated above. The ampicillin plates are to test for the presence  
211 of the plasmid backbone.

212 **4.7.**

213 **4.8.** Confirm the presence of the spectinomycin cassette by PCR using primers plyFw1\_ NOTI  
214 (TTT GCGGCCGCCAGTAAATGACTTTATACTAGCTATG) and SPEC\_REV  
215 (TAATTCCTCTAAGTCATAATTTCCG). Confirm the mutation by PCR using primers plySCN1  
216 (CCAATGGAAATCGCTAGGCAAGAGATAA) and plySCN2 (ATTACTTAGTCCAACCACGGCTGAT)  
217 which attach outside of the mutated region.

218 **4.9.** Confirm the integration in the correct location of the genome by sequencing using  
219 primers plySCN1 (CCAATGGAAATCGCTAGGCAAGAGATAA), plySCN2

220 (ATTACTTAGTCCAACCACGGCTGAT), as well as primers with their binding site in the

221 spectinomycin cassette sqr1 (CCTGATCCAAACATGTAAGTACC) sqf2

222 (CGTAGTTATCTTGGAGAGAATA) spec\_sqf1 (GGTACTTACATGTTTGGATCAGG ) and spec\_sqr2

223 TATTCTCTCCAAGATAACTACG.

224

225 **REPRESENTATIVE RESULTS:**

226

227 The protocol described here starts by using PCR to amplify the left and right homology arms,  
228 whilst simultaneously deleting 191 bp from the middle region of the *ply* gene. While performing  
229 the PCR a BamHI site is introduced at the 3' of the left homology arm and at the 5' end of the  
230 right homology arm (**Error! Reference source not found.**A). This is followed by PCR-SOE where  
231 left and right homology arms are fused into one amplicon (Figure 1B). This SOE-PCR amplicon is  
232 then cloned into pGEMTeasy using TA cloning to generate plasmid pSD1 (Figure 1C). Successful  
233 transformation will yield white colonies that are resistant to ampicillin. Any blue colonies will be  
234 transformants containing an empty pGEMTeasy plasmid. pSD1 will then be digested at the BamHI  
235 site which was introduced at the time of the SOE-PCR (Figure 1D) and ligated to a spectinomycin  
236 cassette (also BamHI digested for compatible ends). The new plasmid is termed pSD2 (Figure 1E).  
237 Correct assembly of pSD2 is confirmed by restriction digestion (Figure 2A). pSD2, that works as  
238 a suicide plasmid since it does not contain a Gram+ compatible origin of replication, was used to  
239 transform 519/43WT (previously prepared to be competent). Positive transformants are colonies  
240 growing on 100 µg/ml spectinomycin after an overnight incubation. All colonies are patched onto  
241 newly fresh blood agar base plates supplemented with 100 µg/ml spectinomycin as well as blood  
242 agar base supplemented with 100 µg/ml of ampicillin. Any colonies that grow on the second  
243 overnight plates supplemented with spectinomycin are possible positives. Any colonies that grow  
244 on ampicillin plates will denote integration of the full plasmid or recombination of the ampicillin  
245 cassette elsewhere in the genome. So far and using strain 519/43 no colonies have grown on the  
246 plates supplemented with ampicillin. All colonies positive by PCR must be confirmed by  
247 sequencing (Figure 2C) to assess and confirm the location of the insertion. To this end, primers  
248 must have their binding site outside of the homology region (Figure 2C). The chosen target has a  
249 very marked phenotype (haemolysis of Red Blood Cells (RBC)), and therefore the mutation can  
250 also be confirmed phenotypically (Figure 2B). The mutant lost its ability to lyse RBC's.

251

## 252 **FIGURE AND TABLE LEGENDS:**

253

254 **Table 1-**Restriction digestion reaction components for pSD1 and spectinomycin cassette.

255 **Figure 1-Overview of the mutagenesis strategy A:** Amplification of homology arms (Ply3' and  
256 Ply5' (lanes 2 until 5); and Splicing by Overlapping Extension PCR (Lanes 6 and 7). L- hyperladder  
257 I (bioline), lane 1- negative control for the reaction, lane 2 and 3- ply5' (488 bp) and ply3' (715  
258 bp) homology arms amplified from D39 gDNA, lanes 4 and 5- ply5' (488 bp) and ply3' (715 bp)  
259 homology arms amplified from 519/43 gDNA. Right hand side lane 6- D39 SOE PCR product,  
260 lane 7- 519/43 SOE PCR product (1235 bp). **B-**Schematic depicting the final SOE-PCR construct  
261 obtained (Ply\_SOE); Indicated by arrows are the primers used to obtain the homology region  
262 between both homology arms as well as the restriction digestion chosen. **C-** Plasmid pSD1,  
263 depicting the cloning of ply\_SOE; **D-** Restriction digestion of pSD1 and spectinomycin cassette.  
264 **E-** Final construct pSD2 that is then used as a suicide plasmid to transform *S. pneumoniae*.

265 **Figure 2-A:** Confirmation of the presence of the spectinomycin cassette in pSD2. L- hyperladder  
266 1kb (Bioline); 1- pSD1 digested with BamHI; 2-pSD2 digested with BamHI; L hyperladder 1 kb  
267 (Bioline); 3- Spectinomycin cassette amplified from pR412, 4- Spectinomycin cassette digested  
268 with BamHI; **B-** Phenotypic confirmation of the pneumolysin mutation by determination of  
269 haemolytic activity for D39, 519/43WT and mutant 519/43 $\Delta$ ply. This was compared to  
270 haemolysis of red blood cells by 0.5% saponin. Saponin-derived haemolysis is considered 100%  
271 and the rates for 519/43Wt and 519/43 $\Delta$ ply were calculated against it. Each data point is the  
272 mean of 5 technical and 3 biological replicates. **C-**Sequencing data mapped to the mutant  
273 genome region where pneumolysin was interrupted. Primers are indicated as arrows and by  
274 name. PLYSCN1 and PLYSCN2 bind outside of the homology arms. Sequencing obtained from  
275 primers PLYSCN1 and 2 showed that there was uninterrupted sequence from the neighbouring  
276 regions outside of the homology area until the spectinomycin cassette, demonstrating the  
277 insertion in the genome.

278

279

## 280 **DISCUSSION:**

281 *Streptococcus pneumoniae*, in particular serotype 1, continues to be a global threat causing  
282 invasive pneumococcal disease and meningitis. Despite the introduction of various vaccines that  
283 should be protective against serotype 1, in Africa, this serotype is still capable of causing  
284 outbreaks that lead to high morbidity and mortality<sup>13</sup>. The ability to genetically manipulate this  
285 serotype is of critical importance because of its clinical relevance. The method described in this  
286 study allows the genetic manipulation of a representative strain within this serotype. An invasive  
287 strain 519/43 (ST5316), a clinical isolate from a meningitis patient in Denmark<sup>25</sup>.

288 The methodology presented here, was successful mostly due to the chosen strain as it can  
289 acquire exogenous DNA, but also due to changes made to the traditional protocols used for *S.*  
290 *pneumoniae* transformation, a typical success rate to our transformation protocol is of about  
291 70%.

292 With this methodology, it is paramount to use a suicide plasmid instead of the usual linear  
293 DNA. Conventionally, linear DNA<sup>26-28</sup> would have been used, however all attempts to use the  
294 exogenous DNA in this form were unsuccessful. Furthermore, attempts to exploit the natural  
295 competence of *S. pneumoniae* 519/43 at lower absorbance were not successful. Troubleshooting  
296 demonstrated that natural competence for strain 519/43 was higher when OD<sub>595</sub> was 0.1, which  
297 is different from the data observed for other serotypes of *S. pneumoniae* where highest natural  
298 competence was observed at very low OD<sup>24</sup>.

299 In order to validate the method, a pneumolysin mutant was constructed because it exhibits  
300 an easy to follow phenotype, however and to prove that the method can be applied to any gene  
301 within this strain, other genes have been successfully targeted (manuscripts in preparation). Such  
302 method, using a suicide vector that has no Gram+ compatible origin could also be used for



303 chromosomal complementation, overexpression of genes of interest, as well as introduction of  
304 reporter systems, all by using the neighbouring genes as homology regions.

305 The expansion of genetic tools to *S. pneumoniae* serotype 1, strain 519/43 is important  
306 because, we can now genetically manipulate representative strains directly. Strain 519/43 is of  
307 interest as it is genetically pliable, is pathogenic as it was isolated from a meningitis patient, and  
308 its manipulation will provide clues to better understand the development and establishment of  
309 meningitis. Previously, understanding certain determinants within the species was done by  
310 inserting the gene in question in one of the very well characterized strains of *S. pneumoniae*, such  
311 as D39 (serotype 2). Such approach was used by Paton *et al.*, due to difficulties with the  
312 mutagenesis on serotype 1<sup>29</sup>. The results reported by them on D39 carrying a less haemolytic  
313 allele of serotype 1 *ply* in comparison to 519/43  $\Delta$ *ply* differ from the ones presented by us<sup>30</sup>  
314 highlighting the importance of being able to mutate a gene within the original strain background.  
315 Later on, the same group was able to mutate a non-lineage A serotype 1 strain<sup>22</sup>. Interestingly,  
316 their protocol is quite distinct from ours, as it is a two-step approach that requires the mutation  
317 to be done first in serotype 2 strains and this is then used as a template to be transformed in  
318 their serotype 1 strain. Currently, there is one limitation in the method presented by us, for now,  
319 this method works only for the 519/43 representative strain. The same exact protocol was tried  
320 in other strains, namely clinical isolates from ST3081 and ST303 and it was not successful.  
321 Furthermore, electroporation as a method of delivery of exogenous DNA to the cell was also  
322 attempted on all three sequence types, with positive results observed only for 519/43. Expanding  
323 and standardising the methodology to all serotype 1 strains is of paramount importance as there  
324 is enormous variability throughout the group. Studies are undergoing presently to expand the  
325 applicability of the method to all strains within serotype 1.

326

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329

#### 330 **DISCLOSURES:**

331 The authors have nothing to disclose.

332

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