



# Investigating exploration for deep reinforcement learning of concentric tube robot control

Keshav Iyengar<sup>1</sup> · George Dwyer<sup>1</sup> · Danail Stoyanov<sup>1</sup>

Received: 19 November 2019 / Accepted: 28 April 2020  
© The Author(s) 2020

## Abstract

**Purpose** Concentric tube robots are composed of multiple concentric, pre-curved, super-elastic, telescopic tubes that are compliant and have a small diameter suitable for interventions that must be minimally invasive like fetal surgery. Combinations of rotation and extension of the tubes can alter the robot's shape but the inverse kinematics are complex to model due to the challenge of incorporating friction and other tube interactions or manufacturing imperfections. We propose a model-free reinforcement learning approach to form the inverse kinematics solution and directly obtain a control policy.

**Method** Three exploration strategies are shown for deep deterministic policy gradient with hindsight experience replay for concentric tube robots in simulation environments. The aim is to overcome the joint to Cartesian sampling bias and be scalable with the number of robotic tubes. To compare strategies, evaluation of the trained policy network to selected Cartesian goals and associated errors are analyzed. The learned control policy is demonstrated with trajectory following tasks.

**Results** Separation of extension and rotation joints for Gaussian exploration is required to overcome Cartesian sampling bias. Parameter noise and Ornstein–Uhlenbeck were found to be optimal strategies with less than 1 mm error in all simulation environments. Various trajectories can be followed with the optimal exploration strategy learned policy at high joint extension values. Our inverse kinematics solver in evaluation has 0.44 mm extension and 0.3° rotation error.

**Conclusion** We demonstrate the feasibility of effective model-free control for concentric tube robots. Directly using the control policy, arbitrary trajectories can be followed and this is an important step towards overcoming the challenge of concentric tube robot control for clinical use in minimally invasive interventions.

**Keywords** Deep reinforcement learning · Concentric tube robots · Robot control · Surgical robotics

## Introduction

Robotic articulation can enable minimally invasive surgery (MIS) for challenging procedures where minimally inva-

sive approaches are typically prohibited by manual straight instrumentation. Fetal surgery for the treatment of congenital malformations in the fetus is one such specialization [6,7]. While various robotic systems and architectures have been proposed for fetal interventions, one of the most important requirements in instrumentation is to have flexible articulated instruments while maintaining a very small instrument profile to minimize trauma at the entry port. Concentric tube robots [4] are a sub-type of continuum robot that use neighbouring tube interactions of bending and twisting when rotated and translated to form curvilinear paths as shown in Fig. 1. These paths can avoid anatomical structures, be compliant and still offer dexterity at the tip, and importantly for fetal interventions, be implemented at very small diameters. However, concentric tube robot designs have major challenges in achieving reliable control because of robot kinematics modelling error [14]. Common modelling approaches of concentric tube robot

---

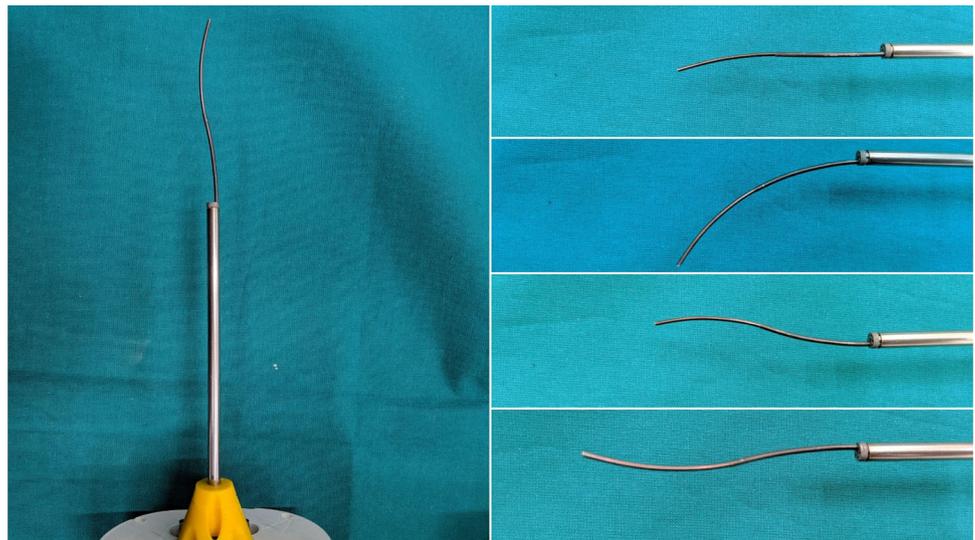
This work was supported by the Wellcome/EPSC Centre for Interventional and Surgical Sciences (WEISS) at UCL (203145Z/16/Z), EPSC (EP/P027938/1, EP/R004080/1) and the H2020 FET (GA 863146). This work was supported through an Innovative Engineering for Health award by Wellcome Trust [WT101957]; Engineering and Physical Sciences Research Council (EPSC) [NS/A000027/1]. Danail Stoyanov is supported by a Royal Academy of Engineering Chair in Emerging Technologies (CiET1819\2\36) and an EPSC Early Career Research Fellowship (EP/P012841/1).

---

✉ Keshav Iyengar  
keshav.iyengar@ucl.ac.uk

<sup>1</sup> Charles Bell House, 43-45 Foley St, Fitzrovia,  
London W1W 7TY, UK

**Fig. 1** The figure shows the curvilinear path of a two tube concentric tube robot designed for fetal surgery [6]. The series of images on the right illustrate the workspace of the robotic instrument as the system extends



kinematics are based on special Cosserat rods for each tube undergoing bending and torsion that lead to no analytical solution for robots consisting of two tubes or more or for pre-curvature that varies with length [5,19]. Additional factors like friction and tube tolerances have been investigated [14] but are difficult to integrate because of the large computational load for modelling. Inverse kinematics strategies applied are common approaches like numerical root finding or differential inverse kinematics [3,5]. Comparing data-driven approach (DDA) methods to inverse kinematics strategies for a tendon-driven continuum robot showed DDA approaches are faster and more accurate [21]. A model-free DDA method would be beneficial because of accuracy in real scenarios compared to current model-based inverse kinematics strategies. The modelling inconsistencies are manufacturing tolerances, unmodelled tube interactions and unpredictable contacts. Furthermore, unlike neural network approaches that have been proposed [2,8] reinforcement learning can be trained in successively complex environments and eventually to a real environment by combining training parameters [17]. Reinforcement learning is then data efficient, if the cost of collecting real life data is high.

In this paper, we investigate different exploration strategies in model-free deep reinforcement learning for concentric tube robots. Specifically, zero-mean Gaussian noise, Ornstein–Uhlenbeck noise and parameter noise to enhance DDA control strategies. Joint sampling bias and number of tubes scalability are two main challenges that are encountered with this approach. We show how learning overcomes these challenges and demonstrate that control based on reinforcement learning can also directly follow a trajectory, a feature not available to other DDA methods. Path planners and teleoperation methods can incorporate this model-free solver for

fetal surgery for which concentric tube mechanisms are being developed.

## Prior work and preliminaries

Reinforcement learning is a framework to map states to actions by maximizing a numerical reward signal. The reward signal is from an environment and an agent uses this signal to determine future actions. As described in [20], if a system is in state  $s_t$  at timestep  $t$ , and a certain action  $a_t$  is taken, then it enters state  $s_{t+1}$  and receives a reward signal  $r_t$ . A policy  $\pi$  must be developed taking into account the current reward and all subsequent future rewards before episode termination. A policy determines which action is likely to have the greatest cumulative reward over the sequence of all future actions. An estimate of the overall expected reward of the current state-action pair is known as the  $Q$ -value. A recursive relationship exists between the  $Q$ -value and policy in the form of the Bellman equation:

$$Q^\pi(s_t, a_t) = \mathbb{E}_{r_t, s_{t+1} \sim E} [r_t + \gamma Q^\pi(s_{t+1}, \pi(s_{t+1}))], \quad (1)$$

where  $s_t$  and  $a_t$  are the state and action at timestep  $t$ ,  $Q^\pi$  is the  $Q$ -value function following policy  $\pi$  and  $\gamma$  is the discount factor. The reward  $r_t$  and next state  $s_{t+1}$  are from the environment  $E$ . Actor-critic methods of reinforcement learning use a critic to estimate the  $Q$ -value function and an actor to estimate the policy function and update the policy function in the direction suggested by the critic with policy gradients [13]. Reinforcement learning problems are formulated as a Markov Decision Process (MDP). An MDP consists of set of states, set of actions, a reward function and the discount factor. All states follow the Markov property and transitions between states are fully defined with an action and reward





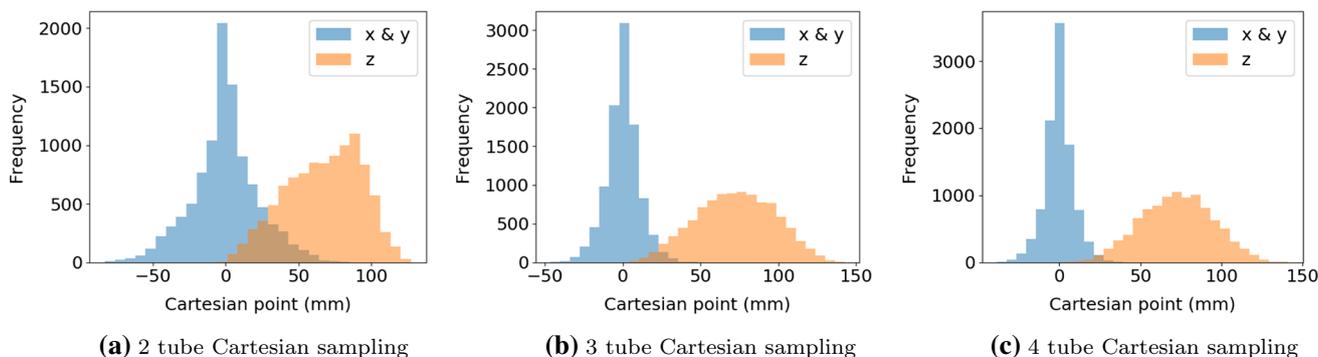


Fig. 3 10,000 Cartesian point sampling distribution

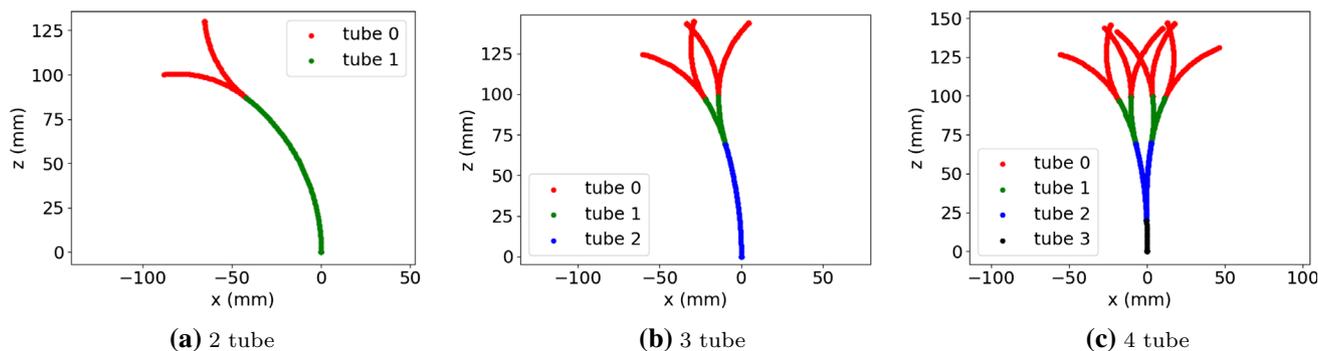


Fig. 4 Illustration of robot in full extension with tube rotations 0° and 180°

pling a value from a zero-mean Gaussian distribution and arithmetically adding it to the selected action.

$$a_t = \mu(s_t|\theta^\mu) + \mathcal{N}(\mathbf{0}, \Sigma) \tag{12}$$

Often a single standard deviation multivariate Gaussian, such that  $\Sigma = \sigma^2 \mathbf{I}$ , is used as actions and tend to be of the same units. With a multiple standard deviation multivariate Gaussian, each action index can have an independent standard deviation. For concentric tube robots, extension and rotation joints are of different units therefore independent standard deviations are required. This co-variance matrix is a diagonal matrix with  $\sigma_\alpha$  and  $\sigma_\beta$  in the index associated with rotation or extension.

**Ornstein–Uhlenbeck noise** Ornstein–Uhlenbeck noise process was the original noise process in the DDPG work [13]. The noise is temporally correlated allowing to set a long-term mean  $\mu$ . The process moves towards  $\mu$  with a given standard deviation  $\Sigma$  at a rate  $\theta$  and current value  $x_t$  over timesteps of the episode and is reset with an episode termination.

$$a_t = \mu(s_t|\theta^\mu) + OU(x_t, \theta, \mu, \Sigma) \tag{13}$$

We choose to keep rotation noise zero-mean Gaussian, done by setting the initial and long term mean to zero. The standard deviation for rotation noise is the same as for multivariate

Gaussian. For extension, we choose to push actions towards extension by setting the initial mean to zero and long term mean to the minimum extension action, as small  $\beta$  results in extension. We found  $\theta = 0.3$  to be appropriate for the length of episode in the environments. The co-variance matrix is similar to multivariate Gaussian noise but a different  $\sigma_\beta$ .

$$\mu = [0 \min(\Delta\beta_1) \dots 0 \min(\Delta\beta_n)]^T \tag{14}$$

**Parameter noise** Parameter noise adds noise directly to the policy network weights during training for exploration [18]. Zero mean multivariate Gaussian distribution of size equal to the parameter vector of the policy network is sampled and used to perturb the policy weights directly.

$$a_t = \mu(s|\theta^\mu + \mathcal{N}(\mathbf{0}, \sigma^2 \mathbf{I})) \tag{15}$$

Adding noise directly to the agent’s parameters allows for more consistent exploration across timesteps, whereas exploration added to actions leads to unpredictable exploration which is not correlated to the agent’s parameters [18].

We investigate these exploration strategies in terms of accuracy and scalability with respect to number of tubes. For each noise type the base hyperparameters of DDPG and HER were found with a full hyperparameter search with 1000 trials, 20,000 episodes per trial, a median pruner and a

**Table 2** Base hyperparameters table

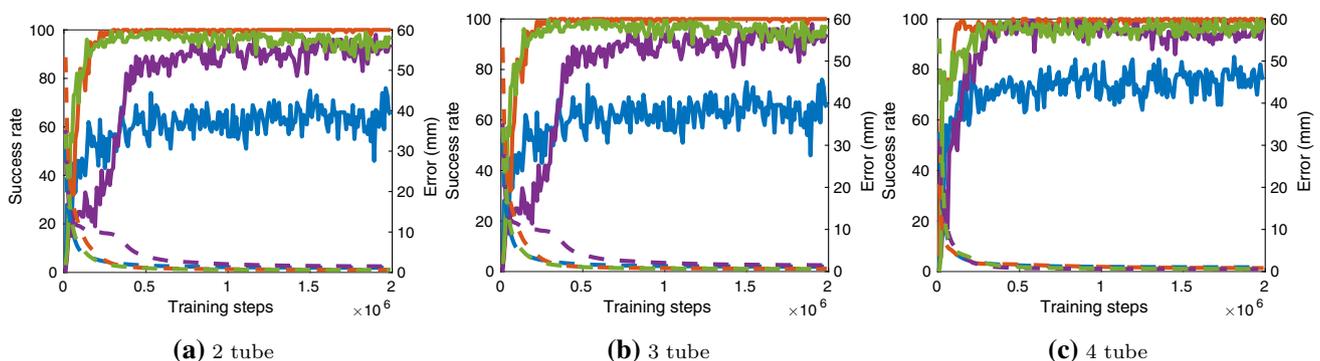
Hyperparameter	Value
Future sampled goals	4
Buffer size	10000
Batch size	256
Gamma	0.95
Tau	0.001
Random exploration	0.294
Actor and Critic learning rate	0.001
Actor and critic hidden layers	[128, 128, 128]

random sampler. The cost function was negative mean cumulative reward in evaluation. This search was performed on the simplest environment, a single tube environment, due to the large number of hyperparameters in the search to optimize. The results of this search are in Table 2 with cost 50.6. In this search,  $\sigma = 0.35$  was found. Next each individual exploration strategy has hyperparameters that need to be tuned. Again, a 1000 trial, 20,000 episodes per trial search is performed in a two tube environment with a median pruner and random sampler to tune the hyperparameters of each exploration strategy. The search results were  $\sigma_\alpha = 0.025$  and  $\sigma_\beta = 0.00065$  with 60.2 cost for multivariate Gaussian,  $\sigma_\beta = 0.00021$  with Ornstein–Uhlenbeck with 81.0 and  $\sigma = 0.24$  with 41.2 cost for parameter noise. Hyperparameter searches were performed not to find optimal hyperparameters, rather this search is done to prevent learning instability inherent in model-free algorithms [16]. Henceforth we reference type 1, zero mean multivariate Gaussian noise with a single standard deviation, type 2, zero mean multivariate Gaussian noise with multiple standard deviations, type 3, parameter noise and type 4, Ornstein–Uhlenbeck.

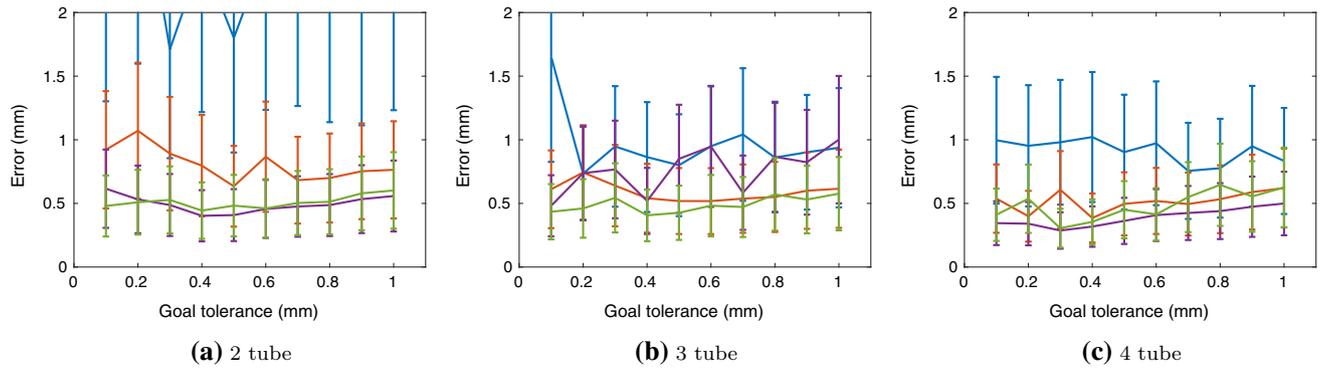
## Experiments and results

For training, we used a server cluster with Intel Xeon Gold 6140 18C 140W 2.3GHz with 19 parallel workers, 2 million timesteps [17] and stable baselines [11]. For each environment, there are four experiments for each exploration strategy for a total of 12 experiments to compare strategies. We also perform additional experiments to demonstrate features of the learned policy. The first additional experiment is evaluating varying the goal tolerance after training. The training goal tolerance was 1.0 mm but errors can be reduced by lowering this goal tolerance in evaluation. Second, we demonstrate following varied trajectories on a  $z$ -plane with  $z$ -values at high extension, to test if the joint to Cartesian sampling bias has affected the learned policy.

The results of training shown in Fig. 5 illustrate that all exploration strategies have different convergence. Type 1 noise converges to a success rate of about 60% for 2 and 3 tubes and 70% for 4 tubes while type 2 noise converges to around 95–99% in all tube environments. Type 1 and type 2 noise only differ with how the mean and variance are represented as both are Gaussian noise processes. The main difference between type 1 and type 2 is the separation of standard deviation values for extension and rotation. Separation of these joints in exploration is crucial for convergence. The error side of Fig. 5 show errors reduce incrementally with timesteps and the final evaluation error is shown in Fig. 6 with the goal tolerance at 1.0 mm. With low success rate, high error values for type 1 noise appear in all environments however, high success rate does not necessarily indicate lowest errors. For example, in Fig. 6a, although type 2 performs the best in success rate, it is actually type 3 and 4 that have the lowest errors. This indicates type 2 has only learned the minimal goal tolerance value while type 3 and type 4 have learned further towards the desired goal. Looking from a number of tube scalability perspective, Fig. 5 illustrates with more tubes convergence occurs in less timesteps. Joint to Cartesian sam-



**Fig. 5** Success rate and evaluation error at training episodes. Solid lines are success rate and dashed lines are error. Blue is type 1, orange type 2, purple type 3 and green type 4



**Fig. 6** Goal tolerance and resulting mean and standard deviation evaluation error. Blue is type 1, orange type 2, purple type 3 and green type 4

**Table 3** Trajectory following errors with  $z = 100$  mm for 2 tube and  $z = 125$  mm for 3 and 4 tube robots

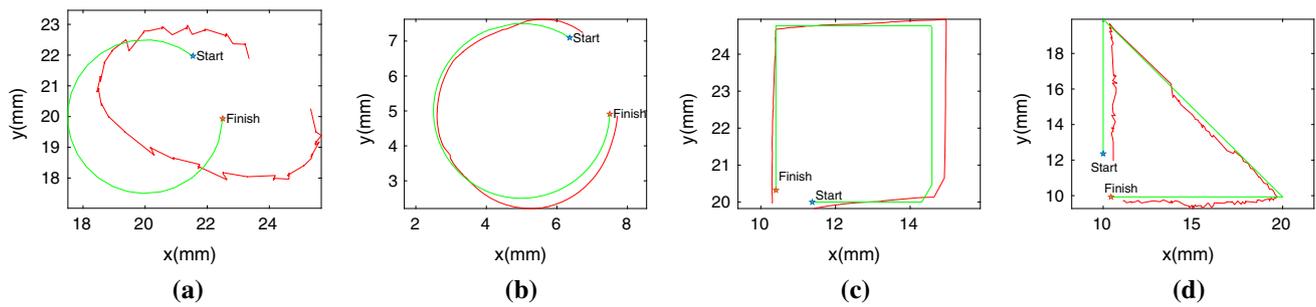
	Circle		Square		Triangle	
	Mean	Std	Mean	Std	Mean	Std
<i>2-tube</i>						
Type 1	2.29	1.09	4.35	1.14	3.10	0.80
Type 2	0.82	0.27	1.03	0.48	1.10	0.40
Type 3	0.60	1.21	0.34	0.07	1.19	0.92
Type 4	0.68	0.37	0.31	0.11	0.99	0.91
<i>3-tube</i>						
Type 1	4.21	2.31	4.21	3.42	4.21	6.47
Type 2	0.59	0.59	1.84	0.52	0.85	0.19
Type 3	2.55	1.32	2.50	1.26	0.37	0.15
Type 4	0.33	0.12	0.40	0.17	0.59	0.07
<i>4-tube</i>						
Type 1	2.27	0.83	2.95	0.86	1.72	1.05
Type 2	1.57	0.98	1.24	0.62	1.22	0.68
Type 3	0.54	0.17	0.39	0.06	0.66	0.40
Type 4	0.32	0.07	1.23	0.69	0.69	0.26

pling bias of desired goal points and redundant joint solutions are the main reasons this behaviour is seen.

In our first additional experiment, we vary goal tolerance after training during evaluation shown in Fig. 6. Even though training is done with a 1.0 mm tolerance, if we vary the goal tolerance to 0.4 mm in Fig. 6b, the mean error is at a minimum for type 4. Similar behaviour is seen in the other noise types as well. We believe this indicates the policy learned in some cases, can perform better than the goal tolerance it was originally trained on. We hypothesize this result can be used to vary goal tolerance in a decaying way during training to improve training speed and convergence. Initially, high goal tolerance will allow for quick episodes and success, with subsequent episodes, having a better trained policy, will be more successful in reaching the goals with lower tolerance.

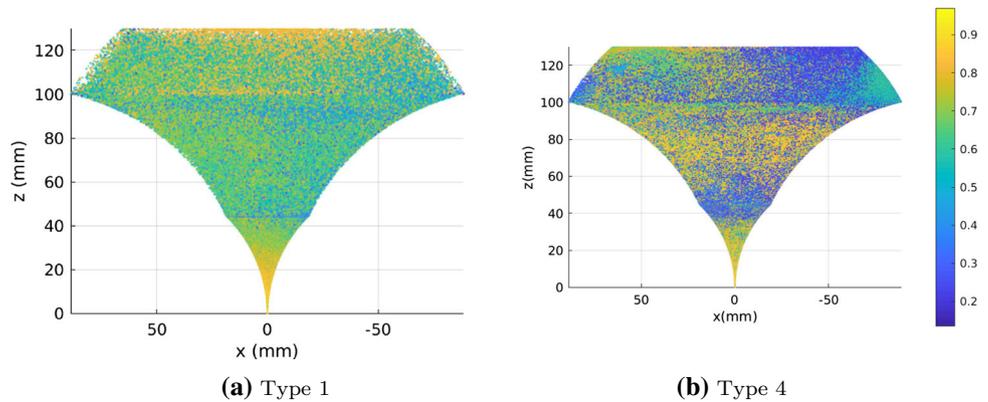
The trajectory following experiments consists of following a circle, square and triangle for each noise type in each environment. The circle will only require rotation actions but the square and triangle will require a combination of rotation and extension actions making them more difficult to follow. In Table 3, we do not see higher errors for square and triangle trajectories in all cases. We hypothesize this is because the joint to Cartesian sampling bias has been overcome in these cases and errors generated are similar for extension and rotation actions. The results from the original experiments are echoed, with type 1 performing poorly as seen in Fig. 7a and other sample trajectories are given in Fig. 7. We found that the location of the shape did play a small role in error results, depending on tube and noise type. We ensure we are in the same quadrant but although rotation exploration is good, it is not equal and requires further study. A more sophisticated controller would also perform better as here we are simply appending the goal in the algorithm and running continuously. To visualize differences between explored and unexplored workspaces, we plot  $Q$ -value RGB point cloud data of a two tube robot with type 1 noise and type 4 noise at achieved goal points during training in Fig. 8. In Fig. 8a, edges of the workspace at extension show sparse points, indicating there are unvisited sites. Comparatively, in Fig. 8b, the edges are densely packed with points. Looking at the  $Q$ -value RGB, Fig. 8a, is very homogeneous whereas Fig. 8b is not. We think this is because a poorly approximated critic function will output similar  $Q$ -values for most state-action pairs since the parameters of the network are sub-optimal. A correctly trained critic will be able to compute varying  $Q$ -values based on the current state and selected action resulting in a wide range of  $Q$ -values.

DDA inverse kinematics solver comparisons are difficult to interpret as tube parameters [2] and unmodelled noise processes and perturbations in hardware affect accuracy results and we report results from other work for completeness only. For qualitative comparison, Bergeles et al. [2] report an error of  $\sim 0.8$  mm of extension and  $0.1^\circ$  rotation for a 3 tube



**Fig. 7** Green is the desired trajectory and red is the followed trajectory. **a** 2 tube type 1, **b** 3 tube type 4, **c** 2 tube type 4 and **d** 4 tube type 3

**Fig. 8** Two tube environment with parameter noise type and 0.6 mm goal tolerance. RGB  $Q$ -values are normalized between 0 (blue) and 1 (yellow) and visualized



robot with a variable and fixed curvature section in simulation. Grassmann et al. [8] do not record simulation results but presents hardware results of a 3 tube robot of 4.0 mm extension and  $8.3^\circ$  rotation error. Using type 4 noise on a 3 tube robot in simulation with a dominant stiffness model, our results show an average extension error of 0.44 mm and  $0.3^\circ$  or  $\sim 0.5$  mm Cartesian error when the desired joint goal and achieved joint goal match due to multiple solutions.

## Conclusions

In this paper, we investigated different noise types to achieve model-free reinforcement learning for control of concentric tube robots in surgical applications. We explored the effect on sampling bias and scalability with respect to the number of degrees of freedom within numerical simulations and demonstrated that reinforcement learning-based DDA is viable for training a dominant stiffness model given correct exploratory noise and hyperparameter selections. We found Ornstein–Uhlenbeck and parameter noise to perform well in environment exploration with multiple tube robots following different trajectory paths to demonstrate the control policy. Interestingly, changing the goal tolerance from training during evaluation can result in lower errors which can be used to improve training complexity and speed as well as convergence in simulation environments. Although fetal surgery

is performed manually today, with novel robot designs and potential of concentric tube robots, our model-free inverse kinematics method can aid in future robotic path planning and teleoperation for fetal and other MIS interventions.

## Compliance with ethical standards

**Conflict of interest** The authors Keshav Iyengar, George Dwyer and Danail Stoyanov confirm that they do not have financial or non-financial conflict of interest related to the work presented in this paper and that the research here detailed did not involve human participants or animals, hence, the need for informed consent does not apply.

**Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/4.0/>.

## References

1. Andrychowicz M, Wolski F, Ray A, Schneider J, Fong R, Welinder P, McGrew B, Tobin J, Abbeel OP, Zaremba W (2017) Hindsight experience replay. In: *Advances in neural information processing systems*, pp 5048–5058
2. Bergeles C, Lin FY, Yang GZ (2015) Concentric tube robot kinematics using neural networks. In: *Hamlyn symposium on medical robotics*, pp 13–14
3. Burgner J, Rucker DC, Gilbert HB, Swaney PJ, Russell PT, Weaver KD, Webster RJ (2014) A telerobotic system for transnasal surgery. *IEEE/ASME Trans Mechatron* 19(3):996–1006. <https://doi.org/10.1109/TMECH.2013.2265804>
4. Dupont P, Gosline A, Vasilyev N, Lock J, Butler E, Folk C, Cohen A, Chen R, Schmitz G RH, del Nido P (2012) Concentric tube robots for minimally invasive surgery. In: *Hamlyn symposium on medical robotics*, vol 7, p 8
5. Dupont PE, Lock J, Itkowitz B, Butler E (2010) Design and control of concentric-tube robots. *IEEE Trans Robot* 26(2):209–225. <https://doi.org/10.1109/TRO.2009.2035740>
6. Dwyer G, Chadebecq F, Amo MT, Bergeles C, Maneas E, Pawar V, Vander Poorten E, Deprest J, Ourselin S, De Coppi P, Vercauteren T, Stoyanov D (2017) A continuum robot and control interface for surgical assist in fetoscopic interventions. *IEEE Robot Autom Lett* 2(3):1656–1663
7. Dwyer G, Colchester RJ, Alles EJ, Maneas E, Ourselin S, Vercauteren T, Deprest J, Vander Poorten E, De Coppi P, Desjardins AE, Stoyanov D (2019) Robotic control of a multi-modal rigid endoscope combining optical imaging with all-optical ultrasound. In: *2019 International conference on robotics and automation (ICRA)*. IEEE, pp 3882–3888
8. Grassmann R, Modes V, Burgner-Kahrs J (2018) Learning the forward and inverse kinematics of a 6-DOF concentric tube continuum robot in SE(3). In: *IEEE international conference on intelligent robots and systems*, pp 5125–5132. Institute of Electrical and Electronics Engineers Inc. <https://doi.org/10.1109/IROS.2018.8594451>
9. Grassmann RM, Burgner-Kahrs J (2019) On the merits of joint space and orientation representations in learning the forward kinematics in SE(3). In: *Robotics: science and systems*
10. Henderson P, Islam R, Bachman P, Pineau J, Precup D, Meger D (2018) Deep reinforcement learning that matters. In: *Thirty-second AAAI conference on artificial intelligence*
11. Hill A, Raffin A, Ernestus M, Gleave A, Kanervisto A, Traore R, Dhariwal P, Hesse C, Klimov O, Nichol A, Plappert M, Radford A, Schulman J, Sidor S, Wu Y (2018) Stable baselines. <https://github.com/hill-a/stable-baselines>
12. Jordan MI, Rumelhart DE (1992) Forward models: supervised learning with a distal teacher. *Cogn Sci* 16(3):307–354
13. Lillicrap TP, Hunt JJ, Pritzel A, Heess N, Erez T, Tassa Y, Silver D, Wierstra D (2015) Continuous control with deep reinforcement learning. [arxiv:1509.02971](https://arxiv.org/abs/1509.02971)
14. Lock J, Dupont PE (2011) Friction modeling in concentric tube robots. In: *Proceedings—IEEE international conference on robotics and automation*, pp 1139–1146. <https://doi.org/10.1109/ICRA.2011.5980347>
15. Nair A, McGrew B, Andrychowicz M, Zaremba W, Abbeel P (2018) Overcoming exploration in reinforcement learning with demonstrations. In: *Proceedings—IEEE international conference on robotics and automation*, pp 6292–6299. <https://doi.org/10.1109/ICRA.2018.8463162>
16. Nikishin E, Izmailov P, Athiwaratkun B, Podoprikin D, Garipov T, Shvechikov P, Vetrov D, Wilson AG (2018) Improving stability in deep reinforcement learning with weight averaging. In: *Uncertainty in artificial intelligence workshop on uncertainty in deep learning*, vol 5
17. OpenAI Andrychowicz M, Baker B, Chociej M, Jozefowicz R, McGrew B, Pachocki J, Petron A, Plappert M, Powell G, Ray A, Schneider J, Sidor S, Tobin J, Welinder P, Weng L, Zaremba W (2018) Learning dexterous in-hand manipulation. [http://arxiv.org/abs/1808.00177](https://arxiv.org/abs/1808.00177)
18. Plappert M, Houthoofd R, Dhariwal P, Sidor S, Chen RY, Chen X, Asfour T, Abbeel P, Andrychowicz M (2017) Parameter space noise for exploration. [arXiv preprint arXiv:1706.01905](https://arxiv.org/abs/1706.01905)
19. Rucker DC, Jones BA, Webster RJ (2010) A geometrically exact model for externally loaded concentric-tube continuum robots. *IEEE Trans Robot* 26(5):769–780. <https://doi.org/10.1109/TRO.2010.2062570>
20. Sutton RS, Barto AG (2018) *Reinforcement learning: an introduction*. MIT Press, Cambridge
21. Xu W, Chen J, Lau HY, Ren H (2017) Data-driven methods towards learning the highly nonlinear inverse kinematics of tendon-driven surgical manipulators. *Int J Med Robot Comput Assist Surg* 13(3):e1774

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.