



Cause of death among HIV patients in London in 2016

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Cause of death among HIV patients in London in 2016

Short title: Deaths among HIV patients in London: 2016

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Key Words: HIV; mortality; cause of death; London

1 ABSTRACT

2 **Objectives:** Since 2013, the London HIV Mortality Review Group has conducted annual
3 reviews of deaths among people with HIV to reduce avoidable mortality.

4 **Methods:** All London HIV care Trusts reported data on 2016 patient deaths in 2017. Deaths
5 were submitted using a modified Causes of Death in HIV reporting form and categorised by a
6 specialist HIV pathologist and two HIV clinicians.

7 **Results:** There were 206 deaths reported; 77% were among men. Median age at death was
8 56 years. Cause was established for 82% of deaths, with non-AIDS malignancies and AIDS-
9 defining illnesses being the most common causes reported. Risk factors in the year before
10 death included: tobacco smoking (37%), excessive alcohol consumption (19%), non-injecting
11 drug use (IDU) (10%), IDU (7%) and opioid substitution therapy (6%). Thirty-nine percent of
12 patients had a history of depression, 33% chronic hypertension, 27% dyslipidaemia, 17% co-
13 infection with HBV and/or HCV and 14% diabetes mellitus. At ~~the~~ time of death, 81% of
14 patients were on antiretroviral therapy (ART), 61% had a CD4<350 cells/mm³ and 24% a viral
15 load ≥200 copies/ml. ~~Cause was established for 82% of deaths, with non-AIDS malignancies~~
16 ~~and AIDS-defining illnesses being the most common causes reported.~~ Thirty-six percent of
17 deaths were unexpected; 61% of expected deaths were in hospital. Two thirds of expected
18 deaths had a prior end-of-life care discussion documented.

19 **Conclusions:** In 2016, most deaths were due to non-AIDS conditions and the majority of
20 patients were on ART and virally suppressed. However, several preventable deaths were
21 identified, and underlying risk factors were common. As London HIV patients are not
22 representative of people with HIV in the UK, a national mortality review is warranted.

23 ~~There are opportunities for improvement in HIV end-of-life care planning and in collaborative~~
24 ~~decision making with patients and other specialties.~~

25 INTRODUCTION

26 In 2016, there were 89,400 (95% credible interval (CI): 87,200-94,700) people living with HIV
27 in England, of whom 38,700 (95% CI: 37,500-41,400) (43%) were resident in London.(1)
28 London continues to account for the largest proportion of new HIV diagnoses in England (45%;
29 2,090/4,690 in 2016), particularly among men who have sex with men ~~(MSM)~~, and has the
30 highest HIV prevalence (5.8 per 1,000 residents aged 15-59 in 2016).(2) The number of deaths
31 ~~(from any cause)~~ among people with HIV in the region have remained relatively stable over
32 the past decade, at about 200 per year.(3)

33 ~~Survival of people living with HIV has improved significantly since effective anti-retroviral~~
34 ~~therapy (ART) was made widely available in the mid-1990s. With the widespread introduction~~
35 ~~of use of antiretroviral treatment (ART), and as people live longer with HIV,~~ cause of death
36 among ~~HIV-infected individuals~~ people with HIV has shifted from primarily acquired
37 immunodeficiency syndrome (AIDS)-related to ~~non-AIDS related deaths~~ other conditions,
38 ~~including cardiovascular disease, malignancies and liver disease.~~(4, 5) Nevertheless, national
39 ~~studies and previous audits of death among people with HIV in the United Kingdom (UK) have~~
40 ~~shown that~~ late diagnosis of HIV continues to account for a high proportion of HIV-associated
41 ~~deaths,~~(6, 7) ~~which is indicative of missed opportunities for intervention.~~

42 Since 2013, a collaborative multi-disciplinary review group, ~~made up of HIV and palliative care~~
43 ~~clinicians, pathologists, and public health professionals,~~ has conducted annual reviews of
44 deaths among adult HIV patients in London to identify ~~such~~ missed opportunities for
45 intervention ~~with an aim to ultimately reduce avoidable mortality and improve HIV patient~~
46 care in the clinical care pathway. In this paper, we present the findings of the 2016-2017
47 London review, describing causes of death and exploring contributing factors.

48 METHODS

49 London HIV Mortality Review Group

50 The London HIV Mortality Review Group (LHMRG), ~~was formed~~ established in 2012, is made
51 up of HIV and palliative care clinicians, pathologists and public health professionals. ~~to review~~
52 ~~deaths among people with HIV, who either died in London or accessed their routine HIV care~~
53 ~~in a London-based service; the aim being, to reduce avoidable mortality and improve the~~
54 ~~quality of end-of-life care.~~ Deaths among people with HIV, who either died in London or
55 accessed their routine HIV care in a London-based service are reviewed retrospectively on an
56 annual basis. ~~to describe trends in causes of death and to identify scenarios worthy of further~~
57 ~~case investigation or demonstrating missed opportunities for intervention in the HIV patient~~

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58 pathway. Data are presented annually at interactive meetings with clinicians for all London
59 HIV care services. The group consists of HIV clinicians from London specialist HIV services,
60 specialists in HIV pathology and palliative care, senior epidemiologists from Public Health
61 England (PHE) and commissioners and public health specialists from NHS England. The
62 evolution of the review process over time can be seen in Table 1. From 2015 onwards, Trusts
63 have reported data directly to Public Health England. The Causes of Death in HIV (CoDe)
64 form (8) was adopted in 2017 to standardise data collection, facilitate comparison with other
65 studies and allow for a better understanding of the circumstances around each death (A full
66 list of fields collected can be seen in Supplementary Table 1).

67 68 **Reviewing deaths among HIV patients**

69 Over the years, the death review process has evolved. The first review was carried out by the
70 LHMRG in 2013-2014 (Table 1). Existing surveillance data on deaths among HIV patients
71 occurring in 2010 and 2011 were validated by London NHS Trusts providing HIV care and
72 trends were reviewed by the LHMRG. However, initial engagement from Trusts was low
73 (n=8/17) and feedback highlighted existing data were difficult to validate due to the pseudo-
74 anonymised nature. As such, from 2015 onwards, Trusts have reported data on deaths of HIV
75 patients who either died at their centre or who attended their centre for care prior to death
76 directly to PHE for this review process. NHS England, as the HIV services commissioner, is
77 responsible for coordinating data collection and communication and PHE manages data
78 collation, cleaning and analysis post submission.

79 The data items collected have also changed over time (Table 1). In the 2015 and 2016 reviews,
80 Trusts provided a simplified pseudo-anonymised data set of deaths among HIV patients with
81 basic diagnosis, care and death information. In 2017, the Causes of Death in HIV (CoDe) form
82 was adopted to standardise collection, allow comparison with other studies and better
83 understand the circumstances around each death. In addition to demographic and diagnosis
84 data, this modified form collects information on risk factors in the year prior to death, co-
85 morbidities and chronic conditions, autopsy results, end-of-life care and missed opportunities
86 for earlier HIV diagnosis. Clinicians are also asked to make an assessment as to whether each
87 death was likely to have been expected (e.g. those receiving planned end-of-life care or with
88 a terminal condition) or unexpected (e.g. late presenters admitted at diagnosis and not
89 responsive to treatment). A full list of fields collected can be seen in Supplementary Table 1.

90 **Cause of death categorisation**

91 ~~As part of the annual review process, cause of death for each patient is categorised by at least~~
92 ~~two independent reviewers, as per the CoDe protocol. In 2017 (as in previous years), the 2016~~
93 ~~deaths were reviewed independently by a specialist HIV pathologist and two HIV clinicians.~~
94 ~~Disagreements regarding categorisation were resolved through discussion.~~

95 **Analyses**

96 ~~The descriptive analyses presented in this~~ This paper focuses on deaths among adult HIV
97 patients (≥ 15 years of age at death) occurring between 01/01/2016 and 31/12/2016 reported
98 as part of the 2017 review. ~~Once received from NHS Trusts providing HIV care, data were~~
99 ~~collated, de-duplicated and cleaned using Microsoft Excel (2007).~~ Age at death was derived
100 from year of birth and year of death. ~~Deaths were reviewed independently by a specialist HIV~~
101 ~~pathologist and two HIV clinicians and Deaths were~~ grouped into nine categories:- AIDS,(9)
102 non-AIDS infections, non-AIDS malignancies, cardiovascular disease (CVD) ~~and stroke~~, liver
103 disease (not related to alcohol), respiratory disease, accident/suicide, substance misuse
104 (including alcoholic liver disease) and other (including diabetes mellitus, gastrointestinal
105 haemorrhage, chronic kidney disease and non-specific diseases of body systems).
106 ~~Disagreements regarding cause of death categorisation were resolved through discussion.~~
107 Late diagnosis was defined as ~~having~~ a CD4 count < 350 cells/mm³ at HIV diagnosis; patients
108 were considered virally suppressed if they had a viral load < 200 copies/ml. Data management
109 and analyses were carried out in Stata v13 (College Station, Texas, USA).

110 **RESULTS**

111 **Overview**

112 All 17 London Trusts providing HIV care submitted data ~~in 2017~~, reporting ~~207-206~~ deaths
113 ~~among HIV patients in 2016 after de-duplication. Information on the number of deaths by Trust~~
114 ~~can be seen in (Supplementary Table 2). Completeness was high across the vast majority of~~
115 ~~data fields for reported deaths, though few Trusts were able to provide information on late~~
116 ~~diagnosis and missed opportunities for earlier HIV diagnosis (Supplementary Table 1). After~~
117 ~~de-duplication, there were 206 deaths reported among adult HIV patients in 2016, the~~
118 ~~characteristics of which can be seen in Table 2.~~ The majority of deaths were among men
119 (77%; 159). ~~The~~ median age at death was 56 years [interquartile range (IQR): 47-62 years]
120 ~~and varied by cause (Table 2)], which was higher among men (57 years [IQR: 50-63]) than~~
121 ~~women (50 years [IQR: 45-56]).~~

122 **Risk factors and co-morbidities**

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3 123 Risk factors reported in the year prior to death included: tobacco smoking (37%; 64/171);
4 124 excessive alcohol consumption (19%; 33/174), injecting drug use (IDU) (7%; 13/175), non-
5 125 IDU (10%; 17/174), and opioid substitution therapy (OST) (6%; 10/181). Men were more likely
6 126 to have at least one risk factor in the year prior to death than women. Two in five men smoked
7 127 tobacco and one in five consumed excessive amounts of alcohol in the year prior to death.

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12 128 Co-morbidities were commonly reported, with over three quarters (81%; 157/194) of HIV
13 129 patients who died diagnosed with at least one other condition. A third (33%; 64/196) of patients
14 130 had hypertension, 27% (53/197) dyslipidaemia, 17% (34/196) chronic elevated liver
15 131 transaminases (LT), 17% (34/198) coinfection with hepatitis B (HBV) and/or hepatitis C (HCV)
16 132 and 14% (27/199) had diabetes mellitus. A higher proportion of women had hypertension
17 133 compared to men.

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22 134 Prevalence of mental health issues among HIV patients who died was high; over a third of
23 135 both men and women had a history of depression (39%; 72/187) and 7% (12/179) had a history
24 136 of psychosis. Prior CVD, including myocardial infarction, stroke or a condition requiring an
25 137 invasive cardiovascular procedure, was reported among 16% (30/193) of patients. A history of
26 138 liver decompensation was reported among 6% (12/193) of patients and 12% (21/179) had
27 139 clinical evidence of liver failure in the four weeks prior to death. Only 10 patients had a liver
28 140 histology available, with limited information on the stage of fibrosis.

141 Causes of death and contributing factors autopsy

142 Cause was ascertainable for 82% (169/206) of deaths among HIV patients (Table 23). A
143 143 quarter of deaths (22%; 37) were attributable to AIDS-defining illnesses. Among the 132 non-
144 144 AIDS deaths, most were due to malignancies (30%; 40), followed by: CVD and stroke (17%;
145 145 23), infections (11%; 14), liver disease (9%; 12), respiratory disease (9%; 12), accident/suicide
146 146 (8%; 10), substance misuse (5%; 6) and other causes (11%; 15). While deaths due to
147 147 substance misuse, respiratory disease and CVD/stroke were more common among men, non-
148 148 AIDS infections and "other" causes were more common among women.

149 149 Median age of death varied by cause; the highest median age of death was among patients
150 150 who died of non-AIDS malignancies (61 years [IQR: 52-67]) and the lowest among those who
151 151 died of accident/suicide (48 years [IQR: 43-61]) (Table 3). Almost half of patients (48%) had
152 152 risk factors in the year prior to death, including: tobacco smoking, excessive alcohol
153 153 consumption, injecting drug use (IDU), non-IDU and opioid substitution therapy (Table 2). Co-
154 154 morbidities were reported in over three quarters (81%) of patients (Table 2); depression was
155 155 the most common, experienced by over a third (39%) of patients. All patients who died of

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3 156 substance misuse had risk factors in the year prior to death (excessive alcohol use: 80% (4/5);
4 157 IDU: 75% (3/4); tobacco smoking: 60% (3/5)) and at least one co-morbidity (depression: 67%
5 158 (4/6)). The prevalence of co-morbidities was also high among liver disease (HCV: 75% (9/12);
6 159 chronic elevation of LT: 64% (7/11); clinical liver failure: 64% (7/11)) and accident/suicide
7 160 deaths (depression: 75% (6/8)).

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12 161 Over a third of deaths (36%; 64/178) were reported ~~by clinicians~~ as being unexpected (Table
13 ~~23~~). Of the 64 patients who died unexpectedly: 84% (54/64) were men, 69% (44/64) were
14 162 aged 45-64 years old at death, 48% (31/64) died in hospital, 81% (43/53) were reported to be
15 163 on ART at death and 79% (46/58) were reported to have an undetectable viral load at death
16 164 (<200 copies/ml). Over half of deaths among patients who died of CVD and stroke (59%;
17 165 13/22) and substance misuse (60%; 3/5) were unexpected, as well as all accident/suicide
18 166 ~~deaths~~ (100%; 10/10) deaths.

19
20 168 ~~Overall, There were 26 autopsies were performed; the results of the autopsy revealed cause~~
21 169 ~~of death to be: AIDS (19%; 5), CVD and stroke (19%; 5), accident/suicide (12%; 3), respiratory~~
22 170 ~~disease (12%; 3), substance misuse (7%; 2), non-AIDS infections (4%; 1) and other causes~~
23 171 ~~(12%; 3). There were; in four reported autopsies cases, where cause of death was remained~~
24 172 ~~unknown (15%; 4).~~

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26 173 ~~Overall, There were another 37-33 deaths with an unknown cause. Common reasons for an~~
27 174 ~~unknown cause of death were: the HIV care clinics reported they service had no information~~
28 175 ~~on the death (n=18), the (e.g. clinics were notified of the death by a patient's friends, family or~~
29 176 ~~other clinic (n=5) or the deaths occurred abroad) (n=5). A further six deaths occurred outside~~
30 177 ~~of healthcare settings with no information available to clinics, as either the patient withheld,~~
31 178 ~~consent for the clinic to contact other healthcare providers was lacking or information was still~~
32 179 ~~pending, their general practitioner (GP) (n=2) or the clinic was still awaiting the GP report at~~
33 180 ~~the time of submission (n=2). For three deaths, clinics reported awaiting information from the~~
34 181 ~~coronial autopsy.~~

182 **Place of death and end-of-life care**

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36 183 Where reported, 58% (102/175) of HIV patients died in hospital, 18% ~~(32)~~ at home, 13% ~~(22)~~
37 184 in a hospice, 3% ~~(5)~~ in the community, 3% ~~(5)~~ in a nursing home and 5% ~~(9)~~ abroad. Death in
38 185 hospital was slightly more common among expected deaths (60%; 63/104) compared to
39 186 unexpected deaths (54%; 31/57). Two thirds of expected deaths (67%; 48/72) had a prior end-
40 187 of-life care discussion; ~~however, though~~ information on end-of-life care was only available for
41 188 57% (118/206) ~~of patients~~.

189 **Clinical care prior to death**

190 Overall, 97% ~~(181/186)~~ of patients were reported to have ever been on ART. However only
 191 81% ~~(134/165) of people~~ were on-receiving ART at the time of death, of which 74% ~~(99/133)~~
 192 had been on treatment for at least six months before ~~death they died~~. At death, 61% ~~(113/185)~~
 193 ~~of patients~~ had a CD4 count of <350 cells/mm³ and 24% ~~(47/192)~~ a viral load of ≥200
 194 copies/ml. ~~CD4 counts at death were highest among patients who died of CVD and stroke~~
 195 ~~(CD4 ≥500 cells/mm³: 43%; 9/21) and accident/suicide (CD4 ≥500 cells/mm³: 57%; 4/7) (Table~~
 196 ~~3). Viral suppression was highest among patients who died of substance misuse (100%; 3/3),~~
 197 ~~CVD and stroke (90%; 19/21) and non-AIDS malignancies (85%; 33/39) (Table 3).~~

198 **Adverse effects**

199 ~~The deaths of six HIV patients were considered to be related to medical treatment; of these,~~
 200 ~~three deaths were reported to be related to ART and three deaths were related to other~~
 201 ~~treatments.~~

202 **DISCUSSION**

203 In 2016, over three quarters ~~(77%)~~ of deaths among adult HIV patients in London were due to
 204 non-AIDS conditions and the majority of patients were on ART and virally suppressed at death.
 205 However, a significant-substantial number ~~of people with HIV~~ died from AIDS-related illnesses,
 206 ~~. While information on the late diagnosis and retention in care for these patients is unknown,~~
 207 ~~it is widely recognised that AIDS-defining illnesses which~~ are largely-potentially preventable
 208 through earlier HIV diagnosis and/or support for ~~people those~~ not actively engaged in care
 209 and/or not adherent to treatment. (10, 11) Innovative strategies to further expand HIV testing
 210 outside of sexual health services should be complemented by strategies to reduce stigma and
 211 promote long-term integration into care in order to realise the benefits of wider testing and
 212 treatment on mortality among HIV-people with HIV patients. (12) ~~As stigma has been found to~~
 213 ~~have a strong negative impact care engagement, efforts to address stigma may be one way~~
 214 ~~to improve patient outcomes and reduce deaths due to AIDS-defining illnesses.~~

215 To further reduce avoidable mortality from non-AIDS conditions, there is a need for optimal
 216 management of risk factors, co-morbidities and the complex psychological and socioeconomic
 217 needs of people living with HIV. The British HIV Association (BHIVA) recommends people
 218 attending HIV outpatient clinics should undergo regular screening in-order-to-detectfor
 219 cardiovascular, renal, liver, bone and other co-morbidities. (13) In this review, 81% of HIV
 220 patients who died had at least one co-morbidity. This is much higher than reported diagnosed
 221 chronic conditions among HIV patients in care across England and Wales (73%). (14) ~~(63.8%;~~

222 ~~95% confidence interval: 58.8% — 68.8%).~~ Depression was the most common co-morbidity
223 among people who died. Poor mental health is known to be particularly prevalent in the HIV
224 population, with diagnosed depression at 33% versus 19% in the general population and
225 diagnosed anxiety at 26% compared to 15% ($p < 0.0001$).⁽¹⁴⁾ ~~The high prevalence of poor~~
226 ~~mental health can be further complicated by high levels of unmet need; in a study of HIV~~
227 ~~patients accessing care in the UK, 20% reported needing support to deal with loneliness and~~
228 ~~isolation (75% of need unmet) and 33% reported needing support for stress management~~
229 ~~(55% unmet). Overall, the greatest area of unmet need reported by HIV patients in care was~~
230 ~~for social and welfare services, where in 62% of cases in need, services were either~~
231 ~~unavailable or not sought.~~

232 This review also demonstrates that in addition to co-morbidities, underlying risk factors, ~~such~~
233 ~~as tobacco smoking and substance misuse~~ were common ~~among HIV patients who died~~. One
234 in three people who died were known to be tobacco smokers compared to one in five HIV
235 patients across England and Wales and in the general population.⁽¹⁴⁾ Furthermore, levels of
236 IDU were almost double (7%), compared to the HIV patient population (4%).⁽¹⁴⁾ Risk factors
237 in this review were not self-reported so may have been underestimated. Improved health
238 promotion is crucial to increase uptake of risk reduction strategies, ~~through peer support,~~
239 ~~community mobilisation and media campaigns.~~ Furthermore, HIV patients should be screened
240 annually for substance misuse and supported ~~by their HIV care team~~ to modify behaviours to
241 improve their health ~~through provision of information, short behavioural interventions and clear~~
242 ~~referral pathways to appropriate specialist care services (e.g. drug and alcohol addiction~~
243 ~~teams and support services).~~⁽¹³⁾

244 This is the first review ~~of~~ by the LHMRG to explore the end-of-life care among HIV patients.
245 However, end-of-life data were poorly reported, with information available for only 57% of
246 deaths ~~with available information~~. Where known, only two thirds of expected deaths had a
247 palliative care discussion and, consistent with previous studies,^(15, 16) and a high proportion
248 of both expected and unexpected deaths were occurred in hospital. ~~This is consistent with the~~
249 ~~literature that suggests although, palliative care is effective in improving patient-reported~~
250 ~~outcomes,~~⁽¹⁷⁾ although access among people with HIV ~~is poor~~ may be limited.⁽¹⁸⁾ and
251 compared to death from other conditions, people with HIV are more likely to die hospital rather
252 than at home. The goal of end-of-life care is to prevent and minimise suffering and optimise
253 quality of life in patients with advanced disease. This review demonstrates that there are
254 opportunities to improve end-of-life care planning and reporting and in collaborative decision
255 making between HIV patients, the team providing their HIV care and other specialties, ~~such~~
256 ~~as oncology and cardiology.~~ The 2018 BHIVA “Standards of care for people living with HIV”

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3 257 ~~now includes recommendations for palliative care;~~ HIV care teams ~~should~~ establish patients'
4 palliative care preferences at an early stage and ~~should~~ offer advance care plans, ~~which~~
5 258 ~~should be that are~~ reviewed regularly and be easily accessible to all ~~care providers~~ members
6 259 ~~of the care team.~~(13)
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11 261 Causes of death reported in this review are consistent with LHMRG reviews among HIV
12 262 patients carried out in London in previous years,(19, 20) and audits elsewhere in the United
13 263 Kingdom (UK).(21) However, the ability to compare LHMRG findings across years and
14 264 describe trends is limited by the changes in data collection over time. Comparisons by gender
15 265 and cause of death should be interpreted with caution, given the small numbers. Furthermore,
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21 267 This review was designed as a public health exercise to understand factors that may have
22 268 contributed to death and not as an in-depth clinical case-note review. The extent to which co-
23 269 morbidities were controlled and whether patients had the ability to change their life-style risk
24 270 factors are unknown. Data on socio-economic factors, ethnicity and HIV acquisition were not
25 271 collected. A minority of cases had information on late diagnosis; it is unclear if missing data
26 272 were not reported because patients died over a year after diagnosis or if the information was
27 273 unavailable to the reporting clinician. Misclassification of deaths as expected or unexpected
28 274 may have occurred as this was based on clinician assessment only. Only 13% of patients had
29 275 an autopsy; this rate is less than the general autopsy rate of 16% for deaths in England and
30 276 Wales.(22) There are limitations for the replicability and generalisability of these findings to
31 277 the population of HIV patients, as data are only available for those that died.

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39 278 These review findings reflect the situation among patients individuals engaged in HIV care
40 279 services; ~~deaths that Trusts were not aware of (e.g. deaths in the community or among~~
41 280 ~~patients not in known to HIV care services care) will not necessarily~~ may not have been
42 281 reported through this process. A large UK national cohort study of mortality among people
43 282 diagnosed with HIV in the era of ~~effective~~ ART ~~in the UK~~ found 23% of people who died were
44 283 never linked to HIV outpatient care services; AIDS was the most common cause of death
45 284 (58%), driven by high rates of late diagnosis (76%).(7) As such, mortality among people with
46 285 HIV in London and the contribution of AIDS-related illnesses may have ~~most likely~~ been
47 286 underestimated ~~in the present review~~ here.

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54 287 ~~Another limitation of this review is that data fields on late diagnosis and missed opportunities~~
55 288 ~~for earlier diagnosis among patients dying with a year of diagnosis were poorly reported. Only~~
56 289 ~~46 patients had information reported as to whether they were diagnosed late; it is unclear~~
57 290 ~~whether data were missing for others because they died over a year after diagnosis or if the~~

~~information was unavailable to the clinician reporting the death. Finally, comparisons by gender and cause of death should be interpreted caution, given the small numbers.~~

~~Based on the feedback from this review, the design of the 2018 review of 2017 deaths among HIV patients in London has been updated to streamline the data collected and ultimately improve reporting. The CoDe reporting form has been further modified and the number of fields reduced to ease the burden for Trusts.~~

297 **CONCLUSIONS**

298 This review of mortality among adult HIV patients in London has identified a number of deaths
299 that may have been avoided, through earlier diagnosis, ~~and~~ better management of co-
300 morbidities (e.g. depression) and through support for risk reduction (e.g. smoking cessation
301 support). As HIV patients in London are not representative of all people living with HIV in the
302 UK, a national review of deaths is warranted.

~~Despite high uptake of free NHS care and treatment, HIV patients in London continue to die
304 from AIDS-defining illnesses. For those with advanced disease, palliative care pathways
305 should be strengthened, and end-of-life care planning integrated into clinical management.~~

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309 Hospital, Guy's and St Thomas', Barts Health, Central and North West London, St George's
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311 North West Healthcare, Croydon Health Services, Barking, Havering and Redbridge
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313 Epsom and St Helier University Hospitals and North Middlesex University Hospitals.

314 **CONTRIBUTIONS**

315 All authors contributed to interpretation of the data, commented on the manuscript and
316 approved the final draft. SC carried out all data cleaning, collation and analysis, drafted the
317 manuscript, incorporated author comments, and was responsible for the final draft to be
318 published. SBL reviewed all deaths, creating short summaries of cause of death for each
319 patient. RFM and FAP undertook the coding of deaths based on these summaries. IH created
320 the mortality review reporting form; JF managed the review process on behalf of NHS England.
321 IH and JF were responsible for all review communication with NHS Trusts. AKS was clinical
322 lead for the review and led the development of the reporting form. RH provided expertise on

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3 323 HIV end-of-life care, inputting into the development of the questions on end-of-life care and
4 aided in interpretation of responses. VCD provided support for epidemiological analyses. SD
5 324
6 325 led the development of previous reviews and contributed important intellectual content to the
7 discussion and conclusions.
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Cause of death among HIV patients in London in 2016

Short title: Deaths among HIV patients in London: 2016

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Key Words: HIV; mortality; cause of death; London

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3 1 **ABSTRACT**
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6 2 **Objectives:** Since 2013, the London HIV Mortality Review Group has conducted annual
7 3 reviews of deaths among people with HIV to reduce avoidable mortality.
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10 4 **Methods:** All London HIV care Trusts reported data on 2016 patient deaths in 2017. Deaths
11 5 were submitted using a modified Causes of Death in HIV reporting form and categorised by a
12 6 specialist HIV pathologist and two HIV clinicians.
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15 7 **Results:** There were 206 deaths reported; 77% were among men. Median age at death was
16 8 56 years. Cause was established for 82% of deaths, with non-AIDS malignancies and AIDS-
17 9 defining illnesses being the most common causes reported. Risk factors in the year before
18 10 death included: tobacco smoking (37%), excessive alcohol consumption (19%), non-injecting
19 11 drug use (IDU) (10%), IDU (7%) and opioid substitution therapy (6%). Thirty-nine percent of
20 12 patients had a history of depression, 33% chronic hypertension, 27% dyslipidaemia, 17% co-
21 13 infection with HBV and/or HCV and 14% diabetes mellitus. At time of death, 81% of patients
22 14 were on antiretroviral therapy (ART), 61% had a CD4<350 cells/mm³ and 24% a viral load
23 15 ≥200 copies/ml. Thirty-six percent of deaths were unexpected; 61% of expected deaths were
24 16 in hospital. Two thirds of expected deaths had a prior end-of-life care discussion documented.
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32 17 **Conclusions:** In 2016, most deaths were due to non-AIDS conditions and the majority of
33 18 patients were on ART and virally suppressed. However, several preventable deaths were
34 19 identified and underlying risk factors were common. As London HIV patients are not
35 20 representative of people with HIV in the UK, a national mortality review is warranted.
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21 INTRODUCTION

22 In 2016, there were 89,400 (95% credible interval (CI): 87,200-94,700) people living with HIV
23 in England, of whom 38,700 (95% CI: 37,500-41,400) (43%) were resident in London.(1)
24 London continues to account for the largest proportion of new HIV diagnoses in England (45%;
25 2,090/4,690 in 2016), particularly among men who have sex with men, and has the highest
26 HIV prevalence (5.8 per 1,000 residents aged 15-59 in 2016).(2) The number of deaths among
27 people with HIV in the region have remained relatively stable over the past decade, at about
28 200 per year.(3)

29 With the widespread use of antiretroviral treatment (ART), cause of death among people with
30 HIV has shifted from primarily acquired immunodeficiency syndrome (AIDS) to other
31 conditions.(4, 5) Nevertheless, late diagnosis of HIV continues to account for a high proportion
32 of HIV-associated deaths.(6, 7)

33 Since 2013, a collaborative multi-disciplinary review group, has conducted annual reviews of
34 deaths among HIV patients in London to identify missed opportunities for intervention in the
35 clinical care pathway. In this paper, we present the findings of the 2017 review, describing
36 causes of death and exploring contributing factors.

37 METHODS

38 The London HIV Mortality Review Group (LHMRG), established in 2012, is made up of HIV
39 and palliative care clinicians, pathologists and public health professionals. Deaths among
40 people with HIV, who either died in London or accessed their routine HIV care in a London-
41 based service are reviewed retrospectively on an annual basis. The evolution of the review
42 process over time can be seen in Table 1. From 2015 onwards, Trusts have reported data
43 directly to Public Health England. The Causes of Death in HIV (CoDe) form (8) was adopted
44 in 2017 to standardise data collection, facilitate comparison with other studies and allow for a
45 better understanding of the circumstances around each death (Supplementary Table 1).

46 This paper focuses on deaths among adult HIV patients (≥ 15 years of age at death) occurring
47 between 01/01/2016 and 31/12/2016 reported as part of the 2017 review. Age at death was
48 derived from year of birth and year of death. Deaths were reviewed independently by a
49 specialist HIV pathologist and two HIV clinicians and grouped into nine categories: AIDS,(9)
50 non-AIDS infections, non-AIDS malignancies, cardiovascular disease (CVD), liver disease
51 (not related to alcohol), respiratory disease, accident/suicide, substance misuse (including
52 alcoholic liver disease) and other (including diabetes mellitus, gastrointestinal haemorrhage,
53 chronic kidney disease and non-specific diseases of body systems). Disagreements regarding

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3 54 cause of death categorisation were resolved through discussion. Late diagnosis was defined
4 as a CD4 count <350 cells/mm³ at HIV diagnosis; patients were considered virally suppressed
5 55 if they had a viral load <200 copies/ml. Data management and analyses were carried out in
6 56 Stata v13 (College Station, Texas, USA).
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10 58 **RESULTS**

11 12 13 59 **Overview**

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15 60 All 17 London Trusts providing HIV care submitted data, reporting 206 deaths among HIV
16 61 patients in 2016 after de-duplication (Supplementary Table 2). The majority of deaths were
17 62 among men (77%; 159). Median age at death was 56 years [interquartile range (IQR): 47-62
18 63 years] and varied by cause (Table 2).
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23 64 **Causes of death and contributing factors**

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25 65 Cause was ascertainable for 82% of deaths (Table 2). A quarter of deaths (22%) were
26 66 attributable to AIDS-defining illnesses. The 132 non-AIDS deaths were due to malignancies
27 67 (30%), followed by: CVD (17%), infections (11%), liver disease (9%), respiratory disease (9%),
28 68 accident/suicide (8%), substance misuse (5%) and other causes (11%).
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33 69 Almost half of patients (48%) had risk factors in the year prior to death, including: tobacco
34 70 smoking, excessive alcohol consumption, injecting drug use (IDU), non-IDU and opioid
35 71 substitution therapy (Table 2). Co-morbidities were reported in over three quarters (81%) of
36 72 patients (Table 2); depression was the most common, experienced by over a third (39%) of
37 73 patients. All patients who died of substance misuse had risk factors in the year prior to death
38 74 (excessive alcohol use: 80% (4/5); IDU: 75% (3/4); tobacco smoking: 60% (3/5)) and at least
39 75 one co-morbidity (depression: 67% (4/6)). The prevalence of co-morbidities was also high
40 76 among liver disease (HCV: 75% (9/12); chronic elevation of LT: 64% (7/11); clinical liver
41 77 failure: 64% (7/11)) and accident/suicide (depression: 75% (6/8)) deaths.
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48 78 Over a third of deaths (36%; 64/178) were reported as being unexpected (Table 2). Of the 64
49 79 patients who died unexpectedly: 84% (54/64) were men, 69% (44/64) were aged 45-64 years
50 80 old at death, 48% (31/64) died in hospital, 81% (43/53) were reported to be on ART at death
51 81 and 79% (46/58) were reported to have an undetectable viral load at death. Over half of deaths
52 82 among patients who died of CVD and stroke (59%; 13/22) and substance misuse (60%; 3/5)
53 83 were unexpected, as well as all accident/suicide deaths (100%; 10/10).
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58 84 Overall, 26 autopsies were performed; in four cases, cause of death remained unknown. There
59 85 were another 33 deaths with an unknown cause. Common reasons were: the HIV service had
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86 no information on the death (e.g. clinics were notified of the death by a patient's friends, family
87 or other clinic or the deaths occurred abroad), consent to contact other healthcare providers
88 was lacking or information was still pending.

89 **Place of death and end-of-life care**

90 Where reported, 58% (102/175) of HIV patients died in hospital, 18% at home, 13% in a
91 hospice, 3% in the community, 3% in a nursing home and 5% abroad. Death in hospital was
92 slightly more common among expected deaths (60%; 63/104) compared to unexpected
93 deaths (54%; 31/57). Two thirds of expected deaths (67%; 48/72) had a prior end-of-life care
94 discussion; however, information on end-of-life care was only available for 57% (118/206).

95 **Clinical care prior to death**

96 Overall, 97% of patients were reported to have ever been on ART. However only 81% were
97 receiving ART at the time of death, of which 74% had been on treatment for at least six months
98 before they died. At death, 61% had a CD4 count of <350 cells/mm³ and 24% a viral load of
99 ≥ 200 copies/ml.

100 **DISCUSSION**

101 In 2016, over three quarters of deaths among adult HIV patients in London were due to non-
102 AIDS conditions and the majority of patients were on ART and virally suppressed at death.
103 However, a substantial number died from AIDS-related illnesses, which are potentially
104 preventable through earlier HIV diagnosis and/or support for those not engaged in care and/or
105 not adherent to treatment.(10, 11) Innovative strategies to further expand HIV testing outside
106 of sexual health services should be complemented by strategies to reduce stigma and promote
107 long-term integration into care in order to realise the benefits of wider testing and treatment
108 on mortality among people with HIV.(12)

109 To further reduce avoidable mortality from non-AIDS conditions, there is a need for optimal
110 management of risk factors, co-morbidities and the complex psychological and socioeconomic
111 needs of people living with HIV. The British HIV Association (BHIVA) recommends people
112 attending HIV outpatient clinics should undergo regular screening for cardiovascular, renal,
113 liver, bone and other co-morbidities.(13) In this review, 81% of HIV patients who died had at
114 least one co-morbidity. This is much higher than reported diagnosed chronic conditions among
115 HIV patients in care across England and Wales (73%).(14) Depression was the most common
116 co-morbidity among people who died. Poor mental health is known to be particularly prevalent

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3 117 in the HIV population, with diagnosed depression at 33% versus 19% in the general population
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5 118 and diagnosed anxiety at 26% compared to 15%.(14)
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7 119 This review also demonstrates that in addition to co-morbidities, underlying risk factors were
8
9 120 common. One in three people who died were known to be tobacco smokers compared to one
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11 121 in five HIV patients across England and Wales and in the general population.(14) Furthermore,
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13 122 levels of IDU were almost double (7%), compared to the HIV patient population (4%).(14) Risk
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15 123 factors in this review were not self-reported so may have been underestimated. Improved
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17 124 health promotion is crucial to increase uptake of risk reduction strategies. HIV patients should
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19 125 be screened annually for substance misuse and supported to modify behaviours to improve
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21 126 their health.(13)

22 127 This is the first review by the LHMRG to explore the end-of-life care among HIV patients.
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24 128 However, end-of-life data were poorly reported, with information available for only 57% of
25
26 129 deaths. Where known, two thirds of expected deaths had a palliative care discussion and,
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28 130 consistent with previous studies,(15, 16) a high proportion of both expected and unexpected
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30 131 deaths occurred in hospital. Palliative care is effective in improving patient-reported
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32 132 outcomes,(17) although access among people with HIV may be limited.(18) The 2018 BHIVA
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34 133 “Standards of care for people living with HIV” recommends HIV teams establish patients’
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36 134 palliative care preferences at an early stage and offer advance care plans, that are reviewed
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38 135 regularly and be easily accessible to all care providers.(13)

39 136 Causes of death reported in this review are consistent with LHMRG reviews among HIV
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41 137 patients carried out in London in previous years,(19, 20) and audits elsewhere in the United
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43 138 Kingdom (UK).(21) However, the ability to compare LHMRG findings across years and
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45 139 describe trends is limited by the changes in data collection over time. Comparisons by gender
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47 140 and cause of death should be interpreted with caution, given the small numbers.

48 141 This review was designed as a public health exercise to understand factors that may have
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50 142 contributed to death and not as an in-depth clinical case-note review. The extent to which co-
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52 143 morbidities were controlled and whether patients had the ability to change their life-style risk
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54 144 factors are unknown. Data on socio-economic factors, ethnicity and HIV acquisition were not
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56 145 collected. A minority of cases had information on late diagnosis; it is unclear if missing data
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58 146 were not reported because patients died over a year after diagnosis or if the information was
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60 147 unavailable to the reporting clinician. Misclassification of deaths as expected or unexpected
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149 may have occurred as this was based on clinician assessment only. Only 13% of patients had
an autopsy; this rate is less than the general autopsy rate of 16% for deaths in England and

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3 150 Wales.(22) There are limitations for the replicability and generalisability of these findings to
4 151 the population of HIV patients, as data are only available for those that died.

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7 152 These findings reflect the situation among individuals engaged in HIV care services; deaths
8 153 in the community or among patients not known to HIV care services may not have been
9 154 reported through this process. A large UK national cohort study of mortality among people
10 155 diagnosed with HIV in the era of ART found 23% of people who died were never linked to HIV
11 156 outpatient care services; AIDS was the most common cause of death (58%), driven by high
12 157 rates of late diagnosis (76%).(7) As such, mortality among people with HIV in London and the
13 158 contribution of AIDS-related illnesses may have been underestimated here.

19 159 **CONCLUSIONS**

21
22 160 This review of mortality among adult HIV patients in London has identified a number of deaths
23 161 that may have been avoided, through earlier diagnosis, better management of co-morbidities
24 162 (e.g. depression) and through support for risk reduction (e.g. smoking cessation support). As
25 163 HIV patients in London are not representative of all people living with HIV in the UK, a national
26 164 review of deaths is warranted.

31 165 **ACKNOWLEDGEMENTS**

32
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35 168 Hospital, Guy's and St Thomas', Barts Health, Central and North West London, St George's
36 169 University Hospitals, Chelsea and Westminster Hospital, Lewisham and Greenwich, London
37 170 North West Healthcare, Croydon Health Services, Barking, Havering and Redbridge
38 171 University Hospitals, Hillingdon Hospitals, Homerton University Hospital, Kingston Hospital,
39 172 Epsom and St Helier University Hospitals and North Middlesex University Hospitals.

45 173 **CONTRIBUTIONS**

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48 174 All authors contributed to interpretation of the data, commented on the manuscript and
49 175 approved the final draft. SC carried out all data cleaning, collation and analysis, drafted the
50 176 manuscript, incorporated author comments, and was responsible for the final draft to be
51 177 published. SBL reviewed all deaths, creating short summaries of cause of death for each
52 178 patient. RFM and FAP undertook the coding of deaths based on these summaries. IH created
53 179 the mortality review reporting form; JF managed the review process on behalf of NHS England.
54 180 IH and JF were responsible for all review communication with NHS Trusts. AKS was clinical
55 181 lead for the review and led the development of the reporting form. RH provided expertise on

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3 182 HIV end-of-life care, inputting into the development of the questions on end-of-life care and
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5 183 aided in interpretation of responses. VCD provided support for epidemiological analyses. SD
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7 184 led the development of previous reviews and contributed important intellectual content to the
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9 185 discussion and conclusions.
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Table 1: Reviews of HIV mortality over time: London, 2013-2017

Review year	Year of deaths	Data source	Data provided	Trust response (N=17)	Deaths reported
2013-2014	2010-2011	PHE surveillance data	Gender, date of birth, ethnicity, infection route, country of birth, diagnosis date, diagnosis region, CD4 at diagnosis, date of death, causes of death, site of death, site of care, latest clinical information (CD4, ART, viral load)	8	Not applicable
2015	2014	Data submitted for review by Trust	Gender, diagnosis date, death year causes of death, place of death, latest clinical information (CD4, ART, viral load), ART adherence, expected/anticipated death	14	189
2016	2015	Data submitted for review by Trust	Gender, date of birth, diagnosis date, date of death, causes of death, place of death, latest clinical information (ART, CD4, viral load), ART adherence, expected/anticipated death	15	170
2017	2016	Data submitted for review by Trust	Modified Causes of Death in HIV (CoDe) form (Supplementary Table 1)	17	206

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Table 2: Clinical and demographic profile of HIV patients who died by cause of death: London, 2016

		Cause of death																					
		All-cause mortality (N=206)		AIDS (N=37)		Non-AIDS infections (N=14)		Non-AIDS cancers (N=40)		CVD and stroke (N=23)		Liver disease (N=12)		Respiratory disease (N=12)		Accident and suicide (N=10)		Substance misuse (N=6)		Other causes (N=15)		Unknown (N=37)	
		n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Gender	Men	159	100%	29	18%	10	6%	31	20%	19	12%	9	5%	11	7%	8	5%	6	4%	9	6%	27	17%
	Women	47	100%	8	17%	4	9%	9	19%	4	9%	3	6%	1	2%	2	4%	0	0%	6	13%	10	21%
Median age at death (years) [IQR]		56 [47-62]		53 [44-59]		51 [47-57]		61 [52-67]		57 [50-61]		50 [48-58]		60 [53-69]		48 [43-61]		59 [52-70]		57 [42-66]		51 [46-60]	
Risk factors in the year prior to death	Any	85	48%	14	44%	7	54%	12	34%	8	44%	6	55%	8	73%	4	50%	5	100%	5	38%	16	53%
	Tobacco smoking	64	37%	12	38%	7	54%	10	29%	6	33%	3	33%	5	50%	2	22%	3	60%	3	25%	13	45%
	Excessive alcohol	33	19%	4	12%	4	33%	2	6%	2	11%	2	25%	3	27%	3	38%	4	80%	3	23%	6	20%
	IDU	13	7%	1	3%	2	17%	1	3%	1	5%	1	10%	0	0%	0	0%	3	75%	1	8%	3	10%
	Non-IDU	17	10%	1	3%	0	0%	1	3%	0	0%	1	11%	1	9%	1	14%	3	60%	2	18%	7	23%
	OST	10	6%	0	0%	2	17%	0	0%	0	0%	4	36%	1	8%	0	0%	1	25%	1	8%	1	3%
Co-morbidities	Any	157	81%	18	55%	11	79%	28	72%	19	90%	12	100%	10	83%	8	100%	6	100%	13	87%	32	94%
	Hypertension*	64	33%	6	17%	4	31%	11	28%	11	48%	2	17%	6	50%	2	22%	1	25%	5	38%	16	46%
	Diabetes mellitus*	27	14%	2	6%	0	0%	4	10%	7	30%	1	8%	3	25%	0	0%	1	20%	2	14%	7	19%
	Dyslipidaemia*	53	27%	6	17%	2	14%	11	28%	10	45%	1	8%	1	8%	1	13%	2	50%	4	29%	15	42%
	Elevation of LT*	34	17%	1	3%	1	8%	5	13%	4	18%	7	64%	2	17%	3	30%	3	50%	1	8%	7	20%
	HBV and/or HCV*	34	17%	2	6%	6	43%	3	8%	3	13%	11	92%	1	8%	0	0%	2	40%	2	14%	4	11%
	CVD**	30	16%	2	6%	1	8%	6	16%	8	36%	1	9%	5	42%	1	13%	0	0%	1	7%	5	14%
	Depression**	72	39%	7	21%	7	54%	9	24%	4	40%	8	40%	3	25%	6	75%	4	67%	7	47%	17	52%
	Psychosis**	12	7%	2	6%	1	10%	1	3%	2	10%	0	0%	0	0%	1	17%	0	0%	1	7%	4	12%
	Liver decompensation**	12	6%	0	0%	2	14%	1	3%	2	9%	3	33%	0	0%	0	0%	2	40%	1	7%	1	3%
Liver failure†	21	12%	2	6%	1	7%	2	5%	1	6%	7	64%	0	0%	1	11%	3	50%	3	20%	1	4%	
Death unexpected		64	36%	7	19%	5	42%	5	13%	13	59%	2	17%	4	36%	10	100%	3	60%	4	29%	11	61%
Ever on ART		181	97%	35	97%	13	100%	35	100%	19	95%	12	100%	11	100%	7	78%	6	100%	12	100%	31	97%
ART at death		134	81%	24	75%	12	92%	30	88%	14	82%	7	58%	10	100%	4	50%	4	67%	9	82%	20	90%
CD4 at death (cells/mm ³)	<200	72	39%	24	69%	8	62%	15	39%	2	10%	4	40%	4	33%	1	14%	0	0%	6	46%	8	24%
	200-349	41	22%	7	20%	1	8%	9	24%	4	19%	4	40%	4	33%	0	0%	2	67%	3	23%	7	21%

	350-499	18	10%	0	0%	2	15%	5	13%	6	29%	0	0%	1	8%	2	29%	0	0%	1	8%	1	3%
	≥500	54	29%	4	11%	2	15%	9	24%	9	43%	2	20%	3	25%	4	57%	1	33%	3	23%	17	52%
Viral load at death (copies/ml)†	<200	145	76%	23	66%	10	71%	33	85%	19	90%	6	55%	10	83%	5	63%	3	100%	9	64%	27	77%
	≥200	47	24%	12	34%	4	29%	6	15%	2	10%	5	45%	2	17%	3	38%	0	0%	5	36%	8	23%

IDU=injecting drug use, OST=opioid substitution therapy, LT=liver transaminases, HBV=hepatitis B virus, HCV=hepatitis C virus, CVD=cardiovascular disease

Completeness: gender 100% (206), age at death 100% (206), any risk factor 85% (176), tobacco smoking 83% (171), excessive alcohol 84% (174), IDU 85% (175), non-IDU 84% (174), OST 88% (181), any co-morbidity 94% (194), hypertension 95% (196), diabetes mellitus 97% (199), dyslipidaemia 96% (197), elevation of liver transaminases 95% (196), HBV/HCV 98% (201), CVD 94% (193), depression 91% (187), psychosis 87% (179), liver decompensation 94% (193), liver failure 87% (179), death unexpected 86% (178), ever on ART 90% (186), ART at death 80% (165), CD4 at death 90% (185), viral load at death 93% (192)

Percentages based on where data were available and also may not add up to 100% due to rounding

*Chronic co-morbidities

**History of co-morbidities

†Clinical evidence for liver failure in the 4 weeks prior to death

Or Peer Review