

# **Acoustic pressure field estimation methods for synthetic schlieren tomography**

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1 Synthetic schlieren tomography is a recently proposed three-dimensional optical imag-  
2 ing technique for studying ultrasound fields. The imaging setup is composed of an  
3 imaged target, a water tank, a camera, and a pulsed light source that is stroboscopi-  
4 cally synchronized with an ultrasound transducer to achieve tomographically station-  
5 ary imaging of an ultrasound field. In this technique, ultrasound waves change the  
6 propagation of light rays by inducing a change in refractive index via acousto-optic  
7 effect. The change manifests as optical flow in the imaged target. By performing  
8 the imaging in a tomographic fashion, the two-dimensional tomographic dataset of  
9 the optical flow can be transformed into a three-dimensional ultrasound field. In  
10 this work, two approaches for acoustic pressure field estimation are introduced. The  
11 approaches are based on optical and potential flow regularized least square optimi-  
12 zations where regularization based on the Helmholtz equation is introduced. The  
13 methods are validated via simulations in a telecentric setup and are compared quan-  
14 titatively and qualitatively to a previously introduced method. Cases of a focused,  
15 an obliquely propagating, and a standing wave ultrasound fields are considered. The  
16 simulations demonstrate efficiency of the introduced methods also in situations in  
17 which the previously applied method has weaknesses.

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<sup>18</sup> **I. INTRODUCTION**

<sup>19</sup> Ultrasound imaging is a fundamental part of medical diagnostics.<sup>1</sup> In addition to diag-  
<sup>20</sup> nostics, ultrasound has therapy applications, such as, treatment of cancer<sup>2</sup> and essential  
<sup>21</sup> tremor<sup>3</sup> and targeted drug delivery.<sup>4</sup> To guarantee patient safety and quality of diagnostics  
<sup>22</sup> or therapy, ultrasound devices need to be calibrated. This requires measurement of the ul-  
<sup>23</sup> trasound field, commonly accomplished using cumbersome and time-consuming hydrophone  
<sup>24</sup> measurements.<sup>5,6</sup> Thus, calibration and quality assurance of ultrasound devices could benefit  
<sup>25</sup> from new ultrasound measurement and characterization techniques.

<sup>26</sup> Various optical imaging methods, namely schlieren imaging<sup>7-9</sup> and its variations, such  
<sup>27</sup> as shadowgraphy,<sup>10-12</sup> background oriented schlieren (BOS) imaging,<sup>13-15</sup> and synthetic  
<sup>28</sup> schlieren,<sup>16-18</sup> have been applied in imaging of pressure fields. Thus, they can potentially  
<sup>29</sup> serve as alternatives for traditional measurement methods to characterize ultrasound fields.

<sup>30</sup> These methods rely on observing deflection of light passing through a heterogeneous re-  
<sup>31</sup> fractive index field that carries information of a density or a pressure field.<sup>19,20</sup> In schlieren  
<sup>32</sup> imaging, deflection of light is observed accurately using an expensive lens setup and an  
<sup>33</sup> optical stop blocking non-deflected light arriving to a camera.<sup>19,21</sup> In the simplest variation,  
<sup>34</sup> shadowgraphy, no optical setup is needed and the light is simply projected to a screen.<sup>20</sup>

<sup>35</sup> The deflected light is then observed as intensity variations. Shadowgraphy is mainly used  
<sup>36</sup> for qualitative inspection of an ultrasound field due to its lack of sensitivity<sup>19</sup> and challenges  
<sup>37</sup> in obtaining absolute pressure values.<sup>10,11</sup> The more recent schlieren variations are BOS<sup>22</sup>  
<sup>38</sup> and synthetic schlieren<sup>23</sup> that use inexpensive and easy-to-use setups. In these methods,

39 deflection of light is observed as optical distortions in an imaged target and thus, they  
40 can produce quantitative measurements after post-processing of the images. In ultrasound  
41 community, both BOS and synthetic schlieren methodologies have been used in imaging of  
42 ultrasound fields.<sup>14,17,18</sup>

43 In synthetic schlieren tomography (SST) for imaging of ultrasound fields, refractive index  
44 field distribution is induced via acousto-optic effect.<sup>18</sup> This results in light rays travelling  
45 curved paths through the heterogeneous refractive index field, causing optical distortions in  
46 an imaged target. Various optical flow methods exist to determine optical displacements  
47 (gradient projections) from the captured images, such as Lucas-Kanade,<sup>24</sup> Horn-Schunck  
48 (HS),<sup>25</sup> and cross correlation-methods.<sup>15,26</sup> Of these methods, HS has good quality and  
49 accuracy.<sup>27</sup> In addition, potential flow<sup>28</sup> can be used to determine potential functions (pro-  
50 jections) of a pressure field. Since the determined optical distortions are two-dimensional  
51 (2D), a tomographic dataset is required for reconstructing a three-dimensional (3D) ultra-  
52 sound field. In SST, an ultrasound field is imaged stationarily using a stroboscopic setup  
53 based on synchronizing a pulsed light source with the refractive index perturbations. To-  
54 mographic imaging is achieved by rotating the refractive index field or the camera, the light  
55 source, and the imaged target.

56 In this work, two new approaches for estimating acoustic pressure fields in SST are in-  
57 troduced. The approaches are based on the optical and potential flow problems, which are  
58 solved using a regularized least squares in a form similar to HS. For the regularizations,  
59 Laplace and Helmholtz equations are applied. The estimated flow solutions are then used  
60 with an inverse Radon transform to obtain estimates of the pressure field. The approaches

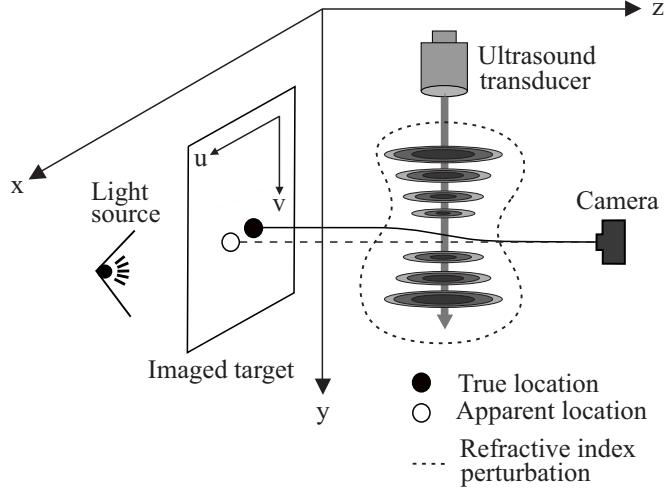


FIG. 1. Schematic image of a synthetic schlieren setup.

61 are compared to the previously introduced method using numerical simulations in qualita-  
 62 tive and quantitative fashion. Comparison is conducted using three ultrasound fields that  
 63 represent real measurement scenarios: a focused, an obliquely propagating focused, and a  
 64 standing wave ultrasound fields.

## 65 II. MATERIALS AND METHODS

66 In this work, a SST setup consisting of a stationary ultrasound transducer and a rotat-  
 67 ing camera, a light source, and an imaged target all immersed in the imaging medium is  
 68 considered. A schematic image of such a setup is shown in Fig. 1. The camera is modelled  
 69 as telecentric, meaning it performs imaging using orthographic view with respect to the  
 70 captured light rays that all propagate in parallel. The coordinate system described in the  
 71 Fig. 1 is adapted throughout this work.

72      **A. Theory of SST**

73      In a simple medium, such as water, refractive index of light behaves linearly as a function  
 74      of adiabatic pressure due to the acousto-optic effect<sup>29,30</sup>

$$n(x, y, z) = n_0 + \left( \frac{\partial n}{\partial p} \right) p(x, y, z), \quad (1)$$

75      where  $n_0$  is the refractive index of the ambient medium,  $(\partial n / \partial p)$  is the adiabatic piezo-  
 76      optic coefficient,<sup>29</sup> and  $p(x, y, z)$  is the acoustic pressure as a function of spatial coordinates  
 77       $(x, y, z)$ .

78      Heterogeneous refractive index field results in curving of light rays passing through it.  
 79      The path of a light ray, according to a ray equation<sup>31</sup> is

$$\frac{d}{ds} \left( n(\gamma(s)) \frac{d\gamma}{ds}(s) \right) = \nabla n(\gamma(s)), \quad (2)$$

80      where  $\gamma$  is an optical path vector and  $s$  is the geometrical length of the optical path. In  
 81      general, the optical path is a complex curve and the ray equation is non-linear. However,  
 82      for small refractive index perturbations, Eq. (2) can be linearized.<sup>15,26,32</sup> It follows that the  
 83      propagation can be modelled as light rays experiencing a deflection that is proportional  
 84      to the projection of the refractive index field gradient along a straight path through the  
 85      perturbation. The linearized deflection angles can be expressed as

$$\begin{cases} \phi_x(x, y) = \frac{1}{n_0} \int_Z \frac{\partial n}{\partial x}(x, y, z) dz, \\ \phi_y(x, y) = \frac{1}{n_0} \int_Z \frac{\partial n}{\partial y}(x, y, z) dz, \end{cases} \quad (3)$$

86      where  $\phi_x(x, y)$  is the horizontal and  $\phi_y(x, y)$  is the vertical deflection angle towards  $x$  and  $y$   
 87      axes, and  $Z$  is the integration path over the width of refractive index perturbation. Within

88 paraxial approximation, the displacement of the light ray originating from  $(x, y, z = 0)$  can  
 89 be expressed as

$$\begin{cases} u(x, y) = D\phi_x(x, y), \\ v(x, y) = D\phi_y(x, y), \end{cases} \quad (4)$$

90 where  $u(x, y)$  is the horizontal and  $v(x, y)$  is the vertical displacement, and  $D$  is the distance  
 91 between the thin schlieren object and the camera. The relations for displacements and  
 92 pressure gradient are obtained by combining Eqs. (1)–(4)

$$\begin{cases} u(x, y) = \kappa \int_Z \frac{\partial p}{\partial x}(x, y, z) dz, \\ v(x, y) = \kappa \int_Z \frac{\partial p}{\partial y}(x, y, z) dz, \end{cases} \quad (5)$$

93 where  $\kappa = (D/n_0)(\partial n/\partial p)$  is a factor relating the line integral of the pressure gradient  
 94 projections to absolute displacements. In the above formulations (1)–(5), we have assumed  
 95 that the light pulses are infinitely short and the light ray propagation through the perturbed  
 96 water is instantaneous. These assumptions are reasonable since the speed of light in water  
 97 is much faster than the speed of ultrasound, hence the change in the refractive index is  
 98 negligible during the propagation of a light pulse.

99 The optical displacements can be determined from a non-perturbed image,  $I(x, y)$ , and  
 100 the perturbed image,  $I^\delta(x, y)$  assuming the same exposure and illumination conditions. The  
 101 relation between these images holds that<sup>33</sup>

$$I^\delta(x, y) = I(x + u(x, y), y + v(x, y)), \quad (6)$$

102 where  $(x + u(x, y), y + v(x, y))$  is an absolute position of a displaced light ray.

103      **B. Estimating optical flow**

104      In this work, HS method is used for determining the optical displacements from the  
 105      image distortions due to its good performance for continuous and smooth displacements  
 106      under noisy conditions. In addition to the traditional HS,<sup>25</sup> a potential flow approach is also  
 107      used.<sup>28</sup>

108      **1. Optical flow**

109      The traditional HS method is an approach for estimating the perturbed image with a  
 110      first order truncated Taylor series as

$$\begin{aligned} I^\delta(x, y) &= I(x + u(x, y), y + v(x, y)) \\ &\approx I(x, y) + u(x, y) \frac{\partial I}{\partial x}(x, y) + v(x, y) \frac{\partial I}{\partial y}(x, y). \end{aligned} \tag{7}$$

111      Estimating the displacements is an ill-posed problem and has a non-unique solution due to  
 112      more unknowns than equations. Uniqueness of a solution is obtainable by alleviating the  
 113      ill-posedness via regularization.<sup>34</sup> Horn and Schunck introduced the unknown displacements  
 114      as the minimizers of a global smoothness constraint.<sup>25</sup> In addition to a unique solution,  
 115      the regularization fills in information from the neighbourhood at locations where the image  
 116      gradient vanishes ( $\nabla I \approx 0$ ). The HS regularized linear least squares problem in a continuous  
 117      form is expressed as

$$\begin{aligned} (\hat{u}, \hat{v}) &= \arg \min_{(u, v)} \int_A \left( I^\delta - I - u \frac{\partial I}{\partial x} - v \frac{\partial I}{\partial y} \right)^2 dx dy \\ &\quad + \alpha^2 \int_A (\mathcal{L}u)^2 + (\mathcal{L}v)^2 dx dy, \end{aligned} \tag{8}$$

118 where  $(\hat{u}, \hat{v})$  is an estimate of the image displacements,  $\alpha$  is a regularization parameter,  $\mathcal{L}$   
 119 is a regularization operator, and  $A$  is the surface area over which the integration is carried  
 120 over. The regularization operator  $\mathcal{L}$  is used to impose soft constraints on the estimates,  
 121 thus making the problem less ill-posed. For smooth fields, first or second order differential  
 122 operators are often used to impose differentiability of orders one and two.<sup>25,33</sup>

123 In practice, numerical solving of this regularized least squares problem requires discretiza-  
 124 tion of the problem by expressing the images and displacements as vectors that compose  
 125 of pixel intensities. Images and displacements expressed as vectors are  $\mathbf{I} = (I_1, \dots, I_J)^\top$ ,  
 126  $\mathbf{I}^\delta = (I_1^\delta, \dots, I_J^\delta)^\top$ ,  $\mathbf{u} = (u_1, \dots, u_J)^\top$ , and  $\mathbf{v} = (v_1, \dots, v_J)^\top$ , where  $I_j$  and  $I_j^\delta$  are the pixel inten-  
 127 sities of unperturbed and perturbed images, and  $u_j$  and  $v_j$  are the horizontal and vertical  
 128 displacements, for pixels  $j = 1, \dots, J$ . The discrete regularized least squares can then be  
 129 expressed as

$$\begin{aligned}
 (\hat{\mathbf{u}}, \hat{\mathbf{v}}) = \arg \min_{(\mathbf{u}, \mathbf{v})} & \left\| \mathbf{I}^\delta - \mathbf{I} - \mathbf{D}_x \mathbf{u} - \mathbf{D}_y \mathbf{v} \right\|^2 \\
 & + \alpha^2 (\|\mathbf{L} \mathbf{u}\|^2 + \|\mathbf{L} \mathbf{v}\|^2),
 \end{aligned} \tag{9}$$

130 where  $\|\cdot\|$  is the Euclidean 2-norm,  $\mathbf{D}_x = \text{diag}\{I_{1,x}, \dots, I_{J,x}\}$  and  $\mathbf{D}_y = \text{diag}\{I_{1,y}, \dots, I_{J,y}\}$   
 131 are diagonal matrices of first order centered finite difference approximations<sup>35</sup> of the  $x$  and  
 132  $y$  derivatives of  $\mathbf{I}$  for pixels  $j$ , and  $\mathbf{L}$  is a regularization matrix (see Sec. II B 3). For details  
 133 on solving least squares optimization problems of form Eq. (9), see e.g. Ref.<sup>34,36</sup>

<sup>134</sup> **2. Potential flow**

<sup>135</sup> In potential flow method, the optical flow fields in Eq. (5) are described as a gradient of

<sup>136</sup> a potential function

$$P = \kappa \int_Z p(x, y, z) dz, \quad (10)$$

<sup>137</sup> such that  $(u, v) = \nabla P$  and the regularized least squares problem (8) then becomes

$$\begin{aligned} \hat{P} = \arg \min_P & \int_A \left( I^\delta - I - \left( \frac{\partial I}{\partial x} \frac{\partial}{\partial x} \right. \right. \\ & \left. \left. + \frac{\partial I}{\partial y} \frac{\partial}{\partial y} \right) P \right)^2 dx dy + \alpha^2 \int_A (\mathcal{L}P)^2 dx dy. \end{aligned} \quad (11)$$

<sup>138</sup> The problem in a discrete form is

$$\begin{aligned} \hat{\mathbf{P}} = \arg \min_{\mathbf{P}} & \left\| \mathbf{I}^\delta - \mathbf{I} - (\mathbf{D}_x \mathbf{G}_x + \mathbf{D}_y \mathbf{G}_y) \mathbf{P} \right\|^2 \\ & + \alpha^2 \left\| \mathbf{L} \mathbf{P} \right\|^2, \end{aligned} \quad (12)$$

<sup>139</sup> where  $\mathbf{P} = (P_1, \dots, P_J)^\top$  is the potential function in vector form, and  $\mathbf{G}_x$  and  $\mathbf{G}_y$  are the first

<sup>140</sup> order centered finite difference approximation operator matrices for the  $x$  and  $y$  derivatives.

<sup>141</sup> For details on solving least squares optimization problems of form Eq. (12), see e.g. Ref. <sup>34,36</sup>

<sup>142</sup> Because potential flow method estimates the potential function  $\mathbf{P}$ , the problem has equal

<sup>143</sup> number of unknowns and equations. However, regularization is still needed due to noise and

<sup>144</sup> zero image gradient locations ( $\nabla \mathbf{I} \approx 0$ ).

<sup>145</sup> **3. Regularization operator**

<sup>146</sup> In this work, we use two different regularization operators. The first is a Laplace operator

<sup>147</sup>  $\nabla^2 = \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right)$  that promotes smooth solutions <sup>25,33</sup> of optimization problems (9) and (12).

<sup>148</sup> The second regularization operator  $\nabla^2 + k^2$  is based on the Helmholtz equation for acoustic

149 fields, where  $k = 2\pi/\lambda = \omega/c$  is the wavenumber,  $\lambda$  is the wavelength,  $\omega$  is the angular  
 150 frequency, and  $c$  is the speed of sound of the acoustic field.<sup>37</sup> The operator promotes solutions  
 151 with acoustic wave-like features. In discrete forms, Laplace and Helmholtz operators are  
 152 expressed as

$$\nabla^2 \approx \mathbf{G}_{xx} + \mathbf{G}_{yy} = \mathbf{L}, \quad (13)$$

$$\nabla^2 + k^2 \approx \mathbf{G}_{xx} + \mathbf{G}_{yy} + k^2 \mathbf{I} = \mathbf{L}, \quad (14)$$

153 where  $\mathbf{G}_{xx}$  and  $\mathbf{G}_{yy}$  are matrix operators corresponding to second order centered finite differ-  
 154 ence approximations<sup>38</sup> of second partial derivatives along  $x$ - and  $y$ -axes, and  $\mathbf{I}$  is an identity  
 155 matrix, and  $\mathbf{L}$  is a discrete regularization matrix. Since the optical flow fields in Eq. (5)  
 156 can be expressed as the gradient of potential flow (10), imposing a second order differentia-  
 157 bility with the regularization operators (13) and (14) causes a higher level differentiability  
 158 assumption on the solution of optical flow (9) than potential flow (12).

159 **C. Tomographic imaging**

160 The principle of tomographic imaging in SST with a stationary ultrasound field and a  
 161 rotating camera, a light source, and an imaged target is visualized in Fig. 2. Each of the  
 162 captured images at different angles are 2D projections and carry information of the pressure  
 163 field.

164 In order to describe the projections in tomographic coordinates, a mapping from the  
 165 pressure fields' laboratory coordinates  $(x, y, z)$  to the local coordinates  $(x', y', z')$  of the  
 166 rotating camera is needed. The angle of rotation  $\theta$  around the  $y$ -axis connects the two

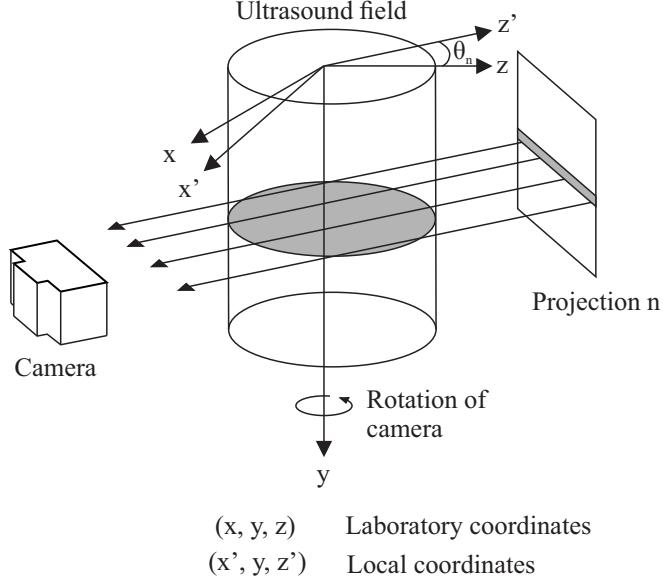


FIG. 2. Schematic image of measurement setup of SST. Laboratory coordinates  $(x, y, z)$  of the pressure field, local coordinates  $(x', y, z')$  of the rotating camera, and the imaged target at a projection angle  $\theta_n$ .

167 coordinate systems

$$\begin{cases} x = x' \cos(\theta) - z' \sin(\theta), \\ y = y', \\ z = x' \sin(\theta) + z' \cos(\theta). \end{cases} \quad (15)$$

168 Expressing the line integral along the optical path over the pressure field in rotated coordi-  
 169 nates is equivalent to a Radon transform<sup>39,40</sup>  $\mathcal{R}\{\cdot\}(x', \theta)$  as

$$\begin{aligned} \mathcal{R}\{p(x, y, z)\}(x', \theta) = & \int_{-\infty}^{\infty} p(x' \cos(\theta) - z' \sin(\theta), \\ & y, x' \sin(\theta) + z' \cos(\theta)) dz'. \end{aligned} \quad (16)$$

<sub>170</sub> Expressing the optical displacements of Eq. (5) and the potential function (10) similarly as

<sub>171</sub> the Radon transform (16) results in

$$u(x', y, \theta) = \kappa \frac{\partial}{\partial x'} \mathcal{R}\{p(x, y, z)\}(x', \theta), \quad (17)$$

$$v(x', y, \theta) = \kappa \frac{\partial}{\partial y} \mathcal{R}\{p(x, y, z)\}(x', \theta), \quad (18)$$

$$P(x', y, \theta) = \kappa \mathcal{R}\{p(x, y, z)\}(x', \theta). \quad (19)$$

<sub>172</sub> The above formulations (15)–(19) also apply to imaging with a rotating ultrasound field and

<sub>173</sub> a stationary camera, a light source, and an imaged target.

<sub>174</sub> **D. Tomographic pressure field estimations**

<sub>175</sub> The previous pressure field estimation method introduced in Ref.<sup>18</sup> uses the vertical

<sub>176</sub> displacement to form an estimate for the pressure. For completeness, the method is para-

<sub>177</sub> phrased here. According to Eq. (18),  $v(x', y, \theta)$  is the Radon transform of the  $y$ -derivative of

<sub>178</sub> the pressure field. Hence, we can use an inverse Radon transform (filtered back-projection

<sub>179</sub> algorithm in practice<sup>39,41</sup>) to estimate the  $y$ -derivative of the pressure field. Furthermore,

<sub>180</sub> for strongly forward directed pressure fields, a plane-wave approximation can be made. In

<sub>181</sub> a lossless medium, the Helmholtz equation<sup>37</sup> is

$$\nabla^2 p + k^2 p = 0. \quad (20)$$

<sub>182</sub> Assuming plane-wave propagation along the  $y$ -direction, the derivatives in  $x$ - and  $z$ -

<sub>183</sub> directions become negligible and an approximate wave-equation holds that

$$\frac{\partial^2 p}{\partial y^2} + k^2 p \approx 0, \quad (21)$$

<sup>184</sup> from which a plane-wave approximation for the pressure can be obtained using

$$p = -\frac{c^2}{\omega^2} \frac{\partial}{\partial y} \left( \frac{\partial p}{\partial y} \right), \quad (22)$$

<sup>185</sup> where  $\partial p / \partial y$  can be obtained using the inverse Radon transform.<sup>39,41</sup> The pressure estimation  
<sup>186</sup> can thus be expressed as

$$p(x, y, z) = -\frac{1}{\kappa} \frac{c^2}{\omega^2} \frac{\partial}{\partial y} \mathcal{R}^{-1} \{v(x', y, \theta)\}(x, z), \quad (23)$$

<sup>187</sup> The approach (23) is referred to as pressure estimation based on the  $v$ -displacement (PE- $v$ ).  
<sup>188</sup> In this work, two new pressure field estimation methods are introduced. The first pressure  
<sup>189</sup> estimation approach is based on the horizontal displacement  $u(x', y, \theta)$ . By integrating  
<sup>190</sup> Eq. (17) along the  $x'$ -direction, we obtain

$$\begin{aligned} U(x'', y, \theta) &= \int_{-\infty}^{x''} u(x', y, \theta) dx' \\ &= \kappa \mathcal{R} \{p(x, y, z)\}(x'', \theta), \end{aligned} \quad (24)$$

<sup>191</sup> where  $U(x'', y, \theta)$  is now a quantity related to the Radon transform of pressure field that can  
<sup>192</sup> be readily obtained by applying the inverse Radon transform as

$$p(x, y, z) = \frac{1}{\kappa} \mathcal{R}^{-1} \{U(x'', y, \theta)\}(x, z). \quad (25)$$

<sup>193</sup> The approach (25) is referred to as pressure estimation based on the  $u$ -displacement (PE- $u$ ).  
<sup>194</sup> The second new approach uses the potential flow estimate (19) and the inverse Radon  
<sup>195</sup> transform to obtain the pressure field as

$$p(x, y, z) = \frac{1}{\kappa} \mathcal{R}^{-1} \{P(x', y, \theta)\}(x, z). \quad (26)$$

<sup>196</sup> The approach (26) is referred to as pressure estimation based on the pressure potential  
<sup>197</sup> function  $P$  (PE- $P$ ).

198 **III. SIMULATION SETUP AND ANALYSIS**

199 In this work, the simulation setup is telecentric, that is, the light rays travel along parallel  
200 lines from the light source to the camera. Tomographic imaging is achieved by rotating  
201 the camera, the light source and the imaged target over a span of  $180^\circ$  at  $1^\circ$  increments.  
202 All numerical computations were implemented in MATLAB R2017b (The MathWorks Inc.,  
203 Natick, MA, USA).

204 **A. Acoustic field simulations**

205 Three acoustical simulation setups, shown in Fig. 3, were investigated: a focused ultra-  
206 sound transducer sonicating along the rotation axis and obliquely at an angle of  $45^\circ$  with  
207 respect to the rotation axis, and a piston transducer sonicating along the rotation axis to-  
208 wards a reflecting target creating a standing wave. Both of the transducers were simulated  
209 at a medically relevant frequency of  $f = 1.01$  MHz. The pressure fields were simulated in  
210 an isotropic medium using *k*-Wave<sup>42</sup> that is based on a k-space pseudospectral method for  
211 time domain acoustic simulations. The simulation parameters are shown in Table I.

212 In the focused acoustic field simulation, the geometrically focused transducer had an  
213 element diameter and a focal length of 45.2 mm similar to Ref.<sup>18</sup> The transducer operated  
214 in a burst mode of 50 cycles (49.5  $\mu$ s burst duration, 73.6 mm propagation distance in  
215 water). A snapshot of the simulation was taken at a time point of 55.1  $\mu$ s, corresponding  
216 to a sound burst being centered at the focus after a propagation distance of 82.0 mm. The  
217 size of the simulated acoustic field was  $68.61 \times 113.37 \times 68.61$  mm in  $(x, y, z)$  coordinates.

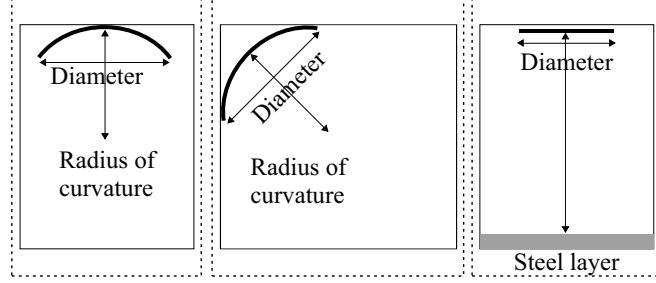


FIG. 3. Schematic image of simulation setups for a focused (left), an obliquely propagating focused (middle), and a standing wave (right) ultrasound fields. Borders of perfectly matched layers are shown by dashed lines.

218 The obliquely propagating focused ultrasound wave was obtained by rotating the focused  
 219 ultrasound field by  $45^\circ$  with respect to the rotation axis.

220 In the standing wave-field simulation, the piston transducer had an element diameter of  
 221 12.5 mm and was driven with a continuous wave. A reflecting steel layer with thickness of  
 222 1.47 mm, corresponding to the wavelength of ultrasound, was simulated to be placed on the  
 223 bottom of the domain, perpendicularly to the piston transducer. The distance between the  
 224 steel layer and transducer was set to correspond a near-field length ( $\frac{D^2}{4\lambda} \approx 26.6$  mm, where  
 225  $D$  is the diameter of the transducer). A snapshot of the standing wave was taken after the  
 226 ultrasound's propagation distance of 2.5 times the near-field length, corresponding to 44.5  
 227  $\mu\text{s}$  in time duration. The size of the simulated acoustic field was  $28.12 \times 25.02 \times 25.02$  mm.

228 A perfectly matched layer of thickness  $1.47 \times 2.94 \times 1.47$  mm was added outside the  
 229 acoustic simulation domains to avoid unphysical reflections from the open simulation bound-  
 230 aries.

231      **B. Optical simulations**

232      The optical simulations were carried out in dense grids with  $\Delta h = 24.54 \mu\text{m}$  corresponding  
233      to 60 points per wavelength (PPW) similar in order of magnitude to Ref.<sup>18</sup> In order to  
234      perform the optical simulations, the simulated acoustic fields were interpolated to denser  
235      grids. Furthermore, to avoid unnecessarily large domains, they were cropped to smaller  
236      regions of interest. The acoustic field sizes were then  $24.12 \times 38.84 \times 24.12 \text{ mm}$ ,  $24.42 \times$   
237       $24.42 \times 24.12 \text{ mm}$ , and  $25.00 \times 26.48 \times 25.00 \text{ mm}$  for the focused, the obliquely propagating,  
238      and the standing wave acoustic fields.

239      Furthermore, the linearized optical model assumes small optical displacements, and there-  
240      fore the acoustic fields were normalized with the factor  $\kappa$  using Eqs. (23), (25), and (26) by  
241      limiting the maximum magnitude of the optical displacements to  $4.4 \mu\text{m}$  (0.18 pixels).

242      Using the denser grid, an imaged target composed of individual Gaussian bumps was  
243      generated. The peak separation and cut-off width of the bumps were  $368 \mu\text{m}$  (15 pixels)  
244      using a standard deviation of  $147 \mu\text{m}$  (6 pixels). This corresponds to roughly four Gaussian  
245      bumps per wavelength of  $1.47 \text{ mm}$  (60 pixels) with intensity range from zero to one. The  
246      imaged targets were generated at sizes of  $34.18 \times 38.84 \text{ mm}$ ,  $34.38 \times 24.42 \text{ mm}$ , and  $35.41 \times$   
247       $26.48 \text{ mm}$  for the focused, the obliquely propagating, and the standing wave acoustic fields.  
248      From these images, perturbed images were interpolated using a spline interpolation based  
249      on displacement fields computed using Eqs. (17)–(19).

250      In order to avoid performing an inverse crime,<sup>43</sup> the synthetic unperturbed and perturbed  
251      images were interpolated into new discretizations with a grid size of  $\Delta h = 25.55 \mu\text{m}$ . Addi-

252 tive and spatially uncorrelated normal distributed noise with a standard deviation of 0.01,  
253 corresponding to 1 % of the maximum intensity, was added to the intensity images.

254 The discretized regularization operators (13) and (14) explicitly include a homogeneous  
255 Dirichlet type boundary condition, causing the optical and potential flow estimates fall to-  
256 wards zero near the boundaries. To avoid this, the noisy unperturbed and perturbed images  
257 were zero padded in the  $y$ -direction. Following the optical and potential flow estimations,  
258 the estimated  $u$ ,  $v$ , and  $P$  fields were cropped to regions of interest, which were used in  
259 analysis. For the focused, the obliquely propagating, and the standing wave fields, the sizes  
260 of the zero padded images were  $34.18 \times 44.95$  mm,  $34.38 \times 30.52$  mm, and  $35.41 \times 32.60$   
261 mm respectively. The corresponding sizes of regions of interest used in analysis were  $28.05 \times$   
262  $38.83$  mm,  $28.25 \times 24.4$  mm, and  $29.28 \times 26.47$  mm. The noisy unperturbed and perturbed  
263 images, and their difference image of the region of interest for the focused ultrasound field  
264 is shown in Fig. 4.

265 The pressure fields were estimated based on the optical and potential flow fields. The sizes  
266 of the estimated pressure fields were  $24.1 \times 44.95 \times 24.1$  mm,  $24.40 \times 30.52 \times 24.12$  mm,  
267 and  $24.99 \times 32.6 \times 24.99$  mm for the focused, the obliquely propagating, and the standing  
268 wave fields respectively. These estimates contained boundary artefacts arising from the  
269 optical and potential flow, and thus regions of interests of sizes  $19.75 \times 38.83 \times 19.76$  mm,  
270  $20.06 \times 24.40 \times 19.78$  mm, and  $20.65 \times 26.47 \times 20.65$  mm for each of the fields was chosen  
271 for analysis.

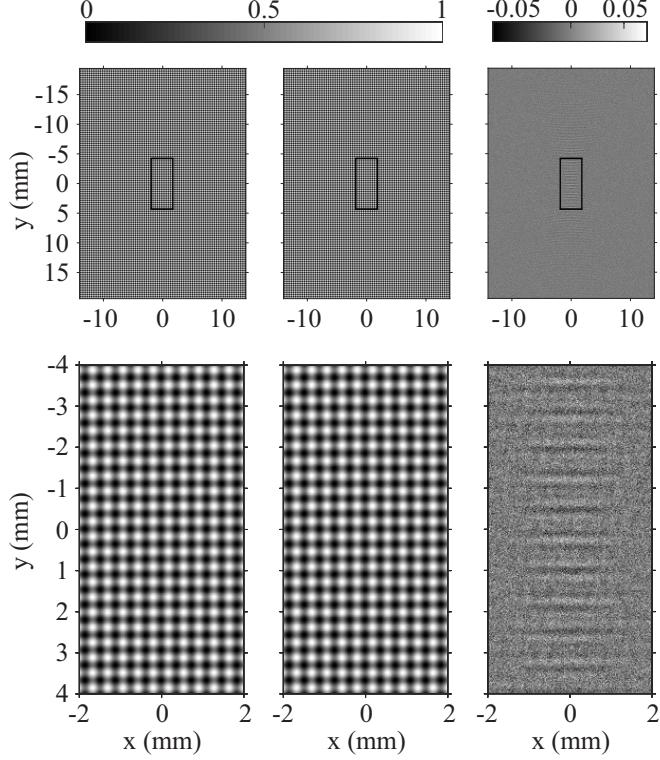


FIG. 4. From left to right: Noisy unperturbed, perturbed, and their difference image for the focused ultrasound field. Shown on the top row are the full-sized images and on the bottom row are the zoomed images.

<sup>272</sup> **C. Estimations and analysis**

<sup>273</sup> The optical flow displacements were estimated using the HS method (9) and the potential flow was estimated using Eq. (12) from the unperturbed and perturbed images. The regularization parameters for the optical and potential flow methods were chosen based on a qualitative inspection of the estimates at a range of different parameter values. The regularization parameter for the optical flow estimations was chosen separately for the pressure estimation approaches PE-v (23) and PE-u (25) in order to avoid favouring either of them. The optical flow estimates are referred to as vertical HS-v (18) and horizontal HS-u (17)

280 displacements based on the separate HS estimations, and the potential function estimate is  
281 denoted as PF (19).

282 The optical and potential flow estimates were then used as an input in PE-v (23), PE-  
283 u (25), and PE-P (26) pressure estimation methods. The inverse Radon transform used in  
284 the estimation methods was performed using a Hamming-filtered back-projection algorithm  
285 that is suitable for noisy data. It was applied individually on the  $(x', \theta)$ -planes for each  
286  $y$ -slice and the reconstructed 2D pressure  $(x, z)$ -planes were then stacked in the  $y$ -direction  
287 to obtain the full 3D pressure field.

288 The optical and potential flow estimates, and the pressure estimates were analyzed using  
289 relative error (RE), expressed as

$$\text{RE} = 100\% \cdot \frac{\|\hat{g} - g_{\text{True}}\|}{\|g_{\text{True}}\|}, \quad (27)$$

290 where  $\hat{g}$  refers to either the estimated optical and potential flow components  $\hat{u}$ ,  $\hat{v}$ , and  $\hat{P}$ , or to  
291 the estimated 3D pressure field  $\hat{p}$ , and  $g_{\text{True}}$  is the corresponding true field. Relative error was  
292 computed by interpolating the true displacement fields, pressure projection, and pressure  
293 fields into the discretization of the estimates. The boundary regions in the optical and  
294 potential flow estimates, and the corresponding boundary regions in the pressure estimates  
295 were excluded from the analyzes.

296 **IV. RESULTS**

297 **A. Focused ultrasound field**

298 Fig. 5 shows the true and the estimated optical flow fields HS-u, HS-v, and the potential  
299 flow estimate PF for the focused ultrasound field when using the Helmholtz regularization.  
300 The REs for the optical and potential flow estimates using the Laplace and the Helmholtz  
301 regularizations are shown in Table II. Based on the results, the optical and potential flow  
302 estimates are improved in comparison to the Laplace regularization, when the Helmholtz  
303 regularization is used. Of the Helmholtz regularization estimates, HS-v has the lowest RE  
304 followed by PF. They both have similar resemblance to their corresponding true fields. The  
305 high RE of HS-u is due to the acoustic field having smaller horizontal gradients than vertical,  
306 making it more ill-posed to estimate.

307 Fig. 6 shows the true pressure field, PE-u, PE-v, and PE-P estimates on the coronal  
308 planes  $yx$  ( $z = 0$  mm) and the axial planes  $xz$  ( $y = 0$  mm) when using the Helmholtz  
309 regularization. Table II shows REs of the estimates when using the Laplace and Helmholtz  
310 regularizations. Of the Helmholtz regularized pressure estimates, PE-u has the lowest RE,  
311 followed by PE-P. All the estimates seem similar and close to the true pressure values on  
312 the coronal plane. On the axial plane, pressure values of PE-P and PE-u are closer to the  
313 true values than PE-v that has smaller pressure values.

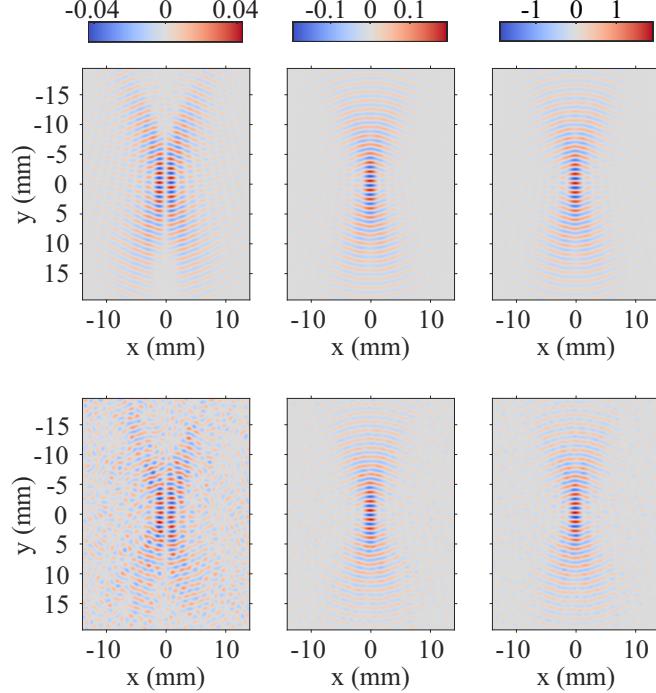


FIG. 5. (Color online) From left to right: the optical flow fields  $u$  and  $v$ , and the potential flow field  $P$ . Shown on the top are the true fields and on the bottom are the corresponding HS-u, HS-v, and PF estimates using the Helmholtz regularization. Fields are shown for the focused ultrasound field at a rotation angle of  $45^\circ$ . Colorbar units from left to right:  $\text{m}$ ,  $\text{m}$ , and  $\text{m}^2$ .

<sup>314</sup> **B. Obliquely propagating focused ultrasound field**

<sup>315</sup> Fig. 7 shows the true and the estimated optical flow fields HS-u, HS-v, and the potential  
<sup>316</sup> flow estimate PF for the obliquely propagating ultrasound field when using the Helmholtz  
<sup>317</sup> regularization. The REs for the optical flow estimates using the Laplace and the Helmholtz  
<sup>318</sup> regularizations are shown in Table II. Of the Helmholtz regularization estimates, HS-u and  
<sup>319</sup> HS-v have similar REs and visual appearance due to the propagation angle of the ultrasound.  
<sup>320</sup> The estimate PF has the lowest RE and the closest resemblance to its corresponding true  
<sup>321</sup> field.

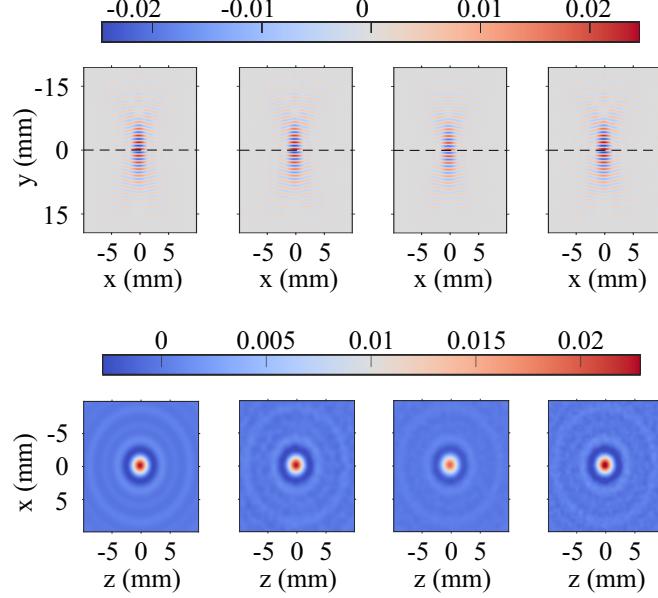


FIG. 6. (Color online) Coronal planes (top) and axial planes (bottom) of the focused ultrasound field. From left to right: true pressure field, PE-u, PE-v, and PE-P estimates when the Helmholtz regularization is used. Axial plane sections are shown by dashed lines on coronal planes. Colorbar units are in Pa.

322 Fig. 8 shows the true pressure field, PE-u, PE-v, and PE-P estimates on the coronal  
 323 planes  $yx$  ( $z = 0$  mm) and the axial planes  $xz$  ( $y = 0$  mm) when using the Helmholtz  
 324 regularization. Table II shows REs for the estimates when using the Laplace and Helmholtz  
 325 regularizations. The Helmholtz regularized estimate PE-P has the lowest RE and resembles  
 326 the true field the closest, followed by PE-u. In comparison to the results in Section IV A,  
 327 the smaller focal pressure values of PE-v are more visible. These arise from the plane-wave  
 328 approximation, that assumes propagation of sound principally along the  $y$ -axis.

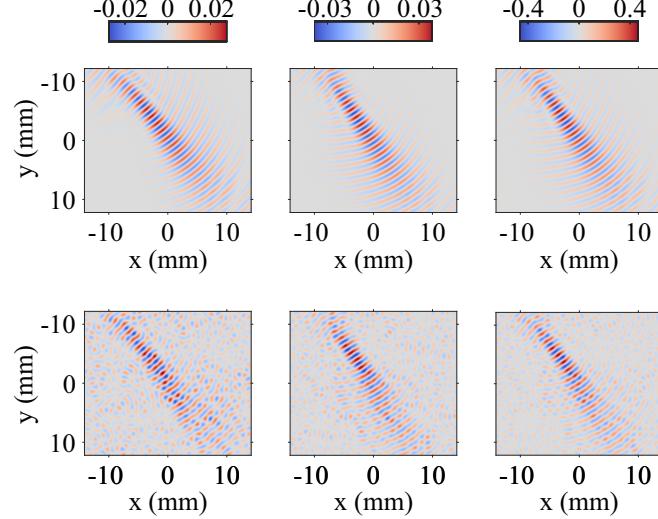


FIG. 7. (Color online) From left to right: the optical flow fields  $u$  and  $v$ , and the potential flow field  $P$ . Shown on the top are the true fields and on the bottom are the corresponding HS-u, HS-v, and PF estimates using the Helmholtz regularization. Fields are shown for the obliquely propagating field at a rotation angle of  $45^\circ$ . Colorbar units from left to right: m, m, and  $\text{m}^2$ .

329      **C. Standing wave ultrasound field**

330      Fig. 9 shows the true and the estimated optical flow fields HS-u, HS-v, and the potential  
 331      flow estimate PF for the standing wave ultrasound field when using the Helmholtz regu-  
 332      larization. The REs for the optical and potential flow estimates using the Laplace and the  
 333      Helmholtz regularizations are shown in Table II. The Helmholtz regularized PF estimate has  
 334      the smallest RE, followed by HS-v. Both of them appear similar to their corresponding true  
 335      fields. The horizontal displacement magnitudes are lower than the vertical displacement  
 336      magnitudes. This leads to greater artefacts in the HS-u estimate, seen by the high RE and  
 337      visual inspection of the region of interest.

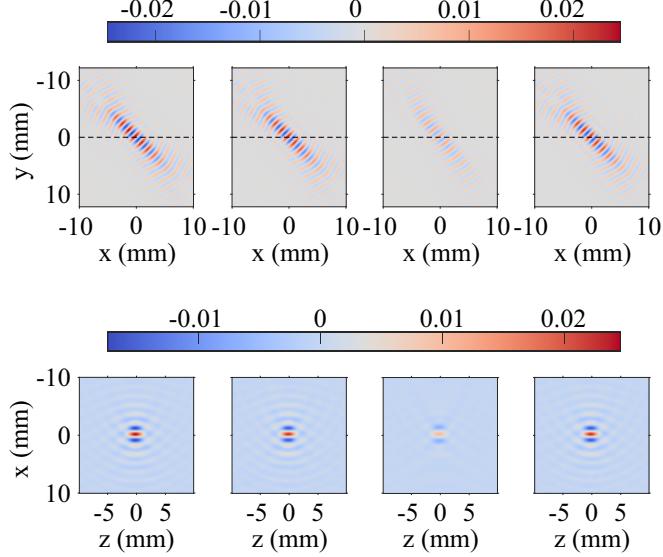


FIG. 8. (Color online) Coronal planes (top) and axial planes (bottom) of the obliquely propagating field. From left to right: true pressure field, PE-u, PE-v, and PE-P estimates when the Helmholtz regularization is used. Axial plane sections are shown by dashed lines on coronal planes. Colorbar units are in Pa.

338 Fig. 10 shows the true pressure field, PE-u, PE-v, and PE-P estimates on the coronal  
 339 planes  $yx$  ( $z = 0$  mm) and the axial planes  $xz$  ( $y = 0$  mm) when using the Helmholtz  
 340 regularization. Table II shows REs of the estimates when the Laplace and Helmholtz reg-  
 341 ularizations are used. The Helmholtz regularized PE-P has the lowest RE, followed by the  
 342 RE of PE-v. On the coronal plane near the ultrasound transducer, PE-P and PE-u resemble  
 343 the local high-amplitude focus regions well, whereas PE-v has smaller amplitudes. On the  
 344 other hand, PE-u has lower amplitudes when approaching the steel layer. Inspection of the  
 345 axial plane shows coarser but more accurate pressure values for PE-P and smoother but  
 346 lower pressure values for PE-v.

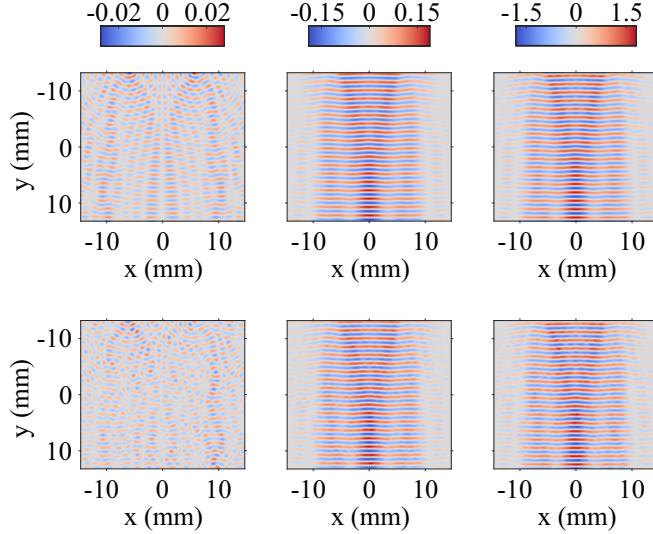


FIG. 9. (Color online) From left to right: the optical flow fields  $u$  and  $v$ , and the potential flow field  $P$ . Shown on the top are the true fields and on the bottom are the corresponding HS- $u$ , HS- $v$ , and PF estimates using the Helmholtz regularization. Fields are shown for the standing wave-field at a rotation angle of  $45^\circ$ . Colorbar units from left to right: m, m, and  $\text{m}^2$ .

347 **V. DISCUSSION**

348 In this work, two acoustic pressure estimation methods for SST were introduced. The  
 349 pressure estimation methods are based on regularized least squares optical and potential flow  
 350 optimizations. These methods allow promotion of smooth solutions via Laplace regulariza-  
 351 tion or acoustic wave-like features via Helmholtz regularization. The pressure estimation  
 352 approaches were tested using numerical simulations for a focused, an obliquely propagat-  
 353 ing focused, and a standing wave ultrasound fields. The pressure estimation methods were  
 354 compared quantitatively and qualitatively to a previously introduced method (See Ref. 18).

355 The results show that the Helmholtz regularization is more accurate than the Laplace  
 356 regularization when estimating optical and potential flow. In this work, the Helmholtz

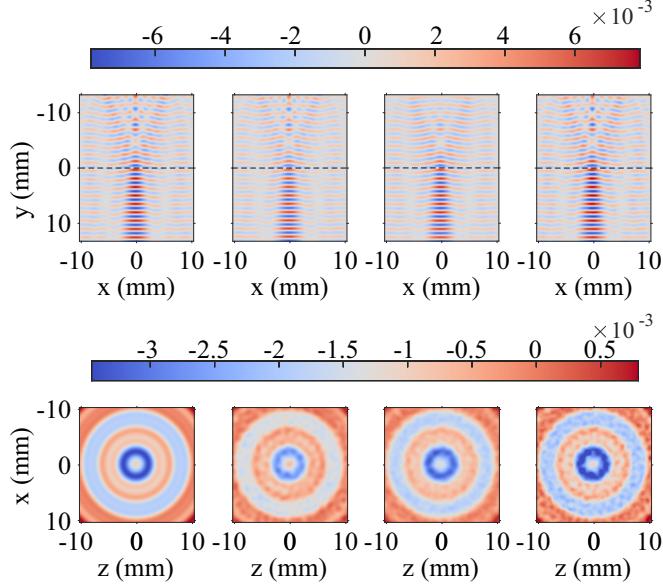


FIG. 10. (Color online) Coronal planes (top) and axial planes (bottom) of the standing wave field. From left to right: true pressure field, PE-u, PE-v, and PE-P estimates when the Helmholtz regularization is used. Axial plane sections are shown by dashed lines on coronal planes. Colorbar units are in Pa.

357 prior was imposed as a soft constraint in a regularized least squares problem. While the  
 358 distortions are not strictly pressure waves, they inherit the wave-like nature of the pressure  
 359 wave. Several other studies have used the Helmholtz prior both as soft and hard constraints  
 360 and support the suggestion that it is effective in reconstructing pressure fields using optically  
 361 measured data as an input.<sup>9,44,45</sup> However, it should be noted that, since discretization affects  
 362 regularization, Helmholtz regularization may not be optimal if a low discretization is used.

363 Furthermore, the results indicate that the potential flow based pressure estimation  
 364 method PE-P with the Helmholtz regularization is the most accurate in estimating arbitrary  
 365 ultrasound propagation. In comparison to a typical hydrophone measurement uncertainty

<sup>366</sup> of 10 %,<sup>46</sup> the PE-P pressure estimates are comparable to it with an average relative error  
<sup>367</sup> of 15.8 % for the studied pressure fields.

<sup>368</sup> When comparing PE-P estimates to PE-u and PE-v estimates, PE-P outperforms them  
<sup>369</sup> in feasibility too. Although, PE-u and PE-v both use the HS algorithm to estimate the  
<sup>370</sup> horizontal or vertical displacement components, they require different regularizations for  
<sup>371</sup> optimal estimates depending on the propagation direction of the ultrasound beam. When  
<sup>372</sup> the propagation angle of ultrasound is small with respect to the rotation axis of the camera,  
<sup>373</sup> estimation accuracy of the horizontal component reduces and hence affects the accuracy of  
<sup>374</sup> PE-u. For the vertical component, a small propagation angle is optimal. Accuracy of PE-v is  
<sup>375</sup> affected by both the accuracy of the vertical component and directly of the propagation angle  
<sup>376</sup> of the ultrasound. This is due to the plane-wave approximation that assumes ultrasound  
<sup>377</sup> propagation along the rotation axis. Thus, while PE-P is more robust in comparison to  
<sup>378</sup> PE-u and PE-v, it also performs similarly or better in accuracy.

<sup>379</sup> In addition to accuracy of PE-P, it is based on estimating only one scalar potential flow  
<sup>380</sup> field, and thus it is faster than estimating two optical flow components. Estimating 180 im-  
<sup>381</sup> ages in average took approximately 474 minutes for the optical flow fields and 81 minutes for  
<sup>382</sup> the potential flow fields, that is over 5.8 times faster. The computations were implemented  
<sup>383</sup> using MATLAB R2017b on a workstation equipped with 2.53 GHz Xeon E5649 (Intel Cor-  
<sup>384</sup> poration, Santa Clara, CA) processor. The computational time for image processing can be  
<sup>385</sup> further reduced utilizing parallel computing.

<sup>386</sup> While this study concentrated on estimating ultrasound fields, the proposed new methods,  
<sup>387</sup> PE-u and PE-P, can be adopted for estimating non-acoustically induced refractive index

388 field as well. PE-u assumes that the refractive index field has a gradient along the plane  
389 perpendicular to the rotational imaging axis. For PE-P however, it is intrinsic that the  
390 refractive index field can be expressed using a scalar potential. This limits the method to  
391 applications with curl-free refractive index fields.<sup>28</sup> In comparison, the previously introduced  
392 method PE-v explicitly approximates the refractive index field as a wave-field. Thus, the  
393 new estimation methods can be thought as more general approaches.

394 The regularization parameter was not optimized but it was selected qualitatively by in-  
395 specting the estimates at a range of different regularization parameter values and choosing an  
396 estimate resembling a wave-field the most. This mimics conventional approach for choosing  
397 the regularization parameter. In comparison to Laplace regularization, Helmholtz regular-  
398 ization is much less sensitive to the choice of the regularization parameter as it was easier  
399 to narrow down a qualitatively optimal regularization parameter (results omitted). Clas-  
400 sical regularization parameter selection methods, such as L-curve<sup>47</sup> and generalized cross-  
401 validation<sup>48</sup> methods exist but were not found suitable for this study (results omitted): an  
402 algorithm for this would benefit in selecting the parameter faster and more consistently. No  
403 thorough optimization for the imaged target was made. Optimizing the imaged target, such  
404 as the lattice spacing of details, could possibly improve the results.

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TABLE I. Acoustic simulation parameters. Grid step  $\Delta h$ , time step  $\Delta t$ , pixels per wavelength (PPW), Courant-Friedrichs-Lowy (CFL) condition,<sup>42</sup> speed of sounds  $SOS_w$  and  $SOS_s$ , and densities  $\rho_w$  and  $\rho_s$  in water and steel.

Parameter	$\Delta h$	$\Delta t_w$ <sup>a</sup>	$\Delta t_s$ <sup>b</sup>	PPW	CFL
Value	147.2 $\mu\text{m}$	29.70 ns	9.75 ns	10 px	3
Parameter	$SOS_w$	$SOS_s$	$\rho_w$	$\rho_s$	
Value	1487 $\frac{\text{m}}{\text{s}}$	4529 $\frac{\text{m}}{\text{s}}$	1000 $\frac{\text{kg}}{\text{m}^3}$	7800 $\frac{\text{kg}}{\text{m}^3}$	

<sup>a</sup> The time step in the focused ultrasound field simulation is calculated based on  $SOS_w$ .

<sup>b</sup> The time step in the standing wave-field simulation is calculated based on  $SOS_s$ .

TABLE II. Relative errors (RE) in percentage for the Laplace and the Helmholtz regularized optical flow estimates HS-u and HS-v, potential flow estimate PF, and the consecutive pressure estimates PE-u, PE-v, and PE-P for the focused ultrasound field (Focused), the oblique propagating focused ultrasound field (Oblique), and the standing wave ultrasound field (Standing).

Field	Regularization	HS-u	HS-v	PF
Focused	Laplace	136.4	44.4	83.7
	Helmholtz	70.0	24.6	26.6
Oblique	Laplace	64.1	66.2	114.5
	Helmholtz	35.9	33.9	26.6
Standing	Laplace	169.1	16.6	34.4
	Helmholtz	59.9	10.0	9.0
Field	Regularization	PE-u	PE-v	PE-P
Focused	Laplace	34.0	43.4	39.5
	Helmholtz	17.5	19.8	18.8
Oblique	Laplace	38.7	66.4	34.2
	Helmholtz	16.0	61.8	13.9
Standing	Laplace	33.9	36.9	34.4
	Helmholtz	28.4	18.5	14.7

525 **Figure captions:**

526 Fig. 1. Schematic image of a synthetic schlieren setup.

527 Fig. 2. Schematic image of measurement setup of SST. Laboratory coordinates  $(x, y, z)$   
528 of the pressure field, local coordinates  $(x', y, z')$  of the rotating camera, and the imaged  
529 target at a projection angle  $\theta_n$ .

530 Fig. 3. Schematic image of simulation setups for a focused (left), an obliquely prop-  
531 agating focused (middle), and a standing wave (right) ultrasound fields. Borders of  
532 perfectly matched layers are shown by dashed lines.

533 Fig. 4. From left to right: Noisy unperturbed, perturbed, and their difference image  
534 for the focused ultrasound field. Shown on the top row are full-sized images and on the  
535 bottom row are the zoomed images.

536 Fig. 5. (Color online) From left to right: the optical flow fields  $u$  and  $v$ , and the  
537 potential flow field  $P$ . Shown on the top are the true fields and on the bottom are  
538 the corresponding HS-u, HS-v, and PF estimates using the Helmholtz regularization.  
539 Fields are shown for the focused ultrasound field at a rotation angle of 45°. Colorbar  
540 units from left to right: m, m, and m<sup>2</sup>.

541 Fig. 6. (Color online) Coronal planes (top) and axial planes (bottom) of the focused  
542 ultrasound field. From left to right: true pressure field, PE-u, PE-v, and PE-P estimates  
543 when the Helmholtz regularization is used. Axial plane sections are shown by dashed  
544 lines on coronal planes. Colorbar units are in Pa.

545 Fig. 7. (Color online) From left to right: the optical flow fields  $u$  and  $v$ , and the  
546 potential flow field  $P$ . Shown on the top are the true fields and on the bottom are  
547 the corresponding HS-u, HS-v, and PF estimates using the Helmholtz regularization.  
548 Fields are shown for the obliquely propagating field at a rotation angle of  $45^\circ$ . Colorbar  
549 units from left to right: m, m, and  $m^2$ .

550 Fig. 8. (Color online) Coronal planes (top) and axial planes (bottom) of the obliquely  
551 propagating field. From left to right: true pressure field, PE-u, PE-v, and PE-P esti-  
552 mates when the Helmholtz regularization is used. Axial plane sections are shown by  
553 dashed lines on coronal planes. Colorbar units are in Pa.

554 Fig. 9. (Color online) From left to right: the optical flow fields  $u$  and  $v$ , and the  
555 potential flow field  $P$ . Shown on the top are the true fields and on the bottom are  
556 the corresponding HS-u, HS-v, and PF estimates using the Helmholtz regularization.  
557 Fields are shown for the standing wave-field at a rotation angle of  $45^\circ$ . Colorbar units  
558 from left to right: m, m, and  $m^2$ .

559 Fig. 10. (Color online) Coronal planes (top) and axial planes (bottom) of the standing  
560 wave-field. From left to right: true pressure field, PE-u, PE-v, and PE-P estimates  
561 when the Helmholtz regularization is used. Axial plane sections are shown by dashed  
562 lines on coronal planes. Colorbar units are in Pa.