

## Review

# The Association Between Type 2 Diabetes Mellitus and Parkinson's Disease

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**Abstract.** In recent years, an emerging body of evidence has forged links between Parkinson's disease (PD) and type 2 diabetes mellitus (T2DM). In observational studies, those with T2DM appear to be at increased risk of developing PD, as well as experiencing faster progression and a more severe phenotype of PD, with the effects being potentially mediated by several common cellular pathways. The insulin signalling pathway, for example, may be responsible for neurodegeneration via affecting insulin dysregulation, aggregation of amyloids, neuroinflammation, mitochondrial dysfunction and altered synaptic plasticity. In light of these potential shared disease mechanisms, clinical trials are now investigating the use of established diabetes drugs targeting insulin resistance in the management of PD. This review will discuss the epidemiological links between T2DM and PD, the potential shared cellular mechanisms, and assess the relevant treatment options for disease modification of PD.

**Keywords:** Parkinson's disease, type 2 diabetes mellitus, epidemiology, therapeutics, mechanisms

## INTRODUCTION

Parkinson's disease (PD) is the second most common neurodegenerative disease in the world [1]. With the increasing life expectancy and an ageing global population, its prevalence is set to more than double between 2015 and 2040 [2, 3]. PD is a progressive disease of the nervous system that is characterised by the degeneration of nigrostriatal dopaminergic neurons and pathological hallmarks of the disease can be identified widely in both central and peripheral

tissues. Several overlapping disease mechanisms have been identified including aberrant protein accumulation, lysosomal and mitochondrial dysfunction, and chronic systemic inflammation [4].

Type 2 diabetes mellitus (T2DM) is a chronic condition characterised by the failure of pancreatic  $\beta$ -cells to produce enough insulin to overcome systemic insulin resistance, which results in the dysregulation of glucose metabolism and chronic systemic inflammation. Studies suggest that similar metabolic dysregulation can occur in the brain in early PD [5]. The global prevalence of T2DM quadrupled between 1980 and 2014 and already grossly exceeds the predictions made in 2000 for the year 2030 by both the International Diabetes Federation and the

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World Health Organisation [6]. In contrast to T2DM, type 1 diabetes (T1DM) is an autoimmune disease involving the destruction of pancreatic  $\beta$  cells [7]. Limited studies have been conducted on the association between PD and autoimmune diseases including T1DM [8–10], but T1DM is not discussed further in this review.

There are previous review articles that discuss the association between T2DM and PD [4, 11–15] or the common therapeutic approaches to T2DM and PD [4, 13, 16, 17]. Our review provides an updated discussion on the epidemiological association between T2DM and PD, the shared pathways involved in T2DM and PD pathophysiology, and the common therapeutic approaches to T2DM and PD.

## THE ASSOCIATION BETWEEN TYPE 2 DIABETES MELLITUS AND PARKINSON'S DISEASE

*T2DM is associated with an increase in the risk of PD*

An association between T2DM and PD was first reported by Sandyk in 1993, where it was noted that PD patients with co-existent T2DM had worse motor symptoms and reduced response to treatment [18]. In the same study, a high prevalence of impaired glucose tolerance tests was reported among PD patients (50–80%), however a more recent estimate suggests that overtly impaired glucose metabolism occurs in only around 20% [19].

In subsequent years, a large number of studies have explored the association between T2DM and the risk of PD. These include several prospective cohort studies which generally indicate that T2DM is associated with an increased risk of PD [20–22]. For example, a large prospective study in Finland found that patients with T2DM had an 85% increased risk of developing PD [20] and another prospective study in the US showed that patients with T2DM were 40% more likely to develop PD [21]. A meta-analysis published in 2016 combined the effect estimates from seven population-based cohort studies and concluded that patients with T2DM had an average 28% higher risk of developing PD [23].

Observational studies using different designs have also reported an association between T2DM and an increased risk of PD. A large study using routinely gathered health record data in the UK showed that T2DM was associated with an increased risk of PD by

32% [24]. Similarly, a retrospective study in Taiwan reported a 23% increased risk of PD among patients with T2DM [25], and a case-control study from Denmark found that T2DM was associated with a 36% increased risk of PD [26]. Despite these associations, it is important to acknowledge that although various studies have reported that T2DM increases the risk of developing PD, the absolute risk of developing PD among patients with T2DM appears to be below 1%. In a study of 2,017,115 T2DM participants, 14,252 also had PD observed (absolute risk 0.7%) [24].

Whether the association between T2DM and PD represents a truly causal link between the two conditions remains uncertain, and associations between two traits can arise through confounding and bias in observational studies. The use of oral anti-hyperglycaemic agents may influence the likelihood of developing PD in patients with T2DM, potentially masking associations between the two. Various studies in different settings have suggested that the use of metformin, thiazolidinediones and GLP-1 agonists may reduce the risk of developing PD in patients who have T2DM [27–31]. Other confounders may bias effect estimates, due to associations with both T2DM and PD, and these include other vascular risk factors or raised BMI [20, 32, 33].

Bias in observational studies may occur for several other reasons. Patients with T2DM are more likely to have increased contact with healthcare and this could result in bias from increased medical surveillance [22]. Bias may also occur through reverse causation, that is, something about PD increasing the risk of being diagnosed with T2DM. Dopaminergic neurons are involved in promoting feeding behaviour in the hypoglycaemic state, mediated by insulin receptors in the substantia nigra and therefore dopaminergic neuronal loss may alter glycaemic control [22]. It is recognised that a high proportion of dopaminergic neurons have already been lost by the time clinical signs of PD are identified and a diagnosis is made. This makes it difficult to mitigate the effects of reverse causation even in prospective studies with long follow-up periods [22].

Prospective cohort studies follow participants over time and longer durations of follow-up can lead to drop out and bias occurring through these losses. If the probability of loss to follow-up does not relate to exposure (in this case diabetes) then the effect will be a loss of precision without biasing the effect estimate. However, if diabetes is associated with being more or less likely to remain under follow-up (which is

plausible), then the effect estimate may be biased in either direction.

Whilst the studies outlined above have generally reported a positive association between T2DM and PD, this has not always been the case. A recent cross-sectional study based on the Neurological Disorders in Central Spain (NEDICES) database showed no clear association between T2DM and PD [34]. However, a sub-analysis suggested that there may be a positive association between PD and T2DM among patients who had T2DM for over 10 years [34]. Separately, another prospective cohort study in the US did not find a significant association between T2DM and PD risk [35]. To make matters more confusing, a meta-analysis of fourteen case-control studies concluded that T2DM was associated with a reduced risk of PD (summary odds ratio 0.75) [36]. A very large, recent, cross-sectional study using self-reported information also found T2DM to be negatively associated with PD [37]. However, whilst cohort studies may be prone to biases as outlined above, cross-sectional and retrospective case-control studies may have additional design issues that bias effect estimates.

Several groups have reported on the differences in pooled effect estimates generated from case-control and cohort studies in the context of T2DM and PD. A meta-analysis of 4 cohort studies and 5 case-control studies reported a 1.37 pooled risk ratio for PD in diabetic patients in the prospective cohort studies and an inverse association (pooled odds ratio of 0.56) between diabetes and PD in case-control studies [32]. This was followed by a meta-analysis of 9 case-control studies and 4 cohort studies, which showed pooled effect estimates of 0.72 and 1.31 respectively [38]. Later still, the aforementioned and separate meta-analyses of 14 case-control studies (OR 0.75) and 7 cohort studies (RR 1.37) reported the same phenomenon [23, 36]. Whilst it is not uncommon to observe differential effect sizes by study design, such a clear divergence in the direction of effect warrants further consideration.

A possible explanation for the divergence observed between case-control and cohort studies is survival bias, which can be a problematic in studies with a retrospective case-control design. Higher mid-life mortality among diabetic patients could contribute towards the inverse relationship seen between T2DM and PD in these settings, and thus far has been given little consideration [36].

To explore this possibility, the case-control studies included in meta-analyses by Cereda et al., 2011,

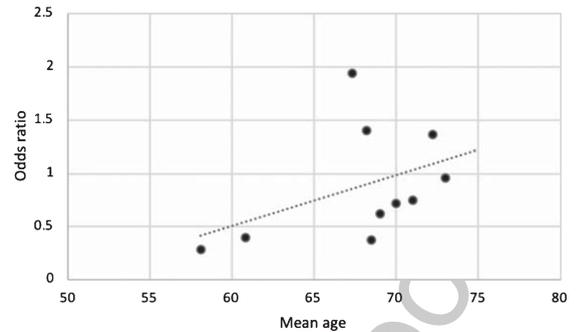


Fig. 1. Graph of the mean age of participants in case-control studies against odds ratio for risk of PD between cases and controls. This suggests that age may modify the association between T2DM and PD, potentially driven by duration of exposure, probability of PD at given ages, and/or by survival bias.

Noyce et al., 2012 and Lu et al., 2014 were selected and the mean ages of participants were plotted against the odds ratio of the risk of PD for each study (Fig. 1). The odds ratio for PD was lowest (negative) in the studies with the youngest mean age of participants and highest (positive) in studies with the highest mean age. This perhaps indicates that the risk of PD increases depending on the duration of exposure to T2DM, with most people acquiring diabetes in mid-life and PD at a later time in older age. It is also plausible that inverse association in the studies with youngest mean age may be driven in part by low incidence of PD in mid-life and partly by premature mortality in patients with T2DM before they develop PD. There is evidence to suggest that patients diagnosed with T2DM before the age of 45 years have a higher risk of premature death than those diagnosed from the age of 45 years [39]. Furthermore, patients diagnosed with T2DM at a younger age have an increased risk of acquiring complications such as nephropathy and cardiovascular disease that increase the risk of premature mortality [40, 41]. The role of survival bias in observational studies linking T2DM to PD requires further consideration.

Other limitations of case-control studies include recall bias, where risk factors and the duration of exposure may not be represented accurately, especially in PD patients experiencing cognitive decline, and separately selection bias, where the controls are not drawn from the same population as the cases [32]. Overall, further study is warranted to explore the divergence in estimates arising from cohort and case-control studies and risk of PD.

### T2DM worsens PD disease progression

Following the initial report by Sandyk [18], several studies have found that T2DM is also associated with a worsening of the PD phenotype, including more severe axial motor symptoms (gait disturbances and postural instability) and cognitive impairment [42–45].

A case-control study showed that patients with T2DM who subsequently developed PD scored higher on PD severity scales including the motor component of the Unified Parkinson Disease Rating Scale (UPDRS) and Hoehn and Yahr stage [46]. Another case-control study of 72 PD patients reported that those with concomitant T2DM developed motor complications on average 12 months earlier, independent of medication or other disease factors [47]. A retrospective cohort study in PD patients assessed the effect of T2DM on striatal dopamine transporter availability using <sup>18</sup>F-FP-CIT PET imaging, cognitive performance on bedside tests, cortical thickness using MRI scans and overall disease severity. The investigators found that the presence of T2DM had a significant adverse effect on all four outcomes [33]. Similarly, a prospective cohort study found that patients with T2DM had lower striatal dopamine transporter binding and accelerated motor and cognitive decline, supporting the notion of an acceleration in the disease process [48]. However, these features are not necessarily driven by dopamine depletion, and some studies suggest that the more severe phenotype is independent of striatal dopamine, and even cholinergic deficits, and instead may be mediated by microvascular disease [43, 44].

MRI imaging has been used to study structural changes in PD associated with comorbid T2DM. A cross-sectional study showed that PD patients with T2DM have greater cortical atrophy than patients without T2DM [49]. These findings were most evident in the frontal brain region, perhaps reflecting an accelerated decline in executive function [49]. A separate study found that PD patients with T2DM had significantly more cortical thinning in the right inferior temporal cortex than those without T2DM [33]. Further replication of these findings and their clinical correlates is warranted. As a fluid biomarker, CSF tau is elevated in several neurodegenerative diseases and is indicative of neuronal loss. As such, it is a non-specific marker of the severity of a neurodegenerative process. A recent cross-sectional study, using data from the Parkinson's Progression Markers Initiative found that PD patients with T2DM had higher tau

CSF levels than patients without T2DM [48]. Similar findings have been reported in other neurodegenerative diseases, in which T2DM was associated with a higher level of tau protein in CSF in patients with mild cognitive impairment [50].

### SHARED MECHANISMS IN PATHOPHYSIOLOGY OF TYPE 2 DIABETES MELLITUS AND PARKINSON'S DISEASE

#### *Common pathogenic mechanisms of systemic and brain insulin resistance*

As epidemiological evidence for a link between PD and T2DM accumulates, parallel experimental evidence indicates potential overlap in disease mechanisms and pathways. Systemic insulin resistance has long been an established key feature of T2DM. Recently, studies have found that insulin resistance is present in the brain in neurodegenerative diseases such as Alzheimer's disease (AD) and other dementias [51], and PD [52]. Both systemic and local insulin resistance may drive pathology in the brain. Systemic insulin resistance may do so through hyperglycaemia and its consequences [10], microvascular disease, chronic inflammation, and dysfunction of the blood brain barrier, which may be compounded by associated comorbidities such as hypertension, dyslipidaemia and renal impairment [51]. Local brain insulin resistance may act via protein deposition and aggregation, and failure of clearance mechanisms, independent of systemic insulin resistance [51, 53].

#### *Overview of insulin cellular signalling pathway*

Insulin may play a key role in neuroprotection [4] via its receptor (IR), which activates insulin receptor substrates (IRS) 1 and 2. IRS1 is particularly expressed in skeletal muscle, adipose tissue and cerebral cortex, whereas IRS2 has a particular role in the liver and the hypothalamus [51]. Insulin binds to IR/IRS to stimulate various downstream pathways (summarised in Fig. 2). These in turn activate downstream secondary messengers via three main pathways:

1. Activation of the IR-Shc-MAP kinase (MAPK) pathway, which is involved in promoting genetic expression of proteins for cell growth and maintenance, as well as synapse plasticity. Insulin also acts via the MAPK pathway

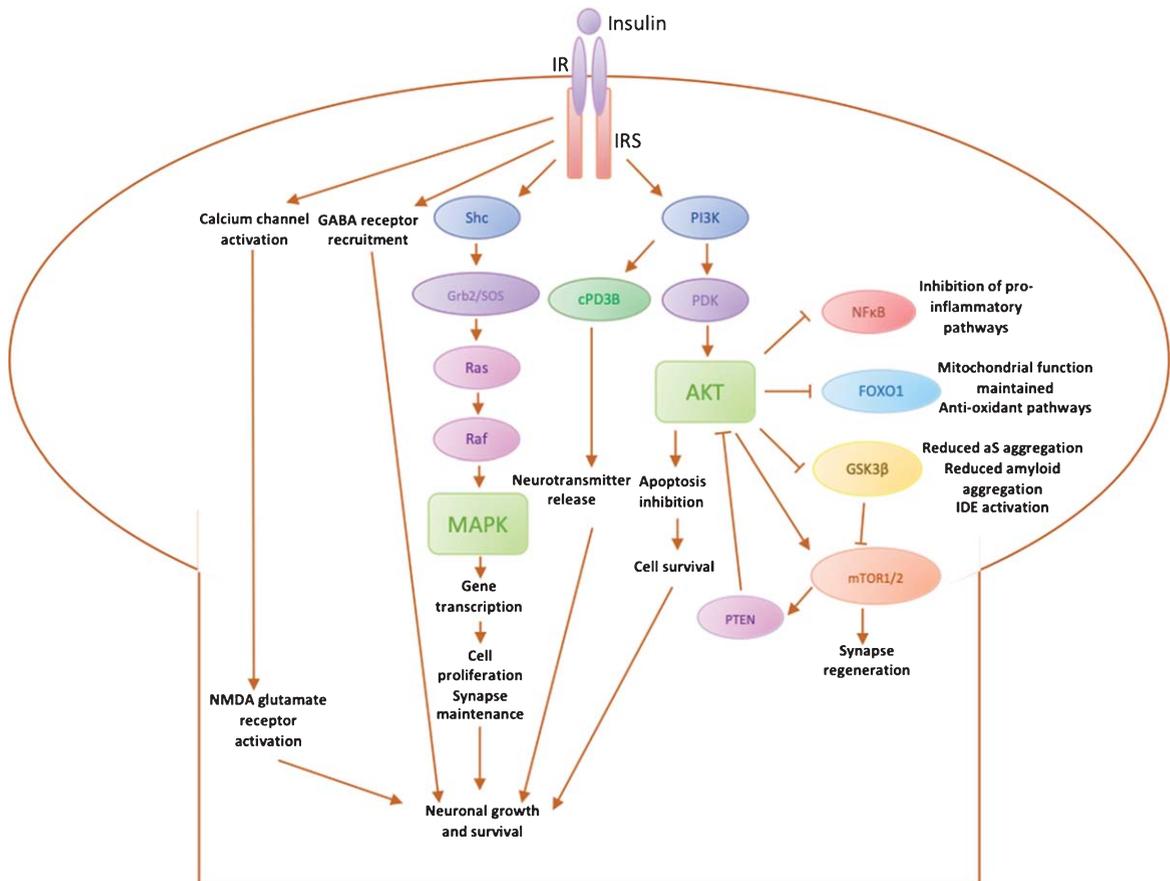


Fig. 2. Diagrammatic summary of main pathways involved in insulin signalling in the brain. IR, insulin receptor; IRS, insulin receptor substrate; PI3K, phosphoinositide-3-kinase; PDK, 3-phosphoinositide-dependent protein kinase; Akt, Protein kinase B (PKB), plays a key role in activating downstream regulators of cell metabolism, proliferation and survival; PTEN, phosphatase and tensin homolog, regulates PI3K/Akt pathway by inhibiting Akt; mTOR, mammalian target of rapamycin, regulates cell metabolism and proliferation and synapse regeneration in neurons; GSK3 $\beta$ , glycogen synthase kinase 3, downstream mediator involved in IDE inactivation, leading to an increase in  $\alpha$  synuclein expression, which aggregate into amyloid fibres; FOXO1, Forkhead box O1, involved in maintaining the mitochondrial electron transport chain for ATP generation and fatty acid oxidation, preventing oxidative stress; NF $\kappa$ B, nuclear factor  $\kappa$ B regulates microglial activation and the expression of inflammatory mediators such as IL1 $\beta$  and TNF $\alpha$ ; cPD3 $\beta$ , cyclic nucleotide phosphodiesterase 3 $\beta$ ; Shc, an adaptor protein involved in the MAPK pathway; Grb2/SOS, downstream adaptor proteins in MAPK pathway; Ras, downstream protein in MAPK pathway that recruits Raf; Raf, Ras effector that stimulates a downstream signalling cascade through phosphorylation of MAPK; MAPK, mitogen-activated protein kinase, modulates downstream protein kinases involved in regulating cell proliferation, differentiation and apoptosis, maintaining neuronal growth and survival.

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to regulate transcription, translation and post-translational modification of proteins [51]. Insulin is also able to influence learning and memory via this pathway [54].

2. Activation of the phosphatidylinositol 3-kinase (PI3K) pathway directly influences neurotransmission via cyclic nucleotide phosphodiesterase 3B (cPD3 $\beta$ ), which in turn regulates information processing, cognitive function and memory [55]. The PI3K-Akt pathway is also involved in the inhibition of apoptosis [56]. Additional downstream effectors of PI3K-Akt include glycogen synthase kinase 3 $\beta$  (GSK3 $\beta$ ),

forkhead box O1 (FOXO1), nuclear factor  $\kappa$ B (NF $\kappa$ B) and mammalian target of rapamycin (mTOR).

3. Mediation of neurotransmission via direct activation of NMDA glutamate receptors to increase the opening of calcium channels at synapses and promote NMDA-mediated neurotransmission [57]. This increases the recruitment of functional GABA receptors to postsynaptic sites to enhance GABA transmission [58] and therefore regulate synaptic inhibition for neuronal functions involved in learning and memory [57].

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### *Insulin dysregulation may be involved in pathophysiology of PD and T2DM*

Insulin receptors are expressed in the basal ganglia [4] and in the substantia nigra [59], which are the areas of the brain most affected in patients with PD. Studies using rodent models have shown that insulin resistance may cause reduced expression of surface dopamine transporters in the striatum [60], reduced dopamine turnover [61], and reduced insulin-dependent dopamine release in the striatum [62]. 1-methyl 4-phenyl 1,2,3,6-tetrahydropyridine (MPTP) is a toxin that induces parkinsonism by producing oxidative stress in dopaminergic neurons, resulting in mitochondrial dysfunction and cell death, and MPTP treated rodents are one of the most commonly used animal models for PD [63]. MPTP-treated mice have been observed to have simultaneous increases in pancreatic and midbrain expression of pro-inflammatory cytokines and  $\alpha$ -synuclein, hinting at potential organ-specific links between PD and T2DM [64].

Very few mechanistic studies have been conducted on human subjects to date. A study in 1996 that analysed the mRNA levels of insulin receptors in the substantia nigra of PD human brains post-mortem, found a reduction in insulin receptor mRNA compared to control brains, which were likely associated with neuronal loss in the substantia nigra [65]. However, due to a small sample size and flaws in methodology, these results are difficult to interpret reliably. Separately, a functional brain imaging study on 63 elderly subjects found that insulin resistance was increased in the brains of PD patients [52]. Future studies investigating the common pathophysiology between insulin dysregulation and PD in human subjects would be beneficial in furthering our understanding.

### *Amyloid aggregation occurs in both PD and T2DM*

Both T2DM and PD are associated with the accumulation of misfolded proteins which form amyloid aggregates. In T2DM, islet amyloid polypeptide (IAPP) aggregation in pancreatic  $\beta$  cells leads to cellular dysfunction and death [66, 67]. In PD,  $\alpha$ -synuclein aggregates initially into oligomeric and latterly fibrillar structures, which in turn aggregate into Lewy bodies, the pathological hallmark of PD [68].

A recent study of IAPP and  $\alpha$ -synuclein found cross-reactivity between the two proteins and demon-

strated that IAPP in T2DM can promote  $\alpha$ -synuclein aggregation [69]. Further evidence of the interaction between these proteins was shown using proximity ligation assays in pancreatic tissue [70]. Phosphorylated  $\alpha$ -synuclein aggregates were found in pancreatic  $\beta$ -cells in the majority of patients with PD or T2DM, and  $\alpha$ -synuclein deposits showed colocalization and interaction with IAPP. Another study suggested that insulin degrading enzyme (IDE) can prevent  $\alpha$ -synuclein aggregation by binding to  $\alpha$ -synuclein oligomers [53]. In patients with T2DM, insulin resistance can competitively inhibit IDE and therefore promote the formation of  $\alpha$ -synuclein fibrils, potentially predisposing patients to PD or potentiating the disease process [53].

Separately, a study involving co-immunoprecipitation experiments on  $\alpha$ -synuclein and the Kir6.2 subunit of the ATP-sensitive potassium channel in pancreatic beta cells found that  $\alpha$ -synuclein interacts with Kir6.2 to reduce insulin secretion [71]. Neuronal Kir6.2 is involved in the downregulation of dopamine secretion in the brain [72], possibly indicating a role in PD. Another study in an MPTP mouse model demonstrated that  $\alpha$ -synuclein can activate GSK3 $\beta$  via the PI3K/Akt pathway, which is involved in increasing  $\alpha$ -synuclein expression and aggregation and inactivation of IDE [73]. This observation was further supported by a study on post-mortem PD brains, which reported an increase in  $\alpha$ -synuclein and GSK3 $\beta$  as well as an increase in tau hyperphosphorylation in PD patients [74].

### *Microglial activation and systemic chronic inflammation increase the risk of T2DM and PD*

Microglia are mononuclear, phagocytic immune cells in the central nervous system. They are normally involved in removal of damaged neurons, and they release neuroprotective factors to promote synaptic regeneration [75]. Microglia can be activated towards either an anti-inflammatory or inflammatory phenotype. For example, microglia can be stimulated by lipopolysaccharide to enter an activated inflammatory state and express pro-inflammatory cytokines such as TNF $\alpha$ , interleukin (IL) 1 $\beta$  and IL6, triggering neuroinflammation [76]. A study of 14 patients with PD found evidence of increased microglial activation on PET imaging [77]. Microglial activation is a key contributor to neuroinflammation through the release of inflammatory cytokines [78], and patients with PD have been shown to have high concentrations

of inflammatory mediators such as IL1 $\beta$ , IL6 and TNF $\alpha$  in the brain [78].

Initial microglial activation is generally associated with neuroprotection [79], however, prolonged microglial activation may have deleterious effects on PD progression [80]. Insulin resistance has an effect on microglial activation and neuroinflammation via NF $\kappa$ B and the PI3K/Akt pathway, that regulates microglial activation and the expression of inflammatory mediators [76] (Fig. 2). In addition, inflammatory cytokines such as TNF $\alpha$  have been found to induce the inactivation of IRS1, which inhibits subsequent activation of downstream mediators in a ‘vicious cycle’ [57]. In patients with T2DM, insulin resistance may result in the formation of advanced glycation end-products (AGEs) [4], including in regions of the brain such as the substantia nigra [81]. AGEs interact with their receptor (RAGE) to activate downstream pathways, leading to oxidative stress, inflammation and neuronal cell death [81]. Interestingly, AGEs have been found alongside  $\alpha$ -synuclein in Lewy bodies [16]. Glycated  $\alpha$ -synuclein may worsen PD progression in various ways. Glycation promotes the aggregation of  $\alpha$ -synuclein by inducing cross-links and the formation of  $\alpha$ -synuclein oligomers, which are more toxic than larger aggregations of  $\alpha$ -synuclein [81]. Furthermore, glycation also inhibits  $\alpha$ -synuclein degradation normally regulated by ubiquitin, proteasomes and lysosomes, resulting in the accumulation of  $\alpha$ -synuclein [16]. Methylglyoxal (MGO), a glycation agent, inhibits the ubiquitin-proteasome system involved in the degradation of  $\alpha$ -synuclein, further increasing the accumulation of  $\alpha$ -synuclein [81], and potentially worsening PD progression.

#### *Involvement of oxidative stress/mitochondrial dysfunction in T2DM and PD pathogenesis*

Mitochondrial proteins, when dysfunctional, produce an increase in oxidative stress [82] and cell death [83]. MPTP exerts its Parkinson’s-like effects in rodent models by selectively inhibiting complex I, the first enzyme in the mitochondrial respiratory chain pathway, leading to neuronal death and neurodegeneration [13]. The features of mitochondrial dysfunction may be shared in T2DM and PD [82]. In PD, dysfunctional insulin signalling has been found to increase oxidative stress [84, 85], while a recent study showed that chronic insulin resistance in diabetic db/db mice can cause mitochondrial disruption and dopaminergic neuronal degeneration [86]. Stud-

ies using rodent models show that IRS1 and IRS2 inhibit FOXO1 via the PI3K/Akt pathway [85, 87], resulting in dysfunctional ATP generation and fatty acid oxidation, and the generation of ROS and oxidative stress. While the exact mechanism by which mitochondrial dysfunction and oxidative stress contribute towards PD remains uncertain, its role is likely to be important in PD pathogenesis and potentially relevant to the link with T2DM.

#### *Insulin resistance impairs synaptic plasticity in PD*

Dopamine depletion in PD causes changes in synaptic plasticity that result in an upregulation of factors that suppress movement and contribute to a downregulation of factors that initiate movement [88]. The two main components of synaptic plasticity, long-term depression (LTD) and long-term potentiation (LTP), are also involved in memory formation and storage through synaptic restructuring [89]. The combined activation of mTORC1 and mTORC2 is required for dendritic regrowth, neuronal shape restructuring and synaptic plasticity via actin aggregation, for consolidating long-term memory [90].

Insulin promotes NMDA-mediated neurotransmission by directly activating glutamate NMDA receptors [57] and increasing the extra-synaptic transport of GluA1 AMPA receptors in neurons [91] involved in increasing synaptic strength and regulating LTP [92]. A study on streptozotocin-induced diabetic rats found that NMDA and AMPA receptor expression was reduced [93], impairing synaptic transmission.

The common pathophysiological processes linking T2DM and PD offer new avenues for research into the use of T2DM therapeutic approaches repurposed for use in PD.

## **COMMON THERAPEUTIC APPROACHES TO T2DM AND PD**

The current focus of pharmacological management of PD is to relieve symptoms by increasing circulating levodopa, inhibiting levodopa breakdown or stimulating dopamine receptors [94]. Non-oral approaches to management are medical (such as apomorphine or levodopa carbidopa intestinal gel), surgical (such as deep brain stimulation), or therapies-led (physiotherapy, occupational therapy and speech and language

551 therapy), and they play an increasing role as the  
552 disease progresses. The current weight of evidence  
553 for therapeutic options for PD supports an effect  
554 on symptoms only and no modification of disease  
555 progression. However, recent studies on the use of  
556 T2DM drugs to treat PD have shown promising  
557 results.

### 558 *Insulin*

559 Given the neuroprotective effects of insulin out-  
560 lined above, the administration of exogenous insulin  
561 may offer potential benefits in PD patients. Insulin  
562 can be administered nasally to avoid effects on  
563 peripheral glucose levels [95], enabling use in non-  
564 diabetic PD patients. In rodent models, intranasal  
565 insulin is reported to improve neuronal stem cell  
566 activation and repair, dendritic sprouting and neu-  
567 roprotection from inflammation and oxidative stress  
568 [95].

569 Clinical trials of insulin have been conducted  
570 in other neurodegenerative diseases. A double-  
571 blind, placebo-controlled, pilot clinical trial in AD  
572 patients found that intranasal administration of  
573 insulin improved memory and preserved cognition.  
574 These benefits were retained at 2 months of follow-up  
575 after stopping treatment, but the authors acknowl-  
576 edged that as insulin was administered for only 4  
577 months, long-term effects and safety of intranasal  
578 insulin could not be determined [96]. Moreover, the  
579 small size of the trial may have affected the accuracy  
580 of the results, and a longer and larger trial may be use-  
581 ful in studying this further [96]. It should however be  
582 noted that the chronic use of insulin may promote  
583 insulin desensitisation in the brain [95].

### 584 *GLP-1 receptor agonists*

585 Among the most promising treatments in this area  
586 are the GLP1 receptor (GLP1R) agonists, which do  
587 not act on insulin receptors, and thus avoid insulin  
588 desensitisation over time [12]. GLP1R agonists,  
589 including exenatide, liraglutide and lixisenatide, are  
590 currently licensed for the treatment of T2DM [29].  
591 Compared to endogenous GLP1, GLP1R agonists  
592 have a longer half-life as they are not metabolised  
593 by the protease dipeptidyl peptidase 4 (DPP-4) [97].  
594 GLP1R agonists bind to GLP1R and activate the  
595 PI3K/Akt pathway, which regulates various down-  
596 stream mediators involved in the insulin signalling  
597 pathway (Fig. 3).

598 In the brain, GLP1R are mainly expressed on pyra-  
599 midal neurons in the cortex or hippocampus and  
600 Purkinje neurons in the cerebellum and substan-  
601 tia nigra [98]. Activation of the PI3K/Akt pathway  
602 results in various downstream effects, including the  
603 inactivation of GSK3 $\beta$ , which reduces  $\alpha$ -synuclein  
604 aggregation [29], the inactivation of FOXO1 [99],  
605 which prevents apoptosis and promotes cell survival,  
606 and inactivation of NF $\kappa$ B, which leads to microglial  
607 cell inactivation and a reduction in inflammatory  
608 mediators. GLP1R agonists also activate the expres-  
609 sion of genes involved in cell growth and repair,  
610 improving neuroprotection against stress factors [95],  
611 such as  $\alpha$ -synuclein, inflammatory mediators and cell  
612 death via the MAPK pathway [29] (Fig. 3).

613 Studies carried out using GLP1R agonists have  
614 shown promising results in slowing disease progres-  
615 sion in PD rodent models [100]. An *in vivo* study  
616 found that Exendin-4 promotes neural progenitor cell  
617 numbers in the subventricular area that may com-  
618 pensate for the loss of dopaminergic neurons in the  
619 substantia nigra [101]. Another study using MPTP  
620 rodents showed that exenatide increased tyrosine  
621 hydroxylase levels in primary dopaminergic neu-  
622 rons, producing more dopamine [63]. A recent study  
623 on the effect of GLP1 analogues on MPTP rodents  
624 found improvements in striatal dopamine levels and  
625 reduced neuronal damage via inhibition of inflam-  
626 matory cytokines and stimulation of anti-oxidant  
627 enzymes [102].

628 In-human clinical trials have also reported the  
629 therapeutic effects of GLP1R agonists on PD, even  
630 extending beyond motor benefits. An open label clin-  
631 ical trial found clinically significant amelioration of  
632 PD manifestations, including motor and cognitive  
633 decline [103], with improvements on the Move-  
634 ment Disorders Society Unified PD Rating Scale  
635 (MDS-UPDRS) and the Mattis DRS-2 cognitive  
636 score. A follow-up study also showed improvements  
637 were still present after one year [104]. Recently, a  
638 landmark double blind placebo-controlled trial also  
639 reported similar findings with patients treated with  
640 exenatide scoring on average 3.5 points less on the  
641 MDS-UPDRS than those not treated with exenatide,  
642 following a washout period to exclude a symptomatic  
643 effect [105]. In a novel approach to assessing target  
644 engagement using brain-derived exosomes purified  
645 from serum samples, the same group showed that  
646 patients treated with exenatide had an increase in lev-  
647 els of Akt and mTOR protein activation during the  
648 period of drug exposure in comparison with placebo-  
649 treated patients [106].

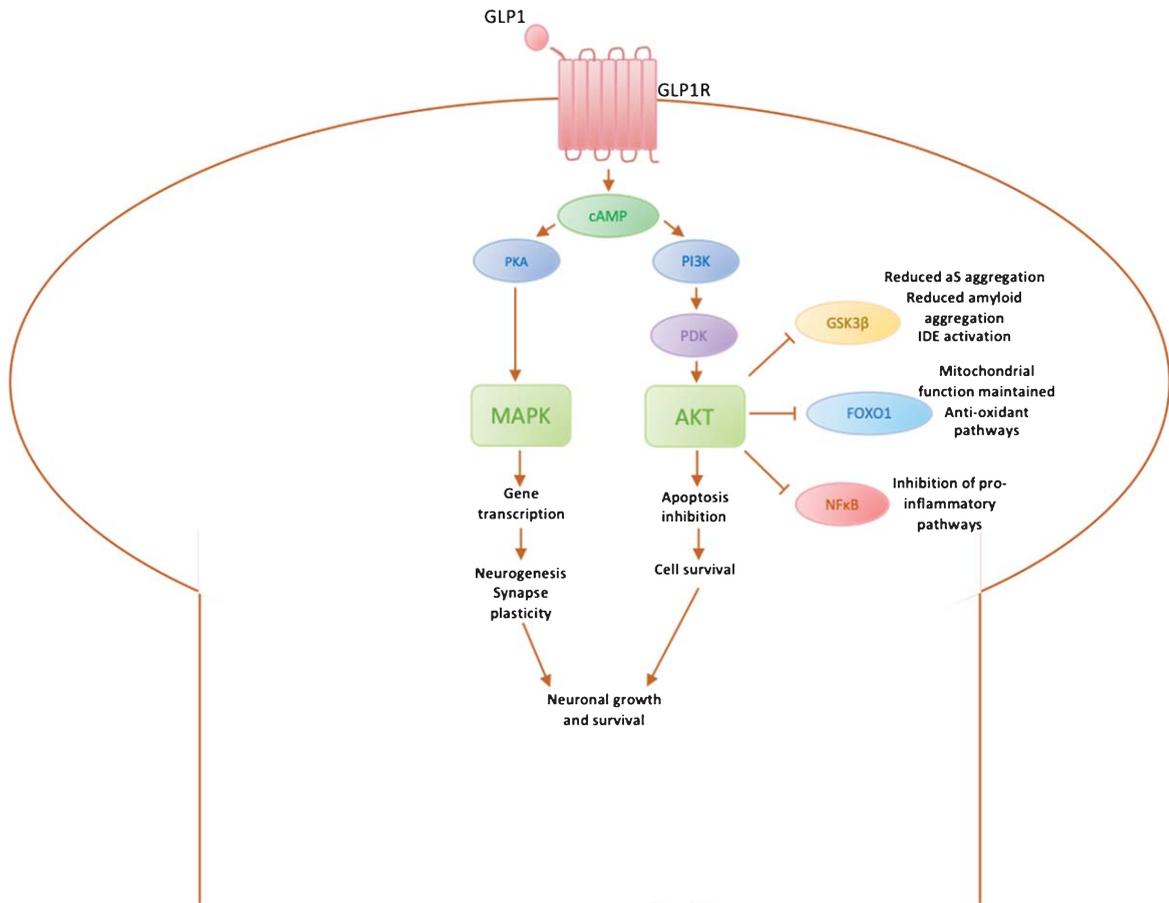


Fig. 3. Diagrammatic summary of main pathways involved in GLP1 signalling in the brain. PI3K, phosphoinositide-3-kinase; PDK, 3-phosphoinositide-dependent protein kinase; Akt, protein kinase B (PKB), plays a key role in activating downstream regulators of cell metabolism, proliferation and survival; GSK3 $\beta$ , glycogen synthase kinase 3, downstream mediator involved in IDE inactivation, leading to an increase in  $\alpha$  synuclein expression, which aggregate into amyloid fibres; FOXO1, Forkhead box O1, involved in maintaining the mitochondrial electron transport chain for ATP generation and fatty acid oxidation, preventing oxidative stress; NF $\kappa$ B, nuclear factor  $\kappa$ B regulates microglial activation and the expression of inflammatory mediators such as IL1 $\beta$  and TNF $\alpha$ ; cAMP, cyclic AMP, activated by binding of GLP1 to GLP1 receptor; PKA, protein kinase A, activates downstream processes via MAPK pathway; MAPK, mitogen-activated protein kinase, modulates downstream protein kinases involved in regulating cell proliferation, differentiation and apoptosis, maintaining neuronal growth and survival.

### 650 Thiazolidinediones

651 Thiazolidinediones (TZDs) are another class of  
 652 oral anti-hyperglycaemic agents used in the treat-  
 653 ment of T2DM. They act primarily on Peroxisome  
 654 proliferator-activator receptor  $\gamma$  (PPAR $\gamma$ ) to regulate  
 655 genes involved in insulin sensitivity [13] and reduce  
 656 insulin resistance. These receptors are known to be  
 657 expressed in insulin sensitive organs such as the pan-  
 658 creas, as well as in regions of the brain including  
 659 the substantia nigra and putamen [107]. Through  
 660 their activation of PGC1 $\alpha$ , a mitochondrial regula-  
 661 tor, TZDs are thought to moderate mitoNEET, a  
 662 mitochondrial membrane protein, to regulate neu-

ronal complex I activity [108], reducing oxidative  
 stress and cell death. They are also thought to inhibit  
 microglial activation and reduce oxidative stress in  
 neurons, enhancing mitochondrial function [28] and  
 preventing neurodegeneration. Some studies have  
 shown a significant decrease in the risk of PD with  
 TZD use in T2DM patients [30, 31]. However, a re-  
 trospective cohort study in the USA showed that there  
 was no significant decrease in PD risk with TZD use  
 [109]. Moreover, a recent phase 2, double-blinded,  
 clinical trial found 1 year exposure to pioglitazone  
 had no significant benefit on PD progression at  
 two doses (15 mg and 45 mg) compared to placebo  
 [110]. The effectiveness of TZDs as a potential PD

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Table 1  
Summary of common pathways between T2DM and PD and therapeutics targeting each pathway

Mechanism	Elaboration	Therapeutics
Amyloid aggregation	<ul style="list-style-type: none"> <li>Inhibition of insulin degrading enzyme: formation of alpha synuclein.</li> <li>Activation of PI3K/Akt pathway: activation of GSK3B, which increases alpha synuclein aggregation.</li> </ul>	GLP1R agonists Metformin
Microglial activation & chronic inflammation	<ul style="list-style-type: none"> <li>Activation of PI3K/Akt pathway: activation of NFkB, which causes microglial activation and expression of inflammatory mediators.</li> </ul>	Insulin GLP1R agonists Thiazolidinediones Metformin GLP1/GIP receptor agonist
Oxidative stress & mitochondrial dysfunction	<ul style="list-style-type: none"> <li>Activation of PI3K/Akt pathway: activation of FOXO1, causing mitochondrial dysfunction and generation of ROS.</li> </ul>	Insulin GLP1R agonists Metformin
Impaired synaptic plasticity	<ul style="list-style-type: none"> <li>Activation of PI3K/Akt pathway: inhibition of mTOR, preventing synaptic regeneration and dendritic regrowth.</li> <li>Inhibition of NMDA and AMPA receptor expression: reduction in synaptic strength and long-term potentiation.</li> <li>Inhibition of MAPK pathway: synthesis of inflammatory cytokines</li> </ul>	Insulin GLP1R agonists GLP1/GIP receptor agonist

677 treatment option may also be limited by adverse  
678 effects, including cardiovascular effects, fracture risk  
679 and an association with bladder cancer [4].

#### 680 *Further developments*

681 Several therapies in development act on other  
682 mediators in the insulin pathway. One of these is  
683 glucose-dependent insulinotropic polypeptide (GIP).  
684 GIP is an incretin, a hormone involved in reduc-  
685 ing blood glucose levels, that triggers various  
686 downstream insulin pathways, promoting insulin  
687 biosynthesis and secretion [111]. A promising devel-  
688 opment is that of dual GLP1/GIP receptor agonists,  
689 which have similar affinity for the activation of both  
690 GLP1 and GIP receptors. Both GLP1 and GIP are  
691 important hormones involved in promoting insulin  
692 signalling [112]. They are both able to cross the  
693 blood brain barrier and exert neuroprotective effects  
694 [113]. Compared to single GLP1R agonists, the dual  
695 GLP1/GIP receptor agonist has been found to have  
696 better efficacy in enhancing insulin pathways and  
697 producing neuroprotective effects in rodent models  
698 [114], and fewer side effects [115].

699 The GLP1/GIP receptor agonist is thought to work  
700 through activation of the PI3K/Akt pathway and  
701 Erk1/2 pathway [116, 117]. There is an increase in  
702 tyrosine hydroxylase and reduction in microglial acti-  
703 vation, promoting dopamine production and offering  
704 neuroprotection to dopaminergic neurons [116]. A  
705 study reported reduction in neuroinflammation in  
706 MPTP rodent models after treatment with GLP1/GIP

receptor agonist [118]. Significantly, in the same  
study, insulin sensitivity has also been reported to be  
restored with treatment [118]. The dual GLP1/GIP  
receptor agonist is currently in clinical trials for the  
treatment of T2DM and has shown promising results  
compared to existing treatment options [119], further  
clinical trials in PD patients may be pursued in the  
near future.

The common pathways between T2DM and PD  
and therapeutics targeting each pathway are sum-  
marised in the table below (Table 1).

## CONCLUSION

Epidemiological links between T2DM and PD  
have been increasingly studied, but there remain  
many unanswered questions about the role of T2DM  
on both PD risk and progression. The underlying  
pathways and common mechanisms remain a focus  
of research, and through their modulation new oppor-  
tunities may arise to alter the neurodegenerative  
trajectory.

There are still several questions that further  
research must aim to address. Firstly, whilst it is  
promising that the effectiveness of GLP1 agonists  
has been demonstrated in various rodent models  
[120–123], it remains true that these do not ade-  
quately recapitulate the human disease, and further  
research should also focus on clinical trials in human  
participants.

Secondly, although GLP1R agonists have longer  
half-lives than endogenous GLP1, the aim would be

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737 to avoid the requirement for multiple daily dosing.  
 738 Assuming efficacy in larger phase 3 clinical trials,  
 739 newer formulations such as Bydureon, a once-weekly  
 740 preparation of exenatide, and an oral preparation  
 741 of semaglutide, offer tangible practical advantages.  
 742 Incretin analogues with a slower release or longer  
 743 half-life such as the dual GLP1/GIP receptor agonist  
 744 and long-acting GIP analogues are also promising  
 745 [124]. Finally, the long-term safety and efficacy of  
 746 these treatments must be fully established. The issues  
 747 of trial design, participant and outcome selection, and  
 748 timing and duration of intervention, remain major  
 749 issues in the exploration of these approaches and  
 750 should be considered in future studies.

## 751 ACKNOWLEDGMENTS

752 None reported.

## 753 CONFLICT OF INTEREST

754 Prof. Foltynie is principal investigator on clinical  
 755 trials of Exenatide. Drs. Noyce and de Pablo Fernan-  
 756 dez have published observational studies on the links  
 757 between T2DM and PD.

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