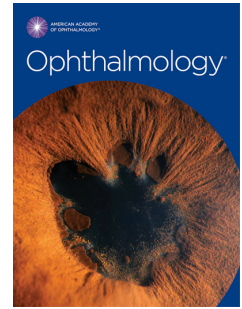


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Primary Selective Laser Trabeculoplasty for Open Angle Glaucoma and Ocular Hypertension: Clinical Outcomes, Predictors of Success and Safety from the Laser in Glaucoma and Ocular Hypertension (LiGHT) Trial

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1 TITLE

2
3 Primary Selective Laser Trabeculoplasty for Open Angle Glaucoma and Ocular Hypertension: Clinical Outcomes, Predictors of
4 Success and Safety from the Laser in Glaucoma and Ocular Hypertension (LiGHT) Trial

5
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23 ****A listing of the LiGHT Trial Study Group is provided as an Appendix:** (available at www.aaajournal.org)

24
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28
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30 **Running head:** Primary SLT in treatment naïve OAG & OHT patients

31 This article contains additional online-only material. The following should appear online-only: List of LiGHT Trial Study Group
32 members, Table 13, Table 14, Figure 2 and Figure 3
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36
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ACCEPTED MANUSCRIPT

38 ABSTRACT

39

40 Purpose: To report clinical efficacy, predictors of success and safety of primary selective laser trabeculoplasty (SLT) used in
41 treatment-naïve open-angle glaucoma (OAG) or ocular hypertension (OHT) patients.

42

43 Design: Post-hoc analysis of a multicentre prospective randomized-controlled-trial.

44

45 Participants: Treatment-naïve OAG or OHT patients.

46

47 Methods: Patients randomized to SLT or topical medication and treated to pre-defined target IOPs requiring $\geq 20\%$ IOP reduction
48 from baseline for all disease severity levels.

49

50 Outcome Measures: Initial (“early”) absolute IOP-lowering at 2-months. Achievement of “drop-free disease-control”: meeting
51 target IOP without disease progression or need for additional topical medication over 36-months following SLT. Predictors of
52 early absolute IOP-lowering and drop-free “disease-control” after single initial SLT. Frequency of laser-related complications.

53

54 Results: 611 eyes (195 OHT & 416 OAG) of 355 patients received SLT and 622 eyes (185 OHT & 437 OAG) of 362 patients
55 received topical medication at baseline. Early absolute IOP-lowering following SLT was no different between OHT and OAG eyes
56 (adjusted mean difference = -0.05mmHg ; 95% confidence interval (CI) -0.6 to 0.5mmHg ; $p=0.85$). No difference was noted in
57 early absolute IOP-lowering between topical medication and primary SLT (adjusted mean difference = -0.1mmHg ; 95% CI, -0.6 to
58 0.4mmHg ; $p=0.67$). Early absolute IOP-lowering with primary SLT was positively associated with baseline IOP (Coefficient 0.59 ;
59 95% CI, 0.54 to 0.64 ; $p<0.001$) and negatively with female gender (Coefficient -0.63 ; 95% CI, -1.23 to -0.02 ; $p=0.04$). At 36-
60 months, 536 eyes (87.7% of 611 eyes) of 314 patients (88.5% of 355 patients) were available for analysis. 74.6% of eyes (400
61 eyes) treated with primary SLT achieved drop-free “disease-control” at 36-months; 58.2% (312 eyes) following single SLT. Total
62 SLT power and 2-month IOP were predictors of drop-free “disease-control” at 36-months following single SLT. 6 eyes of 6
63 patients experienced immediate post-laser IOP spike ($>5\text{mmHg}$ from pre-treatment IOP) with 1 eye requiring treatment.

64

65 Conclusion: Primary SLT achieved comparable early absolute IOP-lowering in OHT vs OAG eyes. Drop-free “disease-control” was
66 achieved in $\sim 75\%$ eyes at 36-months following 1 or 2 SLTs; the majority of these following single SLT. These analyses are
67 exploratory, but support primary SLT to be effective and safe in treatment-naïve OAG and OHT eyes.

68 INTRODUCTION

69 Over the past two decades, selective laser trabeculoplasty (SLT) has become an established treatment to lower IOP for primary
70 open angle glaucoma (POAG) and ocular hypertension (OHT). Introduced by Latina and Park in 1995, SLT uses a 532nm Q
71 switched, frequency-doubled Nd:YAG laser that delivers a short pulse duration (3 nanoseconds) (1) to reduce IOP by increasing
72 aqueous outflow through the trabecular meshwork (TM) (2). The procedure is short and outpatient-based, with quick recovery
73 and good safety profile (3). SLT has the potential advantage of avoiding issues associated with topical IOP lowering medications
74 such as local and systemic side effects and variable patient adherence. Since FDA approval in 2001, SLT increasingly has been
75 adopted into practice. In the USA, 75,647 trabeculoplasties were performed in 2001 and this increased to 142,682 procedures in
76 2012 (4).

77
78 Studies investigating SLT as a primary treatment have found a similar IOP lowering efficacy and success rate to topical
79 medication using various success criteria (3). However, several of these studies include patients taking IOP lowering topical
80 medications that were stopped for a variable duration prior to receiving SLT (5-8). Despite a washout period to mitigate against
81 residual effects of prior topical treatment, SLT can be less effective following topical treatment (6). Few studies have evaluated
82 primary SLT in true treatment-naïve patients (9-11) and there is limited knowledge of predictors of IOP lowering response,
83 treatment success and safety in such patients.

84
85 The Laser in Glaucoma and Ocular Hypertension (LiGHT) Trial was a multi-centre randomized controlled trial (RCT) conducted to
86 establish whether initial treatment with SLT is superior to initial treatment with medication for treatment-naïve OAG or OHT
87 patients in relation to health-related quality of life (HRQL), cost-effectiveness and clinical efficacy at 36 months (12). Eyes in the
88 primary SLT arm were at target IOP over more clinical visits during 36-month follow up compared to drops, with fewer eyes
89 demonstrating disease progression and fewer cataract and trabeculectomy surgeries. Primary SLT was found to be more cost-
90 effective than initial medication over the course of 36 months, despite a lack of HRQL differences between the two arms (13).

91
92 This report characterizes the IOP lowering, drop-free “disease-control” and safety achieved by primary SLT in treatment-naïve
93 OAG and OHT patients as part of LiGHT, in which eyes were treated to pre-defined target IOPs based on disease severity. We
94 also investigated predictors of initial (“early”) IOP lowering and predictors for achieving drop-free “disease-control” at 36
95 months following single initial SLT. We hypothesized that primary SLT would demonstrate effective IOP lowering in treatment-
96 naïve OHT and OAG eyes with a comparable effect to topical medication. We anticipated that absolute IOP lowering could be
97 greater in OHT vs OAG eyes due to higher pre-treatment baseline IOPs and that drop-free “disease-control” would be more
98 readily achieved in eyes with less advanced disease because target IOPs were higher.

99 METHODS

100 The study was conducted in accordance to good clinical practice (GCP) guidelines and adhered to the tenets of the Declaration
101 of Helsinki. Institutional Review Board (IRB)/Ethics Committee approval was obtained. All patients provided written informed
102 consent before participation to the trial. The LiGHT Trial is registered at www.controlled-trials.com (registration number
103 ISRCTN32038223).

104
105 This study was a post hoc analysis of the LiGHT trial, the design and baseline characteristics of which have been previously
106 described (12, 14). Briefly, consecutive eligible patients were identified at the clinics of six participating centres in the UK from
107 October 2012 until October 2014. Eligible patients had newly diagnosed, untreated OAG or OHT in one or both eyes and
108 qualified for treatment according to National Institute of Clinical Excellence (NICE) guidelines (15), open angles on gonioscopy,
109 visual field loss with mean deviation (VF MD) not worse than -12 dB in the better eye or -15 dB in the worse eye and, for OAG,
110 corresponding damage to the optic nerve head. Patients were 18 years or older and able to read and understand English, had a
111 visual acuity of 20/120 or better in the treated eye(s) and no previous intraocular surgery, except uncomplicated
112 phacoemulsification at least one year before entering the trial. Patients were excluded if there were any contra-indications to
113 SLT, if they were unable to use topical medical therapy, if they had visually symptomatic cataract and wanted to undergo
114 cataract surgery, or were having active treatment for another ophthalmic condition. Patients with one or both eyes eligible were
115 treated. All measurements influencing treatment escalation decisions: automated visual field using Humphrey Field Analyzer
116 Mark II Swedish interactive threshold algorithm standard 24-2 programme (Carl Zeiss Meditec, Dublin, CA, USA), Heidelberg
117 Retina Tomography (HRT) disc imaging (Heidelberg Engineering, Heidelberg, Germany) and IOP (Goldmann applanation
118 tonometry with daily calibration verification) were performed by masked observers. Patients were monitored for 3 years.

119
120
121 Disease category and severity were defined using pre-set objective severity criteria from the Canadian Target IOP Workshop (16)
122 with additional central VF loss criteria (17) – see Table 1.

123
124 Severity stratification (OHT, 'mild', 'moderate' or 'severe' OAG) determined an eye specific 'Target IOP' and follow-up intervals.
125 Target IOP was objectively defined using both percentage reduction from untreated IOP and an absolute value, with the final
126 target IOP being the lower of the 2 values (see Table 2). Achievement of target IOP thus required a minimum IOP reduction of
127 >20% from baseline IOP, irrespective of disease severity.

128
129 Standardised criteria to escalate treatment were used according to a protocol following international guidelines by the
130 European Glaucoma Society, (18) American Academy of Ophthalmology Preferred Practice Pattern (19) and the South-East Asia

131 Glaucoma Interest Group (20). These were incorporated into a real-time web-based clinical decision support software, based on
132 optic disc analysis (HRT), automated visual fields analysis (Humphrey Visual Field, HVF) and IOP measurements. Criteria for
133 defining IOP not at target and disease progression by HRT and VF have been reported previously (12).

134

135 Standardisation of SLT delivery was achieved by protocol-defined settings and clinical endpoints. The protocol advised 360-
136 degree TM treatment, 100 non-overlapping shots (25 per quadrant) of a pre-set 3 nanoseconds duration and pre-set 400µm
137 spot size, with the laser energy varied from 0.3 to 1.9mJ by the clinician according to just observable bubble formation. IOP was
138 checked 60 minutes following SLT procedure. One SLT re-treatment was permitted during the study, if/when a treatment
139 escalation was recommended by the decision support software and confirmed by the treating clinician. To allow time for the full
140 effects of laser to occur, the earliest interval at which repeat SLT was permitted was following the first scheduled visit 2 months
141 post initial SLT. SLT was not repeated if significant complications of laser treatment occurred, if there was a lack of IOP lowering
142 response following initial SLT (judged by the treating clinician – not protocol defined) or other new medical conditions
143 prevented repetition. In such cases, treatment escalation with topical medication rather than repeat SLT was permitted. In eyes
144 that underwent repeat SLT, if further treatment escalation was required, the next step was topical medication. The earliest
145 planned interval at which this could be initiated was following the first scheduled visit 2 months post repeat SLT.

146

147 Follow-up intervals were initially set at entry to the study according to NICE guidance (21) and subsequently adjusted on the
148 basis of IOP control, glaucoma progression or adverse reactions. The routine schedule of appointments and assessments for
149 patients has been published previously (14). At follow up, patients underwent visual acuity testing (ETDRS logMAR), slit-lamp
150 examination, visual field testing (Humphrey Field Analyzer (HFA) Mark II SITA standard 24-2), HRT optic disc imaging, IOP
151 measurement (Goldmann applanation tonometry) and clinical assessment of the optic discs, maculae and fundi.

152

153 To investigate the IOP lowering efficacy of primary SLT for OHT vs OAG, we evaluated the initial (“early”) absolute IOP reduction
154 at 2-months for all eyes receiving primary SLT. This was the first scheduled visit (after ‘safety’ IOP check visit at 2 weeks post
155 laser) following laser at baseline. To contextualize the early IOP lowering efficacy of primary SLT in treatment-naïve eyes, we
156 compared early absolute IOP reduction at 2-months following primary SLT with 2-month absolute IOP reduction in eyes from the
157 Medication-1st arm of LiGHT that had commenced topical medication at baseline. To investigate if early absolute IOP lowering
158 following primary SLT was predicted by clinically relevant baseline factors, a linear regression analysis was performed (see
159 Statistical Methods).

160

161 LiGHT followed a 'Treat in Pursuit of Control' design (TPC) and hence, following the first scheduled visit at 2-months, the web-
162 based clinical decision support software began to monitor and escalate treatment (if required) for each eye based on
163 achievement of "disease-control" i.e. achievement of predefined target IOP with no objective evidence of disease progression.
164 OAG eyes had lower predefined target IOPs than OHT eyes (see Table 2) and thus were more likely to require greater treatment
165 intensity compared to OHT eyes to achieve "disease-control". IOP comparisons between OHT vs OAG eyes at later time points
166 would be confounded by differences in treatment intensity and hence were not performed.

167
168 We evaluated treatment intensity of primary SLT in OHT vs OAG eyes by assessment of drop-free "disease-control" achieved by
169 primary SLT at 12, 24 and 36 months. The LiGHT treatment protocol permitted a single SLT retreatment (if required) and we
170 therefore determined drop-free "disease-control" achieved by 1 or 2 SLTs collectively and by initial, single SLT alone.

171 In the SLT literature, the most commonly defined measure of 'success' is a minimum IOP reduction of $\geq 20\%$ from baseline IOP
172 following SLT at a specified time point, without need for further intervention (22). In LiGHT, the predefined target IOPs required
173 a minimum IOP reduction of $> 20\%$ from baseline IOP for all disease severities (see Table 2) and thus, eyes achieving drop-free
174 "disease-control" at 36 months following a single, initial SLT would serve as a useful (albeit much more stringent) 'success'
175 comparator with pre-existing SLT studies. A logistic regression analysis of factors to predict eyes achieving drop-free "disease-
176 control" at 36 months following initial, single SLT was performed.

177 To determine safety of primary SLT, the frequency of laser related complications and adverse events over 36 months was
178 collated.

179 STATISTICAL METHODS

180 The sample size for LiGHT was based on analyses planned to assess HRQL in treatment-naïve OAG/OHT patients treated initially
181 with either primary SLT or topical medication. The sample size was 718 patients, calculated to detect a difference of 0.05 in EQ-
182 5D-5L between the two arms at 36 months using a two sample *t*-test at the 5% significance level with 90% power, assuming a
183 common standard deviation of 0.19 (23) and 15% attrition.

184 In this report, the unit of analysis was the eye. All eligible study eyes that received SLT at baseline were included in the analysis
185 with appropriate measures taken to account for correlation amongst paired eyes within a subject.

186 Summary statistics of the demographic and clinical characteristics are presented for all eligible study eyes. Descriptive statistics
187 are presented as means and standard deviations. Analysis comparing baseline demographics of eyes available to those

188 unavailable to analyze at 36-months was performed. T-test or Wilcoxon Rank Sum test was used for comparison of continuous
189 data and Chi squared test was used for categorical data.

190

191 To compare absolute IOP reduction at 2 months between OHT and OAG eyes, a mixed effects model using the eye as the unit of
192 analysis and using patients as a random factor to adjust for correlation between paired eyes was performed. The model also
193 controlled for pre-treatment baseline IOP and treating centre (to control for centre effects in a multicentre trial). To compare
194 absolute IOP reduction at 2 months between primary SLT vs topical medication, a similar mixed effects model was also used.

195

196 To examine baseline predictors of early absolute IOP reduction at 2 months in eyes receiving primary SLT, univariate mixed
197 effect linear regression analyses were performed using the eye as the unit of analysis and using patients as a random factor to
198 adjust for correlation between paired eyes. Patient related baseline characteristics considered for univariable selection were
199 age, gender, ethnicity, phakic status, baseline IOP, central corneal thickness (CCT), TM pigmentation, pseudoexfoliation (PXF),
200 hypertension (HTN) & diabetes mellitus (DM). Laser related characteristics included total SLT power and total number of SLT
201 shots of initial SLT at baseline. Covariates that achieved $p < 0.10$ in the univariable selection regression analyses were entered in a
202 mixed effect multivariable linear regression model controlling for LiGHT stratification factors (disease severity and treating
203 centre). The regression model was then run, with non-significant variables removed one by one until only significant ($p < 0.05$)
204 variables remained.

205 A similar approach involving logistic regression was used to look for predictors of drop-free “disease-control” at 36 months. For
206 the logistic regression analysis, a ‘success’ criterion defined as eyes that achieved drop-free “disease-control” following initial,
207 single SLT at baseline was used. This was a more stringent criterion than used elsewhere. We also considered the 2-month IOP
208 to assess if this was a post treatment predictor of drop-free “disease-control” at 36 months.

209 Statistical significance was defined as a 2-sided P value < 0.05 . Analyses were carried out using Stata15 (StataCorp, 2015. Stata
210 Statistical Software: Release 15. College Station, TX: StataCorp LP).

211

212 RESULTS

213 356 patients (613 eyes) were randomized to the Laser 1st arm of LiGHT. One patient (2 eyes) withdrew consent prior to receiving
214 SLT at the baseline visit and thus 355 patients (611 eyes) received primary SLT. At 36 months, 536 eyes of 314 patients were
215 available for analysis. Of the 75 remaining eyes, 22 eyes (of 13 patients) were formally lost to follow up (withdrew, died, illness,
216 or moved) during the course of the 3-year trial. The remaining 53 eyes (of 28 patients) were still returning HRQL questionnaires
217 in the main LiGHT study, but clinical data were not available at the 36-month time-point. Analysis comparing baseline
218 demographics of eyes available vs unavailable to analyze at 36-months (536 eyes vs 77 eyes) demonstrated no clinically or
219 statistically significant differences in age, baseline IOP, ethnicity, gender, disease severity and VF mean deviation. A statistically
220 but not clinically significant difference in baseline visual acuity was noted between groups (mean difference LogMAR -0.06 ,95%
221 CI, -0.1 to -0.01, p=0.02) (see Appendix: available at www.aaojournal.org).

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243 Baseline Characteristics

244
245 Baseline demographic data of the 611 eyes are given in Table 3. There was a greater proportion of males compared to females
246 (56.1% vs 43.9%) at baseline. The most common ethnicities were White European (68.2%) and Black (21.7%). 72.1% of patients
247 had both eyes in the study, 13.8% had only the right eye and 14.1% had only the left eye in the study; 31.9% of eyes had a
248 diagnosis of OHT (195 eyes) compared to 68.1% of eyes with OAG (416 eyes). This is reflected in the average mean deviation
249 (MD) value of -3.0 decibels (dB). Mean baseline IOP was 24.5mmHg (SD 5.2) for all eyes but was greater in OHT eyes (26.5mmHg
250 (SD 3.5)) vs OAG eyes (23.5mmHg (SD 5.6)). During initial SLT, mean total power delivered was 90.4 (SD 23.5) mJ via a mean
251 treatment of 99.2 (SD 5.1) shots. Baseline demographic data of the 622 eyes in the Medication 1st arm is also provided (see
252 Appendix: available at www.aaojournal.org)

253
254
255 Early IOP lowering efficacy of Primary SLT

256 559 eyes (out of 611 eyes at baseline) were available for analysis at the 2-month time point in the primary SLT arm having
257 undergone initial SLT at baseline (see Figure 1). Mean initial IOP lowering at 2 months was 8mmHg (SD 4.0) in OHT eyes and
258 6.5mmHg (SD 4.3) in OAG eyes. Mean percentage IOP reduction was 29.7% (SD 13.1) in OHT eyes and 26.1% (SD 14.7) in OAG
259 eyes respectively. A clear trend was noted towards increasing absolute IOP reduction with higher baseline IOP in both OHT and
260 OAG eyes (see Figure 1) but there was no significant difference in early absolute IOP lowering between OHT and OAG eyes
261 having controlled for pre-treatment baseline IOP and centre effects (adjusted mean difference = -0.05mmHg; 95% confidence
262 interval (CI) -0.6 to 0.5mmHg; p=0.85).

263
264 For comparison, 594 eyes (out of 622 eyes at baseline) were available for analysis in the Medication 1st arm at 2 months. Of
265 these, 99.3% (590 eyes) were on a single medication (96.1% on topical prostaglandin, 1.9% on beta blocker, 0.3% on carbonic
266 anhydrase inhibitor, 0.3% on alpha agonist, 0.7% on two medications). Mean initial IOP lowering at 2 months was 7.6mmHg (SD
267 4) in OHT eyes and 6.8mmHg (SD 4.4) in OAG eyes. Mean (SD) percentage IOP reduction was 27.9% (13.5) in OHT eyes and
268 27.9% (14.4) in OAG eyes respectively.

269
270 Overall, absolute IOP reduction at 2 months was no different between topical medication and primary SLT (adjusted mean
271 difference = -0.1mmHg; CI -0.6 to 0.4mmHg; p= 0.67). There was no difference in absolute IOP reduction for OHT eyes (adjusted
272 mean difference = 0.4mmHg; CI -0.4 to 1.2mmHg; p=0.31) or OAG eyes (adjusted mean difference = -0.2mmHg; CI -0.8 to
273 0.3mmHg; p=0.36) between the two treatment groups.

274
275

276 Predictors of early IOP lowering response following Primary SLT

277 For the predictors of initial IOP lowering response, covariates that achieved $p < 0.10$ in the initial variable selection regression
278 analyses were baseline IOP ($p < 0.001$), gender ($p = 0.002$) and age ($p = 0.05$). Within group (OHT vs OAG) sub-analysis
279 demonstrated that the trend noted towards increasing absolute IOP reduction with higher baseline IOP (see Figure 1) was
280 significant in both OHT (Coefficient 0.68, 95% CI, 0.55 to 0.81; $p < 0.001$) and OAG (Coefficient 0.58, 95% CI, 0.53 to 0.64;
281 $p < 0.001$). The final multivariable linear regression model showed that baseline IOP ($p < 0.001$) and gender ($p = 0.04$) were
282 predictors of initial absolute IOP reduction.

283
284
285 "Drop-free Disease-control"

286
287 Eyes that met target IOP without disease progression or need for topical IOP lowering medication were deemed to have
288 achieved drop-free "disease-control". At 12 months, 85.2% of eyes (518 eyes) achieved drop-free 'disease-control' after 1 or 2
289 SLTs. At 24 months and 36 months, 79.2% of eyes (456 eyes) and 74.6% of eyes (400 eyes) respectively, continued to achieve
290 drop-free 'disease-control' (see Table 6). At all time points, drop-free 'disease-control' was achieved in a higher percentage of
291 OHT and 'mild OAG' eyes compared to 'moderate' and 'severe' OAG eyes.

292
293
294 'Drop-free Disease-control' following initial single SLT

295 Assessing drop-free 'disease-control' achieved by *initial single SLT at baseline*, 75.5% of eyes (459 eyes) achieved this at 12
296 months, 66.5% of eyes (383 eyes) at 24 months and 58.2% of eyes (312 eyes) at 36 months. At all time points, drop-free
297 'disease-control' after single initial SLT was achieved in a higher percentage of OHT and 'mild OAG' eyes compared to 'moderate'
298 and 'severe' OAG eyes (see Table 7).

299
300 Overall at 36 months, mean absolute IOP reduction in the 312 eyes achieving drop-free "disease-control" following single initial
301 SLT at baseline was 8.1mmHg (SD 4.1). Mean absolute IOP reduction was similar between all disease severities (see Table 8).

302
303 By 36 months, 23 eyes had objective evidence of disease progression (19 eyes visual field progression, 2 eyes disc progression, 2
304 eyes disc and VF progression) and 26 eyes had an upward revision of target IOP, if IOP control was not initially achieved in the
305 absence of disease progression (12). These results accounts for this, such that all eyes achieving drop-free "disease-control" met
306 target IOP (achieving $> 20\%$ IOP reduction from baseline IOP) without disease progression or need for topical medication. This is
307 reflected in the number of eyes achieving drop-free "disease-control" at 36 months (74.6% eyes) and following single initial SLT
308 (58.2% eyes) being slightly fewer compared to those solely achieving target IOP without topical medication at 36 months (78.2%
309 eyes) and following single initial SLT (59.9%) as reported in the LiGHT main outcomes paper (13).

310 *Predictors of drop-free 'disease-control' at 36 months*

311 312 eyes achieved drop-free "disease-control" at 36 months following initial single SLT (Table 8). These eyes achieved >20% IOP
312 reduction from baseline IOP and thus were a treatment 'success' (using conventional 'IOP lowering >20% from baseline IOP'
313 definition of success). Baseline covariates that achieved $p < 0.10$ in the mixed effects univariable logistic regression analyses
314 were: total power of 1st SLT ($p = 0.08$) and age ($p = 0.09$) (see Table 9). Two month IOP ($p < 0.001$) was a 'post' treatment covariate
315 that achieved $p < 0.10$ in the univariable logistic regression analysis. The final mixed effects multivariable logistic regression
316 model of baseline factors showed that total power of 1st SLT (see Table 10) was a predictor of achieving drop-free "disease-
317 control" at 36 months following single initial SLT (adjusted odds ratio 1.02, 95% CI, 1.01 to 1.04, $p = 0.01$). Two month IOP was
318 also a 'post' treatment predictor of drop-free 'disease-control' at 36 months when controlling for the other significant baseline
319 factors (adjusted odds ratio 0.66, 95% CI, 0.57 to 0.79, $p < 0.001$) (see Table 10).

320

321 SLT safety

322 There were no sight threatening adverse events related to primary SLT during or after the procedure (see Table 11). 6 eyes (of 6
323 patients) experienced immediate post laser IOP spike (>5mmHg from pre-treatment IOP) at 60 minutes, but only one of these
324 eyes required medical treatment. No IOP spikes >10mmHg from pre-treatment IOP at 60 minutes post procedure were
325 reported. In 4 patients (1.1%), there was difficulty in visualizing the angle and in 3 patients (0.9%) fewer laser applications than
326 required by the protocol were reported to have been used. Following SLT, symptoms including ocular discomfort, headache,
327 blurred vision and photophobia were reported by 34.4% of patients (122 patients). These were of a transient nature and self-
328 limiting; all had resolved by the first scheduled visit. No IOP spikes (>5mmHg from Baseline IOP) were detected at the 2-week
329 safety check visit post SLT; 6.2% of eyes (38 eyes) were noted to have a higher IOP at 2-week safety visit compared to baseline.

330

331 DISCUSSION

332 This report analyses the efficacy of primary SLT in one of the largest datasets of treatment-naïve OAG and OHT patients, with
333 robust RCT-derived data.

334

335 There was no significant difference in early absolute IOP lowering between OHT and OAG eyes having controlled for pre-
336 treatment baseline IOP and centre effects (adjusted mean difference = -0.05mmHg; 95% confidence interval (CI) -0.6 to
337 0.5mmHg; p=0.85). In addition, there was no significant difference in early absolute IOP lowering between topical medication
338 and primary SLT (adjusted mean difference = -0.1mmHg, CI -0.6 to 0.4mmHg, p= 0.67).

339

340 We found that higher baseline IOP was a predictor of early absolute IOP lowering at 2 months in a mixed effects linear
341 regression model. Increasing baseline IOP has already been reported as being associated with increased IOP lowering (3) and
342 was also demonstrated in this study, in which OHT eyes had greater IOP lowering from baseline compared to OAG eyes. This is
343 also reflected in NTG studies where baseline IOPs are lower and both absolute IOP reductions and success rates are lower
344 compared to other subtypes (24, 25). Our study design minimized the effects of regression to the mean on IOP lowering:
345 qualifying IOP measurements were made on a separate day to baseline assessments, and IOP level was an entry criterion only
346 for OHT eyes (31.9% of eyes at baseline). There was no placebo arm in LiGHT to ascertain fully the regression to the mean, but a
347 previous study has demonstrated a ~ 1.4mmHg (SD 3.1) absolute IOP reduction at first visit post placebo compared to 5mmHg
348 (SD 3.6) in the topical latanoprost group (26). We also found in our analysis that female gender was associated with lesser initial
349 IOP lowering, not a commonly reported predictor of IOP lowering (22).

350

351 Our results show that at 36 months follow up, 74.6% of eyes (400 eyes) treated with primary SLT achieved drop-free “disease-
352 control”, with 58.2% of eyes (312 eyes) doing so following a single initial SLT. All these eyes achieved IOP reduction > 20% from
353 baseline IOP. IOP reduction >20% from baseline has been previously reported as occurring in between 38-74% of treated eyes at
354 36 months (7, 27-29). In our study, eyes with more advanced glaucoma had to meet more stringent target IOPs set according to
355 previous published guidelines: ‘moderate’ or ‘severe’ disease had to achieve a minimum 30% reduction from baseline IOP to
356 continue without further intervention (12). Thus, more severely affected eyes achieving >20% but <30% IOP reduction following
357 first SLT would have undergone a further treatment (2nd SLT or medication if non-response to 1st SLT). This is reflected in our
358 results with only 58.2% of eyes not receiving additional therapy. The relative proportion of eyes achieving drop-free “disease-
359 control” at 36 months after initial single SLT at baseline (Table 7) was greater in OHT and ‘mild OAG’ eyes (with less stringent
360 targets) than ‘moderate’ and ‘severe OAG’ eyes (with lower target IOPs), despite similar mean absolute IOP reductions for all
361 levels of disease severity (Table 8). This does not mean SLT was ineffective in more advanced disease, merely insufficient in
362 isolation.

363
364 The above was taken into account in the predictors of success mixed effects logistic regression model, with terms for baseline
365 disease severity and site (to control for centre effects), whilst using the eye as the unit of analysis and using patients as a
366 random factor to adjust for correlation between paired eyes. Our logistic regression model suggested a statistically significant
367 but small increase in odds of achieving drop-free “disease-control” at 36 months with higher total power of 1st SLT (adjusted
368 odds ratio 1.02, 95% CI 1.01 to 1.04, p=0.01). On further analysis, mean total power of 1st SLT in ‘success’ eyes was 92.6mJ (SD
369 21.8) vs 87.7mJ (SD 25.6) in ‘non-success’ eyes (adjusted mean difference = 2.37mJ, 95% CI -0.5, 5.2 mJ). The modest effect and
370 overlap in treatment parameters between ‘success’ and ‘non-success’ eyes means that response prediction is not possible. The
371 trend to a greater response with more power delivered would need confirmation in future studies. There is mixed evidence
372 regarding the optimum power settings for SLT treatment. Tang et al compared 39 patients receiving 100 shots of 360^o SLT using
373 low energy settings (0.3-0.5mJ) with 35 patients who received 100 shots of 360^o SLT using standard energy settings (0.6-1.0mJ)
374 (30). No difference in IOP lowering between groups at all time points up to 1 year was noted. Furthermore, there was reduced
375 incidence of adverse events in the lower energy group. Realini found total laser power *not* to be a significant predictor of 12-
376 month success, with a mean (SD) of 86.0 (21.1) mJ in right eye and 87.7 (20.6) mJ in left (31) compared to a mean (SD) of 90.4
377 (23.5) mJ in our study (8). In contrast, Lee et al found greater total SLT energy was associated with a greater IOP lowering, but
378 that study was limited by small sample size, short follow up (1 month) (32) and total energy powers that were considerably
379 higher than those in this study (“optimum” total reported as 226.1mJ). Habib et al divided 360 degree SLT treatment patients
380 into those who received low (<85 mJ), medium (85–105 mJ), or high (>105 mJ) energy SLT. At all time points up to 36-month
381 follow-up, there was a significant positive correlation between greater energy and IOP lowering (33).

382

383 We wanted to establish whether IOP at first scheduled visit post SLT at 2 months was predictive of achieving “disease-control” at
384 36 months following initial single SLT at baseline. A previous study found that the only significant predictor of IOP lowering at 12
385 months across all eyes was time, with maximum IOP reduction seen at 3 months followed by a slow decline in effect
386 subsequently (31). Whilst we found successful eyes achieving drop-free “disease-control” following initial single SLT at 36
387 months had a lower IOP at 2 months compared to non-successful eyes (adjusted mean difference = -1.9mmHg; 95% CI, -1.4 to -
388 2.3mmHg), there may not be enough specificity in this observation (due to the standard deviation of IOP measurements) to be
389 helpful in the individual case.

390

391 SLT was well tolerated in this study, with no sight threatening adverse events and only 6 eyes (1% of total eyes receiving SLT)
392 having an IOP spike (>5mmHg) immediately after SLT. This compares favorably with other studies, which have reported IOP
393 spikes (>5mmHg) occurring in up to 28% of eyes (3). Post SLT, 34.4% of patients described mild laser related adverse events
394 including ocular discomfort, headache, blurred vision and photophobia. These were of a transient nature and self-limiting.
395 Anterior chamber inflammation is common post SLT with up to 83% of eyes demonstrating some degree of inflammation (34).
396 Considering the biological changes that SLT induces (35), some regard transient self-limiting inflammation to be a predictable
397 consequence of SLT, explaining the symptoms of ocular redness, photophobia and pain that patients may report. During the
398 LiGHT trial overall, there were fewer drop-related ophthalmic and systemic adverse events reported by patients in the initial SLT
399 arm vs the initial Medication arm(13).

400

401 Direct comparison between SLT studies is difficult. Differences in study design exist between studies, including patient
402 demographics, disease subtypes investigated (OHT vs OAG), variations in topical IOP lowering medication usage prior to SLT
403 (treatment-naïve vs medication washout period prior to SLT vs adjunct SLT in uncontrolled eyes on maximum tolerated medical
404 therapy), differences in SLT treatment parameters (180-degree vs 360-degree treatments, variability in numbers of shots fired),
405 variability in follow up intervals, total duration of follow up and variable definitions of success.

406

407 This report has several strengths. It utilizes data derived from a prospective multi-centre RCT with broad entry criteria that
408 maximize its generalizability. Eyes were treated to pre-defined target IOPs based on disease severity with pre-defined treatment
409 escalation criteria and SLT treatment parameters (12). An obvious limitation is that this analysis was post-hoc and the sample
410 size of LiGHT was determined based on a power calculation to analyze the primary outcome of HRQL. We did not perform a
411 post-hoc power calculation for the IOP lowering parameters considered in this report, since limitations have been reported with
412 such calculations (36). Instead, the narrow (<1mmHg) confidence intervals for our pointwise estimates of differences in early IOP

413 lowering between OHT vs OAG eyes and primary SLT vs topical medication suggest that the study had an adequate sample size
414 to detect a clinically important difference if it exists (37). For our logistic regression analysis, we had sufficient events based on
415 the rule of thumb that 10-15 'events per variable' are required to develop an adequate prediction model (38). In this analysis,
416 despite no clinically or statistically significant differences in gender or ethnicity being noted in eyes available vs unavailable to
417 analyze at 36-months, relatively more females and black patients had eyes unavailable for analysis. Studies have shown
418 disparities in the utilization of eye care services among different racial minorities, with socio-economic deprivation and
419 differences in access to healthcare implicated as contributory to this (39, 40).

420

421 In conclusion, we report that primary SLT is an effective initial therapy for treatment-naïve OAG and OHT patients. Primary SLT
422 provides a comparable initial IOP lowering response in OHT vs OAG eyes and to topical medication. It achieves drop-free
423 "disease-control" in ~75% of eyes at 36 months, with the majority of eyes (58.2%) doing so following a single, initial SLT. SLT had
424 a good safety profile during our study, whilst avoiding the potential adherence issues associated with topical medication.
425 Despite the exploratory nature of these analyses, our results are clinically valuable and add to the limited body of evidence on
426 primary SLT in treatment-naïve OAG and OHT, supporting its' use as an effective and safe initial treatment for such conditions.

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529 LEGENDS:

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531 Figure 1: Scatter plot of absolute IOP reduction vs. baseline IOP in all eyes (559 eyes) at 2 months following initial SLT

532 Filled circles: OHT, Hollow circles: OAG

533

ACCEPTED MANUSCRIPT

Severity	Definition of Severity for Treatment Target IOP				
	Optic Nerve		VF MD	Central (10°) Scotoma on VF	
OHT	Healthy		Any	No GON related VFL	
Mild OAG	GON	+	> -6dB	+	None
Moderate OAG	GON	+	-6dB < and < -12dB	or	At least 1 central 5° point <15dB but none <0dB and only 1 hemifield with central point <15dB
Severe OAG	GON	+	< -12dB	or	Any central 5° point with sensitivity <0dB Both hemifields contain point(s) <15dB within 5° of fixation

Table 1: Severity criteria for setting Treatment Target IOP from the “Canadian Target IOP Workshop” (with central field criteria defined according to Mills). VF MD: Visual field mean deviation GON: Glaucoma optic neuropathy

Baseline Disease Severity	Treatment Target IOP
OHT	>20% IOP reduction from baseline IOP or IOP< 25mmHg (whichever lower)
'Mild' OAG	>20% IOP reduction from baseline IOP or IOP< 21mmHg (whichever lower)
'Moderate' OAG	>30% IOP reduction from baseline IOP or IOP<18mmHg (whichever lower)
'Severe' OAG	>30% IOP reduction from baseline IOP or IOP<15mmHg (whichever lower)

Table 2: Setting Treatment Target IOP

Characteristics	Value
Age (years), mean (SD)	63.4 (12.1)
Gender (patients), (%)	
Male	199 (56.1%)
Female	156 (43.9%)
Race/ Ethnicity (patients), (%)	
White European	242 (68.2%)
Black	77 (21.7%)
Asian	23 (6.5%)
Other	13 (3.7%)
Laterality (patients), (%)	
Bilateral Eyes	256 (72.1%)
Right Eye	49 (13.8%)
Left Eye	50 (14.1%)
Hypertension (patients), (%)	
Yes	131 (36.9%)
No	224 (63.1%)
Diabetes Mellitus (patients), (%)	
Yes	41 (11.6%)
No	314 (88.5%)
Disease Severity (eyes), (%)	
OHT	195 (31.9%)
'Mild' OAG	309 (50.6%)
'Moderate' OAG	67 (11.0%)
'Severe' OAG	40 (6.5%)
Mean Deviation (dB), mean (SD)	-3.0 (3.4)
Pattern Standard Deviation (dB), mean (SD)	3.7 (2.9)
Mean HRT area (mm ²), mean (SD)	1.2 (0.4)
Baseline IOP (mmHg), mean (SD)	
Overall	24.5 (5.2)
OHT	26.5 (3.5)
OAG	23.5 (5.6)
Average Trabecular Pigmentation Grade (eyes), (%)	
0 -None	243 (39.8%)
1- Mild	264 (43.2%)
2-Moderate	101 (16.5%)
3-Dense	1 (0.2%)
Unknown	2 (0.4%)
Habitual VA (Logmar), mean (SD)	0.10 (0.2)
CCT (microns), mean (SD)	550.6 (38.1)
PXF (eyes), (%)	
Yes	5 (0.8%)
No	606 (99.2%)
Target IOP (mmHg)	
OHT	21.1 (2.4)
'Mild' OAG	17.9 (3.1)
'Moderate' OAG	15.9 (2.6)
'Severe' OAG	13.9 (1.6)

Table 3: Baseline characteristics of Primary SLT arm. OAG: Open Angle Glaucoma, OHT: Ocular Hypertension. Self-defined ethnicity; 'Asian' ethnicity refers to Indian, Pakistani, Bangladeshi and any other Asian background, 'Black' ethnicity refers to Caribbean, African and any other black background, 'Other' ethnicity refers to Chinese and any other ethnic groups.

Variable	Coefficient	95% confidence Interval	P-value
Baseline IOP (mmHg)	0.59	(0.54, 0.64)	<0.001*
Race/ Ethnicity			0.17
<i>Black</i>	1.18	(0.08, 2.29)	
<i>Asian</i>	0.89	(-0.87, 2.66)	
<i>Other</i>	0.70	(-1.75, 3.15)	
<i>*reference White European</i>			
Sex			
Female	-1.42	(-2.29, -0.54)	0.002*
Age (years)	-0.04	(-0.08, 0.00)	0.05*
CCT (microns)	0.01	(0.00, 0.02)	0.15
PXF (Y/N)			
No	-1.62	(-4.94, 1.69)	0.34
Average TM Pigmentation Grade			0.12
1- Mild	-0.12	(-1.04, 0.81)	
2-Moderate	0.03	(-1.16, 1.23)	
3-Dense	6.51	(1.06, 12.0)	
<i>*reference No Pigmentation</i>			
Phakic Status (Y/N)			
Phakic	0.70	(-0.90, 2.29)	0.39
Hypertension (Y/N)			
No	0.05	(-0.87, 0.96)	0.92
Diabetes Mellitus (Y/N)			
No	0.82	(-0.51, 2.15)	0.22
Total Power 1 st SLT (mJ)	0.01	(-0.01, 0.03)	0.29
Total Number of shots 1 st SLT (shots)	0.04	(-0.03, 0.11)	0.26

Table 4: Univariable Linear Regression Analysis for Absolute IOP Reduction

*Covariates that achieved $p < 0.10$ in the initial variable selection linear regression analyses were: baseline IOP ($p < 0.001$), gender ($p = 0.002$) and age ($p = 0.05$)

Variable	Coefficient	95% confidence Interval	P-value
Baseline IOP (mmHg)	0.58	(0.53, 0.63)	<0.001
Sex Female	-0.63	(-1.23, -0.02)	0.04

Table 5: Multivariable Logistic Regression Analysis for Absolute IOP reduction

Disease Severity	12 months Total eyes available for analysis (n)	12 months Eyes achieving drop-free 'disease-control' % (n)	24 months Total eyes available for analysis (n)	24 months Eyes achieving drop-free 'disease- control'% (n)	36 months Total eyes available for analysis (n)	36 months Eyes achieving drop-free 'disease- control'% (n)
ALL EYES	608	85.2% (518)	576	79.2% (456)	536	74.6% (400) ^a
<i>OHT</i>	192	92.7% (178)	174	92% (160)	158	88.6% (140)
<i>'Mild' OAG</i>	315	87.3% (275)	293	81.2% (238)	269	76.6% (206)
<i>'Moderate' OAG</i>	54	63% (34)	69	56.5% (39)	57	56.1% (32)
<i>'Severe' OAG</i>	47	65.9% (31)	40	47.5% (19)	52	42.3% (22)

Table 6: Eyes achieving drop-free "disease-control" using 1 or 2 SLT. a: one eye was protocol deviation - received 3 SLT

Disease Severity	12 months Total eyes available for analysis (n)	12 months Eyes achieving drop-free 'disease-control' after single SLT % (n)	24 months Total eyes available for analysis (n)	24 months Eyes achieving drop-free 'disease-control' after single SLT % (n)	36 months Total eyes available for analysis (n)	36 months Eyes achieving drop-free 'disease- control' after single SLT % (n)
ALL EYES	608	75.5% (459)	576	66.5% (383)	536	58.2% (312)
OHT	192	85.9% (165)	174	80.5% (140)	158	72.8% (115)
'Mild' OAG	315	79.4% (250)	293	70.6% (207)	269	64.3% (173)
'Moderate' OAG	54	46.3% (25)	69	42% (29)	57	33.3% (19)
'Severe' OAG	47	40.4% (19)	40	17.5% (7)	52	9.6% (5)

Table 7: Eyes achieving drop-free 'disease-control' after single, initial SLT at baseline

	Drop-free 'disease-control' using single SLT at 36 months (eyes)	Mean(SD) absolute IOP reduction (mmHg)	Mean (SD) % IOP reduction from baseline
ALL EYES	312	8.1 (4.1)	31.4 (11.7)
<i>OHT</i>	115	8.8 (3.6)	32.7 (11.5)
<i>'Mild' OAG</i>	173	7.5 (4.3)	29.9 (11.7)
<i>'Moderate' OAG</i>	19	8.6 (3.9)	36.4 (11.7)
<i>'Severe' OAG</i>	5	8.2 (4.6)	34.4 (13.1)

Table 8: Mean IOP reduction and Percentage IOP reduction at 36 months in eyes achieving drop-free "disease-control" after single initial SLT

Variable	Odds Ratio	95% confidence Interval	P-value
Baseline IOP (mmHg)	1.01	(0.95, 1.09)	0.69
Race/ Ethnicity			0.74
<i>Black</i>	1.55	(0.57, 4.20)	
<i>Asian</i>	0.74	(0.16, 3.41)	
<i>Other</i>	1.78	(0.23, 13.64)	
<i>*reference White European</i>			
Sex			0.17
Female	0.57	(0.26, 1.28)	
Age (years)	0.97	(0.94, 1.00)	0.09*
CCT (microns)	1.00	(0.99, 1.01)	0.62
PXF Status			0.17
<i>Nil PXF</i>	18.9	(0.28, 1294.66)	
Average TM Pigmentation Grade			0.98
1- Mild	1.1	(0.47, 2.57)	
2-Moderate	1.1	(0.34, 3.26)	
3-Dense	1 ^a		
<i>*reference No Pigmentation</i>			
Phakic Status			0.44
<i>Phakic</i>	0.52	(0.10, 2.67)	
Hypertension(Y/N)			0.27
<i>No</i>	0.63	(0.28, 1.43)	
Diabetes Mellitus (Y/N)			0.91
<i>No</i>	1.07	(0.30, 3.80)	
Total Power 1 st SLT (mJ)	1.01	(1.00, 1.03)	0.08*
Total Number of shots 1 st SLT (shots)	1.02	(0.96, 1.10)	0.41
2 month IOP post treatment (mmHg)	0.71	(0.61, 0.82)	<0.001*

Table 9: Univariable Selection Logistic Regression Analysis

*Covariates that achieved $p < 0.10$ in the initial variable selection logistic regression analyses were: total power of 1st SLT ($p = 0.08$) and age ($p = 0.09$)

^amodel unable to converge due to insufficient data

Variable	Odds Ratio	95% confidence Interval	P-value
Total Power 1 st SLT (mJ)	1.02	(1.01, 1.04)	0.01
*2 month IOP post treatment (mmHg)	0.66	(0.57, 0.79)	<0.001

Table 10: Multivariable Logistic Regression Analysis Result of Baseline Factors
** 2 month IOP is a post treatment predictor*

Adverse Events during SLT	Total Number of Events (n=20)	Total Number of Patients reporting (N=19) (5.4%)
Discomfort (Ocular and/or Headache)	6	6 (1.7%)
IOP spike (>5mmHg)	6	6 (1.7%)
Other (specify):		
Fewer shots	3	3 (0.9%)
Visualization of angle	5	4 (1.1%)
Adverse Events post SLT	Total Number of Events Total (n=172)	Total Number of Patients reporting (N=122) (34.4%)
Discomfort (Ocular and/or Headache)	92	82 (23.1%)
Blurred/ altered vision	23	21 (5.9%)
Change in Refraction	5	4 (1.1%)
Inflammation post SLT	1	1 (0.3%)
Other (specify):	51	47 (13.2%)
Photophobia	21	20 (5.6%)
Hyperaemia	3	3 (0.8%)

Table 11: Summary of Laser related Adverse Events

Post-hoc analysis of clinical outcomes, predictors of success and safety of primary SLT used in treatment-naïve primary open-angle glaucoma (POAG) and ocular hypertension (OHT) patients

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