

Patient reported outcomes in Friedreich's Ataxia after withdrawal from Idebenone

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Short title: Idebenone withdrawal in Friedreich's Ataxia

Objectives

Friedreich's ataxia is the most common inherited ataxia, and pathogenesis is known to involve mitochondrial oxidative stress. Idebenone is a potent antioxidant which has already been evaluated in several clinical trials in FRDA, with reports of symptomatic benefit but inconclusive objective results. Following patient consultation on design, we have completed a treatment withdrawal study to establish whether patients could correctly determine their treatment allocation to placebo or idebenone. Our aim was to capture subjective experiences of symptoms such as, for example, fatigue, which can be difficult to measure with questionnaires or semi-quantitative scales, particularly in chronic, slowly progressive conditions.

Materials and Methods

Patients taking idebenone for at least 12 months as part of the open-label MICONOS Extension Study were randomised to receive either placebo or idebenone continuation for two-month treatment cycles. The primary endpoint was patient assessment of treatment assignment.

Results

A total of 29 patients were randomised, forming the idebenone group (n=16) and the placebo group (n=13). No significant differences were detected between the idebenone and placebo groups on assessment of treatment assignment or early study withdrawal. A small but significant difference in ataxia rating scale scores was detected between treatment groups when considering ambulatory patients only.

Conclusions

This study provides no data to suggest that FRDA patients could correctly determine their treatment assignment over a 2-month period. We hope that this study design will help inform future trials so that patients' experiences of symptoms are more reliably measured.

Keywords: Friedreich's ataxia, idebenone, patient reported outcomes

Introduction

Friedreich's ataxia (FRDA) is the most common inherited ataxia, with an estimated prevalence of 3-4 cases per 100 000 individuals¹. Neurological features include slowly progressive gait and limb ataxia, dysarthria, areflexia, reduced vibration and joint position sense, extensor plantar responses, and distal extremity weakness. Symptoms typically manifest before adulthood, and most patients are wheelchair-bound by the third decade. Non-neurological features include cardiomyopathy, diabetes mellitus, scoliosis and pes cavus. Cardiac complications, including concentric and asymmetrical left ventricular hypertrophy, develop in at least 60% of patients and contribute significantly to disability and premature death². The pathogenic mutation in FRDA affects the *FXN* gene mapped to chromosome 9q21.11, with approximately 97% of patients expressing homozygous GAA repeat expansions within the first intron, and a small number of patients expressing compound heterozygous expansions with point mutations or deletions³. The FRDA mutation reduces expression of frataxin, a mitochondrial protein integral to iron metabolism⁴. The pathological consequences of frataxin deficiency include reduced activity of iron-sulphur cluster (ISC) containing enzymes, mitochondrial iron overload, and increased susceptibility to oxidative stress and lipid peroxidation⁵⁻⁷. These changes precipitate progressive neuronal atrophy, primarily affecting the dorsal root ganglia, posterior columns, spinocerebellar tracts and dentate nuclei⁸.

Idebenone (2,3-dimethoxy-5-methyl-6-(hydroxydecyl)-1,4-benzoquinone) is a short-chain synthetic benzoquinone distinct to but derived from ubiquinone (co-enzyme Q10). It has been shown to support ATP production by acting as an electron carrier between ISC-containing respiratory chain complexes I and II, and complex III⁹. Furthermore, the molecule is a potent antioxidant that retards lipid peroxidation, thereby protecting mitochondria against oxidative stress⁹. These supportive and protective functions have made idebenone an attractive therapeutic prospect for respiratory chain diseases such as FRDA. Early low-dose (5mg/kg/day) open-label trials of idebenone in adult and paediatric FRDA patients demonstrated improvements in various cardiac measures, including inter-ventricular septal thickness and left-ventricular mass¹⁰⁻¹². Neurological outcomes have primarily been measured using the International Cooperative Ataxia Rating Scale (ICARS), and whilst some studies have demonstrated a stabilisation of neurological decline in paediatric FRDA patients taking idebenone, other studies have failed to reproduce any such effect¹³⁻¹⁶. Subsequent

larger randomised, double-blind, placebo-controlled trials using high-dose idebenone have revealed variable results. The first of these trials involved 48 patients aged 9-17 years over a 6-month period, and assessed a urinary marker of oxidative DNA damage (8OH²'dG) in addition to clinical and activities of daily living scales in four treatment groups (placebo; low-dose: 180mg/360mg; medium-dose: 450mg/900mg; high-dose: 1350mg/2250mg) stratified by weight ($\leq 45\text{kg}/>45\text{kg}$)¹⁷. No significant effect on 8OH²'dG was observed between groups, however a non-significant improvement in clinical scale scores was seen in the two higher dose groups. A pre-specified analysis excluding patients requiring wheelchair assistance revealed a significant improvement in ICARS score and demonstrated a dose-dependent response to ICARS, Friedreich's Ataxia Rating Scale (FARS) and Activities of Daily Living (ADL) scores in the two higher dose groups.

In the following IONIA phase III trial, 70 paediatric patients were again randomised to either placebo or weight-dependent idebenone doses of either 450mg/900mg or 1350mg/2250mg for 6 months¹⁸. No significant group differences were observed on ICARS, FARS or Friedreich's Ataxia Composite Tests. In addition, left ventricular mass index, left ventricular posterior wall thickness at diastole and ejection fraction were not improved by idebenone. Following a 12-month open-label extension study, an overall analysis demonstrated significant improvement on ICARS in the high-dose (1350mg/2250mg) idebenone group, which was best seen when the stance and posture sub-scores were excluded from the analysis. The phase III MICONOS trial included 232 primarily adult patients assigned to placebo, low-, medium-, or high-dose idebenone over a 12-month period. No significant differences were detected between placebo and treatment groups on either ICARS, FARS or echocardiographic parameters (Schulz et al., unpublished). Despite the failure to attain these objective study endpoints, a significant portion of patients on the MICONOS trial reported symptomatic improvements with idebenone, in particular with fatigue, speech and general functional performance. The present study was therefore designed to address the discrepancy between the patient's experiences on idebenone and the measured effects using clinical scales in the IONIA and MICONOS trials. Patient reported outcomes are increasingly recognised as integral measures of patient's perspectives in clinical research, and they have been effectively used in studies of FRDA patients previously^{19,20,21}. We therefore employed these measures in an attempt to capture patients' experiences of symptoms on and off treatment with idebenone. Furthermore, patients who had already been on idebenone on the MICONOS trial were invited to contribute to discus-

sions on study design. Ultimately, we developed a treatment-withdrawal study that primarily asked patients to identify whether they had been randomised to placebo or idebenone continuation.

Materials and Methods

Study design and patients

This was a double-blind, randomised, placebo-controlled, parallel-group, multi-centre withdrawal study involving patients who had already received continuous high-dose idebenone (1350mg/day if ≤ 45 kg or 2250mg/day if > 45 kg) for at least 12 months in the open-label MICONOS Extension Study (MES). The estimated sample size of up to 80 patients for this study was based on the number of eligible patients in the MES. Seven European centres provided data for this trial (one in the UK, one in the Netherlands, one in Austria, and four in Germany), from April 2011 to July 2012. Approximately half of the sample was recruited from the UK.

All patients on the MICONOS trial at the UK site were invited to discuss the study protocol in ad hoc interviews with researchers and Sponsor study coordinators. Whilst the basic treatment withdrawal design had been finalised by this point, patients were asked to offer their suggestions on specific components of the study, for example treatment cycle duration, study endpoints, and methods of assessment. The outcomes of these interviews were reviewed and, where possible, incorporated into the final study design. Patients meeting inclusion criteria (genetically confirmed diagnosis of FRDA, completion of ≥ 12 months on the MES, body weight ≥ 25 kg) were randomised in a 1:1 ratio to either continue receiving high-dose idebenone or to receive placebo, for a cycle length of 2 months. Whilst recruitment was offered to all patients on the MICONOS trial, including both homozygous and heterozygous patients, this study only included homozygous patients. Randomisation codes were prepared by an independent statistician, and randomisation was stratified by centre and ambulatory status. Following a 2 month treatment period patients then returned to the MES. In all, patients were offered the opportunity to enter the study on two separate cycles, each being separated by at least a 6-month period of treatment with high-dose idebenone on the MES. Exclusion criteria included significant adverse events considered to be attributable to idebenone whilst on MES, clinically significant abnormalities of haematology or biochemistry, and pregnancy or breast-feeding.

Each 2 month cycle comprised two visits: baseline and follow-up. At each study visit patients underwent physical examination, standardised electrocardiogram (ECG), vital signs assessment, blood sampling (haematology, biochemistry), urine analysis, urine pregnancy test for women of childbearing age, and completion of specific assessments including a yes/no question on treatment assignment (primary endpoint); other patient reported outcomes including status and change questionnaires; Modified Fatigue Impact Scale (MFIS), 9-Hole Peg Test (9-HPT), speech assessments (Assessment of Intelligibility of Dysarthric Speech (AIDS), Maximum Phonation Time and Diadochokinetic Rate), Clinical Global Impression of Change (CGI-C; follow-up visit only), and ICARS.

The study was conducted in accordance with Good Clinical Practice, the Declaration of Helsinki, Directive 2001/20/EC, Guideline for Good Clinical Practice CPMP/ICH/135/95. Independent ethics committees and institutional review boards at each study site reviewed the protocol and all amendments. This trial is registered with [ClinicalTrials.gov](https://clinicaltrials.gov), number NCT01303406.

Study endpoints

The primary endpoint was patient assessment of treatment assignment, which was to be measured as a comparison of the proportion of patients randomised to idebenone and placebo who assessed that they received idebenone. This was ascertained with a simple yes/no question at the follow-up visit. The key secondary endpoint was a comparison of the proportion of patients randomised to idebenone and placebo who withdrew early due to recurrence or worsening of FRDA symptoms. Other secondary endpoints included patient reported outcomes (status questionnaire, change questionnaire, and patient diary entries), change in fatigue level as assessed by the MFIS, change in 9-HPT time, change in speech capability, change in CGI-C, investigator impression of treatment assignment, and change in ICARS score²²⁻²⁷. The Status Questionnaire assessed general status and included questions specific to symptoms and activities of daily living. Patients were asked to comment on any perceived change to each component of the Status Questionnaire at the follow-up visit, with available responses of much better/slightly better/as expected (no worsening); and slightly worse/much worse (worsening) (Change Questionnaire). The CGI-C comprised a numerical scale used by the investigator to quantify any impression of

change in clinical state between visits (1 to 7, with 1 indicating marked improvement, 7 indicating marked deterioration, and 4 indicating no change). Investigators were asked for their impression as to which treatment the patient had been randomised.

Statistical analysis

Two populations were defined for analysis: the safety population and the intend-to-treat (ITT) population. Both populations included all randomised patients who received at least one dose of study medication. Data were analysed using a parallel design with data from the first cycle only (n=29; idebenone 16, placebo 13); the second cycle included too small a sample to warrant inclusion in the final analysis (n=7; idebenone 2, placebo 5). The primary and key secondary endpoints were analysed using logistic regression. Secondary endpoints MFIS, 9-HPT, speech assessments and ICARS were analysed using an analysis of covariance (ANCOVA) model with the baseline value as a covariate. In a separate analysis, ambulatory status and baseline were covariates. Other secondary endpoints (CGI-C, Investigator's assessment of treatment assignment, change questionnaire, status questionnaire) were analysed using logistic regression.

Results

Baseline characteristics

A total of 29 patients were screened and randomised in the study, forming the idebenone group (n=16) and the placebo group (n=13) (Figure 1). Table 1 shows baseline demographic and clinical characteristics. Treatment compliance, as measured based on returned tablet counts and patient diary entries, was good and did not differ between groups.

Efficacy evaluation

For the primary endpoint using data from the first treatment cycle only, there was no statistically significant difference between idebenone and placebo in the proportion of patients who correctly assessed that they received idebenone: 8 patients (50.0%) in the idebenone group correctly assessed that they received idebenone and 6 patients (46.2%) in the placebo group incorrectly assessed that they received idebenone (odds ratio 1.17, 95.2% CI: 0.27, 5.12; p=0.8369). Analyses of the primary endpoint for patients who completed both

cycles and treating observations from the same patient as independent also demonstrated no statistically significant difference between treatments (odds ratio 1.65, 95.2% CI: 0.43, 6.39; $p=0.4645$). Ambulatory patients appeared to be more likely to correctly assess that they had received idebenone treatment than non-ambulatory patients but logistic regression analysis including ambulatory status in the model revealed no significant effect of ambulatory status (Table 2).

For the primary analysis set, for the comparison of ambulatory versus non-ambulatory patients the odds ratio was 1.54 (95.2% CI: 0.35, 6.76; $p=0.5675$) and for the comparison of idebenone versus placebo the odds ratio was 1.19 (95.2% CI: 0.27, 5.27; $p=0.6166$). No patients were withdrawn prematurely due to recurrence or worsening of FRDA symptoms (key secondary endpoint). One patient, while randomised to idebenone, reported symptoms of low energy levels and so prematurely withdrew from the study, two weeks before the end of the first treatment cycle. No significant differences were observed between treatments for other efficacy endpoints including Investigator's assessment of treatment assignment, MFIS, 9-HPT, and CGI-C. The AIDS speech capability test revealed a statistically significant difference between the treatment groups in favour of idebenone ($p=0.0446$). For ICARS, the mean difference (-2.2 points) between idebenone and placebo for the analysis using observed cases was not statistically significant, but for ambulatory patients the mean difference between treatments (-6.4 points) was statistically significant ($p=0.0121$) in favour of idebenone (Table 3). For the Change and Status questionnaires, the majority of patients reported no worsening in all categories, irrespective of their treatment.

Safety

No patients were discontinued from study treatment prematurely due to adverse events. Adverse events considered to be drug-related were reported by 7 patients treated with idebenone and 6 patients treated with placebo. Fatigue (4 patients treated with idebenone and 1 patient treated with placebo) and falls (4 patients treated with idebenone and 2 patients treated with placebo) were the adverse events most commonly considered by the Investigator to be treatment-related.

Discussion

This randomised, double-blind, placebo-controlled, withdrawal study was set up to investigate apparently contradictory outcomes of clinical trials of idebenone in FRDA, with reports of the benefit of idebenone use on a number of parameters made by patients and treating physicians. The study included patient reported outcome measures, and patients were encouraged to draw on their previous experience of idebenone use on MICONOS to make suggestions on the study design. This is the first time that such an approach has been used in a clinical drug trial in the FRDA population.

The projected sample size for this study was 80 patients, however data from only 29 patients were available. As such, this sample size limits the power of the analyses and the conclusions that can be drawn. For the primary endpoint, no significant differences were observed on assessment of treatment between groups. In agreement with previous trials, there was some evidence that ambulatory patients were better at assessing their treatment allocation (9 of 15 ambulatory patients [60%] made a correct assessment), although no significant differences were seen between treatments^{17,18}. These ambulatory patients had a shorter disease duration and may have a larger capacity for improvement¹⁵. It could be argued that this improvement is more noticeable since it is likely to have a greater impact on quality of life and general level of function when compared to non-ambulatory patients.

No significant differences were observed between treatment groups for most secondary endpoints including patient withdrawal, Investigator's assessment of treatment assignment, MFIS, 9-HPT, CGI-C and most speech assessments. The AIDS speech capability test, which was only performed in the UK Ataxia Centre as a result of the discussions with patients on study design and assessments, showed a statistically significant difference between treatments in favour of idebenone. This assessment involves articulating a series of randomly selected standardised sentences, which are then rated for intelligibility by specialists. There is limited evidence for this specific assessment in FRDA²⁵, however broader measures of speech intelligibility have been validated²⁸. As such, we would argue that these findings warrant further investigation in larger cohorts as part of more comprehensive speech assessments. For ICARS, although the observed cases analysis showed no significant difference between idebenone and placebo for all patients, the analysis of ambulatory patients showed a statistically significant difference in favour of idebenone, although the number of patients in this subgroup was small. Of note, these group differences were not reflected in the patients' assessments of treatment received. One reason for this discrepancy could be that the duration of this study was too short for patients to appreciate

small changes. Also, patients with longer disease durations might find it difficult to appreciate these minor improvements. It is worth noting that two-month treatment cycles might be considered too short a period against which to measure any change in clinical state, particularly in slowly progressive diseases like FRDA^{29,30}. However, our primary intention was not to detect changes in clinical scale scores but to base our measurements on subjective reports of symptoms including fatigue and speech. As such, we felt that we could justify these shorter treatment cycles, which were in-keeping with pre-study discussions with patients. Of note, patient assessments of treatment allocation and CGI-C were completed prior to the ICARS at study visits, and as such one might argue for a potential assessor bias whereby these allocation discussions influenced raters scores. Study treatment was well-tolerated and no clinically important safety issues were identified during the study.

In conclusion, although this study failed to detect any significant differences between groups on ~~the~~ primary and key secondary endpoints, a number of relevant observations can be highlighted that should ~~serve to~~ inform subsequent drug trials in FRDA patients. Of particular interest are the differences in self-assessment between ambulant and non-ambulant patients, and the potential clinical benefits of idebenone in ambulatory patients, as measured by the ICARS. As such, future trials should consider ambulatory status as a group stratification variable. In addition, subsequent trials should include comprehensive speech assessments since idebenone use was associated with a significant improvement in intelligibility in a small subgroup of patients. We believe that semi-quantitative scales, including those measuring fatigue, should be validated for FRDA patients, so that they can be reliably used in future trials. Furthermore, the viability of subjective reports as endpoints is important to consider, particularly in the context of short-term assessment periods and small changes in clinical symptoms and signs. Several approaches to disease modification in FRDA have now been evaluated in clinical trials (Table 4, reference 31), with clinical scales often forming the basis of neurological endpoints. We feel that this study demonstrates an innovative attempt at capturing data on symptomatic benefits, by incorporating patient suggestion into study design, and utilising patient-reported outcomes amongst some of the key study endpoints. We hope this design will help inform future trials so that patient's experiences of symptoms can be reliably measured and analysed in the context of novel treatments.

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Conflict of Interest and Sources of Funding Statement

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Data Sharing

The data that support the findings of this study are available on request from the corresponding author. The data are not publicly available due to privacy or ethical restrictions.

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