

1 **Patient-Reported Outcomes and Inflammatory Biomarkers in Patients With**
2 **Locally Advanced/Metastatic Urothelial Carcinoma Treated With Durvalumab in**
3 **Phase 1/2 Dose-Escalation Study 1108**

4 **Running Head:** Durvalumab in Urothelial Carcinoma; PROs

5 Peter H. O'Donnell, MD^{1*}; Hendrick Tobias Arkenau, MD²; Srikala S. Sridhar, MD³;
6 Michael Ong, MD⁴; Alexandra Drakaki, MD, PhD⁵; Alexander Spira, MD, PhD⁶;
7 Jingsong Zhang, MD⁷; Michael Gordon, MD⁸; Arnold Degboe, MD, PhD⁹; Ashok K.
8 Gupta, MD, PhD⁹; Pralay Mukhopadhyay, PhD⁹; Wenmei Huang, PhD^{9**}; Shaad E.
9 Abdullah, MD¹⁰; Natasha Angra, PharmD¹⁰; Lorin Roskos PhD¹⁰; Xiang Guo, PhD¹⁰;
10 and Terence Friedlander, MD¹¹

11
12 ¹Section of Hematology/Oncology, University of Chicago, Chicago, Illinois; ²Sarah
13 Cannon Research Institute and University College London Cancer Institute, London,
14 United Kingdom; ³Princess Margaret Cancer Centre, Toronto, Ontario, Canada; ⁴The
15 Ottawa Hospital, Ottawa, Ontario, Canada; ⁵David Geffen School of Medicine,
16 University of California, Los Angeles, California; ⁶Virginia Cancer Specialists, Fairfax,
17 Virginia; ⁷Moffitt Cancer Center, Tampa, Florida; ⁸HonorHealth Research Institute,
18 Scottsdale, AZ, USA; ⁹AstraZeneca, Gaithersburg, Maryland; ¹⁰MedImmune,
19 Gaithersburg, Maryland; ¹¹UCSF Medical Center, San Francisco, California

20 **Correspondence to:*

21 Dr Peter H. O'Donnell; Section of Hematology/Oncology, The University of Chicago,
22 5841 S. Maryland Avenue, MC 2115, Chicago, Illinois, 60637; Tel: 773 702 4400; Fax:
23 773 702 3163; Email: podonnel@medicine.bsd.uchicago.edu

24 ***Affiliation during study period.*

25
26 Present addresses if different from where the work was conducted:

27 Wenmei Huang, Bluebirdbio. 60 Binney Street, Cambridge, MA02142

28
29 **FUNDING**

30 This work was supported by AstraZeneca.

31 CONFLICT OF INTERESTS DISCLOSURES

32 P. H. O'Donnell has received honoraria from Genentech/Roche, Merck, AstraZeneca,
33 Astellas Pharma, Seattle Genetics, Inovio Pharmaceuticals, Janssen Biotech, Parexel,
34 Kantar Health, Harrison Consulting Group, Quintiles and OncLive; has performed a
35 consultancy/advisory role for Merck; received funding from Merck, Boehringer
36 Ingelheim, Genentech/Roche, AstraZeneca, Acerta Pharma, Janssen, Seattle Genetics,
37 Bristol-Myers Squibb; received travel/accommodation or expenses from Merck and
38 Seattle Genetics/Astellas. H.-T. Arkenau has no conflicts to declare. S. S. Sridhar
39 reports consultant/advisory work with AstraZeneca, Roche, Pfizer, BMS, and Merck,
40 outside the submitted work. M. Ong has accepted expenses from the study sponsor,
41 AstraZeneca. A. Drakaki participated in Bristol-Myers Squibb, AstraZeneca,
42 RADMETRIX, and KYNAN projects and accepted research and other funding/expenses
43 from Kite/Gilead and Lilly. A. Spira has accepted received research funding from
44 AstraZeneca during the conduct of the study and has also personal fees from Array,
45 Roche, Bluepoint, Merck, and AstraZeneca. J. Zhang has received research funding
46 and honoraria from AstraZeneca and has also participated as speaker for AstraZeneca
47 and provided a consulting/advisory role for the company. M. Gordon has received
48 research funding from MedImmune. A. Degboe is a full-time employee of the study
49 sponsor, AstraZeneca. A. K. Gupta and P. Mukhopadhyay are full-time employees and
50 stock owners of AstraZeneca. W. Huang was a full-time employee of the study sponsor,
51 AstraZeneca during the study period and also owns stocks in AstraZeneca. S. E.
52 Abdullah is a full-time employee of MedImmune, and own stocks in MedImmune and
53 AstraZeneca. N. Angra, L. Roskos, and X. Guo are full-time employees of MedImmune,

54 and own stocks in Medimmune. T. Friedlander has participation in Bristol-Myers Squibb
55 and AstraZeneca projects including an AZ advisory board.

56

57 AUTHOR CONTRIBUTIONS

58 **Peter H. O'Donnell:** Conceptualization, methodology, resources*, investigation, study
59 results review, and writing–review and editing.

60 **Hendrick Tobias Arkenau:** Resources*, investigation, study results review, and
61 writing–review and editing.

62 **Srikala S. Sridhar:** Resources*, investigation, study results review, and writing–review
63 and editing.

64 **Michael Ong:** Resources*, investigation, study results review, and writing–review and
65 editing.

66 **Alexandra Drakaki:** Resources*, investigation, study results review, and writing–review
67 and editing.

68 **Alexander Spira:** Resources*, investigation, study results review, and writing–review
69 and editing.

70 **Jingsong Zhang:** Resources*, investigation, study results review, and writing–review
71 and editing.

72 **Michael Gordon:** Resources*, investigation, study results review, and writing–review
73 and editing.

74 **Arnold Degboe:** Conceptualization, methodology, data curation, formal analysis, study
75 results review, and writing–review and editing.

76 **Ashok K. Gupta:** Data curation, formal analysis, study results review, and writing–
77 review and editing.

78 **Pralay Mukhopadhyay:** Data curation, formal analysis, study results review, and
79 writing–review and editing.

80 **Wenmei Huang:** Data curation, formal analysis, study results review, and writing–
81 review and editing.

82 **Shaad E. Abdullah:** Data curation, formal analysis, study results review, and writing–
83 review and editing.

84 **Natasha Angra:** Data curation, formal analysis, study results review, and writing–
85 review and editing.

86 **Lorin Roskos:** Data curation, formal analysis, study results review, and writing–review
87 and editing.

88 **Xiang Guo:** Data curation, formal analysis, study results review, and writing–review and
89 editing.

90 **Terence Friedlander:** Conceptualization, methodology, resources*, investigation, study
91 results review, and writing–review and editing.

92

93 *Provision of study materials, reagents, materials, patients, laboratory samples, animals, instrumentation,
94 computing resources, or other analysis tools.

95

96 ACKNOWLEDGMENTS

97 The authors would like to thank the patients, their families and caregivers, and all
98 investigators involved in this study. Statistical analysis support was provided by Jeffery
99 Rohay and Cristina Ivanescu of IQVIA. Medical writing support, which was in
100 accordance with Good Publication Practice (GPP3) guidelines, was provided by Anne-
101 Marie Manwaring of Parexel and was funded by AstraZeneca.

102

103

104 PRECIS

105 In patients with locally advanced/metastatic urothelial carcinoma treated with
106 durvalumab, improvements in patient-reported outcomes correlated not only with
107 objective tumor response but also with reduction in markers of systemic inflammation.
108 These findings provide insight into potential links between attenuation of inflammation in
109 cancer and patient-reported outcomes.

110

111

112 **ABSTRACT**

113 **Background:** Durvalumab showed meaningful clinical activity in patients with
114 metastatic urothelial carcinoma (mUC) in Study 1108 (NCT01693562). An important
115 focus in treatment is health-related quality of life (HRQoL). Here, patient-reported
116 outcomes (PROs) from Study 1108 and their relationship with inflammatory biomarkers
117 are explored.

118 **Methods:** Disease-related symptoms, functioning, and HRQoL were assessed using
119 the FACT-BI and EORTC-QLQ-C30. Relationships between PRO improvement and
120 best changes in tumor size, albumin level, and neutrophil-lymphocyte ratio (NLR) were
121 assessed by Spearman's correlation analysis.

122 **Results:** Mean (SD) FACT-BI total score improved from 107.5 (23.0) at baseline to
123 115.4 (22.6) on day 113, with similar increases in trial outcome index (TOI) and bladder
124 cancer subscale (BLCS) scores. Mean FACT-BI total scores improved over time and
125 FACT-BI TOI scores significantly improved by day 113 ($P < .05$). Mean (SD) EORTC-
126 QLQ-C30 global health status/QoL score improved from 57.1 (24.8) at baseline to 69.0
127 (21.4) on day 113; functional scale and symptom scores (day 113) were higher than
128 baseline ($P < .05$) for EORTC social functioning. FACT-BI total, BLCS, and TOI scores
129 improved in 32.6%, 34.9%, and 32.6% of patients at day 113; 26.3% to 37.8% of
130 patients exhibited improvements in EORTC-QLQ-C30 functional scores. Best tumor
131 shrinkage and in serum albumin and NLR posttreatment improvements correlated ($P <$
132 $.05$) with increases in FACT-BI total, TOI, BLCS, EORTC physical functioning, and role
133 functioning scores.

134 **Conclusion:** Durvalumab was associated with improvements in disease-related
135 symptoms, functioning, and HRQoL in mUC patients. Improvements in systemic
136 inflammation may contribute to PRO improvements in these patients.

137

138 **Key words:** urothelial carcinoma, durvalumab, patient-reported outcome measures,
139 health-related quality of life, biomarkers, tumor, inflammation.

140

141 INTRODUCTION

142 The historic 5-year survival rate of patients with locally advanced or metastatic (stage
143 IV) urothelial carcinoma (mUC) is 15%.¹ Platinum-based chemotherapy, which is
144 associated with median survival of 9 to 15 months, remains the standard of care for
145 first-line treatment. In patients ineligible for platinum-based chemotherapy, first-line
146 options include pembrolizumab and atezolizumab.² Most patients experience disease
147 progression. Second-line treatment options include vinflunine, taxanes, and the immune
148 checkpoint inhibitors pembrolizumab, atezolizumab, nivolumab, durvalumab, and
149 avelumab.

150

151 A key focus of management for patients with mUC is prolonging survival while
152 maintaining functioning and health-related quality of life (HRQoL); treatment should aim
153 to achieve palliation of symptoms without additional drug-related toxicity.^{2,3} As novel
154 treatments for mUC emerge, it is equally important to evaluate changes in disease-
155 related symptoms, functioning, and HRQoL using patient-reported outcome (PRO)
156 measures alongside efficacy and safety data.^{4,5}

157

158 Cancer-related symptoms can have a marked impact on HRQoL, are linked with
159 poorer clinical outcomes, and are now recognized to be associated with a systemic
160 inflammatory response.⁶ Increased systemic inflammation portends a poor prognosis in
161 many malignancies, including UC,⁷ and it is correlated with worsening of
162 HRQoL/functioning parameters in patients with advanced cancer.⁸ An elevated

163 neutrophil-lymphocyte ratio (NLR), which is an index of systemic inflammation, has
164 shown significant association with adverse oncologic and survival outcomes in patients
165 with UC⁹⁻¹¹ and improvements in NLR have been shown to predict response across
166 different tumors, including UC.¹² Additionally, serum albumin levels can reflect disease
167 severity, progression and prognosis as inflammation and malnutrition in chronic disease
168 lead to suppression of albumin synthesis.¹⁰ Reduction in such inflammatory biomarkers
169 may be linked to improvements in HRQoL in patients with cancer.⁶

170

171 Durvalumab has been granted accelerated approval for patients with mUC
172 progressing on platinum-based chemotherapy, based on results from the phase 1/2
173 Study 1108 (data cutoff [DCO]: October 24, 2016) (NCT01693562).^{13,14} In patients with
174 mUC receiving durvalumab, tumor shrinkage, and overall survival (OS) have been
175 shown to correlate with changes in inflammatory biomarkers, while decreased tumor
176 size and longer OS have been shown to correlate with increased albumin and
177 decreased NLR.¹⁵ Durvalumab may therefore be associated with a decrease in
178 systemic inflammation in patients with mUC.¹⁵

179

180 Here, in a post hoc analysis of Study 1108, we report the impact of durvalumab on
181 PROs, and assess the relationship between inflammatory biomarkers and PROs.¹⁴

182

183 **METHODS**

184 *Study Design and Treatment*

185 Study 1108 (NCT01693562) was a phase 1/2, single-arm, dose-escalation study in
186 patients with advanced solid tumors. Patients with mUC were enrolled in the expansion
187 cohort (n = 191) and received durvalumab 10 mg/kg every 2 weeks for up to 12 months
188 or until confirmed progressive disease or discontinuation, as previously described.^{14,16}
189 PRO analyses were carried out in patients (n = 182) who had experienced disease
190 progression after prior platinum-based therapy for metastatic disease.¹⁴

191

192 *Procedures for PRO Assessments*

193 Disease-related symptoms, functioning and HRQoL were assessed using the Functional
194 Assessment of Cancer Therapy-Bladder (FACT-BI), a bladder cancer-specific
195 instrument; European Organisation for Research and Treatment of Cancer Quality of
196 Life Core Questionnaire (EORTC-QLQ-C30), a general cancer-specific instrument; and
197 a single-item pain questionnaire (details provided in supporting materials). All PRO
198 instruments were administered before other procedures at scheduled study visits
199 (Figure 1) with change in baseline defined as the mean difference between absolute
200 postbaseline score and baseline score.

201

202 *Procedures for Assessment of Biomarkers and Tumor Size*

203 Inflammatory biomarkers (NLR, serum albumin) were obtained from standard serum
204 chemistry and hematology panels. Tumor measurements (sum of longest diameter)
205 were scheduled at days 1, 43, 85, 113, and every 56 days thereafter.

206

207 *Statistical Analysis*

208 Questionnaire completion/compliance was assessed. Domain scores for EORTC-QLQ-
209 C30 and FACT-BI were calculated based on the scoring manuals when at least 50% of
210 the items were completed by the patient. Primary outcomes for the PRO analyses were:
211 FACT-BI total score, FACT-BI trial outcome index (TOI), and FACT-BI BLCS; and
212 EORTC-QLQ-C30 functional scales (physical, role, cognitive, emotional, and social),
213 multi-item symptom scales (fatigue and pain), and global health status/QoL.

214

215 Analyses were conducted for the overall study population and for subgroups
216 stratified by clinical tumor response; responders were defined as patients who achieved
217 an objective response per Response Evaluation Criteria In Solid Tumors (RECIST 1.1).
218 Additional analyses compared mean change from baseline in FACT-BI scores (total,
219 TOI BLCS) and EORTC-QLQ-C30 functional scale and Global Health Status/QoL
220 scores over time in 3 subgroups: responders, patients with stable disease, and others
221 (patients not meeting criteria for objective response or stable disease including
222 nonresponders).

223

224 Changes in PROs for all patients were analyzed descriptively at all timepoints;
225 statistical analyses (paired *t*-test) were also performed for the differences in mean
226 FACT-BI and mean EORTC-QLQ-C30 functioning scores between baseline and days

227 43, 57, and 113. *P* values were not adjusted for multiple comparisons. Data beyond day
228 113 are not reported owing to low sample sizes (Table 1).

229

230 Data underlying the findings described in this manuscript may be obtained in
231 accordance with AstraZeneca's data sharing policy described at
232 <https://astrazenecagrouptrials.pharmacm.com/ST/Submission/Disclosure>.

233

234 **RESULTS**

235 *Study 1108 Baseline Characteristics and Clinical Outcome*

236 Baseline characteristics of the 182 patients in the mUC cohort of Study 1108 have been
237 previously published.¹⁴ Primary safety and efficacy results were based on DCO October
238 24, 2016. The objective response rate in this cohort was 17.6% (32/182 patients; 6
239 complete responses and 26 partial responses). Median time to response was 1.41
240 months (range, 1.2-7.2).¹⁴

241

242 *Questionnaire Compliance*

243 The full analysis set for the PRO analysis included 182 patients; PRO data were
244 unavailable for 1 patient (a nonresponder). Questionnaire completion data are shown in
245 Table 1. Overall completion rate (patients who completed at least 1 questionnaire at
246 baseline and the same questionnaire at 1 or more postbaseline visits) was 146/181
247 (81% [31/32 responder, 97%; 115/149 nonresponder, 77%]) and 136/181 (75%) for
248 FACT-BI (29/32 responder, 91%; 107/149 nonresponder, 72%); 88/181 (49%) for

249 EORTC-QLQ-C30 (23/32 responder, 72%; 65/149 nonresponder, 44%); and 142/181
250 (78%) for the pain questionnaire (30/32 responder, 94%; 112/149 nonresponder, 75%).

251

252 *Baseline Data and Changes in PRO Scores Over Time*

253 1. FACT-BI

254 Mean (SD) FACT-BI total score at baseline for all patients was 107.5 (23.0), 107.7
255 (24.1) for responders and 107.4 (22.9) for nonresponders (Figure 2). Changes from
256 baseline in FACT-BI total score, FACT-BI BLCS and FACT-BI TOI over time are
257 reported in Figure 3. Mean (SD) FACT-BI total scores for all patients improved over time
258 with ($P < .05$) for FACT-BI TOI scores at day 113 (Figure 3); responders showed better
259 FACT-BI scores over time than patients with no response or stable disease (data not
260 shown).

261

262 The proportion of patients reporting improvement, no change or deterioration in
263 FACT-BI total score, FACT-BI BLCS, and FACT-BI TOI over time are shown in Figure 4.
264 At day 43, FACT-BI total score was improved in 23.9% of patients (56.3% no change,
265 19.7% deterioration); 31.0% showed improvement in FACT-BI BLCS (49.0% no change,
266 19.7% deterioration) and 21.1% in FACT-BI TOI (60.6% no change, 18.3%
267 deterioration) (Figure 4). FACT-BI total score was improved at day 113 in 32.6% of
268 patients (48.8% no change, 18.6% deterioration); 34.9% showed improvement in FACT-
269 BI BLCS (44.2% no change, 20.9% deterioration) and 32.6% in FACT-BI TOI (55.8% no
270 change, 11.6% deterioration) at this timepoint (Figure 4). Responders were more likely

271 to have significant improvements versus deterioration in FACT-BI scores versus
272 nonresponders at the majority of timepoints when statistical comparisons could be
273 performed (Figure 4).¹⁷⁻¹⁹

274

275 2. EORTC-QLQ-C30

276 The mean (SD) baseline score for EORTC-QLQ-C30 Global Health Status/QoL was
277 57.1 (24.8) (Figure 2). Corresponding values were 71.2 (23.8) for responders and 53.3
278 (23.8) for nonresponders. Baseline values for EORTC-QLQ-C30 subscales suggested
279 responders had numerically better functioning and less severe symptoms (Figure 2).
280 Mean (SD) EORTC-QLQ-C30 Global Health Status/QoL score improved over time, from
281 57.1 (24.8) at baseline, through 61.3 (20.9) on day 43, to 69.0 (21.4) on day 113. Mean
282 functional EORTC scores at day 113 were numerically higher than at baseline; the
283 difference was significant ($P < .05$) for EORTC social functioning. Numerical difference
284 between responders and nonresponders were greatest for physical and role functioning
285 scores (Figure 5). Mean symptom EORTC scores for fatigue and pain were improved at
286 day 113 in all groups (Figure 5), with changes from baseline being numerically greatest
287 in responders.

288

289 Analysis of EORTC functional scale scores by patient response status suggested
290 responders and patients with stable disease showed better scores over time in physical
291 and role functioning than other patients (data not shown).

292

293 Improvements in EORTC-QLQ-C30 functional domains were seen in 10.9% to 29.6%
294 of patients at day 43 and in 26.3% to 37.8% of patients at day 113 (Figure 6).¹⁷⁻¹⁹ Most
295 patients reported improvement in EORTC-QLQ-C30 pain score by day 43 (56.4%) and
296 in EORTC-QLQ-C30 fatigue score by day 85 (53.5%). Improvements in fatigue and pain
297 scores were reported at day 113 by 57.6% and 73.1% of the total population, 66.7%
298 and 92.3% of responders, and 50.0% and 53.9% of nonresponders, respectively.

299

300 3. Pain Questionnaire

301 Baseline values (SD) for the pain questionnaire were well balanced between
302 responders and nonresponders (Figure 2). Changes in mean pain scores are shown in
303 Figure 7. Improvements in pain scores were similar in responders and nonresponders.

304

305 *Tumor Size and Biomarker Changes*

306 Baseline absolute values and best percent (%) change in tumor size and improvements
307 in biomarkers (ie, increase in serum albumin and decrease in NLR) from baseline in
308 patients treated with durvalumab are shown in Table 2. The best increase in serum
309 albumin and the best decrease in NLR from baseline were both significantly greater in
310 responders than nonresponders ($P < .0001$) (Table 2A).

311

312 *PRO-Biomarker Correlations*

313 FACT-BI total scores, FACT-BI TOI, and FACT-BI BLCS were correlated significantly
314 with decreased tumor size ($P < .0001$), increased albumin ($P = .004$ to $.03$), and

315 decreased NLR ($P = .006$ to $.04$) (Table 2B). EORTC-QLQ-C30 physical functioning
316 improvement was correlated significantly with decreased tumor size ($P < .0001$),
317 increased albumin ($P = .0007$), and decreased NLR ($P = .03$) (Table 2B). EORTC-QLQ-
318 C30 role functioning was correlated significantly with decreased tumor size ($P = .003$)
319 and decreased NLR ($P = .008$); there was no significant correlation with albumin level
320 (Table 2B).

321 Multiple regression analysis showed that decreased tumor size is the most significant
322 factor in association with improvement of the five PRO measurements. After adjustment
323 of tumor size and albumin changes, decreased NLR remained significantly correlated
324 with increased FACT-TOI ($P = .02$) and FACT-BLCS ($P = .03$). Total FACT-BI and role
325 functioning improvement also showed trends of correlation with NLR change after
326 adjustment of tumor size and albumin changes (Table 2C).

327

328 **DISCUSSION**

329 A previous analysis of data from Study 1108 identified prognostic and predictive
330 biomarkers of clinical outcomes with a population-based modeling approach.²⁰ Here, we
331 provide the first report of the effects of durvalumab on cancer-related symptoms,
332 functioning, and HRQoL in patients with mUC progressing following platinum-based
333 chemotherapy, and, to our knowledge, the first study to use a bladder cancer-specific
334 PRO measure, the FACT-BI, in patients with mUC treated with immunotherapy.

335

336 Overall, improvements in scores over time were seen with FACT-BI total scores,
337 FACT-BI BLCS, and FACT-BI TOI, together with improvements in EORTC-QLQ-C30
338 functional domains, global health status, and symptom scores (pain and fatigue).
339 HRQoL improvements in patients with stable disease do not represent a response, but
340 they do signify potential clinical benefit. Overall, responders had improved scores over
341 time compared with nonresponders, though no formal statistical testing was performed.
342 The fact that improvements in HRQoL outcomes were seen in nonresponders suggests
343 that durvalumab may have HRQoL-related benefits, even in patients not meeting the
344 RECIST criteria for objective response; however, the lack of a blinded control arm limits
345 this interpretation.

346

347 The information available regarding PROs in patients with mUC progressing after
348 platinum-based chemotherapy is predominantly based on EORTC-QLQ-C30, a general
349 instrument for patients with cancer.²¹ Interestingly, the largest differences in patients
350 with UC versus general population were observed in the EORTC-QLQ-C30 symptom
351 domains: fatigue, role and physical functioning in multivariate regression analysis;³ this
352 is consistent with the present study showing the largest numerical differences from
353 baseline in these domains with durvalumab.

354

355 The EORTC-QLQ-C30 is perhaps the best validated PRO measure in patients with
356 locally advanced/metastatic UC, and, overall, the baseline EORTC-QLQ-C30 scores
357 observed in this study were similar to those reported in previous studies.^{3,22} Multiple
358 prospective studies have suggested that HRQoL may remain stable or deteriorate in

359 patients receiving chemotherapy,^{4,5,23,24} but remain stable or improve in patients treated
360 with immune checkpoint inhibitors.^{15,25} While no assessments in this study were made
361 after day 113 due to decreasing sample sizes, our results are in line with this trend;
362 additional prospective evidence, including longer follow-up time, from randomized trials
363 comparing chemotherapy and immunotherapy may better confirm these findings.

364

365 Correlations observed between improvements in HRQoL with durvalumab and
366 improvements in inflammatory biomarkers are consistent with the association previously
367 observed between inflammatory biomarkers and survival in patients with mUC treated
368 with durvalumab.^{15,20} Increased systemic inflammation is known to be correlated with
369 worsening of HRQoL/functioning parameters in patients with advanced cancer
370 (independent of performance status).⁸ In the current study, multiple regression analysis
371 showed that, after adjusting for tumor size and albumin changes, decreased NLR
372 remained significantly associated with increases in TOI and BLCS. Total FACT-BI and
373 role functioning improvement also showed trends towards correlation with change in
374 NLR after adjustment for tumor size and albumin changes. These results suggest a
375 direct association between NLR change and improvement of PROs; however, it is
376 unknown whether attenuation of cancer inflammation (as indicated by decreased NLR)
377 is causal with respect to improved HRQoL or simply a reflection of reduced tumor
378 burden and generally improved health in patients responding to treatment.⁸ Detailed
379 research in this regard is a potential direction for future study.

380

381 There were some limitations that should be acknowledged for our study. This was an
382 open-label study with no control or standard therapy comparator arm. This is a post-hoc
383 analysis and, regarding comparisons between nonresponders versus responders, these
384 groups were not predefined at baseline. In addition, across all the PRO measures
385 utilized, the overall completion rate was higher in responders than nonresponders.
386 Given the open-label study design, both patients and providers were aware of the
387 clinical benefit or lack thereof, which may have driven the significant change from
388 baseline in PROs. Also, day 43 is not a specified protocol data collection timepoint and,
389 as such, includes smaller patient numbers than day 56. Finally, the small sample size at
390 some visits should be considered when interpreting the results.

391

392 **CONCLUSIONS**

393 Patients with mUC treated with durvalumab as second-line therapy reported
394 improvement from baseline in symptoms, functioning, and HRQoL based on FACT-BI
395 total score, FACT-BI BLCS, FACT-BI TOI, EORTC-QLQ-C30 functional domains, and
396 global health status/QoL scales to day 113. Improvements in many of the FACT-BI and
397 EORTC-QLQ-C30 scores were correlated significantly with posttreatment improvements
398 in inflammatory biomarkers.

REFERENCES

- 401 1. American Cancer Society. Survival rates for bladder cancer. 2016.
402 [https://www.cancer.org/cancer/bladder-cancer/detection-diagnosis-staging/survival-
403 rates.html](https://www.cancer.org/cancer/bladder-cancer/detection-diagnosis-staging/survival-
403 rates.html). Accessed March 21, 2019.
- 404 2. National Comprehensive Cancer Network. NCCN guidelines v1.2019. Bladder Cancer.
405 https://www.nccn.org/professionals/physician_gls/pdf/bladder.pdf. Accessed January 25,
406 2019.
- 407 3. Singer S, Ziegler C, Schwalenberg T, Hinz A, Gotze H, Schulte T. Quality of life in
408 patients with muscle invasive and non-muscle invasive bladder cancer. *Support Care*
409 *Cancer*. 2013;21:1383-1393.
- 410 4. Niegisch G, Retz M, Siener R, Albers P. Quality of life in patients with cisplatin-resistant
411 urothelial cancer: typical ailments and effect of paclitaxel-based salvage therapy. *Urol*
412 *Oncol*. 2016;34:256 e215-e221.
- 413 5. Petrylak DP, de Wit R, Chi KN, et al. Ramucirumab plus docetaxel versus placebo plus
414 docetaxel in patients with locally advanced or metastatic urothelial carcinoma after
415 platinum-based therapy (RANGE): a randomised, double-blind, phase 3 trial. *Lancet*.
416 2017;390:2266-2277.
- 417 6. Roxburgh CS, McMillan DC. Cancer and systemic inflammation: treat the tumour and
418 treat the host. *Br J Cancer*. 2014;110:1409-1412.
- 419 7. Saito K, Kihara K. Role of C-reactive protein in urological cancers: a useful biomarker for
420 predicting outcomes. *Int J Urol*. 2013;20:161-171.
- 421 8. Laird BJ, Fallon M, Hjermstad MJ, et al. Quality of life in patients with advanced cancer:
422 differential association with performance status and systemic inflammatory response. *J*
423 *Clin Oncol*. 2016;34:2769-2775.

- 424 9. Hermanns T, Bhindi B, Wei Y, et al. Pre-treatment neutrophil-to-lymphocyte ratio as
425 predictor of adverse outcomes in patients undergoing radical cystectomy for urothelial
426 carcinoma of the bladder. *Br J Cancer*. 2014;111:444-451.
- 427 10. Kim HS, Ku JH. Systemic inflammatory response based on neutrophil-to-lymphocyte
428 ratio as a prognostic marker in bladder cancer. *Dis Markers*. 2016;2016:8345286.
- 429 11. Rossi L, Santoni M, Crabb SJ, et al. High neutrophil-to-lymphocyte ratio persistent
430 during first-line chemotherapy predicts poor clinical outcome in patients with advanced
431 urothelial cancer. *Ann Surg Oncol*. 2015;22:1377-1384.
- 432 12. Moschetta M, Uccello M, Kasenda B, et al. Dynamics of neutrophils-to-lymphocyte ratio
433 predict outcomes of PD-1/PD-L1 blockade. *Biomed Res Int*. 2017;2017:1506824.
- 434 13. IMFINZI (durvalumab) [prescribing information]. AstraZeneca Pharmaceuticals LP,
435 Wilmington, DE; 2018.
- 436 14. Powles T, O'Donnell PH, Massard C, et al. Efficacy and safety of durvalumab in locally
437 advanced or metastatic urothelial carcinoma: updated results from a phase 1/2 open-
438 label study. *JAMA Oncol*. 2017;3:e172411.
- 439 15. Powles T, Jin C, Zheng Y, et al. Tumor shrinkage and increased overall survival are
440 associated with improved albumin, neutrophil lymphocyte ratio (NLR) and decreased
441 durvalumab clearance in NSCLC and UC patients receiving durvalumab [Abstract]. *J*
442 *Clin Oncol*. 2017;35(Suppl):3035.
- 443 16. Massard C, Gordon MS, Sharma S, et al. Safety and efficacy of durvalumab
444 (MEDI4736), an anti-programmed cell death ligand-1 immune checkpoint inhibitor, in
445 patients with advanced urothelial bladder cancer. *J Clin Oncol*. 2016;34:3119-3125.
- 446 17. Cocks K, King MT, Velikova G, Fayes PM, Brown JM. Quality, interpretation and
447 presentation of European Organisation for Research and Treatment of Cancer quality of
448 life questionnaire core 30 data in randomised controlled trials. *Eur J Cancer*.
449 2008;44:1793-1798.

- 450 18. Norman GR, Sloan JA, Wyrwich KW. Interpretation of changes in health-related quality
451 of life: the remarkable universality of half a standard deviation. *Med Care*. 2003;41:582-
452 592.
- 453 19. Osoba D, Bezjak A, Brundage M, et al. Analysis and interpretation of health-related
454 quality-of-life data from clinical trials: basic approach of The National Cancer Institute of
455 Canada Clinical Trials Group. *Eur J Cancer*. 2005;41:280-287.
- 456 20. Zheng Y, Narwal R, Jin C, et al. Population modeling of tumor kinetics and overall
457 survival to identify prognostic and predictive biomarkers of efficacy for durvalumab in
458 patients with urothelial carcinoma. *Clin Pharmacol Ther*. 2018;103:643-652.
- 459 21. Fayers PM, Aaronson NK, Bjordal K, et al. *The EORTC QLQ-C30 Scoring Manual (3rd*
460 *Edition)*. Brussels: European Organisation for Research and Treatment of Cancer; 2001.
- 461 22. Cocks K, King MT, Velikova G, et al. Evidence-based guidelines for interpreting change
462 scores for the European Organisation for the Research and Treatment of Cancer Quality
463 of Life Questionnaire Core 30. *Eur J Cancer*. 2012;48:1713-1721.
- 464 23. Albers P, Park SI, Niegisch G, et al. Randomized phase III trial of 2nd line gemcitabine
465 and paclitaxel chemotherapy in patients with advanced bladder cancer: short-term
466 versus prolonged treatment [German Association of Urological Oncology (AUO) trial AB
467 20/99]. *Ann Oncol*. 2011;22:288-294.
- 468 24. Niegisch G, Retz M, Thalgott M, et al. Second-line treatment of advanced urothelial
469 cancer with paclitaxel and everolimus in a German phase II trial (AUO Trial AB 35/09).
470 *Oncology*. 2015;89:70-78.
- 471 25. Powles T, Durán I, van der Heijden MS, et al. Atezolizumab versus chemotherapy in
472 patients with platinum-treated locally advanced or metastatic urothelial carcinoma
473 (IMvigor211): a multicentre, open-label, phase 3 randomised controlled trial. *Lancet*.
474 2018;391:748-757.
- 475

TABLE 1. Number of Patients With Evaluable PRO per Visit, FACT-BI, and EORTC QLQ-C30 Functioning Scales^a

Study visit day		D1	D29	D43	D57	D85	D113	D169	D225	D281	D337
FACT-BI Total score	All	169	121	72	91	61	44	22	14	8	10
	R	29	25	19	25	21	18	9	8	6	7
	NR	140	96	53	66	40	26	13	6	2	3
FACT-BI BLCS	All	169	121	72	92	62	44	22	14	8	10
	R	29	25	19	26	21	18	9	8	6	7
	NR	140	96	53	66	41	26	13	6	2	3
FACT-BI TOI	All	169	121	72	91	61	44	22	14	8	10
	R	29	25	19	25	21	18	9	8	6	7
	NR	140	96	53	66	40	26	13	6	2	3
EORTC-QLQ-C30 ^b Physical functioning	All	113	85	57	65	53	39	21	12	8	9
	R	24	20	14	24	18	18	11	8	5	6
	NR	89	65	43	41	35	21	10	4	3	3
EORTC-QLQ-C30 Role functioning	All	113	84	57	64	53	38	21	12	8	9
	R	24	20	14	24	18	18	11	8	5	6
	NR	89	64	43	40	35	20	10	4	3	3
EORTC-QLQ-C30 Emotional functioning	All	113	85	56	65	53	39	21	12	8	9
	R	24	20	13	24	18	18	11	8	5	6
	NR	89	65	43	41	35	21	10	4	3	3
EORTC-QLQ-C30 Cognitive functioning	All	113	85	56	65	53	39	21	12	8	9
	R	24	20	13	24	18	18	11	8	5	6
	NR	89	65	43	41	35	21	10	4	3	3
EORTC-QLQ-C30 Social functioning	All	113	85	56	65	53	39	21	12	8	9
	R	24	20	13	24	18	18	11	8	5	6
	NR	89	65	43	41	35	21	10	4	3	3
EORTC-QLQ-C30 Global health status/QoL	All	113	84	56	65	53	39	21	12	8	9
	R	24	20	13	24	18	18	11	8	5	6
	NR	89	64	43	41	35	21	10	4	3	3

^aA domain score was calculated when at least half of the items for that domain were filled in by the patient.

^bNumber of patients filling in the EORTC-QLQ-C30 questionnaire (113 of 182 at baseline) is lower than for FACT-BI (169 of 182 at baseline) as this instrument was added to the protocol after study start and was not administered at all scheduled visits.

Abbreviations: BLCS, bladder cancer subscale; D, study day; EORTC-QLQ-C30, European Organisation for Research and Treatment of Cancer Quality of Life Core Questionnaire; EOT, end of treatment; QoL, quality of life; TOI, trial outcome index.

TABLE 2. PROs, Tumor Size, and Biomarker Improvement After Durvalumab Treatments

A. Baseline Values and Best Improvement in tumor Size, Albumin, and NLR

	All		R		NR		P (R vs NR)
	Mean ± SD	N	Mean ± SD	N	Mean ± SD	N	
Baseline tumor size (mm)	72.8 ± 49.4	186	60.1 ± 54.9	34	68.6 ± 42.1	121	NS
Tumor size, ^a min % change	-5.6 ± 40.9	159	-69.6 ± 15.2	33	11.6 ± 40.9	121	< .0001
Tumor size, ^a min absolute change (mm)	-2.4 ± 36.7	159	-42.7 ± 38.6	33	8.5 ± 28.5	121	< .0001
Baseline Albumin (g/L)	37.5 ± 5.3	184	40.5 ± 3.3	35	37.4 ± 5.1	119	< .0001
Albumin, ^b max % change	3.4 ± 9.8	174	10.1 ± 7.2	33	3.2 ± 9.3	118	< .0001
Baseline NLR	6.2 ± 7.9	183	3.2 ± 1.2	33	5.9 ± 8.0	121	< .001
NLR, ^a min % change	-14.4 ± 35.9	173	-35.1 ± 20.8	31	-14.3 ± 33.5	118	< .0001

B. Correlation Between PRO Improvement and Biomarker Changes

Parameter	FACT-BI max increase			EORTC QLQ-C30 max increase	
	Total, ρ (P)	TOI, ρ (P)	BLCS, ρ (P)	Physical functioning, ρ (P)	Role functioning, ρ (P)
Tumor size, ^a min % change	-0.44 (< .0001)	-0.49 (< .0001)	-0.42 (< .0001)	-0.5 (< .0001)	-0.32 (.003)
Tumor size, ^a min absolute change	-0.46 (< .0001)	-0.53 (< .0001)	-0.44 (< .0001)	-0.55 (< .0001)	-0.41 (.0001)
Albumin, ^b max % change	0.26 (.004)	0.21 (.02)	0.2 (.03)	0.36 (.0007)	NS
NLR, ^a min % change	-0.19 (.04)	-0.25 (.006)	-0.21 (.02)	-0.24 (.03)	-0.29 (.008)

C. Multiple regression analysis of PRO improvement based on biomarker changes

Parameter	FACT-BI max increase			EORTC QLQ-C30 max increase	
	Total, β (<i>P</i>)	TOI, β (<i>P</i>)	BLCS, β (<i>P</i>)	Physical functioning, β (<i>P</i>)	Role functioning, β (<i>P</i>)
Tumor size, ^a min % change	-0.12 (< .001)	-0.1 (< .0001)	-0.04 (< .001)	-0.18 (< .001)	-0.16 (.02)
Albumin, ^b max % change	0.12 (.5)	0.02 (.9)	0.03 (.6)	0.13 (.6)	-0.59 (.14)
NLR, ^a min % change	-0.09 (.06)	-0.08 (.02)	-0.04 (.03)	-0.009 (.9)	-0.17 (.06)

^aDecrease indicates improvement.

^bIncrease indicates improvement.

Abbreviations: BLCS, bladder cancer subscale; EORTC QLQ-C30, European Organisation for Research and Treatment of Cancer Quality of Life Questionnaire; FACT-BI, Functional Assessment of Cancer Therapy-Bladder; max, maximum; min, minimum. NLR, neutrophil/lymphocyte ratio; NR, nonresponder; NS, nonsignificant. PRO, patient-reported outcome; R, responder; TOI, trial outcome index; ρ , Spearman's rank correlation coefficient.

Figure 1. Study 1108 design.

Durvalumab (10 mg/kg) was administered every 2 weeks for up to 12 months. PRO questionnaires (pain questionnaire, FACT-BI, and EORTC QLQ-C30) were administered during screening and then before other procedures at study visits on days 1, 29 (± 3), 43 (± 7), 57 (± 7), 85 (± 7), and 113 (± 7), then every 8 weeks (± 7 days) thereafter. Patients with disease progression during follow-up who had not received another anticancer therapy and had not met criteria for discontinuing study treatment were allowed a 12-month course of durvalumab retreatment.

Abbreviations: D, day; EORTC QLQ-C30, European Organisation for Research and Treatment of Cancer Quality of Life Core Questionnaire; FACT-BI, Functional Assessment of Cancer Therapy-Bladder; PRO, patient-reported outcome; Q2W, every 2 weeks.

Figure 2. Mean baseline values FACT-BI, EORTC QLQ-C30, and pain scores.

Abbreviations: BLCS, bladder cancer subscale; EORTC QLQ-C30, European Organisation for Research and Treatment of Cancer Quality of Life Core Questionnaire; FACT-BI, Functional Assessment of Cancer Therapy-Bladder; NR, non-responder; R, responder.

Figure 3. Mean change from baseline in FACT-BI total, FACT-BI BLCS, and FACT-BI TOI scores over time.

Error bars indicate standard deviation. Changes from baseline in scores for All Patients were analyzed descriptively at all timepoints; statistical analyses (paired *t*-test) of difference in mean FACT-BI between baseline and days 43, 57, and 113 were performed with significant *P*-values shown as **P* < .05; ***P* < .005; ****P* < .001 on the figure. Statistical analysis of responder versus nonresponders were not performed.

Abbreviations: BLCS, bladder cancer subscale; FACT-BI, Functional Assessment of Cancer Therapy-Bladder; NR, non-responder. R, responder; SD, standard deviation; TOI, trial outcome index.

Figure 4. FACT-BI total, FACT-BI BLCS, and FACT-BI TOI responses over time.

Figures show the proportion of patients reporting improvement, no change, or deterioration at each study visit. The proportion of patients with an improvement or deterioration from day 1 was assessed by using a threshold based on minimum important difference (MID) in change from baseline, defined as half baseline standard deviation (SD) for FACT-BI and as a 10-point difference for EORTC QLQ-C30. The half SD estimate for the MID is a general recommendation in HRQoL scores, Norman et al,¹⁸ while a 10-point threshold is widely accepted for EORTC QLQ questionnaires, Osoba et al and Cocks et al.^{17,19}

P-values for Fisher exact tests for Responder status x Change were calculated for all timepoints; significant *P*-values (shown as **P* < .05; ***P* < .005; ****P* < .001) indicate proportions within R and NR differ. Pairwise odd ratios were calculated to determine which group comparison (no change vs deterioration [a] or improvement versus deterioration [b] was significantly different (*P* < .05); [c] indicates that 1 of the groups had 0 patients precluding the calculation of an odds ratio and associated *P*-value.

Figure 5. Mean change from baseline in EORTC-QLQ-C30 functional scale, Global Health Status/QoL (increase indicates improvement), and symptom scale (pain and fatigue; decrease indicates improvement) scores over time.

Error bars indicate standard deviation (SD). Changes from baseline in scores for All Patients were analyzed descriptively at all timepoints; statistical analyses (paired *t*-test) of difference in mean FACT-

BI between baseline and days 43, 57, and 113 were performed with significant P -values shown as $*P < .05$; $**P < .005$; $***P < .001$ on the figure. Statistical analyses of responders versus nonresponders were not performed.

Abbreviations: EORTC QLQ-C30, European Organisation for Research and Treatment of Cancer Quality of Life Questionnaire; NR, non-responder; QoL, quality of life; R, responder.

Figure 6. EORTC QLQ-C30 total, functional, and symptom score responses over time.

Figures show the proportion of patients reporting improvement, no change, or deterioration at each study visit. The proportion of patients with an improvement or deterioration from day 1 was assessed by using a threshold based on minimum important difference (MID) in change from baseline, defined as half baseline standard deviation (SD) for FACT-BI and as a 10-point difference for EORTC QLQ-C30. The half SD estimate for the MID is a general recommendation in HRQoL scores, Norman et al,¹⁸ while a 10-point threshold is widely accepted for EORTC QLQ questionnaires, Osoba et al and Cocks et al.^{17,19}

P -values for Fisher Exact tests for Responder status x Change were calculated for all timepoints; significant P -values (shown as $*P < .05$; $**P < .005$; $***P < .001$) indicate proportions within R and NR differ. Pairwise odd ratios were calculated to determine which group comparison (no change versus deterioration [a] or improvement versus deterioration [b] was significantly different ($P < .05$); [c] indicates that 1 of the groups had 0 patients precluding the calculation of an odds ratio and associated P -value.

Figure 7. Mean change from baseline in pain questionnaire scores over time (decrease indicates improvement).