

# In the thick of it: metal-poor disc stars in RAVE

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## ABSTRACT

By selecting in the Radial Velocity Experiment-fourth data release (RAVE-DR4) survey the stars located between 1 and 2 kpc above the Galactic plane, we question the consistency of the simplest three-component model (thin disc, thick disc and halo) for the Milky Way. We confirm that the metallicity and azimuthal velocity distribution functions of the thick disc are not Gaussian. In particular, we find that the thick disc has an extended metallicity tail going at least down to  $[M/H] = -2$  dex, contributing roughly 3 per cent of the entire thick disc population and having a shorter scalelength compared to the canonical thick disc. The mean azimuthal velocity of these metal-poor stars allows us to estimate the correlation between the metallicity ( $[M/H]$ ) and the orbital velocity ( $V_\phi$ ), which is an important constraint on the formation mechanisms of the Galactic thick disc. Given our simple approach, we find  $\partial V_\phi / \partial [M/H] \approx 50 \text{ km s}^{-1} \text{ dex}^{-1}$ , which is in very good agreement with previous literature values. We complete the study with a brief discussion on the implications of the formation scenarios for the thick disc and suggest that given the above-mentioned characteristics, a thick disc mainly formed by radial migration mechanisms seems unlikely.

**Key words:** Galaxy: abundances – Galaxy: disc – Galaxy: kinematics and dynamics – Galaxy: stellar content – Galaxy: structure.

## 1 INTRODUCTION

The Galactic models and simulations of the Milky Way often separate the old stellar populations into four chemically and kinemat-

ically distinctive components, namely the thin disc, the thick disc, the halo and the bulge. Although it is rather well established that the thin disc (e.g. Antoja et al. 2011; Boeche et al. 2013), the halo (e.g. Carollo et al. 2010) and the bulge (e.g. Zoccali et al. 2008; Hill et al. 2011) can be further decomposed into subpopulations, there is still a debate whether it is the case for the thick disc (Gilmore, Wyse & Norris 2002; Wyse et al. 2006) or even if the thick disc is indeed distinct from the thin disc (Bovy, Rix & Hogg 2012a).

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The different mechanisms invoked in order to explain the origin of the Galactic thick disc imply that this structure is closely related to the formation and the evolution of the Milky Way itself (Rix & Bovy 2013). The existence of the thick disc was first suggested by Gilmore & Reid (1983), and since then a lot of effort has been made in order to measure its general properties. In the solar neighbourhood, the thick disc is thought to be composed of old (10 Gyr; Fuhrmann 2008) and relatively metal-poor stars<sup>1</sup> ( $[M/H] \sim -0.5$  dex; Bensby et al. 2007; Kordopatis et al. 2011b), enhanced in  $\alpha$ -elements compared to the thin disc stars (e.g. Mishenina et al. 2004; Bensby et al. 2005; Reddy, Lambert & Allende Prieto 2006; Adibekyan et al. 2013). In addition, the stars belonging to the thick disc are dynamically hotter than the thin disc ones, with a vertical velocity dispersion twice as big as the thin disc and a lag in the azimuthal rotation, relative to the local standard of rest (LSR) between 30 and 50 km s<sup>-1</sup> (e.g. Lee et al. 2011; Pasetto et al. 2012a).

The absence until recently of a large statistical catalogue of stars with precise proper motions, distances and atmospheric parameters (effective temperature, surface gravity and metallicity) prevented the scientific community from having important constraints concerning the tails of the distribution functions (DF) of the thick disc, for both the velocities and the metallicity. However, this information is essential, since the shape of the metallicity distribution function (MDF) would constrain the star formation history of the Galaxy (e.g. Calura et al. 2012; Pilkington et al. 2012). In particular, such information would allow us to disentangle between the different thick disc formation scenarios available in the literature (e.g. Abadi et al. 2003; Brook et al. 2004; Villalobos & Helmi 2008; Bournaud, Elmegreen & Martig 2009; Schönrich, Binney & Dehnen 2010; Loebman et al. 2011; Minchev, Chiappini & Martig 2013).

Previous studies trying to investigate the tails of the MDF were usually selecting their targets based on the stellar three-dimensional velocities, in order to associate probabilistically the stars with the thick disc (e.g. Bensby et al. 2007; Ruchti et al. 2011). In this study, we will put no constraints on the kinematics in order to achieve that goal. Using for the first time an extended catalogue of stars comprising  $5 \times 10^5$  targets, the Radial Velocity Experiment (RAVE) survey (Steinmetz et al. 2006), we investigate whether the Milky Way is consistent with being a mixture of three stellar populations, namely the thin disc, the thick disc and the halo.<sup>2</sup> Based on the fourth data release (DR4) parameters (Kordopatis et al. 2013a, K13 hereafter) and on the distances computed by Binney et al. (2013a, hereafter B13), we investigate the properties of this kinematically unbiased sample of stars by fitting Gaussians in the velocity space, which are a good *first* approximation to model the observations.

This paper is structured as follows: Section 2 presents the general sample of stars that has been considered in the present study, as well as the assumptions made in order to compute the velocities. In Section 3, we use a test subsample of stars in order to verify that our Gaussian-fitting procedure can recover the commonly admitted kinematic, metallicity and density parameters for the Milky Way. Then, in Section 4, we select the metal-poor stars of the survey and investigate their kinematic properties, in particular their link with the thick disc. Section 5 discusses the implications of an extended metal-poor tail of the thick disc, in relation with the most commonly cited thick disc formation mechanisms. Finally, Section 6 sums up.

## 2 SAMPLE SELECTION AND COMPUTATION OF THE VELOCITIES

The sample we considered for this study consists of the internal DR4, for which proper motions are a compilation of the PPMXL (Roeser, Demleitner & Schilbach 2010), Tycho-2 (Høg et al. 2000), UCAC2 (Zacharias et al. 2004), UCAC3 (Zacharias et al. 2010), UCAC4 (Zacharias et al. 2013) and SPM4 sources (Girard et al. 2011). In addition, the distances, parallaxes and extinctions have been computed using the K13 atmospheric parameters and the Bayesian distance-finding method presented in B13. This sample includes only stars with spectra for which the signal-to-noise ratio (SNR) is better than 20 pixel<sup>-1</sup>, observed before 2012. In particular, it does not include multiple entries, since when different spectra were available for the same target, the B13 distances have been computed only for the one having the highest SNR.

We removed from our analysis the spectra for which the heliocentric radial velocity uncertainties were larger than 8 km s<sup>-1</sup>, as well as the ones whose first three morphological flags do not indicate that they are normal stars (see Matijević et al. 2012 for details on how these flags are computed). Indeed, for non-normal single stars at the rest frame, the derived atmospheric parameters (and hence distances) obtained by the spectral analysis of K13 are uncertain. Furthermore, we removed the targets at low Galactic latitudes ( $|b| < 10^\circ$ ,  $\sim 9$  per cent of the stars) because the stronger inter-stellar extinction at these latitudes might bias the estimations of both the atmospheric parameters<sup>3</sup> and the derived distances. In addition, in order to have reliable orbital velocities, we removed from our sample all the stars that had estimated errors in the proper motions greater than 7 mas yr<sup>-1</sup> ( $\sim 0.3$  per cent of the stars). Finally, it should be noted that, as recommended by B13, the distance estimator that is used in this study is the parallax ( $\varpi$ ) and not the inferred distance itself, because of its higher reliability. Again, we removed the targets for which we had the worse parallax estimation. We arbitrarily set this threshold to  $\sigma_\varpi/\varpi = 0.8$ , removing 7 per cent of the sample. It should be noted that lower  $\sigma_\varpi/\varpi$  thresholds have been considered (e.g.  $\sigma_\varpi/\varpi < 0.5$ ), however, without changing significantly the results of this study, since all the analysis is done by averaging the results from 500 Monte Carlo realizations which are taking into account the individual errors (see the discussion below in this section). This rather high value  $\sigma_\varpi/\varpi$  has thus been adopted as a compromise between star counts and data quality.

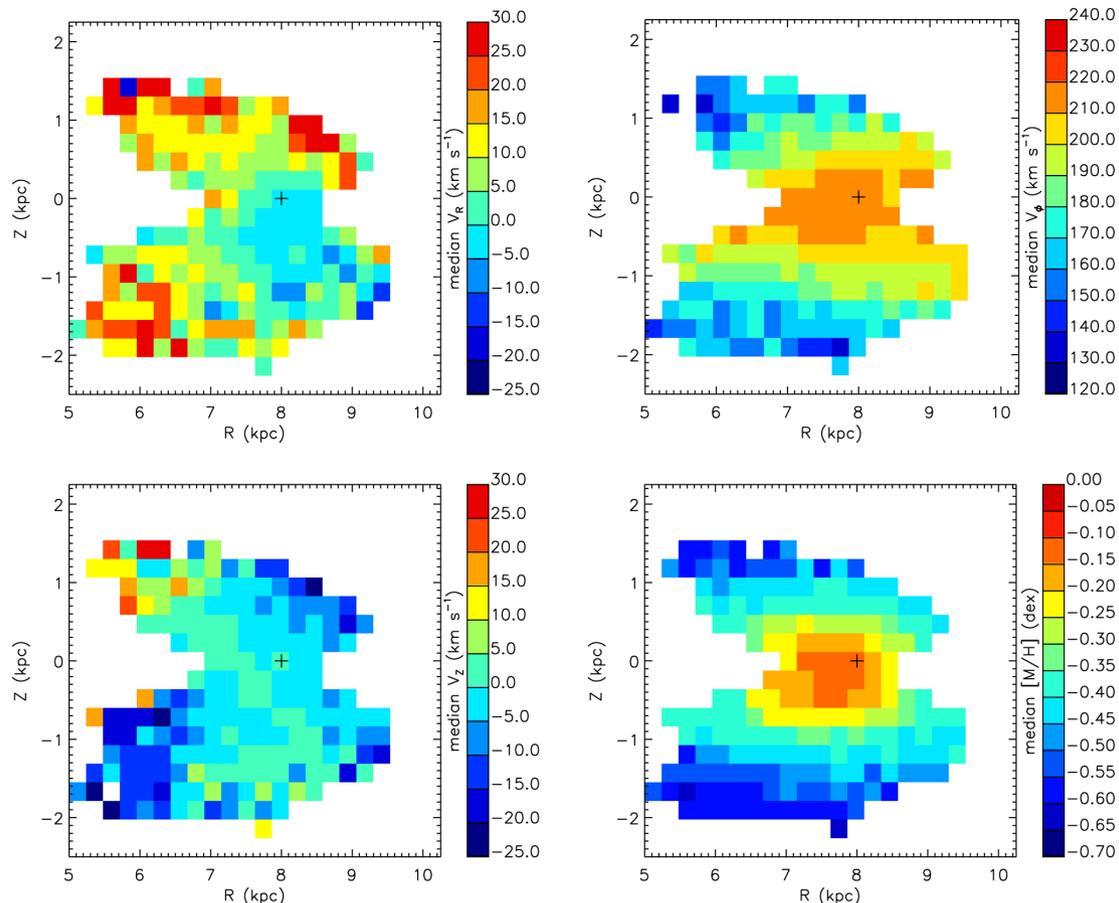
The three-dimensional Galactocentric orbital velocities (radial component  $V_R$ , azimuthal component  $V_\phi$  and vertical component  $V_Z$ ) have been computed in the cylindrical reference frame, using the equations presented in the appendix of Williams et al. (2013). We assumed  $R_\odot = 8$  kpc,  $V_{\text{LSR}} = 220$  km s<sup>-1</sup> and adopted the Sun's peculiar velocities of Schönrich et al. (2010),  $(U_\odot, V_\odot, W_\odot) = (11.10, 12.24, 7.25)$  km s<sup>-1</sup>. We note that the choice of these values rather than others (e.g. Schönrich 2012,  $U_\odot = 14$  km s<sup>-1</sup>,  $V_{\text{LSR}} = 238$  km s<sup>-1</sup>) has no particular impact on the derived conclusions of this paper other than mostly a zero-order shift. As a matter of fact, different peculiar velocities or  $V_{\text{LSR}}$  only have an influence for the closest stars (of the order of the adopted difference in the velocities), whereas for the farthest ones random errors on the distances and the proper motions are dominating.

In order to estimate the errors in the velocities, the Galactocentric positions (radial,  $R$ , and vertical,  $Z$ ) and the DF, we propagated the

<sup>1</sup> Defined the usual way by  $[M/H] = \log(M/H)_* - \log(M/H)_\odot$ , with  $M$  including all the elements heavier than He.

<sup>2</sup> The selection function of RAVE does not allow us to reach distances large enough to observe the Galactic bulge.

<sup>3</sup> K13 uses the reddened 2MASS ( $J - K_s$ ) colour in order to constrain the spectral degeneracies by restricting the parameter range in the solution space.



**Figure 1.** Median velocities and metallicities for all the RAVE stars for which distance and velocity determinations were available and fulfilling the selection criteria presented in Section 2. The bins in  $R$  and  $Z$  are 0.25 kpc and contain at least 50 stars each. The black ‘+’ sign is at  $(R_{\odot} = 8 \text{ kpc}, Z_{\odot} = 0 \text{ kpc})$ , the assumed position of the Sun throughout this study.

individual errors independently on the parallaxes, the heliocentric radial velocities and the proper motions by performing 500 Monte Carlo realizations. In addition, because of the correlation between the atmospheric parameters, and specifically between the surface gravity and the metallicity (see K13), we imposed an anticorrelation between the variations of the line-of-sight distances and the metallicity, in the sense that if the Monte Carlo realization was randomly increasing the distance, then the metallicity was randomly decreased. This allowed us to obtain error estimations for each velocity measurement, by considering the  $1\sigma$  dispersion of the realizations for a single star. Then, we excluded from the final sample the few stars that had errors larger than  $500 \text{ km s}^{-1}$  in any velocity component or  $|V_{\phi}| > 800 \text{ km s}^{-1}$ .

Fig. 1 shows maps of the median kinematic and metallicity properties in the  $R$ – $Z$  plane of the considered stars in the RAVE survey that are used in the next sections. From the plots in this figure, one can see on one hand that the median azimuthal velocity and the metallicity decrease with distance from the Galactic plane, unlike  $V_Z$  or  $V_R$  whose absolute values tend to increase with  $Z$ . In addition, evidence of the shallow radial gradient in  $V_R$  reported in Siebert et al. (2011) as well as the compression–rarefaction pattern in  $V_Z$  identified in Williams et al. (2013) using only Red Clump giants is also observed in our sample. However, these trends are considered to be secondary in our analysis and will not be discussed in what follows.

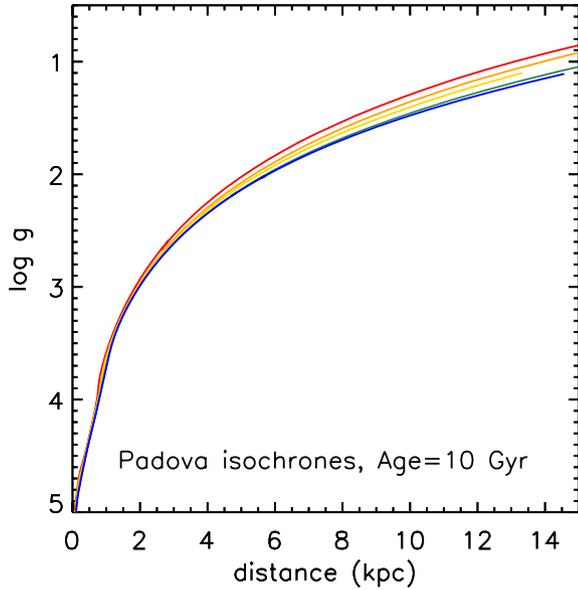
Finally, let us note that our analysis is done in a volume-limited fashion, whereas RAVE is a magnitude complete sample. As a

consequence, due to the fact that at a given colour (or effective temperature) metal-rich dwarf stars are intrinsically brighter than metal-poor dwarfs (and vice versa for the giants), biases might be introduced in our work. We used the Padova isochrones (Bressan et al. 2012) to investigate this effect. Fig. 2 shows the limiting line-of-sight distance at the RAVE-limiting apparent magnitude of  $J = 12 \text{ mag}$  (see K13), as a function of surface gravity and metallicity. For line-of-sight distances closer than 2 kpc (i.e. roughly the solar neighbourhood defined in Section 3.1), no significant biases are introduced, whereas for the farthest distances, metal-poor stars might be overrepresented. Nevertheless, as can be seen from Fig. 2, this effect should not alter significantly our analysis results.

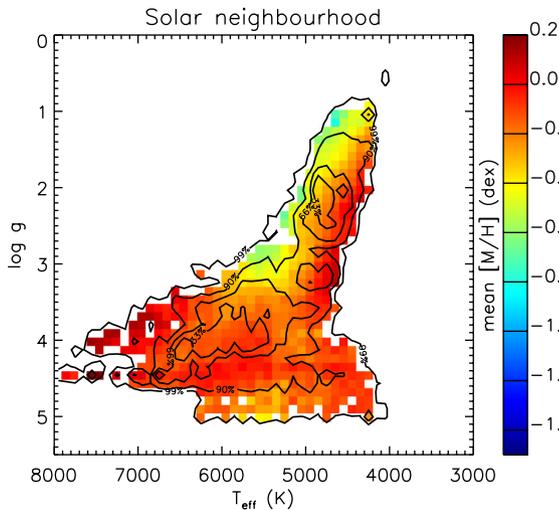
### 3 CHARACTERIZATION OF THE RAVE SAMPLE IN THE SOLAR CYLINDER

#### 3.1 The solar neighbourhood

As a start, we select the stars that are in the solar cylinder ( $7.5 < R < 8.5 \text{ kpc}$ , 132 012 stars) to try and model the metallicity and the velocity distributions. Therefore, this sample includes the stars that have been the most studied in past spectroscopic and photometric surveys (e.g., Nordström et al. 2004; Jurić et al. 2008), and it constitutes a volume inside which the properties of the Galactic components are relatively well established. Fig. 3 shows the Hertzsprung–Russell (HR) diagram of the selected stars, colour coded with the mean metallicity of the targets at different



**Figure 2.** Maximum observable line-of-sight distance as a function of surface gravity for stars with age = 10 Gyr and for metallicity values of 0.0 (red curve),  $-0.5$  (orange),  $-1.0$  (yellow),  $-1.5$  (green) and  $-2.0$  dex (blue), respectively. The considered isochrones are the ones of the Padova group, and the assumed limiting magnitude is  $J = 12$  mag.



**Figure 3.** Mean metallicity across the HR diagram of the selected stars in the solar neighbourhood (see Section 3.1). The isocontour lines contain 33, 66, 90 and 99 per cent of the total selected sample. No particular selection biases are observed in our selection.

combinations of effective temperature and surface gravity. One can see from this figure that the local sample does not suffer from any particular biases in metallicity (we find the same mean metallicity at all surface gravities), as already discussed in Section 2.

Using a Truncated-Newton method (Dembo & Steihaug 1983), we maximized for each Monte Carlo realization the likelihood functions,  $\mathcal{L}_\theta$ , of the unbinned distributions of a given  $\theta$  parameter ( $\theta \equiv V_R, V_\phi, V_Z, [M/H]$ ), defined as

$$\mathcal{L}_\theta(\rho_1, \mu_1, \sigma_1, \rho_2, \mu_2, \sigma_2, \rho_3, \mu_3, \sigma_3) = \prod_{i=1}^N \sum_{t=1}^3 \frac{\rho_t}{\sigma_t \sqrt{2\pi}} \times e^{-\frac{(\theta_i - \mu_t)^2}{2\sigma_t^2}}, \quad (1)$$

where  $t$  is one of the Galactic populations (thin disc, thick disc, halo),  $\rho$  is the relative weight of each population ( $\rho_1 + \rho_2 + \rho_3 = 1$ ),  $\mu$  and  $\sigma$  are the means and dispersions of the Gaussian distributions,  $i$  is a given measurement of the  $\theta$  parameter and  $N$  is the total number of stars.

Due to the large number of parameters that we want to fit, initial conditions for the maximization method have to be given. These have been taken from our a priori expectation of the stellar populations characteristics in the solar neighbourhood as commonly found in the more simplistic Galactic models in the literature. In particular, the means ( $\mu$ ), dispersions ( $\sigma$ ) and normalizations of the Gaussian priors for each population are as follows.

(i) For the (old) thin disc:  $(\mu_{[M/H]}, \sigma_{[M/H]}) \approx (-0.1, 0.2)$  dex,  $(\mu_{V_\phi}, \sigma_{V_\phi}) \approx (210, 25)$  km s $^{-1}$ ,  $(\mu_{V_R}, \sigma_{V_R}) \approx (0, \sqrt{2}\sigma_{V_\phi})$  km s $^{-1}$ ,  $(\mu_{V_Z}, \sigma_{V_Z}) \approx (0, \sigma_{V_\phi})$  km s $^{-1}$  (e.g. Robin et al. 2003). We suppose that this population is the one dominating the sample, with roughly 85 per cent of the total number of stars (e.g. Jurić et al. 2008).

(ii) For the canonical thick disc:  $(\mu_{[M/H]}, \sigma_{[M/H]}) \approx (-0.5, 0.3)$  dex and  $(\mu_{V_\phi}, \sigma_{V_\phi}) = (180, 50)$  km s $^{-1}$ ,  $(\mu_{V_R}, \sigma_{V_R}) \approx (0, \sqrt{2}\sigma_{V_\phi})$  km s $^{-1}$ ,  $(\mu_{V_Z}, \sigma_{V_Z}) \approx (0, \sigma_{V_\phi})$  km s $^{-1}$ . The initial guess for the density of the thick disc is 10 per cent of the selected sample (e.g. Soubiran, Bienaymé & Siebert 2003).

(iii) For the stellar halo:  $(\mu_{[M/H]}, \sigma_{[M/H]}) \approx (-1.5, 0.5)$  dex,  $(\mu_{V_\phi}, \sigma_{V_\phi}) = (0, 100)$  km s $^{-1}$ ,  $(\mu_{V_R}, \sigma_{V_R}) \approx (0, \sqrt{2}\sigma_{V_\phi})$  km s $^{-1}$ ,  $(\mu_{V_Z}, \sigma_{V_Z}) \approx (0, \sigma_{V_\phi})$  km s $^{-1}$  (e.g. Chiba & Beers 2000).

As can be seen from the above priors, for all the stellar populations  $\sigma_{V_R}/\sqrt{2} \approx \sigma_{V_\phi} \approx \sigma_{V_Z}$  (e.g. Rix & Bovy 2013, and references therein, and also see Binney 2012 for possible deviations from this relation for thick disc stars). The most likely model is found by letting the mean velocities to vary by  $\pm 30$  km s $^{-1}$  from the above-mentioned mean values and letting the dispersions vary by  $\pm 10, 20$  and  $30$  km s $^{-1}$  for the thin, thick and halo, respectively. The mean metallicities are left to vary by  $\pm 0.1$  dex for the thin and thick disc, and  $\pm 0.3$  dex for the halo; the most likely dispersions are explored by varying by  $0.1$  dex their above-mentioned metallicity dispersions. Finally, the relative density of the populations is left to vary freely.

We note that by averaging over 500 Monte Carlo realizations, we convolve the data with the errors for a second time, since the raw velocities and metallicities include already measurement errors. This leads to distributions that are artificially broader than in reality, however, without affecting their means (as long as the true error measurement distribution is close to Gaussian). For a given stellar population, the corrected dispersion,  $\sigma_\theta$ , associated with a Gaussian distribution of a  $\theta$  parameter, is obtained by quadratically subtracting the average measurement error  $e_\theta$  of the stars, as follows:

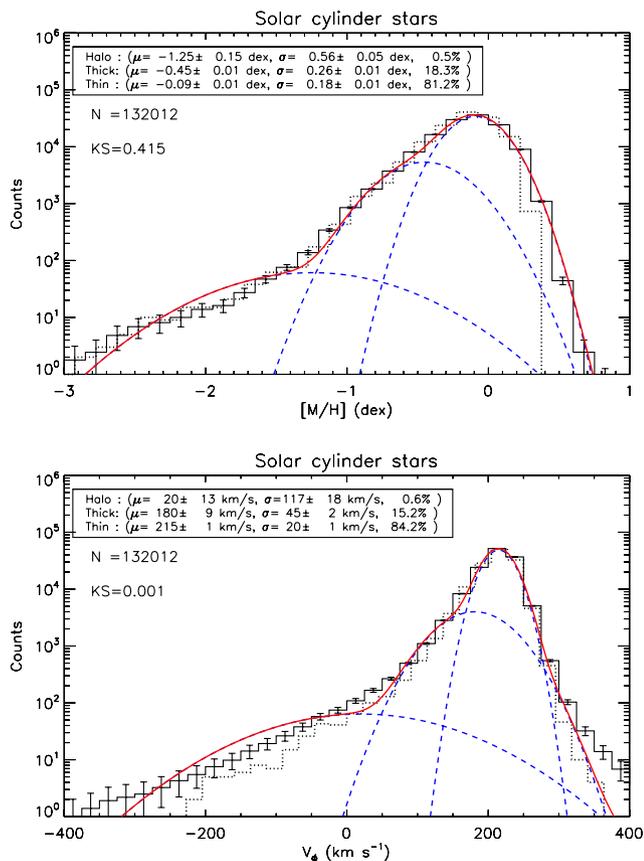
$$\sigma_\theta = \sqrt{\sigma_{\theta,0}^2 - 2 \times e_\theta^2}, \quad (2)$$

where  $\sigma_{\theta,0}$  is the derived velocity dispersion of the parameter  $\theta$  and the factor 2 comes from the fact that the errors are accounted twice. Nevertheless, because we do not assign each star to one of the Galactic components (and thus do not have an estimation of  $e_\theta$ ) the corrected dispersions of the Galactic components will only be derived and discussed in Section 4.5.

Table 1 and Fig. 4 summarize and illustrate the means, the dispersions and the normalization values of each population and for each DF, once averaged over the 500 Monte Carlo realizations. Though the fits are not perfect (due to the fact that the DF are not truly Gaussians in reality, see for example Sharma et al.

**Table 1.** Means, dispersions and normalizations of the measured distributions functions for the solar neighbourhood sample.

Galactic component	$V_R$ (km s $^{-1}$ )	$V_\phi$ (km s $^{-1}$ )	$V_Z$ (km s $^{-1}$ )	$\sigma_{V_R}$ (km s $^{-1}$ )	$\sigma_{V_\phi}$ (km s $^{-1}$ )	$\sigma_{V_Z}$ (km s $^{-1}$ )	$[M/H]$ (dex)	$\sigma_{[M/H]}$ (dex)	$N_{V_R}$ (per cent)	$N_{V_\phi}$ (per cent)	$N_{V_Z}$ (per cent)	$N_{[M/H]}$ (per cent)
Thin disc	$-2 \pm 1$	$215 \pm 1$	$0 \pm 1$	$30 \pm 1$	$20 \pm 1$	$18 \pm 1$	$-0.09 \pm 0.01$	$0.18 \pm 0.01$	74	84	86	81
Thick disc	$2 \pm 1$	$180 \pm 9$	$-4 \pm 2$	$61 \pm 3$	$45 \pm 2$	$44 \pm 3$	$-0.45 \pm 0.01$	$0.26 \pm 0.01$	24	15	12	18
Halo	$7 \pm 4$	$15 \pm 14$	$-7 \pm 3$	$160 \pm 19$	$119 \pm 19$	$110 \pm 12$	$-1.25 \pm 0.15$	$0.56 \pm 0.05$	2	1	2	1



**Figure 4.** Probability DF in a logarithmic scale for all the stars in the solar neighbourhood, for the metallicities (upper panel, bin size = 0.15 dex) and the azimuthal velocity (lower panel, bin size = 30 km s $^{-1}$ ). The dotted-line histograms represent the actual data, whereas the plain-line histogram is averaged over 500 Monte Carlo realizations. The error bars in the histograms correspond to Poisson noise ( $\sqrt{N}$  in each bin). The individual Gaussians, corresponding to the thin disc, thick disc and stellar halo, derived from our maximum-likelihood procedure are represented in dashed blue lines. Their means ( $\mu$ ), dispersions ( $\sigma$ ) and relative weight (in per cent) are indicated in the upper-left box. The sum of the Gaussians is plotted as a solid red line. Finally, the KS-test of compatibility between the averaged observations and the averaged model is also indicated.

2013), the Kolmogorov–Smirnov probabilities (referred to as KS-test hereafter), measured in the ranges  $-3 < [M/H] < +0.2$  dex and  $-300 < V_\phi < +400$  km s $^{-1}$  (see the discussion below), are fairly consistent with the null hypothesis. In particular, we note that we find a thick disc lagging the LSR by  $\sim 40$  km s $^{-1}$  and a slightly prograde halo, most likely affected by the non-Gaussian velocity distribution tail of the discs extending to low  $V_\phi$  values (see for example Schönrich, Asplund & Casagrande 2011).

Depending on which distribution we fit, the total ratio of thin disc stars is between 74 and 86 per cent, the one of the thick disc is between 12 and 24 per cent, and for the halo it is between 1

and 2 per cent. Furthermore, these results are in agreement with the measured values of Williams et al. (2013), obtained using only the red clump giant stars of the sample (see their fig. 15). We recall that the individual measurement errors on the velocities or the metallicities have not been subtracted from the found fits, which results in systematically larger dispersions than the values found in reality (i.e. values larger by  $\sim 0.05$ – $0.1$  dex for the metallicities and  $\sim 5$ – $10$  km s $^{-1}$  for the velocities, see Section 4.5). In addition, we highlight that not necessarily the same stars are associated with the different Galactic populations when considering the different velocity components or the metallicity. Nevertheless, this is not an issue in this work, as we do not wish to assign a membership probability to each star, but rather investigate the shape of the DF that best-fitted the data.

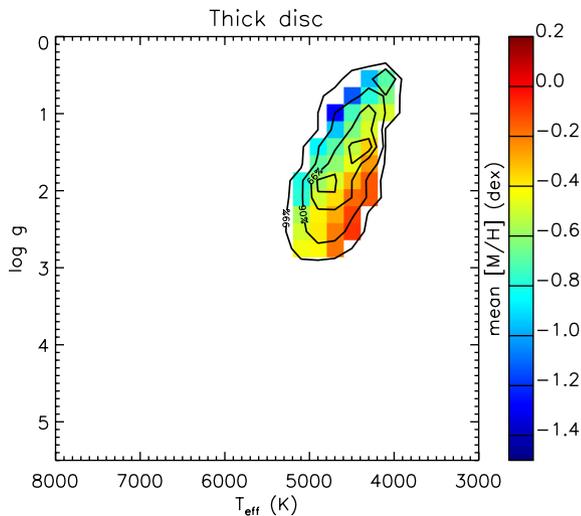
Furthermore, the adopted Gaussians overpredict the star counts above  $[M/H] > +0.2$  dex. Nevertheless, it is difficult and beyond the scope of this paper to state if this overprediction is true or due to the calibration relation adopted in K13. Indeed, we recall that the RAVE-DR4 metallicities are skewed at supersolar abundances. This is due to the fact that very few reliable data sets are available in order to calibrate the high-metallicity end of the RAVE-DR4 parameters (the calibrating sample with the highest metallicity corresponds to six spectra of the open cluster IC 4651, at  $[M/H] \sim +0.10$  dex; see K13).

Finally, we also note that we fail to reproduce the DF for the stars having  $V_\phi \gtrsim 350$  km s $^{-1}$ . These stars, for which we find unusually high velocities, are most likely a result of overpredictions of the inferred distances (e.g. undetected binaries affecting both the apparent magnitude and the radial velocity of the stars or wrong extinction model to compute the distances). Indeed, tests have shown that decreasing the adopted distances by 15 per cent was affecting that high-velocity tail, by reducing the number of these stars and hence achieving an agreement, within the error bars, between the model Gaussians and the observations.

### 3.2 The Galactic thick disc in the solar cylinder

We continued our analysis by selecting only the stars lying at  $Z$ -distances between 1 and 2 kpc far from the Galactic plane, at the same radial range as the previous sample ( $7.5 < R < 8.5$  kpc). According to Galactic models like the one of Besançon (Robin et al. 2003), such a selection should result in a majority of thick disc stars, with roughly 10 per cent of stars belonging to the thin disc and 10 per cent to the halo. In addition, the small range in  $Z$  minimizes the effect of the vertical metallicity gradients of  $\partial[M/H]/\partial Z \approx -0.1$  dex kpc $^{-1}$  that can possibly be present in the thick disc (Ruchti et al. 2010; Katz et al. 2011; Kordopatis et al. 2011b, 2013b). The HR diagram of the selected sample is illustrated in Fig. 5 and shows that the probed targets are mainly giant stars. The resulting metallicity and velocity histograms are shown in Fig. 6.

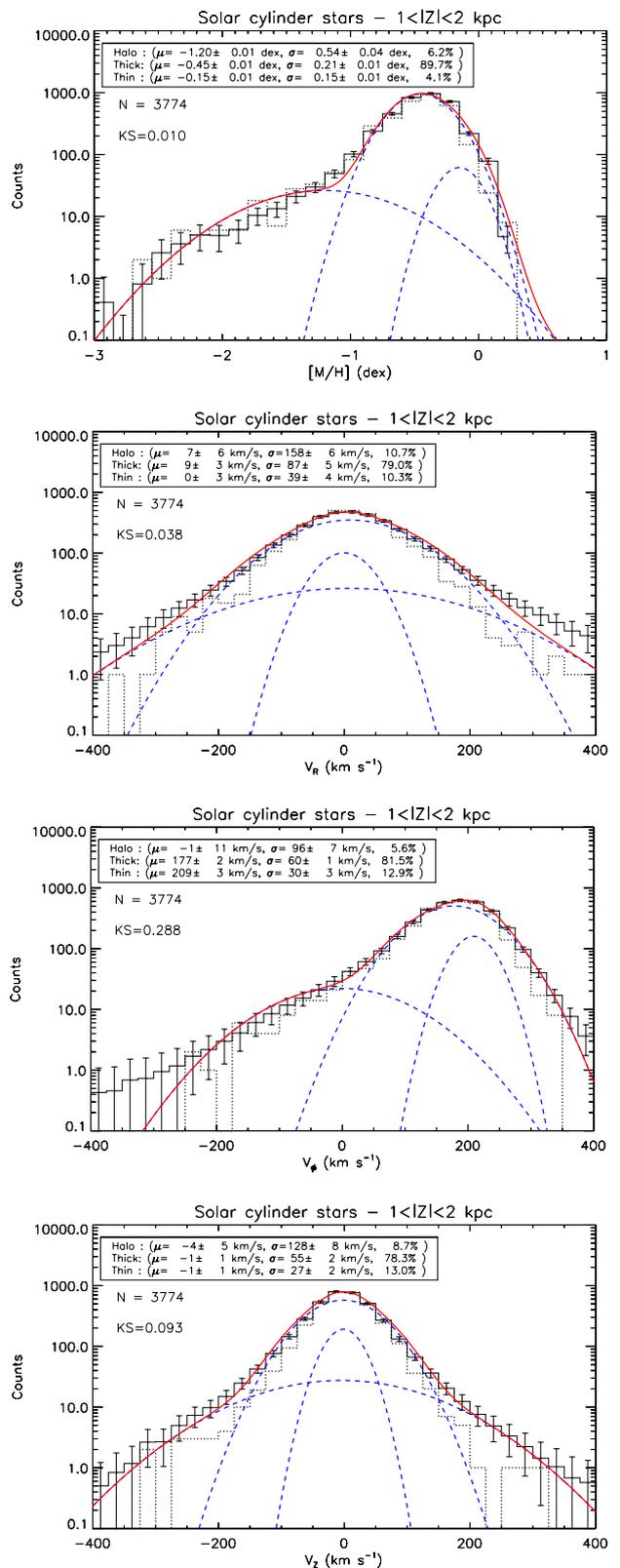
The mean fitted values summarized in Table 2 indeed confirm that the thick disc is the dominant population of the selected subsample,



**Figure 5.** Mean metallicity across the HR diagram of the selected stars in the solar cylinder at distances from the Galactic plane within  $1 < |Z| < 2$  kpc (see Section 3.2). The isocontour lines contain 33, 66, 90 and 99 per cent of the total selected sample.

representing  $\sim 80$  per cent of the total number of stars. In addition, for each Galactic component, the mean azimuthal velocity and the metallicity values are compatible with the ones determined from the entire sample (see Table 1 and Fig. 4), showing no indication of any vertical gradient on the intrinsic chemodynamical properties of the thick disc. We note, however, that the velocity dispersions of the thin and thick discs are higher now by roughly  $20\text{--}30\text{ km s}^{-1}$ . This reflects an astrophysical behaviour (stars at higher altitude have larger dispersions; see Williams et al. 2013), but is also indicative of the larger errors in the individual velocity measurements, which tend to naturally increase the intrinsic dispersions. Despite these differences, the overall agreement shows the consistency of our approach: as a first approximation, the velocity DFs as well as the MDFs can be modelled as simple Gaussians. Finally, the absence of any significant change in the means of distributions that is found in this study is in agreement with that of Kordopatis et al. (2011b, 2013b), who claimed that the measured vertical gradients in the solar cylinder are likely due to the change in the ratio of the Galactic populations with distance from the plane.

It should be noted at this stage that we also investigated the possibility of having a bias in the distances and hence in the velocities, due to the spectroscopic degeneracy around the calcium triplet, i.e. the wavelength range of the RAVE spectra. This degeneracy correlates the atmospheric parameters in a way that, along the giant branch, the spectrum of a star having a specific  $T_{\text{eff}}$ ,  $\log g$  and  $[M/H]$  can be similar to another having a simultaneously higher or lower effective temperature, surface gravity and metallicity (see Kordopatis et al. 2011a). The correlation between metallicity and surface gravity is of the order of 1:2, i.e. a decrease of 0.2 in  $\log g$  and 0.1 dex in  $[M/H]$  is needed in order to find identical spectra (see K13). It is the (stochastic) position of the noise in the spectra that will determine the way the derivation of the atmospheric parameters (and thus the distances) are going to be affected. Tests in the derivation of the parallax by changing the input atmospheric parameters by the above-mentioned values have shown that the distances are expected to increase (decrease) by roughly 5 per cent when decreasing (increasing) the surface gravity. However, these changes cannot be considered as systematic, and thus, we do not



**Figure 6.** Metallicity ( $[M/H]$ ), orbital Galactocentric radial velocity ( $V_R$ ), orbital azimuthal velocity ( $V_\phi$ ) and orbital vertical velocity ( $V_z$ ) distributions in the solar cylinder for all the stars between 1 kpc and 2 kpc away from the Galactic plane. The colour coding is the same as in Fig. 4.

**Table 2.** Means, dispersions and normalizations of the measured distributions functions for the solar cylinder sample at  $1 < |Z| < 2$  kpc.

Galactic component	$V_R$ (km s <sup>-1</sup> )	$V_\phi$ (km s <sup>-1</sup> )	$V_Z$ (km s <sup>-1</sup> )	$\sigma_{V_R}$ (km s <sup>-1</sup> )	$\sigma_{V_\phi}$ (km s <sup>-1</sup> )	$\sigma_{V_Z}$ (km s <sup>-1</sup> )	$[M/H]$ (dex)	$\sigma_{[M/H]}$ (dex)	$N_{V_R}$ (per cent)	$N_{V_\phi}$ (per cent)	$N_{V_Z}$ (per cent)	$N_{[M/H]}$ (per cent)
Thin disc	$0 \pm 3$	$209 \pm 3$	$-1 \pm 1$	$39 \pm 4$	$30 \pm 3$	$27 \pm 2$	$-0.15 \pm 0.01$	$0.15 \pm 0.01$	10	13	13	4
Thick disc	$9 \pm 3$	$177 \pm 2$	$-1 \pm 1$	$87 \pm 5$	$60 \pm 1$	$55 \pm 2$	$-0.45 \pm 0.01$	$0.21 \pm 0.01$	79	81	78	89
Halo	$7 \pm 6$	$-1 \pm 11$	$-4 \pm 5$	$158 \pm 6$	$96 \pm 7$	$128 \pm 8$	$-1.20 \pm 0.01$	$0.54 \pm 0.04$	11	6	9	6

expect any major influence of this effect on our sample selection and thus on its derived properties.

Fig. 7 illustrates the correlations between the metallicity and the velocities for the stellar sample considered to be thick disc dominated ( $7.5 < R < 8.5$  kpc,  $1 < |Z| < 2$  kpc). On one hand, the radial and vertical velocity components (top and bottom panels) show no particular correlations with metallicity. On the other hand, as far as the azimuthal velocity is concerned (middle panel), we find: (i) no correlation for velocities larger than the thick disc lag ( $V_\phi \gtrsim 180$  km s<sup>-1</sup>), (ii) a positive slope for  $V_\phi$  smaller than 180 km s<sup>-1</sup> and (iii) a flat trend for the counter-rotating stars.

The interpretation of the above three regimes can be done in the following way: the thick disc has an intrinsic correlation between metallicity and  $V_\phi$  of the order of 40–50 km s<sup>-1</sup> dex<sup>-1</sup>, which is at the origin of the slope seen at  $-1 < [M/H] < -0.5$  dex and  $0 < V_\phi < 180$  km s<sup>-1</sup>. At higher velocities, the increasing proportion of the thin disc stars leads to the flat trend that is observed. Indeed, Lee et al. (2011) have shown that there is an anticorrelation between the azimuthal velocity and the metallicity of the order of  $-30$  km s<sup>-1</sup> dex<sup>-1</sup> (see their fig. 7) for the thin disc stars. The combination of these two opposite correlations causes the flat trend that is seen in Fig. 7. Towards azimuthal velocities lower than 150 km s<sup>-1</sup>, the proportion of the halo stars is increasing, which tends to lower the mean metallicity, and hence increases the slope. Finally, the flat trend for the counter-rotating stars is due to the fact that the majority of these stars belong to the halo, which is not expected to have any correlation between its kinematics and its chemistry.

Fig. 8 confirms the validity of our above-stated interpretation. In this plot, we have simulated the chemodynamical properties of  $10^5$  stars. We have modelled the thin disc, the thick disc and the halo as having Gaussian metallicity and azimuthal velocity DF. The halo has a metallicity independent of its velocity, the thin disc a correlation of  $-30$  km s<sup>-1</sup> dex<sup>-1</sup> and the thick disc a correlation of  $40$  km s<sup>-1</sup> dex<sup>-1</sup>. The mean metallicity of the halo is set at  $-1$  dex to match the mean metallicity of the counter-rotating stars (see Section 3.3), the mean metallicity of the thick disc is  $-0.5$  dex and the one of the thin disc is  $-0.10$  dex. The relative proportions of each population are the ones found in Table 2. One can see from that plot that the shape of the trend of the mean metallicities for velocities lower than 180 km s<sup>-1</sup> reproduces the observations well (red curve in Fig. 8). For the *thin-disc-like* velocities ( $180 < V_\phi \lesssim 270$  km s<sup>-1</sup>), we can reproduce the flat trend, although with a slight offset in the mean metallicities. More interestingly, we find disagreement between the model and the observations for  $V_\phi \gtrsim 270$  km s<sup>-1</sup>. On the one hand, the origin of the disagreement with the observations is due to the fact that the assumed velocity distributions are Gaussian, whereas in reality they are skewed, and thus not many stars should have these velocities, unless their velocity errors are large, like it is discussed in Section 3.1.

Fig. 8 also indicates that the relatively large number of low-angular-momentum ( $V_\phi < 100$  km s<sup>-1</sup>) and high-metallicity ( $[M/H] > -1$  dex) stars that is revealed in the middle panel of Fig. 7 is likely due to large errors on both the metallicity and the

velocity. However, we pursued the investigation in order to learn whether these stars are real, or due to uncertainties on the distances or proper motions. The Binney et al. (2013b) analysis of RAVE stellar kinematics found that the most distant stars could presumably suffer from distance biases up to 20 per cent. For that reason, we investigated possible biases much larger than the possibly estimated one, and we modified the distances of the stars having  $V_\phi < 100$  km s<sup>-1</sup> by  $\pm 50$  per cent and ran once more the Monte Carlo simulations. Fig. 9 shows that the resulting star counts are not much altered for the highest metallicities ( $[M/H] > -0.25$  dex), whereas they change by an order of magnitude for the lower metallicities. This behaviour suggests that the counter-rotating metal-rich stars are due to large uncertainties on either the distances or the proper motions, whereas the low-angular-momentum stars with the intermediate metallicities should be real, as long as the distances have no significant biases (see B13).

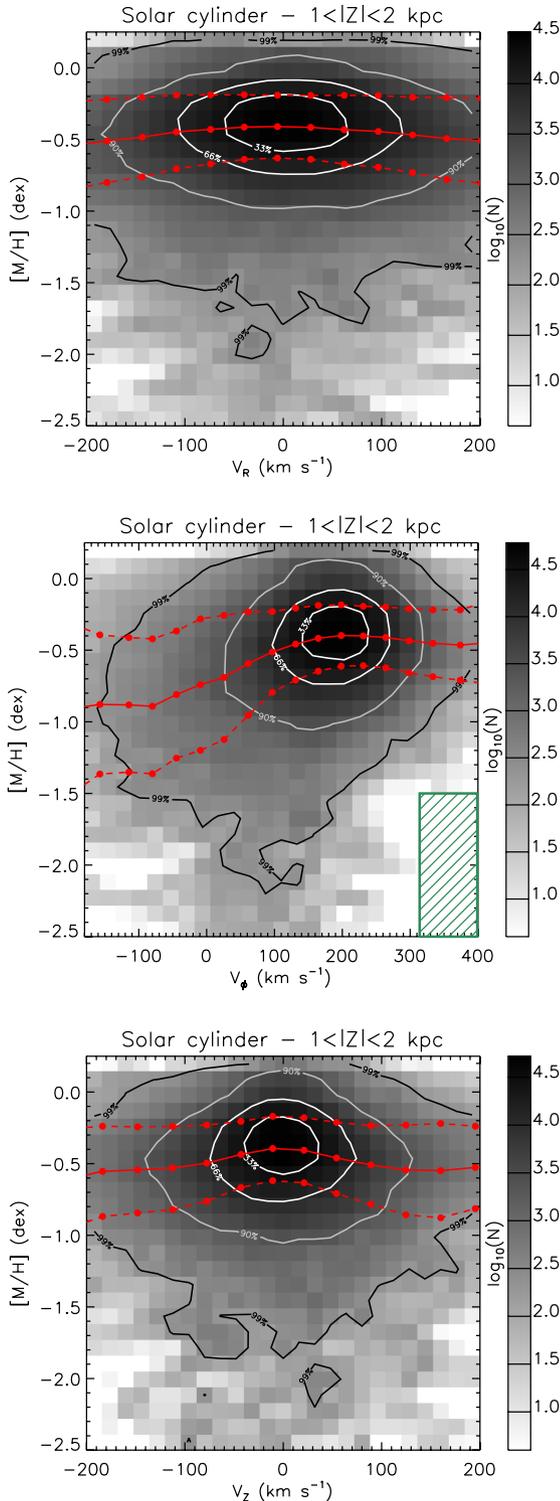
Finally, one can also notice in Fig. 7 that the azimuthal velocity distribution for the lower metallicity stars is not centred at 0 km s<sup>-1</sup>, as expected for a halo-dominated population (see Fig. 8). This feature is robust to distance biases up to 40–50 per cent and, as we will see in Section 4, is due to the existence of a metal-weak thick disc.

### 3.3 Verification of the parameters on the halo stars

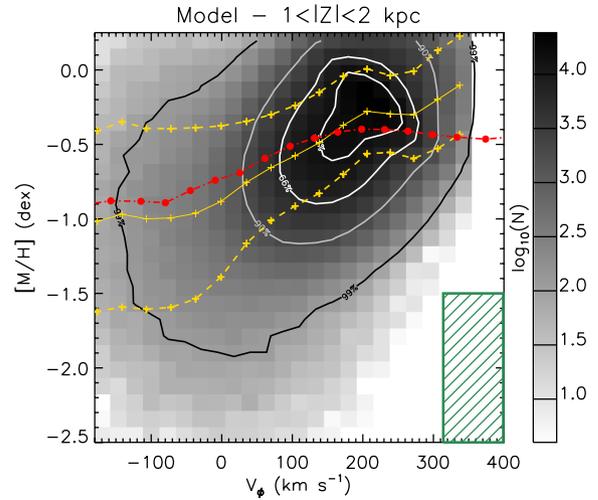
We further investigate the robustness of our methodology by testing the found parameters for the halo stars. It is recalled that the halo stars form a pressure-supported population, with a mean azimuthal motion close to zero and a large  $V_\phi$  dispersion. In addition, they are thought to be the most metal-poor stars in the Galaxy ( $\mu_{[M/H]} \approx -1.2$  dex; e.g. Carollo et al. 2010).

In order to explore the properties of this population, we select all the counter-rotating stars in the solar neighbourhood ( $7.5 < R < 8.5$  kpc), since almost only halo stars are thought to have these characteristics. Indeed, as we can see from Fig. 6, considering a canonical thick disc, having a lag of 50 km s<sup>-1</sup> and a velocity DF modelled as a single Gaussian with  $\sigma_{V_\phi} = 60$  km s<sup>-1</sup> (which, as we saw above, is an overestimation of the dispersion), then we expect the contamination of thick disc stars in our counter-rotating stellar sample to be less than 0.2 per cent. In addition, in order to improve our selection, we restrict the target selection to  $1 < |Z| < 2$  kpc above the plane. The lower boundary prevents us from including potential thin disc stars which have severely underestimated velocity errors, and the upper Z boundary helps minimizing any Malmquist bias which could affect stars at large distances (however, we note that this bias should still be existent, to some extent, since the selection should be done on the line-of-sight distance rather than the Z-distance).

Statistically, over the 500 Monte Carlo realizations there are 107 counter-rotating stars in the solar cylinder sample (Fig. 10). Their mean metallicity is  $\approx -0.9$  dex, which is consistent with a halo-dominated population, though this value is higher compared to the mean literature value or the one that we find when fitting the entire data set (see Tables 1 and 2). The most metal-rich halo star selected



**Figure 7.** Correlation between the Galactic radial ( $V_R$ , top panel), azimuthal ( $V_\phi$ , middle panel) and vertical ( $V_z$ , bottom panel) velocities, and the metallicity,  $[M/H]$ , for the stars in the solar cylinder and  $1 < |Z| < 2$  kpc. The grey-scale colour coding represents the logarithmic star-count per bin of  $0.1 \text{ dex} \times 20 \text{ km s}^{-1}$  after 500 Monte Carlo realizations. The isocontour lines contain 33, 66, 90 and 99 per cent of the considered sample. The plain red lines represent the median metallicity values at different velocity values (constantly spaced by  $30 \text{ km s}^{-1}$ ), and the dashed lines are the associated dispersions. The dashed box at low metallicity and  $V_\phi > 310 \text{ km s}^{-1}$  represents the local escape velocity for an isotropic population, assuming  $V_{\text{esc}} = 544 \text{ km s}^{-1}$ .



**Figure 8.** Same as the middle panel of Fig. 7, but for our simple three-component Gaussian model. The red curve represents the result obtained from the observations, i.e. the one from the middle panel of Fig. 7.

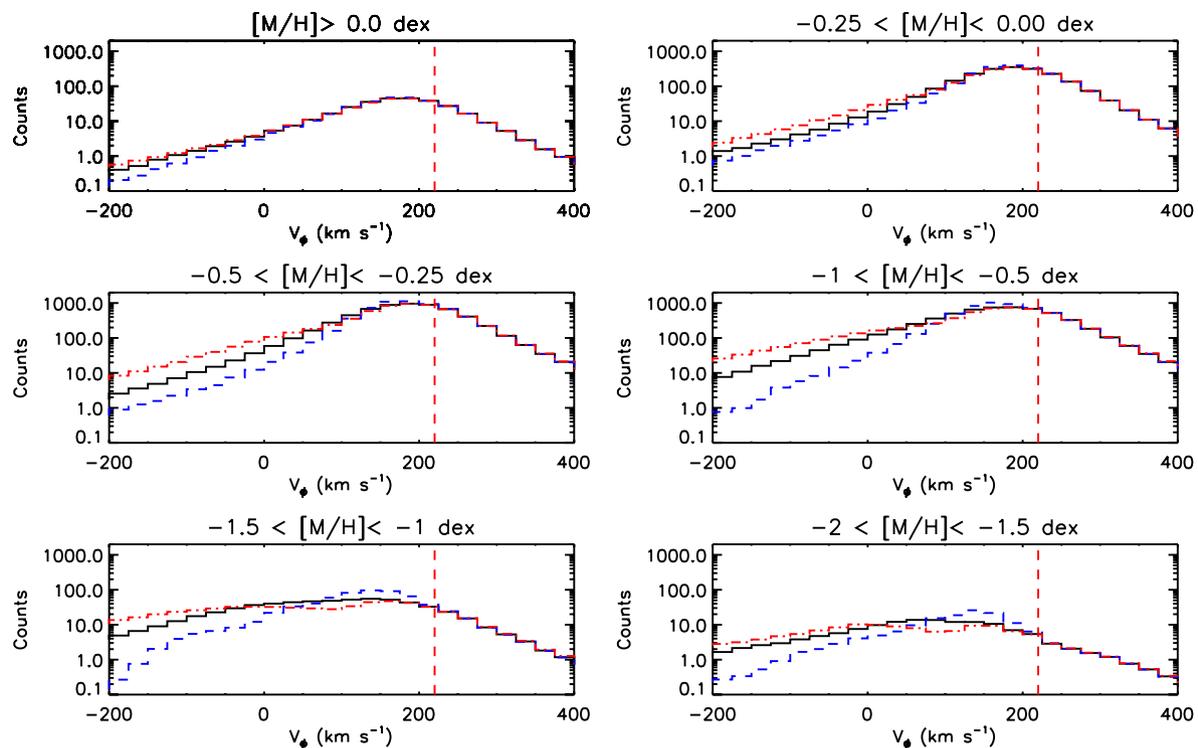
by the raw measurements has  $[M/H] = -0.3$  dex (dotted histogram of Fig. 10); however, this metal-rich boundary value is higher when considering the Monte Carlo realizations, due to the (Gaussian) scatter caused by the uncertainties in the metallicity measurements and the fact that the raw metallicities of RAVE DR4 are slightly discretized (see K13). This result is consistent with the one obtained in the end of Section 3.2, where it has been shown that the observed low-angular-momentum metal-rich stars are due to the uncertainties on the distances and/or the proper motions. More interestingly, by considering that the azimuthal velocity DF of the halo stars is even and centred on  $0 \text{ km s}^{-1}$ , then this implies that our sample has potentially 214 halo stars, representing  $\sim 6$  per cent of the sample in the solar cylinder. Once again, this number is fully consistent with the results of Table 2.

#### 4 KINEMATIC PROPERTIES OF THE METAL-POOR TAIL OF RAVE

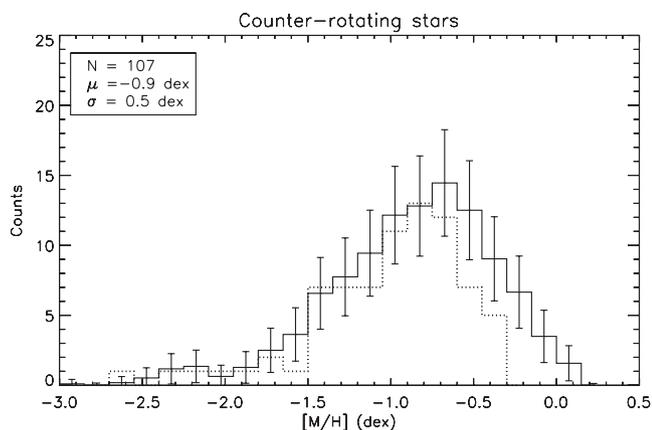
We now select the metal-poor stars having  $[M/H] < -1.5$  dex, independently of their radial position, but being located between 1 and 2 kpc from the Galactic plane. The considered cuts in metallicity and positions ensure us that the thin disc is in practice non-existent in our sample, so there is no need to model it.

Statistically, less than 250 stars fulfil our selection criteria. They are giants (see Fig. 11), mostly spread in the inner Galaxy and in majority towards the southern Galactic cap (see Fig. 12). The DF of these metal-poor stars decreases exponentially (Fig. 13), as it is expected for a single Gaussian distribution associated with the stellar halo, centred at  $\mu_{[M/H]} \sim -1.2$  dex with a standard deviation of 0.6 dex. In addition, it is worth mentioning that, as one can see from Fig. 13, the MDF of the thick disc, modelled as a simple Gaussian centred at  $[M/H] \sim -0.5$  dex and  $\sigma_{[M/H]} \sim 0.25$  dex, is not expected to reach such low metallicities (less than 0.004 per cent of the thick disc stars<sup>4</sup>).

<sup>4</sup> The proportion of counter-rotating thick disc stars rises up to 0.14 per cent if we consider a Gaussian distribution with  $(\mu_{[M/H]}, \sigma_{[M/H]}) = (-0.6, 0.3)$  dex.



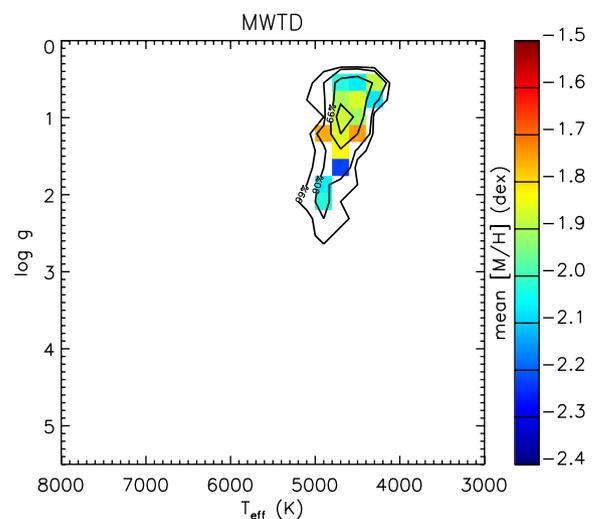
**Figure 9.** Azimuthal velocity histograms for different metallicity bins and for the stars being between 1 and 2 kpc above the Galactic plane. The counts are averaged on the total of the 500 Monte Carlo simulations. The black histograms represent the result obtained with the actual derived distances, whereas the blue and red ones are the results obtained with distances modified by  $-50$  and  $+50$  per cent, respectively, for the stars with  $V_\phi < 100 \text{ km s}^{-1}$  (which is an overestimation of the possible distance bias of 20 per cent for the giant stars; see Binney et al. 2013b). The vertical red dashed line is positioned at  $V_{\text{LSR}} = 220 \text{ km s}^{-1}$ .



**Figure 10.** MDF of the counter-rotating stars in the solar cylinder, at  $1 < |Z| < 2 \text{ kpc}$ . The dashed histogram represents the MDF of the raw measurement, whereas the plain histogram the averaged MDF obtained after 500 Monte Carlo realizations. The total number of selected stars averaged over the Monte Carlo simulations, their mean and standard deviation are shown in the upper-left corner of the figure.

#### 4.1 Azimuthal velocity distribution function: a metal-weak thick disc?

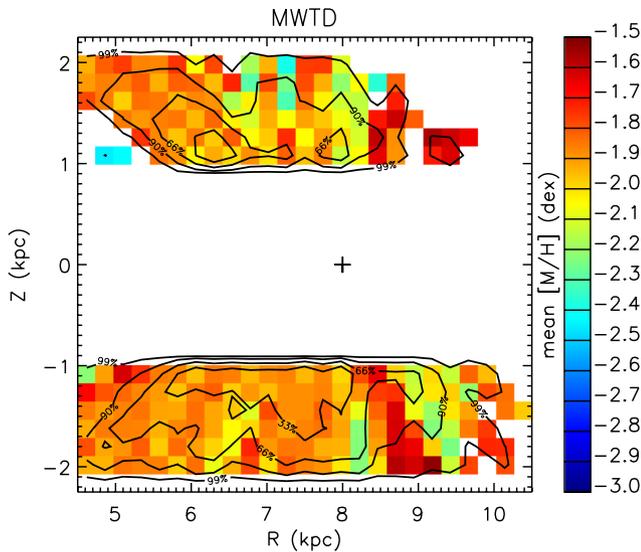
Based on the metallicity cuts that have been applied for the target selection (Figs 12 and 13), if the DF of the thick disc were Gaussians, then the properties of the azimuthal velocities of the selected stars would be expected to be the ones of the halo, i.e.  $V_\phi \sim 0 \text{ km s}^{-1}$ . Thus, any identification of stars having disc kinematics would probe



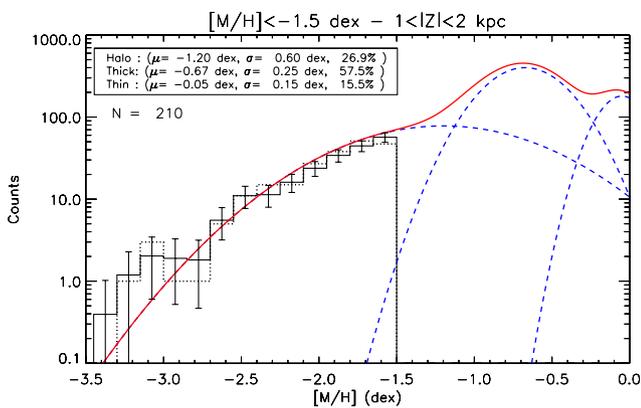
**Figure 11.** Mean metallicity across the HR diagram of the selected stars at distances above the Galactic plane within  $1 < |Z| < 2 \text{ kpc}$  and  $[M/H] < -1.5 \text{ dex}$  (see Section 4). The isocontour lines contain 33, 66, 90 and 99 per cent of the total selected sample.

the deviations from Gaussianity of both the MDF and the velocity DFs of the thick disc that we aim to highlight in this study.

As Fig. 14 shows, when trying to fit the data using our maximum-likelihood procedure with only one Gaussian having the halo characteristics, then the KS-test rejects the null hypothesis that the model and the observations are drawn from the same underlying population. Two Gaussians are needed to find acceptable agreement with



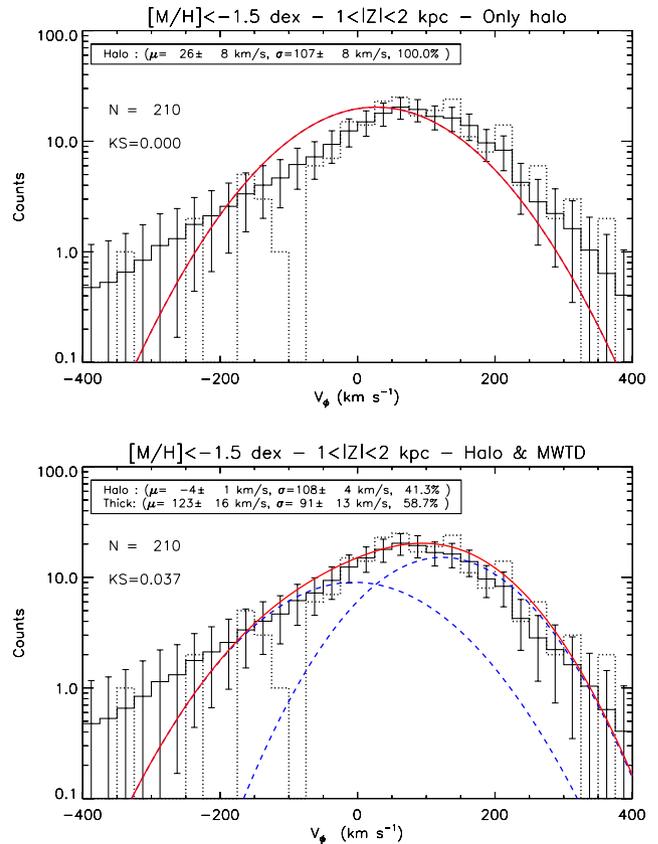
**Figure 12.** Mean positions and metallicities in the  $R$ – $Z$  plane, averaged over the Monte Carlo realizations, for the stars with  $[M/H] < -1.5$  dex being between 1 and 2 kpc from the Galactic plane. The position of the Sun is indicated as a ‘+’ symbol, at  $(R_{\odot}, Z_{\odot}) = (8, 0)$  kpc. The isocontour lines contain 33, 66, 90 and 99 per cent of the total sample. The colour coding represents the mean metallicity inside each 0.25 kpc bin. No particular radial metallicity trends are observed in the selected sample.



**Figure 13.** Metallicity distribution for all the stars with  $[M/H] < -1.5$  dex and  $1 < |Z| < 2$  kpc. The data can well be fitted with a simple Gaussian distribution having the characteristics of the stellar halo. The Gaussians corresponding to the thin and thick disc are only indicative and have not been used to fit the metallicity distribution.

the observations, one centred at the mean azimuthal velocity of the halo, and another comprising roughly 60 per cent of the stars, centred at  $V_{\phi} \sim 120 \text{ km s}^{-1}$ , an azimuthal velocity close to the canonical value of the thick disc. We highlight the fact that the histogram below  $V_{\phi} \sim -250 \text{ km s}^{-1}$  is not fitted by the model, since the counts for these bins are statistically consistent with noise. The velocity lag for the ‘extra’ component, of  $V_{\text{lag}} \sim 100 \text{ km s}^{-1}$ , is close to the lag measured for the metal-weak thick disc (MWTd, hereafter) found by Carollo et al. (2010) using data from the SDSS; however, their  $V_{\phi}$  dispersion ( $\sigma_{V_{\phi}} = 40 \text{ km s}^{-1}$ ) is significantly lower than the one found in our analysis ( $\sigma_{V_{\phi}} \approx 91 \pm 13 \text{ km s}^{-1}$ , or  $61 \text{ km s}^{-1}$  once corrected by the error measurements; see Section 4.5).

We have investigated the distance errors and proper motion error distributions for these stars to see if there were any systematics that could create such an artificial signature, though without noticing any



**Figure 14.** Azimuthal velocity histogram for all the stars with  $[M/H] < -1.5$  dex and  $1 < |Z| < 2$  kpc. The top plot is the result where only one Gaussian is fitted to the data, corresponding to the typical halo population. In the lower plot, two Gaussians are fitted: one corresponding to the halo and another having intermediate values between the halo and the canonical thick disc. In order to best fit the data, a second Gaussian is required, centred at  $V_{\phi} \sim 125 \text{ km s}^{-1}$ .

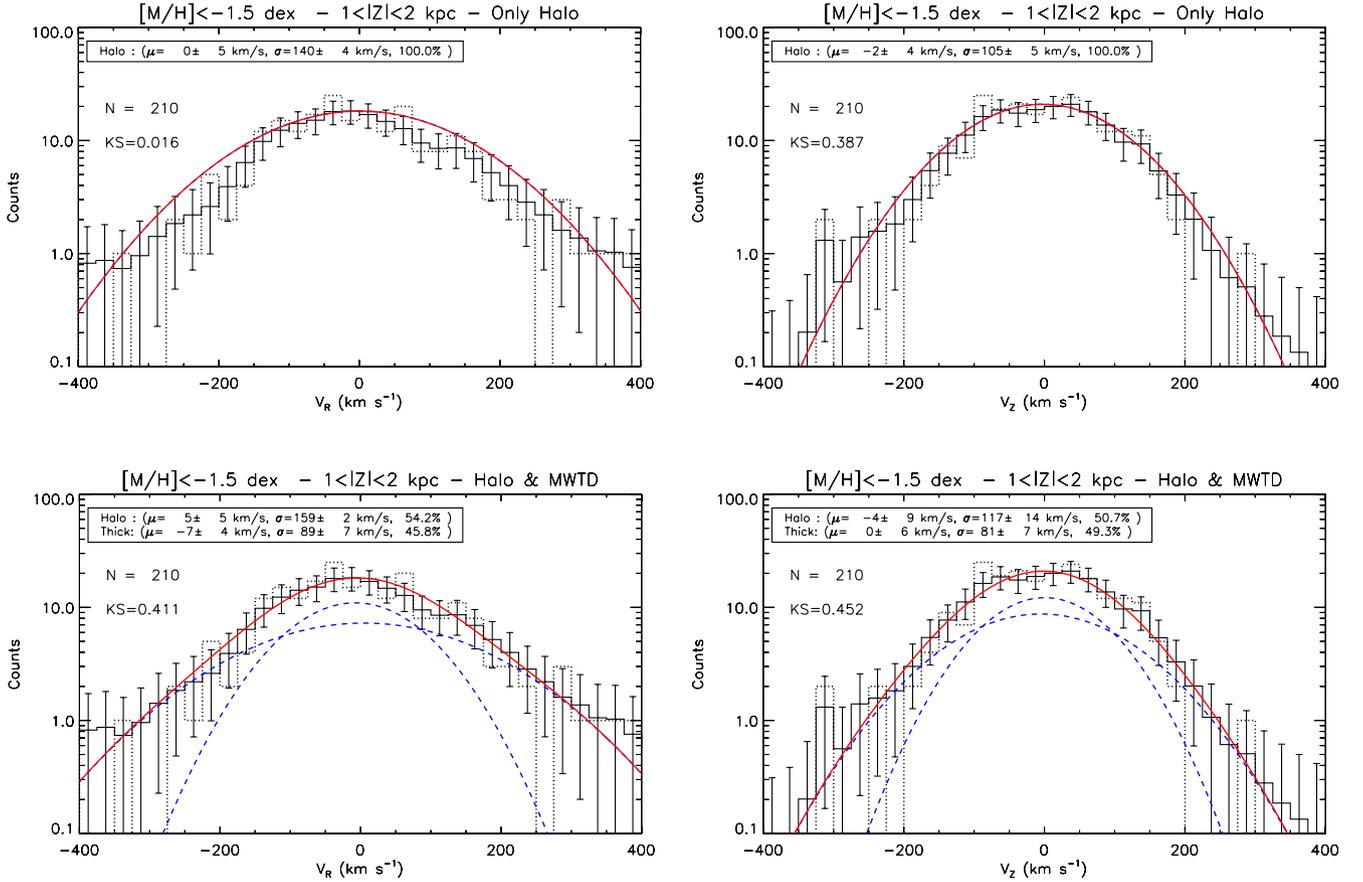
particular suspicious pattern. In addition, we note that the tests that have been done on the distance estimations based on *Hipparcos* stars (B13) and RAVE kinematics (Binney et al. 2013b) do not indicate any distance bias exceeding 20 per cent. Since Fig. 9 (bottom plots) suggests that the distances must be changed by at least +50 per cent in order to make this high-angular-momentum population disappear, we hence conclude that the latter is most likely true and not a spurious result of our data set.

Finally, following Binney & Merrifield (1998, chapter 11.3.2), we assess the value of the vertical velocity dispersion in the inner parts of the Galaxy, where the majority of the metal-poor targets are located. We suppose that the velocity dispersion declines exponentially with radius with an  $e$ -folding length of  $2R_d$ , where  $R_d$  is the scalelength of the disc that dominates the potential,<sup>5</sup> thus in our case the scalelength of the thin disc ( $\sim 2.6$  kpc; e.g. Jurić et al. 2008). In addition, we consider that at  $R = R_{\odot}$ , we have  $(\sigma_{V_z})_{\text{thick}} = 55 \pm 2 \text{ km s}^{-1}$  (as determined in Table 2). Given these inputs, we can infer that at  $R \sim 6$  kpc, the vertical velocity dispersion of the stars of the thick disc should be  $\sigma_{V_z} \approx 80 \pm 3 \text{ km s}^{-1}$ . Since the ratios between the random motions of the different velocity components are not expected to change considerably with

<sup>5</sup> This relation applies if we consider that  $\sigma_{V_z} \propto \sqrt{\Sigma}$ , with  $\Sigma$  the surface density varying as  $e^{-R/R_d}$  (van der Kruit & Searle 1981).

**Table 3.** Means, dispersions and normalizations of the measured distributions functions for the metal-poor stars at  $1 < |Z| < 2$  kpc.

Galactic component	$V_R$ (km s <sup>-1</sup> )	$V_\phi$ (km s <sup>-1</sup> )	$V_Z$ (km s <sup>-1</sup> )	$\sigma_{V_R}$ (km s <sup>-1</sup> )	$\sigma_{V_\phi}$ (km s <sup>-1</sup> )	$\sigma_{V_Z}$ (km s <sup>-1</sup> )	$N_{V_R}$ (per cent)	$N_{V_\phi}$ (per cent)	$N_{V_Z}$ (per cent)
Thick disc	$-7 \pm 4$	$123 \pm 16$	$0 \pm 6$	$89 \pm 7$	$91 \pm 13$	$81 \pm 7$	46	59	49
Halo	$5 \pm 5$	$-4 \pm 1$	$-4 \pm 9$	$159 \pm 2$	$108 \pm 4$	$117 \pm 14$	54	41	51


**Figure 15.** Radial (left) and vertical (right) orbital velocity distributions for all the stars with  $[M/H] < -1.5$  dex being between 1 and 2 kpc far from the Galactic plane. The top panels show the fit when only one Galactic component, the halo, is used in order to fit the data, whereas the bottom panels consider two Gaussians (one for the thick disc and one for the halo).

radius (Binney & Merrifield 1998, chapter 11.3.2), we infer that at  $R = 6$  kpc,  $\sigma_{V_Z} \approx \sigma_{V_\phi}$ . The derived values coming from the observations are fully compatible within the errors with the estimations inferred from our simple analysis (Table 3, Fig. 14 and top plots of Fig. 15), implying that the high-velocity metal-poor component we observe might indeed be kinematically associated with the MWTD.

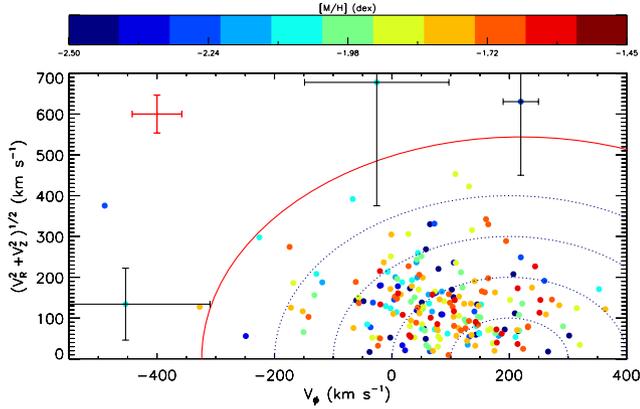
#### 4.2 The case of the vertical and radial orbital velocity components

The previous section indicated that the azimuthal velocity DF of the metal-poor stars of RAVE is poorly described with only one Gaussian, associated with the typical velocities of the stellar halo. Here, we investigate that argument for the two other velocity components: the radial one,  $V_R$ , and the vertical one,  $V_Z$ . Both the thick disc and the halo have distributions centred at  $V_{R,Z} = 0$  km s<sup>-1</sup>, so the only factor distinguishing the two distributions are their dispersions. Typical values, at the solar neighbourhood, that are

found in the literature are  $(\sigma_{V_R}, \sigma_{V_Z})_{\text{thick}} \approx (63, 40)$  km s<sup>-1</sup> and  $(\sigma_{V_R}, \sigma_{V_Z})_{\text{Halo}} \approx (141, 94)$  km s<sup>-1</sup> (Soubiran et al. 2003).

We adopt as our a priori radial and vertical velocity dispersions of the thick disc, the ones inferred in the previous section ( $\sigma_{V_R}, \sigma_{V_Z} = 90, 60$  km s<sup>-1</sup>). The vertical velocity dispersion for the stellar halo is also kept the same ( $\sigma_{V_R}, \sigma_{V_Z} = 160, 130$  km s<sup>-1</sup>). The fitting of the data shows that a moderately good agreement can be found with two Gaussian components, with a ratio of thick disc stars over halo stars varying around  $\sim 45$ – $50$  per cent (top panels of Fig. 15). The ratio of thick disc to halo stars is close to the one found for the  $V_\phi$  distribution ( $\sim 60$  per cent). We note nevertheless that the histogram of  $V_Z$  is equally well fitted, with a single Gaussian having the characteristics of the halo (bottom panel of Fig. 15), challenging the view of an MWTD if based only on the vertical velocity DF. However, when the same fits are investigated for the radial component of the velocity, the KS-tests show that the two-component model fits much better the observations than a single Gaussian associated with the halo.

Fig. 16 shows the Toomre diagram for the considered metal-poor stars. We can see that there is a multitude of targets



**Figure 16.** Toomre diagram for all the stars with  $[M/H] < -1.5$  dex and  $1 < |Z| < 2$  kpc. The points are colour coded according to their measured metallicity. All of the circles are concentric at  $V_{\text{LSR}} = 220 \text{ km s}^{-1}$ . The dotted circles represent total space velocities,  $V_{\text{tot}} = \sqrt{V_R^2 + V_\phi^2 + V_Z^2}$ , in steps of  $100 \text{ km s}^{-1}$ . The local Galactic escape velocity speed ( $V_{\text{esc}} = 544 \text{ km s}^{-1}$ ; Smith et al. 2007) is plotted as a solid red circle. The mean error bar for the data is plotted in red in the upper-left corner, whereas the black error bars represent typical examples of the individual errors for the stars that are lying beyond that escape velocity.

with intermediate angular momentum (thick-disc-like kinematics, i.e.  $V_\phi > 120 \text{ km s}^{-1}$ ) and unusually large meridional velocities ( $\sqrt{V_R^2 + V_Z^2} > 100 \text{ km s}^{-1}$ ). The mean error bar for the metal-poor sample is shown in red in the upper-left corner of Fig. 16. It is easy to see that without the existence of an MWTD having the kinematic properties described above, the relatively small velocity uncertainties cannot explain only by themselves the high number of intermediate-angular-momentum stars.

On the other hand, we note that for the few stars that have velocities larger than the local Galactic escape velocity ( $V_{\text{esc}} = 544 \text{ km s}^{-1}$ ; Smith et al. 2007), the error bars are large enough to put them back within the Galactic binding energies (a few indicative individual error bars are plotted in Fig. 16). The characterization of the stars having high  $V_\phi$  will be discussed in a separate paper (Kordopatis et al., in preparation).

### 4.3 On the plausibility of the existence of a metal-poor tail for the thick disc

The previous sections showed that based on the star counts in the velocity space, similar relative normalizations of the Galactic components for the stars with  $[M/H] < -1.5$  dex at  $1 < |Z| < 2$  kpc are observed, with proportions varying between 41 and 59 per cent depending on which DF is fitted. In this section, we investigate the plausibility of these numbers and infer down to which metallicity the thick disc is still detected.

Given the density numbers obtained in Table 2 ( $N_{\text{thick}} \sim 10N_{\text{halo}}$ ), in order to reach a 50 per cent ratio at the lower metallicities, there should be roughly 3 per cent of the thick disc that has metallicities lower than  $-1.5$  dex. Assuming the classical view of a canonical thick disc modelled with a single Gaussian centred at  $[M/H] \sim -0.5$  dex and a metallicity dispersion of 0.3 dex, the predicted star counts do not agree with the observations. Indeed, at  $[M/H] \sim -1.6$  dex, we already are at roughly  $3\sigma$  from the mean of the distribution, which implies that less than 0.1 per cent of the stars should belong to the thick disc. In order to reach, as the observations suggest, such a large number of thick disc stars at low metallicities with only one Gaussian, the MDF should reach  $[M/H] = -1.5$  dex

at  $2\sigma$ , implying that  $(\sigma_{[M/H]})_{\text{thick}} \approx 0.5$  dex. We hence conclude that this model is not realistic given the histograms obtained for the entire RAVE sample (see, for example, the upper plots of Figs 4 and 6).

The existence of a metal-weak tail for the thick disc has already been previously discussed in the literature (Norris, Bessell & Pickles 1985; Morrison, Flynn & Freeman 1990; Chiba & Beers 2000; Carollo et al. 2010; Ruchti et al. 2011; Kordopatis et al. 2013b). By obtaining the metallicities by photometric means and inferring the azimuthal velocities of a few hundreds of stars observed close to the tangent point, where their radial velocity is predominated by the rotational velocity, Morrison et al. (1990) claimed that in the abundance range  $-1.6 < [\text{Fe}/\text{H}] < -1.0$  dex there are stars with disc kinematics, having the same density as the halo stars and a similar velocity lag as the canonical thick disc. According to these authors, the scalelength of the MWTD is smaller than the one of the canonical thick disc. Our results (combined with the ones of Section 4.5) are consistent with this early study.

Ten years later, Chiba & Beers (2000) observed 1203 stars in the solar neighbourhood and claimed that the MWTD is visible down to metallicities  $[\text{Fe}/\text{H}]$  of  $-2.2$  dex. They estimated that 10 per cent of the stars in the range  $-2.2 < [\text{Fe}/\text{H}] < -1.7$  dex belong to the thick disc; these metal-poor stars have a larger scalelength than their canonical thick disc. As will be shown in Section 4.4 (Fig. 18), hints of detection of the metal-poor thick disc down to metallicities of  $-2$  dex are also obtained in our study.

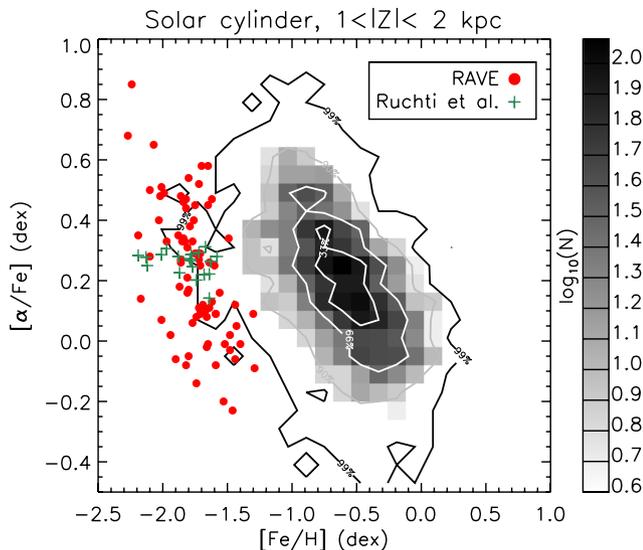
Finally, Carollo et al. (2010) used the data obtained by the Sloan Extension for Galactic Understanding and Exploration survey (SEGUE; Yanny et al. 2009), and claimed that the MWTD has a comparable, though shorter, scalelength ( $h_R \sim 2$  kpc) than the canonical thick disc ( $h_R \sim 2.5$  kpc), and that it is detectable down to metallicities of  $-1.8$  dex.

The first detailed attempt to chemically characterize the stars of the MWTD has been made by Ruchti et al. (2010, R10 hereafter). They obtained the high-resolution spectra of 233 metal-poor stars ( $[M/H] \lesssim -1$  dex) selected from the RAVE-DR3 catalogue (Siebert et al. 2011). They found that for the metal-poor stars belonging to the thick disc (30 per cent of their targets), the  $\alpha$ -abundances are homogeneous and similar to the ones of the halo, i.e. with  $[\alpha/\text{Fe}] = +0.4$  dex.

Our study uses the metallicities, the distances and the velocities obtained from different pipelines compared to the previous RAVE data releases (see K13 for further details on the improvement and a comparison of the results obtained with each pipeline). Among our selected stars with  $[M/H] < -1.5$  dex and  $1 < |Z| < 2$  kpc, 20 have individual elemental abundances measured by R10. They have been represented in Fig. 17 as green ‘+’ symbols, together with the derived values obtained by the chemical pipeline of RAVE (based on the much lower resolution spectra and the pipeline of Boeche et al. 2011) in red filled circles. The big scatter in the RAVE individual abundance measurements, compared to the R10 ones, is due to the fact that at low metallicities, equivalent widths of spectral lines at the RAVE wavelength range and resolution are hard to measure, resulting in abundance estimates with large uncertainties (see Boeche et al. 2011 and K13). However, despite this scatter, neither the RAVE abundances nor the R10 ones of the candidate MWTD stars exhibit any particular chemical pattern differentiating them from what one would expect for the typical halo stars.

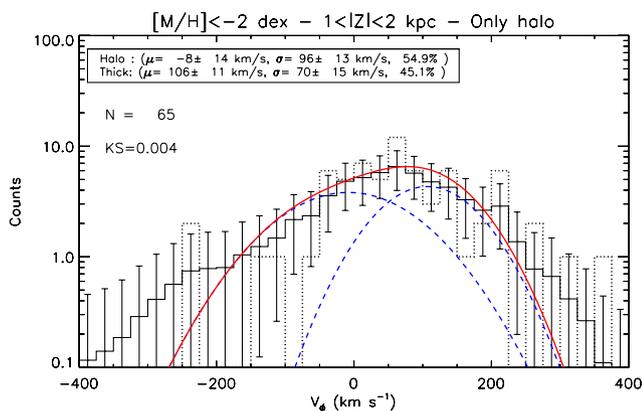
### 4.4 Velocity–metallicity correlation

A correlation between the metallicity and the azimuthal velocity is the natural outcome of the epicyclic motion of the stars. This is due



**Figure 17.**  $[\alpha/\text{Fe}]$  versus  $[\text{Fe}/\text{H}]$  for the stars having  $7.5 < R < 8.5$  kpc and  $1 < |Z| < 2$  kpc (in grey scale) and for the potential stars of the MWTD (red circles and green plus symbols). The contour-plot and the red circles represent data coming from the chemical pipeline of RAVE, whereas the green ‘+’ symbols represent abundances of the stars for which high-resolution spectral analysis was performed by R10. For the RAVE chemical pipeline, the  $\alpha$ -abundances are computed as the mean of  $[\text{Mg}/\text{Fe}]$ ,  $[\text{Si}/\text{Fe}]$  and  $[\text{Ti}/\text{Fe}]$ , whereas for the R10 analysis, it is the mean of  $[\text{Mg}/\text{Fe}]$ ,  $[\text{Si}/\text{Fe}]$ ,  $[\text{Ca}/\text{Fe}]$ ,  $[\text{Ti } \textit{I}/\text{Fe}]$  and  $[\text{Ti } \textit{II}/\text{Fe}]$ .

to the conservation of the angular momentum during the epicyclic excursions (stars from the outer disc increase their azimuthal velocity, whereas stars from the inner disc decrease it) and the fact that inner disc stars are more metal-rich than the outer disc ones (see Rudolph et al. 2006; Pedicelli et al. 2009; Andruzzi et al. 2011; Gazzano et al. 2013 for values of radial metallicity gradients). On the other hand, radial migration mechanisms change the stellar orbits without conservation of the angular momentum. As a consequence, depending on the efficiency of the radial migration mechanisms, the amplitude of this correlation will change (see, for example, Haywood 2008). In the particular case of the formation of the thick disc through such internal processes, the amplitude of this correlation is expected to be, if not null, at least small (Loebman et al. 2011). The main argument for that characteristic is that at a given small radial range (like for example at the solar cylinder),



**Figure 18.** Azimuthal velocity distribution for the RAVE stars with  $[M/H] < -2$  dex and  $1 < |Z| < 2$  kpc. In spite of the low number statistics, hints of the detection of the MWTD are still obtained.

stars of different metallicities as old as the ones of the thick disc ( $\sim 10$  Gyr) should have been fully mixed, thus erasing that kind of correlation signature between rotation and metallicity.

Without assigning probabilistically each target to the halo or the thick disc, our analysis can still give an estimation of this correlation based on the results of the previous sections. Considering that the scoped MWTD has  $[M/H] \sim -1.6$  dex, at a mean azimuthal velocity of  $V_\phi \sim 123$  km s $^{-1}$  (Section 4.1), then this implies that  $\partial V_\phi / \partial [M/H] \approx 50$  km s $^{-1}$  dex $^{-1}$ . This estimation is in very good agreement with the correlation value that can be found in the literature (Spagna et al. 2010; Kordopatis et al. 2011b; Lee et al. 2011), measured either on kinematically or space-selected targets of the canonical thick disc.

We further investigated towards the lower metallicities to test if this estimated correlation is confirmed. In this application, we select only the stars with  $[M/H] < -2$  dex. To the 65 stars that have been selected that way, we repeat the same maximum-likelihood fit as described in the previous sections. As can be noticed in Fig. 18, the MWTD can still be observed, in a proportion of 45 per cent. The azimuthal velocity has also decreased. The value expected from the correlation  $\partial V_\phi / \partial [M/H] \approx 50$  km s $^{-1}$  dex $^{-1}$  is obtained, hence indicating an MWTD peaking at  $V_\phi \approx 110$  km s $^{-1}$ .

#### 4.5 Estimations of the true velocity dispersions and the spatial distribution of the metal-weak thick disc

The previously estimated velocity dispersions have not been corrected from the error measurements, due to the challenging task of estimating the mean error of the stars belonging to each component (see Section 3.1). Nevertheless, an estimation of the error-free velocity dispersions can be inferred for the thin disc, thick disc and MWTD considering the samples where they are the dominant population and by subtracting according to equation (2) the measurement errors.

The most accurate parameters to be used concerning the thin disc are obtained by fitting the solar cylinder sample (thin disc dominated, Table 1). The ones concerning the thick disc are obtained by fitting the solar cylinder sample at  $1 < |Z| < 2$  kpc (thick disc dominated, Table 2). As far as the MWTD is concerned, the fits to be used come from the sample with  $[M/H] < -1.5$  dex and  $1 < |Z| < 2$  kpc ( $\sim 50$  per cent of MWTD, Table 3). Since for these samples the concerned populations are predominant, we use the averaged error values of all the stars in each subsample to correct the velocity dispersions. The derived mean errors that are used are

- (i)  $(eV_R, eV_\phi, eV_z)_{\text{thin}} = (10.4, 8.1, 7.4)$  km s $^{-1}$ ,
- (ii)  $(eV_R, eV_\phi, eV_z)_{\text{thick}} = (35.2, 28.6, 21.6)$  km s $^{-1}$ ,
- (iii)  $(eV_R, eV_\phi, eV_z)_{\text{MWTD}} = (41.7, 48.0, 37.5)$  km s $^{-1}$ .

The resulting velocity dispersions are shown in Table 4. As far as the results for the thin disc are concerned, they are in good agreement with Pasetto et al. (2012b), who also used RAVE data, or the ones of Nordström et al. (2004) from the Geneva–Copenhagen Survey, though colder than the ones of Soubiran et al. (2003). For the thick disc, our derived results are hotter than the ones presented in Pasetto et al. (2012a) and Carollo et al. (2010) but are in relative agreement with Casetti–Dinescu et al. (2011). Finally, the estimated values for the MWTD are in disagreement with Carollo et al. (2010), who estimated  $(\sigma_{V_R}, \sigma_\phi, \sigma_{V_z}) = (59, 40, 44)$  km s $^{-1}$ , but in agreement with the lagging thick disc of Gilmore et al. (2002), who suggested  $(\sigma_{V_R}, \sigma_\phi, \sigma_{V_z}) = (63, 70, 60)$  km s $^{-1}$  (see also Ruchti et al. 2011).

**Table 4.** Mean velocities and dispersions corrected from error measurements for the disc components of the Milky Way.

Galactic component	$V_R$ (km s <sup>-1</sup> )	$V_\phi$ (km s <sup>-1</sup> )	$V_Z$ (km s <sup>-1</sup> )	$\sigma_{V_R}$ (km s <sup>-1</sup> )	$\sigma_{V_\phi}$ (km s <sup>-1</sup> )	$\sigma_{V_Z}$ (km s <sup>-1</sup> )
Thin disc	$-2 \pm 1$	$215 \pm 1$	$0 \pm 1$	$26 \pm 1$	$16 \pm 1$	$15 \pm 1$
Thick disc	$9 \pm 3$	$177 \pm 2$	$-1 \pm 1$	$71 \pm 4$	$44 \pm 1$	$45 \pm 2$
MWTD	$-7 \pm 4$	$123 \pm 16$	$0 \pm 6$	$67 \pm 7$	$61 \pm 12$	$61 \pm 6$

Interestingly, Table 4 also indicates that the canonical thick disc velocity dispersions and the ones of the MWTD are similar, the lag of the latter being also larger. Qualitatively, this implies that the scalelength of the MWTD is smaller than the one of the canonical thick disc.<sup>6</sup> This statement is in agreement with Morrison et al. (1990) and Carollo et al. (2010) but contradicts the ones of Chiba & Beers (2000) and Bovy et al. (2012a). Indeed, using a similar mixture model as Nemeč & Nemeč (1991, 1993), Bovy et al. (2012b) gave a combination of monoabundance populations from SEGUE estimations on the scalelengths and scaleheights and suggested that what is called in this work the *metal-poor thick disc* ( $[\text{Fe}/\text{H}] \approx -1.3$  dex,  $[\alpha/\text{Fe}] \approx +0.4$  dex) should have a similar scalelength to the ‘thick’ disc at any other metallicity (though with different scaleheights) of roughly 2 kpc.

## 5 DISCUSSION: IMPLICATIONS ON THE FORMATION MECHANISMS OF THE THICK DISC

The non-Gaussianities of the metallicity and the velocity distributions are not a surprise since we expect them to be naturally skewed, in particular in the velocity space. However, the metallicities down to which we can still identify the thick disc and the correlation between  $[M/H]$  and  $V_\phi$  put strong constraints on the formation mechanisms of that structure (e.g. Calura et al. 2012). For the sake of completeness, we briefly discuss below these constraints with regard to the four main mechanisms regularly evoked to form the thick disc – radial migration, several minor mergers and a major stellar or gas-rich accretion – bearing in mind that a combination of two or more of the below mechanisms is most plausible to be at the origin of the thick disc (e.g. Brook et al. 2012; Liu & van de Ven 2012; Minchev et al. 2013; Boeche et al. 2013).

### 5.1 Radial migration

The mechanisms involved for the radial migration of the stars, as described in Sellwood & Binney (2002), imply that the stars in corotation with the transient spiral arms (but also the Galactic bar; see Minchev & Famaey 2010; Minchev et al. 2011) can migrate and change their radial orbit without any dramatic change in their orbital random energy, though changing their angular momentum. Radial migration predicts that the most metal-poor disc stars at the solar neighbourhood have migrated from the outskirts of the Galaxy.

The migration happening predominantly from the inner Galaxy towards the outer radii, the models of Schönrich & Binney (2009) and Loebman et al. (2011) could presumably explain why we observe such a small number of metal-poor stars. Nevertheless, it

should be noted that stars migrating outwards from the inner disc provide minimal contribution to the vertical velocity dispersion (and thus disc thickness) at a given outer radius. Indeed, the assumption that stars migrating outwards preserve their vertical energy is not true, as it drops exponentially because the conserved quantity is in fact the vertical action (Solway, Sellwood & Schönrich 2012). This by itself already rules out radial migration as the source of forming a thick disc (Minchev et al. 2012). In addition, in that frame, it cannot be explained how the metal-poor stars having migrated from outwards could have reached high distances above the Galactic plane ( $1 < |Z| < 2$  kpc), in particular because they migrate towards regions where the Galactic potential is higher.

One could also argue that these metal-poor stars come from the inner radii of the Galaxy. In that case, it is expected that these metal-poor stars would have spread in the Galaxy through the churning processes, and hence this could explain their relatively low density. There are no age issues with this scenario, since the inner part of the Galaxy had a very active star formation rate, and hence could have had a very broad MDF. On the other hand, if this scenario is true, then one should expect to find many metal-rich stars in the solar neighbourhood, at the distances where the thick disc dominates, since they should have migrated in a similar manner. The metal-rich end of RAVE-DR4 is for the moment poorly constrained, so further investigation must be done in the future.

### 5.2 Dynamical heating due to minor mergers

This scenario implies that the pre-existent thin disc has been dynamically heated after successive accretions of smaller stellar systems (Toth & Ostriker 1992; Quinn, Hernquist & Fullagar 1993; Kazantzidis et al. 2008; Villalobos & Helmi 2008; Mosser et al. 2010; Di Matteo et al. 2011). In that case, the metal-poor thick disc would most probably be the extragalactic component, whereas the ‘canonical’ thick disc would mainly be composed of stars born in situ heated from the accretion of the merging dwarfs on a disc-like orbit (Gilmore et al. 2002). The main problem with this scenario is that there is no obvious reason why these two populations should follow the metallicity–velocity correlation that is observed, unless the minor mergers did not retain any kinematic memory.

### 5.3 Gas-rich accretion

This scenario involves an accretion-driven star formation, where in particular the stars of the thick disc are mainly born in situ, though from extragalactic gas (e.g. Brook et al. 2004, 2012). This star formation event must have been quite short, the main observational constraints being the high  $[\alpha/\text{Fe}]$  that are measured for the thick disc. In that scenario, it is possible to have the extragalactic stars and the in situ born thick disc stars with the metallicity–velocity correlation characterizing the thick disc stars.

<sup>6</sup> No quantitative results on the relative spatial extension of the thick disc and MWTD are given, due to the difficulty in including pertinent parameters in the Jeans equations, such as the effective radius at which the measurements are being made (see Fig. 12).

#### 5.4 Satellite accretion

In this scenario, most of the thick disc stars are extragalactic, accreted from a satellite galaxy. Abadi et al. (2003) have shown that a disc can survive a fairly massive accretion event, if the satellite comes in on relatively prograde and co-planar orbits (up to original inclinations of  $20\text{--}30^\circ$ , as tides and dynamical friction pull the satellite into the plane before accretion). This scenario can explain rather well the metal-weak stars, as they would have formed after a process of self-enrichment, like it is observed for the dwarf spheroidal galaxies orbiting the Milky Way. Nevertheless, such a scenario is challenging, since in order for a dwarf galaxy to reach the typical metallicities of the thick disc of  $\sim -0.5$  dex 10 Gyr ago (which is the mean age of the thick disc stars), a galaxy of considerably high mass is required (Wyse 2008), which would have destroyed the pre-existent thin disc (though see Moster et al. 2010 for a discussion on the effect of a gaseous disc during the accretion events).

#### 5.5 Identification with moving groups or streams

Here, we discuss the possibility that the detected intermediate-angular-momentum and low-metallicity population that we associated with the MWTD belongs in fact to one of the streams or moving groups in the Galaxy that have similar kinematic and/or chemical properties as the ones described in this study.

As first noted by Carollo et al. (2010), the Monoceros stream (Yanny et al. 2003) presents similar properties in both the kinematic and metallicity as the MWTD. It is still a matter of debate if the Monoceros stream is the remnant of a dwarf galaxy cannibalized by the Milky Way or rather a signature of a more complex thick disc (Lopez-Corrodoira et al. 2012). In any case, the suggested stream is mainly observed in the second and third quadrant of the Milky Way, i.e. towards the anticentre. As can be seen in Fig. 12, this is not the case for the considered RAVE sample, since the selected metal-poor stars in the survey are located towards the inner Galaxy. Further investigations are needed, in terms of orbit determination and individual abundances determinations ( $r$ - and  $s$ -elements), in order to potentially associate the MWTD we identified with the Monoceros group. If these investigations were to suggest that the stars identified as MWTD stars were members of the Monoceros overdensity, this result would promote the argument of a Galactic origin of this stream.

Similarly to the Monoceros stream, the mean azimuthal velocity of the Arcturus moving group (e.g. Navarro, Helmi & Freeman 2004; Ramya, Reddy & Lambert 2012) is roughly  $\sim 120$  km s $^{-1}$ . However, it is unlikely that what we identified as the MWTD belongs to the Arcturus moving group, as its metallicity is low (compared to the mean value of  $-0.6$  dex for the Arcturus group), homogeneously spread in the Galaxy, with a radial and vertical velocity centred at 0 km s $^{-1}$ , and a correlation between the kinematics and the metallicity.

## 6 CONCLUSIONS

Using the latest data release of RAVE (DR4), we fitted the chemical and velocity space of the data using a simple Galactic model composed of an old thin disc, a canonical thick disc and a stellar halo. We have shown that this simple model describes relatively well the overall distributions, though an *extra* component is required in order to appropriately fit the velocity distributions of stars with metallicities lower than  $[M/H] < -1.5$  dex. We found that this population

is entirely consistent with the thick disc in terms of velocities, since it follows the rotation–metallicity correlation established by other independent surveys for the thick disc stars based on kinematically or space-selected samples.

This MWTD represents at least 3 per cent of the canonical thick disc, reaches metallicities down to  $-2$  dex and is characterized by a shorter scalelength than the canonical thick disc. Finally, the implications of such a metal-weak tail for the thick disc have been discussed in the frame of the thick disc formation scenarios, challenging in particular the mechanisms of radial migration.

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