

Brief Screening Measures Identify Risk for Psychological Difficulties Among Children with
Sickle Cell Disease

Short Title: Screening for Risk Children SCD

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Running head: SCREEN PSYCHOLOGICAL DIFFICULTIES SCD

1 **Abstract**

2 Children with sickle cell disease (SCD) experience disproportionately high rates of

3 psychological **problems**. Our goal was to examine the clinical utility of psychological screening

4 measures to identify children with such problems in medical settings. Caregivers completed

5 screening measures assessing social-emotional problems, ADHD symptoms, executive

6 dysfunction, and health-related quality of life (HRQOL) for children with SCD (receiving either

7 chronic blood transfusion or hydroxyurea) and their siblings. Our findings demonstrated that

8 screening measures identified clinically elevated symptoms in children with SCD that had not

9 been previously reported. Scores for siblings were for the most part in the normal range. The

10 number of days hospitalized (but not cerebral infarct status) predicted higher scores, emphasizing

11 the challenges associated with SCD complications. Overall, our findings support the notion that

12 screening measures reduce the need for reliance on medical provider judgment for psychological

13 referrals and increase equitability in access to services. Early identification resulting in early

14 intervention has contributed substantially to improved psychological functioning in many

15 contexts, and it is thus likely that such improvements would also be achieved in this uniquely

16 vulnerable population.

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19 *Key words:* sickle cell disease; children, behavior; executive dysfunction, quality of life;

20 transfusion; hydroxyurea

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24 Sickle cell disease (SCD) is a chronic genetic disorder characterized by the production of
25 abnormal hemoglobin in the red blood cells and affects approximately 100,000 Americans (Rees,
26 Williams, & Gladwin, 2010). Children with SCD face life-threatening medical (Redding-
27 Lallinger & Knoll, 2006) and neurologic (DeBaun & Kirkham, 2016) challenges, but in
28 comparison to other pediatric chronic illness populations, such as childhood cancer or asthma
29 (Bennett, Shafran, Coughtrey, Walker, & Heyman, 2015), there is less research regarding their
30 psychological functioning (Anie, 2005). This is particularly surprising given the intense chronic
31 treatment regimens, unpredictable painful episodes, and frequent hospitalizations. Children who
32 receive the primary disease modifying treatments for SCD-related complications, such as chronic
33 blood transfusion therapy (CTT) or hydroxyurea therapy (HU), generally have the most acute
34 and chronic complications (Ware, de Montalembert, Tshilolo, & Abboud, 2017). putting them at
35 higher risk for compromised psychological functioning.

36 Studies of children with SCD indicate that prevalence rates of internalizing disorders are
37 higher than the general population, with symptoms including depressed mood, social isolation,
38 and feelings of helplessness observed most frequently (Barbarin, Whitten, & Bonds, 1994;
39 Benton, Ifeagwu, & Smith-Whitley, 2007; Jerrell, Tripathi, & McIntyre, 2011; Lukoo et al.,
40 2015). Depressive and anxious symptoms are significantly associated with healthcare utilization,
41 as diagnosis of an internalizing disorder is related to an increased number of hospital admissions
42 and length of hospital stay for children with SCD (Jonassaint, Jones, Leong, & Frierson, 2016;
43 Myrvik, Burks, Hoffman, Dasgupta, & Panepinto, 2013). Further, depressive and anxious
44 symptoms also increase during hospitalizations for pain episodes (Dampier et al., 2016).

45 The possibility of cognitive dysfunction for children with SCD receiving disease
46 modifying treatments must be strongly considered by medical providers. These children have a

47 high prevalence of stroke and silent cerebral infarct (SCI) (DeBaun & Kirkham, 2016), which
48 can contribute to cognitive impairment. Additionally, prior studies have shown that children with
49 SCD with and without prior history of stroke or SCI have higher rates of developmental
50 disabilities (Ashley-Koch, Murphy, Khoury, & Boyle, 2001) and more cognitive deficits than the
51 general population, siblings, and peers (Schatz, Finke, Kellett, & Kramer, 2002). Attention and
52 executive functioning are two domains in which children with SCD have particular difficulty
53 (Berkelhammer et al., 2007; Prussien, Jordan, DeBaun, & Compas, 2019), with prevalence rates
54 of attention-deficit/hyperactivity disorder (ADHD) between 19 and 40% in the United States
55 (Acquazzino, Miller, Myrvik, Newby, & Scott, 2017; Benton, Boyd, Ifeagwu, Feldtmose, &
56 Smith-Whitley, 2011; Lance, Comi, Johnston, Casella, & Shapiro, 2015). Cognitive challenges
57 can be a barrier to adherence to care, further affecting SCD-related complications.

58 SCD-related medical complications and associated treatments have also been shown to
59 contribute to poorer health-related quality of life (HRQOL), as have greater disease severity
60 (Panepinto, O'Mahar, DeBaun, Rennie, & Scott, 2004) and pain (Dampier et al., 2010; Ludwig,
61 Sil, Khowaja, Cohen, & Dampier, 2018; Schlenz, Schatz, McClellan, & Roberts, 2012).

62 Additionally, although adherence to disease-modifying treatments is associated with fewer
63 hospitalizations (Badawy et al., 2017; Hilliard et al., 2018), children with SCD remain high
64 healthcare utilizers, with more frequent hospital contacts to maintain adequate clinical care.
65 However, little is known about the psychological functioning and HRQOL of children with SCD
66 who have the greatest disease severity.

67 In an effort to increase identification of psychological problems by medical providers,
68 mental health screeners and standardized protocols have been utilized and have improved
69 recognition and treatment of psychological difficulties (Croghan & Brown, 2010; Unützer &

70 Park, 2012). Across all pediatric populations, a key challenge in medical settings is that
71 psychological problems are often under-identified and under-treated (Unützer & Park, 2012;
72 Williams, Klinepeter, Palmes, Pulley, & Foy, 2004). Illustrative of this issue, Olson and
73 colleagues (2001) found that nearly half of pediatricians felt uncertain about diagnosing
74 depression in children and adolescents.

75 Medical providers have indicated that, in addition to lacking confidence, they may not
76 make psychology referrals to avoid stigmatizing patients because they lack knowledge about the
77 diagnostic criteria for psychological disorders, have limited expertise regarding psychological
78 treatment, and have a shortage of time (Croghan & Brown, 2010; Liu, Lu, & Lee, 2008; Olson et
79 al., 2001). Specific to the pediatric SCD population, pica is a psychological disorder
80 characterized by an appetite for non-nutritive substances which has a high prevalence in youth
81 with SCD (Ivascu et al., 2001). Previous research has demonstrated that implementation of a
82 screening program for pica in a large SCD clinic identified that 28% of patients had pica
83 symptoms that had not previously been identified by medical providers (Reed-Knight et al.,
84 2015).

85 Given the disproportionately high rates of social-emotional problems, ADHD symptoms,
86 executive dysfunction, and poorer HRQOL, it is imperative that we determine effective ways to
87 screen children with SCD within hospital and primary care settings for psychological problems.
88 Of particular importance is the need to screen children with SCD who are high healthcare
89 utilizers due to increased SCD-related complications that require chronic treatment therapies.
90 Thus, the primary goal of the present study was to determine the practicality of using well-
91 validated psychological screening measures to identify patients with SCD who exhibit need for
92 psychological intervention. To achieve this goal, we compared the percentage of children with

116 genotype), history of bone marrow transplant, severe developmental disability (e.g., autism), and
117 concurrent treatment with CTT and HU. Children with SCD receiving neither CTT nor HU were
118 excluded because they were not the focus of the larger study. That said, children receiving CTT
119 or HU generally represented the patients who attended the SCD clinic most frequently. All
120 children with SCD receiving CTT who met eligibility criteria were approached to be in the study,
121 and 80% agreed to participate.

122 We also recruited a control sample comprising siblings of the children with SCD. These
123 controls were recruited during clinic visits or were contacted if they had participated in previous
124 studies. Because siblings have the greatest similarity in terms of social and familial
125 environments, this comparison group helped determine the influence of SCD-related disease
126 factors on psychological functioning. Our overall sample comprised 72 children with SCD and
127 their siblings. Previous diagnoses for children included attention and learning disabilities (1 SCD
128 and 1 sibling control, respectively).

129 Caregivers who completed study measures ranged in age from 26 to 75 years ($M = 40.4$,
130 $SD = 9.0$). Most self-identified as African-American/Black (92%), followed by White (6%), and
131 mixed-race (2%). Yearly income was available for 75% of families, with values ranging from
132 \$1,750 to \$198,000 ($M = 30,278$, $SD = 34,450$). Mother's education level was as follows: 16%
133 neither completed high school nor received an equivalent diploma, 6% received a GED, 20%
134 graduated from high school, 16% received an associate degree, 28% completed some college
135 with no degree, 8% received a bachelor's degree, and 6% received a master's degree.

136
137 **Procedures**

138 The institutional review board at Washington University in St. Louis approved this study.
139 Caregivers provided informed consent in accordance with the Declaration of Helsinki.
140 Caregivers completed psychological screening measures in a private room, with administration
141 on either an iPad or using paper and pencil. Caregivers [completed measures for children with](#)
142 [SCD receiving transfusion within 3 days after a transfusion](#). Completion of all measures took
143 approximately 20 -30 minutes. For their efforts, families were provided with a small monetary
144 gift.

145

146 **Materials**

147 **Behavioral and Emotional Screening System (BESS)** (Reynolds & Kamphaus, 2015). The
148 BESS is a 28-item rating scale that assesses function across an array of [psychological](#) areas,
149 including internalizing and externalizing problems, issues in school, and adaptive skills. Scores
150 from these four areas were combined to yield a composite T score indicative of psychological
151 risk. Caregivers indicated how child participants had behaved in the last several months. Items
152 were rated on a 4-point scale of “Never” to “Almost Always.” T-scores (M = 50, SD = 10) and
153 clinical classifications (normal to extremely elevated) were used in analyses.

154

155 **Conners 3rd Edition-Short Form (Conners-3)** (Conners, Pitkanen, & Rzepa, 2011). The
156 Conners-3 is a 43-item rating scale that assesses symptoms related to ADHD and its most
157 common co-morbid problems in children. Caregivers reported how well items described child
158 participants or how frequently an event had happened in the past month. Items were rated on a 4-
159 point scale of “Not true at all” to “Very much true.” For the present study, scores from the
160 inattention and hyperactivity/impulsivity subscales were averaged to create an ADHD symptom

161 composite. T-scores (M = 50, SD = 10) and clinical classifications (low to very elevated) were
162 used in analyses.

163
164 **Behavior Rating Inventory of Executive Function Screener (BRIEF-2)** (Gioia, Isquith, Guy,
165 & Kenworthy, 2015). The BRIEF-2 screener is a 12-item rating scale that assesses everyday
166 behaviors of executive function. Caregivers reported how well items described child participants
167 or how frequently an event had happened in the past six months. Items were rated on a 3-point
168 scale of “Never,” “Sometimes,” and “Often.” Raw scores and clinical ranges (average to
169 clinically elevated) were used in analyses.

170
171 **Pediatric Quality of Life Inventory Sickle Cell Disease Module (PedsQL)** (Panepinto et al.,
172 2013). The PedsQL is a 43-item multi-dimensional rating scale that assesses HRQOL in
173 individuals with SCD. The present study used a modified version of the PedsQL that included 5
174 of the 9 dimensions (i.e., pain and hurt, pain management and control, worry I and II, and
175 emotions). Caregivers rated how much of a problem an issue had been for child participants over
176 the past 24 hours on a 5-point scale of “Never” to “Almost Always.” Responses were reverse-
177 scored and linearly transformed to a 0–100 scale (0 = 100, 1 = 75, 2 = 50, 3 = 25, 4 = 0). Total
178 scores were then computed as the sum of the items divided by the number of items answered.
179 Total scores and clinical classifications (81 – 100 = high levels of HRQOL, 61 – 80 =
180 intermediate levels HRQOL, and 0 – 60 = poor HRQOL related to pain) were used in analyses
181 (Beverung, Varni, & Panepinto, 2015).

182 Higher scores on the BESS, Conners-3, and BRIEF-2 were of greater clinical concern,
183 whereas lower scores on the PedsQL indicated poorer HRQOL. Internal consistency for the

184 BESS, Conners-3, BRIEF-2, and PEDSQL caregiver reports were greater than .85, representing
185 excellent internal consistency.

186

187 **General Health Questionnaire.** Caregivers completed a general questionnaire that provided
188 demographic, health, and education information about child participants and their families.

189 Responses were primarily yes/no, with some free responses.

190

191 **Medical Record Review.** Information was extracted through a retrospective medical record
192 review regarding current psychological diagnoses and difficulties, length of time receiving CTT
193 or HU, number of days hospitalized within the past year, and history of stroke or SCI identified
194 through MRI and neurologic examination.

195

196 **Statistical Analyses**

197 Analyses were conducted in the R environment (R Core Team, 2017). One-sample z-tests
198 assessed differences in percentages between medical record review diagnoses and screening
199 measures. Welch Independent Samples t-tests, Pearson's Chi-square Test of Independence, and
200 Analysis of Variance (ANOVA) identified differences between groups (i.e., SCD-CTT, SCD-
201 HU, siblings). Post hoc pairwise comparisons were corrected using the false discovery rate.
202 Pearson correlations assessed relationships between screening measures and treatment factors.
203 Hierarchical linear regression models were used to determine whether treatment factors predicted
204 scores on screening measures for children with SCD.

205 Cohen's d and h were the measures of effect size used for z-tests, t-tests, and post hoc
206 comparisons, with .2, .5, and .8 representing small, medium, and large effect sizes, respectively.
207 Cramer's V (ϕ_C) and Phi (ϕ) were the measures of effect size for chi squared tests, with .07 to
208 .20, .20 to .35, and \geq .35 representing weak, moderate, and strong associations, respectively.
209 Partial eta squared (η_p^2) was the measure of effect size used for ANOVA analyses, with .01, .09,
210 and .25 representing small, medium, and large effects, respectively (Cohen, 1988). All effect
211 sizes are reported using bootstrapped bias corrected and accelerated 95% confidence intervals
212 (CI), as they adjust for possible bias and skewness in the bootstrap distribution.

214 Results

216 Preliminary Analyses

217 Table 1 reports demographic and disease related factors. On average, children with SCD
218 (CTT and HU) were 12 years of age, 53% female, and the majority identified as African
219 American/Black with the HbSS genotype. Initial analyses indicated that children with SCD and
220 siblings were similar in age, gender, and race, $p > .05$ in all instances. The SCD-CTT and SCD-
221 HU groups were similar regarding genotype (HbSS) and length of time receiving disease
222 modifying treatment, $p > .05$. However, children in the SCD-CTT group had greater disease
223 severity than children in the SCD-HU group, as they were hospitalized for significantly more
224 days within the past year and significantly more children in this group had at least one stroke or
225 SCI (see Table 1).

226 With respect to psychological functioning for children with SCD, medical record review
227 identified 6/51 (12%) children as having at least one social-emotional problem (i.e., depression,

228 anxiety, pica, behavior concern), 3/51 (6%) as having a diagnosis of ADHD or attentional
229 difficulties, and 6/51 (12%) as having a learning or language difficulty.

231 Social-Emotional Problems

232 Regarding social-emotional problems on the BESS, 22% of all children with SCD had
233 elevated or extremely elevated scores. One sample z-tests revealed that the percentage of
234 problems reported on the BESS was significantly more than the 12% of problems documented in
235 the medical record, $\chi^2(1, N = 49) = 5.06, p = .02, h = .30, 95\% \text{ CI } [-.26, .86],$ small effect.

236 At the group level, 32% of children in the SCD-CTT group and 17% of children in the
237 SCD-HU group had elevated or extremely elevated scores on the BESS, compared to only 10%
238 of siblings. Chi squared analyses revealed significant differences between groups, $\chi^2(4, N = 70)$
239 = 16.77, $p = .002, \phi_C = .17, 95\% \text{ CI } [.05, .28],$ medium effect. Specifically, pairwise comparisons
240 showed that the percentage of children with social-emotional problems in the greater disease
241 severity SCD-CTT group was greater than that of siblings, $p = .002, \phi_C = .27, 95\% \text{ CI } [.16, .41],$
242 medium effect. However, the percentage of children with social-emotional problems in the
243 milder disease severity SCD-HU group was similar to that of siblings, $p = .29.$ The percentage of
244 children in the SCD-CTT group with social-emotional problems trended toward being greater
245 than that of children in the SCD-HU group, $p = .06, \phi_C = .18, 95\% \text{ CI } [.06, .31],$ small effect (see
246 Figure 1 panel a).

247 All children with SCD and siblings had mean T scores on the BESS in the typical range
248 (< 60). With respect to mean differences between groups, the T scores of children in the SCD-
249 CTT group (M = 57.3, SD = 10.4) were almost 1 SD above the normative mean. In contrast,
250 children in the SCD-HU group (M = 51.8, SD = 10.9) and siblings (M = 46.9, SD = 10.9) had

251 scores that were in the average range and relatively consistent with published norms ($M = 50$, SD
252 $= 10$).

253 ANOVA showed significant between-group differences in T scores for social-emotional
254 problems, $F(2, 67) = 4.6$, $p = .01$, $\eta_p^2 = .12$, 95% CI [.01, .27], medium effect. Post hoc analyses
255 showed that children in the SCD-CTT group had mean T scores that were 10 points significantly
256 higher than those of siblings, $p = .01$, $d = 1.08$, 95% CI [.38, 1.77], large effect. Children in the
257 SCD-HU group had mean T scores that were 5 points higher than siblings, $p = .12$, $d = .46$, 95%
258 CI [-.12, 1.04], small effect. Further, children in the SCD-CTT group had mean T scores that
259 were 5.5 points higher than the SCD-HU group, $p = .12$, $d = .59$, 95% CI [-.02, 1.21], medium
260 effect; however, these absolute differences did not reach statistical significance (see Figure 2
261 panel a).

262

263 **ADHD Symptoms**

264 Regarding ADHD symptoms on the Conners-3, 22% of all children with SCD had
265 elevated or extremely elevated scores. One sample z-tests revealed that the percentage of ADHD
266 symptoms reported on the Conners-3 was significantly more than the 6% of ADHD diagnoses
267 and attentional difficulties documented in the medical record, $\chi^2(1, N = 49) = 23.50$, $p < .001$, h
268 $= .48$, 95% CI [.09, 1.05], medium effect.

269 At the group level, 32% of children in the SCD-CTT group and 17% of children in the
270 SCD-HU group had elevated or extremely elevated scores on the Conners-3, compared to only
271 5% of siblings. Chi-squared analyses revealed significant differences between groups, $\chi^2(4, N =$
272 70) = 37.32, $p < .001$, $\phi_c = .25$, 95% CI [.14, .35], medium effect. Pairwise comparisons showed
273 that the percentage of children with ADHD symptoms in the SCD-CTT ($p < .001$, $\phi_c = .38$, 95%

CI [.28, .50], large effect) and SCD-HU ($p < .001$, $\phi_c = .31$, 95% CI [.12, .37], medium effect) groups was greater than that of siblings. Additionally, the SCD-CTT group had a higher percentage of ADHD symptoms than the SCD-HU group, $p < .001$, $\phi_c = .23$, 95% CI [.20, .44], medium effect (see Figure 1 panel b).

All children with SCD and siblings had mean T scores on the Conners-3 in the typical range (< 60). With respect to mean differences between groups, children in the SCD-CTT ($M = 59.9$, $SD = 13.1$) and SCD-HU ($M = 57.5$, $SD = 11.6$) groups were almost one SD above the normative mean. In contrast, siblings had average T scores ($M = 50.5$, $SD = 11.2$) that were consistent with published norms (50 ± 10).

ANOVA revealed significant between group differences in T scores for ADHD symptoms, $F(2, 67) = 3.3$, $p = .04$, $\eta_p^2 = .09$, 95% CI [.001, .22], medium effect. Post hoc analyses showed that children in the SCD-CTT group had mean T scores that were 9 points significantly higher than those of siblings, $p = .05$, $d = .78$, 95% CI [.11, 1.46], medium effect. Children in the SCD-HU group had mean T scores that were 7 points higher than those of siblings, $p = .07$, $d = .54$, 95% CI [-.04, 1.133], medium effect; however, this absolute difference did not reach statistical significance. Regarding children with SCD, the SCD-CTT and SCD-HU groups had similar T scores (2 point difference), $p = .51$, $d = .28$, 95% CI [-.32, .89], small effect (see Figure 2 panel b).

Executive Dysfunction

Regarding executive dysfunction on the BRIEF-2, 52% of all children with SCD had potentially or clinically elevated scores. One sample z-tests revealed that the percentage of executive dysfunction reported on the BRIEF-2 was significantly greater than the 12% of

297 learning and language difficulties documented in the medical record, $\chi^2(1, N = 48) = 73.03, p <$
298 .001, $h = .90$, 95% CI [.30, 1.49], large effect.

299 At the group level, 53% of children in the SCD-CTT group and 51% of children in the
300 SCD-HU group had potentially or clinically elevated scores on the BRIEF-2, compared to 15%
301 of siblings. Chi-squared analyses revealed significant differences between groups, $\chi^2(4, N = 69)$
302 $= 42.05, p < .001, \phi_c = .26$, 95% CI [.15, .37], medium effect. Pairwise comparisons showed that
303 the percentage of children with executive dysfunction in the SCD-CTT ($p < .001, \phi_c = .41$, 95%
304 CI [.29, .53], large effect) and SCD-HU ($p < .001, \phi_c = .40$, 95% CI [.29, .53], large effect)
305 groups was higher than that of siblings. However, there were similar percentages of executive
306 dysfunction in the SCD-CTT and SCD-HU groups, $p = .50$ (see Figure 1 panel c).

307 Average raw scores on the BRIEF-2 for children in the SCD-CTT (M = 21.4, SD = 4.4)
308 and SCD-HU (M = 19.9, SD = 4.6) group were in the potentially clinically elevated range (>
309 19.5). Siblings had average scores (M = 16.1, SD = 4.6) that were within normative expectations
310 (< 19.5). ANOVA revealed significant group differences in executive dysfunction $F(2, 66) = 7.4$,
311 $p = .001, \eta_p^2 = .18$, 95% CI [.02, .35], large effect. Post hoc analyses showed that children in the
312 SCD-CTT group had raw scores that were 5 points higher than those of siblings, $p = .002, d =$
313 1.25, 95% CI [.53, 1.96], large effect. Children in the SCD-HU group had raw scores nearly 4
314 points higher scores than those of siblings, $p = .007, d = .83$, 95% CI [.23, 1.43], large effect.
315 Regarding children with SCD, the SCD-CTT and SCD-HU groups had similarly high scores (1.5
316 point difference), $p = .29$ (see Figure 2 panel c).

317

318 Health-related Quality of Life

319 Scores on the PedsQL were only available for children with SCD. Regarding HRQOL,
320 65% of children in the SCD-CTT group and 48% of children in the SCD-HU group had scores in
321 the poor range. Additionally, 35% of children in the SCD-CTT and 52% of children in the SCD-
322 HU group had scores in the intermediate range. No caregiver reported high levels of HRQOL for
323 children with SCD. Chi squared analyses revealed that children in the SCD-CTT group were
324 more likely to have poor HRQOL than children in the SCD-HU group, $\chi^2(1, N = 48) = 4.8, p =$
325 .03, $\phi_c = .16$, 95% CI [.02, .30], small effect (see Figure 1 panel d).

326 In addition, children in the SCD-CTT ($M = 50.4, SD = 17.9$) and SCD-HU ($M = 54.9, SD$
327 $= 18.9$) groups had mean scores indicating poor HRQOL (< 60). With respect to mean
328 differences between groups, ANOVA indicated that the SCD-CTT and SCD-HU groups had
329 similarly poor scores (4.5 point difference), $F(1, 48) = .68, p = .40, \eta_p^2 = .01, 95\% CI [.00, .13]$,
330 negligible effect (see Figure 2 panel d).

331
332 Overall, screening measures pointed to clinical scores indicative of psychological risk
333 that was not previously noted in the medical records of children with SCD. Specifically, children
334 with SCD, particularly those in the greater disease severity SCD-CTT group, had scores
335 indicating greater risk for social-emotional problems, ADHD symptoms, and exhibited more
336 behaviors indicative of executive dysfunction than siblings. Effect sizes generally ranged from
337 medium to large, suggesting clinically meaningful differences between groups. In addition, poor
338 HRQOL was found for both the SCD-CTT and SCD-HU groups, with no caregiver reporting that
339 children with SCD had high HRQOL.

341 Relationships Between Measures

342 We next sought to determine the relationships among social-emotional problems, ADHD
343 symptoms, executive dysfunction, HRQOL, and treatment factors (i.e., length of treatment
344 [either CTT or HU], number of days hospitalized in the past year) in children with SCD (see
345 Table 2). Correlation analyses revealed that scores from all screening measures were strongly
346 correlated with one another, indicating that caregivers who reported more problems in one area
347 of psychological functioning reported more problems in the other areas. In terms of treatment
348 factors, scores from the ADHD symptoms, executive dysfunction, and HRQOL screening
349 measures were significantly related to number of days hospitalized, $ps < .05$, medium effects;
350 there was also a trend toward a significant relationship with social-emotional problems. In
351 contrast, scores from none of the screening measures were significantly related to length of time
352 receiving treatment, $ps > .05$, negligible small effects.

353 We next conducted four linear regressions to determine whether hospitalizations
354 predicted social-emotional problems, ADHD symptoms, executive dysfunction, or HRQOL. The
355 mean number of days hospitalized was 4.3 (SD = 8.0) for children with SCD. As previously
356 noted, the SCD-CTT group had significantly more children who had at least one stroke or SCI
357 than the SCD-HU group. By entering stroke/SCI status as an independent variable in our
358 regressions before number of days hospitalized, we were able to determine the influence of
359 number of days hospitalized beyond that attributable to stroke/SCI status.

360 Results revealed that stroke/SCI status did not predict scores on any screening measure for
361 children with SCD, $ps > .05$ in all instances. After the influence of stroke/SCI status was taken
362 into account, the number of days hospitalized did not predict social-emotional problems for
363 children with SCD, $F(1, 42) = 2.81, p = .10, \eta_p^2 = .06, 95\% \text{ CI } [.006, .23]$, small effect.

364 However, after stroke/SCI status was taken into account, number of days hospitalized

365 significantly predicted ADHD symptoms, $F(2, 42) = 6.25, p = .02, \eta_p^2 = .13, 95\% \text{ CI } [.003, .39]$,
366 executive dysfunction, $F(2, 41) = 4.74, p = .04, \eta_p^2 = .10, 95\% \text{ CI } [.001, .28]$, and HRQOL, $F(2,$
367 $41) = 4.16, p = .05, \eta_p^2 = .09, 95\% \text{ CI } [.001, .27]$, all with medium effects.

368 Based on these regressions, the estimated mean T score for ADHD symptoms was 54.50,
369 with every .50 days hospitalized predicting a 1 point increase in T score. The estimated mean
370 raw score for executive dysfunction was 19.43, with every .18 days hospitalized predicting a 1
371 point increase. Finally, the estimated mean score for HRQOL was 55.50, with every .71 days
372 hospitalized predicting a 1 point decrease (i.e., poorer HRQOL).

374 Discussion

375
376 Previous studies have demonstrated that children with SCD have disproportionately high
377 rates of social-emotional problems (Benton et al., 2007), ADHD symptoms (Acquazzino et al.,
378 2017), and executive dysfunction (Berg, Edwards, & King, 2012), as well as poor HRQOL
379 (Ojelabi, Graham, & Ling, 2017). We found similarly high rates in the present study using
380 relatively brief and easily administered screening measures. Most strikingly, approximately one-
381 half of children with SCD (receiving either CTT or HU) in our study exhibited behaviors
382 indicative of executive dysfunction, which is consistent with rates seen in other chronic-illness
383 populations (Gioia et al., 2015). Of clinical significance, group mean scores were approximately
384 1 SD above the normative mean, with greater executive dysfunction than siblings. In addition,
385 approximately one-third of children with SCD receiving CTT had social-emotional problems and
386 ADHD symptoms (both of which were greater than those of siblings), replicating findings from
387 earlier studies in which lengthier clinical interviews were administered (Barbarin et al., 1994;

388 Benton et al., 2011). Overall, in contrast to children with SCD, siblings were generally rated as
389 having few psychological problems, suggesting that the problems experienced by children with
390 SCD were largely disease related rather than a function of the social and familial challenges they
391 share with their siblings.

392 Although HRQOL was only obtained for children with SCD, our results are nonetheless
393 compelling. No child in our study was rated as having high HRQOL. In fact, almost one-half
394 were rated as having poor HRQOL. Poor HRQOL has been demonstrated frequently for children
395 with SCD (Ojelabi et al., 2017), but the very low scores (< 55) observed in our study are not
396 commonplace. Although speculative, it is possible that the proximity of blood transfusion to
397 completion of screening measures could have had a negative influence on caregivers' view of
398 HRQOL. Future research examining the relationship between proximity of blood transfusion to
399 HRQOL ratings will provide further clarity.

400 With regard to additional factors that could influence psychological function, we believed
401 it was important to consider neurological status, because the children with SCD in our study
402 receiving CTT had a higher incidence of stroke and/or SCI than those receiving HU.
403 Interestingly, neurologic status was not a driving factor in psychological differences between our
404 groups of children with SCD. However, the number of days hospitalized was a strong predictor
405 of psychological problems. Children with SCD experience recurrent and severe pain which can
406 take a significant toll, and frequent hospitalizations for pain are often indicators of challenges
407 with SCD-disease management. In addition, if a patient with a central venous catheter has a
408 fever, they are more likely to be hospitalized to treat possible blood infections with intravenous
409 antibiotics while blood culture findings are pending. Depressive symptoms in children with SCD
410 (which can worsen during hospitalization) are also related to poorer medication adherence

411 (Badawy et al., 2017) and contribute to increased hospital admissions (Dampier et al., 2016;
412 Myrvik et al., 2013). As such, screening for psychological problems may be particularly crucial
413 for children who have more frequent and/or prolonged hospitalizations.

414 It is also notable that, compared to findings from our screening measures, considerably
415 lower rates of psychological problems were noted in the medical records of the children in our
416 study. As such, our findings provided support for the use of screening measures as a basis to
417 refer patients for psychological and/or neuropsychological evaluation and subsequent
418 intervention rather than relying solely on the judgment of medical professionals. This approach
419 would reduce the burden on medical professionals who may be less confident in their ability to
420 detect and diagnose psychological problems, as well as ensuring that their patients receive the
421 most comprehensive care possible.

422 In terms of choosing specific screening measures, we identified high correlations among
423 all of the measures we administered. Thus, at first glance it might appear reasonable to
424 administer only the BESS, as this measure provides a rapid screen of overall psychological
425 functioning. However, if used in isolation it is possible that cognitive problems (e.g., executive
426 dysfunction, ADHD symptoms) may be overlooked. Although it is important to consider
427 limitations on time and resources in a busy medical clinic, inclusion of at least one social-
428 emotional and one cognitive screening measure may be more effective in assessing potential risk
429 for psychological problems. Medical providers could then use the clinical cut-offs associated
430 with the chosen measures to determine who is in need of referral for comprehensive
431 psychological or neuropsychological evaluation. For example, a score of > 65 on either the BESS
432 or BRIEF-2 would indicate clinical risk and trigger referral.

433 Turning to limitations of the current study, our restricted sample size limited power to
434 address additional questions such as gender differences. We also examined the percentage of
435 psychological problems in relation to findings from the medical record, which serves as only a
436 proxy of medical professional judgment. Additionally, it is unclear whether our results generalize
437 to children with milder disease severity, who have fewer hospitalizations or are not undergoing
438 treatment with transfusion or HU. There are also other variables that contribute to poorer
439 psychological functioning that were not measured in this study, such as the frequency of
440 emergency room visits and absenteeism from school (Myrvik et al., 2013; Schwartz, Radcliffe,
441 & Barakat, 2009). All of these issues will be important points of consideration in future research.

442 Nonetheless, our study demonstrated that incorporating brief, easily administered,
443 relatively inexpensive psychological screening measures into the clinical care of children with
444 SCD is warranted. Psychological screening is feasible in the medical clinic, as each measure we
445 administered took approximately 5 minutes to complete. For busy medical professionals
446 attempting to treat children with a range of psychological difficulties, psychological screening
447 will add to the comprehensiveness of care, reduce the burden of clinical judgment, and ensure
448 that children receive proper referrals for psychological support.

449 **Conclusion**

451 Medical treatments for children with SCD have shifted from survival to management of
452 this chronic lifelong disease, which makes it essential that we treat comorbid psychological
453 conditions that occur at high rates in this population. Our findings demonstrate that brief
454 screening measures can help determine which patients with SCD need psychological referral.

455 Early identification of psychological problems and subsequent intervention will contribute to
456 improved well-being in this vulnerable population.

457

458

459 **DISCLOSURES OF CONFLICTS OF INTEREST**

460 The authors declare no conflicts of interest.

461

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468

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475

476 **Figure 1.** Classifications of clinical risk on caregiver-reported screening measures using the
477 BESS, Conners-3, BRIEF-2, and PedsQL in children with SCD and siblings. BESS = Behavioral
478 and Emotional Screening System; Conners-3 = Conners 3rd Edition-Short Form; BRIEF-2 =
479 Behavior Rating Inventory of Executive Function Screener; PedsQL = Pediatric Quality of Life
480 Inventory Sickle Cell Disease Module; SCD = Sickle cell disease; CTT = Chronic blood
481 transfusion therapy; HU = Hydroxyurea therapy

482
483 **Figure 2.** Differences in group mean scores on caregiver-reported screening measures using the
484 BESS, Conners-3, BRIEF-2, PedsQL in children with SCD (SCD-CTT and SCD-HU) and
485 siblings. Higher scores on the BESS, Conners-3, and BRIEF-2 indicate more clinical concerns;
486 lower scores on the PedsQL indicate poorer HRQOL. BESS = Behavioral and Emotional
487 Screening System; Conners-3 = Conners 3rd Edition-Short Form; BRIEF-2 = Behavior Rating
488 Inventory of Executive Function Screener; PedsQL = Pediatric Quality of Life Inventory Sickle
489 Cell Disease Module; SCD = Sickle cell disease; CTT = Chronic blood transfusion therapy; HU
490 = Hydroxyurea therapy. Error bars represent 95% confidence intervals. † = $p < .1$, * = $p < .05$, ** =
491 $p < .01$, *** = $p < .001$. Dashed line (---) represents cutoff for clinical risk.
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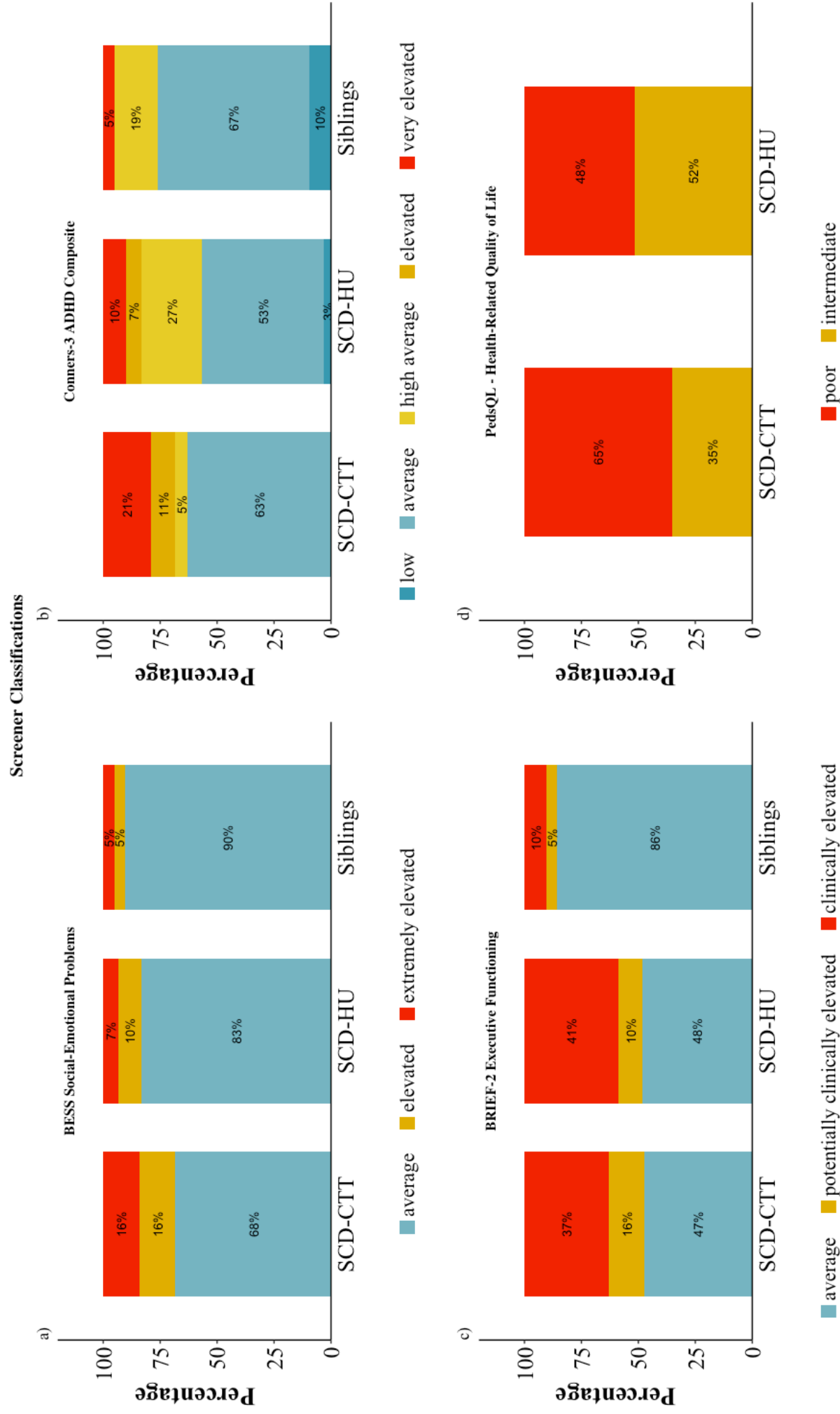
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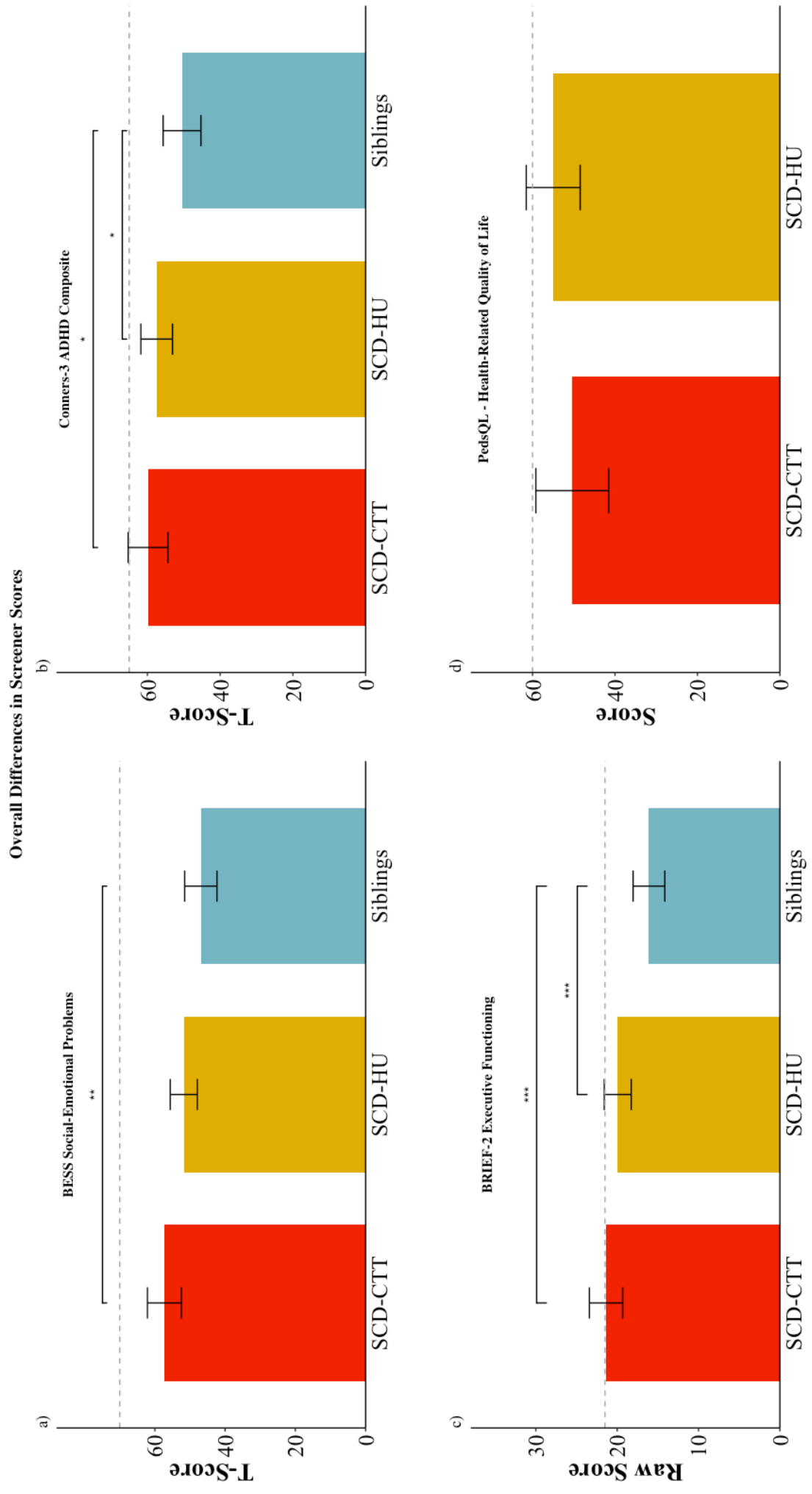


Table 1. Characteristics of children with SCD and siblings

Characteristics	SCD-CTT (n = 20)	SCD-HU (n = 31)	Siblings (n = 21)	Statistic	Effect Size 95 % CI	p value
Demographics						
Mean (SD)						
Age (years)	12.1 (3.7)	11.9 (3.7)	13.4 (4.7)	$F = .16$	$\eta_p^2 = .005$ [.00, .02]	.85
Range	4 - 18	5 - 18	5 - 18			
N (%)						
Race						
Black	20 (100%)	29 (94%)	21 (100%)	$\chi^2 = 2.72$	$\phi_c = .19$ [.00, .41]	.27
Bi-racial (Black/White)	0 (0%)	2 (6%)	0 (0%)			
Gender						
Male	9 (45%)	15 (48%)	9 (43%)	$\chi^2 = .16$	$\phi_c = .05$ [.00, .28]	.92
Female	11 (55%)	16 (52%)	12 (57%)			
Disease Related Factors						
Mean (SD)						
# of days hospitalized in last year	6.5 (11.5)	2.8 (4.0)	—	$t = 1.35$	$d = .40$ [-.20, 1.00]	.01*
Range	0 - 39	0 - 11	—			
Treatment length (months)	46.5 (33.7)	46.9 (9.3)	—	$t = .04$	$d = .01$ [-.59, .56]	.96
Range	6 - 125	6 - 114	—			
N (%)						
Sickle cell genotype						
HbSS	19 (95%)	28 (91%)	—	$\chi^2 = 1.72$	$\phi = .19$ [.02, .50]	.19
HbS-beta thal +	0 (0%)	2 (6%)	—			
HbS-beta thal zero	0 (0%)	1 (3%)	—			
HbSD	1 (5%)	0 (0%)	—			
Stroke Status						
Stroke/SCI	16 (80%)	10 (32%)	—	$\chi^2 = 9.26$	$\phi = .47$ [.22, .69]	.002*
Neither	4 (20%)	21 (68%)	—			

Note: SCD = Sickle cell disease; CTT = Chronic blood transfusion therapy; HU = Hydroxyurea therapy; 95% CI = 95% Confidence Interval; η_p^2 = partial eta squared; ϕ_c = Cramer's V; d = Cohen's D; ϕ = Phi; HbSS = sickle cell anemia; HbS-beta thal + = Hemoglobin beta plus thalassemia; HbS-beta thal zero = Hemoglobin beta zero thalassemia; HbSD = Hemoglobin S-D-Los Angeles; SCI = silent cerebral infarct. * Indicates a significant difference between children in the SCD-CTT and SCD-HU groups.

Table 2. Correlations between screening measures of [social-emotional functioning](#), ADHD symptoms, executive [function, quality of life](#), and treatment factors [in children with SCD](#)

Variables	1.	2.	3.	4.	5.
1. BESS – social-emotional functioning					
2. Conners-3 ADHD symptoms	.81**				
3. BRIEF-2 executive functioning	.81**	.67**			
4. PedsQL – health -related quality of life	-.48**	-.47**	-.43**		
5. Number of days hospitalized in past year	.27 [†]	.38*	.33*	-.30*	
6. Length of time receiving CTT or HU	.05	-.08	.04	-.09	.10

Note. BESS = Behavioral and Emotional Screening System; Conners-3 ADHD = Conners 3rd Edition-Short Form - Attention Deficit Hyperactivity Disorder symptoms; BRIEF-2 = Behavior Rating Inventory of Executive Function; PedsQL = Pediatric Quality of Life Inventory Sickle Cell Disease Module; [CTT = Chronic blood transfusion therapy](#); HU = Hydroxyurea [therapy](#). [†] = < .1, * = p < .05, ** = p < .01.