Criticality of Dirac fermions in the presence of emergent gauge fields

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We consider spontaneous symmetry-breaking transitions of strongly interacting two-dimensional Dirac fermions minimally coupled to mass and emergent gauge field order parameters. Using a renormalization group analysis, we show that the presence of gauge fields leads to fermion-induced quantum (multi)criticality where the putative emergent Lorentz invariance is violated. We illustrate this with the example of translational symmetry breaking due to charge-density wave order on the honeycomb lattice. Finally, we identify that topological phase transitions are well described by this effective field theory.

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Interacting Dirac fermions exhibit the simplest form of fermionic quantum criticality. In high-energy physics this has been known for some time as spontaneous fermion mass generation and chiral symmetry breaking in the Gross-Neveu-Yukawa (GNY) model [1,2]. The prototypical condensed matter examples are semimetal-insulator transitions on the half-filled honeycomb lattice [3,4], which are driven by strong onsite and nearest-neighbor repulsive interactions. The low-energy excitations are well described by Dirac fermions [5], which couple to the dynamical order-parameter fields and play a crucial role in determining the universal behavior [6,7]. In recent years, GNY models and sign-free lattice quantum Monte Carlo simulations have helped to push our understanding of fermionic criticality beyond the Landau-Ginzburg-Wilson paradigm [8–18].

Strong parallels can be drawn between the high-energy and condensed matter settings. First, the quantum critical fixed point exhibits emergent Lorentz invariance with a characteristic terminal velocity and dynamical exponent $z = 1$ [19–24]. Second, the emergent chiral symmetry is spontaneously broken in the ordered phase, opening a mass gap in the Dirac spectrum.

Yet, it is precisely the reduction from Poincaré symmetry to the crystallographic space groups that allows the solid state to host exotic fermionic quasiparticles with no elementary particle analogs [25] and interesting criticality. A prominent example are anisotropic semimetals [26,27] displaying relativistic and nonrelativistic dynamics along orthogonal directions. Such unconventional excitations are known to exist at the critical point of topological phase transitions involving nodal fermions [28] and the underlying quasiparticles have been dubbed semi-Dirac fermions [29–31].

In this paper we investigate the universal nature of Dirac fermion systems undergoing quantum phase transitions with dynamical order-parameter fields that minimally couple to the fermions as components of emergent gauge fields, in addition to the standard mass fields of GNY theories. We find that the quantum critical points violate the putative emergent Lorentz invariance of the GNY critical fixed points.

Although our conclusions are general, we illustrate these principles by means of a concrete example. We examine the half-filled two-dimensional honeycomb lattice in the presence of dominant next-nearest-neighbor repulsions. This model has attracted a lot of attention because of the suggestion [32] that second-nearest-neighbor interactions $V_2$ could stabilize topological Mott phases, as described by the Haldane model [33]. Extensive numerical [34–44] and analytical [45] efforts have concluded, however, that fluctuations beyond mean-field theory lead to a direct transition from the Dirac semimetal to charge-density wave order (CDW$_3$) with a threefold increased unit cell (see Fig. 1).

It has been suggested [44] that the regime of dominant $V_2$ could become accessible in cold-atom experiments [46] by engineering optical lattices in which the triangular sublattices of the honeycomb lattice are spatially separated into a bilayer structure. This is also what happens naturally in two-dimensional (2D) materials like silicene and germanene, which are chemically similar to graphene but are realized in a buckled lattice with triangular sublattices at different heights [47]. Moreover, these siblings of graphene are chemically more active, which might be utilized to functionlize or further enhance interaction parameters.

The three-dimensional (3D) materials YbFe$_2$O$_4$ and LuFe$_2$O$_4$ contain Fe bilayers of triangular lattice planes with comparable interlayer and intralayer distances. These materials show charge ordering [48,49] similar to the CDW$_3$ state, albeit with a small incommensurate modulation along the $c$ direction. The situation is significantly more complex, however, due to the resulting ferroelectricity, the role of orbital degrees of freedom, and magnetic ordering [50].

From the lattice model we derive the effective field theory that describes the onset of CDW$_3$ order at half-filling. The theory is formulated in terms of the irreducible representations of all possible charge instabilities of the six-site unit cell.
While one representation couples like the mass field in the GNY theory, the others, crucial for the lattice symmetry breaking, couple like non-Abelian gauge fields. This gauge structure is an emergent property of the continuum field theory that is broken by the lattice. Importantly, the lattice terms turn off at the critical fixed point, which implies the scaling is universal. Naturally, this effective gauge symmetry is broken on either side of the transition.

We point out that the apparent local gauge structure that is generated by the lattice terms turns off at the critical fixed point, which implies the scaling is universal. Naturally, this effective gauge symmetry is broken on either side of the transition.

We characterize the fermion-induced (multi)critical fixed point using the renormalization group (RG), which is controlled with the $\epsilon$ expansion and by generalizing to a large number $N$ of fermion flavors. We find that the universality class is quantitatively distinct from the putative chiral GNY class, which highlights the relevant role of spontaneous lattice breaking and include the electron spin.

\[ H_0 = v_F \int d^2k \frac{d^2k}{(2\pi)^2} \Psi^\dagger(k) \cdot \sigma \Psi(k), \]  

where $\sigma$ is defined up to the ultraviolet cutoff $\Lambda \sim 1/a$ where $a$ is the lattice spacing. Here, $v_F = 3\sqrt{3}a/2$ is the Fermi velocity, $\hat{k} = (k_x, k_y)$, and $\alpha = (\alpha_0, \alpha_i)$. From hereon we work in units where $a = 1$. For compactness, we have introduced the four-component spinor

\[ \Psi = (\psi_{A+}, \psi_{A-}, \psi_{B+}, \psi_{B-}), \]

and tensor products

\[ (\alpha_0, \alpha_i, \alpha_i) = (\sigma_0 \otimes \tau_z, \sigma_i \otimes \tau_0, \sigma_i \otimes \tau_z), \]

where $\sigma_\mu, \tau_\mu (\mu = 0, x, y, z)$ are the four-vectors of identity and Pauli matrices acting, respectively, on the spatial honeycomb sublattice $\sigma = A, B$ and Dirac valley $\tau = \pm$ pseudospins. The SU(2) pseudospin algebra $[\sigma_\mu, \sigma_\nu] = 2i \sum_{i=1}^{\infty} \epsilon_{\mu\nu\lambda} \sigma_i$ and $[\sigma_\mu, \alpha_i] = 2\delta_{\mu \alpha_0} \alpha_i + 2\delta_{\mu \alpha_0} \alpha_0$ is formed with the (implicit) identity $\alpha_0 = \sigma_0 \otimes \tau_0$.

\[ \Psi \rightarrow e^{i\sum_{\mu} \theta^\mu T^\mu} \Psi, \]

that is generated by

\[ (T^1, T^2, T^3) = (-\sigma_0 \otimes \tau_z, \sigma_i \otimes \tau_0, \sigma_0 \otimes \tau_z). \]

This Lorentz invariance is exposed using the anticommuting Dirac matrices, in which the covariant form of the noninteracting local Lagrangian is achieved:

\[ \mathcal{L}_0 = \bar{\Psi} [\partial_t \gamma_0 + v_F \partial \cdot \gamma] \Psi. \]
where $\Psi = \Psi^\dagger \gamma_0, \gamma_0 = \alpha, T^3, \gamma = i\gamma_0\alpha$, and
\begin{equation}
\Psi(r, \tau) = \int_{-\infty}^{\infty} \frac{dk}{2\pi} \int_0^\Lambda \frac{d^2k}{(2\pi)^2} e^{-ik_{\tau}-ik_{\tau}} \rho(\tau, k) \Psi(k_0, k). \tag{9}
\end{equation}

Here, $\tau$ and $k_0$ are imaginary time and frequency, $r = (x, y)$ is position and $S = (\hat{a}_x, \hat{a}_y)$ is the spatial gradient.

2. Symmetries from the honeycomb lattice

Equation (2) inherits the symmetries of the honeycomb lattice point group $C_{6v}$, which includes reflections, rotations, and inversions [52–54]. The reflection symmetries in the $x$ and $y$ planes are

$$R_x : \Psi \rightarrow \alpha, T^3 \Psi, \quad k_x \rightarrow -k_x,$$

$$R_y : \Psi \rightarrow \alpha, T^3 \Psi, \quad k_y \rightarrow -k_y.$$

Here, $R_x$ interchanges the sublattices, as can be seen from the lattice in Fig. 1. $R_y$ interchanges the Dirac valleys. Together $R_x R_y$ defines spatial inversion, which is equivalent to a $C_2$ rotation.

The honeycomb lattice also has threefold rotational symmetry

$$C_3 : \Psi \rightarrow e^{\pm 2\pi i/3} \Psi, \quad k \rightarrow (-k_x + \sqrt{3}k_y, \pm \sqrt{3}k_y - k_x)/2.$$

There is also the translational symmetry under the primitive lattice vectors

$$a_1 = \frac{\sqrt{3}}{2}(1, \sqrt{3}), \quad a_2 = \frac{\sqrt{3}}{2}(-1, \sqrt{3}),$$

that is generated by $T^3$ (also the generator of chiral transformations), and is enacted as

$$t_{a_{1,2}} : \Psi(r) \rightarrow e^{iK_+ a_{1,2} \cdot r} \Psi(r + a_{1,2}), \tag{13}
\end{equation}

where $K_+ \cdot a_{1,2} = \pm 2\pi \sqrt{3}$. In the following, we are interested in the case where this primitive translational symmetry, in combination with point-group symmetries, is spontaneously broken. We refer to this as spontaneous lattice symmetry breaking.

Additionally, the theory has the discrete time reversal $T$, chiral $S$, and particle-hole (or charge-conjugation) $C = T S$ symmetries [54]. Time reversal is the antunitary operation

$$T : \Psi \rightarrow \alpha, T^3 \Psi, \quad k \rightarrow -k,$$

where $\bar{S}$ denotes complex conjugation. Chiral symmetry $S$ is a property of any nearest-neighbor hopping fermionic model on a bipartite lattice. It is the operation under which $c_a \rightarrow c_B^\dagger$ and $c_B \rightarrow -c_A^\dagger$, which here is encoded by

$$S : \Psi \rightarrow \alpha, T^3 \Psi.$$ \tag{15}

Finally, the particle-hole operation is

$$C : \Psi \rightarrow \alpha, T^3 \Psi, \quad k \rightarrow -k.$$ \tag{16}

It is important to note that additional real hopping terms, such as the next-nearest-neighbor $r_2$ would break the particle-hole symmetry. However, at half-filling, particle-hole symmetry remains an emergent symmetry of the noninteracting low-energy Dirac theory. This is because the additional term $\sim g_2 |\ell \alpha_0|^2$ in the Hamiltonian, which breaks particle-hole symmetry, is of quadratic order in $k$ and hence an irrelevant perturbation in naive scaling analysis.

B. Lattice symmetry-breaking charge order

In recent years it has become well established [45,55] that sufficiently strong next-nearest-neighbor $V_2$ repulsions stabilize CDW$_3$ order on the honeycomb lattice, as is shown in Fig. 1. This charge ordering has reduced translational symmetry with a threefold enlarged unit cell, containing an entire hexagonal plaquette. Equivalently, the charge order has finite wave vector that couples the Dirac points, resulting in a threefold reduced Brillouin zone. Here, we derive the effective GNY-like theory for charge ordering with reduced translational symmetry. This is achieved by means of the Hubbard-Stratonovich transformation in the charge channel that introduces the six auxiliary dynamical charge order-parameter fields

$$\rho = \{\rho_{\alpha \lambda,1}, \rho_{\alpha \lambda,2}, \rho_{B,1}, \rho_{B,2}, \rho_{B,3}\}, \tag{17}
\end{equation}

coupled to the density $\rho_i$ on each site of the hexagon. In light of the extensive literature [45,55], we assume that the global minimum of the free energy has negligible weight in the bond order channel conjugate to $c_i^\dagger c_{i,j}^\dagger$.

1. Hubbard-Stratonovich decomposition

Here, we present an overview of the steps taken to derive the low-energy effective field theory. Further technical details can be found in Appendix A. First, the interaction is decoupled in the charge channel,

$$\exp \left[-\int_t \sum_{(j)} \rho_i \hat{h}_j + \sum_{(j)} \hat{h}_i \rho_j \right]$$

$$= \int D[\rho] \exp \left[-\int_t \sum_{k \in \text{RBZ}} \left(\rho_k V_k \rho_k + 2\hat{h}_k V_k \rho_k \right) \right],$$

where the momentum summation is over the reduced Brillouin zone (RBZ) that is reciprocal to the enlarged unit cell. The complicated effective interaction matrix $V_k$, that contains $V_1$ and $V_2$ terms, is defined in Eq. (A9). Then, the high-energy modes up to $v_F \Lambda$ are integrated out by projecting into the Dirac subspace (3), $\Psi_i = \sum_{j} P_i \gamma_j$, where the $j$ sum is over the sites of the hexagon, and $P$ is defined in Eq. (A8). Next, it is convenient to introduce the order-parameter fields

$$\rho_0, \phi, A_1^+, A_1^-, A_2^+, A_2^- = U \cdot \rho,$$ \tag{18}

where

$$U = \frac{1}{\sqrt{6}} \begin{pmatrix}
\sqrt{6} & \sqrt{6} & \sqrt{6} & \sqrt{6} & \sqrt{6} & \sqrt{6} \\
\sqrt{6} & \sqrt{6} & \sqrt{6} & -\sqrt{6} & -\sqrt{6} & -\sqrt{6} \\
\sqrt{3} & -2\sqrt{3} & \sqrt{3} & -\sqrt{3} & 2\sqrt{3} & -\sqrt{3} \\
3 & 0 & 3 & 3 & 0 & -3 \\
-3 & 0 & 3 & -3 & 0 & 3 \\
-\sqrt{3} & 2\sqrt{3} & -\sqrt{3} & -\sqrt{3} & 2\sqrt{3} & -\sqrt{3}
\end{pmatrix}. \tag{19}
$$

The half-filling condition constrains the total charge density over the hexagonal plaquette, hence, $\rho_0$ is fixed and thus neglected in the following. The corresponding charge patterns on the hexagonal unit cell that are induced by

$$A = (A_1^+, A_2^+), \quad A_1^+ = (A_1^+, A_1^+_i), \quad A_2^+ = (A_2^+, A_2^+_i), \tag{20}$$

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transforms as the where the implicit summation over repeated relative to half-filling. They are labeled with the order-parameter be read off.

FIG. 2. All possible charge instabilities in the six-site unit cell on the half-filled honeycomb lattice. Charge modulation is shown relative to half-filling. They are labeled with the order-parameter that contains the primitive translations has been discussed extensively in Refs. [52,56]. Here, \( \phi \) transforms as the \( B_2 \) irreducible representation and \( (A^1, A^2) \) transform like the four components of \( G \). The components mix into each other under primitive translations \( \Psi \rightarrow e^{\pm 2\pi i T_j / 3} \Psi \), thereby breaking the translational symmetry of the primitive unit cell. The fields \( (A^1, A^1, A^2, A^2) \) are distinguished by their properties under reflection

\[
R_i : \text{(even, odd, odd, even)},
\]

\[
R_k : \text{(odd, even, odd, even)},
\]

which can be seen by inspection of Fig. 2.

Finally, the effective local Yukawa Lagrangian that couples Dirac fermions and (dynamical) order-parameter fields has the compact form

\[
L_y = \Psi^\dagger (g_\phi \phi \sigma \cdot T^3 + \alpha_1 \Psi \cdot A^a T^a) \Psi,
\]

where the implicit summation over repeated \( \alpha = 1, 2 \) is used throughout. \( L_y \) preserves emergent spatial rotational and \( U(1) \) chiral symmetries, but breaks Lorentz invariance.

The bare effective couplings are related to the lattice couplings \( (g_\phi)_0 = \sqrt{3/2} (V_1 - 2 V_2) \) and \( (\alpha_1)_0 = \sqrt{3} / 2 V_2 \), but these will flow under the RG. In addition, we obtain the bare Hubbard-Stratonovich order-parameter mass term

\[
L_{\text{HS}} = \sqrt{3/2} (g_\phi)_0 \phi^2 + \sqrt{3} (\alpha_1)_0 A^2,
\]

where \( A = \sqrt{A^1 \cdot A^2} \). The unimportant bare gradient terms have been suppressed in the interest of brevity.

2. Mass sector

The anticommutator relation \( \{ H_0, g_\phi \phi \sigma \cdot T^3 \} = 0 \) identifies \( \phi \) as a mass field that fully gaps the quasiparticle spectrum upon condensation [53,57],

\[
\varepsilon = \pm \sqrt{v_F k^2 + (g_\phi \phi)^2},
\]

and is therefore expected to maximize the condensation energy gain. In the regime \( V_1 \gg V_2 \) the order parameter \( \phi \) describes the quantum phase transition from the semimetal into the CDW insulator, which spontaneously breaks the sublattice exchange symmetry \( \Psi \rightarrow R_j \Psi, \phi \rightarrow -\phi \). This corresponds to the \( Z_2 \) chiral symmetry breaking in the low-energy effective field theory [58], where the dynamics of \( \phi \) is encapsulated by the Lagrangian

\[
L_\phi = \frac{1}{2} \phi (\partial_\gamma^2 - \varepsilon^2) \phi^2 + m_\phi^2 \phi.
\]

The order-parameter mass \( m_\phi^2 \) tunes through the fermionic quantum critical point at \( m_\phi^2 = 0 \), which belongs to the \( Z_2 \) Gross-Neveu (or chiral Ising) universality class. It is understood to describe the same universal behavior as tuning through \( V_1 = (V_1)_c \), \( (V_1) \gg (V_1)_c \) in the lattice model (1). For \( m_\phi^2 < 0 \) the order-parameter expectation value \( \langle \phi \rangle \) is finite corresponding to \( V_1 > (V_1)_c \) where as for \( m_\phi^2 > 0 \) the symmetry is broken (\( \langle \phi \rangle = 0 \) corresponding to \( V_1 < (V_1)_c \)). The properties of this critical fixed point have been intensively studied. Further details can be found in Refs. [59,60] and references therein.

For clarity, we now briefly discuss the remaining possible mass terms of four-component spinless Dirac fermions and their meaning on the honeycomb lattice. The mass terms can be enumerated by

\[
L_{\text{mass}} = \frac{1}{2} \phi (\partial_\gamma^2 - \varepsilon^2) \phi^2 + m_\phi^2 \phi.
\]

We reiterate that only the sublattice CDW mass \( \langle \phi \rangle = 0 \) is relevant in our paper. The other terms arise from bond order \( \langle c_j^\dagger c_j \rangle \) on the honeycomb lattice, and are not energetically favorable in the region of the phase diagram of interest \( V_2 \gg V_1 \). Although at mean field the Haldane [33] quantum anomalous Hall mass \( \Psi^\dagger M \Psi T \phi \) is stable for \( V_2 \gg V_1 \), upon the inclusion of beyond-mean-field quantum fluctuations it is known that CDW order is favored [45,55]. The masses \( \Psi^\dagger (M^a \sigma \cdot T^a \cdot T^1) \Psi \) form an XY order parameter that corresponds to the Kekule valence bond solid phase [9,10,61], which is understood to be the leading instability for strong \( V_1 \approx V_2 \).

3. Emergent gauge sector

Following the discussion of the mass fields, it is important to notice that all possible remaining terms that minimally couple to four-component Dirac fermions are gauge fields, \( L_{\text{gauge}} = \sum_{i=0}^2 \sum_{j=0,1,2} \Psi^\dagger A_i^a \sigma_i T^a \Psi \),

where \( A_i^a \) are the Abelian and \( A_i^{r=0} \) the non-Abelian components. From this identification it follows that any order parameter associated with lattice symmetry breaking is necessarily composed of a combination of mass and emergent gauge fields. Although we have focused on the honeycomb lattice, it is evident that this is a generic property of Dirac materials. We now explicitly demonstrate that this is the case for CDW order.

In the low energy, \( A^a (\alpha = 1, 2) \) minimally couple as components of an emergent SU(2) non-Abelian local gauge theory generated by \( T^a \). This is revealed by the local Lagrangian

\[
L_\phi + L_{\text{HS}} = \Psi^\dagger [\partial_\gamma + i \alpha \cdot (v_F \partial - i g_\phi A^a T^a)] \Psi,
\]
which is invariant under the local gauge transformation generated by $\tau^1 L$. To avoid integrating over the emergent gauge redundancies, the theory is gauge fixed in the $R_\xi$ gauge by following the Faddeev-Popov procedure [62]. The dynamics of $A^{1,2}$ is described by the gauge fixed Lagrangian

$$L_A = \frac{1}{2} A^\mu \cdot (\partial_\mu - c_i A_i^\mu + m^2 \delta^\mu_\nu) A^\nu + \frac{\xi - 1}{2\xi} \sum_{i,j=x,y} A_i^\mu c_i^\nu \partial_\nu A_j^\nu,$$

which is invertible for all finite $\xi$. It is convenient to employ the Feynman-'t Hooft gauge $\xi = 1$, where the Lagrangian is spatially isotropic. Naturally, the universal behavior should not depend on the choice of gauge.

The bosonic mass $m^2_{A}$ is finite from the lattice Hubbard-Stratonovich transformation (24) with the bare mass $(m_{A})_0 = 3V$. This implies that $A^{1,2}$ can have finite order-parameter expectation values [63–65], which is of course expected from the lattice model where $A^{1,2}$ are linear combinations of charge order parameters. This is in contrast to the standard Yang-Mills theory, where $m_{A} = 0$ is enforced by the Ward identity associated with the chiral/gauge invariance (6).

4. Order-parameter self-interactions

From symmetry considerations, or alternatively by integrating out high-energy fermionic modes, one obtains the self-interaction of the dynamic order-parameter fields

$$L_\phi = \lambda_\phi \phi^4 + \lambda_A A^4 + \lambda_{\phi A} \phi A^2 + \lambda_{YM}(A^1 \times A^2)^2.$$

The Yang-Mills term $\lambda_{YM}$ reflects the underlying emergent non-Abelian gauge structure. The coupling $\lambda_{\phi A}$ implies that the condensation of the mass field $\phi$ could dynamically generate a finite expectation value for the emergent gauge fields, which is reminiscent of a Higgs mechanism.

Additionally, in the low-energy theory there is the symmetry-allowed analytic cubic term

$$L_{q_1} = b_3 \phi (A^1 \times A^2),$$

This is because $A^1 \times A^2$ preserves the emergent global chiral symmetry generated by $T^3$, under which

$$\begin{pmatrix} A^1 \\ A^2 \end{pmatrix} \rightarrow \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} A^1 \\ A^2 \end{pmatrix}.$$

Likewise, the spatial rotational symmetry (5) generated by $\sigma_z$ is preserved, under which

$$\begin{pmatrix} A^a \\ A^b \end{pmatrix} \rightarrow \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} A^a \\ A^b \end{pmatrix}.$$

Yet, the noninteracting theory is symmetric under the particle-hole transformation (16), where as the Yukawa terms in $L_g$ [Eq. (23)] are all particle-hole odd. Therefore, the renormalization of the cubic terms by fermion loops are forbidden, as all loop corrections vanish by symmetry:

$$g_\phi g_\chi^2 \phi \chi A^a A^b (\psi_1 \chi^3 \psi_1 \psi_1 T^a \nu T^b \psi) 
\rightarrow -g_\phi g_\chi^2 \phi \chi A^a A^b (\psi_1 \chi^3 \psi_1 \psi_1 T^a \nu T^b \psi) = 0.$$

This is in accordance with Furry's theorem [62], and extends to all fermion loops with an odd number of external legs. However, $b_3$ may still be renormalized by self-interactions.

5. Lattice symmetry-allowed self-interactions

The reduced symmetry of the honeycomb lattice $C_{6h}$ allows for additional interactions. Such terms are not generated (or renormalized) by the Dirac fermion loops, as they possess the higher continuous spatial rotational symmetry.

These terms are best exposed by introducing the double-complex, Hopf-coordinate representation

$$G = G_1 + jG_2,$$

with $G_\alpha = A_\alpha + iA_\beta$. Each of the four terms in $G$ is individually invariant under primitive translations $G \rightarrow e^{i2\pi/j} G$ and $G \rightarrow e^{i\pi/j} G$. Imposing invariance under reflections in $x$ and $y$ planes (22) reduces the symmetry-allowed term to

$$L_{b_3} = b_3 (G_3)^{\alpha} \chi \chi (G_3)^{\beta} \chi \chi,$$

This type of analysis for $G\phi^3$ identifies the lattice symmetry-allowed quartic self-interaction

$$L_{b_3} = b_3 \phi \chi \chi \chi \chi (G_3)^{\alpha} \chi \chi (G_3)^{\beta} \chi \chi.$$

The cubic terms $L_{b_3}$ [Eq. (32)] and $L_{b_5}$ [Eq. (38)] could potentially render the transition first order. While such terms would be relevant at the Wilson-Fisher fixed point, we will show in Sec. IIE that they vanish at the fermion-induced critical fixed point [9].

II. RENORMALIZATION GROUP ANALYSIS

Quantum (multi)critical points are described by scale-invariant fixed points of the RG transformation

$$k_0 = k_0 e^{-\tau L}, \quad k_\tau = k_\tau e^{-\tau L}, \quad k_4 = k_4 e^{-\tau L}.$$

We use the perturbative Wilson momentum shell scheme, in which we integrate over fast momentum modes

$$A e^{-\tau L} \lesssim |k| \lesssim A, \quad -\infty \lesssim k_0 \lesssim \infty,$$

to identify universal features of the action $S = \int L$ for

$$L = L_\omega + L_+ + L_\mu + L_\lambda.$$

Following this main analysis, we will then analyze the role of the symmetry-allowed self-interactions

$$L_{\hat{b}_3} = L_{b_3} + L_{b_5} + L_{\hat{b}_3},$$

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which play a secondary role due to particle-hole and rotational symmetries preventing renormalization from the gapless fermions.

Criticality is accessed by tuning the order-parameter masses to their fixed-point values \((m^2_{\phi,A})_0\). We follow the usual procedure and absorb the small linear shifts from vertex corrections into a redefinition of the masses such that \((m^2_{\phi,A})_0 = 0\). The masses are relevant perturbations at any fixed point and can be tuned by the lattice interaction parameters.

### A. Dimensional continuation of the Lagrangian

Tree-level scaling of GNY theories determines that the dynamical exponent is equal to \(z = 1\), and that the Yukawa and self-interactions are marginal perturbations at the Gaussian fixed point in \(d = 3\) spatial dimensions. This motivates an \(\epsilon = 3 - d\) expansion to access the strongly interacting quantum critical point in a controlled manner. Further control is exerted by generalizing to a large number \(N\) of fermionic spin flavors,

\[
\Psi^\dagger \Psi \to \sum_{n=1}^N \Psi^\dagger_n \Psi_n, \quad (\gamma^\dagger_{\phi,A}, \lambda_n) \to \frac{8\pi^2 \Lambda^\epsilon}{N} (\gamma^\dagger_{\phi,A}, \lambda_n),
\]

(44)

which is known to favorably reorganize the perturbative expansion, enabling perturbative RG directly in the physically relevant spatial dimension \(d = 2\) [66]. Here, \(i\) indexes the couplings in Eq. (31).

The dimensional continuation to \(d = 3 - \epsilon\) spatial dimensions (for \(\epsilon > 0\) or \(d < 3\)) is best formulated with the anti-commuting Dirac \(\gamma\) matrices defined below Eq. (8) and

\[
(\gamma_5, \gamma_y, \gamma_5) = (T^1, T^2, T^3),
\]

(45)

where \(\gamma_5 = -i\gamma_5\gamma_5\) and with \(\{\gamma_i, \gamma_j\} = 2\delta_{ij}\) for \(i, j = 0, \ldots, 5\). Then, the Lagrangian in continuous spatial dimension \(1 < d < 3\) is

\[
L = \sum_{n=1}^N \Psi_n \left[ \partial_\tau \gamma_0 + v_F \partial_\mu \gamma_\mu + \frac{g_\phi}{\sqrt{N}} \phi \right. \\
- \frac{ig_\phi}{\sqrt{N}} (\gamma_1 \gamma_n A_1^\mu + \gamma_2 \gamma_n A_1^\mu + \gamma_1 \gamma_n A_1^\mu + \gamma_1 \gamma_n A_1^\mu) \left. \right] \Psi_n \\
+ \frac{1}{2} \phi (\partial^2 - c_\phi^2 \gamma^2_\mu + m_\phi^2) \phi \\
+ \frac{1}{4} \Lambda^\epsilon \left( -\partial^2 - c_\phi^2 \gamma^2_\mu + m_\phi^2 \right) \Lambda^\epsilon \\
+ \frac{1}{N} (\lambda_\phi \phi^4 + \lambda_\Lambda \Lambda^4 + \lambda_\phi \phi^2 \Lambda^2 + \lambda_{YM}(A^1 \times A^2)^2),
\]

(46)

where \(\hat{N} = N/8\pi^2 \Lambda^\epsilon\) and there is the implicit summation over repeated \(\mu = 1, \ldots, d\). In this case, \(\text{Tr}(\gamma_5 \gamma_\mu) = 4d\). The corresponding fermion propagator is

\[
G_\phi(k_0, k_n) = \frac{i(k_0 \gamma_0 + v_F k_n \gamma_n)}{k_0^2 + v_F^2 k_n^2},
\]

(47)

and the order-parameter propagators are

\[
G_{\phi,A}(k_0, k) = \frac{1}{k_0^2 + c_\phi,A^2 k_\mu^2 + m_\phi^2 A^2},
\]

(48)

Under the space-time rescaling the fermion and order-parameter fields rescale as

\[
\Psi(k_0, k_n) = \Psi'(k'_0, k'_n)e^{(2z+d-\eta_\phi)\frac{i}{2}},
\]

(49)

\[
\phi(k_0, k_n) = \phi'(k'_0, k'_n)e^{(2z+d-\eta_\phi)\frac{i}{2}},
\]

(50)

\[
A^\phi(k_0, k_n) = A'^\phi(k'_0, k'_n)e^{(2z+d-\eta_\phi)\frac{i}{2}},
\]

(51)

where \(\eta_{\phi,A}\) are the anomalous dimensions that account for the beyond-tree-level corrections to the field rescaling. Our convention is that the rescaling of the fields ensures the scale invariance of the imaginary-time gradients.

### B. Renormalization group equations

The RG equations are obtained to one-loop order by calculating the diagrams in Fig. 3, using the critical \((m^2_{\phi,A} = 0)\) order-parameter propagators (48). Scale invariance implies the anomalous dimensions are

\[
\eta_\phi = \frac{2g_\phi}{v_F}, \quad \eta_\Lambda = \frac{4g_\phi^2}{3v_F^2},
\]

(52)

Then, the RG equations for the velocities are

\[
\frac{d}{dt} v_F = (z - 1)v_F + \frac{4}{N} g_\phi^2 (c_\phi - v_F),
\]

(53)

\[
\frac{d}{dt} c_\phi = 2(z - 1) c_\phi + \frac{2g_\phi^2 (v_F^2 - c_\phi^2)}{v_F},
\]

(54)

\[
\frac{d}{dt} c_\Lambda = 2(z - 1) c_\Lambda + \frac{4g_\phi^2 (v_F^2 - c_\Lambda^2)}{3v_F^2}.
\]

(55)

It is important to highlight that divergent anisotropic Fermi velocity renormalization, preempting a fixed point, is encountered if the independent spatial rotational symmetries of \(A^1\) or \(A^2\) are artificially broken.
The RG equations for the Yukawa couplings are
\[ \frac{d}{dl}g^\phi = g^\phi \left[ \epsilon + 3(z - 1) - \frac{2g^\phi}{v_F} - \frac{4}{N} \frac{g^\phi(g^\phi + 2v_F)}{\epsilon g^\phi N} \right] + \frac{4}{N} \frac{4g^\phi(g^\phi + 2v_F)}{\epsilon g^\phi N} + \frac{4}{N} \frac{4g^\phi(g^\phi + 2v_F)}{\epsilon g^\phi N} \] (56)
\[ \frac{d}{dl}g^\lambda = g^\lambda \left[ \epsilon + 3(z - 1) - \frac{8g^\lambda}{v_F} \right] + \frac{4}{N} \left[ \frac{9g^\lambda}{\epsilon g^\lambda N} + \frac{3g^\lambda}{\epsilon g^\lambda N} \right] \] (58)
\[ \frac{d}{dl}g^\phi \phi = g^\phi \phi \left[ \epsilon + 3(z - 1) - \frac{2g^\phi}{v_F} + \frac{4}{N} \frac{4g^\phi}{\epsilon g^\phi N} \right] + \frac{4}{N} \frac{4g^\phi}{\epsilon g^\phi N} + \frac{4}{N} \frac{4g^\phi}{\epsilon g^\phi N} \] (59)
\[ \frac{d}{dl}g^\lambda \phi = g^\lambda \phi \left[ \epsilon + 3(z - 1) - \frac{8g^\lambda}{v_F} + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} \right] + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} \] (60)
\[ \frac{d}{dl}g^\lambda \phi \phi = g^\lambda \phi \phi \left[ \epsilon + 3(z - 1) - \frac{8g^\lambda}{v_F} + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} \right] + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} \] (61)

The RG equations for the boson order-parameter mass terms are
\[ \frac{d}{dl}m^2 = m^2 \left[ 2z - \frac{2g^\phi}{v_F} - \frac{12g^\lambda}{N} - m^2 \right] - m^2 \frac{8A\lambda}{Nc_A} \] (62)
\[ \frac{d}{dl}m^2 = m^2 \left[ 2z - \frac{4g^\lambda}{3v_F} - \frac{2(12A\lambda + g^\lambda \phi \phi N)}{N} \right] - m^2 \frac{2g^\lambda \phi \phi}{N_c^2} \] (63)

Note that to obtain Eqs. (62) and (63) the propagators must contain finite order-parameter masses, and the diagrams are then expanded to leading order.

The RG equations are solved to \( O(1/N) \) and to leading order in \( \epsilon \) as follows. First, \( \epsilon \) is chosen to make \( v_F \) scale invariant by solving Eq. (53). Then, the fixed points of the boson velocities and Yukawa couplings are obtained simultaneously by solving Eqs. (54)–(57). The boson self-interaction fixed points are then obtained by solving Eqs. (58)–(61).

The RG flow in the vicinity of the multicritical fixed point \( (m^2, A, \lambda, \phi, \lambda, N, \epsilon, v_F) = (0, 0, 0, 0, 0, 0, 0, 0) \) is determined by linearization in the couplings \( \lambda_i = (g^\phi, g^\lambda, \lambda, \lambda, \lambda, m^2, m^2) \) around their fixed-point values \( (\lambda_i)_c \). The inverse correlation length exponents \( v_{ij}^{-1} \) are determined by the two relevant (positive) eigenvalues of the stability matrix
\[ X_{ij} = \frac{\partial}{\partial x_i} \frac{d}{dl} u_{j} \mid_{x_i = (\lambda_i)_c} \] (64)

C. Critical fixed points

The Yukawa couplings are relevant perturbations at the putative Wilson-Fisher fixed points \( (\lambda_i) \neq 0 \). In the presence of gapless fermion excitations, the RG equations for the Yukawa couplings are solved to leading order in \( \epsilon \). The leading-order RG equations are
\[ \frac{d}{dl}g^\phi = g^\phi \left[ \epsilon + 3(z - 1) - \frac{2g^\phi}{v_F} \right] + \frac{4}{N} \frac{4g^\phi}{\epsilon g^\phi N} + \frac{4}{N} \frac{4g^\phi}{\epsilon g^\phi N} \] (56)
\[ \frac{d}{dl}g^\lambda = g^\lambda \left[ \epsilon + 3(z - 1) - \frac{8g^\lambda}{v_F} \right] + \frac{4}{N} \left[ \frac{9g^\lambda}{\epsilon g^\lambda N} + \frac{3g^\lambda}{\epsilon g^\lambda N} \right] \] (58)
\[ \frac{d}{dl}g^\phi \phi = g^\phi \phi \left[ \epsilon + 3(z - 1) - \frac{2g^\phi}{v_F} + \frac{4}{N} \frac{4g^\phi}{\epsilon g^\phi N} \right] + \frac{4}{N} \frac{4g^\phi}{\epsilon g^\phi N} + \frac{4}{N} \frac{4g^\phi}{\epsilon g^\phi N} \] (59)
\[ \frac{d}{dl}g^\lambda \phi = g^\lambda \phi \left[ \epsilon + 3(z - 1) - \frac{8g^\lambda}{v_F} + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} \right] + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} \] (60)
\[ \frac{d}{dl}g^\lambda \phi \phi = g^\lambda \phi \phi \left[ \epsilon + 3(z - 1) - \frac{8g^\lambda}{v_F} + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} \right] + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} + \frac{4}{N} \frac{4g^\lambda}{\epsilon g^\lambda N} \] (61)

D. CDW3 criticality

The CDW3 fixed point is located at \( m^2 = 0 \) and \( m^2 \phi = 0 \). In the large-\( N \) limit there is a well-defined multicritical fixed point
\[ (g^\phi, g^\lambda) = \left( 2 - \frac{12}{N}, 3 + \frac{3}{2N} \right) \] (65)
\[ (\lambda, \phi, \lambda, \lambda, \lambda, \lambda, N, \epsilon, v_F) = \left( 1 - \frac{81}{2N}, \frac{18}{N}, \frac{6}{N}, \frac{6}{N}, \frac{6}{N} \right) \] (66)

This is in contrast to the emergent Lorentz invariance of GNY fixed points with \( z = 1 \) and \( v_F = 0 \) to all orders in \( N \). The resulting critical exponents are collected in Table I, and are contrasted with the GNY universality. The finite anomalous dimensions indicate the breakdown of the quasiparticle picture at the multicritical point. There is good agreement from analysis of the CDW3 fixed point directly in the physical spatial dimension \( d = 2 \), which is perturbatively controlled by large \( N \) (RG equations in Appendix B).

E. Cubic terms and fermion-induced criticality

Our RG analysis indicates the existence of a continuous quantum phase transition. However, there are symmetry-allowed cubic terms in \( \lambda_0 \) [Eq. (43)]. If the cubic couplings in \( \lambda_0 \) at a putative fixed point are finite, the Landau cubic criterion could imply a first-order transition.
The naive tree-level scaling [b_3] = [b_1] = (5z - d)/2 suggests that this is indeed the case. Yet, the large order-parameter anomalous dimensions render the cubic term irrelevant at the \( d = 2 \) CDW critical point. Therefore, the coupling to gapless Dirac fermions induces a continuous transition, an example of fermion-induced criticality [9].

To demonstrate this, it is sufficient to calculate the RG equations to leading order,

\[
\frac{d}{d\ell} b^3 = b^3 \left[ \varepsilon + 5z - 3 - \frac{4g_0^2}{\psi^3} - \frac{12}{N} \left( \frac{3\lambda_A}{c_A} + \frac{\lambda_{YM}}{c_A^3} \right) \right].
\]

\[
\frac{d}{d\ell} b^3 = b^3 \left[ \varepsilon + 5z - 3 - \frac{8g_0^2 + 6g_0^2}{\psi^3} - \frac{4}{N} \left( \frac{4\lambda_A}{c_A^3} + \frac{3\lambda_{YM}}{c_A^2} + \frac{8\lambda_{phi}}{c_A c_\phi (c_\phi + c_A)} \right) \right].
\]

where the couplings are rescaled, \( b^3 \rightarrow 8\pi^2\Lambda b_3^3/N \), and similar for \( b^2 \). This requires calculating the one-particle-irreducible contractions of \( \{J_{ta}, J_{sb}\} \), with three slow order-parameter legs. Crucially, there is no direct renormalization by the Dirac fermions because of their continuous spatial rotational symmetry and particle-hole symmetry, as discussed in Sec. 1B. At the CDW fixed point the RG equations reduce to

\[
\frac{d}{d\ell} b^3 = 2b^3 \left[ 1 - \varepsilon - \frac{24e}{N} \right].
\]

\[
\frac{d}{d\ell} b^3 = 2b^3 \left[ 1 - \varepsilon - \frac{59e}{4N} \right].
\]

Therefore, to this order the cubic terms are irrelevant perturbations in \( d = 2 \) spatial dimensions (\( \varepsilon = 1 \)), and the fixed-point value is \( (b_3)_* = (b_1)_* = 0 \). In \( N \rightarrow \infty \), the cubic terms are marginal to all loop order following the arguments in Ref. [10]. Similar behavior was found in the case of Kekule ordering. For the complex Kekule XY order parameter \( x = (M^2 + iM^2)/\sqrt{2} \) there is the lattice symmetry-allowed Potts clock term \( x^3 + x^{-3} \sim |x|^3 \cos(3\phi) \), which is found to be irrelevant at the fermionic critical point [9]. This highlights that fermion-induced critical points are inherently different from Wilson-Fisher fixed points of conventional order-parameter theories. Naturally, quartic and higher-order lattice interactions are even more irrelevant at fermionic fixed points.

### III. BROKEN-SYMMETRY STATE

#### A. CDW broken-symmetry state

To analyze the nature of the CDW broken-symmetry state, the free-energy density \( f(\phi, A^+ A^-) \) must be minimized. Infinitesimally close to the multicritical point, \( f \) is obtained from integrating over the fermions for static order-parameter fields with finite expectation values [66]. Although the criticality is universal, the broken-symmetry state is not. Instead, it depends on the lattice model and the concomitant path taken through the critical surface \( (\delta_\phi, \delta_\lambda) = (\delta(\cos \theta, \sin \theta)) \) with \( \delta_\phi A = (m_{2,0}^2) - m_{2,0}^2 \).

The analysis proceeds directly in \( d = 2 \) and is controlled with large \( N \). In the region of the critical surface it is assumed that the couplings are well approximated by their fixed-point values \((m_{2,0}^2) = 4A^2, (g_{2,0}) = 4\pi \Lambda/N, (g_{2,0}) = 2(g_{2,0}), \) and \( v_{1,0} = 1 \). Integrating over the fermions, we obtain the Landau free-energy density

\[
f = -N \int_{-\infty}^{\infty} \frac{dk_0}{2\pi} \int_{-\infty}^{\infty} \frac{d^2k}{(2\pi)^2} \ln \det \left( -ik_0 + \alpha \cdot k \right)
+ \left( \frac{g_{2,0}}{\sqrt{N}} \right) \phi \alpha \cdot T
+ \left( \frac{g_{2,0}}{\sqrt{N}} \right) \phi \cdot A \cdot A^* T
+ \frac{m_{2}^2}{2} \phi^2 + \frac{m_{2}^2}{2} A^2 + \ldots .
\]

Here, the ellipsis indicates higher-order terms, which include those that depend on the lattice model. For \( V_3 = V_1 \) on the honeycomb lattice, unconstrained charge order has an ill-defined Hubbard-Stratonovich transformation. Therefore, it is expedient to constrain the order-parameter space to the physically valid CDW region,

\[
\phi = \sqrt{2/3}(\rho - \Delta),
\]

\[
(A^+ A^-) = \frac{4\rho + 2\Delta}{\sqrt{3}} (C_\alpha C_\beta, C_\alpha S_\beta, S_\alpha C_\beta, S_\alpha S_\beta),
\]

where \( \rho \geq \Delta \geq 0, C_\alpha = \cos \alpha, S_\alpha = \sin \alpha. \)
which are, respectively, minimized and maximized for \( \alpha = 0 \) and \( \alpha = \pm \beta \). Positive modulation corresponds to \( \alpha = 0 \), and red for negative modulation. Different \( \alpha \) correspond to \( C_3 \) rotations. Different \( \beta \) correspond to shifts of the unit cell.

The free energy is minimized for \( A^1 \times A^2 = 0 \), equivalent to \( \beta = \gamma \). Otherwise, particle-hole symmetry would be broken, which is energetically unfavorable. Under this condition the lattice cubic and quartic terms terms are

\[
L_{\beta} = b_3 A^3 \sin(3\alpha) \sin(3\beta),
\]

\[
L_{\alpha} = b_2 A^2 \cos(3\alpha) \cos(3\beta),
\]

which are, respectively, minimized and maximized for \((\alpha, \beta) = \frac{2\pi}{3}(n, m)\) for integers \(n, m = 0, 1, 2\). Here, \(\alpha\) encodes translations and \(\beta\) rotations, which together enumerate the nine possible charge configurations, displayed in Fig. 4. Naturally, the number of patterns is doubled with charge inversion. Numerical simulations and semiclassical analysis [39] have previously identified that the lattice ground-state manifold is restricted to the same set of states.

The free energy can be partially minimized by letting \( \Delta = x\rho \) and solving \( \frac{\partial f}{\partial \rho} = 0 \), which results in

\[
f_{\rho}(x) = -\frac{4\pi^2}{2(\rho + 2)^2} \sin \theta + (x - 1)^2 \cos \theta \] is the analytic part of the Landau free energy up to quartic order.

In general, the higher-order, non-universal terms \( \ldots \) will select the minimizing value of \( x \) or \( \Delta \) (subject to constraints), for a given path \( \theta \) through the critical surface. It is possible, however, to obtain the ground state in the limiting cases by assuming generic bounding quartic terms. For \( \theta \to \pi/2^- \) it is found that \( \Delta/\rho \to 1^- \) and \( \phi \to 0^+ \). In the other limit \( \theta \to 0^+ \), it is found that \( \Delta/\rho \to 0^+ \), \( \phi \to A^+ \).

The latter limit \( \theta \to 0^+ \) is precisely the condition for the broken-symmetry state to host the previously discussed gapless semi-Dirac [28,29,31] excitations, which disperse quadratically in the direction defined by the polar angle \( \beta \) and linearly orthogonal to this. From the hybridization of downfolded Dirac valleys there is a condensation energy gain from the second set of bands that gap with \( \pm |\phi + A|\).

Such a metallic CDW state with semi-Dirac quasiparticle excitations was predicted previously [45] for the case of a pure \( V_2 \) interaction. These findings were based on a self-consistent analysis of the free energy with the inclusion of RPA-type order-parameter fluctuations.

### B. Topological phase transitions

The broken-symmetry state with gapless semi-Dirac excitations \((\phi = A)\) can be interpreted as the critical point of the topological Lifshitz phase transition [28] between a semimetal \((\phi < A)\) and a topologically trivial band insulator \((\phi > A)\), as shown in Fig. 5. This notion for the \( d = 2 \) topological critical point can be generalized to the full set of masses \( M^1, M^2, M^3 \text{ [Eq. (27)]} \) and non-Abelian gauge fields \( A^1, A^2, A^3 \) with \( A^4 = (A^a, A^b) \text{ [Eq. (28)]} \). This generalization accounts for Kekule masses \( M^{1,2} \) and gauge fields \( A^1 \) that may be induced by strain on the honeycomb lattice [67].

Assuming full global SU(2) gauge rotational symmetry the analytic part of the Landau free energy up to quartic order takes the form

\[
f = C_1 M^2 + C_2 A^2 + C_3 \epsilon^{abc} M^a (A^b \times A^c), + C_4 M^2 A^2 \]

\[
+ C_5 M^a A^a \cdot A^b + C_6 M^2 + C_7 A^4 \]

\[
+ C_8 (\epsilon^{abc} A^b \times A^c)^2 + C_9 (A^a \cdot A^b)(A^a \cdot A^b),
\]

where for this section the indices run over the full set \(a, b, c = 1, 2, 3\) and where \(C_i\) are unspecified coupling constants. The \(C_3\) and \(C_5\) terms must vanish to describe topological transitions. Subject to this constraint, and due to full SU(2) symmetry, the minimum of the free energy is now realized by any state obtained applying an SU(2) rotation to the \(\phi = A\)
state described above. For any such state, the Hamiltonian

\[ \hat{H} = k \cdot \alpha + \alpha_i M^a T^a + \alpha \cdot A^a T^a \]  

(78)

has a topological critical point at \( |M| = |A| \). A finite \( C_5 \) term breaks particle-hole symmetry, whereas a finite \( C_3 \) term induces a band gap for all finite \( M \) and \( A \).

IV. DISCUSSION

We demonstrated that spontaneous lattice symmetry breaking in interacting Dirac fermion systems is described by effective field theories in which dynamical order-parameter fields minimally couple to Dirac fermions as a combination of mass and emergent gauge field components. This is a departure from the common wisdom that mass channels solely dominate the energetic landscape [4,57], which is an expectation motivated by the energetic gain upon condensing into an insulating state.

As a result, the ensuing criticality considered here is found to be beyond the GNY universality classes. The unconventional dynamical exponent \( z > 1 \) indicates that the putative emergent Lorentz invariance associated with GNY criticality is violated. Our conclusions follow from a one-loop renormalization group analysis that is analytically controlled by the \( \epsilon \) expansion, as well as the generalization to a large number \( N \) of fermion flavors.

As a concrete example, the spontaneous lattice symmetry breaking due to CDW\(_3\) order of Dirac fermions on the half-filled honeycomb lattice was analyzed. However, the conclusions regarding the multicritical point are generic for the low-energy field theory. For a given starting microscopic model, the different order parameters will in general break different symmetries, which might be a combination of translations, point group or internal symmetries, but as long as the low-energy theory is described by Dirac fermions in (2+1)D, all order parameters will be represented by either mass fields or gauge fields and our theory can be used to describe the corresponding phase transitions.

The important role of emergent gauge fields has been recognized in the context of the Ising nematic transition in \( d\)-wave superconductors [19,63,64,68,69], and there is indeed a close connection with the gauge sector of the field theory presented here. In this case, the fourfold lattice rotational symmetry is spontaneously reduced to twofold, and the effective Yukawa coupling in our notation reads as

\[ A_{\text{ren}} \Psi^\dagger (\alpha_x + \alpha_z) \Psi \]  

(79)

Healing criticality might anticipate that the lattice terms are rendered irrelevant at the critical point. In this case, the problem should be revisited, starting from a continuous rotational symmetry and the full set of non-Abelian gauge fields.

Similarly, on the \( \pi \)-flux lattice [70,71], sublattice \( A_{\text{sub}} \Psi^\dagger \alpha_x T^x \Psi \) and stripe \( A_{\text{strip}} \Psi^\dagger \alpha_z T^z \Psi \) charge order parameters both couple as emergent gauge fields, but have thus far not been analyzed as such. This case is interesting because interaction-induced Haldane-type quantum Hall order couples as a mass field, but is destabilized by beyond-mean-field fluctuations, much like the CDW\(_3\) case. In both instances, the existence of emergent gauge fields is tied to the breaking of lattice symmetries.

That \( z > 1 \) raises the possibility that long-range Coulomb interactions [3]

\[ \hat{n}(r) = \frac{e^2}{|r - r'|} \hat{n}(r') \to -i \Psi^\dagger \Psi + \frac{1}{2e^2} |q| A^0 \]  

(80)

could be relevant and provide further nontrivial scaling at this novel critical point [72]. The one-loop RG equation for the Coulomb coupling [4]

\[ \frac{d}{d\ell} e^2 \approx (z - 1) e^2 - \delta_d e^4 \]  

(81)

demonstrates that \( z > 1 \) defines \( e \) as a relevant perturbation. At the new CDW\(_3\) fixed point it is expected that \( z \approx 1 + (\delta_d^2 - x e^2) (x > 0) \), suggesting such relevance. In contrast, the Coulomb interaction is irrelevant at the \( d = 2 \) GNY fixed point \( z = 1 - x e^2 (x > 0) [3,4,21,22] \).

Recently, there has been considerable interest in the properties of topological quantum critical points [26,27,72–79], which in \( d = 2 \) are commonly described by effective Hamiltonians of the semi-Dirac form \( \tilde{H} = k^2 \sigma_y + k \sigma_x \). Here, anisotropic velocity renormalization needs to be regulated with nonperturbative infrared loop resummations [27,79].

Our work shows that complementary combinations mass and non-Abelian gauge fields provide a natural playground for the study of topological quantum phase transitions. Lifshitz transitions of merging Dirac cones are observed when tuning through the broken-symmetry states close to the multicritical point. These insights could be relevant for a range of systems, including black phosphorus [80,81], optical honeycomb lattices [46,82,83], artificial graphene [84], TiO\(_2\)/VO\(_2\) interfaces [31,85], and \( \sigma(\text{BEDT-TTF})_2\)\(_I_3 \) [86].

A related open question is whether there is a similar description of other exotic Lorentz violating fermions, such as the multifolds in topological chiral crystals [25,87,88], and what this means for their quantum critical properties [23,89].

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APPENDIX A: DERIVATION OF THE EFFECTIVE FIELD THEORY

Here, we obtain the effective field theory from the extended Hubbard model of spinless fermions on the honeycomb lattice. We accommodate for broken translational symmetry with a six-site enlarged unit cell that covers a honeycomb plaquette. In this case, the lattice vectors are

\[ a_1 = \frac{3a}{2}(\sqrt{3}, 1), \quad a_2 = \frac{3a}{2}(-\sqrt{3}, 1), \]

\[ a_3 = -a_1 - a_2, \]  

(A1)

and the basis is

\[ \mathbf{c} = \{ c_{A_1}, c_{A_2}, c_{B_1}, c_{B_2}, c_{B_3} \}. \]  

(A2)
The corresponding reciprocal lattice vectors of the downfolded Brillouin zone are $b_{1,2} = \frac{2}{\sqrt{3}}(\pm 1, \sqrt{3})$.

The noninteracting Hamiltonian is

$$ H_0 = \sum_k c^\dagger(k)H_0(k)c(k), \quad \text{(A3)} $$

$$ H_0(k) = \begin{pmatrix} 0 & T^\dagger(k) \\ T(k) & 0 \end{pmatrix}, \quad \text{(A4)} $$

$$ T(k) = -t \begin{pmatrix} 1 & 1 \\ e^{ik_{a_1}} & 1 \end{pmatrix}. \quad \text{(A5)} $$

Due to downfolding, both Dirac points reside at the $\Gamma$ point ($k = 0$). We integrate out high-energy modes by projecting into the low-energy Dirac subspace $\Psi = P\phi$, and obtain the noninteracting Dirac Hamiltonian

$$ H_0(p) = P[H_0(0) + [\nabla_k H_0(k)]_{k=0} \cdot p]P^\dagger = v_F p \cdot \alpha. \quad \text{(A6)} $$

The projection $P$ is formulated from the low-energy (row) eigenvectors of $H_0(k = 0)$,

$$ P_0 = \frac{1}{\sqrt{6}} \begin{pmatrix} -1 & -1 & 2 & 0 & 0 & 0 \\ \sqrt{3} & -\sqrt{3} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -\sqrt{3} & \sqrt{3} & 0 \\ 0 & 0 & 0 & -1 & 2 \end{pmatrix}. \quad \text{(A7)} $$

For convenience, we apply the additional unitary transformation, such that the projection is

$$ P = e^{-i\frac{\pi}{6}\sigma_3 \otimes \pi} e^{-i\frac{\pi}{6}\sigma_3 \otimes \pi} e^{-i\frac{\pi}{6}\sigma_3 \otimes \pi} P_0. \quad \text{(A8)} $$

The first exponential transforms into the basis $\alpha$. The second enacts a coordinate transformation. The third translates the definition of the unit cell.

Now, we can obtain the low-energy theory of the charge ordering. First, we decouple the interaction in the charge channel with the Hubbard-Stratonovich transformation (18) where the explicit for the coupling matrix is

$$ V_k = -\frac{1}{2} \begin{pmatrix} 0 & V_{2e_{13}} & V_{2e_{12}} & V_{1e_{12}} & V_{1e_{13}} & V_{1e_{14}} \\ V_{2e_{13}} & 0 & V_{2e_{23}} & V_{1e_{23}} & V_{1e_{24}} & V_{1e_{25}} \\ V_{2e_{12}} & V_{2e_{23}} & 0 & V_{2e_{14}} & V_{2e_{15}} & V_{2e_{16}} \\ V_{1e_{12}} & V_{1e_{23}} & V_{1e_{24}} & 0 & V_{1e_{25}} & V_{1e_{26}} \\ V_{1e_{13}} & V_{1e_{24}} & V_{1e_{25}} & V_{1e_{26}} & 0 & V_{1e_{27}} \end{pmatrix}. \quad \text{(A9)} $$

with $e_{nn} = 1 + e^{i k_{a_1}} + e^{i k_{a_2}}$ and $a_{n} = -a_{n}, n = 1, 2, 3$. Applying the projection, we obtain the local interaction term

$$ L_V = \Psi^\dagger \left[ (V_2 - V_1) \left[ \rho_1 + \rho_3 - \rho_1 - \rho_3 - \rho_1 - \rho_3 \right] \sigma_3 T^3 + \frac{V_2}{4} \left[ \rho_1 - 2\rho_1 + \rho_3 + \rho_1 - \rho_3 - 2\rho_1 \right] \sigma_3 T^1 \right. $$

$$ + \frac{V_2}{4} \left[ \rho_1 - 2\rho_1 + \rho_3 + \rho_1 - \rho_3 - 2\rho_1 \right] \sigma_3 T^2 \right] $$

$$ + \left. V_1 \left( \rho_1 + \rho_3 + \rho_3 \right) \left[ (\rho_1 + \rho_3 + \rho_3) T^3 + \left( V_2 + V_1 / 2 \right) \left[ \rho_1 + \rho_3 + \rho_3 + \rho_1 + \rho_3 + \rho_1 + \rho_3 \right] \right] \Psi \right]. \quad \text{(A10)} $$

Here, the gradient terms have been suppressed in the interest of brevity. The relevant gradient terms are systematically included by the one-loop fermion bubbles.

**APPENDIX B: RG EQUATIONS IN $d = 2$**

The RG equations are calculated directly in the physical dimensions $d = 2$, with the expansion controlled by large $N$,

$$ \Psi^\dagger \Psi \rightarrow \sum_{\alpha} \Psi^\dagger_{\alpha} \Psi_{\alpha} \rightarrow \frac{8\pi N}{\Lambda} \left( \sum_{\alpha} \Psi^\dagger_{\alpha} \Psi_{\alpha} \right). \quad \text{(B1)} $$

The RG equations for the velocities are

$$ \frac{d}{d\ell} v_F = v_F \left[ z - 1 + \frac{1}{N} \left( \frac{g_\phi^2 (c_\phi - 2v_F)}{c_\phi v_F (c_\phi + v_F)^2} - \frac{8g_\phi^2}{c_\phi (c_\phi + v_F)^2} \right) \right], \quad \text{(B2)} $$

$$ \frac{d}{d\ell} c_\phi^2 = 2(z - 1)c_\phi^2 + \frac{g_\phi^2 (v_F^2 - 2c_\phi^2)}{v_F}, \quad \text{(B3)} $$

$$ \frac{d}{d\ell} c_\Lambda^2 = 2(z - 1)c_\Lambda^2 + \frac{g_\phi^2 (v_F^2 - 2c_\Lambda^2)}{2v_F^3}. \quad \text{(B4)} $$
For the Yukawa couplings we obtain
\[
\frac{d}{d\ell} g_\phi = \frac{g_\phi^3}{2} \left[ 3z - 2 - \frac{2g_\phi^2}{v_F} + \frac{1}{N} \left( -16g_\phi^2(c_A + 2v_F)c_Av_F(c_A + v_F)^2 - \frac{4g_\phi^2(c_A + 2v_F)}{c_Av_F(c_A + v_F)^2} \right) \right],
\]
(B5)
\[
\frac{d}{d\ell} g_A = g_A^3 \left[ 3z - 2 - \frac{g_A^2}{v_F} + \frac{1}{N} \left( -16g_A^2(c_A + v_F)^2 - \frac{2g_A^2(c_A + 2v_F)}{c_Av_F(c_A + v_F)^2} \right) \right].
\]
(B6)

The RG equations for the order-parameter self-interactions are
\[
\frac{d}{d\ell} \lambda = \lambda \left( 3z - 2 - \frac{2g_\phi^2}{v_F} \right) \frac{32\lambda^2 \phi^2}{v_F} + \frac{1}{N} \left( \frac{\phi^2}{c_A} - \frac{36\phi^2}{c_\phi^2} \right)
\]
(B7)
\[
\frac{d}{d\ell} \lambda_{\phi A} = \lambda_{\phi A} \left( 3z - 2 - \frac{2g_\phi^2}{v_F} \right) \frac{32\lambda_{\phi A}^2 \phi^2}{v_F} + \frac{1}{N} \left( \frac{\phi^2}{c_A} - \frac{36\phi^2}{c_\phi^2} \right)
\]
(B8)
\[
\frac{d}{d\ell} \lambda_{YM} = \lambda_{YM} \left( 3z - 2 - \frac{2g_\phi^2}{v_F} \right) \frac{8\lambda_{YM}^2 \phi^2}{v_F} + \frac{1}{N} \left( \frac{\phi^2}{c_A} - \frac{36\phi^2}{c_\phi^2} \right)
\]
(B9)
\[
\frac{d}{d\ell} \lambda_{\phi A} = \lambda_{\phi A} \left( 3z - 2 - \frac{2g_\phi^2}{v_F} \right) \frac{32\lambda_{\phi A}^2 \phi^2}{v_F} + \frac{1}{N} \left( \frac{\phi^2}{c_A} - \frac{36\phi^2}{c_\phi^2} \right)
\]
(B10)

The RG equations for the order-parameter masses are
\[
\frac{d}{d\ell} m_\phi^2 = 2m_\phi^2 \left( \frac{32\lambda_{\phi A}^2 \phi^2}{v_F} + \frac{1}{N} \left( \frac{2m_\phi^2 \lambda_{\phi A}^2}{c_A} - \frac{2m_{\phi A}^2 \lambda_{\phi A} (12\lambda_A + \lambda_{YM})}{c_Ac_\phi} + \frac{4\lambda_{\phi A}^2 (c_A\phi + c_\phi (12\lambda_A + \lambda_{YM}))}{c_Ac_\phi} \right) \right)
\]
(B11)
\[
\frac{d}{d\ell} m_{\phi A}^2 = m_{\phi A}^2 \left( \frac{32\lambda_{\phi A}^2 \phi^2}{v_F} + \frac{1}{N} \left( \frac{2m_{\phi A}^2 \lambda_{\phi A}^2}{c_A} - \frac{2m_{\phi A}^2 \lambda_{\phi A} (12\lambda_A + \lambda_{YM})}{c_Ac_\phi} + \frac{4\lambda_{\phi A}^2 (c_A\phi + c_\phi (12\lambda_A + \lambda_{YM}))}{c_Ac_\phi} \right) \right)
\]
(B12)

The lattice-allowed cubic term is irrelevant in this treatment, as can be seen from the RG equation
\[
\frac{d}{d\ell} b_3^2 = b_3^2 \left( 5z - 2 - \frac{3g_A^2}{v_F} - 12 \frac{\lambda (4\lambda_A + \lambda_{YM})}{c_Ac_\phi} \right) + O(b_3^4)
\]
(B13)

with the dimensionless coupling \( b_3^2 \rightarrow \frac{m_\phi A^2}{N} b_3^2 \). At the CDW \( b_3^2 \) fixed point this reduces to
\[
\frac{d}{d\ell} b_3^2 = 2b_3^2 \left( 57 - 32\sqrt{2} \right) + O(b_3^4)
\]
(B14)

Therefore, to this order \( b_3 \) is an irrelevant perturbation and the fixed-point value is \( (b_3)_* = 0 \). In \( N \rightarrow \infty \), \( b_3 \) is marginal to all loop orders following the argument in Ref. [10]. A similar RG equation is obtained for \( b_5 \).


