1	Blended Ag nanofluids with optimized optical properties to regulate the
2	performance of PV/T systems
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12	Abstract: Traditional PV/T systems, with passive cooling channels, can not solve the problem of
13	coupling power/heat source on the surface of PV modules, resulting in lower electrical efficiency of solar
14	cells. The active spectrum regulation technology using nanofluids, is a promising method to absorb
15	spectrum energy not responding to solar cells, and reduce cell temperature and improve electricity
16	efficiency. Though many nanofluids have been selected as optical nanofluids to separate/decoupling
17	electricity and heat from composite spectral energy, no feasible method was proposed to select proper
18	nanofluids to match the ideal window of solar cells. Therefore, from the view of spectrum regulation,
19	some blended Ag nanofluids were present to numerically investigate the performance of PV/T systems,
20	using a 2D-Monte Carlo method. Results indicated that nanoparticle radius, ranging from 20nm to 60nm,
21	drove the movement of peak absorption from 395nm to 520nm, following a linear profile. Meanwhile,
22	increased volume concentration and optical thickness reduced spectral transmittance, leading to lower
23	cell temperature but worse output performance. Additionally, blended Ag nanofluids, with particle radius
24	of 20nm or 20/40nm (8:2), volume concentration of 2.5ppm and optical path of 10mm, were optimal
25	solutions for both Si cell and GaAs cell. The electrical efficiency and merit function value of Si cells
26	were 11.85% and 1.61 for 20nm nanofluid, 11.0% and 1.66 for 20/40nm (8:2) nanofluid, while that of
27	GaAs cell were 9.30% and 1.92 for 20nm nanofluid, 9.03% and 2.05 for 20/40nm (8:2) nanofluid,
28	respectively.

29 Keywords: Blended nanofluids, Monte Carlo method, Spectrum regulation, Merit function

30 Nomenclature

31	A	Heat transfer area, (m ²)
32	С	Solar concentration ratio, (dimensionless)
33	D	Diameter of nanoparticles, (nm)
34	e	Electron charge, (1.6021×10 ⁻¹⁹ C)
35	FF	Fill factor, (dimensionless)
36	f _{v,i}	Nanoparticle volume percentage with diameter D , (dimensionless)
37	h	Convective heat transfer coefficient, $(W \cdot m^{-2} \cdot K^{-1})$
38	Isc	Short circuit current, (mA)
39	Ι _{bλ}	The spectral blackbody radiation, (W·m ⁻² ·nm ⁻¹)
40	G	Incident solar radiation, (W·m ⁻² ·nm ⁻¹)
41	K _{ext}	Extinction coefficient of nanofluids, (cm ⁻¹)
42	K _{abs}	Absorption coefficient of nanofluids, (cm ⁻¹)
43	K _{p,ext}	Extinction coefficient of nanoparticle systems, (cm ⁻¹)
44	K _{p,abs}	Absorption coefficient of nanoparticle systems, (cm ⁻¹)
45	K _{f,ext}	Extinction coefficient of base fluid, (cm ⁻¹)
46	K _{f,abs}	Absorption coefficient of base fluid, (cm ⁻¹)
47	k_b	Boltzmann constant, (1.38×10 ⁻²³ J/K)
48	k_p	Absorption index of nanoparticle, (dimensionless)
49	MF	Merit function of PV/T systems, (dimensionless)
50	<i>m</i> _r	Relative complex refraction index, (dimensionless)
51	Ni	Nanoparticle density with diameter D , (m ⁻³)
52	n p	Refraction index of nanoparticle, (dimensionless)
53	n f	Refraction index of base fluid, (dimensionless)
54	Q ext	Extinction factor of nanoparticle, (dimensionless)
55	Q abs	Absorption factor of nanoparticle, (dimensionless)
56	Q sca	Scattering factor of nanoparticle, (dimensionless)
57	SR	Spectral response, (A·W ⁻¹)
58	\$	Optical thickness, (cm)

59	Δs	Free path length or step length, (cm)			
60	Ta	Ambient temperature, (K)			
61	Tcell	Cell temperature, (K)			
62	T_{cell}^{\prime}	Reference cell temperature, (298K)			
63	Tsky	Sky temperature, (K)			
64	Voc	Open circuit voltage, (V)			
65	<i>V</i> _{oc}	Open circuit voltage at cell temperature of 289K, (V)			
66	x	Size parameter of nanoparticles, (dimensionless)			
67	Xr	Relative size parameter, (dimensionless)			
68	Greek symbol				
69	β	Coefficient, (0.0045K ⁻¹)			
70	ε	Surface emissivity of object, (dimensionless)			
71	η _{el}	Electrical efficiency, (dimensionless)			
72	θ	Scattering angle, (radian)			
73	λ	Incident wavelength, (nm)			
74	σ	The constant of Stefan and Bohzmann, (5.67×10 ⁻⁸ W·m ⁻² ·K ⁻⁴)			
75	$ au_{\lambda}$	Spectral transmittance, (dimensionless)			
76	Φ_{λ}	The spectral scattering phase function, (dimensionless)			
77	$\pmb{\Phi}(\pmb{ heta})$	Scattering phase function of nanoparticle systems, (dimensionless)			
78	Ω	The solid angle, (radian)			
79	ω	Albedo of single nanoparticle, (dimensionless)			

80 **1. Introduction**

With the increase of world population and the aggravation of energy crisis, most countries have devoted themselves to utilizing renewable energy (Shen et al., 2020; Wei et al., 2020). As a sustainable and environment-friendly energy, solar energy has advantages like large reserves, wide distribution and no pollution (Zhang et al., 2020b), and therefore has captured great attentions of researchers and developers (Yao et al., 2020).

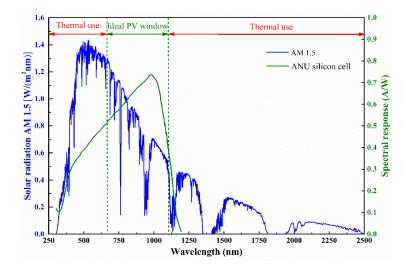
86 After years of development, solar technologies, such as PV panels, solar collectors and passive solar 87 energy utilization, have entered thousands of buildings (Ghosh et al., 2019; Sahin et al., 2020). For 88 commercial applications, PV panels are widely used and each panel is composed of a number of solar 89 cells that can convert solar energy into electricity. The efficiency of solar cells, however, is generally low 90 (less than 25%), due to their spectral response characteristics (Green et al., 2015), i.e. they can only 91 convert solar energy within specific spectral ranges (generally within 300nm-1100nm). Meanwhile, the 92 volt-ampere characteristic curve of PV modules is also subject to cell temperature, with a decreasing rate 93 of electrical efficiency by about 0.5%/°C at high temperature (Zhang et al., 2020a). To tackle this issue, 94 many researchers have proposed feasible measures to reduce cell temperature, so as to maintain certain 95 electrical efficiency of PV modules.

96 To cool down PV modules, universal cooling methods include air cooling, water cooling, phase change 97 material cooling and structure strengthening cooling have been proposed in recent years (Al-Waeli et al., 98 2018; Ali, 2020). These cooling methods can effectively reduce cell temperature by $5^{\circ}C-40^{\circ}C$ for flatbed 99 PV modules, and improve electrical efficiency by about 0.5%-10% (Al-Waeli et al., 2018; Hasan et al., 100 2010). Muneeshwaran et al. investigated the cooling performance of PV modules with an air-cooling 101 system, and results indicated that cell temperature with cooling channel was lower than that without 102 cooling channel by 6°C-12°C (Muneeshwaran et al., 2020). Hafz Muhammad Ali reviewed the recent 103 advancements in PV cooling, and demonstrated the PCM cooling was a feasible solution to maintain cell 104 temperature within stable range (Ali, 2020). Meanwhile, they hold that PCMs integrated with 105 nanoparticles can significantly improve the thermal conductivity, and then enhance cooling efficiency 106 (Tariq et al., 2020). Among these cooling technologies, nanofluids, with superior heat exchanged capacity, 107 have been studied in recent years (Menni et al., 2018; Younes Menni et al., 2019b). Zhang et al. reviewed

108 the cooling technologies of PV modules, including fluid medium cooling, structural configuration 109 cooling and PCMs cooling, and they reported that nanofluids cooling can be regarded as a preferred 110 cooling method, due to efficient heat transfer ability (Zhang et al., 2020a). Research group of Professor 111 Menni has carried out a large number of studies, from the view of enhanced heat transfer of nanofluids 112 (Menni et al., 2020; Menni et al., 2019b). They have proved that the physical properties, particle size, 113 concentration and flow rate of nanofluids were key factors to enhance the heat transfer of fluids (Younes 114 Menni et al., 2019b). Meanwhile, some novel channels with baffle structure also have been designed by 115 their group to improve cooling efficiency (Menni et al., 2019a; Younes Menni et al., 2019a).

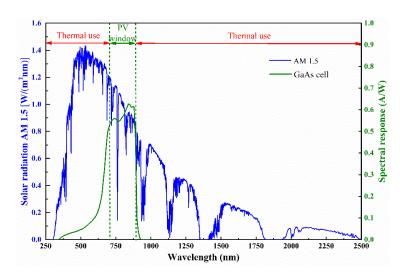
116 Universal cooling methods try to take excessive heat away from PV modules but cannot limit unuseful 117 solar energy touching solar cells. In this research direction, Taylor firstly used the spectral regulation 118 technology by nanofluids to filter sunlight unuseful to solar cells, to obtain lower cell temperature 119 (Hjerrild and Taylor, 2017; Taylor et al., 2012). Since then, some researchers have provided contributions 120 to this research direction (DeJarnette et al., 2016; Han et al., 2019a; Hassani et al., 2016). Han et al. 121 investigated the performance of solar cells using CoSO4-based Ag nanofluids as optical filter, and results 122 demonstrated that the electrical efficiency of solar cells is dependent on the mass fraction of optical 123 nanofluids (Han et al., 2019a). DeJarnette et al. proposed a nanofluid mixing with Au and indium tin 124 oxide nanoparticles, and analysed the optical properties of this blended nanofluid. From experiment, they 125 have discovered that the filter efficiency of this nanofluid was 56% for Si cells and 62% for GaAs cells 126 (DeJarnette et al., 2016). Hassani et al. investigated the difference between optical nanofluids and thermal 127 nanofluids, and theoretically discussed the electrical efficiency of solar cells with channels filled with 128 different nanofluids (Hassani et al., 2016). They also suggested to use optical nanofluids above solar cells 129 and use thermal nanofluids below solar cells. Additionally, using these two different nanofluids 130 simultaneously can also provide a better performance than using them separately.

In reality, solar cells made by different materials, such as Si and GaAs, have different spectral response characteristics (Han et al., 2019b) as shown in Figure 1, which were measured for Si cells and GaAs cells with AM1.5 standard spectrum. Apparently, Si cells had an ideal PV windows ranging between 700nm to 1100nm, and GaAs cells had one ranging between 700nm and 900nm. Each nanofluid has unique absorption spectrum, but not every nanofluid is suitable as an optical filter for solar cells. Therefore, how to efficiently filter unuseful solar energy with proper nanofluids for different solar cells? It is a very 137 meaningful topic. Although many researches have investigated the optical properties of nanofluids, and 138 discussed the performance of PV/T systems with selective nanofluids. In existing studies, however, no 139 method was available to guide how to select proper nanofluids for different solar cells. This study, 140 therefore, reported some blended Ag nanofluids for solar cells from the view of spectrum regulation, and 141 investigated the effects of volume concentration and optical thickness on the spectral absorption 142 characteristics of nanofluids, using a two-dimensional Monte Carlo method. Additionally, the study 143 established a link between these characteristics and requirements of solar cells. Several feasible solutions 144 were proposed for two typical solar cells, namely Si cells and GaAs cells. The results from this project 145 can provide reference for the future development of solar spectral regulation technologies and promote 146 efficient utilization of solar energy.



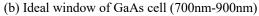
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148 (a) Ideal window (700nm-1100nm) of Si cell tested by the Australian National University (ANU)



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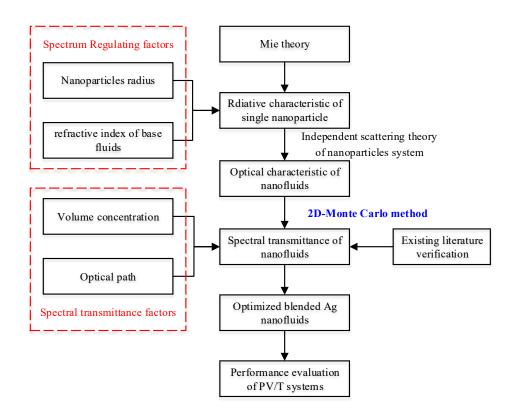
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151

Figure 1: Spectral response and ideal window of Si cells and GaAs cells (Han et al., 2019b)

152 2. Model Development

153 Figure 2 depicted the flow chart of current study. Before discussing the spectrum matching of nanofluids 154 with solar cells, theoretical investigation of optical characteristics of nanofluids should be detailedly 155 depicted. Nanofluids are mainly composed of nanoparticles and base fluid, which directly determine 156 optical properties of nanofluids. First, Mie theory was adopted to get radiative characteristic of single 157 nanoparticle, and then optical characteristic of nanofluids was calculated by independent scattering 158 theory of nanoparticles systems. After that, a 2D-Monte Carlo method was employed to solve radiation 159 transfer equation and obtain spectral transmittance of nanofluids, and the results was validated with 160 existing literature. Then, the effect of these factors, including nanoparticles radius, refractive index of 161 base fluids, volume concentration and optical thickness, on the spectral transmittance were investigated 162 to match the ideal windows of solar cells. Finally, the performance of PV/T systems with optimized 163 blended Ag nanofluids was also discussed by some mathematical models of PV/T systems.



164

165

Figure 2: Flow chart of current study

166 **2.1 Radiative characteristic of single nanoparticle**

167 Currently, there are many existing methods that can be used to obtain the radiation characteristics of

168 single nanoparticle, including Mie theory (Xingcai and Kun, 2018), discrete dipole approximation 169 (Zhang et al., 2019), finite difference method (Wriedt, 2009) and T-matrix (Hellmers and Wriedt, 2013) 170 method. The Mie theory is most appropriate solution to get the radiation characteristics of isotropic 171 spherical particles, not approximate solution, which has been demonstrated in many studies (Xingcai and 172 Kun, 2018). Therefore, the Mie theory was adopted to obtain extinction factor, absorption factor, 173 scattering factor and scattering phase function of spherical nanoparticles in this research, as described in 174 Section 2.1. Then, according to the independent scattering theory and radiation characteristics of basic 175 fluid (consulted from reference (Tan et al., 2017)), the optical characteristics of nanofluids were obtained, 176 including extinction coefficient, absorption coefficient, scattering coefficient and scattering phase 177 function, as described in Section 2.2. Afterwards, in Section 2.3, a two-dimensional Monte Carlo method 178 was proposed to solve the radiation transfer equation of nanofluids in cuvette, using MATLAB.

Using Mie theory, four dimensionless variables, including the extinction factor (Q_{ext}), the absorption factor (Q_{abs}), the scattering factor (Q_{sca}) and the albedo (ω) of the particles, could be obtained by the Lorenz-Mie electromagnetic theory. The extinction factor is the sum of absorption factor and the scattering factor, and albedo of the particles is the rate of scattering factor to extinction factor.

183
$$Q_{ext} = \frac{2}{x^2} Re\left[\sum_{n=1}^{\infty} (2n+1)(a_n + b_n)\right]$$
(1)

184
$$Q_{sca} = \frac{2}{x^2} \sum_{n=1}^{\infty} (2n+1)(|a_n|^2 + |b_n|^2)$$
(2)

$$Q_{abs} = Q_{ext} - Q_{sca} \tag{3}$$

186
$$\omega = Q_{sca} / Q_{ext} \tag{4}$$

187
$$x = \pi D / \lambda \tag{5}$$

188 Where *Re* is the real part of complex number (dimensionless); *D* is the diameter of nanoparticles (nm); 189 λ is the incident wavelength (nm); *x* is size parameter of nanoparticles (dimensionless); *a_n* and *b_n* are the 190 scattering coefficients of theory (dimensionless). *a_n* and *b_n* can be calculated by Equation 6 and Equation 191 7, respectively,

192
$$a_n = \frac{\psi'_n(mx)\psi_n(x) - m\psi_n(mx)\psi'_n(x)}{\psi'_n(mx)\xi_n(x) - m\psi_n(mx)\xi'_n(x)}$$
(6)

193
$$b_n = \frac{m\psi'_n(mx)\psi_n(x) - \psi_n(mx)\psi'_n(x)}{m\psi'_n(mx)\xi_n(x) - \psi_n(mx)\xi'_n(x)}$$
(7)

194 where $\xi_n = \psi_n + i\chi_n$, and ψ_n , χ_n are Ricatti-Bessel function, coincided with Equations 8 and 9.

195

$$\psi_{n+1}(x) = \frac{2n+1}{x} \psi_n(x) - \psi_{n-1}(x) \\
\chi_{n+1}(x) = \frac{2n+1}{x} \chi_n(x) - \chi_{n-1}(x)$$
(8)

196
$$\psi_{-1}(x) = \cos x; \quad \psi_0(x) = \sin x$$

 $\chi_{-1}(x) = -\sin x; \quad \chi_0(x) = \cos x$ (9)

197 The Scattering phase function is a vital parameter for solving the radiation transfer equation, and can be198 obtain by the following equations,

(10)

199 $\Phi_p(\theta) = \frac{2(i_1 + i_2)}{x^2 Q_{sca}}$

200
$$i_1(\theta) = |S_1|^2; \quad i_2(\theta) = |S_2|^2$$
 (11)

201
$$S_{1}(\theta) = \sum_{n=1}^{\infty} \frac{2n+1}{n(n+1)} [a_{n}\pi_{n}(\cos\theta) + b_{n}\tau_{n}(\cos\theta)]$$
(12)

202
$$S_2(\theta) = \sum_{n=1}^{\infty} \frac{2n+1}{n(n+1)} \left[a_n \tau_n(\cos\theta) + b_n \pi_n(\cos\theta) \right]$$
(13)

203
$$\pi_n(\cos\theta) = \frac{dP_n(\cos\theta)}{d\cos\theta}$$
(14)

204
$$\tau_n(\cos\theta) = \frac{dP_n(\cos\theta)}{d\cos\theta}\cos\theta - \frac{d^2P_n(\cos\theta)}{d\cos^2\theta}\sin^2\theta$$
(15)

where θ is scattering angle (radian); i_1 and i_2 are non-dimensional polarized intensities; S_1 and S_2 are complex amplitude functions and directionally dependent function (dimensionless); P_n is the Legendre polynomial.

If nanoparticles are placed in water or other base fluid, the relative complex refraction index (m_r , dimensionless) and the relative size parameter (x_r , dimensionless) need to be used in the Mie theory, and they could be obtained by Equation 16 and 17,

211
$$m_r = \frac{n_p - ik_p}{n_f}$$
(16)

212
$$x_r = \frac{\pi D n_f}{\lambda}$$
(17)

where n_p , k_p and n_f are the refraction index of nanoparticle, the absorption index of nanoparticle and the refraction index of base fluid, respectively.

215 **2.2 Optical characteristic of nanofluids**

The optical characteristic of nanofluids is generally determined by the radiative characteristics of both base fluid and nanoparticle system. The extinction coefficient and absorption coefficient of nanofluids is calculated by summation those of base fluid and nanoparticle system (as described in Equations 18 and 19), respectively,

$$K_{ext} = K_{p,ext} + K_{f,ext}$$
(18)

$$K_{abs} = K_{p,abs} + K_{f,abs}$$
(19)

where K_{ext} $K_{p,ext}$ and $K_{f,ext}$ are extinction coefficient of nanofluids (in cm⁻¹), extinction coefficient of nanoparticle systems (in cm⁻¹) and extinction coefficient of base fluid (in cm⁻¹), and K_{abs} $K_{p,abs}$ and $K_{f,abs}$ are absorption coefficient of nanofluids (in cm⁻¹), absorption coefficient of nanoparticle systems (in cm⁻¹) and absorption coefficient of base fluid (in cm⁻¹).

Base fluid is often considered as no scattering medium, and therefore has neglectable scattering coefficient. The extinction coefficient of base fluid is actually the absorption coefficient ($K_{f,abs}$, cm⁻¹) of base fluid, which could be obtained from related reference (Tan et al., 2017).

The radiative characteristic of nanoparticle system is calculated by radiative characteristic of single nanoparticle. When the volume concentration of nanofluids is small, the scattering of nanoparticle systems can be treated as independent scattering (Cheng et al., 2016). The extinction coefficient, the scattering coefficient, the absorption coefficient and the scattering phase function ($\Phi(\theta)$, dimensionless) of nanoparticle systems, are weighted average values of different nanoparticles. In addition, the extinction coefficient of nanoparticles is the sum of absorption coefficient and scattering coefficient.

235
$$K_{p,ext} = \frac{\pi}{4} \sum_{i=1}^{n} D_i^2 N_i Q_{ext,i} = 1.5 \sum_{i=1}^{n} Q_{ext,i} \frac{f_{v,i}}{D_i}$$
(20)

236
$$K_{p,sca} = \frac{\pi}{4} \sum_{i=1}^{n} D_i^2 N_i Q_{sca,i} = 1.5 \sum_{i=1}^{n} Q_{sca,i} \frac{f_{v,i}}{D_i}$$
(21)

$$K_{p,abs} = K_{p,ext} - K_{p,sca}$$
(22)

238
$$\Phi(\theta) = \frac{1}{K_{p,sca}} \sum_{i=1}^{n} \frac{\pi}{4} D_i^2 N_i Q_{sca,i} \Phi_{p,i}(\theta)$$
(23)

where N_i (in m⁻³) is the nanoparticle density with diameter D_i (in nm), and $f_{\nu,i} = \pi D_i^3 N_i / 6$ (dimensionless) is the nanoparticle volume percentage with diameter D_i .

241 **2.3** Spectral transmittance of nanofluids in cuvette

Once the optical characteristic of nanofluids is given, the Monte Carlo method can be used to solve radiation transfer equations (Tan et al., 2017; Yi et al., 2014). The spectral radiation along the path s is affected by extinction effect of nanofluids, absorption effect of spectral blackbody radiation and scattering effect by nanoparticles, as shown in Equation 24,

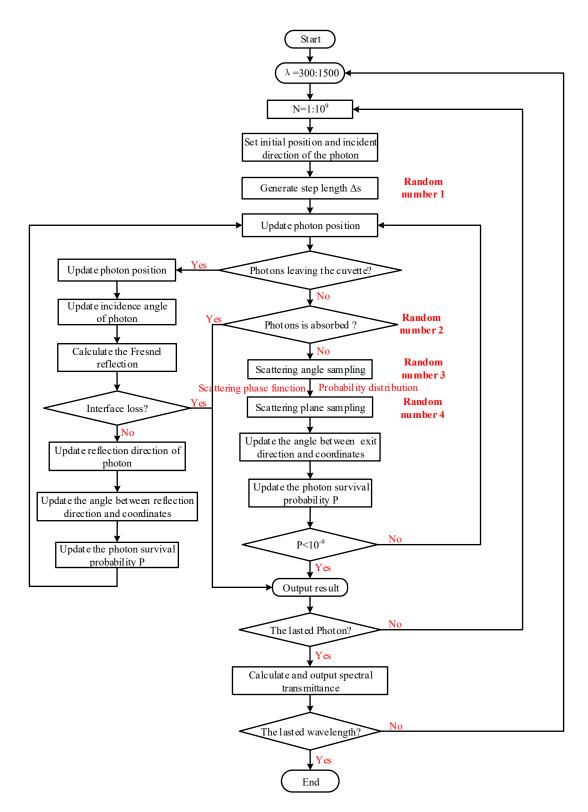
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$$\frac{dI_{\lambda}(s)}{ds} = -K_{ext}I_{\lambda}(s) + K_{abs}I_{b\lambda}(s) + \frac{K_{sca}}{4\pi}\int_{4\pi}I_{\lambda}(s,\vec{\Omega'})\Phi_{\lambda}(\vec{\Omega},\vec{\Omega'})d\Omega'$$
(24)

where I_{λ} is spectral radiation (in W·m⁻²·nm⁻¹) along the path s; $I_{b\lambda}$ is the spectral blackbody radiation (in W·m⁻²·nm⁻¹), Ω is the solid angle (in radian) and Φ_{λ} is the spectral scattering phase function (dimensionless).

250 The extinction coefficient of nanofluids could be obtained from Section 2.2, and the absorption of 251 spectral blackbody radiation is neglectable at low temperature. According to reference (Tan et al., 2017), 252 the scattering effect among nanoparticles should not be neglected, especially at high albedos. As depicted 253 in Figure 3, the spectral transmittance with an incident wavelength, is the ratio of number of photons 254 reaching detectors to the total number of photons (using $N=10^9$ here, according to existing studies (Yi et 255 al., 2014)). When one photon passes through nanofluids, it will be either absorbed or scattered. The free 256 path length (step length Δs) depends on the extinction coefficient of nanofluids, as defined by Equation 257 25, where ξ_1 is a random number between 0 and 1, following uniform distribution.

$$\Delta s = -\frac{ln(\xi_1)}{K_{ext}}$$
(25)

259 When a photon reaches the surface of a nanoparticle, another random number ξ_2 between 0 and 1 260 (uniform distribution), will also be generated to determine whether that photon will be absorbed or 261 scattered (if ξ_2 is less than the albedo ($\boldsymbol{\omega}$) of nanoparticles, the photon would be scattered, otherwise it 262 would be absorbed).





264

Figure 3: A 2D-Monte Carlo method to estimate spectral transmittance of nanofluids

For a three-dimensional Monte Carlo method, if one photon is scattered, two angles ($\boldsymbol{\theta}$ and $\boldsymbol{\varphi}$) would be determined to specify its direction, using another two random number (ξ_3 and ξ_4) between 0 and 1,

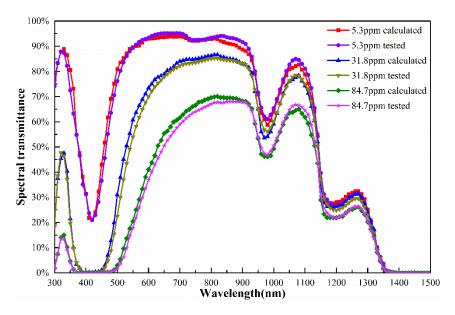
267 based on the scattering phase function of nanofluids. According to the definition of scattering phase

function, is complies with probability density function, ranging between 0 and π . Once ξ_3 is confirmed, the scattering angle θ can be obtained by Equation 26, and the scattering plane angle φ is a random value between 0 and 2π , determined by ξ_4 .

271
$$P_{\lambda}(\theta) = \int_{0}^{\theta} \frac{1}{2} \Phi(\theta) \sin(\theta) \ d\theta = \xi_{3} \qquad \theta \le P_{\lambda}(\theta) \le 1$$
(26)

272 The coordinates of photons in 3D Monte Carlo method needs to be updated frequently in the cartesian 273 coordinate system, so as to judge whether they leave the cuvette or not. Therefore, this method is time-274 consuming and not very efficient. To simplify the computational procedure, a two-dimensional Monte 275 Carlo method was adopted for calculating the spectral transmittance of nanofluids in cuvette. For a sphere 276 nanoparticle with given scattering angle (θ) , the probability of one photon leaving from an arbitrary scattering plane is the same. If ξ_4 is less than 0.5, θ is a negative value. Otherwise, θ is a positive 277 278 value. Then, the position of one photon after each scattering, could be obtained by an iterative calculation 279 of scattering angle (θ) in the two-dimensional plane. Additionally, if the survival probability of a photon 280 (P) is less than 10⁻⁹, the photon would not be traced. It should be noted that, Fresnel reflection loss should 281 be considered when a photon arrives at the surface of optical glasses.

2823. Model Validation



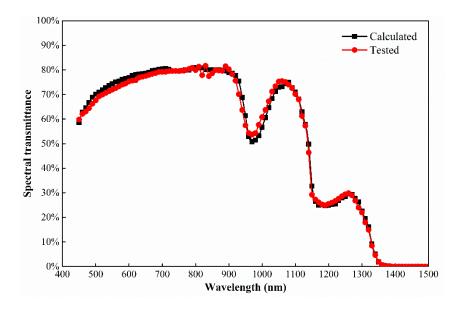


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Figure 4: Model validation results for Ag-water nanofluids (Han et al., 2019a)

To verify the feasibility of the 2D-Monte Carlo method developed here, the spectral transmittances of Ag/water nanofluids with different mass fractions, as proposed by reference (Han et al., 2019a), were used. In the validation process, the average radius of silver nanoparticles was set as 25nm, the refractive
index of environment medium was set as 1.33, and the complex refractive index of wavelengths between
300nm and 1500nm were obtained from reference (McPeak et al., 2015). Figure 4 depicted the calculated
directional–directional spectral transmittances for 10mm optical thickness by the 2D-Monte Carlo
method, and compared them with the experimental results from reference (Han et al., 2019a). Apparently,
a good agreement between them was observed, with mean relative errors of 2.26%, 3.20% and 3.92%,
for 5.3ppm, 31.8ppm and 84.7ppm, respectively.

In addition to Ag-water nanofluids, the spectral transmittance of ZnO nanofluids with high albedo (average diameter of 10nm, mass fraction of 0.02% and path thickness of 10mm), was calculated as well, and compared with experimental data available in reference (Zhu et al., 2013). As shown in Figure 5, the calculated values showed a good match with the experimental values, with an average relative error of 2.66%.





300

Figure 5: Model validation results for ZnO-water nanofluids (Zhu et al., 2013)

301 4. Optical regulating characteristics of nanofluids

302 Before discussing the performance of PV/T systems, the optical regulating characteristics of nanofluids

- 303 should be understood based on two aspects, namely, the radiation characteristics of single nanoparticles
- and the spectral transmittance of Ag nanofluids, as discussed in Sections 4.1 and 4.2.

305 4.1 The effect of nanoparticle parameters on radiation characteristic

The optical properties of nanofluids are determined by the radiation characteristic of the constituent nanoparticles. Therefore, it is necessary to discuss the radiation characteristic of single nanoparticle, using the method described in Section 2.1.

309 Due to weak absorption within the infrared region, Figure 6 only depicted the effect of particle radius on 310 the extinction factor of single nanoparticle within the UV-visible region. As incident wavelength 311 increased, the extinction factor increased first and then started to decrease until zero. With the increase 312 of particle size, the absorption peak of nanoparticles gradually started to linearly shift to the infrared 313 region, and the extinction factor at absorption peak decreased, mainly due to the surface plasmon 314 resonance effect influenced by particle size (Félidj et al., 2008), as confirmed in references (Jain et al., 315 2006; Lee and El-Sayed, 2005; Ren et al., 2017). It is an effective way to solve the problem of directional 316 spectrum absorption for solar cells by regulating particle size of nanoparticles to transfer the absorption 317 peak. Although absorption peak achieved linear migration, the absorption range of particles became 318 wider, which may hinder the suitable spectral transmittance for solar cells. Albedo increased as particle 319 size rose at all wavelength, and this will also affect the transmittance of nanofluids (Tan et al., 2017).

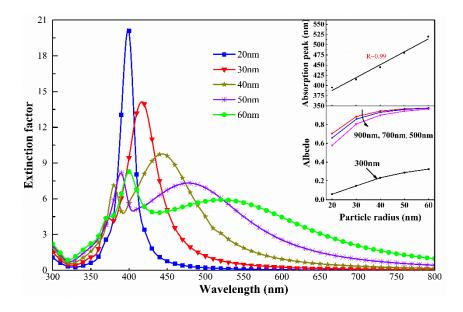


Figure 6: The effect of particle radius on extinction factor of single nanoparticle with refractive index
of the environment medium 1.33

320

For a sphere Ag nanoparticle, its radiative characteristic is determined not only by particle radius, but also by the refractive index of base fluids. The refractive index of environment medium is a direct factor

325 affecting the complex refractive index of nanoparticles in the process of radiation transfer. As shown in 326 Figure 7, the extinction factor was less affected by the refractive index of the environment medium, but 327 the absorption peak linearly moved from 360nm to 510nm when the refractive index of the environment 328 medium increased from 1 to 2, supported by results from reference (Lee and El-Sayed, 2005). The 329 environment medium may change the propagation speed of light, and the incident characteristics on the 330 surface of nanoparticles, affecting the plasma wave inside the metal and making the surface plasmon 331 resonance peak shift. Although increased refractive index of environmental medium would also regulate 332 the spectral absorption range of nanoparticles, the change seemed to be much smaller than that of particle 333 size. Similar to nanoparticles size, the albedo also increased with rising refractive index of base fluids at 334 all wavelength, and followed a nearly linear trend.

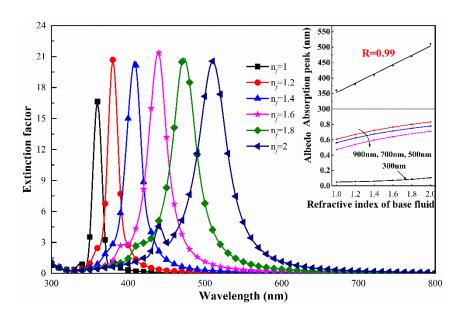


Figure 7: The effect from the refractive index of base fluids on the extinction factor of single
nanoparticle for particle radius of 20nm

338 4.2 The effect of environmental parameters on spectral transmittance

In addition to the effects from nanoparticles' size and refractive index of base fluids, as discussed above,
 environmental parameters, namely, volume concentration and optical thickness, may also have decisive

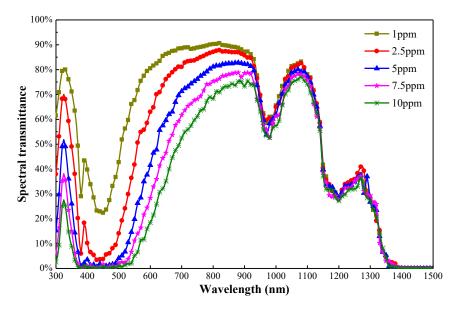
341 impact on the spectral transmittance of nanofluids. This section tried to justify this using the 2D-Monte

342 Carlo method developed in this study.

335

- 343 Figure 8 present the effect of the volume concentration on the spectral transmittance, using nanoparticles
- 344 with the radius of 40nm. For wavelength between 300nm and 900nm, the changing rule of nanofluids'

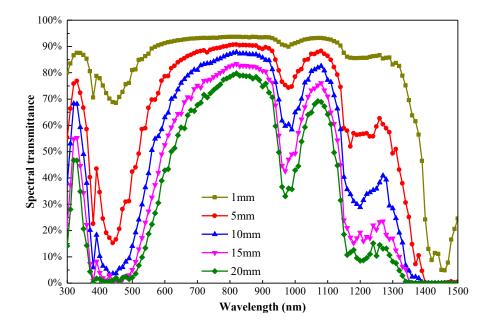
345 spectral transmittance agreed with the extinction factor of single nanoparticle shown in Figure 6. When 346 longer than 900nm, however, the extinction coefficient of basic fluid (water) occupied the dominant role 347 of the extinction coefficient of nanofluids, and the spectral transmittance of nanofluids was equalled to 348 the actually spectral transmittance of water. With increased volume concentration, the spectral 349 transmittance reduced conspicuous, especially around the absorption peak (450nm), due to decreased 350 distance between nanoparticles. There was a high probability that photons were absorbed or scattered by 351 particles when passing through the fluid, leading to the reduced transmittance. For solar cells with ideal 352 windows, Ag-water nanofluids with 1ppm concentration would not be feasible owing to its high spectral 353 transmittance between 300nm and 700nm, resulting in more generated heat and higher cell temperature. 354 Ag-water nanofluids with 5ppm, 7.5ppm and 10ppm, were not suitable either, because of their low 355 spectral transmittance between 700nm and 900nm, resulting in less generated electricity. Through the 356 comparison, Ag-water nanofluids with 2.5ppm could be the best plan for solar cells, due to its low 357 transmittance between 400nm and 600nm to achieve low cell temperature, as well as its high 358 transmittance between 700nm and 1100nm to gain high electricity output.





360 Figure 8: The effect of volume concentration on spectral using nanoparticles with radius of 40nm

As depicted in Figure 9, the spectral transmittance of nanofluids decreased with increased optical thickness, especially for those wavelengths with high extinction coefficient. The Lambert-Beer law indicates that the spectral transmittance of no scattering fluids is negatively correlated with optical thickness, as justified in references (Abdelrazik et al., 2019; Li et al., 2017). For solar cells, higher volume concentration of nanofluids was needed for 1mm optical thickness, which requires higher investment on nanoparticles. Therefore, for same spectral transmittance, a 10mm optical thickness for nanofluids may be suitable for solar cells, due to lower volume concentration for low investment. Since the spectral transmittance was more sensitive to optical thickness, it may be more efficient to adjust optical thickness rather than volume concentration. Of course, the selected optical thickness should be within a reasonable range. Additionally, nanofluids with small volume concentrations will also give reduced agglomeration (Bianco et al., 2015).



372

373 Figure 9: The effect of optical thickness on spectral transmittance using nanoparticles with radius 40nm

374 5. The performance evaluation of PV/T systems

375 After discussing the optical regulation characteristics of Ag nanofluids, the performance of PV/T systems

376 with the optimized Ag nanofluids were demonstrated in this section. To perform the demonstration, two

377 mathematical models, including an electrical model and a thermal model, were proposed and validated

in Section 5.1 and Section 5.2, respectively.

379 5.1 Mathematical models for solar cells

- 380 When nanofluids are used as optical filters for solar cells, two parts of energy need to be considered. One
- is the electrical energy generated from the cells, and another is the heat energy absorbed by nanofluids.
- 382 5.1.1 Electrical model
- 383 The electrical efficiency (η_{el} , dimensionless) of solar cells is mainly dependent on short circuit current

 $(I_{sc}, \text{ in mA})$, open circuit voltage ($V_{oc}, \text{ in V}$), fill factor (FF, dimensionless) and incident solar radiation (G, in W·m⁻²·nm⁻¹), as defined by Equation 27, with fill factor always within 0.7 and 0.83 (Green et al., 2014, 2015).

387
$$\eta_{el} = \frac{I_{sc}V_{oc}FF}{G}$$
(27)

The short circuit current is generated by incident solar radiation, spectral transmittance (τ_{λ} , dimensionless) and spectral response (SR, A·W⁻¹) (Hjerrild et al., 2016), while the open circuit voltage is affected by short circuit current, dark saturation current (I_{θ} , in mA) and cell temperature (T_{cell} , in °C), as depicted with Equations 28 and 29.

392
$$I_{sc} = \int_{300}^{4000} G(\lambda) \tau_{\lambda}(\lambda) SR(\lambda) \, d\lambda$$
(28)

393
$$V_{oc} = \frac{A'k_b T_{cell}}{e} ln(\frac{I_{sc}}{I_0} + 1)$$
(29)

where *A*' is 0.99 for Si cells and 1.1 for GaAs cells (Hassani et al., 2016), *K_b* is Boltzmann constant, 1.38×10⁻²³ J/K, *e* is electron charge, 1.6021×10⁻¹⁹ C, and *C* is solar concentration ratio.

Equation 30 can be used to evaluate open circuit voltage when cell temperature is higher than 25°C
(Fudholi et al., 2014). The dark saturation current can be obtained by Equation 32,

398
$$V_{oc} = V_{oc}' \left(1 - \beta \left(T_{cell} - T_{cell}' \right) \right)$$
(30)

$$V_{oc} = \frac{A'k_b T_{cell}}{e} ln(\frac{CI_{sc}}{I_0} + 1)$$
(31)

400
$$I_0 = K' T_{cell}^{\frac{3}{2}} exp(\frac{-E_g}{mk_b T_{cell}})$$
(32)

401 where \mathbf{T}_{cell}' is 25°C, \mathbf{V}_{oc}' is open circuit voltage at 25°C, $\boldsymbol{\beta}$ is 0.0045K⁻¹, \mathbf{K}' , \mathbf{m} and \mathbf{n} are empirical 402 constants available in reference (Fan, 1986). The fill factor can be calculated by Equation 33, with V_m as 403 the voltage at the maximum power point (in V) and \mathbf{k} within 0.7 and 0.8 (Hassani et al., 2016).

404
$$FF = \frac{V_m}{V_{oc}} \left[1 - \frac{exp(\frac{eV_m}{k_b T_{cell}}) - 1}{exp(\frac{eV_{oc}}{k_b T_{cell}}) - 1} \right]$$
(33)

$$V_m = k V_{oc} \tag{34}$$

406 **5.1.2 Thermal model**

When solar radiation passes through nanofluids and arrives on the surface of solar cells, the total energy could be divided into three parts: 1) energy absorbed by nanofluids due to extinction effect; 2) energy converted into electricity by solar cells, and 3) energy heating solar cells. For a PV/T system, the overall thermal efficiency (η_{th}) can be defined as the ratio of the first part energy to the incident solar radiation, as defined by Equation 35. However, due to Fresnel losses and absorption of optical glasses, the overall thermal efficiency can only be up to 67% of the theoretical value (η_{th}) (Han et al., 2019b).

413
$$\eta_{th} = \frac{\int_{280}^{4000} G(\lambda)(1 - \tau_{\lambda}(\lambda))d\lambda}{G}$$
(35)

When PV/T systems reach steady-state condition, the third part of energy keeps balance, meaning that the energy obtained from sun is equals to the heat loss to the ambient environment. This part of heat is consisted of convection heat loss and radiation heat loss, with convection heat loss calculated by Equation 36 and radiation heat loss calculated by Stefan-Boltzmann law (Equation 37),

$$Q_h = hA(T_{cell} - T_a) \tag{36}$$

419
$$Q_r = \varepsilon \sigma (T_{cell}^4 - T_{sky}^4)$$
(37)

420 where T_{cell} , T_a and T_{sky} are sky temperature, ambient temperature and cell temperature (K), h is convective 421 heat transfer coefficient (in W/(m²·K)), A is heat transfer area (in m²), ε is surface emissivity of object 422 (dimensionless), and σ is the Boltzmann constant (5.67×10⁻⁸ W·m⁻²·K⁻⁴) (Siegel and Howell, 2002).

423 **5.2** Validating the electrical model and the thermal model

Before investigating the performance of PV/T systems with nanofluids, the accuracy of both mathematical models developed in Section 5.1, needed to be validated with reference (Han et al., 2019b). Under standard test conditions (with cell temperature of 25° C, solar radiation of 1000W/m² at AM 1.5, effective area of Si cell of 2cm×2cm), short circuit current and open circuit voltage calculated by mathematical models were 126.6mA and 0.62V, while these given by manufacturer were 134mA and 0.6V, respectively (Han et al., 2019b). Table 1 listed both calculated values and tested values, and a good match was observed, with calculation errors less than 0.7%.

431 Table 1 Comparison between calculated value and experimental value (Han et al., 2019b)

Solar cells	Short circuit current (<i>Isc</i>)		Electrical efficiency (η_{el})	
Solar cens	Tested	Calculated	Tested	Calculated
Si cell only	32.2	31.65	15.7%	15.64%
Si cell with water above	31.1	29.77	15.2%	14.68%
GaAs cell only	15.5	15.34	12.0%	11.41%
GaAs cell with water above	15.1	15.03	10.5%	11.17%

432 **5.3** Performance of PV/T systems with optimal nanofluids

When the nanofluid is located on the top of solar cells, it will inevitably reduce electrical efficiency and
boost thermal efficiency of solar cell. To sufficiently evaluate the performance of a whole PV/T system,
the ratio of electricity to thermal energy was assumed as 3, according to reference (Han et al., 2019b).
Therefore, the merit function of solar cells is defined by Equation 38.

437
$$MF = \frac{w \cdot P_{el} + P_{th}}{w \cdot P_{el}(solar \ cell \ only)}$$
(38)

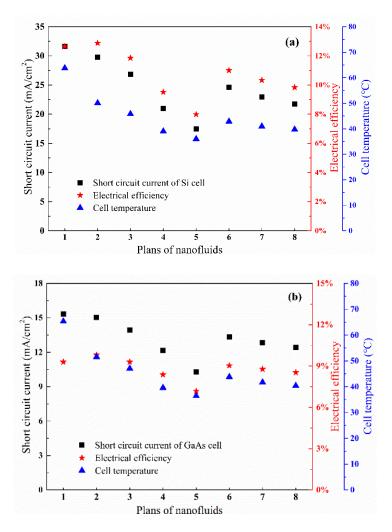
438 In this study, eight scenarios have been tested in terms of the performance of PV/T systems, as defined

439 in Table 2, with volume concentration of 2.5ppm and optical thickness of 10mm.

No.	Nanoparticles	Base fluid	Volume concentration	Optical thickness
1				
2		Water		10mm
3	Ag 20nm	Water	2.5ppm	10mm
4	Ag 40nm	Water	2.5ppm	10mm
5	Ag 50nm	Water	2.5ppm	10mm
6	Ag (20nm+40nm, 8:2)	Water	2.5ppm	10mm
7	Ag (20nm+40nm, 5:5)	Water	2.5ppm	10mm
8	Ag (20nm+40nm, 2:8)	Water	2.5ppm	10mm

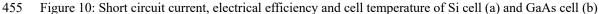
440 Table 2: Eight scenarios to investigate the performance of solar cells with and without nanofluids

441 The short circuit current, electrical efficiency and cell temperature of the Si cell and the GaAs cell for 442 different nanofluids at steady state (convective heat transfer coefficient is $15W/(m^2 \cdot K)$) were depicted as 443 Figure 10. Existing literature (Duffie and Beckman, 2013) has already proven that the short circuit current 444 of solar cells has a linear relationship with the incident solar radiation, but no relationship with the cell 445 temperature. If the nanofluid is placed on the surface of solar cells, some energy will be absorbed by 446 nanofluids depending on the spectral transmittance, and then the incident solar radiation on solar cells 447 will be reduced, resulting in smaller short circuit current. However, increased cell temperature had a 448 negative influence on the open circuit voltage, and then affected the electrical efficiency of solar cells. 449 The cell temperature of solar cells without filters (Scenario 1) increased by 38.8°C for the Si cell and 450 40.3°C for the GaAs cell, and electrical efficiency decreased by 3% for Si cell and 2.11% for GaAs cell, 451 and this result was supported by reference (Al-Waeli et al., 2018). Therefore, the issue of lower electrical 452 efficiency caused by high cell temperature must be considered seriously (Zhang et al., 2020a).



453

454

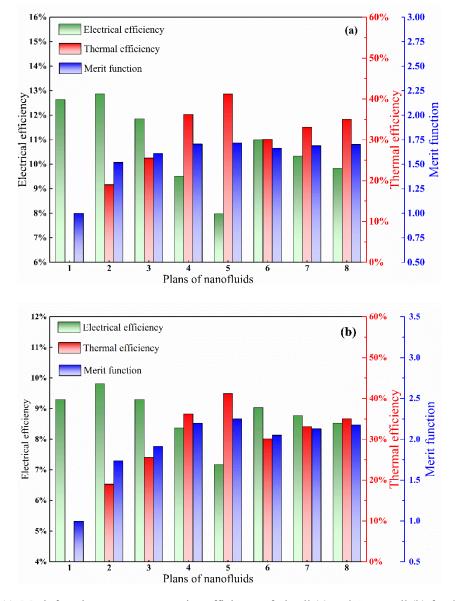


456 As described in Section 4.1, the Ag/water nanofluid with radius of 50nm was not a suitable optical filter, 457 due to its large absorption range, resulting in reduced spectral transmittance in the visible region and 458 decreased incident solar radiation on the surface of solar cells. The short circuit current and electrical 459 efficiency were 17.47mA/cm² and 7.99% for the Si cell, and 10.29 mA/cm² and 7.17% for the GaAs cell. 460 Due to the different spectral response ranges of the Si cell and the GaAs cell, their ranges of directional 461 regulation were different as well (Han et al., 2019b). Although the ideal PV window for silicon cells is 462 700nm to 1100nm, the spectral response between 500nm and 700nm is still high. Owing to higher 463 extinction factor, the absorbing ability of Ag/water nanofluids with radius of 40nm, was higher than that 464 with radius of 20nm during the spectrum of 500nm-700nm, resulting in less solar energy to response and 465 reduced electrical efficiency.

466 In contrast, due to the small spectral response range of the GaAs cell, only the directional transmission 467 for spectral energy of 700nm-900nm was required to achieve efficient operation of solar cell (Han et al., 468 2019b). Even so, an Ag/water nanofluid with radius of 40nm still decreases electrical efficiency, but with 469 smaller range. Detailedly, the electrical efficiency of Si cell was 11.85% for 20nm Ag/water nanofluids 470 and 9.52% for 40nm Ag/water nanofluids. For the GaAs cell, it was 9.30% for 20nm Ag/water nanofluids 471 and 8.37% for 40nm Ag/water nanofluids, respectively. Scenarios 6, 7 and 8 were blended nanofluids 472 mixed with 20nm and 40nm Ag nanoparticles, and these performances of PV/T systems were located 473 between the performance of Scenario 3 and Scenario 4. In general, 20nm Ag/water nanofluids was a 474 positive solution for both Si cells and GaAs cells to achieve better electrical efficiency.

475 After the active absorption by nanofluids, the recycle of waste heat should be considered. The spectral 476 directional absorption of nanofluids will play a positive role to evaluate the performance of PV/T systems, 477 and the heat would boost the overall efficiency of PV/T systems. As shown in Figure 11, the solution 478 with 50nm Ag/water nanofluids gave the highest thermal efficiency for both the Si cell and the GaAs 479 cell, due to higher spectral absorption in the visible region. However, electrical efficiency with 50nm 480 Ag/water nanofluids was the lowest, and corresponding MF value was the lowest with considering worth 481 factor (Higher quality energy for electricity). Consistent with the above analysis, 20nm or 20/40nm (8:2) 482 Ag/water nanofluids were optimal solutions for both the Si cell and the GaAs cell as well, with MF values 483 of 1.61, 1.66 for the Si cell and 1.92, 2.05 for the GaAs cell, respectively. It should be noted that solar 484 cells with pure water, i.e. no nanofluids, showed excellent performance, owing to complete absorption

by water in infrared region (no less than 1400nm) and almost complete transmission in UV-visible band, but with low MF values. References have also reported that there was lower electricity yield for PV/T systems with nanofluids than that with pure water (Crisostomo et al., 2015), and PV modules only was regarded as highest electrical efficiency (Hjerrild et al., 2016). Different nanofluid solutions can meet various user needs. That is, if the demand for electric power was small, 50 nm Ag nanofluids can be used; if the demand for electric power was high, pure water or 20 nm Ag nanofluids can be used directly.





491

493 Figure 11: Merit function, energy conversion efficiency of Si cell (a) and GaAs cell (b) for different
 494 nanofluids at steady state

495 **6.** Conclusion

496 The energy not match solar cells, will not generate electric energy, but will form thermal accumulation

497 on the surface of PV modules, thus reducing electrical efficiency. To realize directional absorption of 498 energy not responding to solar cells and boost the overall efficiency of PV/T systems, optical regulating 499 characteristic of Ag nanofluids were investigated using a 2D-Monte Carlo method. Meanwhile, the 500 output performance of PV/T systems with optimal Ag nanofluids were discussed. Main conclusions from 501 this study were listed as followings:

- 502 A 2D-Monte Carlo method was developed and validated to estimate spectral transmittance of 503 nanofluids, with an average relative error less than 3.92% for different mass fractions. When the 504 radius of Ag spherical nanoparticles increased from 20nm to 60nm, the absorption peak of 505 nanoparticles showed a linear shift from 395nm to 520nm, and the effect of the refractive index was 506 similar to that of particle radius.
- 507 > Both volume concentration and optical thickness of nanofluids were negative factors to the spectral
- transmittance, leading to lower electrical efficiency but higher thermal efficiency. Ag nanofluids,
- 509 with particle radius of 20nm or 20/40nm (8:2), volume concentration of 2.5ppm and optical path of
- 510 10mm, were optimal solutions for both Si cells and GaAs cells, with electrical efficiencies and MF
- 511 values of 11.85% and 1.61, 11.0% and 1.66 for Si cells, and 9.30% and 1.92, 9.03% and 2.05 for
- 512 GaAs cells, respectively.

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