

1 **Manufacturing Exosomes: A Promising Therapeutic Platform**

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19 Extracellular vesicles, and in particular, the sub-class exosomes, are rapidly emerging as a
20 novel therapeutic platform. However, currently very few clinical validation studies and no
21 clearly defined manufacturing process exist. As exosomes progress towards the clinic for
22 treatment of a vast array of diseases, it is important to define the engineering basis for their
23 manufacture early in the development cycle to ensure they can be produced cost-effectively
24 at the appropriate scale. We hypothesize that transitioning to defined manufacturing
25 platforms will increase consistency of the exosome product and improve their clinical
26 advancement as a new therapeutic tool. We present manufacturing technologies and
27 strategies that are being implemented and consider their application for the transition from
28 bench-scale to clinical production of exosomes.

29 **Extracellular Vesicles: Biogenesis, Inherited Function and Clinical Relevance**

30 Living cells release vesicles into the local environment and research into the potential
31 therapeutic benefits of different **extracellular vesicle** (EV) types has led to exciting discoveries
32 leading to the possibility of adopting EVs as new candidate therapeutic agents.

33 EV biogenesis occurs via several mechanisms [1]–[5] resulting in vesicles of different size and
34 architecture. Broadly speaking, there are three main sub-classes of EVs: microvesicles that
35 are shed directly from the cell membrane and have a size range of 50-1000nm diameter;
36 apoptotic blebs derived from dying cells, typically 50-4000nm; and **exosomes** which are
37 smaller, with an approximate size range of 20-150nm -- although this range is variable
38 between research groups [5]–[11]. Exosomes are released from multivesicular bodies (MVBs)
39 rather than directly from the cell membrane via exocytosis -- a feature which distinguishes
40 these vesicles from other sub-classes [4], [12], [13]. During this process, exosomes are loaded
41 with various types of bioactive cargo (Figure 1), comprised of protein and RNA molecules
42 (including messenger RNAs (mRNA) and microRNAs (miRNA) [5].

43 A growing body of research into stem cell therapy has revealed that the mode of action
44 underlying the therapeutic effects of stem cells occurs largely via paracrine signaling [14]–
45 [17]. This understanding has evolved based on the fact that implanted cells do not often
46 engraft or persist long-term, but rather, generate paracrine effects, which can be mediated
47 by exosomes transmitting information into resident tissue cells. Indeed, post-injury tissue
48 regeneration studies have revealed that the regenerative effect of exosomes can be as potent
49 as that of parent cells in promoting regeneration and functional recovery in experimental
50 models including stroke [14], traumatic brain injury [15], pulmonary hypertension [16] and
51 wound healing [17].

52 In this way, exosomes are effective communication vehicles that transfer bioactive proteins
53 and genetic material between cells [18]–[20]. The exosome cargo ensures continued
54 therapeutic effects long after the implanted cells have perished or migrated away from the
55 target site (Figure 2).

56

57

58 **The Biologic and Clinical Basis for EVs as Therapeutic Agents**

59 There is widespread consensus that EVs have a biologic signature that reflects the phenotype
60 of the cells that produced them [21]. For this reason, the potential applications of EVs in a
61 clinical context are diverse.

62 On the one hand, EVs have been proposed as diagnostic biomarkers of disease in cancers as
63 diverse as ovarian cancer [22], [23], glioblastoma [19], melanoma [24] prostate cancer [25]
64 and colon cancer [26], based on unique miRNA profiles and other cargo that is transmitted
65 with pathological effect. Similarly, they might be used as biomarkers of infectious disease,
66 based on that fact that they transmit infection-specific elements. For example, exosomes
67 isolated from Huh 7.5 cell lines infected with Hepatitis C virus have been reported to infect
68 primary human hepatocytes [27].

69 On the other hand, they can also act as potent mediators of cell signaling, which might be
70 exploited for medicinal purposes. For example, they are able to transfer RNA and protein
71 instructional cues from producing cells to other cells in the surrounding milieu [18]. This can
72 have striking effects, as evidenced from experiments where EVs derived from mouse
73 embryonic stem cells promoted the survival and expansion of mouse hematopoietic stem
74 cells *in vitro*, while also upregulating transcription factors associated with pluripotency in
75 recipient cells [28]. These findings also suggest that exosomes can be potentially harvested,
76 purified and potentially used as a biologic to control undesired or pathophysiologic
77 conditions.

78 This concept is further supported by *in vivo* studies. For example, exosomes isolated from
79 indoleamine 2,3-dioxygenase-positive dendritic cells were found to reduce inflammation in a
80 mouse model of collagen-induced arthritis [29]. The exosomes, isolated using differential
81 centrifugation, were <100 nm in size (as assessed from electron microscopy) and expressed
82 typical exosome markers such as CD81, hsc70 and CD80/86, as shown from Western blotting
83 and Fluorescence-Activated Cell Sorting (FACS) [29].

84 Other studies have suggested the potential therapeutic application of exosomes in
85 cardiovascular disease. For instance, two mouse models of cardiac ischemia/reperfusion
86 injury (*in vivo* myocardial infarction and *ex vivo* Langendorff heart) showed that mesenchymal

87 stem cell (MSC)-derived exosomes with a size range of 55–65 nm resulted in a 50% reduction
88 in infarct size, measured as a percentage of the area at risk, when compared to saline controls
89 [30].

90 Recently, EVs were found to promote regeneration after stroke injury in both rat [31] and
91 mouse [14]. In both models, functional recovery was accompanied by cellular and molecular
92 evidence of neurogenic and angiogenic regeneration. For example, in the mouse model, MSC-
93 derived EVs of undisclosed size were able to support neuronal survival and neurogenesis in
94 the post-ischemic tissue to a level similar to that of parent MSCs, as measured from co-
95 expression of markers of cell division and identity [14]. This also translated into improved
96 motor coordination function in the animals.

97 With growing evidence that EVs such as exosomes might stimulate regeneration or modulate
98 pathologic conditions, there is a good rationale for pursuing the development of EVs as new
99 potential therapeutic agents.

100 However, exosomes are yet to be clinically validated as only a handful of studies have been
101 undertaken, or are currently ongoing. These include the use of autologous, modified
102 dendritic-derived EVs for maintenance immunotherapy [32], [33], allogeneic MSC-derived EVs
103 for the treatment of chronic kidney disease [34], type I diabetes mellitus (clinical trial
104 NCT02138331), acute ischemic stroke (clinical trial NCT03384433), and autologous plasma-
105 derived EVs for cutaneous wound repair (clinical trial NCT02565264). In addition, a single
106 patient with graft versus host disease was treated with allogeneic MSC-EVs [35]. Existing data
107 from these trials indicate that exosomes may have potential therapeutic value in a number of
108 indications without having necessarily met the primary trial endpoint. However, it should be
109 noted that across these small number of studies, a variety of purification methods have been
110 utilized, including filtration, ultracentrifugation and PEG precipitation, which may well impact
111 the consistency of the final products. For example, reported that across 32 preparations of
112 exosomes generated for clinical use and purified using ultrafiltration/diafiltration followed
113 ultracentrifugation, final exosomal protein quantity ranged from 99 – 26,648 µg [33].

114 Therefore, we hypothesise that only when manufacturing challenges have been addressed
115 will it be possible to create greater consistency in the final product to advance these therapies

116 into the clinic; the sooner these manufacturing challenges are addressed in the product
117 development cycle, the faster patients may have access to them.

118 Broadly, these challenges may include i) a detailed characterization of exosome material to
119 define target product attributes, including discrimination of non-exosomal artifacts and even
120 exosome sub-populations; ii) scalable cell culture methods for upstream production of
121 exosomes; and iii) scalable downstream processing for isolation and purification of exosomes.

122

123 **Limitations of Cell Culture:**

124 Exosomes are secreted products of cells; thus their manufacture is dependent on the ability
125 to produce large quantities of cells in ways that do not alter the cell phenotype. Presumably,
126 cellular changes due to transitioning from conventional bench-scale cell culture using planar
127 t-flasks to scalable cell culture platforms might likely alter the composition and function of its
128 exosomes. Large scale stem cell cultures are still a rate-limiting step for delivering stable and
129 potent products at phase III and market scale due to high development costs and regulatory
130 and market uncertainty [36]–[41]. Accordingly, the opportunities for producing large
131 quantities of stem cell-conditioned medium with which to undertake meaningful scale-up
132 studies on exosome production are limited [42]. This was evident in the worldwide survey
133 conducted by Gardiner et al. who show that that 77% of respondents used less than 100mL
134 of starting material despite 83% of researchers using material generated from cell culture
135 [43].

136 The research efforts into scaling up cell culture have focused on technologies to maximize
137 surface area, such as micro-carriers in stirred bioreactors [44], [45] or **hollow-fiber**
138 **bioreactors** [46], which offer greater process control (Figure 3). The main technical limitation
139 of these technologies, is the need for control of environmental parameters within the reactors
140 such that the phenotype of the cell (and derivative exosomes) does not change. When moving
141 from static, planar cultures to dynamic, well-mixed 3D environments with high force
142 generation (**impellers**, cavitation of bubbles from **oxygen sparging**), the risk of phenotypic
143 alterations at the cellular level due to shear stress is still an issue that needs to be addressed.
144 For example, T-cell expansion was reduced when agitated at 180 rpm in bioreactors as a

145 consequence of rapid downregulation of interleukin-2 receptor [47]. In the case of MSCs, a
146 prominent candidate cell type for the production of candidate therapeutic exosomes, **shear**
147 **stress** was found to induce mechanotransduction pathways involving p38 mitogen-activated
148 protein kinase and extracellular signal-related kinase, that could lead to osteogenic
149 differentiation [48]; these outcomes would likely change the exosome product, although this
150 remains to be directly demonstrated.

151 At the extremes of an operating window, limiting cell death in these high-shear systems to
152 minimize impurities derived from apoptotic blebs is of paramount importance. Apoptotic
153 blebs overlap in size and might increase heterogeneity, as well as reduce the potency of
154 exosome products [49], [50]; an example of this heterogeneity was shown in a study
155 conducted on between dendritic cell derived apoptotic vesicles and exosomes, that exosomal
156 fractions had their own unique molecular composition and properties [51]. They might even
157 induce undesirable cell signaling events, although this warrants further investigation.

158 As cells produce and secrete exosomes naturally, perfusion-based cultures (for example using
159 hollow-fiber and **packed bed technologies**), should also be considered with the aim of
160 providing adequate **mass transfer** in the cell culture. A key practical benefit in this approach
161 is that these reactor systems can be designed and optimized to retain the exosome product
162 within the culture compartment to yield a more concentrated conditioned medium, thereby
163 reducing liquid handling requirements further downstream [52]. Here, there have been
164 developments using novel **flask “bioreactors”** such as the Integra CELLine systems [53] which
165 can concentrate exosomes within a membrane compartment which allows for media
166 component transfer over a prolonged period of time in culture. A limitation is that these flasks
167 are still limited to being a scale-out approach and the harvest window is time-limited because
168 cells can undergo contact inhibition and changes in behaviour at high densities, as revealed
169 in one particular study where that mouse adipose mesenchymal stem cells plated at high
170 seeding densities (90%) had altered gene expression within 48 hours [54]. However, if
171 exosomes are harvested before cultures are over-confluent, they might better conserve
172 product parameters as they provide a similar mode of culture to planar t-flasks, unlike
173 **dynamic bioreactor systems**.

174 An additional limitation for scaling up cell cultures to produce exosomes is the continued
175 heavy reliance on animal serum for optimal cell growth. For example, fetal bovine serum (FBS)
176 is high in endogenous exosomes [55], and if not removed prior to cell culture, these process-
177 related impurities may make their way into the final drug product, which from a regulatory
178 standpoint for an injectable, is completely unfavorable. Therefore, xeno-free culture media
179 components are desired, provided they conserve comparable cell characteristics and
180 exosome product attributes that are expected to be therapeutic. However, this task is not
181 trivial. At the very least, exosome-depleted FBS should be characterized as a means of
182 confirming it is truly the stem cell-derived exosomes that confer the functional properties
183 ascribed to them.

184 A recent study on exosome production further highlighted the importance of culture
185 reagents, notably FBS-containing versus serum-free medium. Specifically, both human and
186 mouse neuroblastoma lines showed that switching from FBS-containing to serum-free
187 medium left the resultant exosomes from both species unchanged in terms of biophysical and
188 size characteristics [35]. However, the number of exosomes generated was increased when
189 using serum-free reagents. While this may appear promising, further proteomic analysis
190 showed that the serum-free exosomes contained reactive oxygen species and stress related
191 proteins, whereas exosomes derived from cells cultured in serum-containing medium
192 promoted higher levels of production of RNA processing proteins. As a result, the switch from
193 FBS-containing medium to serum-free appeared to cause a shift in exosomal biology,
194 presumably reflecting stress-induced phenotypic changes in culture [56]. These data
195 illustrate how important culture conditions are in the manufacturing process, as changes can
196 significantly modify the exosome product profile, which might in turn result in failure at the
197 regulatory/clinical levels.

198 One significant advantage of generating exosomes as products, rather than using parent cells
199 is that the exosome-rich conditioned medium can be separated with ease from producer cells,
200 where the cells are adherent. This overcomes one of the main challenges for adherent cell
201 products, which need to be enzymatically detached from microcarriers, where harvesting and
202 recovery are achieved with limited efficiency due to the need to conserve the cells for
203 application whilst ensuring damage from extended enzyme exposure is limited [57].
204 Furthermore, with the advances in cell engineering and medical research, one may hopefully

205 expect more economically viable, exosome dedicated cell-lines which might provide high
206 expression of tailor-made exosomes in the future.

207

208 **Downstream Processing for Efficient Purification**

209 There are also significant **downstream processing** challenges to manufacturing exosomes.
210 First, methods currently employed to enrich exosomes from cell culture media are
211 grandfathered in from the early viral purification industry which operate via physical
212 discrimination of target material from impurities. Here, four main isolation methods are used:
213 size exclusion (based on typical exosomal diameters); sedimentation force or flotation
214 density; [non-specific] precipitation based methods; and affinity based capture.

215 The most commonly used method has historically been ultracentrifugation [43], [58]. Two
216 main variations of ultracentrifugation are used. The first uses a combination of different
217 centrifugal forces to reduce contamination by cell debris/fragments (3000-10,000g), then
218 organelles and non-exosomal vesicles (10,000-20,000g), before a final pellet of the exosomes
219 is produced (100,000-120,000g). The second discriminates exosomes from other vesicles via
220 flotation using density gradients made from deuterium oxide (D₂O)/sucrose cushions or
221 commercially available reagents such as iodixanol [59]. In spite of these protocols however,
222 co-isolation of non-exosomal vesicles and other particulate debris that share similar size and
223 density is still observed.

224 From a manufacturing perspective, while it has been used to purify vaccines at commercial
225 scales [60], ultracentrifugation has many limitations which have seen a reduction in usage for
226 alternate methods such as filtration or chromatographic separation [61]. The reasons for this
227 -- which may be applied directly to the future of exosome processing-- are largely due to the
228 high level of skill and manual labor (gradient generation, sample balancing and pellet
229 resuspension, all of which must be performed to high levels of precision), the time-intensive
230 nature of the processes, the associated costs of reagents and equipment, and the
231 observations of losses in potency of labile products.

232 There are also significant limitations in interpreting process efficiency between different
233 laboratories using different centrifuges. Indeed, exosome pelleting efficiency is dependent on

234 several parameters defined by the centrifuges themselves (e.g. *k*-factor, rotor type), meaning
235 that processes are only readily transferable if identical equipment and protocols are used
236 [62], [63]. When coupled with processing times that can extend to 72 hours for routine small
237 scale operation, it is understandable that alternative process options have phased out
238 ultracentrifugation in the viral/vaccine industries whenever possible.

239 As with any form of biologics manufacturing, any reagents added during the process need to
240 be removed from the final product and so additional considerations must be given for
241 adequate clearance of substances used such as D₂O or sucrose cushions. This leads to a
242 requirement for additional pelleting steps, which increases operating costs, purification times
243 and product losses due to process inefficiencies and aggregation [64], not to mention losses
244 in biological activity [65]. To address manufacturing and regulatory uncertainty here, further
245 advancements are needed.

246 Non-specific precipitation, typically using polyethylene glycol (PEG)-based solutions, is an
247 alternative method to sediment exosomes without the need for expensive ultracentrifuges.
248 This method can sediment exosomes at lower centrifugal forces (around 20,000g), which can
249 then be loaded into size-exclusion columns, though currently these columns are only
250 commercially available as manually operated kits. However, these technologies may not be
251 appropriate for larger scales. By way of illustration, the large pore sizes of the resins used will
252 likely present challenges related to pressure limitations and compression at larger scales.
253 Moreover, the added need to remove PEG from the end product, especially for injectables
254 [42], may lead to a need for further processing and therefore, ultimately, product losses. One
255 study showed that it was possible to make columns rather than rely on kits, and as similar
256 levels of purification are achieved, the convenience of the kits far surpassed that of the
257 columns [66].

258 Another concern with these sedimentation processes is the co-isolation of non-exosomal
259 vesicles which can overlap in characteristics, must be identified and be sufficiently depleted
260 in a therapeutic, so as to minimize safety risks to patients. Critically, one may also wish to
261 enrich an exosome sub-population to increase the efficacy of a therapeutic which, with
262 current technologies, is not possible using non-specific precipitation and sedimentation
263 alone.

264 Recently, there has been an increase in the use of **tangential-flow filtration** to concentrate
265 exosomes from cell-culture media based on their size [42], [67]–[69] . This process is more
266 promising than the sedimentation methods listed above, due to tight and reproducible size
267 distributions and the ease with which processes can be scaled and can facilitate product
268 washes and buffer exchanges [52]. This makes tangential flow filtration extremely attractive
269 as a primary recovery method. Moreover hollow fiber ultrafiltration coupled with
270 microfiltration is a relatively gentle process that retains structural and functional integrity of
271 exosomes while enabling the removal of large particles and cell-culture derived proteins [61].

272 However, there are some issues, as ultrafilters are expensive and the co-isolation of material
273 such as serum proteins and DNA from cell culture continues to be problematic. Excessive
274 fouling leading to elevated pressure in the system, and consequent associated shear forces,
275 could also be detrimental to the final preparation and must be carefully monitored.

276 All of the above downstream processing techniques are based on physical parameters and
277 none have a way of completely discriminating exosomes beyond either size or density. This
278 often leads to co-isolation of non-exosomal vesicles or organelles with overlapping physical
279 characteristics, resulting in insufficiently pure exosome preparations. This was revealed when
280 comparing density gradient and standard ultracentrifugation to an immuno-affinity capture
281 method, as the latter increased exosome associated proteins by at least 2-fold over the
282 ultracentrifugation options [70]. This can become particularly troublesome if large scale
283 culture systems that lead to higher rates of cell death are employed in the future. A remedy
284 to this potential burden would be the development of scalable processes which use methods
285 of purification orthogonal to the current physical methods, i.e. which use the biochemical and
286 biophysical characteristics of exosomes to discriminate from impurities via more precise
287 processes. This need for reproducible and standardized platform technologies in the industry
288 become apparent when literature searches for exosome purification yield varied and almost
289 conflicting results with regards to which protocol is the most promising. Taking
290 ultracentrifugation as an example, huge differences in efficiency of exosome recovery are
291 reported across research groups [70]–[72] when compared to commercial kits and affinity-
292 base purification methods. In one such study, lab scale commercial kits processing human
293 serum samples up to a volume of 5 mL isolated an 80-300 fold higher yield of exosomes than
294 ultracentrifugation [51].

295 Of the reported methodologies for exosome purification, immuno-affinity methods are
296 perhaps the most promising but least reported to date in the literature [43]. The method
297 often cited is based on antibody-conjugated magnetic beads, which can be used to pull out
298 exosome populations from crude material. A study comparing exosome recovery from human
299 colon cancer cell line LIM1863 [70] revealed that exosomes captured via immuno-affinity
300 were superior in terms of expression levels of known exosomal markers, compared with
301 ultracentrifugation and differential centrifugation. Moreover, the vesicles were much more
302 homogeneous (40-60 nm diameter) compared with those from ultracentrifugation (40-100
303 nm) and differential centrifugation (50-100 nm). Moreover, immuno-affinity isolation enabled
304 the identification of novel molecules, ESCRT-III component VPS32C/CHMP4C, and the SNARE
305 synaptobrevin 2 (VAMP2), in exosomes for the first time [70]. This shows powerful potential
306 in terms of product characterization and isolation.

307 However, there are limitations when using beads. In the current format, scaling up becomes
308 increasingly burdensome because mixing, mass transfer and removal of beads via magnetic
309 separation is achieved with limited efficiency at the larger scales and also requires specialist
310 equipment [73]. However, the use of these beads at laboratory scale suggest that they could
311 have potential in large scale processing if the issues surrounding introduction of process
312 impurities are successfully overcome.

313 Realistically, the use of affinity methods is likely to be more economical and simpler to
314 facilitate if antibodies are immobilized onto stationary phases, because with a stationary
315 phase there is less opportunity for particulate impurities typically seen with beads. As such,
316 further development of chromatographic steps which facilitate the specific capture of
317 exosomes (or their subtypes) may likely be important.

318 Another chromatographic method shown to be effective in separating exosomes from other
319 process impurities based on their characteristic negative charge is ion-exchange
320 chromatography. A recent study demonstrated the applicability of chromatographic
321 purification by use of a quaternary amine (QA) anion exchange column (AEx column) against
322 sucrose density gradient separation of amniotic fluid derived exosomes [74]. The results
323 indicated that the quality of the exosomes was superior from anion exchange purification

324 over the more classical ultracentrifugation technique, in terms of soluble impurity removal
325 and the separation of CD marker positive and negative exosomes [74].

326 However, optimization of process conditions on a case by case basis is necessary as ion-
327 exchange chromatography may still co-elute host cell DNA and albumin if improperly
328 implemented, and likely masked by the broad elution peaks of heterogeneous exosomes.

329 A potentially beneficial advancement for chromatography would be to shift from traditional
330 packed bed systems, which may not be appropriate for such particulate heavy feeds, to
331 membrane or monolithic technologies with more open-pore structures that can
332 accommodate exosome material while retaining separation power and increasing
333 throughput. Increased throughput may be possible because higher flow rates can be used;
334 this approach has already been adopted in the virus industry [75].

335 Currently used methods for purifying exosomes ideally need to be replaced with advanced
336 platforms (Figure 4) and an ideal process for exosome purification should include a sequence
337 of steps that comprises filtration-based recovery followed by chromatography-based
338 purification; filtration-based recovery and concentration will deliver a product of defined size
339 distribution and reduce the vast quantities of conditioned medium into a lower volume that
340 is easier to process. Tangential flow filtration is a good candidate and concentrated MSC-
341 secreted exosomes up to 125-fold [30]. Further evidence for this shift in technologies is
342 supported by a study where ultrafiltration and liquid chromatography (UF-LC) steps (in this
343 case size exclusion chromatography using Sephacryl columns) were tested against differential
344 ultracentrifugation [69]. The results showed significantly higher yields from the UF-LC method
345 relative to differential ultracentrifugation without compromising the proteomic identity of
346 EVs, while also showing that the biophysical properties were preserved. The authors also
347 observed an improved bio-distribution of the EVs when injected into mice: fewer EVs
348 accumulated in the lungs, likely due to the reduction of aggregation and damage to the
349 exosomes during the UF-LC steps compared to ultracentrifugation[69].

350 Ideally, sequential filtration followed by affinity-based chromatography that targets EV-
351 specific surface proteins (e.g. CD81) may offer the best chance of success in clinical
352 development; the chromatographic steps should deplete non-EV DNA and culture medium-

353 derived proteins, and finally via the buffer exchange steps, allow washing and concentration
354 of the product prior to formulation and secondary manufacture.

355 **The Analytics Challenge**

356 Without doubt, advances in upstream cell culture and downstream processing will advance
357 exosomes towards routine manufacture. However, equally critical, and underpinning these
358 advances, is the capacity to measure and characterize the exosome product better than
359 currently achieved. It will be easier to address the process development and scale up of
360 exosome product if the process is guided by a robust, regulatory accepted definition of what
361 it is. The exosome community has already taken significant steps to provide a broad definition
362 for exosomes and provide criteria for their identification. The International Society of
363 Extracellular Vesicles (ISEV) has established a set of criteria for proteomic identification of
364 exosomes with a minimal list of requirements [76], namely, exosomes should i) possess
365 transmembrane proteins to provide evidence of a membrane (e.g. tetraspanins such as CD63,
366 CD81 and CD9) [65], [77]; ii) possess cytosolic proteins to provide evidence of membrane or
367 receptor binding capacity (e.g. TSG101, Rab proteins or annexins); iii) be free of protein
368 impurities from intracellular compartments not associated with the plasma membranes or
369 endosomes (e.g. endoplasmic reticulum, Golgi, mitochondria, nucleus); and iv) be free of co-
370 isolating extracellular proteins such cytokines and serum components.

371 These, in combination with physical observations via electron microscopy and particle size
372 distribution analysis, create a useful baseline. However, ultimately more detailed
373 characterization must be undertaken to describe exosomes in terms of functional capacity by
374 mechanistically defining the action of key nucleic acid and protein signals on target cells, and
375 by understanding exosome heterogeneity. In addition, if possible, mapping exosome sub-
376 populations to define those harboring higher potency and/or defining unique components
377 not present in other exosomes would be ideal. For example, a larger exosome may contain
378 larger quantities of certain RNAs or proteins, or a smaller exosome may have a higher density
379 of surface markers). Furthermore, assays need to be developed that detect exosomes with
380 higher reliability and accuracy than at present. Examples of such steps have already been seen
381 in the literature, for example using flow-cytometry, which can enable detection and semi-
382 quantitative analysis of specific markers [78], as well as microfluidic tools allowing rapid

383 sensing of exosomes using immunomagnetic capture targeting exosome markers such as
384 CD63 [58]. This microfluidic approach even offers potential for development of in-line
385 measurement technologies that can monitor exosome production during cell culture as a
386 label-free surrogate measurement of the cells, and using exosome identity as a surrogate for
387 cell identity and state. It might even be possible to isolate exosomes themselves using label-
388 free tools. For example, microfluidic devices have been developed that utilize **transmission**
389 **surface plasmon resonance** [59] or acoustic waves [60] to isolate exosomes from other
390 vesicles and cells. While these tools may not fulfill the requirement of a large scale purification
391 platform, they might offer potential as label-free methods to isolate exosomes that can be
392 subsequently characterized, (e.g. via arrays of antibodies for on-chip profiling of exosome
393 surface proteins) [59].

394 Finally, *in vitro* potency assays need to truly predict outcomes *in vivo*, which in turn will feed
395 back to evolving product specifications to enable development of exosomes as potential
396 therapeutic agents.

397 **Viral Co-Isolation: A New Challenge on the Horizon?**

398 In terms of product safety, as a therapy which is derived from mammalian cells, there also is
399 the risk of co-isolating endogenous viruses. Naturally, if the live cells are being used as a
400 therapy in their own right and the exosomal product is a secondary product, the screening of
401 adventitious agents such as viruses would be a pre-requisite and would lower risks of high
402 titers entering the final product. Conversely, there is a risk that what is passably low,
403 unobservable or unscreened in a cell, could be highly concentrated by downstream
404 processing steps, many of which would be similar to those used for viral vaccine production
405 (filtration, ultracentrifugation, precipitation/size exclusion, and even chromatographic
406 technology if less-specific methods are used), due to the similarities in size and physical
407 properties between viruses and exosomes. Furthermore, if, for example, dedicated cell lines
408 for the production of exosomes for drug delivery or gene editing are created, mimicking
409 recombinant protein and antibody production systems, one may find proof of viral removal is
410 absolutely necessary. At this point, one must scrutinize the current technologies available and
411 find methods where an exosome may be separated from any viruses which may be present.

412 Issues surrounding this are fairly apparent as exosomal and viral identity are highly similar:
413 the size ranges often overlap (thereby making viral filtration an unamenable option) and, as
414 both entities essentially consist of functional genetic material and surface proteins, chemical
415 inactivation could damage the exosome as much as the virus in terms of disruption to
416 functional surface proteins [79]. A common method of inactivation is that of exposure to low
417 pH (3), typically during a chromatographic step: however, this method risks damaging
418 exosomal surface proteins, or, if not strongly bound to the column, eluting the product
419 altogether. Similarly, other techniques such as ultraviolet (UV) inactivation, which aim to
420 disrupt the nucleic acid sequences for viral attenuation, could also irreparably damage the
421 exosome product. This poses a further challenge on the analytical spectrum because even if
422 exosomes could be shown to be up-taken in using *in vitro* quality control assays (due to the
423 lack of damage to surface proteins), any damage to the internal genetic material may cause
424 them to perform with limited or null activity biologically which reinforces the need for suitable
425 potency assays.

426 More complex procedures such as heat treatment options including pasteurisation, dry heat
427 and vapor heat, can also be used for viral inactivation; however, while a single protein could
428 be protected sufficiently by a protein stabilizer (presumably also slightly protecting the virus)
429 due to the size and make-up of exosomes, finding a way to maximize exosomal function while
430 sufficiently removing virus might also be difficult to achieve, especially when taking into
431 account the relatively complex optimization and implementation of these processes
432 compared to UV or pH inactivation [80].

433

434 **Concluding Remarks**

435 Exosomes are promising new candidate therapies and the recent explosion in research into
436 exosome biology and function has caused global excitement. With several prominent pre-
437 clinical studies showing potent effects of exosomes, and some early clinical data are
438 emerging, it is timely to address the bioprocessing challenges that underpin manufacture of
439 exosomes and other EVs. While phenomenal progress has been made in understanding the
440 biological properties of exosome cargo, research must also focus on challenges related to
441 achieving regulatory approval and potential translational into the clinical setting.

442 The most promising manufacturing approach to make in the first instance may be adoption
443 of an advanced purification platform based on a two-step filtration-chromatography
444 approach that can enable scalable and pure exosome products to be created. There are still
445 many unanswered questions and hurdles to overcome, (see Outstanding Questions and Box
446 1), in order to deliver exosomes as a new putative therapeutic tools for healthcare. These
447 challenges will come in many forms: from scheduling and batch reproducibility, to process
448 robustness and economic feasibility, along with thoroughly defining meaningful critical
449 quality attributes for the product itself. It is vital that these issues are investigated fully in
450 parallel with clinical validation studies in order to contemplate delivering exosomes to the
451 clinic and to the patients who might benefit.

452 **Figure List**

453 **Figure 1: Exosome biogenesis.** Exosome biogenesis (**left**) begins when multi-vesicular bodies
454 (MVBs) (1) bud inwards to form intraluminal vesicles (ILVs) that are loaded with genetic
455 material and proteins (2). Next, MVBs fuse either with lysosomes (3A) which results in
456 proteolytic degradation of exosomal contents, or with the plasma membrane (3B), resulting
457 in the release of ILVs, now referred to as exosomes, into the extracellular environment. Non-
458 exosomal vesicles bud directly from the cell membrane (4). Exosomes are typically in the size
459 range of 20-150nm and their structure (**right**) is complex. Tetraspanins (e.g. CD81, CD63, CD9)
460 and other transmembrane proteins such as adhesion receptors are present at the surface,
461 while internally, the cargo comprises an array of proteins (cytosolic, cytoskeletal, growth
462 factors) and miRNAs that convey specific functional cues.

463 **Figure 2:** Exosomes and stem cell transplantation. Diagrammatic representation of exosome
464 function after in vivo stem cell transplantation. Implanted stem cells synthesize exosomes
465 that convey functional characteristics of parental cells (a). Exosomes are then released by
466 stem cells into the surrounding environment (b) and induce functional responses in adjacent
467 resident tissue cells (c) that can modify the behavior of target cells, even resulting in sustained
468 regenerative responses (d) after the stem cell has perished or exited the injury site.

469 **Figure 3:** Upstream processing of stem cells. Schematic showing the current laboratory scale
470 methods used for **upstream processing** of stem cells (**top**). Cells are retrieved from the patient
471 or from a working cell bank (WCB) and expanded predominantly using a T-flask platform. This
472 leads to a number of significant pitfalls associated with current technologies. Development of
473 new upstream processing is necessary (**bottom**) in order to scale up the production of large
474 quantities of cells from the WCB and therefore large quantities of exosome product that can
475 be made in a closed bioreactor system and with greater process control.

476 **Figure 4: Downstream processing of stem cells.** Diagrammatic representation of the current
477 laboratory scale methods used for **downstream processing** of stem cell-derived exosomes
478 (**top**). Crude conditioned media concentration is achieved using filtration and then
479 ultracentrifugation methods are used to isolate exosomes on the basis of size and density.
480 Future processing needs to be scalable and so tangential flow filtration (TFF), followed by

481 affinity capture and final polishing steps are most promising to deliver high purity exosome
482 therapies (**bottom**).

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763 **Glossary terms**

764	Downstream processing	The manufacturing steps after cell culture, that typically
765		involve purification, washing, concentration and formulation
766		of the product.
767	Dynamic bioreactor systems	Bioreactors that use a agitation to ensure adequate mixing and
768		mass transfer when compared with static systems.
769	Exosome	An extracellular vesicle that is created in multi-vesicular bodies
770		and then released from the cell into the extracellular
771		environment via a process of exocytosis
772	Extracellular vesicle	Membrane-enclosed package of material that is generated via
773		several distinct biologic pathways
774	Flask bioreactors	A modified form of cell culture flask with advanced functions,
775		for example separation of the liquid and air phases or
776		compartmentalisation to collect secreted product using
777		membrane technology
778	Hollow-fiber bioreactors	A 3D bioreactor that uses parallel bundles of semi-permeable
779		capillaries that allow transfer of nutrients and gases to the
780		cells residing in the extra-capillary spaces
781	Impellers	A rotating blade or paddle in a bioreactor that agitates the
782		culture medium to ensure even mixing and distribution of
783		nutrients
784	Mass transfer	The net movement of mass from one place to another.
785	Packed bed technologies	Bioreactor technologies that use a tube filled with particles
786		that act as a physical substrate for cell attachment and growth.
787		They allow perfusion of culture media to distribute nutrients
788		and oxygen bed
789	Oxygen sparging	Introducing oxygen bubbles into the bioreactor to dissolve
790		oxygen in the culture medium
791	Shear stress	A force experienced by cells in a bioreactor due to the flow of
792		culture medium parallel to their surface
793	Tangential-flow filtration	A method for separating and purifying biomolecules whereby
794		the solution is passed tangentially across the filtration
795		membrane rather than directly at it.

796 Transmission surface plasmon resonance A technique commonly used in microfluidic
797 applications that can detect adsorption of biologic material to
798 metal surfaces

799 **Box 1. Clinician's Corner**

800 Exosomes are cell-secreted vesicles containing bioactive proteins and genetic material. Their
801 specific cargo is reflective of the parent cell, and gives rise to their therapeutic effects.

- 802 • Stem-cell derived exosomes have potential for use as drug candidates for a wide host
803 of indications. However, to achieve potential as therapeutics scalable manufacturing
804 processes are needed, both upstream and downstream.
- 805 • Upstream processing needs to include scalable cell culture that can produce large
806 quantities of secreted exosomes. Current bioreactor technology is designed for
807 suspension-adapted cells that are used to make antibodies or recombinant proteins.
808 They are typically not suitable for scalable expansion of adherent cells.
- 809 • Downstream processing needs to transition from traditional ultracentrifugation
810 methods to combinations of filtration and chromatographic-based methods that can
811 achieve consistent and reproducible purification at scale.
- 812 • Manufacturing science needs to be addressed early in the product development cycle
813 so that exosomes can achieve status as routine therapies more quickly and cost-
814 effectively.

815