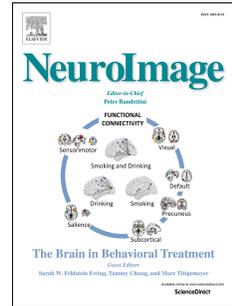


Journal Pre-proof

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Multivoxel codes for representing and integrating acoustic features in human cortex

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28

Abstract

29 Using fMRI and multivariate pattern analysis, we determined whether spectral
30 and temporal acoustic features are represented by independent or integrated
31 multivoxel codes in human cortex. Listeners heard band-pass noise varying in
32 frequency (spectral) and amplitude-modulation (AM) rate (temporal) features.
33 In the superior temporal plane, changes in multivoxel activity due to frequency
34 were largely invariant with respect to AM rate (and vice versa), consistent with
35 an independent representation. In contrast, in posterior parietal cortex,
36 multivoxel representation was exclusively integrated and tuned to specific
37 conjunctions of frequency and AM features (albeit weakly). Direct between-
38 region comparisons show that whereas independent coding of frequency
39 weakened with increasing levels of the hierarchy, such a progression for AM
40 and integrated coding was less fine-grained and only evident in the higher
41 hierarchical levels from non-core to parietal cortex (with AM coding weakening
42 and integrated coding strengthening). Our findings support the notion that
43 primary auditory cortex can represent spectral and temporal acoustic features
44 in an independent fashion and suggest a role for parietal cortex in feature
45 integration and the structuring of sensory input.

46

47

Introduction

48

49 In structuring the auditory scene, the brain must carry out two
50 fundamental computations. First, it must derive *independent* representations
51 of component acoustic features so that task-relevant features can be
52 prioritized and task-irrelevant ones ignored. Second, to solve the well-known
53 “binding problem”, the brain must subsequently *integrate* these separated
54 representations into a coherent whole so that the features of a relevant sound
55 source can be tracked successfully in cluttered scenes. Whether
56 representations of stimulus features are independent or integrated is a
57 longstanding issue in psychology (Treisman and Gelade, 1980; Ashby and
58 Townsend, 1986) and neuroscience (Di Lollo, 2012; Soto et al., 2018). Even
59 when not explicitly framed using these terms, many questions concerning
60 sensory systems can be formalized in terms of representational independence
61 versus integration (Soto et al., 2018).

61

62 It is widely believed that auditory processing is hierarchically organized
63 and that neural representations are progressively transformed from
64 independent to integrated codes as sensory information ascends the auditory
65 pathway (Rauschecker and Tian, 2000; Bizley and Cohen, 2013). Thus, while
66 neurons in low-level regions might respond to single stimulus features, higher-
67 level neurons should show more complex tuning properties and respond to
68 conjunctions of features. Precisely where along this continuum human primary
69 auditory cortex (and regions beyond) fit within this conception of the auditory
70 system has been the subject of debate.

70

71 Based on presumed similarities with the visual system, early models
72 proposed that representations in primary auditory cortex were primarily
73 independent, instantiated as topographically organized “feature maps” (see
74 Nelken et al., 2003). According to such accounts, the integration of features is
75 a computation that should most reliably be observed in non-primary regions.
76 However, animal physiology studies demonstrate highly nonlinear neural
77 responses already at the level of primary auditory cortex, suggestive of an
78 integrated coding scheme (deCharms et al., 1998; Nelken et al., 2003; Chi et
al., 2005; Wang et al., 2005; Christianson et al., 2008; Atencio et al., 2009;

79 Bizley et al., 2009; Sadagopan and Wang, 2009; Sloas et al., 2016). The
80 extent to which this also applies in humans remains unclear. While there are
81 many sources of human imaging evidence that are potentially relevant to this
82 issue, particularly investigations of how low-level acoustic features and
83 higher-level categories are represented in cortical activity (Davis and
84 Johnsruide, 2003; Zatorre et al., 2004; Cusack, 2005; Kumar et al., 2007;
85 Staeren et al., 2009; Leaver and Rauschecker, 2010; Teki et al., 2011;
86 Giordano et al., 2013; Norman-Haignere et al., 2015; Overath et al., 2015;
87 Allen et al., 2017), fewer studies have directly tested and quantified the extent
88 of representational independence versus integration in human cortex.

89 In the current study, we used fMRI and multivariate pattern analysis to
90 determine the extent to which spectral and temporal acoustic features are
91 represented by independent or integrated multivoxel codes and how those
92 codes are expressed over the human cortical hierarchy. Participants listened
93 to band-pass noise varying across stimuli in frequency (a spectrally-based
94 feature) and amplitude modulation (AM) rate (temporally-based; see Figure
95 1A). We chose to investigate these two acoustic features as they are sufficient
96 alone to characterize much of the information present in biologically important
97 sounds such as speech (Shannon et al., 1995; Roberts et al., 2011). An
98 approach based on MANOVA (Allefeld and Haynes, 2014) allowed us to
99 estimate the independent contributions of frequency and AM features to the
100 observed multivoxel patterns, as opposed to nonlinear interactions between
101 the features that are a signature of integrated coding (Kornysheva and
102 Diedrichsen, 2014; Erez et al., 2015). Moreover, by acquiring whole-brain
103 fMRI, we were able to characterize multivoxel representations across the
104 entire human cortex, in contrast to more localized physiological recordings in
105 animals.

106 **Methods**

107 **Participants**

108 Twenty participants (eleven female), aged between 18 and 27 years
109 (mean = 23, SD = 2.4), were tested after being informed of the study's
110 procedure, which was approved by the research ethics committee of

111 University College London. All reported normal hearing, normal or corrected-
112 to-normal vision, and had no history of neurological disorders. Our sample
113 size is in line with (or exceeds that of) related studies with *a priori* unknown
114 effect sizes (e.g. Linke et al., 2011; Giordano et al., 2013; Allen et al., 2017;
115 Santoro et al., 2017). While recent methods work recommends larger sample
116 sizes for (univariate) fMRI studies (Geuter et al., 2018; Turner et al., 2018),
117 we note that this recommendation was made in the context of more complex
118 cognitive paradigms each lasting around 10 minutes. Thus, both cross- and
119 within-participant variability might be expected to be greater than for the
120 simpler sensory paradigm employed here conducted over a longer scanning
121 time of 50 minutes (for a discussion of the trade-off between sample size and
122 scan duration, see Nee, 2019).

123 **Stimuli**

124 The stimulus consisted of narrow (third of an octave) bandpass noise,
125 amplitude modulated sinusoidally with 80% depth (see Figure 1A). Each
126 sound was presented for one second and varied across trials in center carrier
127 frequency (from hereon, “frequency”) and amplitude modulation rate (“AM”).
128 Frequency (500, 1300 and 3380 Hz) and AM (4, 10 and 25 Hz) were equally
129 spaced on a logarithmic scale. Importantly for the purpose of assessing
130 independent and integrated feature coding (see First-level statistics section
131 below), frequency and AM varied across stimuli in an orthogonal fashion, such
132 that every frequency was paired with every AM (i.e. nine stimuli in total,
133 arranged as a 3 x 3 factorial design). The relatively slow AM rates precluded
134 the perception of pitch associated with the temporal modulation. In addition,
135 the carrier center frequencies and bandwidths were chosen to avoid
136 detectable spectral cues from resolved sidebands in the stimulus (Moore,
137 2003). Sidebands will be most detectable for sounds with fast AM rates and
138 low carrier frequencies (Moore and Glasberg, 2001). In the current study, this
139 corresponds to the stimulus with the 500 Hz carrier frequency and 25 Hz AM
140 rate. However, the sidebands resulting from this stimulus ($500 + 25 = 525$ Hz
141 and $500 - 25 = 475$ Hz) fall inside the auditory filter centered at 500 Hz with
142 an equivalent rectangular bandwidth (ERB) of 79 Hz (Moore and Glasberg,
143 1983).

144 Stimuli were matched in terms of their RMS amplitude and shaped with
145 20 ms raised-cosine onset and offset ramps. Bandpass noise was
146 synthesized independently on each presentation (with a sampling rate of
147 44100 Hz) and delivered diotically through MRI-compatible insert earphones
148 (S14, Sensimetrics Corporation). To compensate for resonances in the
149 frequency response of the earphones, the stimuli were digitally preprocessed
150 using the filters and software provided with the earphones.
151

[Figure 1 about here]

152 Procedure

153 Stimulus delivery was controlled with Cogent toolbox
154 (<http://www.vislab.ucl.ac.uk/cogent>) in Matlab (MathWorks). Participants were
155 scanned for five runs, each lasting around ten minutes consisting of sixteen
156 repetitions of the nine stimuli. For one participant, there was insufficient time
157 to scan for the fifth run because of technical difficulties. Stimuli were grouped
158 into blocks of eighteen sounds within which all nine stimuli appeared twice
159 and in random order. The inter-stimulus interval ranged uniformly between
160 2000 and 4000 ms.

161 Participants were instructed to listen carefully to the sounds while
162 looking at a central fixation cross and press a button (with their right hand)
163 each time a brief (150 ms duration) white-noise interruption occurred during
164 sound presentation. These white-noise interruptions were unmodulated in
165 their amplitude profile and occurred on a small percentage (~6%) of stimuli
166 (once every block of eighteen sounds). Group performance was near ceiling,
167 confirming engagement with the task. The average hit rate was .98 (ranging
168 from .8 to 1 across participants; SEM = .014) with no false alarms.

169 To estimate the perceived saliency of the sounds, two participants from
170 the main fMRI experiment and four new participants (two female; mean age =
171 29 years, SD = 4) completed a short behavioral session similar in procedure
172 to Petsas et al. (2016). These participants listened to all pairwise
173 combinations of the nine sounds (eight pairs for each of the nine sounds;

174 separated by 200 ms of silence) and were asked to judge on each trial which
175 of the two sounds was more salient. Participants were told that saliency refers
176 to how much a sound would grab their attention. Pairs were presented three
177 times in random order, with the order of the sounds within a pair
178 counterbalanced across trials.

179 To estimate perceived loudness, we used the loudness model of Moore
180 et al. (2016), as implemented in Matlab
181 (<http://hearing.psychol.cam.ac.uk/TVLBIN/tv2016Matlab.zip>). As the model
182 output differs slightly for different noise samples of the same condition, we
183 generated an entire (single-participant) stimulus set in the same way as was
184 done for the main experiment and submitted each stimulus to the model. We
185 computed the time-varying long-term loudness, averaged over the duration of
186 the stimulus and across noise samples within each of the nine stimuli.

187 **Image acquisition**

188
189 Imaging data were collected on a Siemens 3 Tesla Quattro MRI
190 scanner (<http://www.siemens.com>) at the Wellcome Trust Centre for Human
191 NeuroImaging, University College London. A total of 175 echo planar imaging
192 (EPI) volumes were acquired per run, using a 32-channel head coil and
193 continuous sequence (TR = 3.36 sec; TE = 30 ms; 48 slices covering the
194 whole brain; 3 mm isotropic resolution; matrix size = 64 x 74; echo spacing =
195 0.5 ms; orientation = transverse). After the third run, field maps were acquired
196 (short TE = 10 ms; long TE = 12.46 ms). During the functional scans, we also
197 obtained physiological measures of each participant's breathing and cardiac
198 pulse. Because of technical issues, physiological measures were not available
199 for two participants. The experimental session concluded with the acquisition
200 of a high-resolution (1 x 1 x 1 mm) T1-weighted structural MRI scan.

201 The randomized presentation order of the nine stimuli was employed to
202 sensitively detect between-stimulus differences in BOLD signal (Josephs and
203 Henson, 1999). However, our experimental design also permitted detection of
204 sound versus implicit baseline as we randomized the ISIs uniformly between 2
205 and 4 seconds (equivalent to 3 – 5 second stimulus onset asynchrony).

206 Although this stimulus timing is fast relative to the duration of the
207 haemodynamic response function (which peaks around 5 seconds), the
208 randomization of ISIs sufficiently enabled the detection of BOLD signal
209 variations relating to sound versus baseline. This is confirmed by inspection of
210 the predicted BOLD timeseries and by the parameter estimates in superior
211 temporal plane regions, which were reliably greater than baseline (shown in
212 Figure 2A).

213 **Image processing**

214 fMRI analysis was performed in SPM12
215 (<http://www.fil.ion.ucl.ac.uk/spm>). After discarding the first three volumes to
216 allow for magnetic saturation effects, the remaining images were realigned
217 and unwarped to the first volume to correct for movement of participants
218 during scanning. Also at the unwarping stage, the acquired field maps were
219 used to correct for geometric distortions in the EPI due to magnetic field
220 variations. Realigned images were co-registered to the mean functional image
221 and then subjected to multivariate statistical analysis, generating searchlight
222 maps from unsmoothed data in each participant's native space (see First-level
223 statistics section below). Searchlight maps were subsequently normalized to
224 the Montreal Neurological Institute (MNI) template image using the
225 parameters from the segmentation of the structural image (resampled
226 resolution: 2 x 2 x 2 mm) and smoothed with a Gaussian kernel of 6 mm full-
227 width at half-maximum. Where additional univariate analyses are reported,
228 realigned images were spatially normalized and smoothed first before
229 statistical analysis.

230 **First-level statistics**

231 Statistical analysis was based on the general linear model (GLM) of
232 each participant's fMRI time series, using a 1/128 second highpass filter and
233 AR1 correction for auto-correlation. The design matrix comprised the auditory
234 stimulus events, each modeled as a stick (delta) function and convolved with
235 the canonical haemodynamic response function. Separate columns were
236 specified for each of the nine stimuli, in addition to a column for target sounds

237 (to remove variance associated with the white noise interruptions and the
 238 button presses). Additional columns were specified for the six movement
 239 parameters and the mean of each run. Cardiac and respiratory phase
 240 (including their aliased harmonics) as well as heart rate and respiratory
 241 volume were modeled using an in-house Matlab toolbox (Hutton et al., 2011).
 242 This resulted in fourteen physiological regressors in total: six each for cardiac
 243 and respiratory phase and one each for heart rate and respiratory volume.

244 For statistical inference, we used cross-validated multivariate analysis
 245 of variance (Allefeld and Haynes, 2014), as implemented in the cvMANOVA
 246 toolbox in Matlab (version 3; <https://github.com/allefeld/cvmanova>). For each
 247 participant, this method measures the pattern distinctness D , a cross-
 248 validated version of one of the standard multivariate statistics: Lawley-
 249 Hotelling's trace.

250 Lawley-Hotelling's trace (Δ_{LH}) quantifies the amount of multivariate
 251 variance explainable by an experimental contrast, in units of error variance:

$$\Delta_{LH} = \frac{B'_{\Delta} X' X B_{\Delta}}{\Sigma}$$

252 where B_{Δ} are the parameter contrasts, X is the design matrix and Σ is the
 253 error covariance matrix. The pattern distinctness D is derived by additionally
 254 cross-validating the data using a leave-one-run-out procedure (for further
 255 details, see Allefeld and Haynes, 2014). Cross-validation ensures that the
 256 expected value of D is zero if two voxel patterns are not statistically different
 257 from each other, making D a suitable summary statistic for group-level
 258 inference (e.g. with the one-sample t-test). Note that because of this cross-
 259 validation, D can sometimes be negative if its true value is close to zero in the
 260 presence of noise.

261 In contrast to classification accuracy from pattern decoders, which is
 262 dependent on the particular algorithm used as well as the amount of data and
 263 partitioning into training and test sets, D is a clearly interpretable,
 264 standardized effect size (for examples of previous applications, see
 265 Guggenmos et al., 2016; Christophel et al., 2017, 2018; Dijkstra et al., 2017).

266 When applied to the simple case of only two stimuli, D is a measure of
 267 between-stimulus pattern dissimilarity and is closely related to the (cross-
 268 validated) Mahalanobis distance, which has been demonstrated to be a more
 269 reliable and accurate metric for characterizing multivoxel patterns than the
 270 correlation or Euclidean distance (Kriegeskorte et al., 2006; Ejaz et al., 2015;
 271 Walther et al., 2016). Like the Mahalanobis distance, D takes into account the
 272 spatial structure of the noise (GLM residuals) by normalizing the multivoxel
 273 variation for an experimental effect by the noise covariance between voxels.
 274 As D is obtained from the GLM, cvMANOVA can also be used to test more
 275 complex contrasts such as the main effects and interactions of a factorial
 276 design. For the 3 x 3 design of the present study, the contrast matrices for the
 277 two main effects and interaction take the standard form (Henson and Penny,
 278 2005) and are shown in Figure 1B.

279 We tested the extent to which frequency and AM features are
 280 represented by independent or integrated multivoxel codes by examining
 281 three effects of interest. If frequency and AM features are represented in an
 282 integrated fashion, then changes in these two features should combine
 283 nonlinearly (non-additively) to influence multivoxel activity patterns (see
 284 Kornysheva and Diedrichsen, 2014; Erez et al., 2015). In other words, the
 285 effect of frequency should differ depending on AM (and vice versa). Thus, the
 286 first effect of interest was the interaction between frequency and AM and
 287 quantified the extent of integrated coding. If on the other hand, frequency and
 288 AM features are coded independently, then changes in these two features
 289 should result in a linear (additive) effect on activity patterns. An independent
 290 effect implies that changes in voxel patterns attributable to the frequency
 291 feature remain invariant with respect to AM (and vice versa): there is no
 292 interaction. Within the cvMANOVA framework, the extent of independence
 293 can therefore be quantified by subtracting the interaction from the main effects
 294 as follows (see equation 19 in Allefeld and Haynes 2014):

$$\text{Independent coding of frequency} = D(\text{Freq}) - \frac{1}{L(\text{Freq}) - 1} D(\text{Interaction})$$

$$\text{Independent coding of AM} = D(\text{AM}) - \frac{1}{L(\text{AM}) - 1} D(\text{Interaction})$$

295 where $D(\text{Freq})$, $D(\text{AM})$ and $D(\text{Interaction})$ are the pattern distinctness
 296 estimates for the main effects of frequency, AM and the interaction,
 297 respectively. $L(\text{Freq})$ and $L(\text{AM})$ are the number of levels for the frequency
 298 and AM factors, respectively (for the current design, $L(\text{Freq}) = L(\text{AM}) = 3$).
 299 Allefeld and Haynes (2014) expressed such contrasts as measures of “pattern
 300 stability” but as demonstrated by Kornysheva and Diedrichsen (2014), are
 301 equivalently considered measures of independent coding.

302 Computational simulations confirm that the above effects of interest
 303 capture the presence of independent and integrated representations, in line
 304 with previous modeling work and applications in the visual and motor domains
 305 (Allefeld and Haynes, 2014; Kornysheva and Diedrichsen, 2014). For each of
 306 twenty “participants” and nine stimuli, we generated synthetic activity patterns
 307 over 123 voxels consisting of the true underlying pattern (normal random
 308 vector) and a noise component that was generated independently for each of
 309 five “runs” and sixteen repetitions of the nine stimuli. These synthetic data
 310 were then submitted to cvMANOVA resulting in a pattern distinctness
 311 estimate for each participant and effect of interest.

312 Two versions of the simulation were run, differing in the generative
 313 model used to produce the voxel patterns. In the first version, frequency and
 314 AM features were represented independently. That is, voxel patterns were
 315 generated separately for the two features and summed together to obtain
 316 voxel patterns (Y) for each of the nine stimuli with carrier center frequency f
 317 and AM rate m :

$$318 \quad Y_{f,m} = F_f + T_m + e_{f,m}$$

319 where F and T denote, respectively, the voxel pattern representations for the
 320 frequency and AM features and e the noise.

321 In the second version, frequency and AM were represented in an
 322 integrated fashion by generating a unique pattern for each of the nine stimuli.

323 Thus, in this version of the simulation, the representation of frequency is
324 inseparable from that of AM:

$$325 \quad Y_{f,m} = FT_{f,m} + e_{f,m}$$

326 Here FT denotes the true pattern that was generated uniquely for each
327 condition. In both versions, the resulting patterns were scaled to have the
328 same mean and variance.

329 In the present version of the simulations, the variance of the noise was
330 set to 10 times that of the true underlying pattern. We could vary this ratio to
331 modulate the overall effect sizes in the simulations and to match those
332 observed in the experimental data. However, our goal here was not to
333 recreate the precise conditions of the experiment. This would require
334 modeling the spatiotemporal correlation of within-subject noise and cross-
335 subject variability, which is outside the scope of the current study. Rather,
336 through the use of a generative model, we wished to provide a more formal
337 definition of independent and integrated coding. In addition, we wished to
338 confirm that *in principle*, our experimental contrasts can indeed capture
339 independent and integrated coding in the specific context of our 3 x 3 factorial
340 design. For more detailed simulations that validate the current methods, see
341 Allefeld and Haynes (2014) and Kornysheva and Diedrichsen (2014).

342 As Figure 1C shows, when frequency and AM were simulated as
343 independent representations, the pattern distinctness D was significantly
344 greater than zero when testing the independent (but not integrated) coding
345 effects of interest (frequency: $t(19) = 29.2$, $p < .001$; AM: $t(19) = 35.1$, $p <$
346 $.001$; Integrated: $t(19) = -.104$, $p = .541$). In contrast, when frequency and AM
347 were represented in an integrated fashion, the reverse was true with a
348 significant effect of integrated (but not independent) coding (frequency: $t(19) =$
349 -1.39 , $p = .910$; AM: $t(19) = -.429$, $p = .664$; Integrated: $t(19) = 33.0$, $p <$
350 $.001$). This pattern of results was supported by a repeated measures ANOVA in
351 which we observed a significant two-way interaction between simulation type
352 (independent versus integrated) and effect of interest
353 (frequency/AM/integrated; $F(2,30) = 737.2$, $p < .001$).

354 cvMANOVA was performed as a searchlight analysis (Kriegeskorte et
355 al., 2006) using spheres with a radius of three voxels (~9 mm; ~123 voxels of
356 3 x 3 x 3 mm) and constrained to voxels within the whole-brain mask
357 generated by SPM during model estimation. This whole-brain mask does not
358 explicitly exclude white matter voxels but inspection of the overlap with a
359 probabilistic white matter mask revealed no overlap with high probability
360 (>80%) white matter voxels. Moreover, the noise normalization performed by
361 cvMANOVA should in principle automatically downweight noise from white
362 matter voxels, circumventing the need to explicitly distinguish between grey
363 and white matter. Thus, for each participant and effect of interest, a whole-
364 brain searchlight image was generated in which each voxel expressed the
365 pattern distinctness D over that voxel and the surrounding neighborhood. As
366 recommended by Allefeld and Haynes (Allefeld and Haynes, 2014), to correct
367 for searchlight spheres near the brain mask boundaries containing fewer
368 voxels, the estimate of D at each voxel was adjusted by dividing by the square
369 root of the number of voxels within the searchlight.

370 **Group-level statistics**

371 For whole-cortex statistical analysis of the multivariate data, searchlight
372 images were submitted to a group-level one-sample t-test under minimal
373 assumptions using the nonparametric permutation test (Nichols and Holmes,
374 2002). In this procedure, the sign of the pattern distinctness at each voxel for
375 each subject was randomly flipped. The one-sample t-statistic was
376 subsequently computed at each voxel, the image thresholded and the largest
377 cluster size noted. By repeating these steps over a number of iterations (here
378 5000), we could build a null distribution of cluster sizes against which to
379 compare the observed cluster size at each voxel. Note that because the true
380 pattern distinctness can never be negative, a one-sample t-test in this context
381 effectively provides fixed-effect inference (Allefeld et al., 2016). This is similar
382 to t-tests on classification accuracies, the true values of which can never be
383 below chance. Whole-cortex statistics for the univariate analysis were also
384 based on the permutation test. Here we used a one-sample t-test for
385 comparing sound-evoked activation with the implicit baseline and repeated

386 measures ANOVA with the factors frequency and AM to test between-
387 stimulus differences. When using ANOVA, the null distribution was created by
388 randomly shuffling the nine stimulus labels. We constrained all analyses to
389 voxels within the cortex (as defined by the probabilistic Harvard-Oxford
390 cortical mask thresholded at 25%, distributed with FslView
391 <https://fsl.fmrib.ox.ac.uk>). Statistical maps were thresholded voxelwise at $p <$
392 $.005$ and clusterwise at $p < .05$ (familywise error [FWE] corrected for multiple
393 comparisons).

394 Additional region of interest (ROI) analyses were conducted by
395 averaging over the searchlight and univariate contrast images in locations
396 anatomically defined by the Jülich and Harvard-Oxford probabilistic atlases
397 (distributed with FslView) and thresholded at 30%. This ROI analysis was
398 conducted parametrically (i.e. without using the permutation test). The ROIs
399 included primary auditory cortex (area Te1.0 in middle Heschl's gyrus [HG])
400 and the non-primary auditory areas Te1.1 (posteromedial HG), Te1.2
401 (anterolateral HG), planum polare (PP) and planum temporale (PT). We also
402 tested the posterior parietal region revealed in the whole-cortex searchlight
403 analysis, to enable a comparison of effect size with the auditory cortical ROIs
404 and to statistically test for between-region differences. To avoid statistical
405 "double-dipping" (Kriegeskorte et al., 2009), we used a leave-one-subject-out
406 procedure (Esterman et al., 2010) in which the whole-cortex second level t-
407 test was repeatedly re-estimated, each time leaving out one participant, and
408 using the resulting left parietal cluster as the ROI for the left out subject
409 (cluster defining threshold $p < .005$ uncorrected). To obtain the homologous
410 cluster in the right hemisphere, each left parietal cluster was left-right flipped
411 using MarsBaR toolbox for SPM (<http://marsbar.sourceforge.net>). This
412 enabled us to statistically compare effects in parietal cortex with those in the
413 superior temporal plane ROIs (which were distributed in both hemispheres).
414 To reduce computation time, these leave-one-subject-out t-tests were also
415 conducted parametrically in SPM. To facilitate interpretation, ROI effect sizes
416 for the multivariate analysis are reported after transforming the adjusted
417 pattern distinctness back into the original estimate (by multiplying by a
418 constant factor of $\sqrt{123}$ i.e. the typical number of voxels within each
419 searchlight).

420 Classical multidimensional scaling (MDS) was performed on single-
421 participant dissimilarity matrices in selected ROIs. The resulting MDS
422 solutions were averaged over participants after Procrustes alignment to
423 account for the arbitrary rotation induced by the MDS procedure. Because
424 Procrustes alignment potentially removes some of the true inter-individual
425 differences, the standard error ellipses in Figure 4B should be considered a
426 lower-bound estimate of cross-participant variability (Ejaz et al., 2015). To
427 further visualize the dissimilarity relationships, we subjected the dissimilarity
428 matrices to an agglomerative hierarchical clustering procedure (based on
429 complete-linkage) and visualized the results with dendrograms (see Nili et al.,
430 2014). Dissimilarity matrices were formed by computing the pattern
431 distinctness of all pairwise comparison contrasts between the nine stimuli and
432 subjected to a group-level one-sample t-test. Given that the goal of this
433 analysis was to better visualize effects of interest already identified as
434 significant (i.e. the independent and integrated contrasts in the whole-cortex
435 and ROI analyses), we thresholded these dissimilarity matrices at $p < .05$
436 uncorrected.

437 **Results**

438 **Cortical distribution of independent and integrated codes** 439

440 We used cross-validated MANOVA (Allefeld and Haynes, 2014) to
441 determine the extent to which cortical activity patterns show evidence for 1)
442 independent coding of frequency, in which the influence of frequency was
443 invariant with respect to AM, 2) independent coding of AM, in which the
444 influence of AM was invariant with frequency or 3) integrated coding, in which
445 the influences of frequency and AM were interdependent. This was achieved
446 by testing whether the pattern distinctness D over a searchlight sphere or ROI
447 was significantly above zero for the independent and integrated effects of
448 interest (see First-level statistics in the Methods section).

449 Using a whole-cortex searchlight analysis (Kriegeskorte et al., 2006),
450 we detected large clusters in the superior temporal plane bilaterally (extending
451 into the superior temporal gyrus) that showed significant independent coding

452 of frequency and AM (Figure 2A and Table 1). Within these regions of
453 auditory cortex, there was no evidence for integrated coding after correcting
454 for multiple comparisons over the whole cortex. Instead, significant integrated
455 coding was observed in a cluster outside of classically defined auditory cortex
456 in the left posterior parietal lobe, extending over the inferior and superior
457 portions of the parietal lobule and the intraparietal sulcus.

458

[Figure 2 about here]

459

460 We next conducted an ROI analysis in which independent and
461 integrated coding was tested in anatomically defined regions in the superior
462 temporal plane as well as the posterior parietal region identified in the whole-
463 cortex searchlight analysis. We first tested each ROI separately, using false
464 discovery rate (FDR) correction for multiple comparisons across 6 ROIs x 2
465 hemispheres x 3 effects of interest (Genovese et al., 2002). As expected from
466 the earlier whole-cortex analysis, significant independent coding of both
467 frequency and AM was observed in all auditory ROIs but not in posterior
468 parietal cortex (shown in Figure 2B). The effect size for independent coding of
469 AM (mean $D = 0.02-0.04$ over auditory regions) was smaller than for
470 frequency, amounting to no more than 8% of the frequency effect size (mean
471 $D = 0.5-1.0$). Also expected was significant integrated coding in the left
472 posterior parietal ROI. However, additional effects of integrated coding were
473 observed in right primary auditory cortex (area Te1.0), right anterolateral
474 auditory area Te1.2 and right PT. The effect size for integrated coding (mean
475 $D = 0.01-0.02$ over right Te1.0, Te1.2, PT and left parietal) was considerably
476 smaller than that for independent coding (50% of the AM effect size and no
477 more than 4% of the frequency effect size).

478 Thus, the ROI analysis above suggests that in the superior temporal
479 plane, cortical activation patterns show a mixture of components: a strong
480 independent code and a weak integrated code. In contrast in parietal cortex,
481 only an integrated code is present. In support of this pattern of results, we
482 conducted repeated measures ANOVA with representation type
483 (frequency/AM/integrated), region (primary/nonprimary/parietal) and
484 hemisphere as factors. We observed a significant interaction between

485 representation type and region ($F(4,76) = 154, p < .001$). No factors involving
486 hemisphere were significant and so in subsequent comparisons, we averaged
487 the data over hemispheres. To further characterize the representation type by
488 region interaction, we separately assessed how the magnitude of independent
489 and integrated coding changed along successive stages of the cortical
490 hierarchy. For independent coding of frequency, there was a significant
491 decrease in pattern distinctness in non-primary versus primary auditory cortex
492 ($t(19) = -12.2, p < .001$). This was also the case for parietal versus non-
493 primary auditory cortex ($t(19) = -11.8, p < .001$). The pattern was less clear-
494 cut for independent coding of AM and integrated coding. Like the results for
495 the frequency feature, there was a significant decrease in independent coding
496 of AM in parietal versus non-primary auditory cortex ($t(19) = -7.67, p < .001$).
497 However, the equivalent comparison for non-primary versus primary auditory
498 cortex was not significant ($t(19) = -1.21, p = .120$). For integrated coding,
499 there was an increase in parietal versus non-primary auditory cortex ($t(19) =$
500 $1.82, p < .05$). However, there was no significant difference between non-
501 primary and primary auditory regions ($t(19) = -0.797, p = .218$). In summary,
502 although there was a clear and fine-grained change across hierarchical levels
503 in the strength of frequency coding (primary vs. non-primary auditory cortex,
504 non-primary auditory vs. parietal cortex), such a change for AM and integrated
505 coding was less fine-grained and only evident in the higher hierarchical levels
506 (non-primary vs. parietal cortex).

507 Additional univariate analyses were conducted which were focused on
508 the strength of activation. As expected, at the whole-cortex level, sound
509 presentation was associated with increased BOLD responses in the superior
510 temporal plane bilaterally (Figure 3A and Table 2). No significant sound-
511 evoked activations were observed in parietal cortex. Using repeated
512 measures ANOVA (with frequency and AM as factors), we also evaluated
513 between-stimulus differences in activation. Note that the main effects of
514 frequency and AM for this analysis are conceptually different to the
515 independent coding effects of the multivariate analysis. Here the main effect
516 of frequency, for example, simply captures activation differences attributable
517 to this factor rather than quantifying the extent of frequency invariance when
518 AM rate changes. We observed significant effects of frequency and AM in the

519 superior temporal plane bilaterally that survived whole-cortex testing but no
520 significant frequency X AM interaction (Figure 3A and Table 2). When
521 conducting this analysis in the ROIs (shown in Figure 3B), main effects of
522 frequency and AM were present in auditory cortical regions but not in parietal
523 cortex (FDR corrected as before, across 6 ROIs x 2 hemispheres x 3 effects
524 of interest). Consistent with previous work (see Baumann et al., 2013; Moerel
525 et al., 2014), follow-up t-tests in ROIs showing main effects showed a low
526 carrier frequency preference in areas Te1.0 and Te1.2 bilaterally (all p's <
527 .001) and a high carrier frequency preference in right Te1.1 ($t(19) = 3.46$, $p <$
528 .01). For the main effect of AM, the preference was for slow modulation rates
529 throughout (all p's < .01; consistent with data from Overath et al., 2012). No
530 significant interaction between frequency and AM was observed in any of the
531 regions tested (even with an uncorrected threshold).

532

[Figure 3 about here]

[Table 2 about here]

533 **Multidimensional scaling and cluster analysis**

534 Having established the cortical distribution of independent and
535 integrated codes, we next used classical MDS to further characterize those
536 codes (Kriegeskorte and Kievit, 2013). In three selected ROIs (right Te1.0,
537 right PT and left parietal), we computed the pattern distinctness for all pairs of
538 stimuli and assembled the results into dissimilarity matrices. These ROIs were
539 chosen as together they fully sample the transition from auditory core to non-
540 core to parietal cortex and show a mixture of independent and integrated
541 coding profiles. As shown in Figure 4A, we first averaged the matrices over
542 participants and thresholded them based on a group-level one-sample t-test
543 (see Walther et al., 2016). Given that we were interested in further
544 characterizing independent and integrated effects previously shown as
545 significant, we used an uncorrected $p < .05$ threshold. MDS was then
546 performed to project the multivoxel dissimilarity structure onto a simple two-
547 dimensional space (Figure 4B). In this visualization, stimuli that are close

548 together are associated with similar multivoxel activation patterns while stimuli
549 that are far from each other are associated with dissimilar patterns.

550 In right primary auditory cortex (area Te1.0) and right PT, frequency
551 and AM features were automatically projected by the MDS solution onto
552 separate dimensions, despite the method having no information as to the
553 stimulus features. Frequency was carried by the first MDS dimension (shown
554 as the x-axis in Figure 4B) while AM was carried by the second dimension (y-
555 axis). This is consistent with our previous observation of these regions
556 representing frequency and AM in a largely independent manner.

557 In contrast to auditory cortex, MDS for the left parietal ROI did not
558 clearly separate frequency and AM features. The MDS solutions instead
559 suggest that activation patterns in this region were modulated by particular
560 conjunctions of carrier frequency and AM rate (e.g. F500AM10 and
561 F3380AM25). This is again consistent with our previous observation that
562 parietal cortex is characterized solely by an integrated code.

563 Visual inspection of the MDS plots in superior temporal regions
564 suggests that carrier frequency was the main driver of multivoxel pattern
565 dissimilarity. That is, multivoxel patterns were most dissimilar when evoked by
566 different carrier frequencies. Indeed, hierarchical clustering analysis showed
567 that multivoxel dissimilarities clearly clustered according to carrier frequency
568 in right Te1.0 and PT (Figure 4C). In contrast in the left parietal ROI, this
569 analysis failed to reveal a clear clustering. These results are consistent with
570 the effect sizes for independent and integrated coding shown previously in
571 Figure 2B.

572

[Figure 4 about here]

573 **Saliency analysis**

574 In the visual domain, parietal cortex has repeatedly been implicated in
575 the processing of bottom-up saliency (Arcizet et al., 2011; Bogler et al., 2011).
576 We therefore asked to what extent the integrated coding effect observed in
577 posterior parietal cortex could be explained by between-stimulus differences
578 in perceived saliency. In a separate behavioral session, listeners listened to

579 all pairwise combinations of the nine sounds and judged which sound in each
580 pair was more salient. We then estimated the perceived saliency of each
581 sound as the percentage of trials the sound was chosen as more salient
582 (shown in Figure 5A as thick black line). Because saliency is related (although
583 not identical) to loudness (Liao et al., 2015), we also show for comparison the
584 loudness of the stimuli as predicted by the model of Moore et al. 2016 (shown
585 in Figure 5A as thick blue line).

586

[Figure 5 about here]

587 Repeated measures ANOVA of the saliency judgments, with frequency
588 and AM rate as factors, revealed a significant main effect of frequency
589 (reflecting higher saliency for increasing frequency; $F(2,10) = 31.5, p < .001$)
590 and a significant main effect of AM rate (reflecting higher saliency for the
591 middle AM rate; $F(2,10) = 6.34, p < .025$). However, the interaction between
592 frequency and AM rate was not significant ($F(4,20) = 0.808, p = .512$). To
593 directly test whether there was positive evidence for the null effect of no
594 interaction, we also conducted repeated measures ANOVA as a Bayesian
595 analysis (Rouder et al., 2016, 2017; Marsman and Wagenmakers, 2017). We
596 contrasted a model which contained both main effects of frequency and AM
597 and their interaction, with a null model that had the same structure but lacked
598 the interaction (both models were assigned a prior probability of 0.5). This
599 analysis indicated that the null model was 5 times more likely than the
600 alternative model (Bayes Factor = 5.31). As the integrated coding effect in
601 parietal cortex is defined by the interaction between frequency and AM, the
602 absence of an interaction in the saliency judgments is therefore inconsistent
603 with a saliency-based account of the integrated coding effect in parietal
604 cortex, or indeed, in any other of the regions in which integrated coding was
605 observed.

606 As a further test of a saliency-based account, we used representational
607 similarity analysis (RSA) to relate listeners' saliency judgments to the

608 observed multivoxel patterns (Kriegeskorte and Kievit, 2013). For each pair of
609 sounds presented in the saliency judgment task, we pooled saliency
610 judgments over trials and participants and computed the absolute difference
611 in the percentage of observations each sound in the pair was chosen as more
612 salient. From this we assembled a distance matrix quantifying the difference
613 in saliency between the two sounds of all presented pairs (Figure 5B). This
614 “saliency distance” matrix provides a more detailed characterization of
615 between-stimulus differences in saliency than the summary measure
616 presented in Figure 5A, which we could then correlate with the multivoxel
617 dissimilarity matrix observed in each searchlight across the cortex of
618 individual participants. As shown in Figure 5C, the (Fisher-transformed)
619 Spearman correlation between the saliency and multivoxel dissimilarity
620 structure was significantly above zero in the superior temporal plane
621 bilaterally but not in parietal cortex (for MNI coordinates, see Table 1). This
622 pattern was further supported by an ROI analysis (Figure 5D) in which the
623 Spearman correlation significantly decreased from superior temporal to
624 parietal cortex ($F(1,19) = 57.8, p < .001$; effects involving hemisphere were
625 not significant). We further note with interest how this saliency-to-multivoxel
626 correlation peaked in posteromedial auditory area Te1.1, which clearly differs
627 to how the independent and integrated coding effects were expressed over
628 cortical regions (compare Figure 5D with Figure 2B). Nearly identical results
629 were obtained when using loudness in this ROI analysis (here a loudness
630 distance matrix was formed by computing the absolute differences in
631 loudness between the stimuli). This suggests that saliency/loudness can be
632 reliably dissociated from the independent and integrated coding effects of the
633 earlier analyses. In summary then, this RSA analysis together with the
634 absence of interactive influences of frequency and AM on behavioral saliency
635 judgments suggests that the integrated coding effect we observe cannot be
636 attributed to saliency/loudness. We will return to this point in the Discussion.

637

Discussion

638 In the current study, we manipulated two important acoustic features,
639 frequency and AM rate, and determined the extent to which they are

640 represented by independent versus integrated codes in fMRI multivoxel
641 patterns. We demonstrate that these spectral and temporal dimensions are
642 represented largely independently in the superior temporal plane, with only a
643 weakly integrated component present in right Te1.0, Te1.2 and PT
644 (amounting to no more than 4% of the frequency effect size and 50% of the
645 AM rate effect size). In contrast, in a posterior parietal region not classically
646 considered part of auditory cortex, multivoxel representation is exclusively
647 integrated albeit weakly.

648

649 **Independent representations in the superior temporal plane**

650 Our demonstration of largely independent representations of frequency
651 and AM rate in the superior temporal plane might seem to contrast with
652 evidence from animal physiology that suggest highly nonlinear
653 representations already at the level of primary auditory cortex (e.g. deCharms
654 et al., 1998; Nelken et al., 2003; Wang et al., 2005). One explanation for why
655 we see independent processing of frequency and AM is the spatial and
656 temporal averaging inherent with fMRI (Heeger and Ress, 2002). This
657 spatiotemporal averaging means that transient neural responses at a fine
658 spatial scale will be underrepresented in BOLD signals and sustained
659 responses at a large spatial scale will be overrepresented (Kriegeskorte and
660 Diedrichsen, 2016; Guest and Love, 2017). Thus, while multivoxel patterns
661 might show independent coding of frequency and AM, this does not exclude
662 the possibility that other components of the neural representational code are
663 nonlinear.

664 Our findings may also reflect the specific features that were
665 manipulated. Specifically, it has been suggested that frequency and AM rate
666 are fundamental dimensions of sound analysis (Dau et al., 1997; Chi et al.,
667 2005) and in the auditory cortex are represented as orthogonally-organized
668 topographic maps (“tonotopy” and “periodotopy”; e.g. Baumann et al., 2015).
669 The presence of dissociable topographic maps might indicate that frequency
670 and AM are two independent features to which the cortex is tuned. While
671 previous electrophysiological (Langner et al., 2009) and fMRI (Baumann et al.,
672 2015) findings from animals also support the notion of orthogonal topographic

673 maps, in humans the evidence for an AM map is mixed with some studies
674 showing clear topographic organization (Langner et al., 1997; Barton et al.,
675 2012; Herdener et al., 2013) but others not (Giraud et al., 2000; Schönwiesner
676 and Zatorre, 2009; Overath et al., 2012; Leaver and Rauschecker, 2016).
677 Indeed, our univariate analysis showed regional preferences for slow
678 modulation rates throughout (consistent with Overath et al., 2012) rather than
679 a mixture of slow- and fast-tuned regions as would be expected for a
680 topographic map (we acknowledge however that our analysis and imaging
681 parameters were not optimized for characterizing modulation tuning using
682 univariate methods; see below for further discussion). Previous conflicting
683 findings may be attributed to the small size of auditory cortex and high inter-
684 subject variability in anatomy. In the current study we circumvented these
685 challenges by using a multivariate analysis method that abstracts away from
686 the precise configuration of voxels. Importantly, this approach allowed us to
687 directly test and quantify the degree of representational independence without
688 the need to map features onto individual voxels.

689 Despite being able to robustly detect independent coding of frequency
690 and AM rate in superior temporal regions, we nonetheless found a strong bias
691 for the frequency feature, with the effect size for AM rate amounting to no
692 more than 8% of the frequency effect size. While this result might indicate that
693 superior temporal cortex is more strongly tuned to frequency, it could also
694 reflect that AM rates in our study varied over a restricted range (4 – 25 Hz) in
695 order to limit spectral confounds (Moore and Glasberg, 2001).

696 Independent representation of frequency and AM features is also
697 suggested by component analysis of human fMRI responses to natural
698 sounds (Norman-Haignere et al., 2015). This work suggests that frequency
699 and AM features are represented as independent components in partly
700 overlapping regions of the superior temporal plane. However, this study did
701 not test for feature interactions between those features, leaving unclear the
702 relative contributions of independent and integrated representations to neural
703 responses. Another study that did test for feature interactions used forward
704 encoding models to predict superior temporal fMRI responses to natural
705 sounds from frequency and spectrotemporal modulations (Santoro et al.,
706 2014). This work suggests that a model based on conjunctions of these

707 features better predicted fMRI responses than if the features in the model
708 were represented separately. While this result might be taken to be
709 inconsistent with the highly independent code demonstrated here, we note
710 that in our ROI analysis we too observed significant integrated coding in the
711 superior temporal plane. But a consideration of the standardized effect sizes,
712 which the MANOVA approach readily provides (Allefeld and Haynes, 2014),
713 suggests a more nuanced interpretation. That is, while an integrated
714 component may be necessary to fully explain fMRI responses (hence the
715 superiority of an encoding model based on conjunctions of features), the
716 majority of variance can be explained by an independent representation.

717 Thus, our study provides new evidence that frequency and AM are
718 orthogonal dimensions of sound analysis. Such independent representation
719 may support listeners' ability to selectively process information in frequency
720 versus time. In addition, as noted by Schnupp (2001), an independent coding
721 scheme will tend to convey more information than a highly-selective
722 integrated code. This property would be desirable if the role of primary
723 auditory cortex was to relay information to more specialized feature
724 conjunction detectors in higher-level regions.

725

726 **Integrated representation in posterior parietal cortex**

727 Our imaging of the entire cortex allowed us to probe beyond classically
728 defined auditory cortex. In this respect, a striking demonstration here is of an
729 exclusively integrated representation of frequency and AM rate in a left
730 posterior parietal region, at the border between the intraparietal sulcus (IPS),
731 inferior parietal lobule and occipital cortex. This finding is notable for two
732 reasons. First, it parallels findings from the visual domain in which parietal
733 cortex (in particular the IPS) shows increased fMRI responses in feature
734 conjunction versus single feature tasks (Donner et al., 2002; Shafritz et al.,
735 2002; see also Baumgartner et al., 2013 for a similar finding using multivariate
736 methods), with damage to this region leading to feature binding deficits
737 (Humphreys et al., 2000). Second, BOLD activation in the IPS has been
738 shown to systematically vary in auditory bi-stability (Cusack, 2005) and figure-
739 ground paradigms (Teki et al., 2011, 2016). Indeed, the peak locations of the

740 posterior parietal effects reported by these latter auditory studies fall inside
741 the cluster reported here. In all these paradigms, perceptual outcomes are
742 critically dependent on the way in which information across multiple features
743 is combined and structured into object-based representations. Thus, the
744 integrated representation for frequency and AM we observe here in parietal
745 cortex is consistent with previous work suggesting a role for the IPS in feature
746 integration and the structuring of sensory input. Further consistent with this,
747 the location of our parietal cluster resides in the posterior portion of parietal
748 cortex, where feature integration can be dissociated from effects of attention
749 switching and task difficulty in anterior parietal regions (Cusack et al., 2010).
750 However, our study goes beyond previous work in that neural responses
751 evoked by stimulus features were contrasted directly, independently of
752 listeners' task (cf. feature search and bi-stability paradigms) and in the
753 absence of salient stimulus features that would likely attract attention (cf.
754 figure-ground paradigms).

755 Because of previous findings from the visual domain implicating
756 parietal cortex in bottom-up saliency (Arcizet et al., 2011; Bogler et al., 2011),
757 we also asked a separate group of listeners to rate the subjective saliency of
758 the stimuli. While the sounds clearly differed in their subjective saliency, we
759 found that influences of frequency and AM on the saliency ratings combined
760 independently without evidence for an interaction, an observation inconsistent
761 with a saliency-based account. It should be noted however that a limitation of
762 this analysis is the small sample of participants (N=6) who provided the
763 saliency judgments. In this respect, it is reassuring that when using RSA to
764 relate saliency judgments to the dissimilarity structure of the multivoxel
765 patterns, we found that the effect of saliency was confined to superior
766 temporal plane regions with a peak in posteromedial auditory area Te1.1,
767 which is reminiscent of findings by Behler and Uppenkamp (2016) who
768 reported correlates of loudness in this region (see Liao et al., 2015 for the
769 close relationship between loudness and saliency). Thus, the results from this
770 saliency analysis suggest that the observed integrated coding effect does not
771 appear to relate to bottom-up saliency.

772 Related to the issue of saliency, we also consider the possibility that
773 the integrated coding profile we observe in parietal cortex was in part a
774 consequence of listeners' task. In our study, listeners performed an
775 attentionally undemanding task that did not require explicit integration of
776 frequency and AM features: detecting the target white-noise interruptions
777 could in principle be based on changes in either the amplitude or spectral
778 profiles alone. Despite this, one might argue that participants nevertheless
779 detected the noise interruptions by attending to changes in both temporal and
780 spectral content, in turn contributing to the integrated coding effect we
781 observe. Indeed, as discussed below, attention has long been proposed to
782 mediate feature integration (Treisman and Gelade, 1980). However, we think
783 that this is unlikely as an explanation for the current findings. The interaction
784 between frequency and AM rate in parietal cortex resulted from differences in
785 the multivoxel patterns evoked by our stimuli (while the task was fixed
786 throughout). Thus, even if listeners monitored both spectral and temporal
787 content to detect the target interruptions, it is unclear how this would have
788 preferentially biased listeners' attention towards certain feature conjunctions.
789 This is because the targets were temporally unmodulated and spectrally wide-
790 band and therefore "neutral" with respect to the nine feature conjunctions of
791 the stimuli.

792 A key assumption in our approach to distinguishing independent and
793 integrated representations is a linear relationship between underlying neural
794 activity and the measured fMRI signal (Kornysheva and Diedrichsen, 2014;
795 Erez et al., 2015). Our univariate analysis shows that the mean signal
796 amplitude in the posterior parietal region did not differ from the implicit
797 baseline (or interstimulus period). It also did not differ between stimuli, neither
798 in terms of main effects nor in the interaction between frequency and AM
799 rate. This suggests that our experimental manipulations in this region did not
800 evoke sufficiently large changes in mean signal to saturate the fMRI response
801 and produce nonlinear signal changes that could be misinterpreted as an
802 integrated representation. Nonetheless, it should be noted that our rapid
803 event-related design means that any parietal responses would not have had
804 time to fully return to baseline between sound events. Thus, we cannot

805 completely rule out the possibility that parietal regions were constantly active
806 and operating near saturation. However, further evidence against saturation-
807 driven nonlinearities comes from a recent study formally demonstrating that
808 between-stimulus (and between-action) differences in multivoxel patterns are
809 robust to large changes in mean activity levels (Arbuckle et al., 2019).

810 The integration of multiple feature representations is critical for building
811 a cohesive perception of the auditory scene. However, even in parietal cortex,
812 the effect size for integrated coding was small in comparison with that
813 observed for independent coding in the superior temporal plane. Why then do
814 we observe only weak integration of frequency and AM rate? As discussed
815 above, frequency and AM may be privileged dimensions of sound analysis
816 that are separable in a way that other dimensions are not. Our results may
817 also be attributed to listeners performing an attentionally undemanding task
818 that did not require explicit integration of frequency and AM features. It has
819 been suggested that while individual features are detected automatically,
820 feature integration is a computationally demanding process requiring focused
821 attention (Treisman and Gelade, 1980; Shamma et al., 2011). Thus, the
822 absence of focused attention to feature conjunctions could explain the weak
823 integration we observe. Future work, using manipulations of attention, will be
824 required to test this proposal.

825 **Spatial resolution of current fMRI data and relationship with** 826 **previous mapping studies**

827
828 Because we wished to measure whole-brain responses, including in
829 regions outside classically defined auditory cortex, we measured BOLD
830 responses with a resolution of 3 mm isotropic voxels (the data were
831 additionally smoothed with a 6 mm kernel but only after the critical multivariate
832 statistics were computed). While finer-resolution data are commonly obtained
833 in studies investigating how frequency and other acoustic features are
834 mapped to individual voxels (e.g. Formisano et al., 2003; Barton et al., 2012;
835 Herdener et al., 2013; Leaver and Rauschecker, 2016), our focus here is how
836 frequency and AM features are represented in activity patterns over multiple
837 voxels. It is well-established that multivoxel methods can sensitively measure

838 changes in brain responses to acoustic features (even with 3 mm resolution
839 data) by pooling weak but consistent signals over voxels and exploiting
840 between-voxel correlations (e.g. Linke et al., 2011).

841 Note also that while significant independent coding of frequency and
842 AM might be consistent with separate underlying neural populations
843 responding to those features, this need not be the case. That is, the same
844 neurons could simply be responding in a linear (additive) fashion to changes
845 in frequency and AM rate. Moreover, the extent of representational
846 independence versus integration does not bear on the issue of whether the
847 underlying neural populations are “distributed” or “sparse” in nature (Bizley
848 and Cohen, 2013; Diedrichsen and Kriegeskorte, 2017). Thus, the extent of
849 representational independence and integration in multivoxel patterns is a
850 more abstract characterization of cortical processing than the precise spatial
851 configuration of feature-tuned voxels.

852

853 **Generality of findings**

854 One question that arises from the current work is the extent to which
855 our findings generalize to other acoustic features. Our factorial design,
856 combined with synthetic stimuli, allowed us to orthogonalize changes in
857 frequency and AM features in a controlled fashion. This is a statistically
858 powerful method for dissociating contributions of experimental manipulations
859 (here of acoustic features) to observed neural responses (Friston et al., 1994).
860 At the same time however, this necessarily constrained the number of
861 features we could investigate. Therefore, our findings should not be taken to
862 mean that all acoustic features are encoded in the same way as the frequency
863 and AM features studied here.

864 Our factorial design contrasts with studies that have investigated the
865 neural representation of acoustic features using natural sounds and statistical
866 methods that enable many stimulus features to be studied simultaneously
867 (Giordano et al., 2013; Santoro et al., 2014; Di Liberto et al., 2015; Norman-
868 Haignere et al., 2015; de Heer et al., 2017; Holdgraf et al., 2017; Brodbeck et
869 al., 2018; Daube et al., 2019; Sohoglu, 2019). However, the benefits of this

870 more naturalistic approach come with substantial methodological challenges
871 since acoustic features in natural sounds show substantial correlations,
872 making it difficult to dissociate their neural contributions (Holdgraf et al., 2017;
873 Norman-Haignere and McDermott, 2018). Thus, we suggest that the
874 approach taken here is complementary to studies using natural sounds. An
875 extension for future work could increase the number of features manipulated
876 factorially, combined with stimulus synthesis techniques to create more
877 naturalistic (yet still controlled) sounds (Kawahara et al., 1999; McDermott
878 and Simoncelli, 2011).

879

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Figure and table captions

881

882 **Figure 1.** A) Spectrograms of the nine stimuli, with the spectrogram frequency axis equally
 883 spaced on a scale of Equivalent Rectangular Bandwidth (ERB; Moore and Glasberg, 1983)
 884 and smoothed to obtain a temporal resolution similar to the Equivalent Rectangular Duration
 885 (Plack and Moore, 1990). This depiction more accurately captures spectral representation in
 886 the ascending auditory system than a spectrogram with a linear frequency axis. Note that the
 887 carrier frequencies of the presented stimuli were equally spaced on a logarithmic (rather than
 888 ERB) scale. The cyan- and magenta-colored text above each spectrogram indicate the center
 889 carrier frequency and AM rate of the bandpass noise, respectively. B) Statistical contrast
 890 matrices for testing the two main effects (of Frequency and AM) and Frequency x AM
 891 interaction. These contrasts follow the standard form for the two main effects and interaction
 892 under a 3 x 3 design (Henson and Penny, 2005). From these three contrasts, we could test
 893 for independent and integrated coding (see Methods section for details). C) Multivariate
 894 pattern distinctness estimates for each effect of interest, when activity patterns were
 895 simulated using an independent representation (left-side graph) or an integrated
 896 representation (right-side graph). Each data point represents the pattern distinctness for a
 897 single iteration ("participant") of the simulation. Freq, Frequency. D, Pattern distinctness.

898

899 **Figure 2.** Whole-cortex multivariate searchlight analysis. A) Group-level statistical maps for
 900 each effect of interest, overlaid onto coronal and axial sections of the group-averaged
 901 structural (in MNI space) and thresholded voxelwise at $p < .005$ and clusterwise at $p < .05$
 902 (FWE corrected for multiple comparisons). B) ROI analysis. Each data point shows the
 903 pattern distinctness D , averaged over the searchlight map within each ROI and over
 904 participants. Error bars represent the standard error of the mean. Asterisk symbols above
 905 each data point indicate significantly above-zero pattern distinctness, FDR corrected for
 906 multiple comparisons across contrasts, ROIs and hemispheres. *** $p < .001$, ** $p < .01$, * $p < .05$.

908 **Figure 3.** Univariate analysis. A) Whole-cortex analysis for contrasts of sound versus implicit
 909 baseline and main effects of frequency and AM. Images have been thresholded voxelwise at
 910 $p < .005$ and clusterwise at $p < .05$ (FWE corrected for multiple comparisons). B) ROI
 911 analysis. Data represent the BOLD signal change averaged over the spatial extent of each
 912 ROI and across participants. Error bars represent the standard error of the mean. Asterisk
 913 symbols indicate a significant main effect of frequency (in cyan) or AM rate (in magenta), FDR
 914 corrected for multiple comparisons across contrast, ROI and hemisphere. *** $p < .001$, ** $p < .01$, * $p < .05$.

916 **Figure 4.** Visualizations of multivariate pattern distinctness A) Matrices expressing the
 917 multivoxel dissimilarity for all pairs of stimuli, averaged over the searchlight map within each
 918 ROI and over participants. Warm colors indicate multivoxel patterns that are highly dissimilar
 919 while cool colors indicate less dissimilarity. Dissimilarity matrices are shown thresholded
 920 based on a group-level one-sample t-test (see Walther et al., 2016) at $p < .05$ uncorrected. B)
 921 Group-averaged MDS solutions after Procrustes alignment across participants (first two
 922 dimensions plotted only). Each dot and surrounding ellipse represent the mean and its
 923 standard error, respectively. The cyan number beside each data point indicates the carrier
 924 center frequency of the bandpass noise while the magenta number indicates the AM rate. C)
 925 Dendrograms showing the results of hierarchical clustering.

926 **Figure 5.** Saliency analysis. A) Subjective saliency of the stimuli. The thick black line
 927 indicates the group-averaged percentage of trials each stimulus was judged as more salient
 928 (than the other stimuli). Light gray lines indicate saliency judgements for individual
 929 participants. The thick blue line represents the predicted loudness of the stimuli according to
 930 the model of Moore et al. (2016) and normalized to have the same scale as the saliency data
 931 (for display purposes only). B) "Saliency distance" matrix expressing the absolute difference
 932 in the percentage of observations each sound in a pair was chosen as more salient. C)
 933 Whole-cortex multivariate searchlight analysis, showing where the Fisher transformed
 934 spearman correlation between the saliency distance matrix in panel B and the multivoxel

935 dissimilarity structure in each searchlight was significantly above zero across participants
936 (thresholded voxelwise at $p < .005$ and clusterwise at $p < .05$ FWE corrected for multiple
937 comparisons). D) ROI analysis. Each data point shows the Fisher transformed Spearman
938 correlation, averaged over the searchlight map within each ROI and over participants. Error
939 bars represent the standard error of the mean. Brace and asterisk indicates significant $p <$
940 $.001$ F-test comparing the strength of Spearman correlation between auditory and parietal
941 regions.

942 **Table 1-** MNI coordinates and anatomical labels for significant multivariate searchlight effects.

943 **Table 2-** MNI coordinates and anatomical labels for significant univariate effects.

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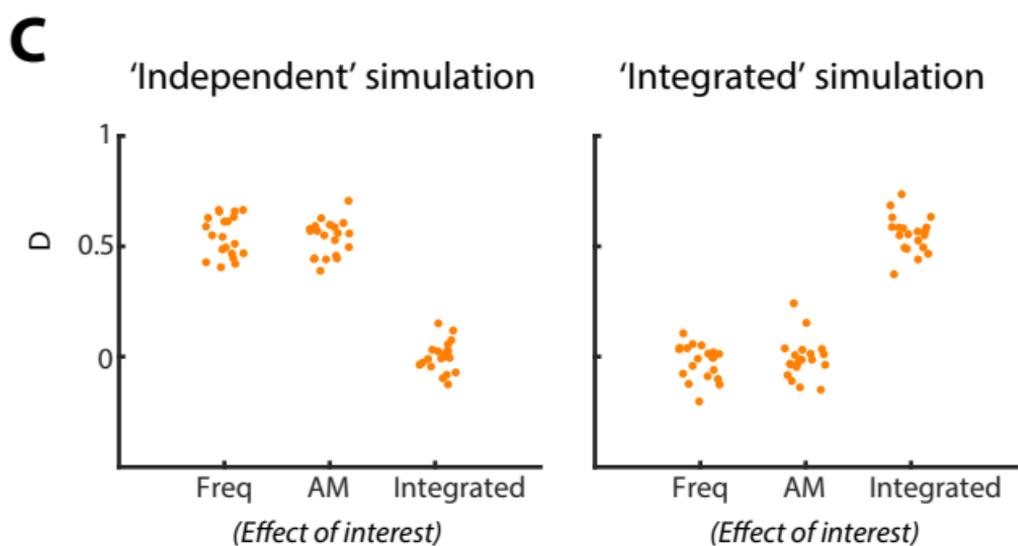
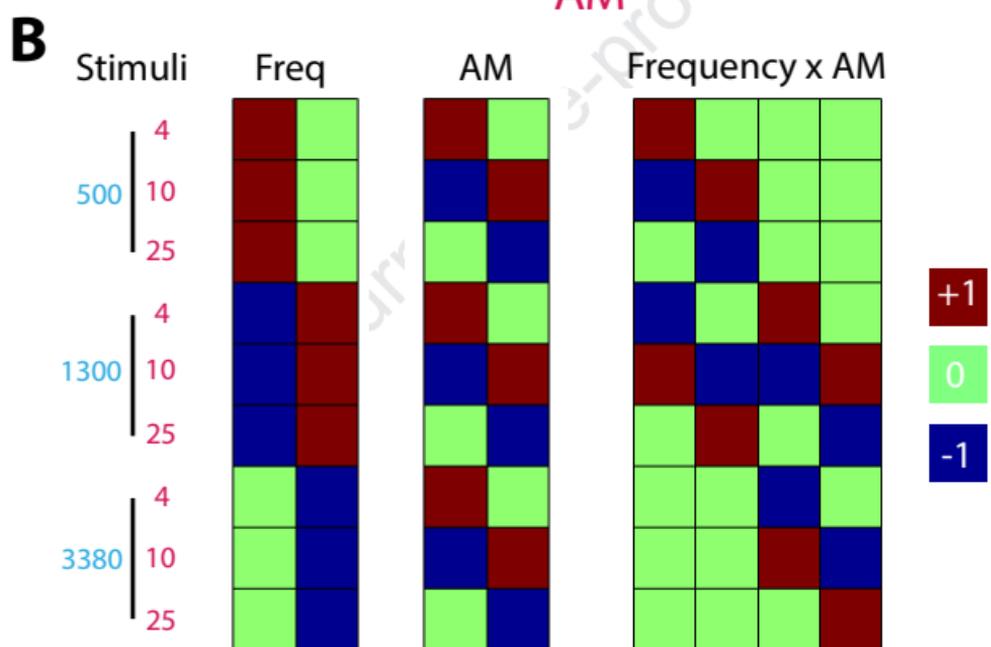
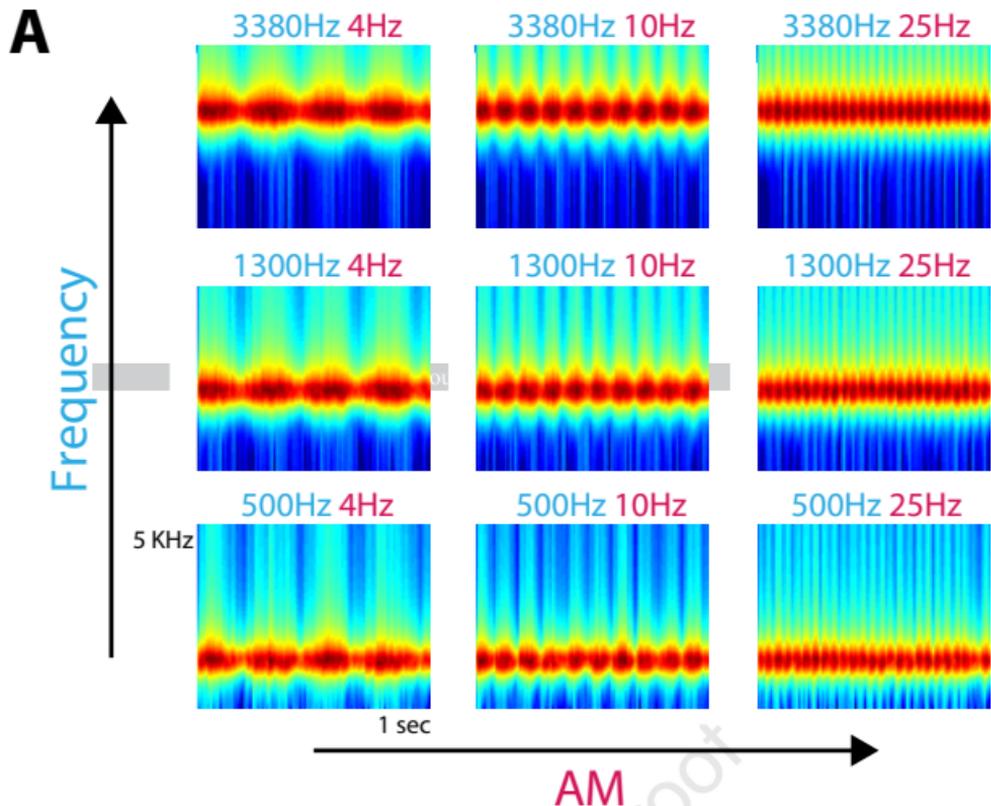
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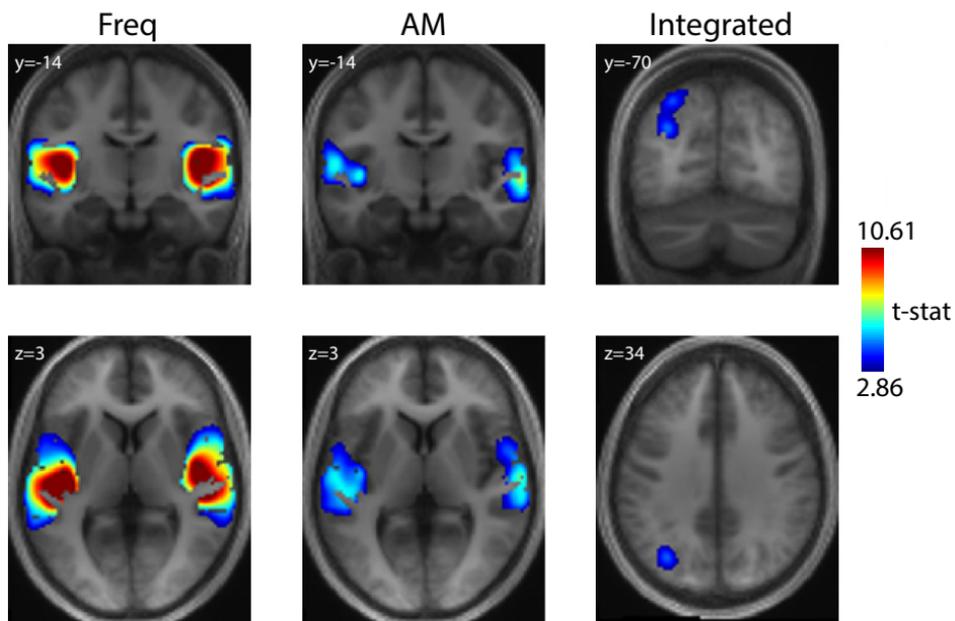
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Effect of Interest	Hemisphere	Region Label	Extent	t-value	x	y	z
Frequency	Left	Te1.0	6056	14.2923	-44	-22	6
		Superior Temporal Gyrus		13.1983	-48	-26	-2
		Superior Temporal Gyrus		6.2306	-54	-44	12
	Right	Rolandic Operculum	5987	14.0351	50	-20	14
		Superior Temporal Gyrus		12.1458	50	-22	0
AM	Left	Te1.2		8.3851	58	-6	-4
		Superior Temporal Gyrus	2721	7.9246	-50	-30	14
		Superior Temporal Gyrus		5.8524	-42	-14	0
	Right	Superior Temporal Gyrus		5.1419	-52	-36	6
		Superior Temporal Gyrus	2384	7.0587	62	-14	-2
		Superior Temporal Gyrus		5.7386	62	-28	8
		Inferior Frontal Gyrus		3.3739	56	12	10
Integrated	Left	Intraparietal Sulcus / Inferior Parietal Lobule	445	4.5942	-32	-68	32
		Superior Parietal Lobule		4.3273	-28	-72	50
Saliency	Left	Superior Temporal Gyrus	5446	17.963	-52	-30	8
		Superior Temporal Gyrus		8.139	-50	-16	-6
	Right	Superior Temporal Gyrus		7.021	-58	-10	0
		Superior Temporal Gyrus	5409	14.961	56	-24	8
		Te1.0		14.005	52	-16	4
		Insula		13.794	42	-14	0

Effect of Interest	Hemisphere	Region Label	Extent	t-value	x	y	z
Sound	Left	Te1.0	4264	12.615	-50	-24	8
		Te1.0		11.616	-44	-18	6
		Te1.1		11.607	-42	-30	10
		Te1.0		10.689	50	-14	8
		Te1.0		9.493	48	-10	0
		Te1.1		9.389	44	-26	12
	Left	Medial Frontal	1841	5.251	-4	8	50
		Medial Frontal		4.904	0	14	46
		Anterior Cingulate		4.856	10	28	28
	Right	Middle Frontal Gyrus	703	4.317	26	52	6
		Middle Frontal Gyrus		4.065	34	46	14
		Middle Frontal Gyrus		3.988	38	34	34
	Right	Middle Frontal Gyrus	403	4.145	-32	40	22
		Middle Frontal Gyrus		4.118	-26	52	6
		Inferior Frontal Gyrus		3.436	-40	46	10
Frequency	Right	Te1.1	606	60.833	48	-28	10
		Te1.1		33.149	40	-20	2
		Superior Temporal Gyrus		31.023	46	-12	-6
	Left	Te1.0	666	50.659	-48	-20	8
		Te1.0		36.724	-52	-12	6
		Te1.2		20.665	-58	-6	2
	Right	Te1.0	443	40.95	52	-14	6
		Te1.2		39.995	54	-6	2
	AM	Left	Superior Temporal Gyrus	1125	32.915	-62	-22
Superior Temporal Gyrus			22.847		-66	-30	12
Superior Temporal Gyrus			22.281		-52	-34	10
Right		Superior Temporal Gyrus	877	25.747	62	-16	4
		Superior Temporal Gyrus		17.972	66	-28	8
		Te1.2		15.659	50	-6	-4



A**B**