

1 **A Semi-Automatic Image-based Object Recognition System for** 2 **Constructing As-is IFC BIM Objects based on Fuzzy-MAUT**

3 Long Chen ^a; Qiuchen Lu ^{a,*}; Xiaojing Zhao ^b;

4 a. Department of Civil Engineering, University of Hong Kong, Hong Kong, China

5 b. School of Management and Economics, Beijing Institute of Technology, Beijing, China

6 **Abstract**

7 Building Information Modelling (BIM) could support different activities throughout the life
8 cycle of a building and has been widely applied in design and construction phases nowadays.
9 However, BIM has not been widely implemented in the operation and maintenance (O&M)
10 phase. As-is information for the majority of existing buildings is not complete and even
11 outdated or incorrect. Lack of accurate and complete as-is information is still one of the key
12 reasons leading to the low-level efficiency in O&M. BIM performs as an intelligent platform
13 and a database that stores, links, extracts and exchanges information in construction projects.
14 It has shown promising opportunities and advantages in BIM applications for the
15 improvement in O&M. Hence, an effective and convenient approach to record as-is
16 conditions of the existing buildings and create as-is BIM objects would be the essential step
17 for improving efficiency and effectiveness of O&M, and furthermore possibly refurbishment
18 of the building. Many researchers have paid attention to different systems and approaches for
19 automated and real-time object recognition in past decades. This paper summarizes state-of-
20 the-art statistical matching-based object recognition methods and then presents the image-
21 based Industry Foundation Classes (IFC) BIM object creation application, which extracts
22 object information by simply conducting point-and-click operations. Furthermore, the object
23 recognition research system is introduced, including recognizing structure object types and
24 their corresponding materials. This paper combines the Multi-Attribute Utility Theory
25 (MAUT) with the fuzzy set theory to be Fuzzy-MAUT, since the MAUT allows complex and
26 powerful combinations of various criteria and fuzzy set theory assists improving the
27 performance of this system. With the goal of creating an effective method for as-is IFC BIM
28 objects construction, this image-based object recognition system and its recognition process
29 are further validated and tested. Key challenges and promising opportunities are also
30 addressed.

* Corresponding author

E-mail addresses: u3003601@connect.hku.hk (Q.C. Lu)

31 Keywords: Fuzzy-MAUT, fuzzy set theory, as-is Industry Foundation Classes (IFC) BIM
32 object, image-based object recognition

33 **1 Introduction**

34 In real projects, the majority of owners and stakeholders pay attention to the initial design and
35 construction phases as the primary areas of BIM implementation. However, the subsequent
36 operation and maintenance (O&M) are the longest and costly phases over the life cycle of a
37 building. According to National Research Council (1998) and Teicholz (2004), over 85% of
38 the total costs in ownership and 30-50 years of a building lifecycle spend on O&M. In Hong
39 Kong, it is expected that the total number of buildings will increase to 58,000 in 2050.
40 Existing buildings in 5 to 35 years old have contributed nearly 75% of the total buildings. In
41 particular, there are more than 2000 buildings over 50 years old in Hung Hom area. There
42 have been tragic building collapse accidents in Hong Kong including the one happened in the
43 City University of Hong Kong on May 21, 2016 (Daily News 2016). Moreover, on January
44 29, 2010, building collapse accident suddenly happened in Ma Tau Wai road. An old six-
45 story walkup building suddenly crumbled at about 1.30pm. Four people died and two were
46 injured (People.cn 2010). Reasons for those accidents were often related to inefficient
47 operations and maintenance of existing buildings and lack of effective information support.
48 Many activities in O&M are information-related activities. However, information, especially
49 stored in hard-copy documents, is usually outdated and unreliable. Furthermore, most
50 existing buildings today even do not have completed or accurate as-is information
51 documents. Accurate and real-time information in O&M is critical to making correct
52 decisions. The inaccurate and poor information would lead to inefficient maintenance and
53 delay or even wrong decisions. Managing information through effective methods in O&M is
54 extremely important to provide the best services to the building occupants (Lee and Akin
55 2009).

56 As an intelligent and parametric digital platform, Building Information Model (BIM)
57 supports various activities throughout the life cycle of a building. One of the significant
58 concepts of BIM is “BIM is a database that stores, links, extracts and exchanges information”
59 (Eastman et al. 2008). Smith and Tardif (2009) stated that applying BIM in O&M would
60 minimize information loss remarkably, especially when information transferring from the
61 construction phase to the O&M phase. During the past decades, BIM has shown promising
62 possibilities and great opportunities to improve the low-level efficiency of building
63 management in O&M phase (Forns-Samso 2011). For instance, the Shanghai Centre in China

64 also developed a comprehensive platform in O&M, which integrated disparate BIM, CMMS,
65 and BAS (Lu et al. 2017).
66 However, most existing buildings today do not have meaningful BIM models. Furthermore,
67 constructing as-is BIM for existing buildings is considered to be a time-consuming and
68 complex process, because great effort, high cost and skilled workers are all necessary. In
69 order to implement as-is BIM and further improve efficiency and effectiveness of O&M, this
70 paper presents the possibilities to have a high-efficient and low-cost image-based semi-
71 automatic object recognition system to assist constructing as-is Industry Foundation Classes
72 (IFC) BIM objects. In general, this paper first extensively introduces computer vision
73 systems. Then, multi-criteria decision-making approaches and fuzzy theory are described in
74 detail. An image-based object recognition system for IFC BIM object generation method is
75 presented for this study. A series of evaluation tests is conducted to verify the functional
76 performance and demonstrate the effectiveness and efficiency of the innovative approach
77 proposed in this paper. This study is based on the authors' conference paper on 2016 CIB
78 w78 conference (Lu and Lee 2016).

79

80 **2 Literature Review**

81 Considering the unreliable and inefficient storage method in the O&M phases (e.g., hard-
82 copy documents shown as Fig.1), proposition of a high-efficient and convenient system to
83 assist in constructing as-is IFC BIM is raised due to research attempts and industry trends.
84 This literature review firstly discusses the computer vision based systems in civil
85 engineering. Multi-criteria decision-making algorithms can thus be studied through the
86 review of current literature discussing advantages related to object recognition in the
87 AEC/FM sector. This section aims at providing a well-grounded foundation for further
88 completed system development.

89



90

91 **Figure 1** Existing documents for O&M management (photos taken by authors)

93 **2.1 Overview of Computer Vision Systems in Civil Engineering**

94 Although the majority of as-is BIM creation methods are developed based on laser scanners,
95 computer vision methods have irreplaceable advantages comparing to methods using laser
96 scanners, referring to the Fig.2. For instance, besides the high price of laser scanners, point
97 clouds would contain noisy and miss data, and further it is considered to be a time-consuming
98 and tedious process (Fathi et al. 2015). Computer vision systems have been introduced to the
99 construction field recently (Azar 2015, Lu and Lee 2017). They implement and combine
100 various techniques and theories (e.g., artificial systems, physics-based and probabilistic
101 models) to extract and analyse data from images, and reconstruct properties of each object
102 (e.g. shape, illumination, and colour distributions). The images can be in different forms,
103 including video, images via multiple cameras, or multi-dimensional data from Google tango.
104 In the early stage of computer vision, researchers usually used image processing technologies
105 to pre-process the image for further analysis (Szeliski 2010). Fig.2 presents current
106 processing and recognition methods according to their appearing years. Image processing
107 implements different algorithms on images and outputs data or parameters related to the
108 target images. Input images can be digital images or analogy images. Typical image
109 processing operations mainly include fundamental image processing & registration methods,
110 image registration, image differencing and morphing, image recognition, and image
111 segmentation. Although extra efforts in processing and applications needed to be developed
112 using computer vision methods, image-driven methods have shown promising effective and
113 economical possibilities through comparison research with laser scanners (Bosché et al. 2015;
114 Dimitrov and Golparvar-Fard 2014; Lu et al. 2018).

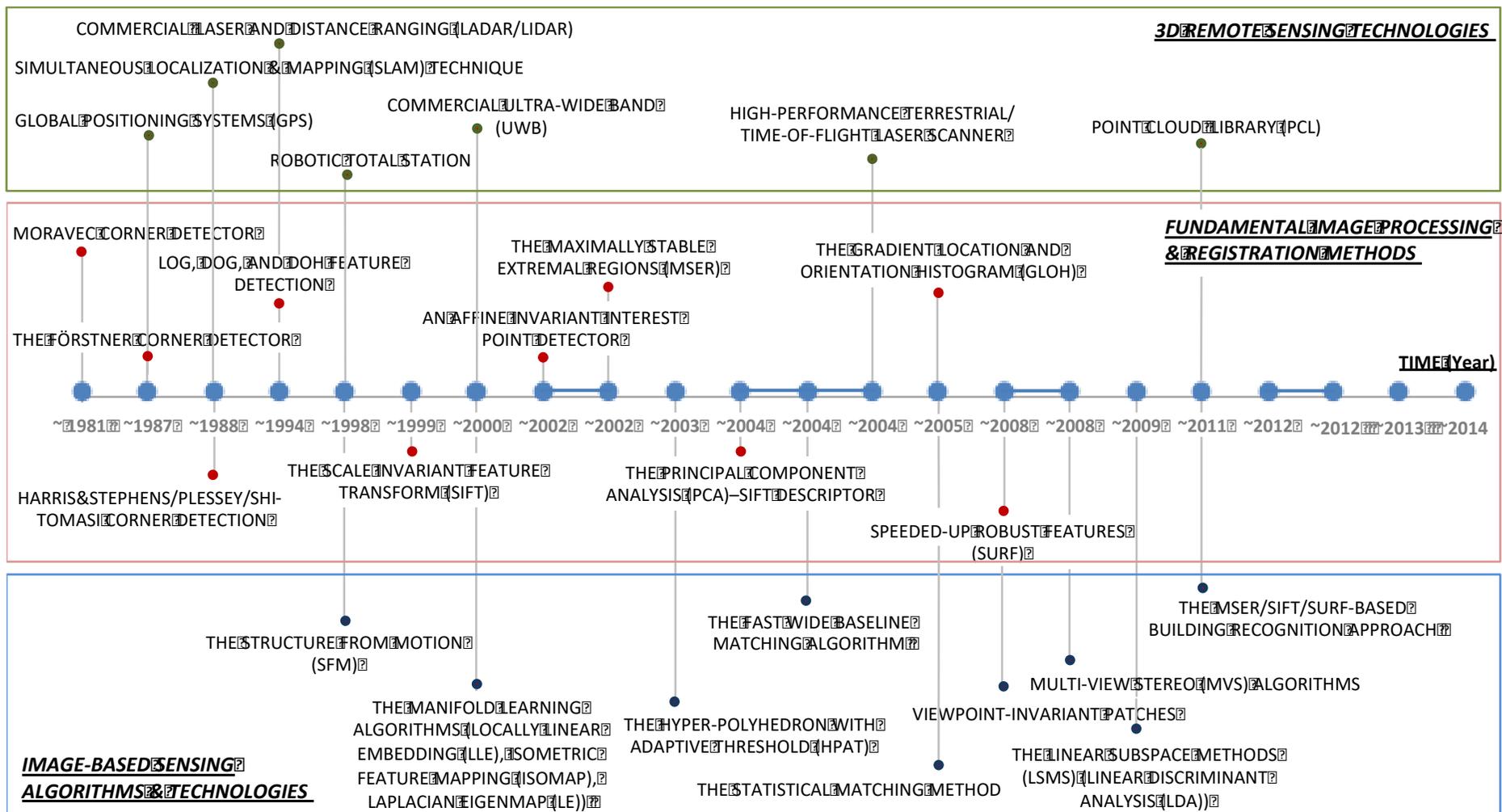


Figure 2 Brief summary of image-based methods and different types of image-based configurations

115

116

117

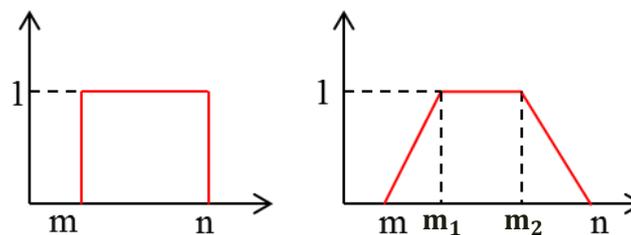
118 2.2 Overview of Multi-Criteria Decision-Making Algorithms

119 Multi-criteria decision-making (MCDM) provides a systematic and comprehensive decision-
 120 making method, which can integrate different inputs with benefit information and views from
 121 decision-makers (Kabir 2012; Sadiq and Tesfamariam 2009). MCDM can identify and
 122 quantify various considerations of decision-makers, and compare different factors at the same
 123 time. Through summarizing various researchers' works, MCDM can be categorized into
 124 multi-objective decision-making (MODM) and multi-attribute decision-making (MADM).
 125 The target of MODM is optimizing multiple objective functions and gets the final decision.
 126 Meanwhile, MADM focuses on ranking and selecting among various decision alternatives
 127 described by multiple criteria according to the decision-makers' knowledge and experience
 128 (Karami 2011). In this paper, multi-attribute utility theory (MAUT) and the fuzzy set theory
 129 are used. MAUT is one kind of MADM and used for evaluating different items taking
 130 multiple computing attributes into consideration (Wang et al 2010; Pachauri et al 2014). The
 131 basic model is expressed as following.

$$132 \quad U(A_i) = \sum_k^K w_k u_k(x_{ik}) \quad (1)$$

133 where $U(A_i)$ performs the utility of alternative i , w_k is the weight of the attribute/criterion k ,
 134 and $u_k(x_{ik})$ presents the utility of attribute/criterion k of alternative i , x_{ik} provided that the
 135 value of attribute/criterion k of alternative i is x_{ik} .

136 The fuzzy set theory is a class of objects, with a continuum of membership grades. In this
 137 paper, both certain membership function and fuzzy membership function are used (Fig.3). A
 138 fuzzy set A of a universal set X is defined by a membership function $f[A(x)]$. Each element
 139 x in X is mapped to a membership grade between 0 and 1 in y axial (Erol et al 2011).



140

141 **Figure 3** Certain membership function (left) and fuzzy membership function (right) (revised
 142 from Lu and Lee 2016)

143 The trapezoid membership (ranging from m to n) can be expressed as $u_M(x)$ as shown in
 144 equation (2):

145

146

$$u_M(x) = \begin{cases} \frac{1}{k_m}x - \frac{m_1 - k_m}{k_m} & (x < m_1) \\ 1 & (m_1 \leq x \leq m_2) \\ -\frac{1}{k_m}x + \frac{m_2 + k_m}{k_m} & (x > m_2) \end{cases} \quad (2)$$

147 where k_m is the reciprocal of the hypotenuse.

148

149 In order to take into account possibilities of information shortage and inaccuracy in the data
150 in the forms of images and drawings, the fuzzy logic algorithms are investigated, which can
151 reason with imprecise information. From the preliminary studies on the algorithms (see Table
152 1), fuzzy logic systems can make decisions even with incomplete or uncertain information.
153 However, as individual fuzzy logic algorithms cannot automatically acquire the rules used to
154 make those decisions and have its own limitations, this research adopts an intelligent hybrid
155 system (i.e., a fuzzy-MAUT system), which combines fuzzy algorithms with MAUT in order
156 to overcome the limitations of individual algorithms. Through combining each individual
157 evaluation, MAUT would obtain overall utility values and express various preferences in the
158 form of a utility function. Interpretability and accuracy, which are main strengths of the
159 MAUT method, are the key criteria for choosing algorithms.

160 Every single intelligent technique has its specific computational properties, which could
161 be suitable for certain types of problems. Combining different techniques can overcome each
162 individual limitation. The Fuzzy-MAUT is a hybrid system, in which the fuzzy set theory
163 offers range definitions under cognitive uncertainty, while MAUT provides a comprehensive
164 calculation of adaptation, parallelism and generalization. With the ultimate goal of
165 developing an easy, accurate and efficient as-is BIM construction system, this study
166 developed an as-is IFC BIM objects construction system based on Fuzzy-MAUT.

167 **Table 1** Summary about algorithms related to object recognition

Based Method	Brief Introduction	Extended Method Statements	Advantages	Limitations	Literatures
Ant Colony Optimization (ACO)	This algorithm is a multi-agent system and relies on feedback and heuristic information to get close to the optimal solution.	ACO based image feature selection method / image processing	Comparing to traditional ACO algorithm, this improved one uses the directed graph with $O(2n)$ arcs instead of $O(n^2)$; The feature set of this algorithm focuses on small size and with high classification accuracy.	Research is experimental rather than theoretical; Changes of probability distribution depends on iteration; The time of convergence is not certain.	Chen et. al (2013); Tian et. al (2008); Dorigo and Socha (2006);
		ACO based image detection method	This method can reduce the computational load.	The accuracy mostly relies on the character of the image.	
Genetic Algorithms (GA)	This algorithm is a 'population-based' method and based on probabilistic search concept, which mainly depends on natural selection and biological genetics.	An improved GA with a K-nearest neighbor algorithm (GA+Knn ¹)	Improve the work efficiency by applying 0-10 weightings instead of 0-1 weightings; The whole process could finish in a reasonable amount of time.	The scale of genetic algorithm cannot be controlled well (especially applying in house or engine); Complexity.	Tam et. al (2007); Crispin et.al (2007); Punch et.al (1993);
		A genetic algorithm template-matching approach (using in PCB ²)	This approach can achieve multiple-object recognition; It based on a generalized grey-model template ³ for positions and angles of components.	In a real project, it takes large amounts of time to complete fitness function evaluations; The speed of convergence is not efficient enough.	
The Fuzzy Logic Theory (FL)	The Fuzzy logic theory is mainly based on an 'approximate' form with many-valued logic types rather than only one fixed or exact meaning. Further, fuzzy relies on the concept of partial truth and more related to natural languages.	A combined fuzzy pixel-based and object-based approach ⁴	The object-based fuzzy logic approach and pixel-based one can be complementary in the information providing aspect; The accuracy improved.	Time-consuming is a key point.	Sumer and Turker (2013); Jiang (2011); Kim et. al (2009); Shackelford et. al (2003); Chen and Pham (2000);
		An adaptive fuzzy-genetic algorithm approach	This approach combined fuzzy logic with genetic algorithms. It improves the accuracy; More efficient comparing to the conventional GA approach.	There is no assurance to get global optimal solution;	
		The hybrid neuro-fuzzy system	This system is combined fuzzy logic with neuro-computing system ⁵ ; This system achieves much more robust, energy-efficient object recognition than before; This system improves adaptability and performance.	It requires to be careful about parameters choosing and computing time.	

168

169 1. The K-nearest neighbor algorithm (Knn) is a non-parametric method, which used for classification and regression;

170 2. The PCB is the printed circuit board in industry;

171 3. The generalized grey-model template is a template-matching method and it is used for multiple components to be located

172 and recognized;

173 4. The object-based fuzzy logic approach is using the objects classification and can provide object feature information, such

174 as shape and neighbourhood;

175 5. The neurocomputing system or neural network is considered to be simplified mathematical models of 'brain' systems and

176 they can function automatically to adjust their behaviour.

177 **3 Research Approach**

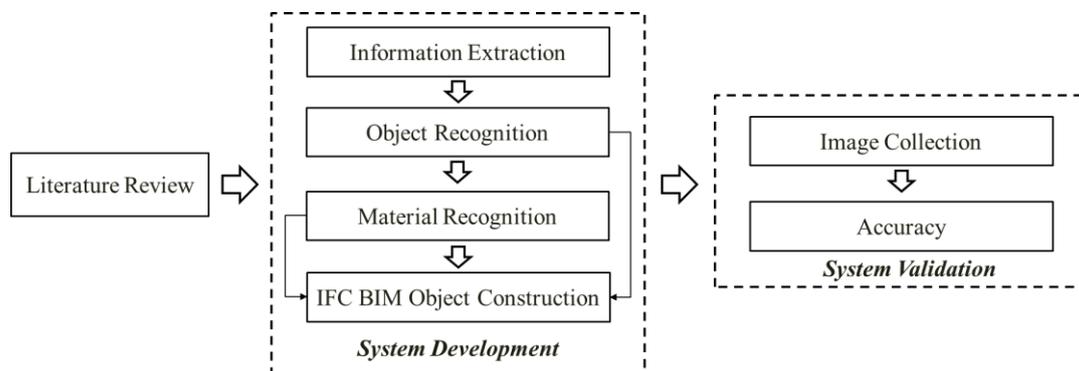
178 Based on a comprehensive literature review, this study consists of two stages: system
179 development and system validation (as shown in Fig.4). In the first stage, alternative object
180 characteristics for recognition are defined (including object and material recognition).
181 Preliminary experiments based on image-based technologies were performed to confirm
182 characteristics for object and material recognition among the alternatives identified. Then, the
183 novel semiautomatic image-based system was proposed to construct as-is IFC BIM objects in
184 IFCs based the fuzzy-MAUT framework. The new fuzzy-MAUT framework developed in
185 this study includes functions designed for building object recognition and material
186 recognition. In the second stage, the system was validated. Its performances were verified
187 using photos collected by digital cameras. The results based on this experimental
188 investigation were used to evaluate for accuracy to further improve the system.

189 Considering this study was based on the authors' conference paper on 2016 CIB w78
190 conference (Lu and Lee 2016), the main improvement and difference between these two
191 studies are as following:

192 a). From the objective aspect: the objective of this study is focusing on presenting a
193 completed and well-designed process of constructing an as-is IFC BIM object from images.
194 While, the previous conference was only focusing on object recognition from images.

195 b). From the improved content aspect: first, the literature review was enriched to prove the
196 idea of this study. Moreover, an IFC generation application and framework would be
197 provided to integrate the information from object recognition part and material recognition
198 part and then construct the completed as-is IFC object.

199



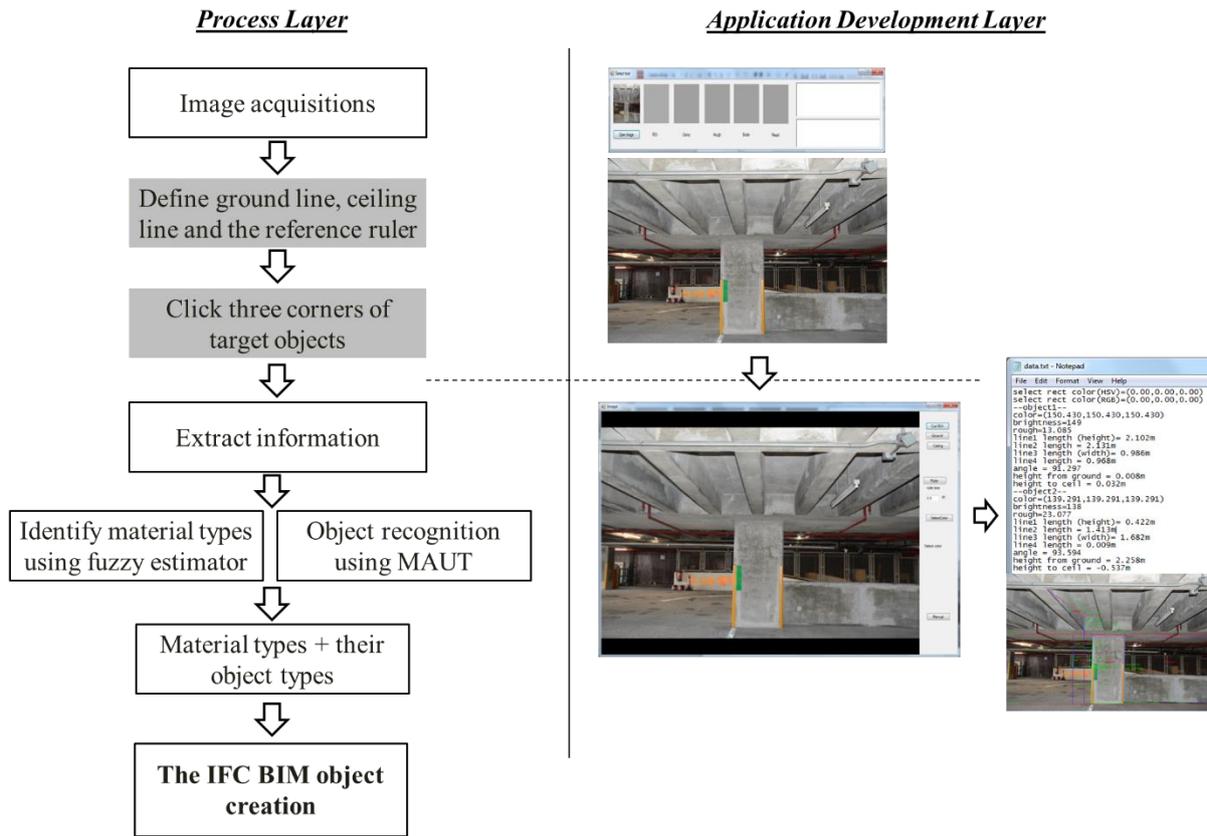
200

201

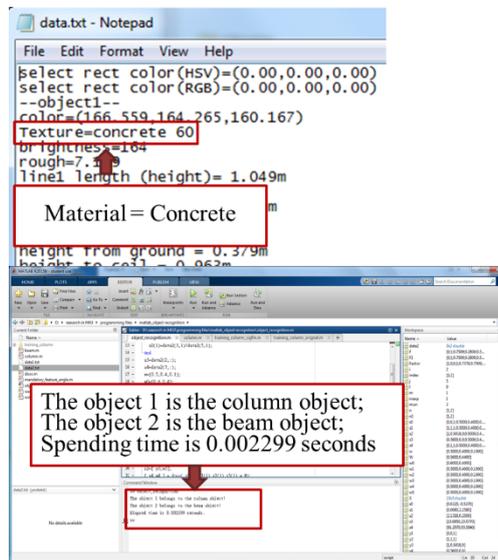
202

Figure 4 Research approach

203



205
206 **Figure 5** The overall process of this image-based semi-automatic object recognition system
207 (including building objects and their corresponding materials)



208
209 **Figure 6** Data output from the image-base application and the results using fuzzy-MAUT
210 system

211 The image-based semi-automatic object recognition system, including the types of building
212 objects and materials, consists of identifying material types using the fuzzy estimator and

213 recognizing building components using MAUT. The overall process is presented in Fig.5.
214 Moreover, the output results extracted from the photo is presented in Fig.6.

215 **4.1 Image-based Information Extraction Application**

216 In our surroundings, the majority of buildings (e.g., interiors) would be decorated at a certain
217 degree (e.g., the same colour and texture) (Fig.7, left part). Under this complex environment
218 with fewer features or no obvious characters, edges, points or lines might not be detected
219 accurately (Fig.7, right part). For instance, because of the complex man-made environment
220 and sundries, using Hough transformation will detect a large number of lines, some of which
221 are not related to target components (Duda and Hart 1972). This image-based information
222 extraction application uses the semi-automatic method and aims at effectively detecting
223 information under man-made environments.

224 Furthermore, this image-based information extraction application has some basic
225 requirements towards image acquisitions and camera configuration.

226 a) A good balance between distance and distortion is required for the application. If the
227 camera position is an undefined variable, the same field of view can be produced by different
228 combinations of the focal length or the distances to the camera. However, the difference is
229 that if the camera is close to the target object, the effect of perspective will increase.
230 Distortions will also appear when the camera is close to the target object using a wide-angle
231 lens. In order to improve the image quality and reduce blur, one should control the distance
232 between the camera and the target object.

233 b) Choosing a longer focal length of the digital camera. According to the equation (3), a
234 longer focal length results in a smaller axial magnification, while a smaller focal length will
235 lead to a larger axial magnification. In order to control the transformation, one should choose
236 a longer focal length of a camera.

$$237 \quad M_{ax} = \left| \frac{d}{d(s_o)} \frac{s_i}{s_o} \right| = \left| \frac{d}{d(s_o)} \frac{f}{(s_o-f)} \right| = \left| \frac{-f}{(s_o-f)^2} \right| = \frac{M^2}{f} \quad (3)$$

238 where the axial magnification of an object is M_{ax} and f is the focal length. s_o is the distance
239 between the lens and the object, while the s_i is the distance between the lens and the image in
240 the digital camera.

241

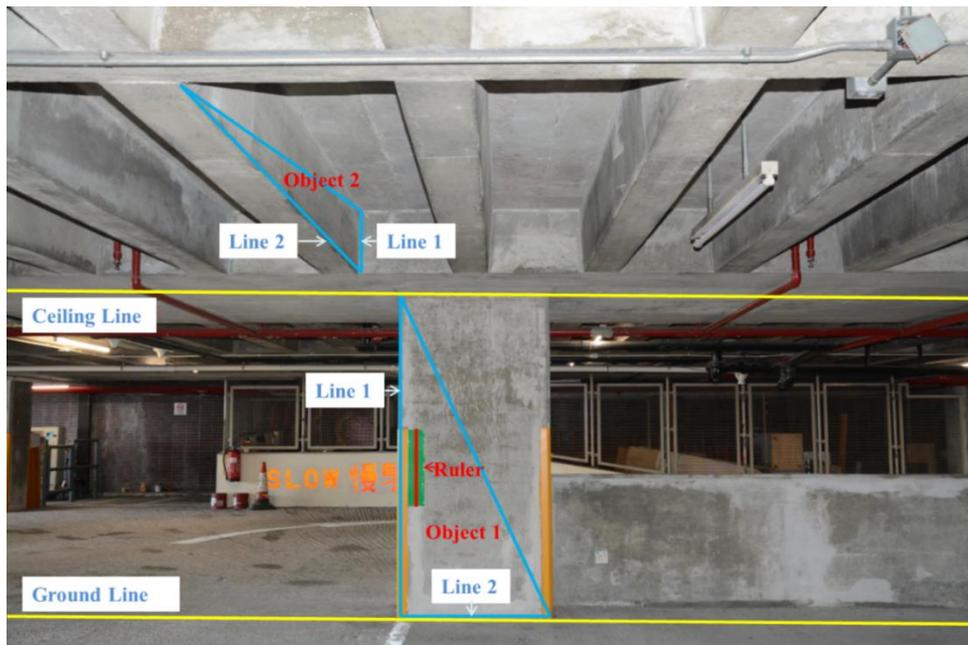


242
243

Figure 7 Structural components in a typical building (photos taken by author (left part);
Image processing and information extraction using Hough Transformation (right part)
(revised from Lu and Lee 2016)

247

248 In general, basic requirements for this image-based information extraction application are
249 reducing blur and distortion in the collected images. In the application, only point-and-click
250 operation is needed in order to reduce the processing time and simplify the process (Norman
251 2005). The prototype application is programmed in C# language. The framework is presented
252 in Fig.5. Seven features are extracted through this application, including ratio (height/width),
253 the vertical distance between the top point of line 1 to the ceiling line, the vertical distance
254 between the bottom point of line 1 to the ground line and the roughness of the selected
255 surface, the angle between the line 1 and the ground, RGB value and percentage of noisy
256 points for the selected objects. Line 1 is defined as the first line clicked by users (Fig. 8).



257
258

Figure 8 Indicated plot of this image-based information extraction application

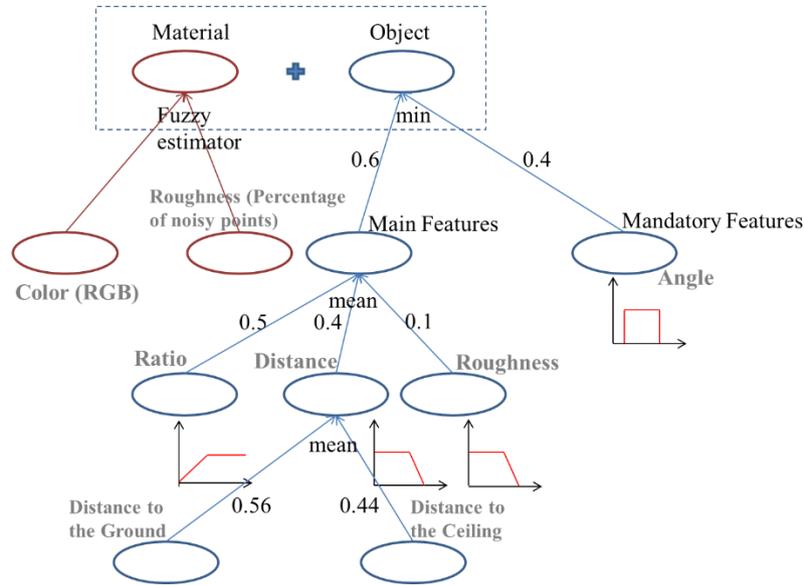
259

260 4.2 Introduction of the Fuzzy-MAUT based Object Recognition Framework

261 The overall recognition decision tree (material and object) using Fuzzy-MAUT is shown in

262 Fig.9. The object recognition profile follows the blue part and material is red part.

263



264

265 **Figure 9** Profile and framework for object and material recognition

266

267 3.2.1 Object recognition part

268 The calculation in the whole process will follow the weighted scoring rule (Schmitt 2002).

269 Let $W = (w_1, \dots, w_n)$ be the element representing the arguments' weights as $w_1 \geq w_2 \geq$
270 $\dots \geq w_n$, and $X = (x_1, \dots, x_n)$ are the corresponding input elements. Define that f is an
271 unweighted scoring rule. Then the weighted scoring rule F based on f can be defined by the
272 following formula:

273

$$274 \quad F(X) = (w_1 - w_2) \times f(x_1) + 2 \times (w_2 - w_3) \times f(x_1, x_2) + \dots + n \times (w_2) \times f(x_1, x_2, \dots, x_n)$$

275 (4)

276 The unweighted scoring rule f can be Min, Max or Mean functions to analyse the results.
277 According to different targets and layers in this process, different f is defined in the
278 framework (referring to Fig.9).

279 This paper tries to distinguish and recognize five different types of building components:
280 beam, column, wall, door and window. Four main features, including ratio, the vertical
281 distance between the top endpoint of line 1 to the ceiling line, the vertical distance between

282 the bottom endpoint of line 1 to the ground line and the roughness of the selected surface, are
 283 used. One mandatory feature, which is the angle between the line 1 and the ground, is chosen.
 284 Table 2 shows the range of each feature for different building components, while table 3
 285 defines membership functions of each feature for different building components based on the
 286 literature review and preliminary studies based on collected data.

287

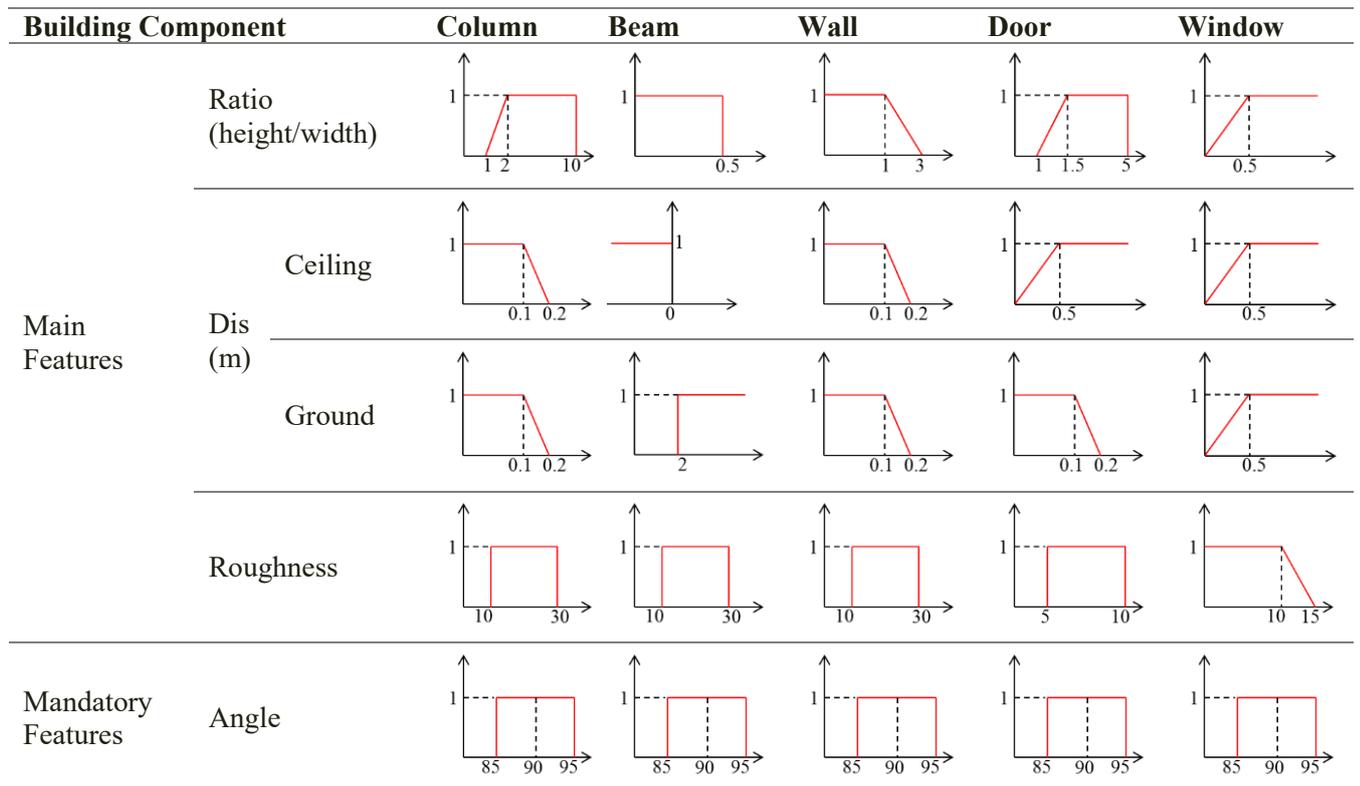
288 **Table 2** The range value for each object types (revised from Lu and Lee 2016)

Property	Column	Beam	Wall	Door	Window	Weights
Ratio (height/width)	1~10	[0, 0.5]	0~3	1~5	0~+∞	0.5
Distance (m) (To the ground)	0~0.2	[2, +∞]	0~0.2	0~0.2	0~+∞	0.4
Distance (m) (To the ceiling)	0~0.2	[-∞, 0]	0~0.2	0~+∞	0~+∞	
Roughness	[10, 30]	[10, 30]	[10, 30]	[5, 15]	0~15	0.1
Angle	[85, 95]	[85, 95]	[85, 95]	[85, 95]	[85, 95]	

289 *~ represents the range is a fuzzy range, while [] is a certain range.

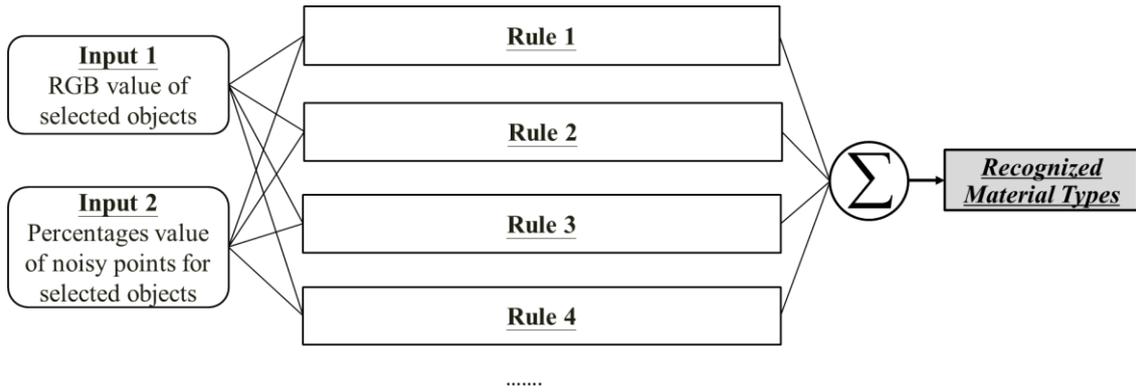
290

291 **Table 3** Membership functions for each object types (revised from Lu and Lee 2016)



292

293 **3.2.2 Material recognition part**



294

295

Figure 10 Fuzzy rules and process of material recognition

296 The material recognition part implements the fuzzy estimator. This system is designed for
297 maintaining and operating single existing building. Four kinds of materials commonly used in
298 our university campus are selected as a case study. 50 photos for each material (i.e., concrete,
299 white brick, red brick and white paint) are selected under different conditions (e.g., sunny
300 weather and pool lighting condition). After training and learning using collected photos, the
301 percentages of noisy points for four kinds of materials are summarized into membership
302 functions as shown in Fig.11 (right part). Fuzzy rules and recognition process are presented in
303 Fig.10. Samples of fuzzy rules are set as following:

304 Rule 1: If the surface of selected object is smooth and the colour is white, then material of
305 this object is white paint.

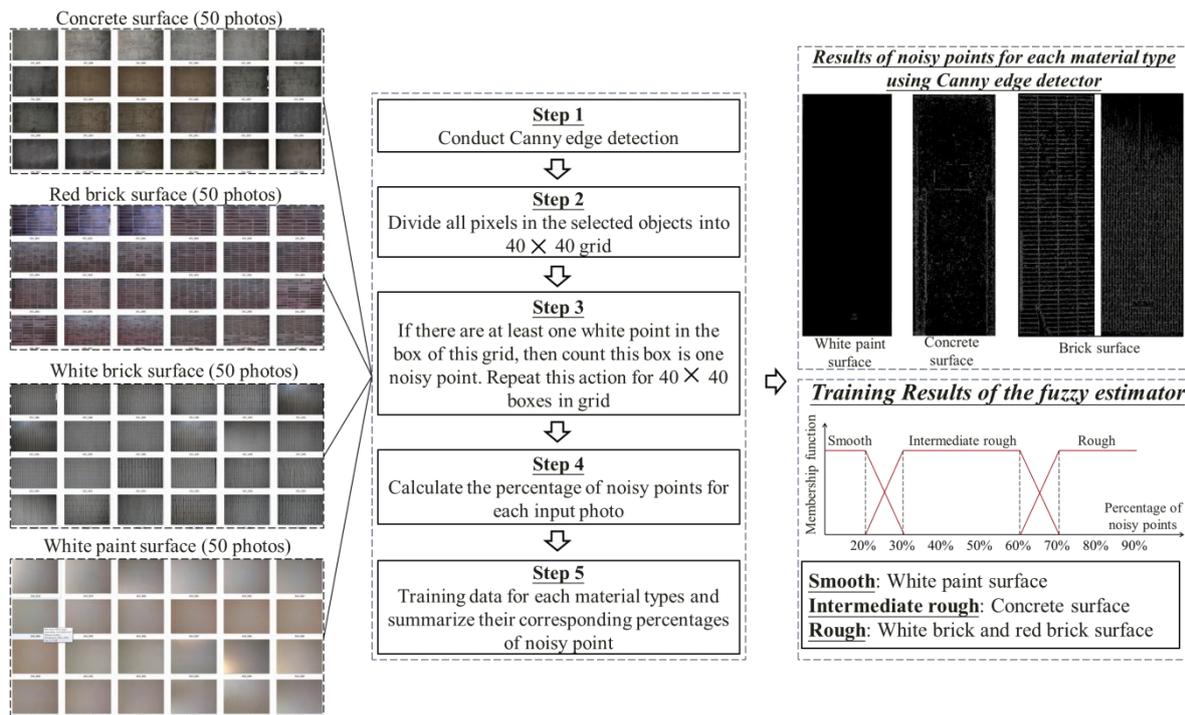
306 Rule 2: If the surface of selected object is intermediate rough and the colour is not white, then
307 material of this object is concrete.

308 Rule 3: If the surface of selected object is rough and the colour is not white, then material of
309 this object is red brick.

310 Rule 4: If the surface of selected object is rough and the colour is white, then material of this
311 object is white brick.

312 Framework and process of training parameters for the fuzzy estimator are expressed in detail
313 as shown in Fig.11.

314



315
316
317
318

Figure 11 Framework and process of training fuzzy estimator (revised from Lu and Lee 2016)

319 5 IFC BIM Object Generation

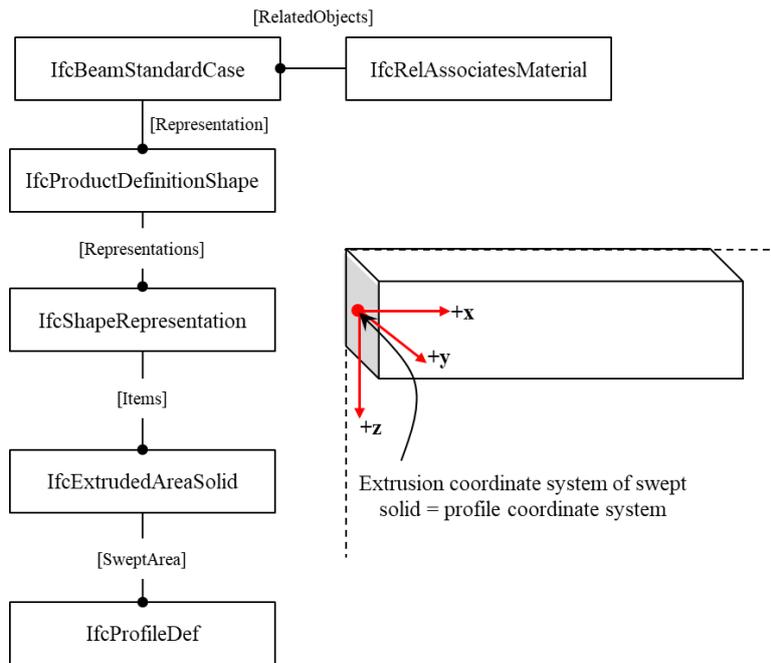
320 IFC is a widely used object-oriented open standard data schema for BIM and is an object
321 oriented and semantical model, including components, attributes, properties and relationships
322 of a building (Khalili and Chua 2013), initiated by buildingSMART in 1994. It has now been
323 widely used and become a formally registered international standard as ISO/PAS 16739. IFC
324 could support geometric representations and rich semantic information.

325 This system creates IFC BIM objects automatically based on IFC schema. For example, the
326 *IfcBeamStandardCase* in Fig.12 represents a beam entity of a BIM object in IFC. The related
327 information about this wall, such as its location (*IfcLocalPlacement*), material
328 (*IfcMaterialProfileSetUsage*), shape (*IfcProductDefinitionShape*), and other semantic
329 information could also be parsed and included. Then, all created IFC BIM objects are further
330 placed into the predefined local coordinate system, which is assumed as (0,0,0) in this study.

331 This IFC BIM Generation part is developed to create an IFC BIM object. The application of
332 generating an IFC BIM object based on recognition results was developed based on
333 ifcengine (<http://www.ifcbrowser.com/>) and using C# languages (Fig.13). Both IFC2×3 and
334 IFC4 are chosen to be the basic schema standards of this application development. Moreover,
335 Constructive Solid Geometry (CSG) representation is used to create the IFC BIM objects in

336 this study and CSG presents a geometric representation based on the CSG model (Liebich
 337 2009). A solid model represented by CSG is defined as combining a collection of primitive
 338 solids using certain operations (Fig.12). Advanced geometric representation can also be
 339 created using the CSG or with enhanced profile types (Fig.12). Based on the recognised
 340 object and its corresponding material, the resulting IFC file of the target building object
 341 would be created, as shown in Fig.14.

342



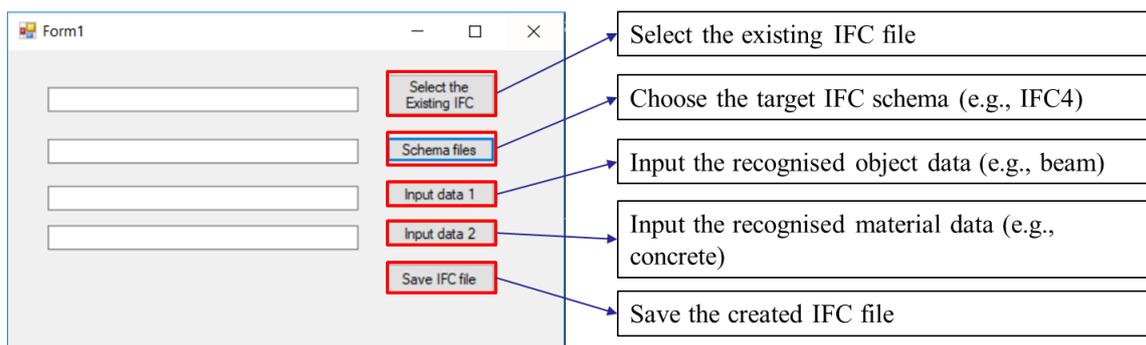
343

Figure 12 The data structure of IfcBeamStandardCase

344

(see also IfcBeamStandardCase 2011)

345



346

Figure 13 The application of generating a IFC file based on recognition results

347

```
#71 = IFCRELASSOCIATESMATERIAL('1u8pJjDnfgbaBodVz1_qHi', #5, $, $, (#47), #72);  
#72 = IFCMATERIALLAYERSETUSAGE(#73, .AXIS2., .POSITIVE., -488.5, $);  
#73 = IFCMATERIALLAYERSET((#74), $, $);  
#74 = IFCMATERIALLAYER(#75, 977., $, $, $, $, $);  
#75 = IFCMATERIAL('concrete', $, $);
```

Material Part

```
#76 = IFCSHAPEREPRESENTATION($, $, $, $);  
#77 = IFCSHAPEREPRESENTATION(#21, 'Body', 'SweptSolid', (#78));  
#78 = IFCEXTRUDEDAREASOLID(#79, #86, #90, 2125.);  
#79 = IFCARBITRARYCLOSEDPROFILEDEF(.AREA., $, #80);  
#80 = IFCPOLYLINE((#81, #82, #83, #84, #85));
```

Geometry Part

348

349

350

Figure 14 The selected parts of the output IFC file

351

352 6 System Evaluation and Discussions

353 With the goal of assisting in as-is IFC BIM objects construction, this image-based object
354 recognition system and its recognition process are further validated and tested. Based on the
355 previous studies (Brilakis et al. 2006; Caputo et al. 2010; Dimitrov and Golparvar-Fard 2014;
356 Golparvar-Fard et al. 2014; Lu et al. 2018), it is a necessary and effective method to use
357 photos collected by digital cameras or mobile phones to test the accuracy and robustness of
358 developed system as the basis for the verification. Hence, referring to Fig.15, over 70 images
359 are collected using digital cameras for further evaluation the accuracy of the developed
360 system.

361 Information would be extracted from images based on the design of system firstly (referring
362 to Chapter 4). Samples of extracted information are presented at Table 4. In these tests, 71
363 out of 74 objects in the images were recognized correctly and computing time were less than
364 0.01 second. In general, this semi-automatic image-based system is proved to be an effective
365 and convenient method in the early stage of recognising building objects (i.e., column, wall,
366 window, door and beam) and constructing as-is IFC BIM objects.

367 The system presented in this paper aims at developing a semi-automatic image-based
368 approach to recognise object and material of building components. It is expected that this
369 system of constructing as-is IFC BIM object has the following merits:

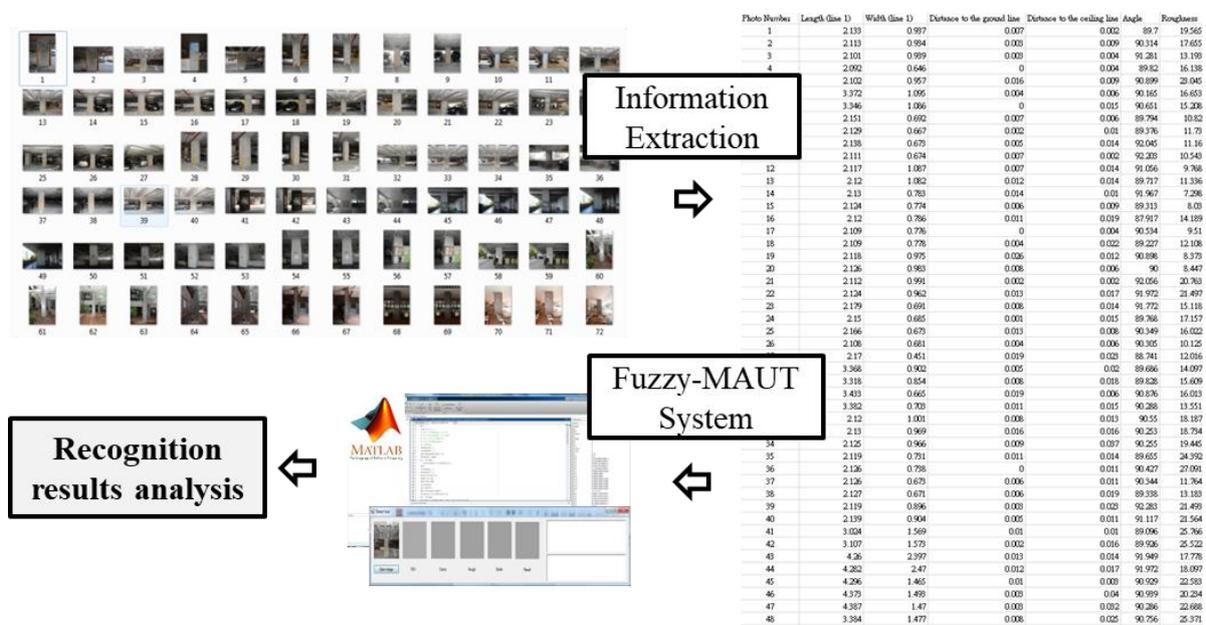
- 370 • Images collected by using common digital cameras can be used as an input data, which is
371 at relatively low cost and convenient to collect.
- 372 • The image-based system (using Fuzzy-MAUT algorithm) is suitable to recognize building
373 elements from images, especially taken from environments that require uncertain or
374 approximate reasoning. For instance, this system can extract information (i.e., object and

375 material) of building elements and recognize corresponding structural objects, when
 376 columns and beams are painted in the same colour.

377 In the failure recognition samples, the proposed image-driven system cannot distinguish two
 378 different types of building objects in some certain situations. Since the recognition system
 379 used the ratio as a key feature, for instance, if the ratio of a column was low because of the
 380 shape of the column is quite flat, the column has high possibilities of recognizing as a wall
 381 object. Hence, more intelligent and effective feature will be selected and determined in the
 382 future works. Moreover, this material recognition part can only recognise limited types (i.e.,
 383 four common materials). In the future works, this study will involve into wider types of
 384 materials.

385 However, during the O&M phase, various kind of information is needed from different
 386 sources including BIM, maintenance history and status, operation records and status,
 387 controlling and monitoring equipment information and status etc. (Becerik-Gerber et al 2011;
 388 Cavka et al 2015; Mayo and Issa 2015). The image-based application presented in this paper
 389 can be used for collecting geometric data as the first step of constructing building elements.
 390 As shown in Fig.15, this system describes collecting all the essential data from existing data
 391 sources, and constructing of an as-is BIM IFC object including geometry and material.
 392 Extending the IFC BIM objects into a semantically rich model and eventually achieving a
 393 BIM representation will be covered in the future works.

394



395

396 **Figure 15** Object recognition procedures using the image-based semi-automatic object
 397 recognition system (i.e., the image-base application and Fuzzy-MAUT algorithm)

398

400 **Table 4** Selected samples of extracted information from photos

Photo Number	Length (line 1)	Width (line 2)	Dis to the ground line	Dis to the ceiling line	Angle	Roughness
1	0.369	2.684	3.16	-0.442	85.806	5.104
2	0.486	3.12	3.584	-0.578	85.9	6.127
3	0.618	3.695	4.249	-0.727	84.224	5.276
4	0.466	3.021	3.388	-0.534	85	6.169
5	0.356	2.553	2.57	-0.416	84.431	12
6	0.461	3.091	3.049	-0.553	82.057	13
7	0.452	3.125	2.886	-0.545	85.739	15
8	0.483	3.277	4.089	-0.613	80.97	20
9	0.395	2.61	3.528	-0.48	82.761	25
10	0.341	2.18	2.547	-0.427	94.569	15.633

401

402 **7 Conclusion**

403 In order to achieve sustainable development throughout the lifecycle of a building, especially
404 the O&M phase, it is urgent to adopt BIM in order to facilitate operations and maintenance of
405 an existing building. Consequently, it is important and necessary to construct as-is BIM
406 models for existing buildings as many of them do not have a proper BIM model. However,
407 current methods and technologies of creating as-is BIM models mainly depend on extensive
408 human effort and time. Although data may be collected automatically from diverse sources
409 and methods (e.g., camera), managing useful data, existing methods to recognize building
410 objects and construct geometric objects, and attach identified non-geometric information are
411 all in manual or semi-automatic ways. In order to systematically automate the process of
412 constructing as-is BIM models from images, and possibly other data sources, this paper gave
413 a brief introduction of computer vision technology and Multi-Criteria Decision-Making
414 Algorithms (MCDM) firstly. Then, a semi-automatic image-based system (using Fuzzy-
415 MAUT algorithm) was built as the first step to achieve the goal. The system consists of two
416 parts: object & material recognition and IFC BIM object generation. More than 70 images are
417 tested in this system and it provides satisfied results. Furthermore, this system is proved to be
418 a low cost and convenient system for IFC BIM objects generation.

419 As future work, some cross referencing and discussion of further implications to the actual
420 findings will be included in this study. For example, the materials recognition as illustrated in
421 Fig.9 the 'profile and framework for object and material recognition' will be used as the basis

422 for having robust implications in this study. we will include non-geometric information into
423 the data structure and develop complete BIM models that fulfill requirements for O&M.

424

425 **Acknowledgement**

426 It is for declaration that this manuscript was presented in the 2016 W78 CIB conference
427 firstly. The improvement and difference between two papers have been marked in the
428 ‘research approach’ chapter. The authors would like to acknowledge the precious opportunity
429 from the 2016 W78 CIB conference and contributions from Dr. Sanghoon Lee, HKU.

430

431 **References**

432 Azar, E.R. (2015). Construction Equipment Identification Using Marker-Based Recognition
433 and an Active Zoom Camera. *Journal of Computing in Civil Engineering*. 30(3).
434 p.04015033.

435 Becerik-Gerber, B., Jazizadeh, F., Li, N. and Calis, G. (2011). Application areas and data
436 requirements for BIM-enabled facilities management. *Journal of construction
437 engineering and management*. 138(3). pp. 431-442

438 Bosché, F., Ahmed, M., Turkan, Y., Haas, C. T., and Haas, R. (2015). The value of
439 integrating scan-to-BIM and scan-versus-BIM techniques for construction monitoring
440 using laser scanning and BIM: The case of cylindrical MEP components. *Automation in
441 Construction*. 49. pp. 201–213. <https://doi.org/10.1016/j.autcon.2014.05.014>.

442 Brilakis, I.K., Soibelman, L. and Shinagawa, Y. (2006). Construction site image retrieval
443 based on material cluster recognition. *Advanced Engineering Informatics*. 20(4).
444 pp.443-452. <https://doi.org/10.1016/j.aei.2006.03.001>.

445 Cavka, H.B., Staub-French, S. and Pottinger, R. (2015). Evaluation of organisational context
446 and requirements for leveraging building information models to support handover and
447 operations & maintenance.

448 Caputo, B., Hayman, E., Fritz, M. and Eklundh, J.O., (2010). Classifying materials in the real
449 world. *Image and Vision Computing*. 28(1). pp.150-163.Chen, B., Chen, L., and Chen,
450 Y. (2013). Efficient ant colony optimization for image feature selection. *Signal
451 processing*. 93(6). 1566–1576. <http://doi:10.1016/j.sigpro.2012.10.022>

452 Chen, G., and Pham, T. T. (2000). Introduction to fuzzy sets, fuzzy logic, and fuzzy control
453 systems. *CRC press*.

454 Crispin, A. J., and Rankov, V. (2007). Automated inspection of PCB components using a
455 genetic algorithm template-matching approach. *The International Journal of Advanced*
456 *Manufacturing Technology*. 35(3-4). 293-300.

457 Daily News. Collapse accidents in the City University of Hong Kong, 2016. [accessed 2016
458 May 22]. [http://news.mingpao.com/pns/dailynews/web_tc/
459 article/20160521/s00001/1463767114593](http://news.mingpao.com/pns/dailynews/web_tc/article/20160521/s00001/1463767114593).Dimitrov, A., and Golparvar-Fard, M.
460 (2014). Vision-based material recognition for automated monitoring of construction
461 progress and generating building information modeling from unordered site image
462 collections. *Advanced Engineering Informatics*. 28(1). pp. 37–49.
463 <https://doi.org/10.1016/j.aei.2013.11.002>.

464 Dorigo, M., and Socha, K. (2006). An introduction to ant colony optimization. *Handbook of*
465 *approximation algorithms and metaheuristics*. CRC Press. pp. 26–1.

466 Duda, R.O. and Hart, P.E. (1972). Use of the Hough transformation to detect lines and curves
467 in pictures. *Communications of the ACM*. 15(1). pp.11-15.

468 Eastman, C., Teicholz, P., Sacks, R., and Liston, K. (2008). *BIM Handbook: A Guide to*
469 *Building Information Modeling for Owners, Managers, Designers, Engineers and*
470 *Contractors*. John Wiley & Sons.

471 Erol, I., Sencer, S. and Sari, R. (2011). A new fuzzy multi-criteria framework for measuring
472 sustainability performance of a supply chain. *Ecological Economics*. 70(6). pp. 1088-
473 1100.

474 Fathi, H., Dai, F., and Lourakis, M. (2015). Automated as-built 3D reconstruction of civil
475 infrastructure using computer vision: Achievements, opportunities, and challenges.
476 *Advanced Engineering Informatics*. 29(2). pp. 149–161.
477 <https://doi.org/10.1016/j.aei.2015.01.012>.

478 Forns-Samsó, F., (2011). *Perceived value of building information modeling in facilities*
479 *operations and maintenance*. Doctoral dissertation.

480 Golparvar-Fard, M., Peñna-Mora, F., and Savarese, S. (2011). Integrated sequential as-built
481 and as-planned representation with D4AR tools in support of decision-making tasks in
482 the AEC/FM industry. *Journal of Construction Engineering and Management*. 137(12),
483 pp.1099-1116. [https://doi.org/10.1061/\(ASCE\)CO.1943-7862.0000371](https://doi.org/10.1061/(ASCE)CO.1943-7862.0000371).

484 IfcBeamStandardCase: IFC Schema, 2011. [accessed 2018 June 22].
485 [http://www.buildingsmart-
tech.org/ifc/IFC2x4/alpha/html/ifcsharedbldgelements/lexical/ifcbeamstandardcase.htm](http://www.buildingsmart-
486 tech.org/ifc/IFC2x4/alpha/html/ifcsharedbldgelements/lexical/ifcbeamstandardcase.htm)

487 Jiang, W. (2011). The Application of the Fuzzy Theory in the Design of Intelligent Building
488 Control of Water Tank. *Journal of Software*. 6(6). pp. 1082-1088.

489 Kabir, G. (2012). Multiple criteria inventory classification under fuzzy environment.
490 *International Journal of Fuzzy System Applications*. 2(4). pp. 76–92.

491 Karami, A. (2011). *Utilization and comparison of multi attribute decision making techniques*
492 *to rank Bayesian network options*. Master thesis, University of Skövde, Skövde,
493 Sweden.

494 Khalili, A., and Chua, D. H. (2013). IFC-based graph data model for topological queries on
495 building elements. *Journal of Computing in Civil Engineering*. 29(3). 04014046.

496 Kim, J.-Y., Kim, M., Lee, S., Oh, J., Oh, S., and Yoo, H.-J. (2009). Real-time object
497 recognition with neuro-fuzzy controlled workload-aware task pipelining. *IEEE micro*.
498 6. pp. 28–43. <http://doi:10.1109/MM.2009.102>

499 Lee, S. and Akin, Ö. (2009). Shadowing tradespeople: Inefficiency in maintenance
500 fieldwork. *Automation in Construction*. 18(5). pp. 536-546.

501 Liebich, T. (2009). IFC 2x Edition 3 model implementation guide version 2.0. Dresden:
502 Building SMART International Modeling Support Group.

503 Lu, Q., and Lee, S. H. (2016). Development of a Semi-Automatic Image-based Object
504 Recognition System for Reconstructing As-is BIM Objects based on Fuzzy Multi-
505 Attribute Utility Theory. CIB W78 2016 Conference, Brisbane, Australia.

506 Lu, Q., Chen, L., Lee, S. and Zhao X. (2017). Activity theory-based analysis of BIM
507 implementation in building O&M and first response. *Automation in Construction*. 85.
508 pp. 317-332. <https://doi.org/10.1016/j.autcon.2017.10.017>.

509 Lu, Q., and Lee, S. (2017). Image-based technologies for constructing as-is building
510 information models for existing buildings. *Journal of Computing in Civil Engineering*.
511 31(4). 04017005.

512 Lu, Q., Lee, S. and Chen, L. (2018). Image-driven fuzzy-based system to construct as-is IFC
513 BIM objects. *Automation in Construction*. 92. pp. 68-87.
514 <https://doi.org/10.1016/j.autcon.2018.03.034>

515 Mayo, G., and Issa, R.R. (2015). Nongeometric Building Information Needs Assessment for
516 Facilities Management. *Journal of Management in Engineering*. 32(3). pp. 04015054.

517 National Research Council. (1998). Stewardship of Federal Facilities: A Proactive Strategy
518 for Managing the Nation's Public Assets. Washington, D.C.: The National Academies
519 Press.

520 Norman, T. (2005). *Clip detection using machine vision*. Queen's University Technical
521 Report # QCL-05-02.

522 Pachauri, B., Kumar, A. and Dhar, J. (2014). Software reliability growth modeling with
523 dynamic faults and release time optimization using GA and MAUT. *Applied*
524 *Mathematics and Computation*. 242. pp. 500-509.

525 People.cn: Collapse accidents in the Ma Tau Wai road, 2010. [accessed 2016 May 22].
526 <http://hm.people.com.cn/GB/42280/180985/>.

527 Punch, W.F., Goodman, E.D., Pei, L.C., Hovland and Enbody, P. R. (1993). Further research
528 on feature selection and classification using genetic algorithms. In: Proceedings
529 International Conference on Genetic Algorithms, pp. 557–564.

530 Sadiq, R., and Tesfamariam, S. (2009). Multi-criteria decision making (MCDM) using
531 intuitionistic fuzzy analytic hierarchy process (IF-AHP). *Stochastic Environmental*
532 *Research and Risk Assessment*. 23(1). pp. 75–91.

533 Smith, D.K. and Tardif, M. (2009). *Building information modeling: a strategic*
534 *implementation guide for architects, engineers, constructors, and real estate asset*
535 *managers*. John Wiley & Sons.

536 Schmitt, C., Dengler, D. and Bauer, M. (2002). The maut-machine: an adaptive recommender
537 system. In *Proceedings of ABIS Workshop*, Hannover, Germany.

538 Shackelford, A.K., and Davis, C.H. (2003). A combined fuzzy pixel-based and object-based
539 approach for classification of high-resolution multispectral data over urban areas.
540 *Geoscience and Remote Sensing, IEEE Transactions*. 41(10). pp. 2354–2363.
541 <http://doi:10.1109/TGRS.2003.815972>

542 Sumer, E., and Turker, M. (2013). An adaptive fuzzy-genetic algorithm approach for building
543 detection using high-resolution satellite images. *Computers, Environment and Urban*
544 *Systems*. 39. pp. 48–62. <http://doi:10.1016/j.compenvurbsys.2013.01.004>

545 Szeliski, R., (2010). *Computer vision: algorithms and applications*. Springer Science &
546 Business Media.

547 Tam, C. M., Thomas, K. L., and Zhang, H. (2007). Decision making and operations research
548 techniques for construction management. *City University of HK Press*.

549 Teicholz, E. (2004). Bridging the AEC technology gap. *IFMA Facility Management Journal*.

550 Tian, J., Yu, W., and Xie, S. (2008). An ant colony optimization algorithm for image edge
551 detection. In *Evolutionary Computation, IEEE World Congress on Computational*
552 *Intelligence*, 751-756. <http://doi:10.1109/CEC.2008.4630880>.

553 Wang, M., Lin, S.J. and Lo, Y.C., (2010). The comparison between MAUT and
554 PROMETHEE. *In Industrial Engineering and Engineering Management (IEEM), 2010*
555 *IEEE International Conference*. pp. 753-757, IEEE.

556

557