

# An investigation on the attenuation effect of air pollution on regional solar radiation

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**Abstract:** Due to the continuous increase of environmental pollution in recent years, the high concentration of particulate matters in air has greatly reduced the amount of solar radiation that can reach the earth, and this reduction has a direct effect on the use of solar energy in buildings. To quantify this attenuation effect, historical meteorological data collected from five regions, namely, Beijing, Tianjin, Jinan, Xi'an and Zhengzhou, in China from 2014 to 2016 were used to investigate the correlation between clearness index (reflecting available radiation) and air quality index (reflecting pollution level). The analysis results have revealed that higher air quality index would result in lower clearness index, and the sunny days gave higher decreasing rate than cloudy days. For all five regions, their monthly clearness index attenuation showed higher values in winter than that in summer. The monthly solar radiation attenuation, however, showed an opposite trend, due to higher solar altitude in summer. Additionally, different regions had different annual solar radiation attenuation ratio, with Tianjin giving the highest of 6.56% (651.17MJ/m<sup>2</sup>), followed by Beijing (3.92%, 410.08MJ/m<sup>2</sup>), Xi'an (4.94%, 510.42MJ/m<sup>2</sup>), Zhengzhou (3.99%, 427.64MJ/m<sup>2</sup>) and Jinan (2.69%, 284.66MJ/m<sup>2</sup>).

**Keywords:** air pollution, air quality index, particulate matters, solar radiation attenuation

24 **Nomenclature**

- 25 ***a*** Slope of fitting linear function (dimensionless)
- 26  **$|a|$**  Attenuation coefficient or absolute value of ***a*** (dimensionless)
- 27 ***AQI*** Air quality index (dimensionless)
- 28 ***b*** Intercept of fitting linear function (dimensionless)
- 29 ***BP<sub>Hi</sub>*** High-value of the concentration limit value close to  $C_p$  ( $\mu\text{g}/\text{m}^3$  or  $\text{mg}/\text{m}^3$ )
- 30 ***BP<sub>Lo</sub>*** Low-value of the concentration limit value close to  $C_p$  ( $\mu\text{g}/\text{m}^3$  or  $\text{mg}/\text{m}^3$ )
- 31  **$C_p$**  Mass concentration of pollutant P ( $\mu\text{g}/\text{m}^3$  or  $\text{mg}/\text{m}^3$ )
- 32 ***G*** Horizontal daily global solar radiation on the ground ( $\text{MJ}/\text{m}^2$ )
- 33  **$G_0$**  Extraterrestrial horizontal daily global solar radiation ( $\text{MJ}/\text{m}^2$ )
- 34  **$G_m$**  Monthly solar radiation attenuation ( $\text{MJ}/\text{m}^2$ )
- 35 ***I<sub>sc</sub>*** Solar constant ( $1367 \text{ W}/\text{m}^2$ )
- 36 ***IAQI<sub>p</sub>*** air quality subindex of pollutant P (dimensionless)
- 37 ***IAQI<sub>Hi</sub>*** Individual air quality index of ***BP<sub>Hi</sub>*** (dimensionless)
- 38 ***IAQI<sub>Lo</sub>*** Individual air quality index of ***BP<sub>Lo</sub>*** (dimensionless)
- 39  **$K_d$**  Daily clearness index attenuation ( $\text{MJ}/\text{m}^2$ )
- 40  **$K_m$**  Monthly clearness index attenuation ( $\text{MJ}/\text{m}^2$ )
- 41 ***MAPE*** Mean absolute percent error  $\frac{\sum_1^n \left| \frac{c_i - m_i}{m_i} \right|}{n}$  (dimensionless)
- 42 ***n*** Days of a month (dimensionless)
- 43 ***RMSE*** Root mean squared error  $\sqrt{\frac{\sum_1^n (c_i - m_i)^2}{n}}$  (dimensionless)
- 44 ***S*** Daily sunshine duration (hour)
- 45  **$S_0$**  Daily maximum possible sunshine duration (hour)
- 46  **$\delta$**  Solar declination (radian)
- 47  **$\omega_s$**  Sunset hour angle (radian)

48 **1. Introduction**

49 With the continuous growth of global population, traditional fossil energy has become insufficient to  
50 meet people's living requirements. To achieve sustainable development, it is urgent to promote the use  
51 of renewable energy [1-3]. Solar energy has become a popular renewable energy for building applications,  
52 due to their advantages like wide distribution, large reservation, free of pollution [4]. It has captured great  
53 attentions of researchers in the world, studying the transmission, conversion and utilization of solar  
54 energy [1, 5]. In 2018, the global capacity of solar power has reached 402GW, according to the  
55 "Renewables Energy 2018 - Global Status Report" [6], with China, United States and Japan, ranked as  
56 the top three countries adopting solar PV power generation.

57 In the past two decades, the utilization of solar energy has been developed rapidly, and more attention  
58 has been paid to solar energy conversion efficiency, including electrical efficiency, thermal efficiency  
59 and exergy efficiency [7, 8]. For conversion efficiency of solar energy systems, many factors have been  
60 identified as influential, such as photovoltaic module temperature [9], dust accumulation [10], ambient  
61 temperature and wind speed [11]. Wang et al. [12] have investigated the influences of ambient  
62 temperature, cloud amount, precipitation, altitude and wind speed on the conversion efficiency of solar  
63 energy systems, and their results demonstrated that ambient temperature was the most important factor.  
64 Precipitation and wind speed, however, showed little effect. Soltani [13] experimentally compared five  
65 cooling technologies for solar photovoltaic panels, including natural cooling, water cooling, forced air  
66 cooling, SiO<sub>2</sub>/water and Fe<sub>3</sub>O<sub>4</sub>/water nanofluid cooling. The study results demonstrated that SiO<sub>2</sub>/water  
67 nanofluids had the highest power output and efficiency. Comparing to natural cooling, which gave the  
68 lowest power output and efficiency, the power output increased by 54.29% and the efficiency increased  
69 by 3.35%. Mohammad [14] has investigated the correlation between environmental factors, namely, solar  
70 radiation, mass flow rate and dust accumulation, and performance indicators of photovoltaic modules,  
71 namely solar cell temperature, output power and electrical efficiency. The results revealed that electrical  
72 efficiency decreased 0.22% with every degree increase of solar cell temperature. Additionally, when solar  
73 radiation increased by 100W/m<sup>2</sup>, energy output increased by 3.14W, with cell temperature raised by  
74 3.82°C and electrical efficiency decreased by 0.85%. Gas molecules and particulate matters in the air  
75 may also affect the efficiency of solar energy systems, due to their selective ability of absorbing and  
76 scattering solar radiation [15]. Therefore, the level of air pollution onsite may also need to be considered

77 when deciding the capacity of solar energy systems.

78 With the fast urbanization and industrialization in China, the transition of environmental emissions has  
79 led to serious air quality issues [16, 17], which seriously hinder the transmission and transformation of  
80 solar radiation [18, 19]. Some researchers, therefore, have investigated the correlation between air  
81 pollution and solar radiation. Liu et al. [20] have suggested that aerosol index (AI) had a strong linear  
82 relationship with solar radiation attenuation, and additionally when predicting the power of photovoltaic  
83 power generation, the inclusion of AI would significantly help to improve prediction accuracy. Based on  
84 historical data from 38 cities in China, Wang et al. [21] have discussed the impact of air pollution index  
85 (API) on sunshine duration, where they pointed out that increase of API would decrease sunshine  
86 duration. Zhao et al. [22] reported that aerosols played an important role in global solar radiation  
87 estimation, especially in heavily polluted regions. Fang et al. [23] evaluated the influence of air pollution  
88 on both global and diffuse solar radiations by support vector machine, and suggested that PM<sub>2.5</sub>, PM<sub>10</sub>  
89 and O<sub>3</sub> were key parameters.

90 The utilization of solar energy is almost dependent on the solar radiation received on the ground, so it is  
91 necessary to investigate the attenuation effect of air pollution on regional solar radiation. Although  
92 existing literature has proven that air pollution was strongly correlated with solar radiation, the impact  
93 of air pollution on solar radiation has not been quantitatively investigated. In a previous work done by  
94 the authors, the principal component analysis method has been used to identify the variation law of  
95 clearness index with air quality index, but for clear days only, with corresponding attenuation models  
96 presented [18]. In real case, however, the weather condition is very complicated, with weather like clear  
97 days, cloudy days and even rainy days. Therefore, more work is definitely needed to cover broader  
98 climatic conditions. Based on a weather classification method proposed in this study, the impact of air  
99 pollution on the attenuation of solar radiation in different weather conditions was explored.

## 100 **2. Methodology**

101 According to the "*Report on remote sensing monitoring of China sustainable development*", which was  
102 issued by the Chinese Academy of Sciences in 2016 [24], air pollution in China is very serious in the  
103 North Plain and Sichuan Basin of China, as shown in Figure 1.

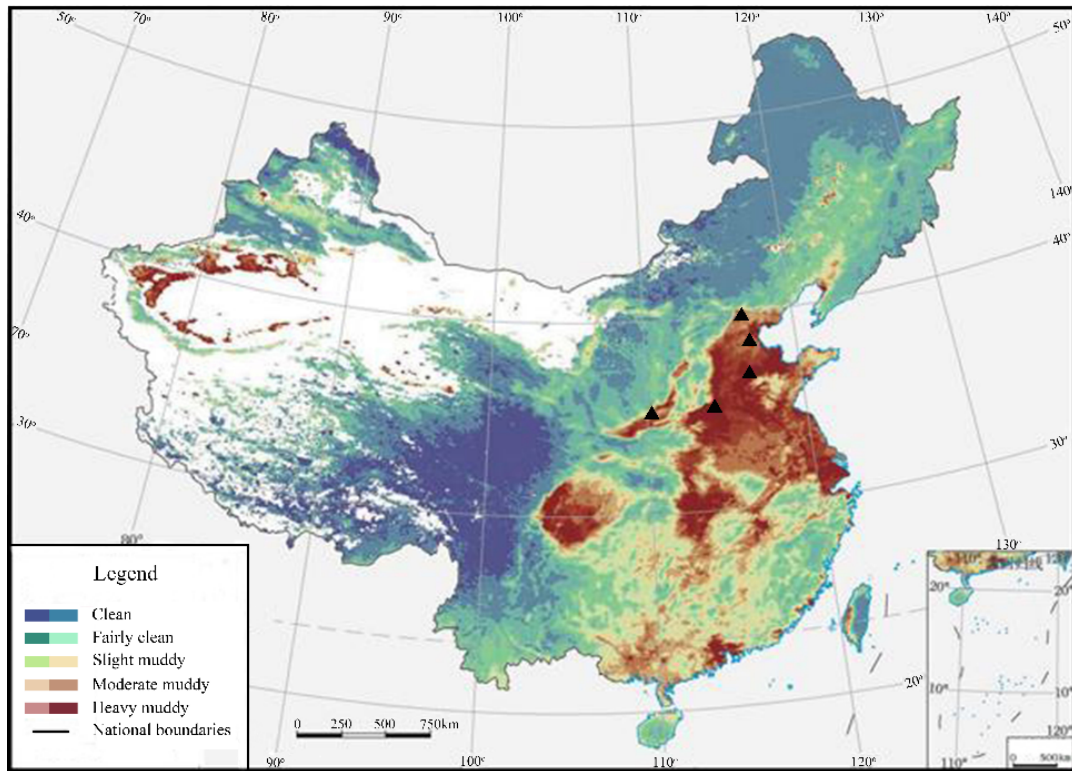


Figure 1 2010-2015 air quality distribution of China [24]

## 2.1 Method

To evaluate the attenuation effect in different weather conditions, a weather classification method is proposed in this study, using both weather factor and sunshine factor. In the method developed here, the weather levels are determined by upper atmosphere, not including particulate matters near the ground. Historical weather is recorded on the basis of cloud and rainfall, for the whole day not only on daytime, which is a rough indicator reflecting weather conditions in daytime. Meanwhile, sunshine percentage is a common indicator estimating weather levels during daytime, but collected on the ground, including the effect of particulate matters. Therefore, sunshine percentage can not be used as a critical index for judging weather levels in daytime. In this study, historical weather (called weather factor) and sunshine percentage (called sunshine factor) are combined to determine weather levels. In order to reflect weather factor (as leading role) and sunshine factor (as modified role) for evaluating historical weather levels in daytime, the weight of these two factors are given as 0.6 and 0.4 respectively, according to votes given by seven professors in solar energy research.

The classification of historical weather levels is listed in Table 1, and similar weather can be classified according to the corresponding grades.

Table 1 Historical weather levels classification

Weather levels	Description	Historical weather
Level 1	Clear days	Sunny
Level 2	Partly sunny	Sunny to cloudy and partly cloudy
Level 3	Cloudy	Cloudy, cloudy to light rain, sunny to cloudy, etc.
Level 4	Partly overcast	Partly overcast, thunderstorm, etc.
Level 5	Overcast	Overcast, light snow or medium snow, etc.

122 Sunshine percentage ( $S/S_0$ ) is the rate of sunshine duration in daytime ( $S$ ) to daily maximum possible  
123 sunshine duration ( $S_0$ ), and can be used as a supplementary factor to classify historical weather levels.  
124 Equation 1 has been used to calculate daily maximum possible sunshine duration ( $S_0$ ), as given in [25],  
125 where  $\delta$  is solar declination and  $\varphi$  is latitude.

$$126 \quad S_0 = \frac{2}{15} \cos^{-1}(-\tan\delta \tan\varphi) \quad (1)$$

127 When  $S/S_0 \geq 0.8$ , historical weather level is level 1. When  $0.6 \leq S/S_0 < 0.8$ , it is level 2. When  $0.4 \leq$   
128  $S/S_0 < 0.6$ , it is level 3. When  $0.2 \leq S/S_0 < 0.4$ , it is level 4, and others are level 5.

129 After obtaining historical weather levels, the correlation between solar radiation and air pollution under  
130 different weather levels could be investigated. However, because horizontal global solar radiation varies  
131 with latitude, longitude and solar altitude, and changes over time. It is difficult to investigate the  
132 attenuation effect of air pollutants on solar radiation without a reference base. In this study, principal  
133 component analysis is used as a feasible solution to this question. This method can reduce the  
134 dimensionality of daily solar radiation by synthesizing multiple indicators into relevant comprehensive  
135 indicators (i.e. principal components), and each principal component can reflect main information of  
136 original variables, and this information is not duplicated.

137 To eliminate the influences from longitude, latitude, altitude and solar altitude angle on daily solar  
138 radiation, a dimensionless index, clearness index, was proposed to reflect solar radiation attenuation. It  
139 is the ratio of daily global solar radiation to daily extraterrestrial solar radiation on horizontal surfaces,  
140 as defined in Equation 2 [25, 26]. When clearness index in polluted days is greater, solar radiation  
141 attenuation became smaller, and vice versa.

$$142 \quad K = G/G_0 \quad (2)$$

143 where  $G$  is daily global solar radiation on the ground ( $\text{W}/\text{m}^2$ ), and  $G_0$  is daily extraterrestrial solar

144 radiation on horizontal surfaces, calculated by Equation 3 [25],

$$145 \quad G_0 = \frac{24}{\pi} I_{SC} \left(1 + 0.033 \cos \frac{360n}{365}\right) \times \left(\cos \varphi \cos \delta \sin \omega_s + \frac{2\pi \omega_s}{360} \sin \varphi \sin \delta\right) \quad (3)$$

146 where  $I_{SC}$  is solar constant (1367 W/m<sup>2</sup>), with solar declination ( $\delta$ ) and sunset hour angle ( $\omega_s$ ) obtained  
147 by Equation 4 and Equation 5, respectively.

$$148 \quad \delta = 23.45 \sin \left[ \frac{360(n+284)}{365} \right] \quad (4)$$

$$149 \quad \omega_s = \cos^{-1}(-\tan \delta \tan \varphi) \quad (5)$$

150 According to the “*Technical Regulation on Ambient Air Quality Index (HJ 633-2012)*”, published by  
151 Ministry of Ecology and Environment of the People’s Republic of China [27], air quality index is a  
152 comprehensive index for air pollution. This index can effectively reflect the impact of various pollutants  
153 on air pollution, including PM2.5, PM10, O<sub>3</sub>, SO<sub>2</sub>, NO<sub>2</sub> and CO. Air quality index is the maximum air  
154 quality subindex of six pollutants, as depicted in Equation 6, and each air quality subindex ( $IAQI_n$ , equal  
155 to  $IAQI_p$ ) is determined by the mass concentration of pollutant P (PM2.5, PM10, O<sub>3</sub>, SO<sub>2</sub>, NO<sub>2</sub> and CO).  
156 Equation 7 calculates air quality subindex of pollutant P by data interpolation method, where  $IAQI_p$  is  
157 air quality subindex of pollutant P;  $C_p$  is mass concentration of pollutant P;  $BP_{Hi}$  is the high value of  
158 the concentration limit value close to  $C_p$ ;  $BP_{Lo}$  is the low value of the concentration limit value close  
159 to  $C_p$ ;  $IAQI_{Hi}$  is the individual air quality index of  $BP_{Hi}$ ;  $IAQI_{Lo}$  is the individual air quality index of  
160  $BP_{Lo}$ . The air quality subindex and concentration limit values of pollutants, are shown in Table 2,  
161 obtained from the “*Technical Regulation on Ambient Air Quality Index (HJ 633-2012)*” [27].

$$162 \quad AQI = \max \{IAQI_1, IAQI_2, \dots, IAQI_n\} \quad (6)$$

$$163 \quad IAQI_p = \frac{IAQI_{Hi} - IAQI_{Lo}}{BP_{Hi} - BP_{Lo}} (C_p - BP_{Lo}) + IAQI_{Lo} \quad (7)$$

164 Table 2 Air quality subindex and concentration limit values of pollutants [27]

Air quality subindex (IAQI)	Sulfur Dioxide (SO <sub>2</sub> ) (μg/m <sup>3</sup> )	Nitrogen Dioxide (NO <sub>2</sub> ) (μg/m <sup>3</sup> )	PM10 (μg/m <sup>3</sup> )	Carbon Monoxide (CO) (mg/m <sup>3</sup> )	Ozone O <sub>3</sub> (μg/m <sup>3</sup> )	PM2.5 (μg/m <sup>3</sup> )
0	0	0	0	0	0	0
50	150	100	50	5	160	35
100	500	200	150	10	200	75

150	650	700	250	35	300	115
200	800	1200	350	60	400	150
300	--	2340	420	90	800	250
400	--	3090	500	120	1000	350
500	--	3840	600	150	1200	500

165 If the mass concentration of pollutant P ( $C_p$ ) is given,  $C_p$  could be inserted in the interval from the low-  
166 value of the concentration limit value ( $BP_{Lo}$ ) to the high-value of the concentration limit value ( $BP_{Hi}$ ).  
167 Meanwhile, two points, ( $BP_{Lo}, IAQI_{Lo}$ ) and ( $BP_{Hi}, IAQI_{Hi}$ ), would form an equation of linear regression.  
168 Then, air quality subindex of pollutant P ( $IAQI$ ) could be determined by linear regression.  
169 When  $AQI \leq 50$ , air quality is optimal. When  $50 < AQI \leq 100$ , air quality is good. When  $100 <$   
170  $AQI \leq 150$ , it is slight pollution. When  $150 < AQI \leq 200$ , it is moderate pollution. When  $200 < AQI \leq 300$ ,  
171 it is heavy pollution. When  $AQI > 300$ , it is serious pollution. Higher AQI indicates more serious air  
172 pollution and higher concentration of particulate matters in air [19].

## 173 2.2 Studied sites

174 To investigate the attenuation effect of air pollution on regional solar radiation, five regions with heavy  
175 air pollution, namely, Beijing, Tianjin, Jinan, Xi'an and Zhengzhou, are selected as studied sites, as  
176 marked with black triangles in Figure 1. The mean annual AQI of each region is greater than 100 for  
177 slight air pollution, where the polluted days of Beijing exceeds 170 days in 2016, with 47.4% of whole  
178 year. Thus, Beijing is employed as case study in Section 3.1, to discuss the attenuation of solar radiation  
179 by air pollutants with the method present in Section 2.1, including the attenuation models composed of  
180 clearness index and AQI, and monthly solar radiation attenuation calculated by attenuation models of  
181 Beijing. Then, other four studied sites are proposed to get more attenuation models in Section 3.2, and  
182 further obtain the clearness index attenuation and solar radiation attenuation from monthly scale and  
183 annual scale. Meanwhile, the difference of attenuation effect among five regions is also discussed.

## 184 2.3 Data

185 The historical data collected from the five studied sites from 2014 to 2016 is used in this study, including  
186 solar radiation, sunshine duration, historical weather, longitude, latitude, and air quality index, collected  
187 from the National Meteorological Information Center [28] and the Ministry of Ecology and Environment  
188 of the People's Republic of China [29]. Detailed information about five weather stations is depicted in



189 Table 3, where  $H$  is annual average daily global solar radiation (in MJ/(m<sup>2</sup>·d)).

190 Table 3 Geographic information and annual average daily meteorological data collected from five  
191 studied sites from 2014 to 2016

Regions	Latitude (N)	Longitude (E)	Altitude (m)	H (MJ/(m <sup>2</sup> ·d))	AQI	Sunshine duration (h)
Beijing	39°48'	116°28'	31.3	14.79	120	6.66
Tianjin	39°05'	117°03'	3.5	14.45	109	6.22
Jinan	36°36'	117°00'	170.3	12.95	125	6.06
Xi'an	34°26'	108°58'	410	14.64	108	8.35
Zhengzhou	34°43'	113°39'	110.4	13.03	127	5.05

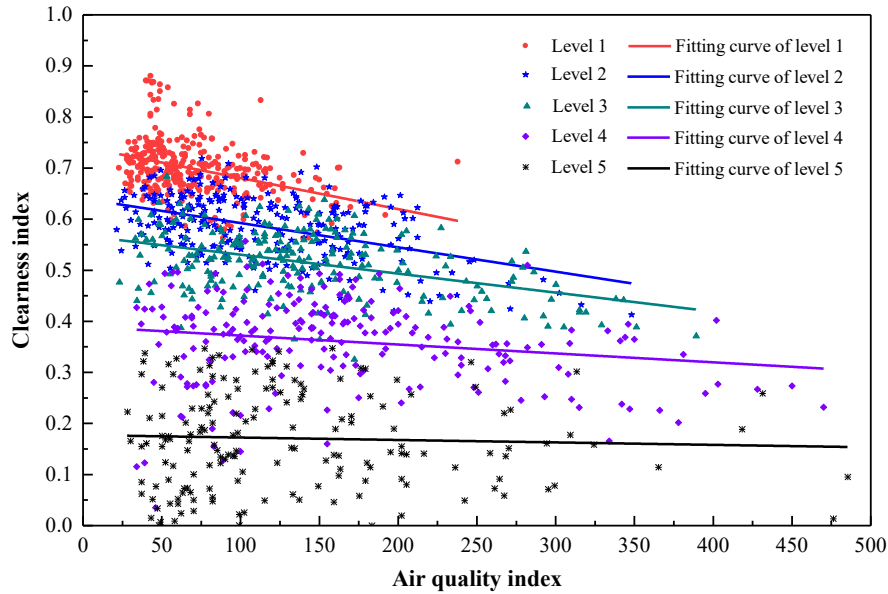
### 192 3. Results and Discussions

#### 193 3.1 Attenuation of solar radiation by air pollutants in Beijing

194 According to the evaluation method described in Section 2.1, the variation of clearness index with AQI  
195 under different weather levels is summarized in Section 3.1, using data collected from Beijing. Beijing,  
196 the capital of China, is a political and economic center of the world, and has huge solar energy resources,  
197 but with heavy air pollution, which is a typical city of China for current research. If Beijing can be  
198 selected as a typical region, the significance of air pollution on solar energy application would capture a  
199 lot of attention.

##### 200 3.1.1 Attenuation of solar radiation under different weather levels

201 As shown in Figure 2, with weather level increases, the sky was shifting from fully sunny to fully overcast.  
202 Due to the increasing amount of clouds in the sky, the sunlight became more scattered, resulting in a  
203 gradual decrease of clearness index. Meanwhile, the gradient of clearness index with increased AQI  
204 gradually decreased and the data gradually scattered, where root mean square error (RMSE) increased  
205 from 0.0678 to 0.0955 and the mean absolute percentage error (MAPE) also increased from 8.21% to  
206 57.51% (in Table 4).



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208

Figure 2 The variation of clearness index with AQI under different weather levels in Beijing

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Table 4 depicts fitting results of linear function of five weather levels, where “ $a$ ” is slope and “ $b$ ” is intercept. Clearness index decreased but with diverse gradients of five weather levels as AQI increased.

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When weather level was 1, there was little or no cloud covering sky, and the attenuation effect of air pollution on solar radiation was most obvious, with a higher attenuation coefficient  $|a|$  (absolute value

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213

of  $a$ ) of  $6.08 \times 10^{-4}$ . When weather levels increased, the amount and thickness of clouds in sky increased gradually, resulting in decreased solar radiation received on the ground ( $b$  value decreased continuously).

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Meanwhile, based on the hierarchical classification of weather conditions, the diversity of weather changes and the uncertainty of duration lead to more scattered data. The weather representation was more complicated, and it was more difficult to classify data, with wider data distribution range for cloudy days.

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In real polluted air, PM2.5 and PM10 mainly attenuate part near-infrared energy, but slightly absorb part visible light [25, 26]. Due to the absorption of water in the clouds, solar radiation, after passing through

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clouds but before fog-haze, contains a large part of visible light and a small proportion of infrared energy [30, 31]. At the same time, the attenuation of particulate matters on solar radiation is mainly reflected on

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the infrared part, but this part of energy has little impact on the overall solar energy (compared with the visible part) [16, 32], resulting in smaller attenuation of air pollution on overall solar radiation in cloudy

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days. Directional absorption of infrared part by cloud is the main reason of reducing attenuation rate. In addition, data in cloudy days are scattered, which has a direct impact on data fitting. This may be one

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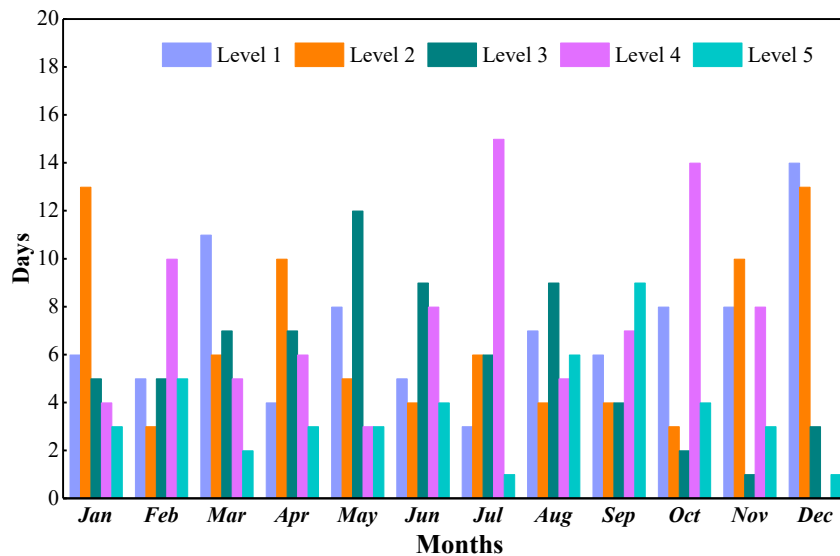
reason for the low attenuation rate of cloudy days.

Table 4 Solar radiation attenuation models under different weather levels in Beijing

Weather Levels	Coefficient		Sample size	Correlation coefficients	RMSE	MAPE
	a	b				
Level 1	$-6.08 \times 10^{-4}$	0.7413	325	0.374	0.0678	8.21%
Level 2	$-4.756 \times 10^{-4}$	0.6399	264	0.475	0.0761	10.95%
Level 3	$-3.7132 \times 10^{-4}$	0.5671	232	0.428	0.0758	12.82%
Level 4	$-1.744 \times 10^{-4}$	0.3895	220	0.187	0.0902	28.66%
Level 5	$-4.784 \times 10^{-5}$	0.1773	169	0.045	0.0955	57.51%

### 228 3.1.2 Attenuation of solar radiation in different months

229 Based on the above solar radiation attenuation models, the attenuation effect of air pollution on solar  
 230 radiation is investigated in different seasons in Beijing. As shown in Figure 3, the weather conditions of  
 231 each month of the year are classified according to the evaluation method proposed in Section 2.1. The  
 232 total days of Level 1 and Level 2 is smaller in February, June, July and August, and mainly with cloudy  
 233 or thunderstorm days.



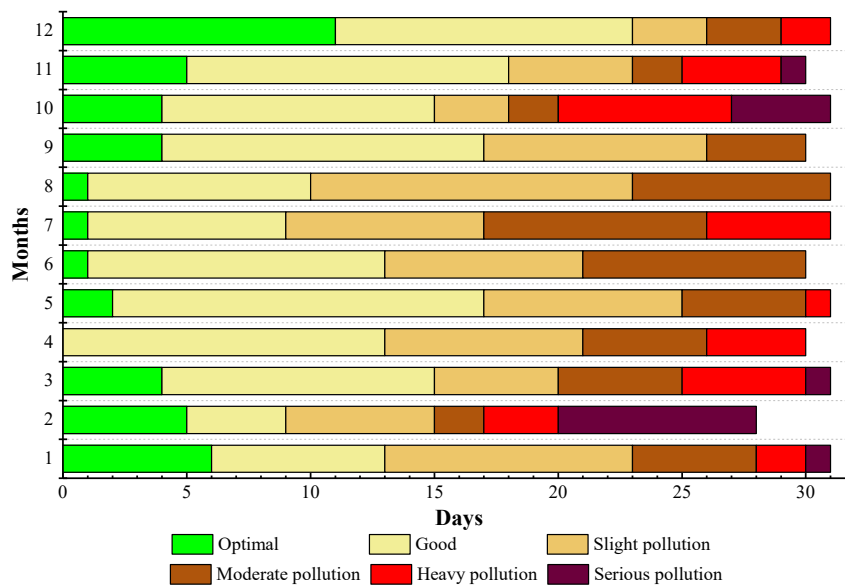
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Figure 3 Classification of weather levels of Beijing in 2014

236 Figure 4 exhibits the temporal distribution of air pollution in Beijing in 2014. The duration of air pollution  
 237 varied greatly every month, and the pollution days in almost every month were more than 15 days. At  
 238 the same time, the pollution days in February, July and August were more, but heavy pollution and serious  
 239 pollution occurred frequently in October, November, January, February and March, mainly due to the  
 240 emission of particulate matters from large-scale centralized heating in Beijing. Figure 5 reveals the  
 241 distribution of air pollution corresponding to each weather level. Only the proportion of pollution days

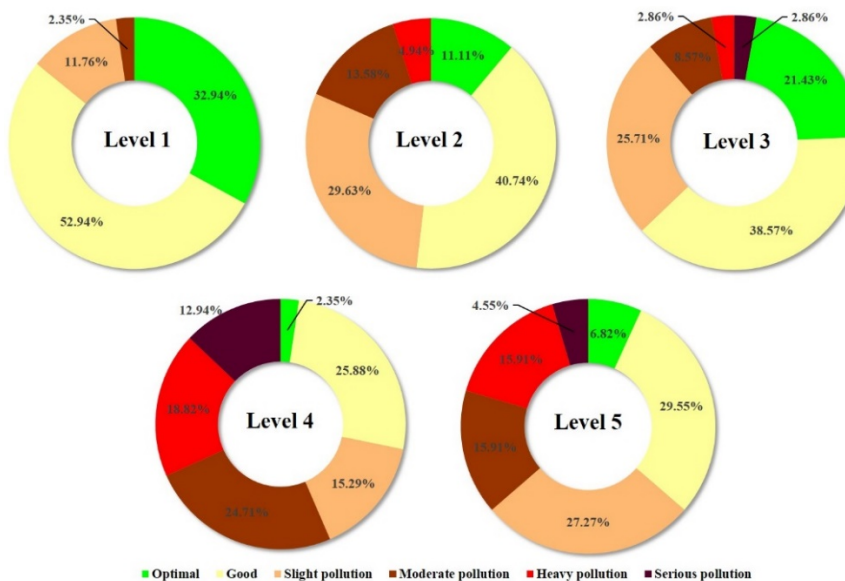
242 of Level 1 was small, due to less water vapor in the air and higher air temperature. More water vapor in  
 243 the air would aggravate the agglomeration of aerosol and come into being PM2.5 and PM10, resulting in  
 244 increased pollution days. Meanwhile, higher air temperature in winter would decrease heating load of  
 245 buildings, and emission of central heating would decrease, which is a positive factor to optimize air  
 246 pollution. The increased clouds will increase the days of pollution to a certain extent. However, there is  
 247 no clear linear relationship between air pollution and weather levels, and the correlation is related to the  
 248 local climate.



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250

Figure 4 the temporal distribution of air pollution of Beijing in 2014



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252

Figure 5 The distribution of air pollution corresponding to each weather level of Beijing in 2014

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After getting the correlation between clearness index and air quality index, it needs to further evaluate

254 the detailed attenuation effect of air pollution on regional solar radiation. In this study, two variables were  
 255 defined, namely, clearness index attenuation and solar radiation attenuation. Clearness index attenuation,  
 256 also called solar radiation attenuation ratio, is the ratio of solar radiation attenuation to extraterrestrial  
 257 horizontal daily global solar radiation, while solar radiation attenuation is the amount of solar radiation  
 258 attenuation caused by air pollution.

259 According to the "*Technical Regulation on Ambient Air Quality Index (HJ 633-2012)*" issued by the  
 260 Ministry of Ecology and Environment of the People's Republic of China, air is polluted when AQI is  
 261 greater than 100. Therefore, this threshold is selected to decide whether air is polluted. Daily/monthly  
 262 clearness index attenuation and monthly solar radiation attenuation are calculated by Equations 8-10,

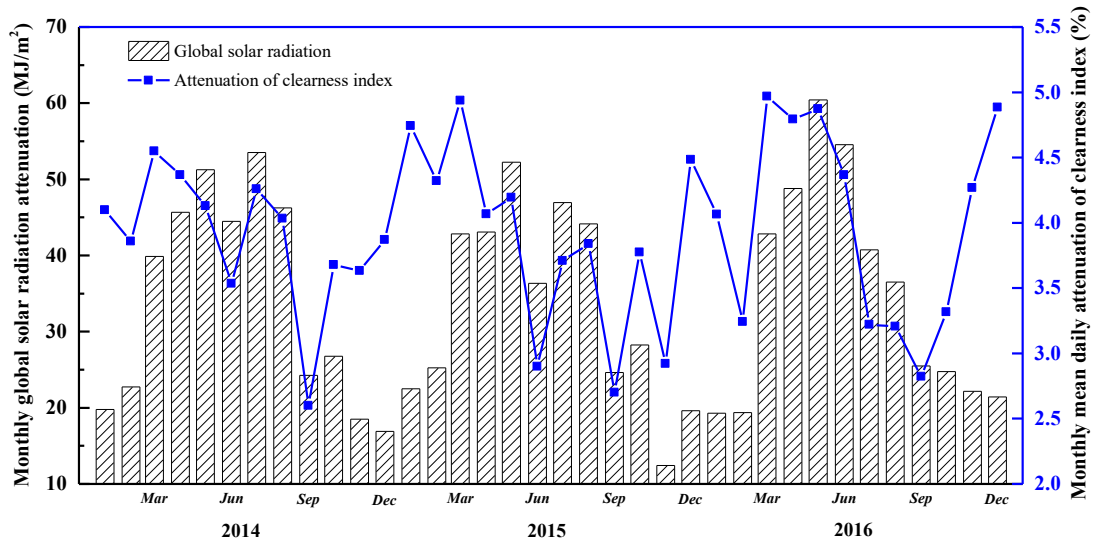
$$263 \quad K_d = |\alpha| \cdot (AQI - 100) \quad (8)$$

$$264 \quad K_m = \sum_1^n K_d \quad (9)$$

$$265 \quad G_m = \sum_1^n G_0 \cdot K_d \quad (10)$$

266 where  $K_d$  and  $K_m$  is daily and monthly clearness index attenuation (or solar radiation attenuation ratio,  
 267 dimensionless),  $G_m$  is monthly solar radiation attenuation (MJ/m<sup>2</sup>),  $G_0$  is extraterrestrial horizontal  
 268 daily global solar radiation (MJ/m<sup>2</sup>), and  $n$  is total days of a month. In addition,  $|\alpha|$  is the absolute value  
 269 of slope of linear function (called attenuation coefficient).

270 As shown in Figure 6, the clearness index attenuation was high from March to August but low from  
 271 September to February. In September, the clearness index attenuation reached its lowest value of 2.6%  
 272 for 2014, 2.7% for 2015 and 2.8% for 2016, respectively, mainly due to less days of slight pollution and  
 273 moderate pollution. In addition, level 4 and level 5 with lower attenuation coefficient in September were  
 274 also reasons for the low clearness index attenuation. Meanwhile, solar radiation attenuation showed  
 275 obvious seasonal variation. The maximum solar radiation attenuation was 53.51 MJ/m<sup>2</sup> in July for 2014,  
 276 52.25 MJ/m<sup>2</sup> in May for 2015 and 60.42 MJ/m<sup>2</sup> in May for 2016, while the minimum value was 16.89  
 277 MJ/m<sup>2</sup> in December for 2014, 12.42 MJ/m<sup>2</sup> in November for 2015 and 19.27 MJ/m<sup>2</sup> in January for 2016.  
 278 The monthly solar radiation attenuation is the product of the extraterrestrial solar radiation and clearness  
 279 index attenuation. Since clearness index changes slightly through the year, monthly solar radiation  
 280 attenuation is mainly determined by the extraterrestrial solar radiation, that is, solar altitude angle.



281

282 Figure 6 Monthly solar radiation attenuation and monthly mean daily attenuation of clearness index of  
 283 Beijing in 2014, 2015 and 2016

284 From the view of annual solar radiation, solar radiation attenuation in 2014, 2015 and 2016 were 410.02  
 285 MJ/m<sup>2</sup>, 403.87 MJ/m<sup>2</sup> and 416.35 MJ/m<sup>2</sup>, respectively. In 2015, the number of polluted days decreased  
 286 by 13 days compared with 2014, with more slight pollution, resulting in a 1.5% reduction in solar  
 287 radiation attenuation throughout the year. The polluted days in 2016 was less than that in 2014 by 19 days,  
 288 but the total number of days in weather level 1, level 2 and level 3, was more than that in 2016 by 26  
 289 days, which was the main reason why Beijing in 2016 had a larger attenuation. In addition, although the  
 290 days of air pollution decreased due to the environmental control of Beijing government, the pollutants in  
 291 the air were even smaller and the original PM<sub>2.5</sub> was converted to PM<sub>1</sub>. According to observations [17],  
 292 the mass concentrations of PM<sub>1</sub> in the air of both autumn and winter in 2016 in Beijing were between  
 293 59.16-57.05 μg/m<sup>3</sup>. Because of the higher specific surface area of PM<sub>1</sub>, the extinction effect of PM<sub>1</sub> on  
 294 solar radiation is more significant, even with improved air pollution conditions.

295 **3.2 Regional variations of solar radiation attenuation**

296 **3.2.1 Evaluation of monthly solar radiation attenuation**

297 Figure 7 shows the monthly variation of average daily clearness index attenuation in Tianjin, Xi'an,  
 298 Zhengzhou and Jinan. The attenuation of clearness index in Tianjin, Xi'an and Zhengzhou fluctuated  
 299 greatly throughout the year, with significantly increased values from October to March. In these months,  
 300 large-scale centralized heating in northern China resulted in higher emissions from thermal power plants,  
 301 leading to higher pollutant concentrations and increased air pollution [33, 34]. As the capital city, Beijing

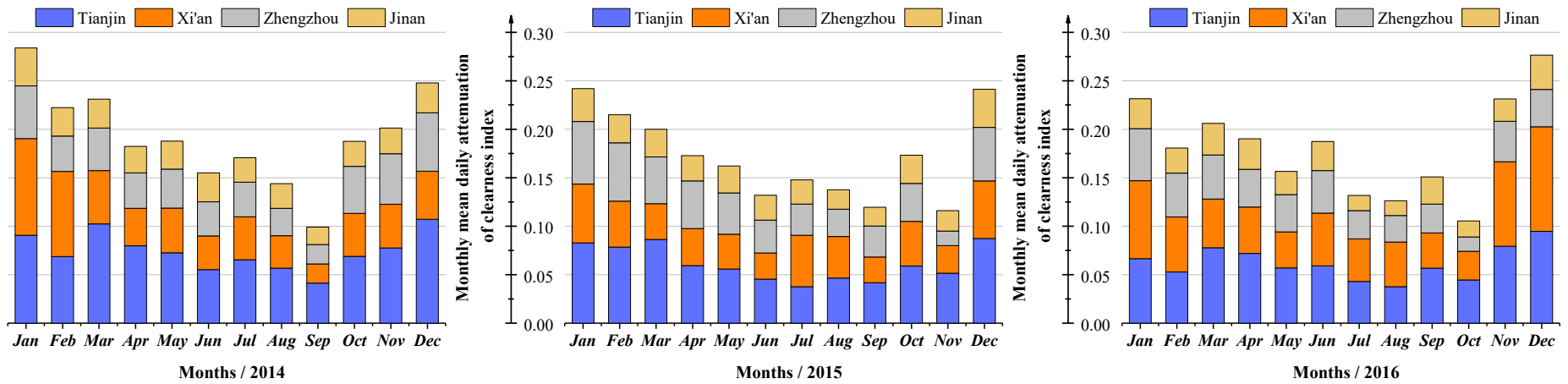
302 was the first city to propose that coal-fired boilers should be replaced by gas-fired boilers, which  
303 weakened this increased pollution trend to a certain extent. At the same time, the lower attenuation rate  
304 in February may be related to the shutdown or reduction of factories, mainly due to the large number of  
305 workers returning home during the period of the traditional Spring Festival in China. However, the  
306 clearness index attenuation in Jinan varied slightly in the whole year, and the monthly mean clearness  
307 index attenuation was only 2.69%. The difference of clearness index attenuation among Tianjin, Xi'an  
308 and Zhengzhou was mainly attributed to the higher attenuation coefficient (absolute value of  $a$  in Table  
309 5), and the attenuation coefficient was related to the air pollutants and mass concentration of particulate  
310 matters in the local air. When the concentration of particulate matters was the same, the smaller the  
311 particle size, the more significant the extinction of sunlight [35, 36]. In addition, the regional monsoon  
312 climate accelerated the migration and accumulation of particulate matters in the air, resulting in the same  
313 fluctuations of clearness index in September and October in the four cities.

314 Figure 8 exhibits the monthly variation of global solar radiation attenuation in four cities. The amount of  
315 solar radiation attenuation in each city was high from March to August, and small from September to  
316 February, and cyclically changing with the seasons. Solar radiation attenuation is the product of clearness  
317 index attenuation and extraterrestrial horizontal global solar radiation. Due to greater solar altitude in  
318 summer, extraterrestrial horizontal global solar radiation is higher than that in winter, and played a  
319 dominant role in the calculation of solar radiation attenuation, resulting in larger solar radiation  
320 attenuation in summer and smaller in winter. For Xi'an and Zhengzhou with same latitude, solar radiation  
321 attenuation in Xi'an was more significant, with a monthly average value of 19.36% higher than  
322 Zhengzhou. The reference [37] has indicated that the average daily mass concentration of PM10 in Xi'an  
323 was  $216 \mu\text{g}/\text{m}^3$ , while that of Zhengzhou was  $181 \mu\text{g}/\text{m}^3$ , during the central heating period (November  
324 and December) in 2016. For Jinan, the low solar radiation attenuation coefficient determined that the  
325 radiation attenuation in this area was small, with the monthly average attenuation of only  $23.72 \text{ MJ}/\text{m}^2$ .  
326 Even though Tianjin has a higher latitude, its attenuation was as high as  $54.26 \text{ MJ}/\text{m}^2$ , which was directly  
327 related to the mass concentration of ultrafine particles in the air [38].

Table 5 Solar radiation attenuation models under different weather levels in four cities

Cities	Weather Levels	Coefficient		Sample size	Correlation coefficients	RMSE	MAPE
		a	b				
Tianjin	Level 1	$-1.1 \times 10^{-3}$	0.783	202	0.373	0.0880	9.44%
	Level 2	$-7.381 \times 10^{-4}$	0.698	325	0.382	0.0873	11.05%
	Level 3	$-4.806 \times 10^{-4}$	0.592	197	0.274	0.1005	16.29%
	Level 4	$-4.839 \times 10^{-4}$	0.443	217	0.345	0.1069	34.75%
	Level 5	$-1.362 \times 10^{-4}$	0.216	154	0.071	0.1018	76.50%
Jinan	Level 1	$-2.127 \times 10^{-4}$	0.644	221	0.184	0.0508	6.65%
	Level 2	$-3.022 \times 10^{-4}$	0.581	323	0.235	0.0595	8.98%
	Level 3	$-3.494 \times 10^{-4}$	0.503	172	0.235	0.0711	13.54%
	Level 4	$-1.079 \times 10^{-4}$	0.341	183	0.089	0.0943	36.46%
	Level 5	$-3.832 \times 10^{-5}$	0.181	196	0.021	0.0928	73.23%
Xi'an	Level 1	$-5.5 \times 10^{-4}$	0.747	163	0.286	0.0755	9.30%
	Level 2	$-5.916 \times 10^{-4}$	0.684	308	0.387	0.0820	10.70%
	Level 3	$-5.597 \times 10^{-4}$	0.605	182	0.359	0.0912	13.53%
	Level 4	$-4.795 \times 10^{-4}$	0.404	191	0.382	0.0721	19.00%
	Level 5	$-5.891 \times 10^{-5}$	0.196	247	0.039	0.1221	37.94%
Zhengzhou	Level 1	$-5.758 \times 10^{-4}$	0.711	138	0.420	0.0382	4.63%
	Level 2	$-5.172 \times 10^{-4}$	0.637	264	0.459	0.0475	7.00%
	Level 3	$-3.292 \times 10^{-4}$	0.532	194	0.307	0.0587	10.00%
	Level 4	$-2.466 \times 10^{-4}$	0.392	299	0.255	0.0988	21.82%
	Level 5	$-9.527 \times 10^{-6}$	0.126	200	0.01	0.0717	39.77%

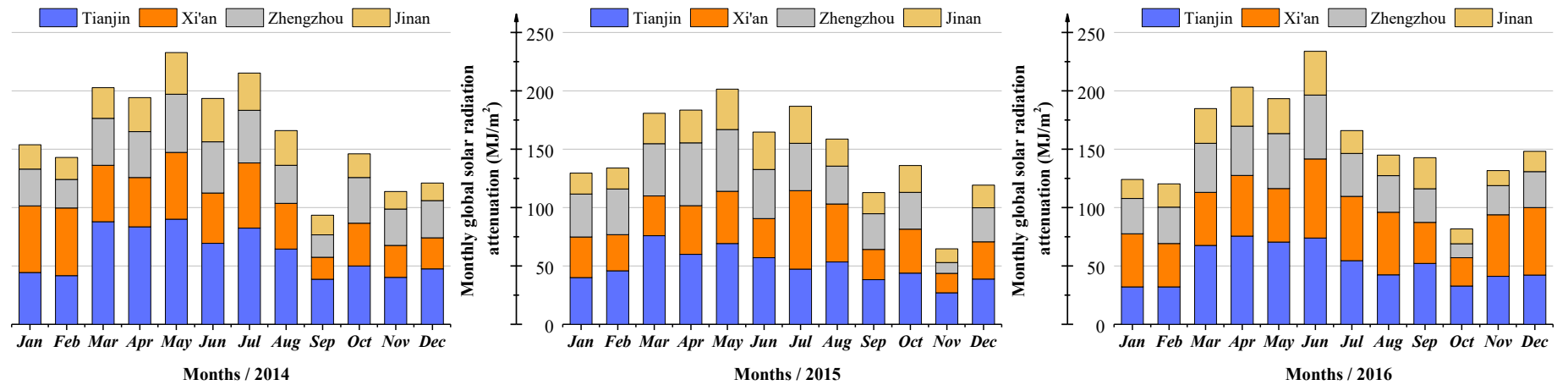




330

331

Figure 7 Monthly mean daily attenuation of clearness index VS Months of four cities



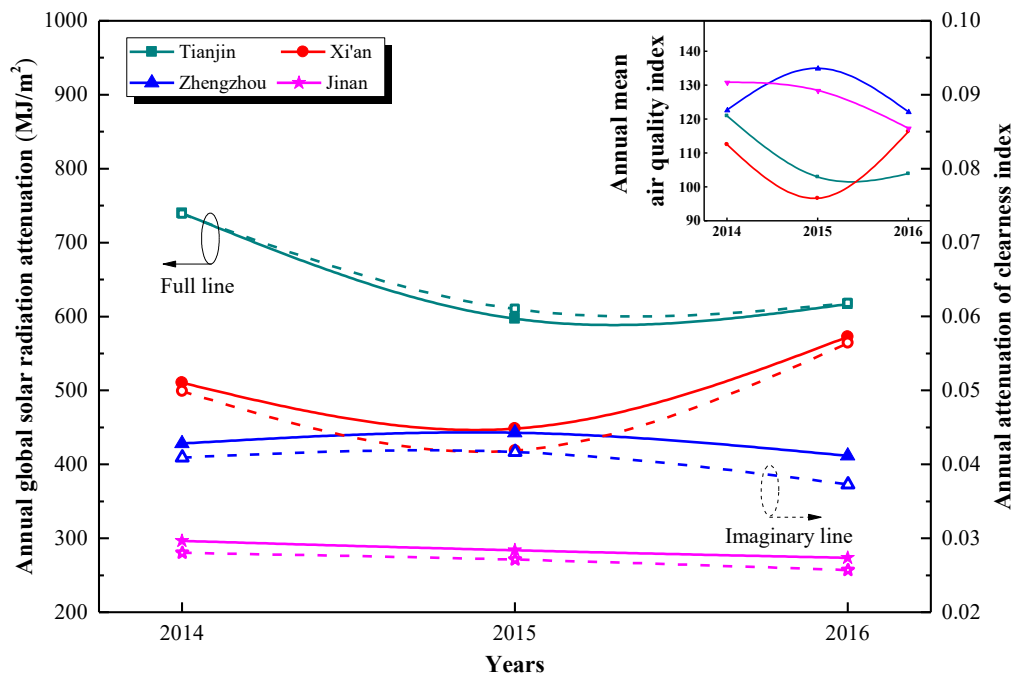
332

333

Figure 8 Monthly global solar radiation attenuation VS Months of four cities

334 **3.2.2 Variations of annual solar radiation attenuation**

335 From the annual attenuation effect, the changes in both clearness index attenuation and solar radiation  
 336 attenuation were consistent. For the same city, the property of air pollutants is almost stable. Thus, the  
 337 higher concentration of pollutants (higher AQI) meant greater solar radiation attenuation, which is easy  
 338 to obtain from Figure 9. However, for different cities, higher AQI did not necessarily lead to greater solar  
 339 radiation attenuation. For example, although there was a higher AQI for Zhengzhou, the solar radiation  
 340 attenuation of Zhengzhou was not the maximum value. According to Equations 8 to 10, the attenuation  
 341 of solar radiation depends on three factors, namely, attenuation coefficient, number of days with different  
 342 weather levels and air quality index (AQI), where attenuation coefficient plays the decisive role. The  
 343 highest attenuation coefficient of each weather level in four regions is Tianjin, and this maybe the crucial  
 344 factor for greatest annual solar radiation attenuation. Besides, more days of level 1 and level 2 with higher  
 345 attenuation coefficient for Tianjin, would be another key factor for greater solar radiation attenuation.  
 346 Thus, the calculated solar radiation attenuation in Tianjin was greater than that in Zhengzhou, even  
 347 though the AQI of Zhengzhou was higher. In general, annual mean solar radiation attenuation ratio of  
 348 Tianjin was the highest of 6.56% (651.17MJ/m<sup>2</sup>), followed by Xi'an (4.94%, 510.42MJ/m<sup>2</sup>), Zhengzhou  
 349 (3.99%, 427.64MJ/m<sup>2</sup>) and Jinan (2.69%, 284.66MJ/m<sup>2</sup>).



350

351

Figure 9 The annual solar radiation attenuation and annual clearness index attenuation of four cities

352 **4. Conclusions**

353 Increased air pollution would weaken the transmission of solar radiation, and reduce the performance of  
354 solar energy systems. To evaluate the attenuation effect of air pollution on solar radiation, historical data  
355 of five regions from 2014 to 2016, is used to discuss the correlation between solar radiation and air  
356 pollution, and evaluate solar radiation attenuation caused by air pollution. The following conclusions are  
357 obtained.

358 (1) The daily clearness index decreases with increased air quality index, and attenuation coefficient of  
359 these attenuation models reduces as weather levels ranging from level 1 to level 5, with the maximum  
360 attenuation coefficient for each weather level of Tianjin. The type of air pollutants, mass concentration  
361 and size of particulate matter are crucial factors for attenuation coefficient.

362 (2) Monthly clearness index attenuation of five regions is high from October to March and low from  
363 April to September, but monthly solar radiation attenuation is great from March to August due to greater  
364 extraterrestrial horizontal daily global solar radiation.

365 (3) In five studied sites, annual solar radiation attenuation in Tianjin and Xi'an are larger, the value being  
366  $651.17\text{MJ/m}^2$  and  $510.42\text{MJ/m}^2$ , while that in Beijing, Zhengzhou and Jinan is smaller with  $410.08$   
367  $\text{MJ/m}^2$ ,  $427.64\text{MJ/m}^2$ , and  $284.66\text{MJ/m}^2$ , respectively. At the same time, solar radiation attenuation ratios  
368 of Tianjin, Beijing, Xi'an, Zhengzhou and Jinan, are 6.56%, 3.92%, 4.94%, 3.99% and 2.69%.

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