

1 Surface Sampling Within a Pediatric Ward – How Multiple Factors Affect Cleaning Efficacy

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19 **Structured abstract**

20

21 **Objective**

22 To assess the number of organisms present on different surfaces within a clinical environment  
23 before and after cleaning has taken place, and to identify the impact of cleaning.

24

25 **Design and Setting**

26 Extensive 2-week microbiological environmental monitoring of an entire ward before and after  
27 cleaning, within a pediatric hematology-oncology ward comprised of a day unit and outpatients  
28 ward.

29

30 **Methods**

31 Tryptone soya agar (TSA) contact plates were used to take a total of 1,160 surface samples  
32 before and after cleaning from 55 pre-determined sites. Samples were taken from  
33 representative surfaces throughout the ward, including different materials, surfaces with  
34 varying heights, different functions, distance from patients, and both high-touch and  
35 infrequently touched surfaces.

36

37 **Results.** Surface cleaning has been undertaken within the ward and there is a significant  
38 difference between CFU recovered before and after cleaning ( $P < 0.0001$ ). Cleaning produced  
39 an average reduction of 68% throughout the ward environment. The corridor was the most  
40 contaminated area within the ward. There is a difference in CFU between the different areas  
41 within the ward, and these were cleaned with varying efficiency. Surface material, who  
42 interacts with the surface, levels of initial contamination, perceived risk and perceived  
43 cleanability were all found to have a varying impact on how well cleaning was undertaken.

44

45 **Conclusions.** To the authors current knowledge, this is the only study assessing cleaning  
46 within a pediatric ward taking samples directly before and after cleaning. The standard of

47 cleaning undertaken within the ward is open for discussion, and these data highlight the need  
48 for an improved cleaning intervention, and can provide insight into the multitude of factors that  
49 must be considered when designing an effective training protocol.

50

51 **Keywords:** Surfaces; Hospital; Sampling; Infection Prevention and Control; HCAI; Cleaning

52 **Introduction**

53 Healthcare associated infections (HCAIs) are a known issue causing a considerable economic  
54 burden to hospitals, while putting patient safety at risk. Within Europe, it is estimated there are  
55 2.5 million cases of HCAI each year, leading to 2.5 million disability-adjusted life years [1].  
56 There are multiple factors contributing to HCAI, both patient-related and environmental, and  
57 often the environmental factors are overlooked [2].

58  
59 Historically, there has been much debate on the role the surface environment plays in  
60 infection transmission, and the importance of cleaning these 'non-critical' surfaces. Increased  
61 understanding of the interaction between patient and environment had led to more studies  
62 analysing this important component of infection transmission, yet there is still disagreement  
63 on the importance of environmental cleaning and the role the environment plays in transmitting  
64 HCAI [3]. Furthermore, designing and undertaking effecting cleaning interventions to impact  
65 surface cleaning can be difficult due the disagreement on implementation and content of such  
66 interventions [4].

67  
68 Efficient cleaning can be a useful tool to reduce HCAI [5]. Despite this, advocating for effective  
69 cleaning interventions can be difficult, due to the multitude of factors that can influence their  
70 impact, including current antibiotic use which can change the environmental microbiome [6],  
71 the differences between invasive and non-invasive treatments in which cleaning is potentially  
72 more critical for patients undergoing invasive procedures which break the skin barrier, or other  
73 factors such as cleaning efficacy and competence, hospital design, surface type, patient  
74 subset and local choice of cleaning agents. Consideration and inclusion of all these factors  
75 within routine cleaning is often not possible. In order to explore some of these factors within a  
76 pediatric setting, the following study has been undertaken, assessing cleaning by area,  
77 surface material, who has contact with the surface, who is responsible for cleaning, perceived  
78 risk to patient and perceived cleanability.

79

80 This is the first study assessing cleaning efficacy within a pediatric ward by taking  
81 microbiological samples before and after cleaning. The nature of the selected setting, an  
82 outpatients ward, allows easy sampling before and after cleaning as terminal end of day  
83 cleaning can take place each day as patients do not remain in the ward overnight.

84 **Methods**

85 *Study Setting*

86 Samples were taken from 55 sites within a pediatric hematology-oncology ward, which  
87 comprised of a pediatric day unit and outpatient ward, daily after clinic over a two-week period.  
88 A total of 1,160 Tryptone Soya Agar (TSA) contact plate samples were taken. The ward area  
89 was comprised of 3 separate 4-bed bays, 3 single rooms with an ensuite bathroom, 4  
90 treatment rooms, a playroom, a height and weight room, 6 consultation rooms and two  
91 receptions with seating areas, for the day unit and outpatients area.

92

93 *Sampling sites*

94 Sampling sites were selected to encapsulate areas across the clinical environment, including  
95 near-patient and shared ward settings. Fifty-five sites were chosen for daily sampling before  
96 and after cleaning, which were taken from sites of every area within the ward. These sites  
97 formed a daily sampling plan and remained the same both before and after cleaning, for the  
98 entirety of the study. Nine replicates of each sample were taken over a period of two weeks.  
99 Pre-cleaning samples were taken from the left side of surfaces, and post-cleaning samples  
100 taken from the right, so potential organisms were not removed during the sampling process.

101

102 *Surface categories*

103 Surfaces were assessed by separate categories to identify possible trends in surface category  
104 and cleaning efficacy. The categories were as follows; surface material, people interacting  
105 with the surface, risk to patient and perceived cleanability by staff. Perceived risk is the  
106 apparent risk to the patient from each surface. Surfaces closer to the patient and surfaces  
107 known to generally have a greater bioburden were of higher risk than surfaces within the  
108 warder environment, or surfaces known to have little contact with the patient. Cleanability was  
109 defined as how easy a surface is to clean. Factors affecting this were size of the surface,  
110 height, surface material and general shape of the surface. Surfaces with multiple components,  
111 gaps and crevices or surfaces in hard to reach areas, such as the reception telephone, were

112 classified as more difficult than smooth, flat surfaces within easy reach, such as the reception  
113 desk. Interactions were defined as the population subset that would mostly come into contact  
114 with these surfaces. Some surfaces were touched only by clinical staff, such as surfaces within  
115 the height and weight room and the treatment room, or by other ward staff, such as the  
116 reception areas. These allocations of risk were defined following advice from Great Ormond  
117 Street Hospital Infection Control team and ward staff.

118

### 119 *Microbiological Analysis*

120 Samples were taken before and after the daily routine cleaning post clinic. A minimum of three  
121 hours was allowed before sampling post-cleaning, so chorine residue did not interfere with  
122 results. During this time, there was no other activity on the ward or interactions with the  
123 surfaces. Post-cleaning, surfaces were not re-contaminated as the ward was closed and no  
124 staff presented a contamination risk. Samples were taken using TSA contact plates (90mm,  
125 Oxoid, Basingstoke, UK). Plates were pressed onto surfaces for 10 seconds with firm pressure  
126 and incubated at 37°C for 24 hours. Subsequently, colony forming units (CFU) were counted  
127 and are presented in the results as CFU per contact plate. Statistical analysis was undertaken  
128 using GraphPad 7 software.

129

130 **Results**

131 In total, 1,160 contact plate samples were taken over the course of the study. The descriptive  
 132 statistics of the results are shown below in Table 1. On average, contamination was fairly low  
 133 (20-33 CFU per contact plate), and there was a significant difference between CFU recovered  
 134 before and after cleaning ( $P < 0.0001$ ).

135

136 **Table 1.** Descriptive statistics showing overall contamination means (of nine temporal replicates) of all  
 137 surfaces samples (N = 55) as part of the study.

	<b>Overall CFU N = 55</b>	<b>CFU before cleaning N = 55</b>	<b>CFU after cleaning N = 55</b>	<b>CFU percentage change after cleaning N = 55</b>
<b>Mean</b>	26.67	32.93	20.40	19.85 %
<b>Standard deviation</b>	25.14	29.25	18.45	281.16%
<b>Median</b>	20.70	25.11	16.60	-30.40 %
<b>Minimum</b>	0.10	0.11	0.10	-90.74 %
<b>Maximum</b>	115.33	115.33	79.50	2015.00%

138

139 Table 1 explores the mean CFU, calculated from the means of all nine temporal replicates for  
 140 each sample site, both before and after cleaning, and the overall change. Minimum and  
 141 maximum percentage changes demonstrate the wide range (-90.74%, - 2015%) between how  
 142 a surface has been cleaned effectively, not cleaned, or potentially had contamination  
 143 redistributed within the ward. The mean shows on average, of all samples taken, surfaces  
 144 were reduced from 32.93 CFU/plate to 20.40 CFU/plate following cleaning.

145

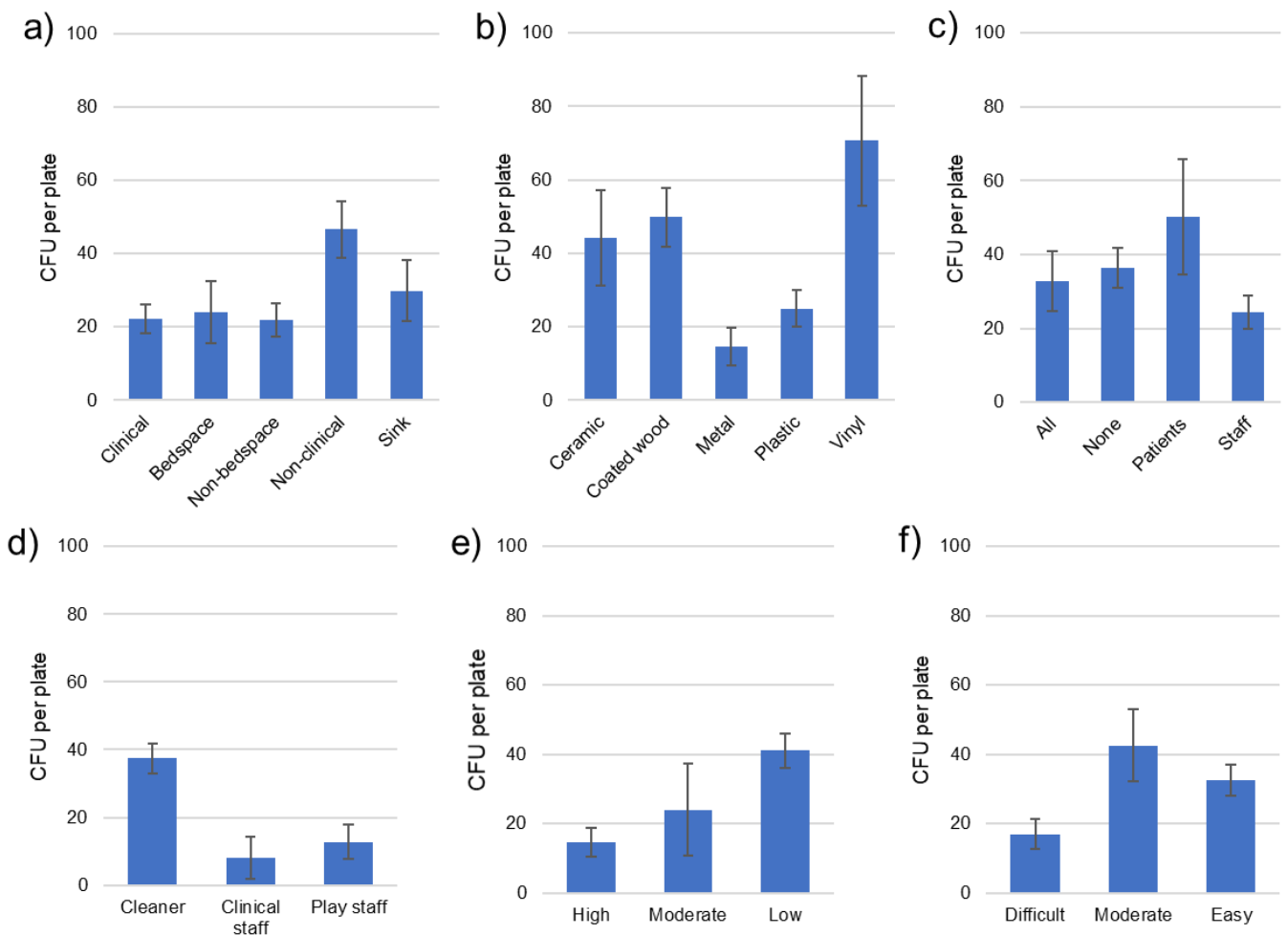
146 Figure 1 shows that all the factors assessed within this study had an impact on the amount of  
 147 contamination on surfaces, to varying extents. The most considerable differences were  
 148 between surface type, where metal had the lowest CFU 13.89 ( $\pm 4.14$ ). Surfaces perceived to



149 be easy to clean were more contaminated than those perceived as difficult to clean, at 33.66  
 150 ( $\pm 4.18$ ) and 14.39 ( $\pm 6.86$ ) CFU respectively.

151

152 For surfaces cleaned by cleaners, there was a greater CFU (37.38) than surfaces cleaned by  
 153 clinical (8.13) or play staff (12.76). There was some difference when considering CFU recovery  
 154 by area, in which non-clinical surfaces had the highest CFU at 46.59 in comparison with all  
 155 other surface types.

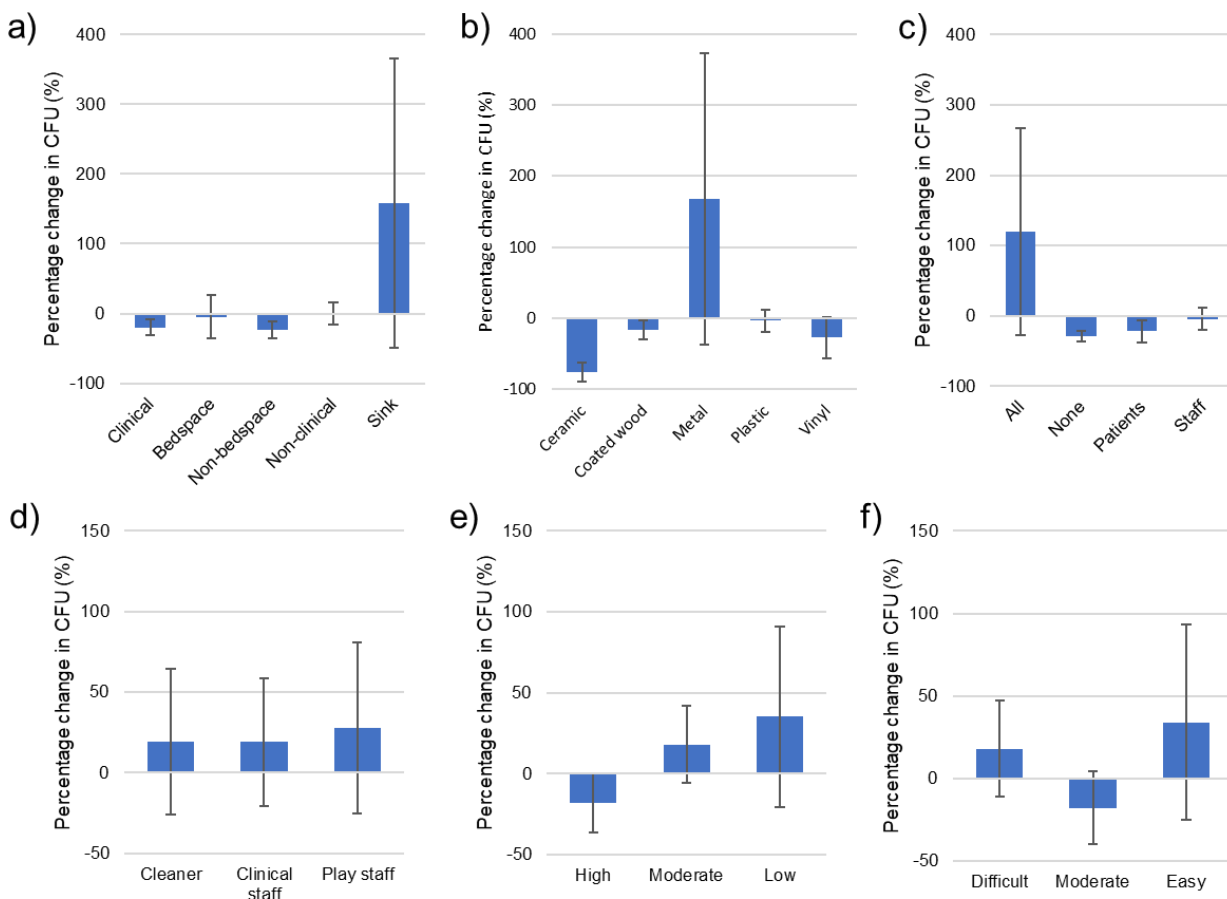


156

157 **Figure 1.** Mean microbiological contamination (with standard error) of all surfaces sampled in the  
 158 study according to: a) the room/area sampled; b) the material of the surface; c) the group that had the  
 159 most interaction with the surface; d) staff in charge of cleaning the surfaces; e) the perceived risk the  
 160 surfaces poses to infection control; and f) the perceived cleanability of the surface.

161

162 Cleaning effectiveness can be measured by assessing the overall increase or decrease in  
 163 CFU following cleaning. Some surfaces were cleaned more thoroughly than others, due to a  
 164 variation of factors, including the area (clinical or non-clinical) surface material, person  
 165 interacting with the surface most frequently, person responsible for cleaning, risk and  
 166 cleanability. Of the five surfaces cleaned most effectively within the ward (bookcase in the  
 167 corridor, floor in reception seating area, shelf surface in playroom, chair arm in corridor, and  
 168 nurses station phone in the corridor) one was considered high risk, two medium risk and one  
 169 low risk. These surfaces were all cleaned by cleaners, and three of the five were made from  
 170 coated wood. Some surfaces had a large reduction in CFU, such as the floors, whereas other  
 171 surfaces had a large increase in contamination, such as a bin lid and a toy, with increases of  
 172 2015% and 183% respectively.

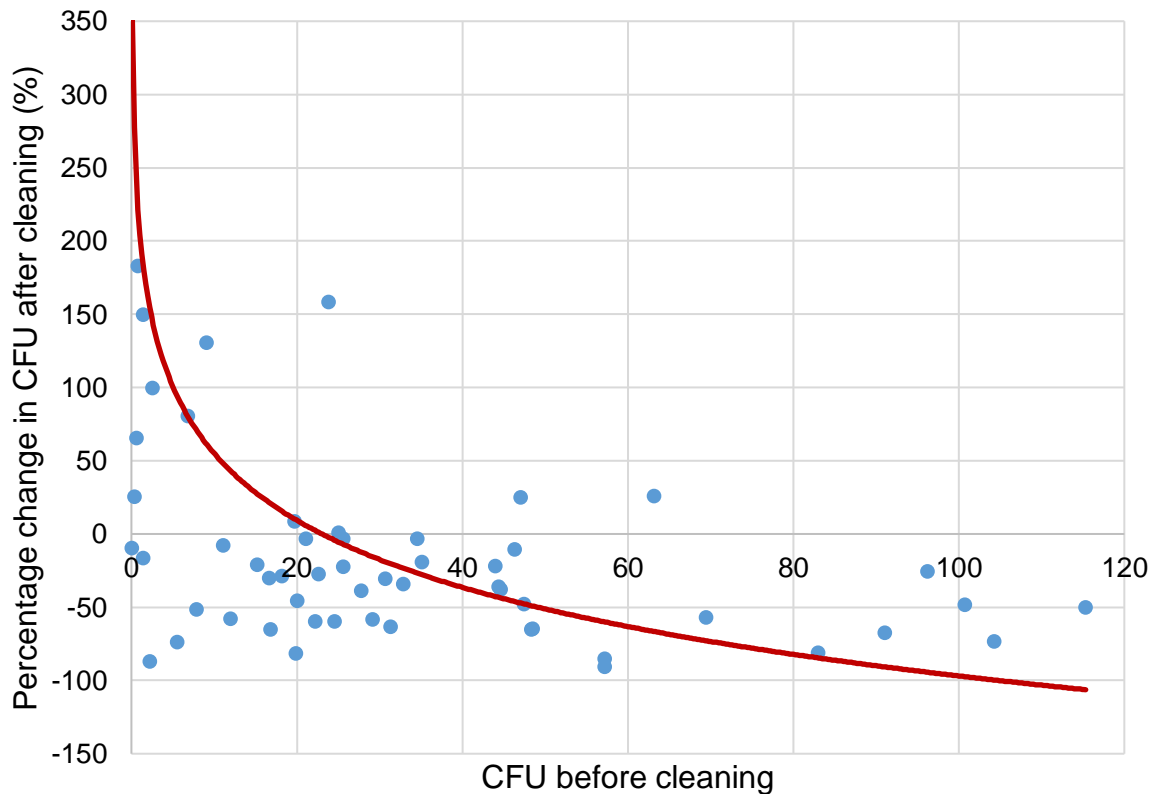


173 **Figure 2.** Mean percentage change (with standard error) in microbiological contamination of all  
 174 surfaces sampled in the study according to: a) clinical and non-clinical surfaces; b) the material of the  
 175 surface; c) the group that had the most interaction with the surface; d) staff member responsible for

176 cleaning; e) perceived risk surface poses to infection control; and f) perceived cleanability of the  
177 surface.

178

179 Figure 2 explores the different factors causing either increase or decrease in CFU following  
180 cleaning. Surface type plays a large role (figure 2b); metal surfaces, while least contaminated  
181 before cleaning as shown in figure 1, became more contaminated than other surface types  
182 following cleaning, with an increase of +168%. Surfaces with a lower perceived risk (figure 2e)  
183 had a greater risk of recontamination, becoming 35% more contaminated, whereas higher risk  
184 surfaces had a decrease of 18%. Surfaces touched (figure 2c) by staff had little to no increase  
185 in loading (reduction of -4.44%), whereas surfaces touched by none (-29.44%) of the staff  
186 groups or patients (-21.58%) had the greatest reduction. Easy to clean surfaces (figure 2f)  
187 were more contaminated (+34.12%) than their difficult to clean counterparts (18.18%) and the  
188 moderate surfaces had a reduction of 17.66%.



189

190

191 **Figure 3.** Relationship between CFU before cleaning and percentage change in CFU after cleaning.

192 Figure 3 shows the relationship between initial CFU recovery before cleaning, and change in  
193 CFU after cleaning. Surfaces that were initially more contaminated with a higher CFU (judged  
194 as >50CFU/plate) had an increased reduction in CFU after cleaning. Surfaces that were  
195 cleaner and had lower initial CFU had a greater increase in bacterial load, with some surfaces  
196 starting at <20CFU/plate had an increase of CFU of up to 180%.

197 **Discussion**

198 This study was a microbiological assessment of cleaning within a pediatric day ward. As far  
199 as the authors are aware, this is the first study assessing the direct impact of before and after  
200 cleaning within this setting. From the 1,160 samples taken from 11 areas, the results have  
201 demonstrated that within the ward, there is great variability in effectiveness of cleaning across  
202 the different surfaces and areas. Potential factors for these variabilities have been explored  
203 and discussed, including area sampled, surface material, interaction with the surface,  
204 perceived risk and cleanability.

205

206 Numerous other studies have shown variable compliance with cleaning and success in using  
207 cleaning and cleaning training interventions to reduce microbiological levels in hospitals [7-9].  
208 Within this study, it has been demonstrated that there has been an average overall reduction  
209 of CFU by 68%. This reduction was linked to a variety of factors, of which caused a wide  
210 variation of change in cleaning effectiveness and bacterial loading of a surface. All surfaces  
211 were sampled before and after cleaning, so a breakdown of these factors was possible.

212

213 Generally, cleaning was moderately effective throughout the ward. Surfaces that had a low  
214 CFU prior to cleaning had an increase in bacterial load after cleaning, as shown by an increase  
215 of up to 180% for surfaces that were previously cleaner (<20 CFU/plate). Another key finding  
216 from this study was how personal perception had an impact on cleaning efficacy. Surfaces  
217 deemed difficult to clean were the least contaminated (17 CFU/plate) compared to easy to  
218 clean surfaces at 32.53 CFU/plate. Surfaces with moderate risk to patients had a higher CFU  
219 (42.58 CFU/plate) than those classified as low or high risk. These findings are consistent with  
220 other studies which consider the impact of perception and attitudes of cleaners and  
221 environmental service workers to their role. One study implementing training and a change of  
222 attitude and culture shift as part of a larger REACH (Researching Effective Approaches to  
223 Cleaning in Hospitals) intervention was an effective component that impacted cleaning [10].  
224 Several studies have identified the importance of attitude, and feeling included and respected

225 as part of a team as a potential source for cleaning failures, and therefore a key element to  
226 target in order to drive improvement in cleaning [8, 11, 12].

227

228 Within this study, before cleaning, the corridor and reception areas were demonstrated to have  
229 the highest levels of contamination, with average CFU's of 81.98 CFU/plate and 74.25  
230 CFU/plate respectively. The most contaminated surfaces before cleaning were the bookcase  
231 in the corridor (115.33 CFU  $\pm$ 19.82), the floor in the reception seating area (104.33 CFU  
232  $\pm$ 29.45) and the shelf surface in the playroom (100.78 CFU  $\pm$ 33.56). These results were  
233 consistent with findings throughout the study in which several factors had a direct impact on  
234 CFU recovery. Other studies support these findings, in which high levels of contamination  
235 were found from non-clinical areas [13]. All three surfaces were classified as low risk, which  
236 was linked to an increase in contamination (41.08 CFU/plate). The shelf and floor were  
237 allocated as easy to clean and the bookcase moderate, which is linked to increased CFU  
238 (32.53 and 42.58 CFU/plate). The floor and bookcase had mostly patient interaction and the  
239 shelf had interactions with all people within the hospital environment, which was linked with  
240 increased CFU (50.12 and 32.73 CFU/plate). In particular, floors are an important  
241 consideration of this study when dealing with the unique pediatric subset. Some studies argue  
242 that floors are not an important part of the surface environment and should not be considered  
243 as an important vehicle for infection transmission, as patients rarely come into contact with  
244 these surfaces [14, 15], yet within pediatrics, this patient-surface interaction is very different  
245 [16].

246

247 These findings were different to those from other studies, in which surfaces closest to the  
248 patient are more commonly sampled as these are considered the highest risk and most  
249 contaminated, in which surfaces such as bed rails [17, 18], bed tables [17, 19] and patient  
250 lockers [20] were found to be the most contaminated. While this study assessed CFU and did  
251 not undertake species identification, other studies assessing clinically significant pathogens  
252 support these findings, where the wider environments were found to be most contaminated. A

253 wide diversity of contaminants have been isolated from public areas in other studies within the  
254 clinical environment [21-23]. Within the pediatric environment, patient interaction is more likely  
255 to occur within these communal areas due to the nature of the patient subset and unique  
256 surface interactions, such as sitting and playing on floors, and interacting with toys and other  
257 sensory objects [16].

258

259 The data suggest that surfaces which have the most interaction with patients and their parents  
260 or guardians were the most contaminated following cleaning (Figure 1c). A lower level of CFU  
261 was consistently found within the height and weight room. This area had a large amount of  
262 both staff and patient interaction. Due to this constant use throughout the day and high  
263 potential for contamination (nappy change table), these surfaces could be considered high  
264 risk, and high risk surfaces have shown more effective cleaning due to perception of risk  
265 (figure 2e). Both plastic and coated wood surfaces had a large proportion of interactions with  
266 staff (12 out of 23 and 3 out of 6 respectively) which, again, was shown to correspond with  
267 bacterial loading (figure 2c).

268

269 Surface type had the largest impact on bacterial loading, with metal surfaces increasing  
270 167.68% following cleaning. This could be linked to metal surfaces being mostly allocated as  
271 easy to clean and therefore linked to cleaner perception. Of the 14 metal surfaces assessed  
272 within this study, 9 were classified as easy, 4 moderate and 0 difficult to clean. Surfaces  
273 allocated as easy to clean were shown to have the greatest increase in CFU (+34.12) after  
274 cleaning (figure 2f). Surfaces made from plastic and coated wood had an overall reduction,  
275 potentially due to the majority of plastic surfaces being deemed as high risk (13 out of 23  
276 surfaces) and wood surfaces (4 out of 6) which have been shown to be linked to a decrease  
277 in contamination (figure 2e).

278

279 As some of the data are dependent on personal perceptions of different ward staff, it is  
280 important to consider the possible bias. Perceived cleanability and perceived risk of a surface

281 will undoubtedly vary between staff members. Furthermore, these personal perceptions may  
282 also vary between the role of each staff member. In order to reduce the risk of bias, these  
283 standards were predetermined following consultation with the Great Ormond Street Hospital  
284 Infection Prevention and Control team, and all cleaning efforts were judged the same. The  
285 ward staff were not made aware that this was an area of focus within the study.

286

287 Limitations of the study include the single ward environment in which the samples were taken.  
288 Inclusion of different wards with different specialties and patient subsets could have given an  
289 indication of differences of ward environment and cleaning. Another limitation was the cleaning  
290 crew allocated to the ward. The ward sampled had a specific cleaning team, which is not  
291 always representative of subcontracted cleaning throughout the rest of the hospital and other  
292 healthcare facilities. Furthermore, there were many factors that could not be controlled, such  
293 as the inability to take samples when rooms were unavailable due to contact precautions.  
294 During the day, prior to sampling, patient, visitor and staff numbers were not recorded, all of  
295 which could have had an impact on levels of CFU. Contact plates were used for all surface  
296 samples, which were not ideal for recovering from uneven surfaces, such as door handles, or  
297 wet surfaces such as sinks [24].



298 **Conclusion**

299 These results show that during the sampling period, overall, a reduction of 63% in microbial  
300 load has occurred. There was considerable difference in cleaning efficacy and initial  
301 contamination levels across all surfaces sampled and between clinical and non-clinical areas,  
302 caused by a variety of factors as assessed within this study. The findings from this study  
303 suggested perception of a surfaces' risk to patient and cleanability is an important factor in  
304 cleaning efficacy. Other work has demonstrated how attitude can cause a variance in  
305 competency and is reflected within the range of results.

306

307 Some areas were consistently clean, both before and after cleaning, such as the surfaces in  
308 the height and weight room. Some areas were cleaned more effectively than others; the  
309 treatment room, on average, had an 80% reduction in contamination levels following cleaning,  
310 whereas the outpatients reception area had an increase of 12% following cleaning. Some  
311 areas, such as the sluice, were cleaner than others both before and after cleaning, while other  
312 individual surfaces had consistently higher CFU such as the bookcase in the corridor and the  
313 playroom shelf.

314

315 When considering the impact and application of these findings, the results from this study can  
316 be used to provide evidence for cleaning training with targeted components, with particular  
317 consideration to the weight that personal perception of risk and cleanability of a surface have  
318 on how well cleaning has been undertaken.

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