A rugged, self-sterilizing antimicrobial copper coating on ultra-high molecular weight polyethylene: a preliminary study on the feasibility of an antimicrobial prosthetic joint material†

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We report here for the first time how the combination of a precursor solution and low temperature aerosol assisted chemical vapour deposition were used to bond a copper coating to ultra-high molecular weight polyethylene (UHMWPE) and promote robustness. This metallic thin film remained intact on the UHMWPE substrate after the Scotch tape test and showed notable wear-resistance after 10 cycles of sand paper-abrasion. Antimicrobial assays against both *Escherichia coli* and *Staphylococcus aureus* revealed potent dark bactericidal activity with 99.999% reduction in bacterial number within 15 minutes. These results suggest that the modified UHMWPE could be a potential candidate for antimicrobial plastics and in the long term may find application in prosthetic joint applications.

However joint replacement can result in failure with prosthetic joint infection (PJI) being one of the most torturous complications with fierce joint pain even though the morbidity is approximately 1–2%. The total number of young patients undergoing joint replacement surgeries has increased dramatically in the last decade.1 In both hip and knee replacement cases, the revision rate for all patients under 55, over 13 years after insertion can be as high as 13.5%.3

The incidence of PJI can arise between 3 to 24 months after implantation and can be triggered intraoperatively.4 The causative pathogens for early infection are typically Gram-positive cocci, such as *Staphylococcus aureus* (S. aureus) and Gram-negative bacilli like *Escherichia coli* (E. coli), whereas late-onset PJI can be started by less virulent organisms dependant on the patient’s circumstances. PJI can be treated by means of medical and/or surgical approaches with or without prosthetic retention, but in the more severe cases, resection and amputation may be necessary.4,6,7

Treatment of patients with acute infection but with a relatively stable implant usually requires preliminary work such as debridement, antibiotic therapy and implant retention (DAIR).5 An intervention of single or two-stage surgical revision might be prioritised if the DAIR procedure failed. It is worth noting that traumatic arthroplasty is unavoidable for all the procedures mentioned above. This will inevitably exert both psychological and physical suffering to the patients especially for the elderly.

Successful PJI resolution requires the use of antimicrobial treatment in the majority of surgical procedures.2 Antibiotics can

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**Introduction**

The extraordinary physical and mechanical properties of UHMWPE enable it to be widely used in textiles, conveying operations recorded in the National Joint Registry between 2003 and 2017. In total joint replacement surgery, polyethylene plays an essential role in manufacturing prosthetic joint materials. Metal-on-polyethylene bearing type joints account for the largest usage among all type of replacement followed by ceramic-on polyethylene. In total knee replacement (TKR), the tibia component is usually comprised of a metal alloy tray combined with a polyethylene insert. Lately, it is more common to see integrated polyethylene tibia components in use in TKR. The widespread application of prosthetic joints offers patients the opportunity to restore their mobility and alleviate pain.
Experimental

Material

1-Amino-2-propanol and ultra-high molecular weight polyethylene, methanol and Bovine Serum Albumin (BSA) were purchased from Sigma Aldrich. Copper formate tetrahydrate was purchase from Alfa Aesar and used without further purification.

Manufacture of UHMWPE substrate

Ultra-high molecular weight polyethylene powder was poured into a mould that was comprised of a rectangular stainless-steel window (inner size: 56.5 × 50.0 mm, outer size: 81.3 × 74.5 mm) two Melinex thin sheets and two stainless steel plates to form a 'sandwich'. The ‘sandwich’ (Fig. 1(a)) was placed in the compression moulding machine (SEBA Developments, Type VP 6G) to allow the UHMWPE powder to melt for 1 min. The powder was consolidated by operating the machine at 200 °C and 450 MPa for 1 min. The mould was then cooled down to room temperature, the result was an UHMWPE thin sheet with an average thickness of 2.34 mm measured by Hilka Venier Caliper.

Copper precursor preparation

1-Amino-2-propanol (2.75 g, 35.4 mmol) was mixed with copper(II) formate tetrahydrate (4.00 g, 17.7 mmol) to generate a more accurate representation of actual in situ conditions.
was obtained by Bruker D8 X-ray diffractometer emitting monochromatic Cu Kα1 (λ = 1.54056 Å) and Kα2 (λ = 1.54439 Å) radiation under 40 kV voltage and 40 mA current power supply. The operation range of diffraction angle was between 10 to 66°.

**Differential scanning calorimetry (DSC).** DSC was obtained by using a Netzsch STA 449 C Jupiter Thermo-microbalance in an open aluminum sample pan. All analysis was carried out by using Netzsch Pretest Analysis software. The theoretical heats of fusion of 100% crystalline UHMWPE, ΔHf = 289.3 J g⁻¹ has been used throughout the analysis.

**Mechanical test**

The compression moulded UHMWPE was heated to 170 °C for 90 min in the AACVD reactor in a N₂ gas environment and cooled down to room temperature. This sample refers to remelted UHMWPE. Type IV specimen dimension was adapted for both of the untreated and remelted UHMWPE samples (n = 5 each) in accordance with ASTM D638 – 14,24 all samples were cut by laser cutter (Trotec Speedy 100R). Tensile testing was performed by using an Instron 5565 at a speed of 100 mm min⁻¹. The yield strength, ultimate tensile strength and young’s modulus were calculated.

**Water contact angle measurement**

A FTA 1000 Drop Shape Instrument was employed to measure the equilibrium water (5.0 μL) contact angle for each sample type. The average contact angle was measured over 3 measurements for each type of sample, using a droplet of water dispensed by gravity from a gauge 30 needle attached to a camera. The data was subsequently analysed using FTA32 software.

**Functional test**

A Scotch™ tape adhesive test was carried out to investigate the performance of Cu coating adhesion on UHMWPE substrate. The Scotch™ tape is bonded to a UHMWPE square (1 × 1 cm) and peeled back at a 180° angle and the process was repeated 10 times.

The abrasion test was adapted by Lu et al.25 Cu-coated UHMWPE sample was placed face down on to the sandpaper with a standard weight of 100 g above. The two components were moved for a distance of 10 cm followed by 90° rotations and the procedure repeated. Photographs were captured every 5 cycles for up to 10 cycles to keep track of the abrasion.

**Copper leaching assay**

The simulated body fluid (SBF) was prepared based on that described by Kokubo et al.26 A calibration curve was established by using various concentration of Cu precursor solution mentioned above. The Cu leaching from the sample was carried out by storing the sample in 25 mL SBF at 37 °C for 24 h. The Cu concentration of the solution from the Cu-coated UHMWPE to SBF was analysed by Microwave Plasma Atomic Emission Spectroscopy (4210 MP-AES, Agilent).

**Antimicrobial activity of Cu-coated UHMWPE**

**Plate count method.** Samples were tested against *S. aureus* 8325-4,27 and *E. coli* ATCC 25922. Single bacterial colonies were
inoculated in to brain heart infusion (BHI) broth (10 mL) and cultured aerobically at 37 °C for 18 h with shaking at 200 rpm. The culture was collected by centrifugation (4500 \times g) for 5 min and washed with phosphate-buffered saline (PBS) twice. The recovered culture was diluted 1000-fold in PBS to obtain an inoculum \( \sim 10^3 \) CFU mL\(^{-1}\). Duplicates of each sample type were inoculated with 25 \( \mu \)L of this inoculum. The samples were kept in the dark for 15 min. After exposure each of the control sample was added to sterilised PBS (450 \( \mu \)L) neat suspension, vortexed to mix, and 10-fold serially diluted for plating, while the Cu-coated UHMWPE samples were concentrated by centrifugation and re-suspended in 100 \( \mu \)L. E. coli was plated on MacConkey agar and mannitol salt agar was used for S. aureus. The plates were incubated aerobically at 37 °C for 24 hours (E. coli) and 48 hours (S. aureus). Each experiment was repeated three times.

**Antimicrobial test with the addition of BSA**

BSA was dissolved in PBS and sterilised by using a 0.2 mm syringe. The bacterial suspensions were diluted 1000-fold into the PBS solutions that contained 0.03\% and 0.3\% BSA. The modified bacterial suspensions were then exposed to the material as described above.

**Bacterial attachment assay**

LIVE/DEAD™ BacLight™ Bacterial Viability Kit (Thermo Fisher Scientific) was applied to investigate bacterial attachment on to the sample surfaces. This test was done on the samples after removing the majority of the bacteria by vortexing, prior to staining. The dye solution was prepared according to the manufacturer’s manual (Thermo Fisher Scientific). 250 \( \mu \)L of the dye solution was directly added to the sample surface after exposure to the bacterial suspension and incubated for 10 min at room temperature in the dark before fluorescence imaging. A Leica DMIRB Inverted Fluorescence Microscope was adapted to capture fluorescence images with the aid of Q Capture software.

**Result and discussion**

UHMWPE has a high melt viscosity, such that consolidation can only be intrinsically achieved under elevated temperature and pressure.\(^{28}\) The UHMWPE substrate (Fig. 1(b)) with thickness of ca. 2.34 mm was manufactured by adapting a lab scale compression moulding machine. Copper thin film was deposited on top of UHMWPE substrate via AACVD at 170 °C and demonstrated uniform coverage.

The copper precursor was synthesised from copper(II) formate tetrahydrate and 1-amino-propan2-ol in a modified procedure from previous literature.\(^{29,30}\) One advantage of using such a precursor is that the metal–organic decomposition (MOD) precursor has been proven to effectively address oxidation.\(^{31}\) In addition, the presence of a hydroxyl group in an amino alcohol can assist the copper metal salt to dissolve in a methanol solvent.\(^{32,33}\) The introduction of an amino alcohol can also act as a reducing agent, and therefore even though the copper salt has an intrinsic decomposition temperature of 200 °C (thermal analysis is shown in Fig. S4, ESIF), the operation temperature can be maintained at 170 °C which is essential for a thermosensitive polymer. This is important as 170 °C is one of the lowest temperatures used in an AACVD processes.\(^{34}\) Normally a higher temperature is required to decompose the precursor and form a film. Hence by tailoring the precursor a temperature sensitive substrate can be effectively deposited.

In general, a semicrystalline polymer tends to exhibit a flow transition state beyond its melting temperature.\(^{28}\) UHMWPE has a melting temperature \((T_m)\) of 137 °C.\(^{28}\) The survival of UHMWPE substrate above its \(T_m\) is due to the unique ultra-high density property that enables such a material to maintain its shape without experiencing other transition states. Thus, the deposition of Cu on UHMWPE substrate via AACVD is feasible since such material can withstand high temperature without changing state.

UHMWPE material has been used as acetabular component for over two decades.\(^{35}\) During an irradiation process, free radicals are formed due to the cleavage of the carbon–carbon bonds which encourage crosslinking predominantly occurs.\(^{36}\) However, minor residual free radicals formed from chain scission are trapped between crystalline lamellae.\(^{37}\) Crosslinking helps to restrict the mobility of the long polymeric chains and maintain the molecular structure, hence reduce the formation of the surface fibrils.\(^{35,38–40}\) Whereas residual free radicals interact with ambient oxygen and therefore cause breakdown of the polymeric structure.\(^{36}\) Thermal treatment such as remelting is therefore used to resolve oxidative deconstruction.\(^{36}\) This involves heating samples to above the \(T_m\) to eradicate free radicals, however this process has been reckoned to reduce the crystallinity and deteriorate the mechanical properties to the material.\(^{41}\) AACVD happens to have similar processed temperature as the remelting strategy, therefore DSC analysis and mechanical tests were carried out to investigate the effect of heat treatment on the mechanical properties of the UHMWPE substrate.

UHMWPE has mechanical properties which are correlated to its crystalline structure. Consequently, mechanical behaviour of the material is susceptible to any phase modification.\(^{42}\) This includes altering the crystallinity or crosslinking the amorphous region.\(^{43}\) DSC was performed to determine the percentage crystallinity before and after thermal treatment under an inert environment as per the ASTM F2625 – 10 standard.\(^{43}\) The sample was heated at a rate of 25 K min\(^{-1}\) from 25 to 170 °C which then maintained isothermally at 170 °C for 90 min, cooled down at a rate of 25 K min\(^{-1}\) to 25 °C and subjected to another heating cycle up to 170 °C at 25 K min\(^{-1}\). The crystallinity of the samples was obtained by integrating the enthalpy peak from 50 °C to 160 °C which was then followed by normalisation with the heat of fusion of 100% crystalline polyethylene (289.3 J g\(^{-1}\)). The percentage crystallinity of UHMWPE before remelting was determined from the first heat up step with a value of 69.0\% (peak melting temperature = 137.7 °C) whereas the sample after
remelting was determined from the second heat up cycle with a value of 72% (peak melting temperature = 136.1 °C).

The DSC analysis reveals whether the continuous high temperature AACVD process will have an adverse impact on the substrate of mechanical properties. The percentage crystallinity of the UHMWPE before and after remelting for 90 min had a minor fluctuation with around 3% difference. Recent research has also investigated the effect of remelting UHMWPE above the melting temperature. Oral et al. has found that the heat treatment of unirradiated UHMWPE at 170 °C for 2 h did not affect the percentage of crystallinity. The percentage crystallinity remained constant at 63% with tolerance value of ±2% and 1% respectively which was quite consistent with the calculated value above.

A tensile testing machine was used to investigate the effect to the mechanical properties of UHMWPE after AACVD. Results (Table S1, ESI†) illustrate that the high temperature treatment has no significant effect on the mechanical properties of the compression moulded UHMWPE substrate. The elongation at break before and after heat treatment was maintained at roughly 380%. Even though the ultimate tensile strength and the stress at known strains (100% and 300% strain) have dropped slightly, the Young’s modulus after remelting process has a change by less than 3.3%.

XPS (Fig. 2) was used to identify the presence and chemical composition of the Cu-coated UHMWPE. Peaks were calibrated accordingly to carbon (1s) (284.5 eV). Analysis revealed there was a mixture of copper in various oxidation states. Cu 2p3/2 has a binding energy at 932.9 eV which deviates from the literature for Cu metal. This is due to the minor amount of Cu(i) present. Since the binding energy of Cu metal and Cu(i) is statistically similar, we assigned the main peak of Cu 2p3/2 consists as mixture of Cu(0) and Cu(i). Another fitted peak appears at 935.0 eV which indicates the presence of Cu(u). This is also be corroborated by the presence of a minor shake-up satellite at 944.0 eV (Fig. 2). Despite the fact that there is a mixture of Cu(i) and Cu(u) present, from the peak analysis we find that among 85% of the Cu species are comprised Cu(0) and Cu(u) at the surface (top ten atomic layers).

The XPS depth profile for Cu (2p) in Cu-coated UHMWPE was also obtained by using XPS with the aid of an argon source. The surface was etched for 300 s. The satellite peaks in Fig. 2 due to Cu(u) have been reduced by the argon sputtering process, meanwhile the relative percentage composition of Cu(0)/Cu(u) has also increased by approx. 10% as determined by the area under the fitted peaks. Fig. 3 shows that the Cu 2p3/2 peak has narrowed down with a slight shift to 932.5 eV indicating a mixture of Cu(0) and Cu(i), which was consistent with the literature. It is known that during the etching process, oxygen can be preferentially reduced. Th XRD analysis (Fig. 4) of the Cu-coated UHMWPE sample was carried out to obtain phase information. Two signature peaks at 22° and 24° correspond to the (110) and (200) plane of UHMWPE crystalline structure. Other diffraction peaks at 43° and 51° correspond to the (110) and (200) plane of Cu metal were seen. In addition, small peaks indicated by the two dashed lines in Fig. 4 are due to the trace amount of Cu2O present in the sample; consistent with the XPS result.
The average water contact angle for an uncoated UHMWPE sample is 99° and for Cu-coated UHMWPE is 104°. UHMWPE powder is a naturally hydrophobic polymer. Deposition of Cu particles has strengthened the surface roughness and therefore Cu-coated UHMWPE demonstrates a slightly higher water contact angle. The morphology of the surface structure and Cu coating thickness are shown by the SEM images. Fig. 5(i and ii) show the low-resolution UHMWPE substrate prior and after the deposition of Cu coating. A microstructure of Cu cluster ranges from 100–300 µm closely packed but with pin holes in between has been clearly demonstrated in Fig. 5(ii). A closer look at the Cu-coated surface (Fig. 5(iii)) showed individual Cu nanoparticles. A cross-section view of the modified UHMWPE SEM image has also been captured to estimate the thickness of the Cu coating, Fig. 5(iv) reveals the average thickness is 10 µm.

The Cu-coated UHMWPE passed the Scotch™ tape adhesive test (Fig. 6) with no peeling or removal of the coating. Photos of the sample before and after the test are shown (Fig. 6, inset (i and ii)), no observable change can be seen post treatment. Microscopically, the Cu coating remained intact on the UHMWPE substrate which could be seen from the SEM images in Fig. 6(ii). This demonstrated that the Cu coating has good adhesion to the substrate. Sandpaper abrasion shows that the Cu-coated UHMWPE sample, after 10 cycles, still retains the coating with only the edges becoming partially flattened (Fig. 7) due to the vigorous rubbing. The middle part of the sample (Fig. 7(ii) inset) showed virtually no damage. The surface integrity has been further confirmed by SEM as shown in Fig. 7(ii), indicating relatively low wear in the same area. Minor wear has been observed in Fig. 7(iii).

MP-AES is used to analyse the concentration of Cu leaching from Cu-coated UHMWPE into SBF. The 1 × 1 cm Cu-coated UHMWPE was put into 25 mL SBF at 37 °C. Prior to MP-AES analysis, the eluted SBF was digested with aqua regia. The Cu<sup>2+</sup> which has been leached out from the material surface was measured to have concentration of 1.64 ppm. This obtained result is quite encouraging as the tolerance amount of released Cu was considerably lower than the exposure limited stated by the Drinking Water Inspectorate UK (20 ppm).<sup>50</sup>

The MP-AES has detected minor amount of nascent Cu<sup>2+</sup> from the Cu-coated UHMWPE. It is troublesome to distinguish the antimicrobial activity induced by the metal nanoparticles or its corresponding ions.<sup>51</sup> Generally accepted antimicrobial Cu mechanism is the production of reactive oxygen species (ROS) via Fenton type reaction with subsequent cellular damage,<sup>52,53</sup> but for its metal nanoparticle the antimicrobial properties can be due to their high surface-to-volume ratio and small
This allows the antimicrobial agent to have close proximity to the bacterial cell and therefore initiate the fatal interaction.\textsuperscript{55} Chatterjee \textit{et al.} unravel that the presence of Cu NP can trigger the cell filamentation by changing the cell membrane potential, namely polarisation.\textsuperscript{53} The work has also demonstrated that overproduction of ROS by Cu NP can trigger a significant amount of oxidation of unsaturated fatty acid in the cell membrane; modification of amino acid chain with subsequent structure change in proteins and DNA degradation, all these factors eventually lead to bacterial cell death.\textsuperscript{53}

The antimicrobial efficacy of the Cu-coated UHMWPE was evaluated by testing against representative Gram-positive and Gram-negative bacteria, \textit{S. aureus} and \textit{E. coli}, respectively with added BSA at various concentrations (0\%, 0.03\% and 0.3\%). All antimicrobial tests were carried out in the dark to mimic an \textit{in vivo} environment. Each sample was inoculated with 25 \(\mu\)L of a bacterial suspension containing \(~10^5\) colony forming units (CFU) mL\(^{-1}\). A plate-count method was used to determine the number of surviving bacteria as previously described.\textsuperscript{56} The results (Fig. 8) show a pronounced bactericidal effect of the Cu-coated UHMWPE sample for all testing conditions (0\%, 0.03\% and 0.3\%). For both \textit{S. aureus} and \textit{E. coli}, in the absence of BSA, ca. 4.55 log and 4.81 log reduction of the bacterial numbers, respectively was apparent within 15 min in the dark. The presence of BSA at either 0.03\% or 0.3\% did not reduce the bactericidal activity suggesting that the material is likely to remain active in an environment with organic soiling.

To confirm that the reduction in bacterial numbers recovered from the material was a consequence of bactericidal activity and not a result of increased adhesion to the test material, the materials were stained with live-dead stain after recovery of the bacteria in PBS. For both \textit{E. coli} and \textit{S. aureus}, similar trace amounts of bacteria were left attached to both the test and control materials. These tests showed that the bacteria left on the copper coated sample were stained red and hence dead whereas those on the control sample were alive. Fluorescence images are shown in Fig. S5 (ESI\textsuperscript{†}).

Copper containing ceramic materials have been studied previously for antimicrobial activity.\textsuperscript{10,34,57} Alotaibi developed a Cu–ZrO\(_2\) composite \textit{via} high temperature (430 °C) AACVD to
achieve a $4.5 \log_{10}$ reduction in the numbers of \textit{E. coli} in the dark after only 20 min. For \textit{S. aureus}, the number fell below the detection limit of 100 CFU mL$^{-1}$ after 60 min.\textsuperscript{34} Other research, conducted by Sehmi \emph{et al.}, showed that copper nanoparticles could be embedded into polyurethane through a swell-encapsulation shrink method.\textsuperscript{10} When tested against an epidemic methicillin-resistant \textit{S. aureus} strain,\textsuperscript{10} Cu-polyurethane demonstrated $\geq 4 \log_{10}$ reduction of bacterial number in 2 h in the dark. Whereas for \textit{E. coli}, a similar reduction took 3 h.\textsuperscript{10} In this study, the Cu-coated UHMWPE has copper in the form of the metal and copper(i) and/or copper(i) oxide. Previous research has shown that copper oxide exhibits antimicrobial activity with a $4.7 \log_{10}$ reduction in \textit{S. aureus} numbers after 1 h and a $2.7 \log_{10}$ reduction for \textit{E. coli} in the same time period.\textsuperscript{57} Previous work from our laboratory evaluating antimicrobial polymers has shown that Gram-positive bacteria are usually susceptible than Gram-negative bacteria. In contrast, the material reported here manifests without the activation of any light source. With only 15 min exposure time, the material demonstrated an average $5 \log_{10}$ reduction in the numbers of both Gram-positive and Gram-negative bacteria (equivalent to 99.999% kill efficiency). The conditions needed to make these films required a precursor that would decompose at the softening point of the polymer (170 °C chosen). Surprisingly this led to a strong interaction between the polymer and enabled the copper film to anchor and bind effectively to the polymer surface. It is this combination that enabled the coating to be rugged and resistant to harsh abrasion (sand paper) and tape peeling.

This novel and highly competent antimicrobial material is a potential candidate for application to prosthetic joints. With such promising antimicrobial results, cytocompatibility needs to be carried out in forthcoming studies and regulations for the clinical application of copper containing materials should be prioritised in the future work.

## Conclusion

This is, to the best of our knowledge, the first time that an antimicrobial coating has been achieved on UHMWPE through low-temperature AACVD. The remarkable antibacterial property manifests without the activation of any light source. With only 15 min exposure time, the material demonstrated an average $5 \log_{10}$ reduction in the numbers of both Gram-positive and Gram-negative bacteria (equivalent to 99.999% kill efficiency). The conditions needed to make these films required a precursor that would decompose at the softening point of the polymer (170 °C chosen). Surprisingly this led to a strong interaction between the polymer and enabled the copper film to anchor and bind effectively to the polymer surface. It is this combination that enabled the coating to be rugged and resistant to harsh abrasion (sand paper) and tape peeling.

This novel and highly competent antimicrobial material is a potential candidate for application to prosthetic joints. With such promising antimicrobial results, cytocompatibility needs to be carried out in forthcoming studies and regulations for the clinical application of copper containing materials should be prioritised in the future work.

## Conflicts of interest

All authors have no conflicts of interest to declare.

## Acknowledgements

S. P. Douglas thanks the EPSRC for their financial support. C. E. K. acknowledges EPSRC grant EP/N095777/1. K. Wu and E. Allan thank Dr Nicky Morden for her technical assistance. K. Wu thanks Mr Claudio Laurencio for his advice on microbiology and thanks Dr Fabiola Seiscione, Ms Katerina McCann, Ms Evelin Karageorgiou and Ms Heike Lee-Muller for equipment training.

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