

**Patient reported outcome of adult perioperative anaesthesia
in the United Kingdom: a cross-sectional observational
study**

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Abstract

Background

Understanding the patient perspective on healthcare is central to the evaluation of quality. This study measured selected patient-reported outcomes following anaesthesia in order to identify targets for research and quality improvement.

Methods

This cross-sectional observational study in UK National Health Service hospitals recruited adults undergoing non-obstetric surgery requiring anaesthesia care over a 48 hour period. Within 24 hours of surgery, patients completed the Bauer questionnaire (measuring postoperative discomfort and satisfaction with anaesthesia care), and a modified Brice questionnaire to elicit symptoms suggestive of accidental awareness during general anaesthesia (AAGA). Patient, procedural and pharmacological data were recorded to enable exploration of risk factors for these poor outcomes.

Results

257 hospitals in 171 NHS Trusts participated (97% of eligible organisations). Baseline characteristics were collected on 16,222 patients; 15,040 (93%) completed postoperative questionnaires. Anxiety was most frequently cited as the worst aspect of the perioperative experience. Thirty-five per cent of patients reported severe discomfort in at least one domain: thirst (18.5%; 95%CI 17.8-19.1), surgical pain (11.0%;10.5-11.5) and drowsiness (10.1%;9.6-10.5) were most common. Despite this,

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3 only 5% reported dissatisfaction with any aspect of anaesthesia-related care.
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5 Regional anaesthesia was associated with a reduced burden of side-effects. The
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7
8 incidence of reported AAGA was one in 800 general anaesthetics (0.12%)
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10 11 12 Conclusions 13

14 Anxiety and discomfort after surgery are common; despite this, satisfaction with
15 anaesthesia care in the UK is high. The inconsistent relationship between patient-
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17 reported outcome, patient experience and patient satisfaction supports using all
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19 three of these domains to provide a comprehensive assessment of the quality of
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21 anaesthesia care.
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3 Safety, effectiveness and patient-centeredness have been defined as three key
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5 domains of healthcare quality^{1 2} and performance metrics may assess any of these.
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7 Each year, over 313 million operations take place globally (approximately 42
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9 procedures per 1000 population),³ the majority of which are supported by
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11 anaesthesia providers. In high-income countries, deaths directly attributable to
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13 anaesthesia are rare and intra-operative mortality in patients undergoing general
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15 anaesthesia (GA) is very low.⁴ However, anaesthesia is associated with other
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17 important adverse outcomes including postoperative complications^{5 6} and reduced
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19 long-term survival.^{7 8 9} Furthermore, many postoperative symptoms – for example,
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21 acute surgical pain - are distressing to patients,^{10, 11} may delay hospital discharge,¹²
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23 and can lead to chronic health problems,¹³ thereby increasing health and social care
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25 costs. Thus, the measurement of quality in anaesthesia care provides an opportunity
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27 to drive improvement that may affect millions of patients each year and promote
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29 healthcare efficiency and productivity.
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Patient-reported metrics are increasingly viewed as core quality indicators.²

Measures specific to anaesthesia encompass the three aforementioned domains of
quality: effectiveness, by assessing procedural-related discomfort which anaesthesia
providers aim to alleviate (e.g. pain, drowsiness, nausea); patient-centeredness, by
measuring patient satisfaction with care delivered; and safety, through estimating
the incidence of events which may lead to significant or long-term harm, such as
accidental awareness during general anaesthesia (AAGA). Using measures
encompassing all three of these domains, this study describes the quality of

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3 anaesthesia care from the patient perspective in a UK multi-centre sample, in order
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5 to identify risk factors for **these** adverse outcomes, characterise the relationship
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7 between patient reported outcome and patient satisfaction, identify targets for
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9 research and quality improvement, and **to better inform the** information given to
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11 future patients.
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For Peer Review

Methods:

This study is reported in accordance with the “Strengthening the Reporting of Observational Studies in Epidemiology” (STROBE) statement.¹⁴

We undertook a two-day multi-centre observational cross-sectional study in the UK’s National Health Service (NHS). The protocol has been published previously.¹⁵ Ethics approval was granted by the UK National Research Ethics Service (West Midlands Committee, 14/WM/0043). Hospital and investigator engagement was facilitated through the Quality Audit and Research Coordinator (QuARC) network, which was established by the National Institute of Academic Anaesthesia’s Health Services Research Centre (NIAA-HSRC) to facilitate health services research in anaesthesia and perioperative care across the UK. All NHS hospitals were invited to participate. The full investigator list can be found in Supplementary document 2. Patient recruitment took place between 00:00 on 13th May 2014 and 23:59 on 14th May 2014. These days of the week were chosen to maximise opportunities for recruitment of patients, outside weekend working hours and potentially busier workloads on Mondays and Fridays. All adults (≥ 18 years) undergoing a non-obstetric surgical procedure requiring anaesthesia (local, regional or general) or sedation administered by an anaesthetist were eligible for inclusion; all were provided with information about the study prior to surgery (see supplementary documents).

Dataset

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3 The case report form is presented in the supplementary documents. The
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5 anaesthetist responsible for each patient's perioperative care completed patient,
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7 personnel and process details at the time of surgery. Operation names were entered
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9 using free-text by anaesthetists, and subsequently coded by members of the central
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11 study team, using a UK-based objective categorisation of surgical procedure type and
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13 magnitude.¹⁶ Patients subsequently completed the Bauer patient satisfaction
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15 questionnaire¹⁷ and a Modified Brice Questionnaire for AAGA. The Bauer
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17 questionnaire was previously identified¹⁸ as being a psychometrically developed and
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19 validated measure of patient satisfaction and discomfort. The modified Brice
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21 questionnaire uses closed-questions and was adapted from a previous study.¹⁹ Two
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23 further questions were asked: the NHS "Friends and Family Test" (would you
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25 recommend this anesthetic service to friends and family?) and a question regarding
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27 whether the patient expected to be asleep during their procedure. Reasons for non-
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29 completion of patient questionnaires were noted. Obstetric and paediatric
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31 populations were excluded from this study as the Bauer questionnaire had not been
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33 previously validated in these settings.
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45 *Patient involvement*

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47 The Participant Information Sheet was reviewed and amended by a member of the
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49 Lay Committee of the Royal College of Anaesthetists; the lay committee were also
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51 invited to provide feedback on study design and conduct. The Bauer questionnaire
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53 was originally developed with patient involvement.
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Analysis

Continuous variables are presented as mean (SD) when normally distributed and median (range) when not (normality was assessed using the Stata “*sktest*” for skewness and kurtosis in large sample sizes). Categorical variables are presented as n (%). Cases missing core variables (operation name, all demographic data or any outcome data) were excluded from all analyses. Baseline characteristics between patients who declined or were unable to complete follow-up questionnaires were compared against those who did consent and complete questionnaires. Our co-primary endpoints were the 10 domains of discomfort in the Bauer patient satisfaction questionnaire.

We explored the relationship between patient and process-related factors and a poor outcome in each of the 15 domains of the Bauer questionnaire. For each of the ten markers of anaesthesia-related discomfort, a poor outcome was defined as a response of “severe” on a 3-point Likert scale (none, moderate, severe); for each of the five patient satisfaction questions, a poor outcome was defined by a response of either ‘Dissatisfied’ or ‘Very dissatisfied’ on a 4-point Likert scale. Chi-squared tests were used to determine the univariate relationship between candidate categorical variables deemed to have plausible associations with poor outcomes in any of these 15 domains; chi-squared test for trend was used with variable with multiple categories. Variables significant at $p < 0.1$ were then entered into separate

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3 multivariable logistic regression models for poor outcome in each of the ten
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5 discomfort domains (backward-stepwise method) to calculate adjusted Odds Ratios
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7 (OR) with 95% Confidence Intervals (CI). Significance for multivariable models was
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9 set at $p < 0.05$. In multiple regression analyses, we used Bonferroni's correction to
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11 adjust for multiple comparisons for different outcomes: 10 comparisons for domains
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13 of anaesthesia-related discomfort, and five domains of patient-satisfaction; adjusted
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15 p values are denoted p'.

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20 A potential case of AAGA was flagged if a patient responded that they remembered
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22 something between going to sleep and waking up, or they answered "Awareness" to
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24 the question asking them to report the worst thing about their operation.

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27 Additionally, all free text responses were screened for responses that could signify
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29 AAGA. The local principle investigators for each of these cases were contacted and
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31 asked to give their opinion of the likelihood of AAGA for their cases as "probable",
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33 "possible", "unlikely" or "un-assessable" according to previously defined criteria,²⁰
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35 (supplementary table 1) and using available local data. Two independent assessors
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37 (SRM and TMC) then reviewed each potential AAGA case and classified them again
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39 into one of these four likelihood categories. All cases classed by any of the three
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41 reviewers as probable or possible AAGA were then discussed in detail by the two
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43 independent assessors and a final classification agreed by consensus.
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53 Data were analysed using STATA/IC 12.1 for Mac, StataCorp LP, Texas, USA and
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55 Microsoft Excel for Mac 2011, Version 14.4.9, Microsoft Corporation, Washington,
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57 USA.
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Results:

Patients were recruited from 257 hospitals within 171 English and Scottish NHS Trusts, Welsh Health Boards and Northern Irish Health and Social Care Trusts – this represented 97% of NHS acute secondary care organisations providing adult services – 146 of 149 in England (98%),²¹ 13 of 14 (93%) in Scotland,²² six of seven (86%) in Wales²³ and six of six (100%) in Northern Ireland.²⁴ Following exclusions, patient characteristics were recorded for 16,222 patients; 15,040 patients answered postoperative questionnaires, giving a response rate of 93% (Figure 1). Baseline characteristics are shown in Table 1. The commonest reason for non-completion of postoperative questionnaires was that the patient had already been discharged from hospital (388 patients; 2.4%); consent was declined by 310 patients (1.9%) (Supplementary table 2). Excluding discharged patients, those who did not complete follow-up questionnaires were older and were more likely to have comorbidities or be undergoing urgent or immediate surgery. The median number of patient respondents per hospital was 78 (range 6 – 388). 12,674 (84%) received general anaesthesia. The commonest operations were cystoscopy (782 patients; 5%), cataract surgery (619; 4%) and hernia repair (594; 4%); however, the cohort included 2449 different procedure codes. Data describing perioperative care are summarised in Supplementary table 3.

Patient characteristics	Respondents (n = 15,040)	Non-respondents (n = 1,182)	p value
Gender (M/F) (% M)	6,696/ 8,344 (45)	551/631 (47)	0.163
Age, years (range)	55 (18 – 100)	57 (18-98)	<0.001
ASA n (%)			<0.001
1	4,995 (33)	305 (26)	
2	7,208 (48)	450 (38)	
3	2,646 (18)	345 (29)	
4	178 (1)	79 (7)	
5	3 (0.02)	3 (0.3)	
Surgical specialties, n (%)			p' value
Orthopaedics	4,000 (27)	251 (21)	<0.002
Gynaecology	1,946 (13)	122 (10)	0.12
Abdomen (gut)	1,818 (12)	144 (12)	0.96
Urology	1,802 (12)	143 (12)	0.94
Head and neck	1,251 (8)	102 (9)	0.75
Ophthalmology	984 (7)	105 (9)	0.04
Body surface (breast)	699 (5)	46 (4)	0.26
Abdomen (hepatobiliary)	496 (3)	41 (3)	0.99
Body surface (other)	438 (3)	28 (2)	0.8
Vascular	352 (2)	27 (2)	0.99
Dental	305 (2)	30 (3)	0.8
Neurosurgery	270 (2)	41 (3)	0.02
Cardiac	251 (2)	53 (4)	<0.002
Endoscopy	132 (0.9)	19 (2)	<0.004
Thoracic	131 (0.9)	17 (1)	0.18
Endocrine	55 (0.4)	1 (0.08)	0.36
Interventional radiology	43 (0.3)	24 (2)	<0.002
Abdomen (bariatric)	36 (0.2)	3 (0.3)	0.99

Transplant	22 (0.1)	3 (0.3)	0.89
Abdomen (endocrine)	9 (0.06)	1 (0.08)	0.74
Surgical urgency, <i>n</i> (%)			<0.001
Elective	12,008 (80)	809 (69)	
Expedited	1,436 (10)	129 (11)	
Urgent	1,532 (10)	222 (19)	
Immediate	64 (0.4)	22 (2)	
Surgical severity, <i>n</i> (%)			0.060
Minor	2,550 (17)	161 (14)	
Intermediate	5,709 (39)	458 (40)	
Major	4,476 (30)	356 (31)	
Complex	2,036 (14)	165 (14)	
Comorbidities, <i>n</i> (%)			
Congestive cardiac failure	320 (2)	54 (5)	<0.001
Previous stroke / TIA	572 (4)	84 (7)	<0.001
Cancer within past 5 years	1,816 (12)	166 (14)	0.047
Obesity (BMI ≥ 30)	3,258 (22)	229 (19)	0.065
Long-term medications, <i>n</i> (%)			
Opiates / opioids	1,514 (10)	131 (11)	0.261
NSAIDs / COX inhibitors	1,331 (9)	81 (7)	0.019
Benzodiazepines	433 (3)	39 (3)	0.405
Neuropathic pain medications	883 (6)	71 (6)	0.845

Table 1: Baseline patient characteristics comparing respondents and non-respondents (n=16,222) [p values corrected (p') for 20 comparisons between groups of surgical specialty]

Anaesthesia-related discomfort	None	Moderate	Severe
Thirst			
Number	4,358	7,711	2,776
Percentage (95% confidence intervals)	30.0 (28.3-29.7)	51.3 (50.5-52.1)	18.5 (17.8-19.1)
Drowsiness			
Number	5,193	8,131	1,513
Percentage (95% confidence intervals)	34.5 (33.8 – 35.4)	54.1 (53.3-54.9)	10.1 (9.6-10.5)
Pain at surgical site			
Number	7,600	5,600	1,652
Percentage (95% confidence intervals)	50.5 (49.7-51.3)	37.2 (36.5-38.0)	11.0 (10.5-11.5)
Hoarseness			
Number	9,769	4,418	526
Percentage (95% confidence intervals)	65.0 (64.2-65.7)	29.4 (28.7-30.1)	3.5 (3.2-3.8)
Sore Throat			
Number	10,353	3,955	495
Percentage (95% confidence intervals)	68.83 (68.1-69.6)	26.3 (26.6-27.0)	3.29 (3.0-3.58)
Cold			
Number	11,333	2,859	666
Percentage (95% confidence intervals)	75.4 (74.7-76.0)	19.0 (18.4-19.6)	4.43 (4.1-4.8)
Nausea and vomiting			
Number	12,357	1,996	476

Percentage (95% confidence intervals)	82.2 (81.6-82.8)	13.3 (12.7-13.8)	3.2 (2.9-3.4)
Confusion			
Number	12,409	2,174	189
Percentage (95% confidence intervals)	82.5 (82.0-83.1)	14.5 (13.9-15.0)	1.3 (1.1-1.4)
Shivering			
Number	12,782	1,635	410
Percentage (95% confidence intervals)	85.0 (84.4-85.6)	10.9 (10.4-11.4)	2.7 (2.5-3.0)
Pain at injection site			
Number	12,856	1,734	194
Percentage (95% confidence intervals)	85.5 (84.9-86.0)	11.5 (11.0-12.0)	1.3 (1.1-1.5)

Table 2: Anaesthesia related discomfort [n(%)]

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5 *Postoperative discomfort*
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8 5230 (34.8%; 95% C.I. 34.0-35.5%) patients reported severe discomfort in at least
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10 one domain. The three most prevalent types of severe discomfort were thirst
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12 (18.5%; 95% C.I. 17.8-19.1) pain at the surgical site (11.0%; 10.5-11.5) and drowsiness
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14 (10.1%; 9.6-10.5) (Table 2).
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18 Univariate analyses of risk factors for each domain of severe discomfort are reported
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20 in Supplementary table 4. Independent risk factors for severe discomfort across the
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22 ten domains of inquiry are presented in Table 3. Non-modifiable risk factors for
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24 severe discomfort included younger age, female sex, obesity, previous stroke or
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26 transient ischaemic attack and long-term opioid, benzodiazepine or neuropathic pain
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28 therapy. Female gender was an independent risk factor for eight of the ten adverse
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30 outcomes. Independent of other factors, there was a significantly lower prevalence
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32 of severe postoperative pain, sore throat, drowsiness and shivering associated with
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34 using regional anaesthesia alone (that is, nerve block, spinal or epidural anaesthesia
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36 or a combination thereof, without general anaesthesia).
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Risk factor	Thirst	Pain at surgical site	Drowsiness	Hoarseness	Sore throat	Cold	PONV	Confusion	Shivering	Pain at injection site
NON-MODIFIABLE FACTORS										
Female gender	1.32 (1.22-1.45)	1.73 (1.55-1.96)	1.70 (1.51-1.91)		1.52 (1.25-1.84)	2.69 (2.24-3.23)	2.77 (2.22-3.45)			
BMI>30						0.58 (0.47-0.72)	1.41 (1.15-1.72) <i>p</i> '=0.01		0.68 (0.52-0.88) <i>p</i> '=0.04	
Age 18-65		1.27 (1.12-1.43)	1.25 (1.10-1.41) <i>p</i> '=0.01			1.40 (1.17-1.68)	1.57 (1.27-1.94)		1.95 (1.53-2.49)	
Age>80	0.76 (0.63-0.90) <i>p</i> '=0.02									
Previous TIA/CVA						1.69 (1.17-2.44) <i>p</i> '=0.05				
Long-term opioids						1.48 (1.17-1.88)			1.52 (1.14-2.04)	

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						$p'=0.01$			$p'=0.04$	
Long-term neuropathic agents	1.48 (1.25-1.74)									
ASA grade [Reference: ASA grade I]										
III	1.43 (1.25-1.63)									
IV or V	2.65 (1.89-3.71)									
Urgent/immediate surgery	1.22 (1.07-1.39) $p'=0.03$	1.35 (1.16-1.59)	1.35 (1.15-1.58)					3.49 (2.50-4.81)		
Surgical type										
Neurosurgery	0.61 (0.45-0.83) $p'=0.01$									
Urology	0.70 (0.59-0.81)	0.69 (0.55-0.87)	0.66 (0.53-0.82)	0.47 (0.30-0.75) $p'=0.01$						

Ophthalmology	0.45 (0.34-0.59)									
Cardiac		2.01 (1.45-2.80)	2.14 (1.53-3.01)							
Head and Neck				1.85 (1.44-2.38) <i>p'</i> =0.01	3.49 (2.80-4.36)					
Thoracic					3.38 (1.84-6.19)					
Magnitude of surgery [Reference variable: minor surgery]										
Major								2.75 (1.46-5.16) <i>p'</i> =0.02		
Complex								3.33 (1.69-6.55) <i>p'</i> =0.01		
Major or complex surgery		1	1.29 (1.12-1.48)	1.37 (1.12-1.66) <i>p'</i> =0.02		1.32 (1.10-1.57) <i>p'</i> =0.02	1.89 (1.48-2.43)		1.47 (1.20-1.81)	

Duration of surgery [Reference variable: <30minutes(m)]										
30-60m	1.26 (1.10-1.43) <i>p</i> '=0.01	1.68 (1.40-2.00)	1.54 (1.30-1.84)			1.47 (1.17-1.68)				
60-120m	1.31 (1.13-1.52)	2.63 (2.18-3.15)	2.47 (2.07-2.94)			1.48 (1.20-1.82)	2.23 (1.54-3.24)			
>120m	1.66 (1.40-1.98)	3.18 (2.58-3.92)	3.06 (2.52-3.70)				3.17 (2.13-4.72)			

MODIFIABLE FACTORS										
Anaesthetic technique										
Inhalational GA	1.42 (1.25-1.61)		1.95 (1.40-2.71)	3.10 (2.00-4.79)						
Total Intravenous GA			1.60 (1.16-2.22) <i>p</i> '=0.05	1.89 (1.21-2.92) <i>p</i> '=0.05		1.77 (1.30-2.41)				
Sole RA without GA		0.27 (0.19-0.37)	0.47 (0.31-0.73)							

Pharmacological agents administered during anaesthesia and surgery										
Neuromuscular blockade	1.85 (1.68-2.04)			3.38 (2.70-4.22)	2.96 (2.41-3.64)					
Morphine	1.20 (1.09-1.32)	1.44 (1.28-1.63)	1.46 (1.31-1.66)			0.69 (0.57-0.83)			0.71 (0.57-0.90) <i>p'</i> =0.05	
Alfentanil									0.50 (0.31-0.80) <i>p'</i> =0.04	
Cyclizine						1.49 (1.14-1.94) <i>p'</i> =0.03				

Table 3: Factors independently (on multivariable analysis) associated with severe postoperative discomfort. Odds ratios (95% confidence intervals); $p' < 0.01$ unless otherwise stated [$p' = p$ corrected for 10 comparisons using Bonferroni's correction]

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3 *Patient experience and satisfaction*
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5 Patients most commonly reported anxiety to be the worst thing about their
6 operation (33.3%), followed by pain (16.7%). Analysis of free-text responses
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8 identified a number of additional themes including the facilities, staff behaviours,
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10 communication, and non-clinical processes such as transport or discharge efficiency.
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15 (Table 4)
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For Peer Review

Response	Number of patients	Percentage	95% Confidence intervals
Anxiety	4,653	33.3	32.3-34.1
Pain	2,333	16.7	16.1-17.3
Unable to carry out usual activities	1,785	12.8	12.2-13.3
Recovery process	920	6.6	6.2-7.0
Awareness	136	1.0	0.8-1.1
Nothing	2,034	14.5	14.0-15.1
Other (thematic analysis) <ul style="list-style-type: none"> • Environment / facilities (waiting times/recovery) • Emotional wellbeing (anticipation/anxiety/circumstances of surgery) • Procedure specifics (cannulation/regional) • Symptoms (hunger, thirst, cold, pain) • Staff (professionalism/quality of care) • Communication (changes to planned surgery/pre-op discussion) • Process (transport, discharge) 	2,124	15.6	14.6-15.8

TABLE 4: Responses to the question: "What was the worst thing about your operation?" (total responses: n=13,985)

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3 Patient satisfaction levels were high with only 5.7% of patients reporting being
4
5 dissatisfied or very dissatisfied with any aspect of their care (Table 5). 99% of the
6
7 patients who responded to the NHS Friends and Family Test (FFT) stated they would
8
9 recommend the anaesthesia service; 5% did not respond. Two patient or procedural
10
11 risk factors independently predicted that a patient would not recommend the
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13 service to friends or family: long-term opioid use (11% of patients; odds ratio [O.R.]
14
15 1.98, 95% confidence interval [C.I.] 1.24-3.15; $p < 0.004$), and a history of congestive
16
17 cardiac failure (2% of patients; O.R. 2.80, 95% C.I. 1.29-6.05; $p < 0.009$). Multivariable
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19 analysis adjusting for these non-modifiable risk factors found that the following
20
21 types of severe discomfort predicted that the patient would not recommend the
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23 service to friends and family: pain (O.R. 2.73, 95% C.I. (1.81 - 4.13); $p < 0.0005$); PONV
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25 (O.R. 3.78, 95% C.I. 2.11-6.78; $p < 0.0005$.)
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Domain	Very Satisfied	Satisfied	Dissatisfied	Very dissatisfied	Not applicable
Pain therapy (n=14,403)					
Number	8,879	4,986	414	108	16
Percentage (95% confidence intervals)	61.6 (60.9-62.4)	34.6 (33.8-35.4)	2.9 (2.6-3.1)	0.8 (0.6-0.9)	0.1
PONV therapy (n=12,161)					
Number	8,652	3,271	117	33	88
Percentage (95% confidence intervals)	71.1 (70.3-71.9)	26.9 (26.1 – 27.7)	0.8 (0.7-1.0)	0.3 (0.2-0.4)	0.7
Pre-operative information (n=14,943)					
Number	12,458	2,373	58	52	2
Percentage (95% confidence intervals)	83.4 (82.7-84.0)	15.9 (15.2-16.5)	0.4 (0.3-0.5)	0.4 (0.3-0.5)	0.01
Waking up (n=14,092)					
Number	9,416 (67)	4,360	194	78	44
Percentage (95% confidence intervals)	66.8 (66.0-68.7)	31.0 (30.1-31.8)	1.4 (1.2-1.6)	0.6 (0.4-0.7)	0.3
General care (n=14,922)					
Number	12,773	2,065	31	51	2
Percentage (95% confidence intervals)	85.6 (85.0-86.2)	13.8 (13.8-14.5)	0.2 (0.1-0.3)	0.3 (0.2-0.4)	0.013

Table 4: Satisfaction with care

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3 *Accidental Awareness during General Anaesthesia (AAGA)*
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5
6 3.6% (95% C.I. 3.3-3.9%) of patients undergoing GA were not expecting to be asleep
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8 for surgery; conversely, 4.0% (3.7-4.3%) of patients expecting to be asleep were not
9
10 administered a GA. There was no association between receiving a different type of
11
12 anesthetic to that expected, and reporting dissatisfaction with general care, waking
13
14 or preoperative information sharing. 338 cases (2.7% of GAs; 95% C.I. 2.4-2.9%) were
15
16 identified as potential cases of AAGA. Following the review process, 15 patients
17
18 (0.12% of GAs; 95% C.I. 0.1-0.2%) were classified as having had either probable (one
19
20 patient) or possible (14 patients) AAGA, an event rate of approximately 1 in 800.
21
22
23 AAGA was related to emergence from anaesthesia (removal of tracheal tube) in six
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25 of these patients. One patient reported dissatisfaction with their wake-up from
26
27 anaesthesia: they experienced pain, being unable to move or breathe and hearing
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29 voices during surgery. Two patients reported feeling the surgery but without pain.
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32 Regression analysis did not identify any independent risk factors for probable or
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34 certain AAGA from our dataset.
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Discussion

This comprehensive national snapshot of patient-reported outcome shows high levels of satisfaction with anaesthesia care delivered by NHS hospitals. However, there is a striking disconnect between high levels of patient satisfaction and the substantial burden of perioperative symptoms. Severe discomfort in at least one domain was reported by 35% of respondents; the commonest symptom was severe thirst, but this did not predict patient dissatisfaction. Severe pain, drowsiness, sore throat and postoperative nausea and vomiting predicted dissatisfaction with anaesthesia services; however, 99% of patients who responded indicated that they would recommend the service to friends and family. Anxiety and pain were both common and had impact on patient experience, and provide important targets for research and quality improvement. These data may also be used to improve the information provided to patients prior to surgery and anaesthesia, hence helping to meet and manage patients' expectations of their perioperative outcomes and experience. AAGA was uncommon and when it did occur, in only one of 15 cases was it associated with short-term distress or dissatisfaction. Overall, these findings demonstrate the importance of measuring quality from several aspects (safety, experience, outcome) in order to contextualise findings and appropriately focus future efforts to improve care.

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3 The inconsistent relationship we found between satisfaction, safety and
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5 effectiveness contradicts the findings of a recent systematic review.²⁵ There are
6
7 several possible explanations for this. Our study has focussed on a particular aspect
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9 of hospital treatment – perioperative care evaluated within 24 hours of surgery –
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11 which has not previously been investigated in a comprehensive multi-centre cohort
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13 ^{18 25}; however, our findings are consistent with previous single centre studies in this
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15 setting.^{17 26} While symptoms such as severe postoperative thirst are common, they
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17 may simply be less distressing than those linked with patient dissatisfaction such as
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19 pain, nausea and vomiting, or sore throat; it may also be that patients are more
20
21 prepared for some symptoms than others, through better preoperative
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23 communication with healthcare professionals.²⁷ The discrepancy between the
24
25 prevalence of different domains of discomfort and their impact on patient
26
27 satisfaction highlights the importance of measuring both symptoms and experience
28
29 when evaluating patient-centred outcomes for the purposes of quality improvement.
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31 It is notable that most patients who were categorised as potential AAGA cases did
32
33 not report dissatisfaction with the care delivered. This may be because our estimate
34
35 was inaccurate, because a low event rate meant that we missed a significant
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37 relationship between AAGA and other risk factors or outcomes, because the
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39 distressing consequences of AAGA may not become apparent until much later,²⁸ or
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3 because dissatisfaction after an episode of AAGA is more likely to be associated with
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5 the manner in which complaints or concerns are later handled, than the event of
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7 AAGA itself.²⁹
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14 Analyses identifying risk factors for adverse outcomes should be interpreted with the
15
16 same caution as in all observational studies: our data are hypothesis-generating
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18 rather than explanatory, and confounding by indication may be responsible for some
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20 reported associations – for example between the administration of morphine and
21
22 severe postoperative pain.³⁰ Acknowledging these caveats, our findings nevertheless
23
24 point towards opportunities for future research and improvement efforts. Low-risk
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26 interventions such as music therapy, which has been shown to reduce perioperative
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28 anxiety and pain,³¹ may improve experience for substantial numbers of patients
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30 without incurring major cost. The most common type of postoperative discomfort
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32 reported was thirst; this may be locally investigated through evaluation of
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34 preoperative starvation times, intraoperative fluid and drug regimens and possibly
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36 addressed through rapid re-establishment of oral fluids after surgery where possible.
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42 ³² More than half of patients reported severe or moderate surgical pain: this is a
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44 particularly important target for research and quality improvement, as improving
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3 acute pain management may also reduce the risk of chronic pain,¹³ which is both
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5 distressing for patients and carries significant societal burden³³; furthermore, this
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7 has recently been highlighted as a research priority by patients, public and
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9 healthcare professionals in the UK.³⁴ Although the incidence of suspected AAGA in
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11 this cohort is consistent with studies using similar methods to elicit explicit recall of
12
13 intraoperative events,³⁵ in nearly half of these cases, the episode of awareness
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15 occurred during removal of a tracheal tube. However, recent reports have
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17 highlighted late psychological harm as a result of awareness during emergence from
18
19 anaesthesia,²⁸ hence we have included these cases in our estimate of AAGA
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21 incidence, where older studies have not.³⁶
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31 The major strength of this study is the size and distribution of the sample. 97% of
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33 eligible NHS organisations contributed data, and the patient response rate was high.
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35 This comprehensive hospital participation is unusual compared with previous large-
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37 scale point-prevalence studies.^{37 38} Professional engagement was facilitated by
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39 establishing a network of investigators to support research and quality
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41 improvement; furthermore, and following the example set by surgical trainee
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43 research networks,³⁹ junior doctors and students were encouraged to become
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3 investigators for this study, hence supporting study delivery at local level. This
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5 networked approach to health services research delivery may provide a useful
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7 template which can be replicated in other settings. There are, however, also some
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9 limitations. Although comparison with previous NHS activity data ⁴ indicates that we
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11 have captured nearly all eligible cases during our recruitment window, a relatively
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13 small proportion of procedures (10%) were classified as either urgent or immediate,
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15 and non-respondents were also higher risk in terms of comorbidities and age: this is
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17 likely to reflect recruitment bias, and may have affected our findings. It is possible
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19 that we did not capture all patient or process-related risk factors for adverse
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21 outcomes: these are potential additional sources of confounding in our analyses. We
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23 did not include ethnicity in our dataset; other studies have found variation in patient
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25 satisfaction ⁴⁰ or patient expectation ⁴¹ according to ethnicity; this may also be an
26
27 important issue when considering the international generalizability of our findings.
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29 Finally, our methodology for determining whether patients experienced AAGA had
30
31 limitations. It was clear from follow-up that for some patients, the term “awareness”
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33 carried a different meaning to that intended. This provides some explanation for the
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35 high false positive rate for the modified Brice questionnaire, and may indicate that
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37 its specificity is too poor to be used in routine clinical practice. We did not conduct
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39 three administrations of the Brice questionnaire as would normally be
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3 recommended; nor did we specify the method of follow-up of suspected AAGA cases
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5 by local investigators: these factors may too have led to inaccuracy in our estimate
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7 of AAGA incidence.
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14 In summary, this study is a robust multi-centre evaluation of patient perspectives on
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16 anaesthesia care in NHS hospitals. We have found that while patient satisfaction is
17
18 high, one in three patients report severe discomfort within 24 hours of surgery.
19
20 However, anxiety was most commonly reported as the worst aspect of the surgical
21
22 episode: this finding supports the wider implementation and evaluation of simple,
23
24 cost-effective, evidence-based interventions to alleviate it. Routinely reported
25
26 quality data should cover all three aspects of safety, experience and outcome, so as
27
28 to provide a comprehensive assessment of care from the patient perspective.
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31 International replication of our methodology would provide data supporting
32
33 improved performance and outcome in different healthcare settings, and enable
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35 comparisons which may further elucidate the role of organisational and cultural
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37 factors on patients' perspective of quality in anaesthesia care.
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6

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7

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9
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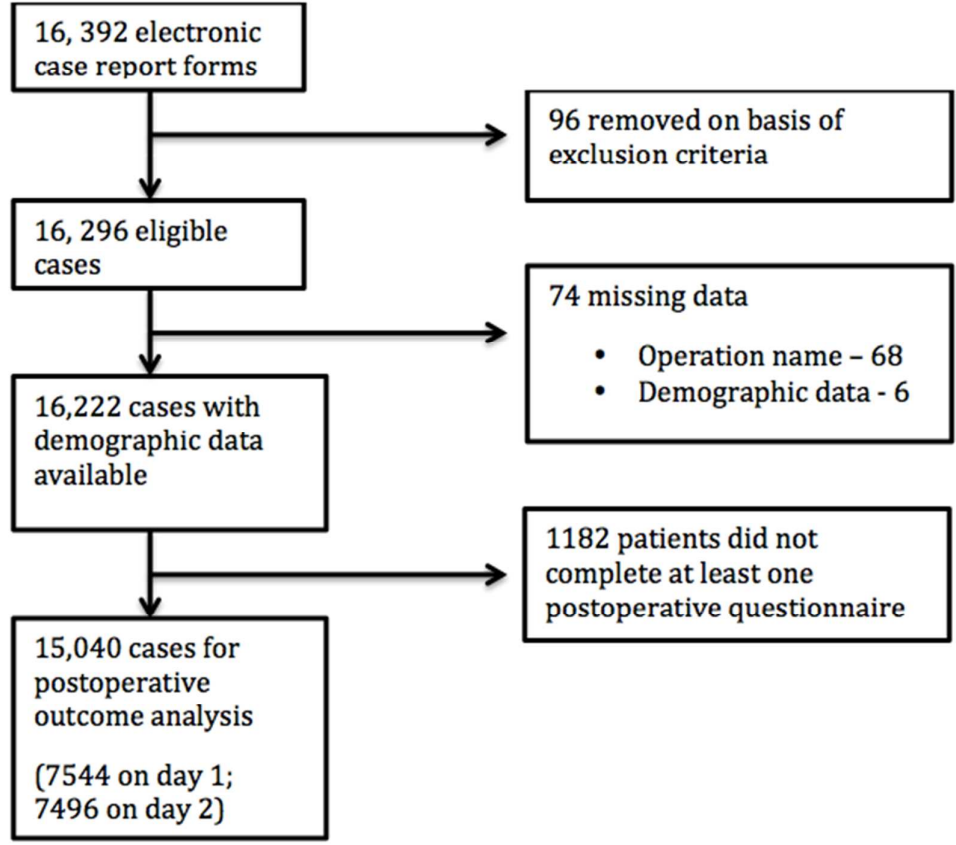


Figure 1: Study flow diagram

Review

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For Peer Review