Cerebellar, limbic, and midbrain volume alterations in sudden unexpected death in epilepsy

Luke A. Allen\textsuperscript{1,2,3} | Sjoerd B. Vos\textsuperscript{2,3,4,5} | Rajesh Kumar\textsuperscript{3,6,7,8,9} | Jennifer A. Ogren\textsuperscript{3,10} | Rebecca K. Harper\textsuperscript{10} | Gavin P. Winston\textsuperscript{1,2} | Simona Balestrini\textsuperscript{1,2} | Britta Wandschneider\textsuperscript{1,2} | Catherine A. Scott\textsuperscript{1,3} | Sebsatien Ourselin\textsuperscript{11} | John S. Duncan\textsuperscript{1,2} | Samden D. Lhatoo\textsuperscript{3,12,13} | Ronald M. Harper\textsuperscript{3,6,10} | Beate Diehl\textsuperscript{1,2,3}

\textsuperscript{1}Department of Clinical and Experimental Epilepsy, University College London Institute of Neurology, London, UK
\textsuperscript{2}Magnetic Resonance Imaging Unit, Epilepsy Society, London, UK
\textsuperscript{3}Center for Sudden Unexpected Death in Epilepsy Research, National Institute of Neurological Disorders and Stroke, Bethesda, Maryland
\textsuperscript{4}Wellcome/Engineering and Physical Sciences Research Council Centre for Interventional and Surgical Sciences, University College London, London, UK
\textsuperscript{5}Translational Imaging Group, Centre for Medical Image Computing, University College London, London, UK
\textsuperscript{6}Brain Research Institute, University of California, Los Angeles, Los Angeles, California
\textsuperscript{7}Department of Anesthesiology, David Geffen School of Medicine at University of California, Los Angeles, Los Angeles, California
\textsuperscript{8}Department of Radiological Sciences, David Geffen School of Medicine at University of California, Los Angeles, Los Angeles, California
\textsuperscript{9}Department of Bioengineering, University of California, Los Angeles, Los Angeles, California
\textsuperscript{10}Department of Neurobiology, David Geffen School of Medicine at University of California, Los Angeles, Los Angeles, California
\textsuperscript{11}School of Biomedical Engineering and Imaging Sciences, St Thomas’ Hospital, King’s College London, London, UK
\textsuperscript{12}Epilepsy Center, Neurological Institute, University Hospitals Case Medical Center, Cleveland, Ohio
\textsuperscript{13}Department of Neurology, University of Texas Health Sciences Center at Houston, United States

Correspondence
Email: Luke.allen.15@ucl.ac.uk

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Summary

Objective: The processes underlying sudden unexpected death in epilepsy (SUDEP) remain elusive, but centrally mediated cardiovascular or respiratory collapse is suspected. Volume changes in brain areas mediating recovery from extreme cardiorespiratory challenges may indicate failure mechanisms and allow prospective identification of SUDEP risk.

Methods: We retrospectively imaged SUDEP cases (n = 25), patients comparable for age, sex, epilepsy syndrome, localization, and disease duration who were high-risk (n = 25) or low-risk (n = 23), and age- and sex-matched healthy controls (n = 25) with identical high-resolution T1-weighted scans. Regional gray matter volume, determined by voxel-based morphometry, and segmentation-derived structure sizes were compared across groups, controlling for total intracranial volume, age, and sex.
**INTRODUCTION**

The processes underlying sudden unexpected death in epilepsy (SUDEP) remain elusive. Circumstances surrounding the fatal event suggest a centrally mediated cardiovascular or respiratory collapse following a generalized tonic-clonic seizure (GTCS). Because SUDEP is the leading cause of premature death among people with epilepsy, efforts to noninvasively characterize potential underlying neural mechanisms mediating SUDEP and highlight imaging biomarkers are essential.

Brain structural imaging studies of SUDEP have revealed volume changes among key structures involved in autonomic and respiratory regulation in people who succumbed to SUDEP and those at high risk. Individuals with frequent GTCSs, who are at highest risk of SUDEP, exhibit cortical thickness changes in key areas that are autonomic, somatosensory, and breathing coordination sites. Less attention has been directed to structures essential for recovery from compromised breathing or cardiovascular circumstances.

Regional brain volume assessments in patients who succumb may allow determination of processes that contribute to the fatal event or fail to provide adequate compensatory recovery. Those assessments may prospectively and noninvasively identify biomarkers for individuals at risk and were the objectives of this study.

**MATERIALS AND METHODS**

**Subjects**

Twenty-five individuals who suffered definite (n = 12) or probable (n = 13) SUDEP were retrospectively identified after updating an earlier initial search. Living high-risk (n = 25) and low-risk (n = 23) patients and healthy controls (n = 25) were identified similarly (Table 1 for group characteristics). Patients at high risk of SUDEP were those experiencing more than three GTCSs per year, the most significant factor associated with SUDEP, and distinguished >80% of SUDEP cases (21/25) in our data. Low-risk patients were those who did not experience GTCSs. All clinical information used for risk stratification was obtained from multidisciplinary team meeting reports and clinic letters closest to data collection and confirmed with the most-recent follow-up. Survival of all non-SUDEP subjects

**Results:** Substantial bilateral gray matter loss appeared in SUDEP cases in the medial and lateral cerebellum. This was less prominent in high-risk subjects and absent in low-risk subjects. The periaqueductal gray, left posterior and medial thalamus, left hippocampus, and bilateral posterior cingulate also showed volume loss in SUDEP. High-risk subjects showed left thalamic volume reductions to a lesser extent. Bilateral amygdala, entorhinal, and parahippocampal volumes increased in SUDEP and high-risk patients, with the subcallosal cortex enlarged in SUDEP only. Disease duration correlated negatively with parahippocampal volume. Volumes of the bilateral anterior insula and midbrain in SUDEP cases were larger the closer to SUDEP from magnetic resonance imaging.

**Significance:** SUDEP victims show significant tissue loss in areas essential for cardiorespiratory recovery and enhanced volumes in areas that trigger hypotension or impede respiratory patterning. Those changes may shed light on SUDEP pathogenesis and prospectively detect patterns identifying those at risk.

**Key Points**

- Brain volumes in cortical, subcortical, and cerebellar sites that influence blood pressure and breathing patterns are altered in SUDEP
- Volumes increased in the amygdala, entorhinal cortex, parahippocampal gyrus, and subcallosal cortex, which induce apnea or hypotension
- Volumes decreased in the cerebellum, periaqueductal gray, posterior cingulate, and posterior thalamus, sites involved in recovery from cardiorespiratory dysfunction
- Periaqueductal gray and posterior cingulate volume loss, and increased subcallosal volume, were exclusive to SUDEP
- Areas inducing apnea and hypotension may be hyperactive, and recovery sites may be impaired due to tissue loss; structural trends may aid risk assessment
was confirmed through examination of clinical records. All scans were obtained within a defined period, ensuring identical imaging protocols: (1) all subjects underwent the same high-resolution three-dimensional (3D) T1-weighted scan; and (2) individuals with insufficient clinical data, large brain lesions, and/or previous neurosurgery were excluded. High- and low-risk patients, comparable for age, sex, epilepsy syndrome, seizure focus localization, and duration, were identified to account for potential volume changes accompanying these factors. Each SUDEP case was also matched with a comparable healthy control for age and sex. Table 1 shows group characteristics. Table S1 outlines individual clinical characteristics of SUDEP, high-risk, and low-risk patients. The study was approved by the UK National Research Ethics Committee under an ongoing database research project on autonomic and imaging biomarkers of SUDEP (04/Q0512/77 and 14/SW/0021) and a local audit on mortality in epilepsy.

### 2.2 Magnetic resonance imaging acquisition

Scanning was performed at the Epilepsy Society (Chalfont St Peter, Buckinghamshire, UK) on a 3.0-Tesla Signa HDx (GE Medical Systems) scanner, using standard imaging gradients (maximum strength = 40 mT/m, slew rate = 150 T/m/s). All subjects underwent the same fast spoiled gradient-echo 3D T1 scan (repetition time = 8.3 milliseconds, echo time = 3.1 milliseconds, slices = 170, slice thickness = 1.1 mm, matrix size = 256 x 256, field-of-view = 240 x 240 mm).

### 2.3 Voxel-based morphometry

Voxel-based morphometry (VBM) was implemented with the computational anatomy toolbox (CAT12), using SPM12 (Statistical Parametric Mapping; http://www.fil.ion.ucl.ac.uk/spm) and MATLAB 2017b (MathWorks), to explore gray matter (GM) volume differences across the whole brain between groups. Images were denoised using the spatial-adaptive nonlocal means filter and normalized to MNI152 template space, before being segmented into GM, white matter (WM), and cerebrospinal fluid (CSF) classes. Modulated images were then smoothed with an 8-mm full-width-at-half-maximum Gaussian kernel and entered into a full-factorial model, with group as factor, and age and sex as covariates. An absolute threshold mask of probability = 0.2 was applied to account for
edge effects between different tissue types. Total intracranial volume (TIV; the sum of WM, GM, and CSF) was used to proportionally scale the data to account for differences in whole brain size across subjects, and results were familywise error rate corrected at \( P < 0.05 \), unless otherwise stated.

### 2.4 | Regional structure parcellation using geodesic information flows

#### 2.4.1 | Parcellation method

For validation and determination of difference magnitudes, structures showing significantly increased or reduced volume using VBM were segmented in each subject using a whole-brain parcellation scheme based on geodesic information flows. Regional brain volumes were obtained by extracting areas of interest from the parcellation and multiplying the number of voxels in each area by the voxel volume.

#### 2.4.2 | Statistical analysis

Permutation \( t \) tests were employed to assess structural differences between groups; for each contrast, members from each group were randomly permuted (10,000 times) to obtain an empirical null distribution. \( P \) values were corrected for multiple comparisons using the false discovery rate (FDR). Data were initially scaled by total intracranial volume, and adjusted for age and sex using linear regression. All processes were carried out in MATLAB 2017b.

#### 2.4.3 | Correlations: brain volume and clinical variables

Partial correlations (IBM SPSS 25) examined whether structural sizes from whole-brain parcellation depended on disease duration or GTCS frequency, controlling for age, sex, and TIV. Correlations with GTCS frequency were considered in two ways. We first examined correlations with SUDEP and high-risk subjects as one group. We then correlated volume with GTCS frequency in SUDEP versus healthy controls (VBM). Additionally, SUDEP but not high-risk subjects showed volume loss in these areas when compared with low-risk subjects (Figure S1A). VBM also revealed periaqueductal gray (PAG) and bilateral posterior cingulate GM volume loss in SUDEP versus healthy controls (Figure 1B,C). Regional segmentation analysis showed the left thalamic parcel was smaller in SUDEP versus healthy controls (Figures 1C and 2A).

Further partial correlations assessed relationships between structural size and the time between magnetic resonance imaging (MRI) and SUDEP, controlling for disease duration, seizure frequency, age, sex, and TIV. \( P \) values were FDR-corrected.

### 2.5 | Contributions to tissue loss from medications

Several antiepileptic drugs (AEDs) induce structural changes via toxic processes. Medications in all patients were documented and summarized by group in Table S1. We compared the number of AEDs used at scan time, and the total number of AEDs tried across groups with nonparametric tests (IBM SPSS 25). Additionally, we repeated all volumetry analyses having removed individuals with known phenytoin exposure (n = 5 SUDEP, n = 8 high risk, n = 3 low risk) due to known cerebellar atrophy related to its use.9

### 3 | RESULTS

#### 3.1 | Cerebellar volume loss

Compared with healthy controls (Figure 1A) and low-risk subjects (Figure S1A), SUDEP showed major bilateral volume loss of the cerebellum, across medial, lateral, and vermal portions (VBM). High-risk subjects also showed reduced GM volume compared with healthy controls, but in the vermis only (Figure S2A). Subanalyses, removing those with a history of phenytoin use, revealed similar but less extensive patterns of cerebellar volume loss (particularly in lateral portions) in SUDEP and high-risk subjects versus healthy controls (Figure S3A,B). No other results changed significantly as a consequence of removing these subjects. Regional segmentation comparisons revealed reduced volumes of the bilateral exterior cerebellar GM in SUDEP versus healthy controls (Figures 1 and 2B,C). High- and low-risk groups did not show reduced volume of segmented cerebellar structures.

#### 3.2 | Thalamic and limbic alterations

The left posterior and medial thalamus and posterior hippocampus exhibited reduced GM volume in SUDEP (Figure 1B,C) and high-risk subjects (Figure S2A) versus healthy controls (VBM). Additionally, SUDEP but not high-risk subjects showed volume loss in these areas when compared with low-risk subjects (Figure S1A). VBM also revealed periaqueductal gray (PAG) and bilateral posterior cingulate GM volume loss in SUDEP versus healthy controls (Figure 1B,C). Regional segmentation analysis showed the left thalamic parcel was smaller in SUDEP versus healthy controls (Figures 1C and 2A).

The bilateral amygdala, entorhinal cortex, parahippocampal gyrus, and subcallosal cortex showed increased GM volume in SUDEP versus healthy controls (regional segmentation and VBM; Figures 2E-L and 3, respectively). Regional segmentation analysis also revealed that all of these structures were larger in SUDEP versus low-risk patients (Figure 2E-L). High-risk patients showed increased right amygdala GM volume versus healthy controls, using VBM (Figure S2C), and regional segmentation analysis showed increased bilateral amygdala and parahippocampal gyrus volume (vs healthy controls and low-risk patients; Figure 2E-H) and entorhinal cortex volume (vs healthy controls only; Figure 2I-J). Compared with low-risk patients, both high-risk and SUDEP groups manifested
increased right amygdala GM using VBM ($P < 0.001$ uncorrected; Figure S1B,C).

### 3.3 Correlational analyses

Significant partial correlations appeared between disease duration and left ($r = -0.325, P = 0.008$) and right ($r = -0.318, P = 0.009$) parahippocampal gyrus size. Significant partial correlations also appeared between time to SUDEP from MRI in the left ($r = -0.4, P = 0.03$) and right ($r = -0.55, P = 0.006$) anterior insula (Figure 4A,B) and the left ($r = -0.38, P = 0.04$) and right ($r = -0.47, P = 0.013$) midbrain (Figure 4C,D).

GTCS frequency correlated positively with the right posterior cingulate ($r = 0.37, P = 0.005$) and bilateral anterior cingulate (left: $r = 0.28, P = 0.029$; right: $r = 0.26, P = 0.039$) volume, when SUDEP and high-risk subjects were analyzed in the same group ($n = 50$). When considered separately, high-risk patients ($n = 25$) showed positive correlations with the bilateral anterior cingulate (left: $r = 0.4, P = 0.036$; right: $r = 0.55, P = 0.005$), but insignificant correlations with the right posterior cingulate ($r = 0.35, P = 0.057$). When analyzed alone, SUDEP cases ($n = 25$) showed positive correlations with the right hippocampus ($r = 0.54, P = 0.006$), but right posterior cingulate correlations were not significant ($r = 0.35, P = 0.063$). Negative correlations emerged with the left mid cingulate ($r = -0.39, P = 0.042$) and left anterior insula ($r = -0.45, P = 0.02$).

### 3.4 Antiepileptic medication

The number of AEDs used at scan time did not significantly differ across patient groups. High-risk patients had been tried on a significantly greater number of AEDs throughout epilepsy duration versus SUDEP ($t = 3.97, P < 0.001$) and low-risk patients ($t = 6.1, P < 0.001$).
The most prominent outcome was a substantial loss of cerebellar volume in subjects who succumbed to SUDEP and, to a lesser extent, those at high risk, a finding of consequence to the syndrome, because the cerebellum is essential to recover from compromised cardiovascular and breathing circumstances. Other sites which maintain blood pressure integrity and project to the cerebellum—the PAG and bilateral posterior cingulate—also showed tissue loss; critically, these

4 | DISCUSSION

4.1 | Summary

The most prominent outcome was a substantial loss of cerebellar volume in subjects who succumbed to SUDEP and,
findings were evident only in SUDEP. In addition, volume increases appeared in more rostral sites, which trigger apnea (amygdala) and hypotension (subcallosal cortex), most prominently in SUDEP. Many of the alterations appeared in comparison both to healthy controls and to patients with epilepsy at low risk for SUDEP.

4.2 | Cerebellar volume loss

Extensive volume loss within the cerebellum was apparent in SUDEP, and to a lesser extent in high-risk patients, compared with healthy controls, but not in low-risk patients. The cerebellum plays a major role in dampening extreme
blood pressure changes, chemo-sensing, recovery from hypercarbia, and timing of upper airway and diaphragm action in obstructive and central apnea, all relevant issues in the circumstances surrounding SUDEP. Cerebellar volume loss occurs commonly in epilepsy and is associated with poor surgical outcome, chronicity, GTCSs, and AED use. These issues are of major concern, because all, particularly poor surgical outcome and GTCSs, involve cohorts at greatest risk of SUDEP. The substantial cerebellar tissue loss may result from excitotoxic processes following excessive activation of pontine or long climbing fibers of olivary projections. The structural impingement of climbing fibers on Purkinje dendrites is such that excessive activation readily kills Purkinje neurons, a process well known in the neurotoxicity field, but presumably operating similarly with hypoxia or ischemia from prolonged apnea or hypotension during ictal events. Successive ictal episodes accompanying chronic GTCSs would lead to repetitive apneic periods or rapid declines in blood pressure, establishing a scenario for Purkinje cell death. The damaged Purkinje cells cannot effectively control the deep fastigial “autonomic” and “breathing” nuclei, reducing the potential for recovery from hypotension or apnea. Profound hypotension often accompanies ictal events in GTCSs, an inability to recover from such blood pressure losses may lead to SUDEP.

4.3 | Cerebellar volume loss: breathing implications

The cerebellum is instrumental in recovery from compromised breathing circumstances. Cerebellar somatomotor coordination is essential for timely dilation of the upper airway ahead of descent of the diaphragm; such coordination
prevents airway collapse in obstructive apnea. This coordination is sometimes lost in syndromes with cerebellar injury and compromised breathing such as heart failure, as demonstrated by out-of-phase cerebellar functional MRI signals in response to breathing challenges; cerebellar injury accompanies heart failure. Cerebellar participation is needed to restore breathing from sustained central apnea; the deep cerebellar nuclei contain chemosensitive neurons to assist recovery from increased hypercarbia.

4.4 Descending influences from damaged structures

Altered structures in anterior areas have the potential to exaggerate those descending influences on cardiorespiratory areas, or in other areas with tissue loss, reduce influences. Volume loss appeared in the PAG and bilateral posterior cingulate in SUDEP, as well as the left posterior thalamus and hippocampus in both SUDEP and high-risk groups; the latter finding has been described earlier, with the posterior thalamic damage presumably contributing to failure to sense low oxygen and hypercapnia, dysfunctional responses to hypercapnia, are pronounced in the left posterior thalamus, and hippocampus raises the possibility that compromised excitatory or timing signals from descending influences on the cerebellum may further blunt central breathing control.

4.5 Anterior limbic volume increases

The increased amygdala volume is relevant because of its marked influences on breathing; stimulation elicits apnea in human epilepsy and triggering of respiratory phase changes in animal models. Similar amygdala volume increases have been described in SUDEP and in the common epilepsies. Volume increases may result from multiple processes, including enhanced activation from experience and inflammatory processes. Increased bilateral amygdala and hippocampus volume is observed in a subtype of subjects with mesial temporal lobe epilepsy; these subjects also have the poorest surgical outcome and are at greatest risk of SUDEP. Increased amygdala volume may reflect a heightened propensity for processes leading to apnea, which if not corrected by cerebellar recovery sites due to volume loss could result in an increased potential for a fatal outcome.

A potential explanation, and notable limitation of this study, is that the elevated medial temporal volume may result from tissue sagging from brain atrophy, particularly in the posterior fossa, which can cause tissue accumulation in the medial fossa. Future studies evaluating epilepsy cohorts, particularly those involving subjects with chronic epilepsy, should take this possibility into account.

The subcallosal cortex was enlarged only in SUDEP, and represents a significant concern if enhanced volumes indicate increased influences; electrical stimulation of the structure results in profound hypotension. As with amygdala influences, the combination of ineffectual cerebellar dampening of hypotension with enhanced subcallosal-elicited blood pressure declines could be catastrophic.

4.6 Antiepileptic drugs

Cerebellar atrophy in patients with epilepsy following use of particular AEDs, such as phenytoin, is well described. We documented medication history of all patients, including exposure to phenytoin. The number of patients with phenytoin exposure in the SUDEP, high-risk, and low-risk groups was five, eight, and three, respectively, with only two SUDEP and three high-risk patients having long-term use (≥10 years). Subanalyses of the SUDEP and high-risk groups, with removal of those with phenytoin exposure (and controlling for phenytoin use as a binary covariate), showed similar patterns of cerebellar volume loss to those groups before removal, although reduced in extent (particularly in lateral cerebellar areas; Figure 4), suggesting that tissue loss here, specifically in the vermis, a region particularly important in blood pressure control, cannot be solely attributed to phenytoin use. Notably, removing these subjects did not significantly alter other findings of increased or decreased volume. Across groups, the number of AEDs in the treatment regimen of patients at scan time did not significantly differ. However, high-risk patients had, on average, tried a number of AEDs throughout their epilepsy duration that was significantly greater than for SUDEP cases.
and low-risk patients. No significant differences between SUDEP and low-risk patients appeared with total number of AEDs historically trialed. Although high-risk subjects and SUDEP cases had historically tried a greater number of AEDs compared with low-risk subjects, there is currently little evidence to suggest that any individual AED or combination of AEDs is responsible for SUDEP or a significant elevation in risk. Specifically, lamotrigine is not associated with increased SUDEP risk, as was previously thought, and the number of AEDs used is not an independent SUDEP risk factor. Rather, additional AEDs reduce the risk of SUDEP in drug-refractory patients. It should, however, be noted that the precise role of AEDs in SUDEP risk is not entirely clear, and studies exploring this aspect are needed. In summary, the role of seizures or AED use in cerebellar atrophy in epilepsy remains unclear, despite evidence for both. However, either scenario is concerning, given the cerebellum’s vital roles and the major volume loss in SUDEP. Medication-induced injury remains an important consideration for epilepsy imaging studies.

4.7 Correlational analyses with clinical variables

Reduced parahippocampal volume correlated with disease duration across all epilepsy subjects. Progressive atrophy of mesial temporal structures occurs in temporal lobe epilepsy, and reduced volume of subcortical structures, including the parahippocampal gyri, is associated with greater disease duration in the common epilepsies. Our data reinforce an association between reduced subcortical volume and disease duration. It should, however, be noted that, being a cross-sectional design, the current study did not assess progressive changes in structural volumes due to lack of follow-up scans in many subjects. Progressive changes could be assessed with longitudinal imaging studies, which may elucidate the evolution of volumetric alterations and their association with SUDEP risk, a future objective of imaging research into epilepsy and SUDEP.

Bilateral anterior insula and midbrain volumes correlated negatively (P < 0.05 FDR-corrected) with time to SUDEP from MRI, meaning volumes were increased the closer to SUDEP from scan time. This suggests that progressive enlargement of certain structures may accompany processes leading to SUDEP, although this would need to be assessed with longitudinal imaging studies.

4.8 Generalized tonic–clonic seizures

Because both people at high risk and cases of SUDEP experience GTCSs, a key aim of imaging studies is to outline their association with regional volume and consider any changes in relation to group comparisons with people not experiencing GTCSs (healthy controls and people at low risk). We assessed the association between GTCSs and regional volumes by performing correlational analyses. If regional increases or decreases in volume resulted from GTCSs, significant correlations between GTCS frequency and volume changes should occur. However, correlation analyses with GTCS frequency and the size of structures that showed group differences (ie, the reduced cerebellar, verminal, and thalamic volume, or the increased amygdala, entorhinal, parahippocampal, or subcallosal volume) were not strong or significant in SUDEP or high risk. One structure that showed a significant group difference (SUDEP < healthy control), the right posterior cingulate, showed a weak significant positive correlation with GTCS frequency (only when SUDEP and high-risk subjects were considered in the same group). However, because this was a positive correlation, it may not offer insight into the volume loss of this structure observed in SUDEP. Significant positive correlations between GTCS frequency and volume were also observed for the bilateral anterior cingulate, when combining both SUDEP and high-risk subjects in one group. Cortical thickening of the cingulate has recently been demonstrated in GTCS patients. Volume elevations associated with gliosis resulting from hyperexcitability due to repeated seizures may be operating, and may be indicative of limbic network dysfunction linked to GTCSs.

When the high-risk and SUDEP groups were considered separately, correlations between the right posterior cingulate volume and GTCS frequency became nonsignificant in both groups, and the finding of increased anterior cingulate volume with increased GTCS frequency remained the same in high-risk patients but became nonsignificant in SUDEP. In SUDEP cases only, additional significant correlations between volume and GTCS frequency emerged, including a positive correlation with the right hippocampus and negative correlations with the left mid cingulate and left anterior insula. These findings suggest that brain volumetric processes related to elevated GTCS frequency may be manifested differently in high-risk and SUDEP subjects.

Finally, a critical difference between the high-risk and SUDEP groups (which had very similar GTCS frequencies) was volume loss in the PAG and posterior cingulate, and increased volume of the subcallosal cortex, which was evident only in SUDEP. If specific to GTCSs, such volume alterations would be expected in high-risk subjects as well, but this was not the case, suggesting that these alterations may be separate from processes determining GTCS frequency. GTCS will remain a critical issue in all studies investigating SUDEP, especially imaging ones, and efforts to take this into account should be made in any future studies.
Living high- and low-risk patients were classified based on experience and frequency of GTCSs. High-risk subjects were those experiencing more than three GTCSs per year. Although risk stratification is difficult, we based our classification on the leading SUDEP risk factor, which also distinguished >80% of SUDEP cases here. The only variable to distinguish a greater number of SUDEP cases was presence of GTCSs, which is a weaker SUDEP risk factor, and its use to define high-risk would only limit interpretation of results. Although this may be seen as a limitation, it should also be noted that, in doing so, other factors known to influence volume changes, such as disease duration, could be partially accounted for by attempting to match subjects with similar attributes; thus, we were able to shed light not only on imaging changes related to SUDEP but on the greatest risk factor associated with the fatal event.

5 | CONCLUSION

Sudden unexpected death in epilepsy is accompanied by tissue changes within brain structures that trigger cardiovascular and breathing collapse, and cerebellar and brainstem structures that are protective for recovery from such collapse. High-risk subjects showed alterations within similar regions, suggesting such features could be used to prospectively identify patients at risk. Noninvasive volumetric assessments within identified sites may shed light on failing mechanisms and represent further biomarkers of SUDEP.

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DISCLOSURE

None of the authors has any conflict of interest to disclose. We confirm that we have read the Journal’s position on issues involved in ethical publication and affirm that this report is consistent with those guidelines.

ORCID

Gavin P. Winston https://orcid.org/0000-0001-9395-1478
John S. Duncan https://orcid.org/0000-0002-1373-0681
Samden D. Lhatoo https://orcid.org/0000-0001-8626-1137
Luke A. Allen https://orcid.org/0000-0002-4988-1457

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