

Trajectories of Accumulation of Health Deficits in Older Adults: Are There Variations According to Health Domains?

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1 **Abstract**

2 **Objectives:** To analyze sex- and education-specific trajectories of health deficits
3 accumulation (DA) throughout old age, and to examine whether these trajectories differ
4 according to health domains.

5 **Design:** Population-based prospective cohort study

6 **Setting and participants:** In Spain, 3,228 community-dwelling individuals aged 60
7 years or older followed-up for 10 years.

8 **Measures:** At baseline and three follow-up visits, a DA index (0 to 100% deficit) was
9 calculated based on the number and severity of 52 health deficits across the domains of
10 physical and cognitive function, self-rated health and vitality, mental health, and
11 morbidity. Trajectories of overall and domain-specific DA indexes were estimated using
12 mixed models for repeated measurements, allowing for homogeneous linear, piecewise
13 linear and smooth nonlinear DA trends over age.

14 **Results:** Women showed greater DA than men, but differences leveled out with age:
15 annual increments in DA index (95% confidence interval) for participants aged <70, 70-
16 80 and ≥80 years were, respectively, 0.40 (0.30-0.50), 0.68 (0.57-0.80), and 1.30 (1.07-
17 1.54) in men; and 0.63 (0.53-0.73), 0.99 (0.89-1.10) and 1.31 (1.09-1.53) in women.
18 These changes were mainly driven by a progressive accumulation of chronic diseases
19 and by quadratic declines in physical and cognitive function. Both men and women
20 showed improvements in mental health with age. In general, women and participants
21 with lower education decreased physical and cognitive function and accumulated
22 morbidities faster than their counterparts. Only individuals with very fast declines in

23 function and fast accumulation of morbidities showed a significant worsening of self-
24 rated health and vitality over time.

25 **Conclusions:** Public health interventions aimed to healthy ageing should focus on
26 prevention and early-control of chronic diseases, as well on the preservation of function
27 and early rehabilitation, with the latter being more relevant during the latter stages of
28 life. Post-acute and long-term care services should incorporate adequate physical
29 therapy and nursing facilities to promote attainable levels of functionality in older
30 adults.

31 **Key words:** Deficit accumulation, function, healthy ageing, mental health, mixed
32 models, morbidity, repeated measures

33

34 **INTRODUCTION**

35 Ageing is the result of cumulative molecular and cellular damage over time, which leads
36 to a progressive decline in physical and cognitive function, and a growing risk of
37 chronic diseases, disability and death [1]. Ageing does not evolve linearly throughout
38 old age and greatly differs across subjects. Monitoring ageing pathways or trajectories
39 in older adults is needed to understand how these changes unfold and helps identify
40 which public health interventions may be effective at a given time to prevent, treat or
41 support the decline in specific abilities with age [2].

42 Over the past years, an increasing number of longitudinal studies have evaluated
43 trajectories of health deficits accumulation (DA) in older adults and their main
44 determinants [3-9]. Most of these studies have relied on the frailty index, a
45 multidimensional index defined as the proportion of health deficits present in an
46 individual out of the total number of deficits considered, which has shown to be a good
47 predictor of adverse outcomes (i.e., falls, hospitalization, disability, institutionalization
48 and death) in older adults [10]. However, none of these studies has specifically
49 evaluated DA trajectories across the different health domains that encompass this
50 syndrome, such as physical function, mental health and morbidity. Within this context,
51 the present manuscript aims to deepen understanding of the dynamics of ageing by
52 examining the overall and domain-specific DA trajectories over age in the 10-year
53 follow-up of a representative cohort of 3,289 community-dwelling older adults in Spain.

54 **METHODS**

55 **Study population**

56 The Seniors-ENRICA cohort was established in 2008-2010 with 3,289 individuals
57 selected by multi-stage stratified random sampling from the non-institutionalized
58 Spanish population aged 60 years or older [11;12]. Of the initial cohort, 61 participants
59 were excluded from the present study because they lacked information on health deficits
60 or potential confounders. The remaining 3,228 participants were followed-up until
61 2017, for a total of 8,562 baseline and follow-up visits (mean follow-up time between
62 successive visits of 2.5 years). During the 10-year study period, 267 participants (8.3%)
63 died, 1,840 (57.0%) were lost to follow-up due to non-response, and the remaining
64 1,121 (34.7%) completed the three follow-up visits (**supplementary figure 1**).
65 Participants lost to follow-up were more likely to be women (59.1% vs 51.0%;
66 $p<0.001$), older (69.1 vs 67.1 years; $p<0.001$) and had lower education level (62.3%
67 with primary education or less vs 48.0%; $p<0.001$) than those who took part in all
68 follow-up visits.

69 Baseline and follow-up information regarding sociodemographic, lifestyle, self-rated
70 health and morbidity was collected using computer-assisted telephone interviews and
71 dietary histories. Samples of urine and blood were collected and mental and physical
72 exams were performed by trained staff in home visits. All participants gave informed
73 consent, and the Clinical Research Ethics Committee approved the study [11;12].

74 **Health deficits accumulation and lifestyle risk factors**

75 Based on the Rockwood's frailty index [10], a DA index was calculated at baseline and
76 each follow-up visit using a total of 52 health deficits, including 22 impairments in
77 physical and cognitive functioning, 7 self-reported health and vitality problems, 6
78 mental health deficiencies and 17 items on morbidities, polypharmacy and use of health
79 services. The index summarizes age-related vulnerability, so the more health deficits

80 (symptoms, signs, diseases or disabilities) an individual has, the higher their risk of
81 death, institutionalization, health service use or further deficit accumulation. Most
82 deficits in the index were assessed in a dichotomous way (1 point if present and 0
83 otherwise), with the exception of cognitive functioning, self-rated health, vitality,
84 mental health, body mass index and use of outpatient health care, whose deficits were
85 graded according to severity (0 points for no deficit, 0.25 to 0.75 points for mild to
86 moderate deficits and 1 point for severe deficit). The complete list of health deficits and
87 associated scores are outlined in **supplementary table 1** and a detailed description of
88 the construction of frailty index domains is provided in **Methodological Appendix 1**.
89 The overall DA score was calculated as the sum of points assigned to each health deficit
90 divided by the 52 deficits considered and further multiplied by 100 to obtain a summary
91 range from 0 to 100% deficit.

92 At baseline and follow-up visits, self-reported information was obtained on age, sex,
93 educational level, smoking status and alcohol consumption. Physical activity was
94 measured with the questionnaire used in the EPIC-Spain cohort [13], and sedentary
95 behavior was estimated by time spent watching television as assessed by the Nurse's
96 Health Study questionnaire validated in Spain [14]. There is evidence that time spent
97 watching TV is the main component of sedentary time among older adults [15-17], and,
98 compared to other types of sedentary behaviors, shows a distinct demographic and
99 health profile [18]. Food consumption in the previous year was collected using a
100 validated computerized dietary history developed from that used in the EPIC-Spain
101 cohort [19]. Adherence to the Mediterranean diet was summarized with the
102 Mediterranean Diet Adherence Screener index [20]. Height and weight were measured
103 on physical exams in home visits and body mass index was calculated as weight in
104 kilograms divided by height in meters squared.

105 **Statistical methods**

106 The longitudinal change in the DA index with age was estimated by using linear mixed
107 models for repeated measurements over time on the same participants [21;22]. The
108 models are specified in detail in the **Methodological Appendix 2**. In brief, a linear
109 mixed model with random intercept and random age slope was used to estimate the
110 average longitudinal change in DA index per one-year increase in age, while allowing
111 for individual variation in DA trajectories around the average linear trend. This mixed
112 model was then extended with fixed coefficients for linear splines of age with knots at
113 70 and 80 years in order to estimate distinct longitudinal annual changes in DA index
114 within age intervals 60–69, 70–79, and ≥ 80 years, as well as with fixed coefficients for
115 restricted quadratic splines of age with identical knots in order to display the smooth
116 longitudinal trend in DA index with age [23].

117 To control for potential sociodemographic confounders and to assess the longitudinal
118 effect of age on DA index mediated by lifestyle changes, the above mixed models were
119 fitted with (models II-VI) and without (model I) adjustment for sociodemographic and
120 lifestyle risk factors, including fixed effects for sex (men or women), educational level
121 (primary or less, secondary, or university), baseline Mediterranean diet score (0 to 14
122 points), and changes over time in smoking status (never, former, or current), alcohol
123 drinking (never, former, moderate, or heavy), physical activity (MET-hours/week),
124 sedentary behavior (TV hours/day), and body mass index (kg/m^2). To evaluate potential
125 heterogeneity of DA trajectories by sex and education, interactions of sex or educational
126 level with the linear age term, linear age splines, and restricted quadratic age splines
127 were included as fixed effects in the corresponding mixed models.

128 In addition to the overall DA index, mixed models were also used to estimate
129 longitudinal changes in domain-specific DA indexes (physical and cognitive function,
130 self-rated health and vitality, mental health, and morbidity) adjusting for changes over
131 time in the other health dimensions. Modifications in domain-specific DA trajectories
132 by quartile of change in other dimensions were evaluated including fixed-effects
133 interactions between these quartiles and the abovementioned age terms. Statistical
134 analyses were performed in Stata, version 14 (StataCorp) and graphics were produced in
135 R, version 3 (R Foundation for Statistical Computing).

136 **RESULTS**

137 Participants mean age at baseline was 69.0 years (range 6.6). There was a higher
138 proportion of women (53.8%) than men (46.2%). More than half of the study
139 participants only had primary education or less and had never smoked, an
140 approximately one third had never drunk, all these proportions being higher among
141 women. Men showed a higher adherence to the Mediterranean dietary pattern, were
142 more physically active, watched less TV, and had lower mean DA index scores than
143 women at baseline. Participants with higher education were more frequently men,
144 younger, and more active, and showed a lower prevalence of smoking and obesity , a
145 higher prevalence of alcohol consumption and a better adherence to the Mediterranean
146 dietary pattern. (**Table 1**).

147 The baseline mean (range) number of health deficits was 2.7 (0-22) for physical and
148 cognitive *function*, 4.2 (0-7), for *self-rated health and vitality*, 1.2 (0-6) for *mental*
149 *health*, and 3.6 (0-17) for *morbidities*. Compared to participants in the lowest quartile of
150 baseline DA index, as well as in the *functional impairments*, *self-rated health and*
151 *vitality*, and *morbidities* domains, those in the highest quartile exhibited an increased

152 death risk during the 10-year follow-up: hazard ratios (95% confidence intervals [CI])
153 were 2.87 (1.87, 4.42), 1.95 (1.29, 2.94), 1.77 (1.25, 2.52) and 1.67 (1.13, 2.46),
154 respectively (data not presented in tables).

155 **Table 2** shows the longitudinal changes in overall and domain-specific DA indexes per
156 one-year increase in age and their 95% CI. In crude models (model I), on average,
157 participants accumulated 0.40 deficits per year, with an accelerated increase with age.
158 These 0.40 deficits correspond to the 0.77 percentage annual change in the DA index
159 observed in model I. Because the DA index ranges from 0 to 52, a 0.77% change in the
160 DA scale would correspond to an accumulation of 0.40 deficits [$0.40 = 0.77_{\text{unadjusted annual}}$
161 $\text{change in overall DA index}] * 52_{[\text{maximum number of health deficits}/100]}$). These figures remained almost
162 unchanged after adjustment for baseline educational level and changes over time in
163 health behaviors. Results from interaction models showed that women aged 60-79 had
164 greater DA than men, but differences leveled out with age; specifically, at ages 60-69,
165 70-79 and ≥ 80 , average increments in the DA index were (model II), respectively, 0.40
166 (0.30, 0.50), 0.68 (0.57, 0.80) and 1.30 (1.07, 1.54) in men; and 0.63 (0.53, 0.73), 0.99
167 (0.89, 1.10) and 1.31 (1.09, 1.53) in women. Both men and women increased functional
168 loss [mean annual increases in the deficits score over the entire follow-up (95% CI): 0.90
169 (0.76, 1.04) and 1.40 (1.26, 1.54), respectively; model III], experienced no changes in
170 self-rated health and vitality [0.04 (-0.08, 0.16) and -0.03 (-0.15, 0.09), respectively;
171 model IV], had progressive improvements in mental health [-0.97 (-1.13, -0.81) and -
172 1.17 (-1.33, -1.01) ; model V], and underwent an increase in their number of chronic
173 conditions and health care visits [0.79 (0.72, 0.86) and 0.93 (0.86, 1.00) ; model VI]
174 with age. In general, women lost function, improved mental health and accumulated
175 morbidities faster than men (p-values for interaction were < 0.001 , 0.06 and < 0.001 ,
176 respectively). **Figure 1** shows the smooth longitudinal trend in the overall DA index,

177 and helps illustrating both the accelerated increase in the frailty index with age, as well
178 as the observed sex differences. Additionally, this figure shows that DA index changes
179 are driven both by increasing morbidities and function decline, with the latter being
180 more relevant in older age. Moreover, it shows that women bear a larger burden of
181 health deficits at any given time and in all dimensions.

182 **Table 3** and **Supplementary figure 2** present changes in the overall DA index and its
183 main dimensions according to baseline educational status. In general, participants in the
184 highest educational level exhibited the lowest scores in the studied domains at all ages
185 (p-values for homogeneity of annual changes among participants with different
186 educational level were <0.001 for the overall DA index, <0.001 for the functional
187 impairments dimension, 0.44 for the self-rated health and vitality dimension, <0.001 for
188 mental health, and 0.02 for morbidities). However, differences were not statistically
189 significant after the age of 70 (p-values for homogeneity of annual DA changes among
190 participants with different educational level 0.49 and 0.48 for those aged 70-79 and ≥80,
191 respectively).

192 Finally, **figure 2** exhibits changes in each DA index dimension according to quartiles of
193 change in the other three. On average, participants who experienced the highest declines
194 in self-rated health and vitality, the lowest improvements in mental health, and the
195 fastest accumulation of chronic diseases, presented the fastest declines in function
196 [mean changes for those in the fourth vs first quartile of self-rated, mental, and chronic
197 health changes: 2.55 (2.34 to 2.75), 1.71 (1.51 to 1.91), and 1.63 (1.44 to 1.81),
198 respectively]. Similarly, participants who suffered the fastest deterioration in function or
199 the fastest accumulation of morbidities, showed the sharpest declines in self-perceived
200 health and vitality [mean annual change for those in the fourth vs first quartile of

201 functional deterioration and morbidities accumulation: 0.92 (0.75 to 1.10) and 0.38
202 (0.22 to 0.54) , respectively]; while those who suffered the fastest deterioration in
203 functional, self-rated, and mental health, displayed the fastest increments in number of
204 chronic diseases [mean annual increase in the number of chronic conditions for those in
205 the fourth vs first quartile of functional deterioration, self-rated health decline, and
206 mental health improvement: 1.23 (1.13 to 1.33), 1.34 (1.24 to 1.45), and 1.04 (0.94-
207 1.14), respectively].

208 As sensitivity analyses, we repeated all models including only participants with at least
209 three follow-up visits, with consistent findings (see **supplementary table 2**).

210 **DISCUSSION**

211 Our results provide important information on the dynamics of ageing. First, they
212 suggest that unhealthy ageing is mainly driven by an accelerated accumulation of
213 morbidities and by quadratic declines in function during old age. Second, they illustrate
214 how, despite having an increasing number of morbidities and functional problems over
215 time, mental health in older individuals seems to improve with age. Third, they show
216 that only individuals with very fast declines in function and fast accumulation of
217 morbidities present a significant worsening of self-rated health over time.

218 Consistent with extent findings, our analyses indicate that women [24] and participants
219 with low education [3;9] lose function and accumulate morbidities faster than their
220 counterparts. Moreover, our results extend knowledge about the timing of change in
221 slope of DA, and, as proposed by some authors [24], suggest that the health gap
222 between men and women may reach a plateau in the oldest age groups. Similarly, they
223 suggest that the observed differences across educational groups may level off with age,
224 which could be due to equalization of resources in later life and because of the selective

225 survival of low-educated individuals who have acquired resistance against adversity
226 [25;26]. Still, we are aware that the ability to find statistical significant differences in
227 DA slopes for the oldest age groups is limited by the relatively low number of subjects
228 aged >80 in the present analyses.

229 Previous investigations have also reported improvements in mental health- and in
230 particular of hedonic wellbeing- with advancing age [27]. This phenomenon has been
231 attributed to increases in wisdom with age, so that, despite declining health, older
232 people may experience less stress, anger or worry thanks to adaptive shifts in emotional
233 regulation [28].

234 Our results are of clinical significance, as they show average annual increments in the
235 overall DA index of 0.74 (0.69 to 0.79) points, which are associated with a 1.02 (95%:
236 1.01-1.03) increase risk of mortality in our cohort. In particular, men in our cohort
237 suffer the highest risk of mortality associated with high DA index values (HR (95%CI)
238 for mortality among those in the second, third and fourth quartiles of the DA index
239 compared to those in the lowest: 2.11 (1.33, 3.37), 2.63 (1.58, 4.37) and 2.79 (1.66 to
240 4.71) in men; 0.92 (0.41, 2.08), 1.21 (0.51, 2.84) and 2.54 (1.18, 5.47) in women). The
241 pattern of sex differences in the frailty index and in mortality observed in our study is
242 consistent with the so-called male-female health-survival paradox [29], which implies
243 that women experience lower mortality than men despite their higher rates of DA.
244 Future analyses should evaluate whether sex-differences in the type of deficits
245 accumulated over time, could help explain this paradox [24].

246 Several limitations of the present study warrant consideration. First, even if analyses of
247 the potential impact of attrition revealed no major differences in the main results, the
248 fact that those who were lost to follow-up were more likely to be older, female and low

249 educated might have led to underestimating the DA index slope. Another limitation is
250 that, despite our study included a greater number of health deficits than most previous
251 reports [3;4;6;8], it did not include sensory impairments, which are highly prevalent and
252 have shown to predict mortality in older adults [30]. Also, although adjustment for
253 functionality was performed, results for dimensions that relied on self-reported data (i.e.
254 the “self-rated health and vitality” dimension) may have been affected by the presence
255 of cognitive impairment. Finally, our results cannot be extrapolated to institutionalized
256 populations.

257 Still, our study has notable strengths compared to published research. First, it
258 systematically evaluates for the first time the trajectories of DA by health domains, and
259 addresses potential interactions between domains. Second, unlike most previous studies
260 evaluating trajectories of DA based on self-reported data [3;4], ours included a number
261 of validated measures of physical function (i.e. gait speed, grip strength) and chronic
262 conditions (obesity, hypertension, diabetes) , and adjusted for a range of potentially
263 confounding health behaviors. Finally, our study expands the results of previous reports
264 (mostly based on Anglo-Saxon cohorts) to a Mediterranean county, not only with one of
265 the highest female life expectancies in the world [31], but also with very high rates of
266 frailty [32].

267 **CONCLUSIONS**

268 Our results are of public health importance because they support that population-level
269 interventions aimed to healthy ageing should focus on the prevention and control of
270 chronic diseases, as well as on the preservation of function and early rehabilitation, with
271 the latter being more relevant during the latter stages of life. The above implies that

272 post-acute and long-term care services should incorporate adequate physical therapy and
273 nursing facilities to promote attainable levels of functionality in older adults.
274

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283 **Conflicts of interest:** The authors declare that they have no conflicts of interest

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