

The Journal of Infectious Diseases

Original antigenic sin shapes the immunological repertoire evoked by HCMV gB-MF59 vaccine in seropositive recipients

--Manuscript Draft--

Manuscript Number:	JID-66212R1
Full Title:	Original antigenic sin shapes the immunological repertoire evoked by HCMV gB-MF59 vaccine in seropositive recipients
Article Type:	Brief Report
Section/Category:	Viruses
Keywords:	cytomegalovirus, vaccination, antibody responses, original antigenic sin
Corresponding Author:	Matthew Reeves UCL London, London UNITED KINGDOM
Corresponding Author Secondary Information:	
Corresponding Author's Institution:	UCL
Corresponding Author's Secondary Institution:	
First Author:	Ilona Anna Baraniak, Ph.D.
First Author Secondary Information:	
Order of Authors:	Ilona Anna Baraniak, Ph.D. Florian Kern Pavlo Holenya Paul Griffiths Matthew Reeves
Order of Authors Secondary Information:	
Manuscript Region of Origin:	UNITED KINGDOM
Abstract:	A cytomegalovirus (CMV) vaccine is urgently needed to protect against primary infection and enhance existing immunity in CMV infected individuals (CMV+). Using sera from CMV+ gB/MF59 vaccine recipients prior to transplant we investigated the composition of the immune response. Vaccination boosted pre-existing humoral responses in our CMV+ cohort but did not promote de-novo responses against novel linear epitopes. This suggests that prior natural infection has a profound effect on shaping the antibody repertoire and subsequent response to vaccination ('original antigenic sin'). Thus vaccination of CMV+ may require strategies of epitope presentation distinct from those intended to prevent primary infection.
Suggested Reviewers:	Sallie Permar sallie.permar@duke.edu Mark Schleiss schleiss@umn.edu David Snyderman dsnyderman@tuftsmedicalcenter.org Ann Arvin aarvin@stanford.edu Stanley Plotkin stanley.plotkin@vaxconsult.com

Edward MocarSKI
mocarSKI@emory.edu



1 **Short Communication**

2 **Original antigenic sin shapes the immunological repertoire evoked**
3 **by HCMV gB-MF59 vaccine in seropositive recipients**

4 **Running title: Original antigenic sin impacts CMV vaccination**

5 Ilona Baraniak¹, Florian Kern², Pavlo Holenya³, Paul Griffiths¹ & Matthew Reeves^{1,*}

6

7 ¹Institute for Immunity & Transplantation, UCL, London, United Kingdom,

8 ²Clinical and Experimental Medicine, Brighton and Sussex Medical School, Brighton,
9 United Kingdom,

10 ³JPT Peptide Technologies GmbH, Berlin, Germany

11

12 * Corresponding author:

13 E-mail: matthew.reeves@ucl.ac.uk Tel: +44 (0)203 108 6783

14 Institute for Immunity & Transplantation, Royal Free Campus, UCL, London, NW3

15 2PF UK

16 **Summary:**

17 **Vaccination of HCMV infected individuals with the glycoprotein B vaccine**
18 **boosts pre-existing immune responses against gB but fails to induce new**
19 **responses against novel linear epitopes within gB in seropositive individuals.**

20

21 **Abstract:**

22 A cytomegalovirus (CMV) vaccine is urgently needed to protect against primary
23 infection and enhance existing immunity in CMV infected individuals (CMV+). Using
24 sera from CMV+ gB/MF59 vaccine recipients prior to transplant we investigated the
25 composition of the immune response. Vaccination boosted pre-existing humoral
26 responses in our CMV+ cohort but did not promote *de-novo* responses against novel
27 linear epitopes. This suggests that prior natural infection has a profound effect on
28 shaping the antibody repertoire and subsequent response to vaccination ('original
29 antigenic sin'). Thus vaccination of CMV+ may require strategies of epitope
30 presentation distinct from those intended to prevent primary infection.

31

32 *Abstract word count: 99/100*

33 *Manuscript word count: main text 1753 (2000 max)*

34 **Keywords: cytomegalovirus, vaccination, antibody responses, original**
35 **antigenic sin**

36 **Main text:**

37 **Background:**

38 Human Cytomegalovirus (HCMV) infection is common, with seroprevalence ranging
39 from 60 to 100% (1). HCMV can promote substantial mortality and morbidity in
40 immunocompromised individuals, including solid organ transplant (SOT) recipients
41 (2). In these patients, CMV end-organ disease results from primary infection,
42 reinfection or reactivation (3). The most successful vaccine studied to date is
43 recombinant glycoprotein-B (gB) with MF59 adjuvant, which demonstrated partial
44 efficacy in reducing viraemia after SOT and similar efficacy in preventing primary
45 infection in women and adolescents (4, 5). While the mechanism of protection is not
46 fully understood we have previously reported that higher levels of total anti-gB IgG
47 antibody correlated with a shorter duration of post transplantation viraemia (6).

48 In CMV+ individuals the vaccine clearly boosted pre-existing antibody responses (7).
49 Furthermore, detailed analyses of humoral responses against well-defined antigenic
50 domains (AD1, AD2, AD4, and AD5) in seropositive individuals revealed that only
51 anti-AD2 antibody responses correlated with protection from post-transplantation
52 viremia. Importantly, vaccination only boosted AD2 responses in the 50% of CMV+
53 individuals with a pre-existing response and did not induce a new AD2 response in
54 those who lacked AD2 antibodies following natural infection. Although there was no
55 evidence that the potent responses towards AD1, AD4 and AD5 impaired protection
56 from AD2, it is possible that a large proportion of the antibodies elicited by natural
57 infection (and thus boosted by vaccination) are non-protective (7, 8). We
58 hypothesized that highly immunogenic domains that induce non-protective
59 responses might facilitate CMV replication by diverting immune system resources

60 away from domains that might induce more protective responses (7, 9, 10). To begin
61 addressing this interesting question we used peptide array technology for scanning
62 antibody responses to linear gB epitopes across all protein domains in six CMV+
63 SOT recipients.

64 **Methods:**

65 *Patient population*

66 The sub-population from whom samples have been evaluated and described in this
67 work are the cohort of solid organ transplant patients who were enrolled in the
68 phase-2 randomised and double-blinded placebo controlled cytomegalovirus
69 glycoprotein-B vaccine with MF59 adjuvant trial. This trial was registered
70 with ClinicalTrials.gov, NCT00299260 (6). The vaccine or placebo was given in three
71 doses: at Day 0 (baseline), 1 month and 6 months later. Following vaccination, the
72 blood samples from patients were obtained consecutively. The first five blood
73 samples were collected before transplantation in order to measure antibodies
74 (qualitatively and quantitatively) at baseline, and after 1, 2, 6 and 7 months. The
75 patients who subsequently underwent transplantation were followed up for 90 days
76 during which serial blood samples were obtained around days 0, 7, 35, 63, 90 post-
77 transplant. The level of viral DNA was also tested by measuring CMV DNA by real-
78 time quantitative PCR (RTqPCR) (6). Exclusion criteria included: pregnancy (a
79 negative pregnancy test was required before each vaccine dose); receipt of blood
80 products (except albumin) in the previous 3 months, and simultaneous multi-organ
81 transplantation (6). The study was approved by the Research Ethics Committee and
82 all patients gave written informed consent (6).

83 *gB peptide microarray*

84 To identify linear gB epitope binding, 15-mer peptides covering the entire gB open
85 reading frame (Towne strain), and overlapping with neighbouring peptides by 10
86 residues (total of 188 peptides) were synthesized and printed to a PepStar multiwell
87 array (JPT Peptide) in triplicate. Microarray binding was performed manually using
88 individual slides immobilized in the ArraySlide 24-4 chamber (JPT Peptide). First,
89 arrays were incubated for 1 hour with sera diluted 1:200 in blocking buffer
90 (Superblock T20 (TBS), ThermoFisher Scientific) followed by a 1 hour incubation
91 with anti-human IgG conjugated to AF647 (Jackson ImmunoResearch) diluted in
92 blocking buffer (0.1 µg/mL). Following each incubation step, arrays were washed 5x
93 in wash buffer (1x TBS buffer + 0.1% Tween) using an automated plate washer
94 (Wellwash Versa). Array was then dried by centrifugation and scanned at a
95 wavelength of 635 nm using an Axon Genepix 4300 SL50 scanner (Molecular
96 Devices) at a PMT setting of 650 and 100% laser power. Images were analysed
97 using Genepix Pro 7 software (Molecular Devices). Images were reviewed manually
98 for accurate automated peptide identification. For each spot, mean signal intensity
99 was extracted. For each peptide, the MMC2 values were calculated (the mean
100 values of all three instances on the microarray, except when the coefficient of
101 variation (CV) was larger than 0.5. In this case the mean of the two closest values
102 (MC2) was assigned to MMC2). Data analysis and graphical presentations were
103 made using the software R.

104 **Results:**

105 To characterise the antibody profile against linear epitopes of gB the sera of six
106 CMV+ gB/MF59 vaccine recipients were analysed pre and post-vaccination (Fig.1;
107 Fig.S.1; Fig.S.3).

108 This allowed the identification of epitopes recognised during natural infection as well
109 those induced or boosted by vaccine. Responses to several previously reported
110 epitopes were observed including some located in the Cytosolic Terminal Domain
111 (CTD). Studies of the serological responses to this region are limited with two studies
112 from the early 90s showing high serum reactivity to this region, subsequently called
113 “AD3” (11, 12). It was speculated that, due to its location on the intraluminal,
114 cytosolic part of gB, antibodies against this region will be most likely non-neutralising
115 and non-protective. Perhaps this assumption explains why AD3 has not been given
116 sufficient attention as a potential antibody target in the past. However, Nelson et al
117 (13) recently analysed sera from a cohort of CMV- post-partum women vaccinated
118 with gB/MF59 and subsequently found that 76% of the vaccine-induced linear IgG
119 response recognized CTD/AD3.

120 Our work with CMV+ sera shows that this also happens after natural infection
121 demonstrating that an overwhelming majority of all anti-gB antibodies against linear
122 epitopes were specific for this region (Fig.1.B). Interestingly, vaccination boosted
123 pre-existing anti-CTD responses to an extremely high level in three patients,
124 dwarfing the responses observed to other ADs (Fig.1.C and Fig. S1). The same
125 three patients experienced post-transplantation CMV viraemia. In direct contrast the
126 remaining three patients who had not developed these antibody responses

127 subsequently following vaccination and had no evidence of post-transplantation
128 viraemia (Fig.1.D).

129 Next, we sought to investigate how such potent response towards CTD in these
130 three individuals correlated with production of antibodies towards other regions
131 (Fig.2.) Interestingly we could see that high level of antibodies to AD2 and CTD are
132 mutually exclusive. This could potentially suggest that high level of anti-CTD
133 antibodies could hinder generation of anti-AD2 responses, a response that we and
134 others have previously demonstrated to be correlated with protection (Fig 2B) (8).
135 Although such a small number of individuals preclude definite conclusions, our
136 results argue that future studies should further investigate this highly immunogenic,
137 cytosolic region of gB and its relationship with other antigenic domains of gB.

138 **Discussion:**

139 Based on this study of linear epitopes, our data suggest that vaccinating CMV+
140 individuals with the gB/MF59 vaccine predominantly boosts pre-existing antibody
141 responses rather than inducing *de novo* responses. It is intriguing that while CTD is
142 highly immunogenic, responses to this region appear to inversely correlate with
143 protection from viraemia. One hypothesis is that inducing a humoral response
144 against CTD CMV diverts the immune response away from targets more likely to
145 induce protective antibody responses i.e. AD2. A competition model is not unique in
146 HCMV whereby it is argued AD1 responses may interfere with protective AD2
147 responses - although in our patient cohort we did not observe a correlation between
148 AD1 responses and the presence/absence of post-transplantation viremia (8).
149 Additionally, we cannot rule out the reason for differences in protection are related to
150 differences in the responses to other important targets for neutralisation (e.g. gH/gL
151 complexes).

152 An important implication of this study is that vaccination of CMV+ individuals with
153 gB/MF59 might simply boost the pre-existing antibody responses and, furthermore,
154 in some individuals these might be non-protective. This concept is consistent with
155 the paradigm of “original antigenic sin”, which describes the tendency of the immune
156 system to preferentially utilize immunological memory originating from a previous
157 antigen encounter. Thus, the ‘original antigenic sin’ might be responsible for shaping
158 the repertoire of immunological responses evoked by either vaccination or secondary
159 exposure to different versions of the same pathogen (e.g. a different strain, or a
160 recombinant protein subunit). As a result, pre-existing responses are boosted

161 instead of vaccination promoting the development of novel protective responses that
162 may occur in response to a newly encountered antigen. This phenomenon is well
163 established with studies of Influenza, Dengue, and HIV, and considered to be a
164 substantial obstacle to successful vaccine development (14). In this report we show,
165 for the first time, that this immunological phenomenon could also hamper the
166 success of the HCMV gB/MF59 vaccine in certain individuals. This becomes
167 prescient if we consider that a successful vaccine against this highly prevalent
168 pathogen should not only protect against primary infection but also re-infection with a
169 different strain of the virus as well as re-activation of latent infection (1, 15).

170 We believe that this observation – albeit based on small numbers – illustrates the
171 complexity of developing a universal vaccine strategy against a persistent viral
172 infection highly prevalent in the population. It also supports the hypothesis that
173 deletion of specific regions of gB, or alternative strategies to present gB, may be
174 important – particularly in individuals with prior exposure to HCMV.

175

176

177

178

179

180

181

182

183

184 **Figure 1. Responses against cytosolic terminal domain (CTD, AD3) in**
185 **seropositive individuals are dominant and non-protective.**

186 A) Linear structure of defined glycoprotein B antigenic domains. The entire open
187 reading frame (ORFs) of HCMV gB are shown. The four distinct regions of the gB
188 structure are indicated by black bars at the base of the figure, including the
189 ectodomain, membrane proximal domain (MPD), transmembrane domain (TM), and
190 the cytoplasmic domain. Major antigenic regions indicated include AD1 (orange),
191 AD2 site 1 (red), AD2 site 2 (yellow), AD3 (purple), AD4 (Domain II) (green), and
192 AD5 (Domain I) (blue). Numbers indicate approximate amino acid residues dividing
193 each region of interest. Diagram was adapted from Burke et al., Plos Pathogens,
194 2015 and Nelson et al., PNAS, 2018. B-C). The highest values of antibody
195 responses against these five major antigenic domains prior to vaccination (B) and
196 following vaccination (C) are shown for each naturally seropositive SOT patient from
197 R+ group. D) The highest value of IgG antibody responses against immunodominant
198 AD3 region are shown for each patient prior to vaccination and post-vaccination.
199 Median values of antibody responses are depicted by horizontal lines. Patients were
200 further stratified for viraemia post-transplant (>200 viral genomes/ml of whole blood).
201

202 **Fig.2. High level of antibodies to AD2 and CTD (AD3) are mutually exclusive.**

203 A-D) The highest IgG response against AD1 (A), AD2 (B), AD4 (C) and AD5 (D) was
204 plotted alongside the respective responses against cytoplasmic terminal domain
205 (CTD/AD3); (n=6).

206 **References:**

- 207 1. Cannon MJ, Schmid DS, Hyde TB. Review of cytomegalovirus
208 seroprevalence and demographic characteristics associated with infection. *Reviews*
209 *in medical virology*. 2010;20(4):202-13.
- 210 2. Baraniak IA, Reeves MB, Griffiths PD. Criteria to define interruption of
211 transmission of human cytomegalovirus from organ donor to recipient. *Reviews in*
212 *medical virology*. 2018;28(1).
- 213 3. Atabani SF, Smith C, Atkinson C, Aldridge RW, Rodriguez-Peralvarez M,
214 Rolando N, et al. Cytomegalovirus replication kinetics in solid organ transplant
215 recipients managed by preemptive therapy. *American journal of transplantation :*
216 *official journal of the American Society of Transplantation and the American Society*
217 *of Transplant Surgeons*. 2012;12(9):2457-64.
- 218 4. Bernstein DI, Munoz FM, Callahan ST, Rupp R, Wootton SH, Edwards KM, et
219 al. Safety and efficacy of a cytomegalovirus glycoprotein B (gB) vaccine in
220 adolescent girls: A randomized clinical trial. *Vaccine*. 2016;34(3):313-9.
- 221 5. Pass RF. Development and Evidence for Efficacy of CMV Glycoprotein B
222 Vaccine with MF59 Adjuvant. *Journal of clinical virology : the official publication of*
223 *the Pan American Society for Clinical Virology*. 2009;46(Suppl 4):S73-S6.
- 224 6. Griffiths PD, Stanton A, McCarrell E, Smith C, Osman M, Harber M, et al.
225 Cytomegalovirus glycoprotein-B vaccine with MF59 adjuvant in transplant recipients:
226 a phase 2 randomised placebo-controlled trial. *Lancet (London, England)*.
227 2011;377(9773):1256-63.
- 228 7. Baraniak I, Kropff B, McLean GR, Pichon S, Piras-Douce F, Milne RSB, et al.
229 Epitope-Specific Humoral Responses to Human Cytomegalovirus Glycoprotein-B

230 Vaccine With MF59: Anti-AD2 Levels Correlate With Protection From Viremia. The
231 Journal of infectious diseases. 2018;217(12):1907-17.

232 8. Baraniak I, Kropff B, Ambrose L, McIntosh M, McLean GR, Pichon S, et al.
233 Protection from cytomegalovirus viremia following glycoprotein B vaccination is not
234 dependent on neutralizing antibodies. Proceedings of the National Academy of
235 Sciences of the United States of America. 2018;115(24):6273-8.

236 9. Speckner A, Glykofrydes D, Ohlin M, Mach M. Antigenic domain 1 of human
237 cytomegalovirus glycoprotein B induces a multitude of different antibodies which,
238 when combined, results in incomplete virus neutralization. The Journal of general
239 virology. 1999;80 (Pt 8):2183-91.

240 10. Schrader JW, McLean GR. Location, location, timing: analysis of
241 cytomegalovirus epitopes for neutralizing antibodies. Immunology letters.
242 2007;112(1):58-60.

243 11. Silvestri M, Sundqvist VA, Ruden U, Wahren B. Characterization of a major
244 antigenic region on gp55 of human cytomegalovirus. The Journal of general virology.
245 1991;72 (Pt 12):3017-23.

246 12. Kniess N, Mach M, Fay J, Britt WJ. Distribution of linear antigenic sites on
247 glycoprotein gp55 of human cytomegalovirus. Journal of virology. 1991;65(1):138-46.

248 13. Nelson CS, Huffman T, Jenks JA, Cisneros de la Rosa E, Xie G, Vandergrift
249 N, et al. HCMV glycoprotein B subunit vaccine efficacy mediated by nonneutralizing
250 antibody effector functions. Proceedings of the National Academy of Sciences of the
251 United States of America. 2018;115(24):6267-72.

252 14. Monto AS, Malosh RE, Petrie JG, Martin ET. The Doctrine of Original
253 Antigenic Sin: Separating Good From Evil. The Journal of infectious diseases.
254 2017;215(12):1782-8.

255 15. Griffiths P, Baraniak I, Reeves M. The pathogenesis of human
256 cytomegalovirus. *The Journal of pathology*. 2015;235(2):288-97.
257

258 **Figure S1. The linear epitope binding responses against cytosolic antigenic**
259 **domain 3 (AD3) in naturally seropositive individuals is not correlated with**
260 **protection.**

261 The binding magnitude of antibody responses of six HCMV seropositive SOT
262 patients pre- and post-vaccination and two HCMV seronegative recipients of placebo
263 as a control were assessed against a 15-mer peptide library spanning the
264 cytoplasmic terminal domain (CTD, AD3). The negative cut-off values were set as
265 the highest responses in the sera from seronegative placebo recipients.

266 **Figure S2. General principle of epitope detection using overlapping peptide**
267 **scans.**

268 JPT's PepStar™ Peptide Microarrays are designed for detecting potential
269 biomarkers for infectious diseases, autoimmune diseases, cancer and allergies and
270 to elucidate protein-protein interactions. Each spot in the microarray represents a
271 single individual peptide. After incubation of the peptide microarray with serum or
272 antibody samples, bound antibodies or proteins can be detected using fluorescently
273 labeled secondary antibodies. Resulting antibody signatures represent unique
274 insights into the properties of samples studied.

275 **Figure S3: Heatmap diagram.**

276 Heatmap diagram showing all incubations of the serum samples (HCMV seropositive
277 SOT patients, pre- and post-vaccination) and controls (HCMV seronegative SOT
278 patients, placebo); y-axis represents peptide sequences in the library, x-axis shows
279 samples applied. Each column indicates a single patient (pre- or post-vaccination).
280 The binding magnitude is indicated as the MMC2 value (light units) calculated from
281 three spot replicates of each peptide. These values are shown as colour coded
282 ranging from white (0 or low intensity) over yellow (middle intensity) to red (high
283 intensity).

284 **Footnotes:**

285 **Funding:**

286 This study was supported by the Rosetrees and Stoneygate Trusts (A1601) and the Royal Free
287 Charity; M.B.R. was also supported by an MRC Fellowship (G:0900466). The original clinical trial of
288 gB/MF59 was supported the National Institute of Allergy and Infectious Diseases (R01AI051355) and
289 Sanofi Pasteur.

290 **Conflict of Interest:**

291 Funding sources (Rosetrees Trust, Stoneygate Trust, Royal Free charity and MRC) had no role in the
292 study design, data collection, data analysis, data interpretation, writing of the manuscript, or in the
293 decision to submit to publication. F.K. and P.H. are employees of JPT. All authors have submitted
294 ICMJE forms for disclosure of potential Conflicts of Interest.

295 **Ethics statement:**

296 The study was approved by the Research Ethics Committee and all patients whose samples were
297 investigated here gave written informed consent (6).

298 **Meeting(s) where the information has previously been presented:**

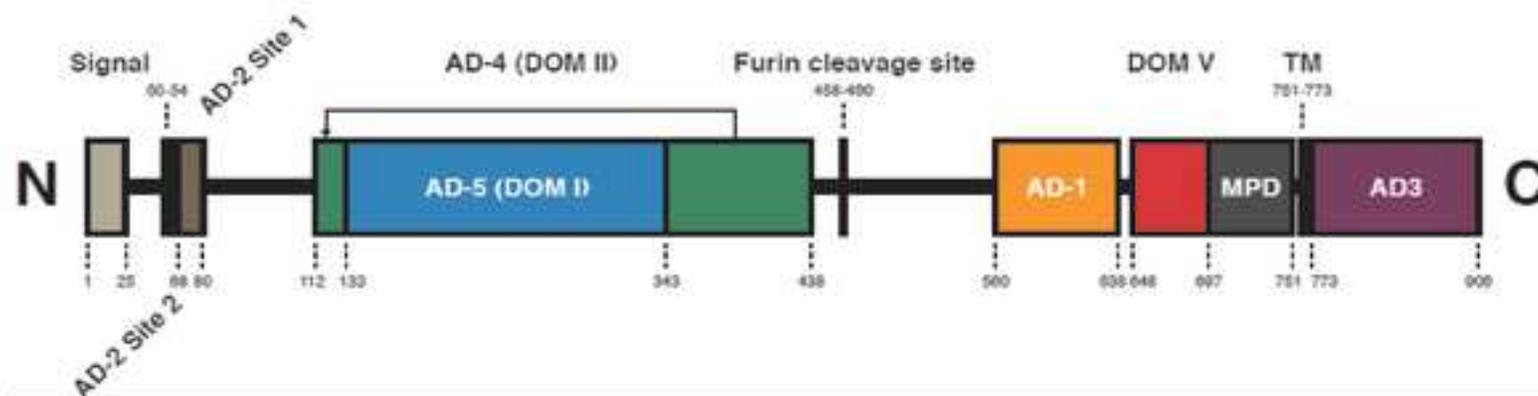
299 n/a

300 **Corresponding author contact information:**

301 Dr Matthew Reeves
302 Institute for Immunity & Transplantation, Royal Free Hospital,
303 Rowland Hill Street, NW3 2PF London, United Kingdom
304 E-mail:matthew.reeves@ucl.ac.uk
305 Tel: +44 (0)203 108 6783

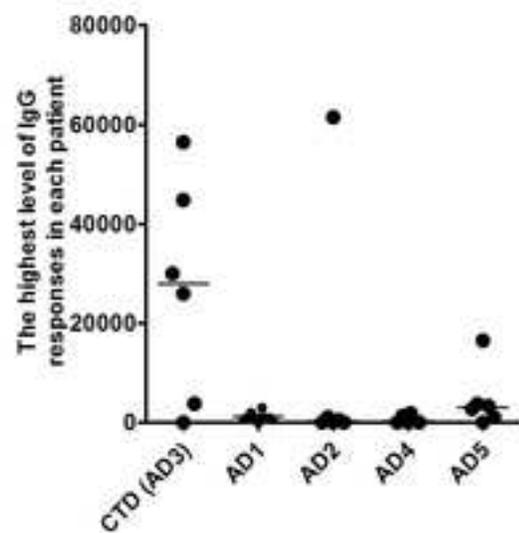
306

A.



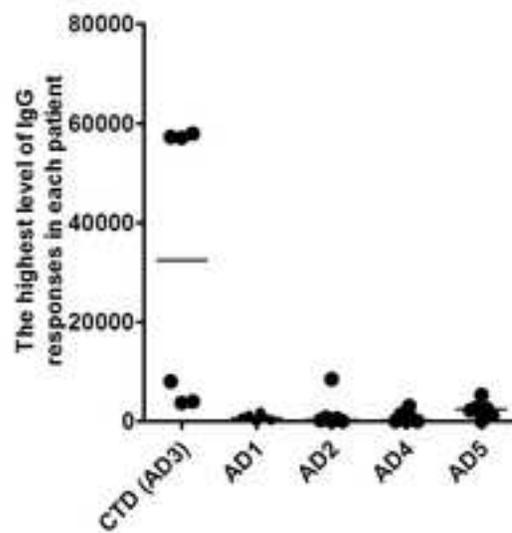
B.

Pre-vaccination (naturally seropositive)



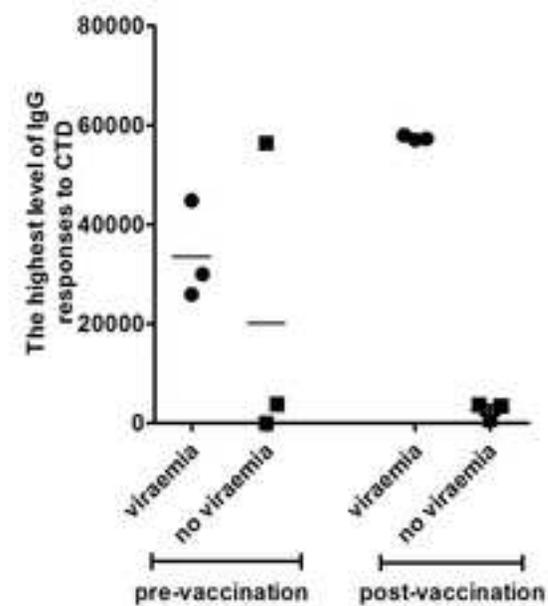
C.

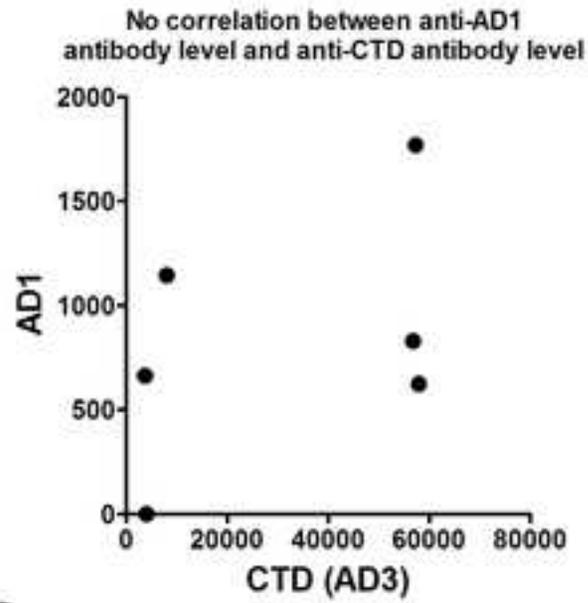
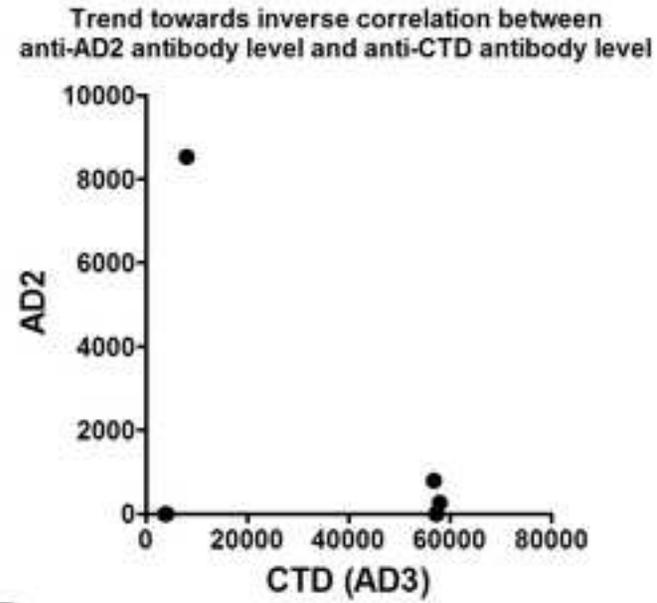
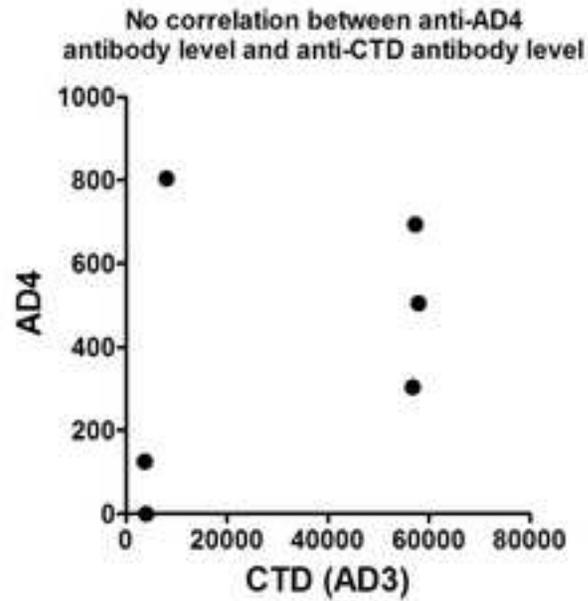
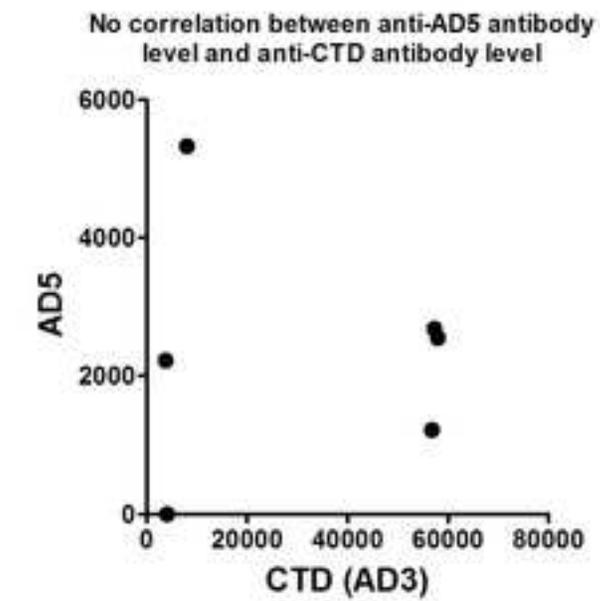
Post-vaccination

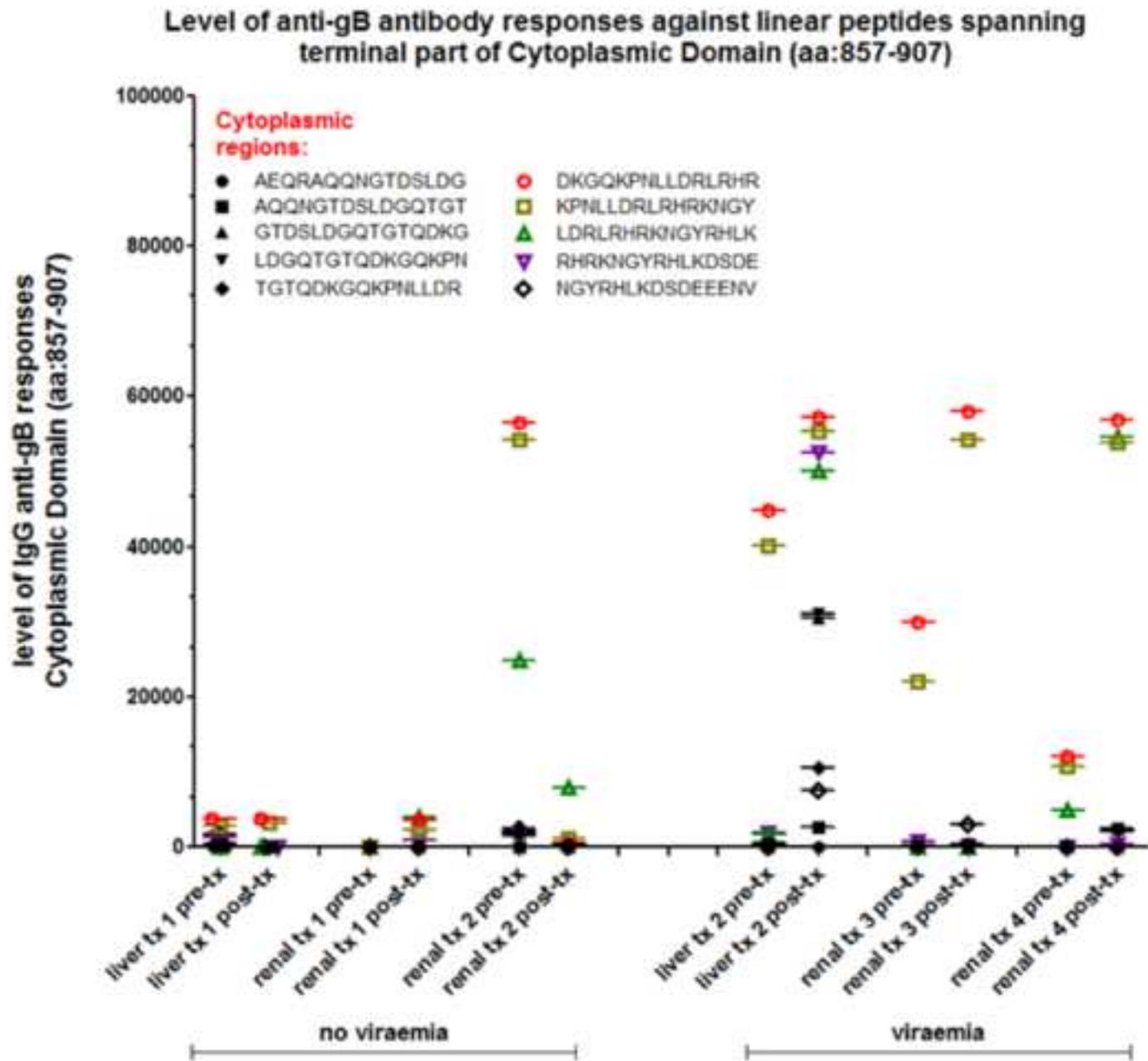


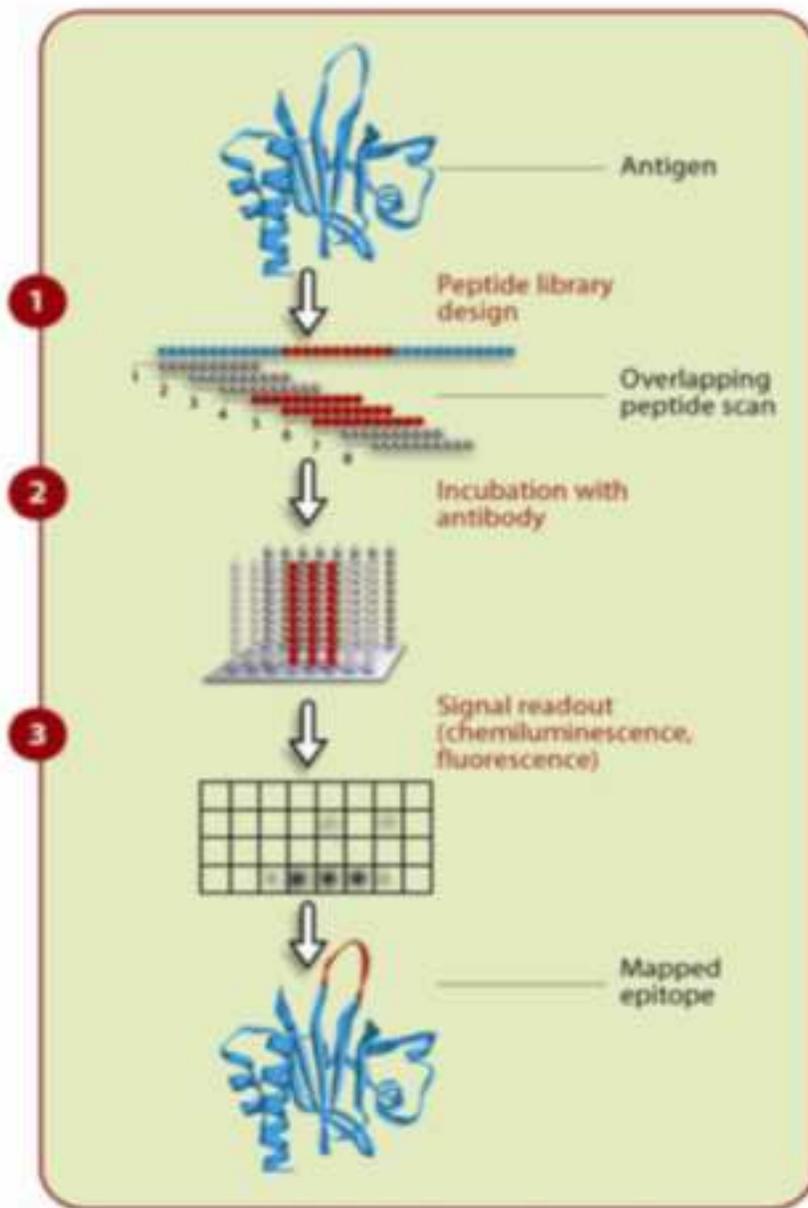
D.

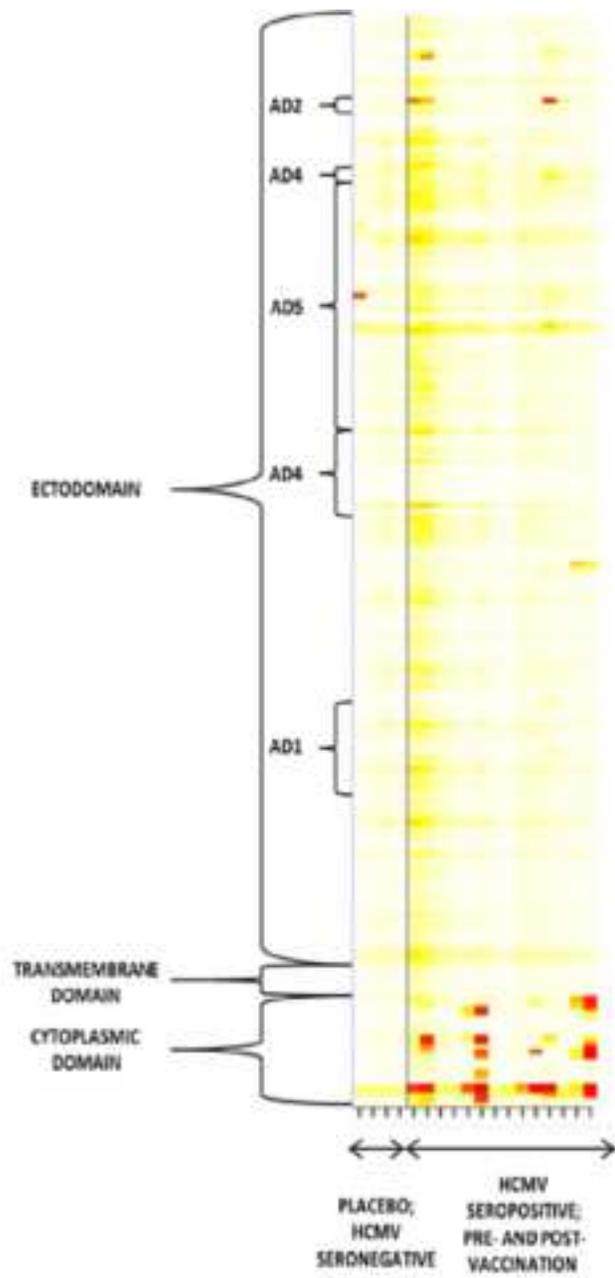
Seropositive, vaccinated Solid Organ Transplant patients



A.**B.****C.****D.**







INSTITUTE OF IMMUNITY & TRANSPLANTATION

Centre for Virology
UCL Medical School
Royal Free Campus
Rowland Hill Street
London NW3 2PF
United Kingdom

tel: +44 (0)20 7830 2997
fax: +44 (0)20 7830 2854



UCL

LONDON'S GLOBAL UNIVERSITY

Editorial Board of Journal of Infectious Diseases

Please find enclosed our revised manuscript entitled 'Original antigenic sin shapes the immunological repertoire evoked by HCMV gB-MF59 vaccine in seropositive recipients' by Baraniak et al for consideration at the Journal of Infectious Diseases.

We appreciate the positive responses of the reviewers and have either incorporated suggestions into the manuscript or, where the information is already included, highlighted this.

The authors declare no competing financial interests and all authors have read and approve the submission of the manuscript.

Thank you for your consideration of our manuscript

A handwritten signature in black ink, appearing to read 'Reeves', is written over a light blue rectangular background.

Ilona Baraniak

Paul Griffiths

Matthew Reeves

Response to Reviewers

We thank Reviewer 1 for their positive comments regarding our track record in this area of research.

Reviewer 2 makes 4 points which we respond to below:

First, as the authors state, the sample size is small.

As the reviewer correctly points out the sample size is small. However, we felt that even from this small sample set there were potentially important observations that, if highlighted now, could inform the design of future vaccine studies with larger sample sizes and thus be of value to the field.

Second, no comparative data are provided on samples from seropositive gB vaccinees who were protected.

In the study we did highlight that 3 of the 6 seropositives experienced viraemia post-transplant (Ln119 and Figure 1D). However, we were wary (in light of point 1 above) of over-interpreting a small sample size to make conclusions about protection and so have not made this a major point.

Third, antibodies were studied only for binding and no account is taken of non-neutralizing functional responses correlating with protection, as studied by Nelson et al.

The question the reviewer raises is an important one that is an ongoing area of study in our lab. The Nelson study along with our own published jointly with it (Baraniak et al, 2018, PNAS) both sought to investigate the mechanism of protection of the vaccine. It is worth noting that both these studies were seeking to understand the protection observed in seronegative vaccine recipients.

The current study is addressing a different question using seropositives. It is essentially aimed at understanding what happens to the gB antibody response following vaccination and, specifically, if there is any evidence any new antibody responses developing in individuals who have been infected with the virus prior to vaccination. We are making no claims about the functionality of the antibody responses. Unfortunately many of the assays used in the papers above are not applicable here due to the complication of these patient samples being from seropositive individuals – and thus have antibodies against multiple CMV epitopes.

Given the low numbers analysed as discussed above it would not be prudent to make claims of correlates of protection. Indeed throughout the text we have tried to make it clear that any interpretations and suggestions are made on low numbers.

Fourth, no data are provided on responses to the pentamer proteins that are considered to have a role in protection through neutralization.

No data are provided on pentamer because the focus of the study was to understand in more detail the nature of the response to gB because this is what the patients were vaccinated with. It is unlikely that changes to the pentamer response would be evident. However, please note that we have analysed these sera previously (Baraniak et al, 2018, PNAS), shown they have neutralising

activity which is not affected by gB vaccination and suggested this activity was due to antibodies that recognise pentamer. To clarify this, we have added a sentence to the discussion to state that differences in neutralising antibody responses against other targets could explain why some patients were protected and others were not (Ln 143).

1 **Short Communication**

2 **Original antigenic sin shapes the immunological repertoire evoked**
3 **by HCMV gB-MF59 vaccine in seropositive recipients**

4 **Running title: Original antigenic sin impacts CMV vaccination**

5 Ilona Baraniak¹, Florian Kern², Pavlo Holenya³, Paul Griffiths¹ & Matthew Reeves^{1,*}

6

7 ¹Institute for Immunity & Transplantation, UCL, London, United Kingdom,

8 ²Clinical and Experimental Medicine, Brighton and Sussex Medical School, Brighton,
9 United Kingdom,

10 ³JPT Peptide Technologies GmbH, Berlin, Germany

11

12 * Corresponding author:

13 E-mail: matthew.reeves@ucl.ac.uk Tel: +44 (0)203 108 6783

14 Institute for Immunity & Transplantation, Royal Free Campus, UCL, London, NW3

15 2PF UK

16 **Summary:**
17 **Vaccination of HCMV infected individuals with the glycoprotein B vaccine**
18 **boosts pre-existing immune responses against gB but fails to induce new**
19 **responses against novel linear epitopes within gB in seropositive individuals.**

20

21 **Abstract:**

22 A cytomegalovirus (CMV) vaccine is urgently needed to protect against primary
23 infection and enhance existing immunity in CMV infected individuals (CMV+). Using
24 sera from CMV+ gB/MF59 vaccine recipients prior to transplant we investigated the
25 composition of the immune response. Vaccination boosted pre-existing humoral
26 responses in our CMV+ cohort but did not promote *de-novo* responses against novel
27 linear epitopes. This suggests that prior natural infection has a profound effect on
28 shaping the antibody repertoire and subsequent response to vaccination ('original
29 antigenic sin'). Thus vaccination of CMV+ may require strategies of epitope
30 presentation distinct from those intended to prevent primary infection.

31

32 *Abstract word count: 99/100*

33 *Manuscript word count: main text ~~1726~~ 1753 (2000 max)*

34 **Keywords: cytomegalovirus, vaccination, antibody responses, original**
35 **antigenic sin**

36 **Main text:**

37 **Background:**

38 Human Cytomegalovirus (HCMV) infection is common, with seroprevalence ranging
39 from 60 to 100% (1). HCMV can promote substantial mortality and morbidity in
40 immunocompromised individuals, including solid organ transplant (SOT) recipients
41 (2). In these patients, CMV end-organ disease results from primary infection,
42 reinfection or reactivation (3). The most successful vaccine studied to date is
43 recombinant glycoprotein-B (gB) with MF59 adjuvant, which demonstrated partial
44 efficacy in reducing viraemia after SOT and similar efficacy in preventing primary
45 infection in women and adolescents (4, 5). While the mechanism of protection is not
46 fully understood we have previously reported that higher levels of total anti-gB IgG
47 antibody correlated with a shorter duration of post transplantation viraemia (6).

48 In CMV+ individuals the vaccine clearly boosted pre-existing antibody responses (7).
49 Furthermore, detailed analyses of humoral responses against well-defined antigenic
50 domains (AD1, AD2, AD4, and AD5) in seropositive individuals revealed that only
51 anti-AD2 antibody responses correlated with protection from post-transplantation
52 viremia. Importantly, vaccination only boosted AD2 responses in the 50% of CMV+
53 individuals with a pre-existing response and did not induce a new AD2 response in
54 those who lacked AD2 antibodies following natural infection. Although there was no
55 evidence that the potent responses towards AD1, AD4 and AD5 impaired protection
56 from AD2, it is possible that a large proportion of the antibodies elicited by natural
57 infection (and thus boosted by vaccination) are non-protective (7, 8). We
58 hypothesized that highly immunogenic domains that induce non-protective
59 responses might facilitate CMV replication by diverting immune system resources

60 away from domains that might induce more protective responses (7, 9, 10). To begin
61 addressing this interesting question we used peptide array technology for scanning
62 antibody responses to linear gB epitopes across all protein domains in six CMV+
63 SOT recipients.

64 **Methods:**

65 *Patient population*

66 The sub-population from whom samples have been evaluated and described in this
67 work are the cohort of solid organ transplant patients who were enrolled in the
68 phase-2 randomised and double-blinded placebo controlled cytomegalovirus
69 glycoprotein-B vaccine with MF59 adjuvant trial. This trial was registered
70 with ClinicalTrials.gov, NCT00299260 (6). The vaccine or placebo was given in three
71 doses: at Day 0 (baseline), 1 month and 6 months later. Following vaccination, the
72 blood samples from patients were obtained consecutively. The first five blood
73 samples were collected before transplantation in order to measure antibodies
74 (qualitatively and quantitatively) at baseline, and after 1, 2, 6 and 7 months. The
75 patients who subsequently underwent transplantation were followed up for 90 days
76 during which serial blood samples were obtained around days 0, 7, 35, 63, 90 post-
77 transplant. The level of viral DNA was also tested by measuring CMV DNA by real-
78 time quantitative PCR (RTqPCR) (6). Exclusion criteria included: pregnancy (a
79 negative pregnancy test was required before each vaccine dose); receipt of blood
80 products (except albumin) in the previous 3 months, and simultaneous multi-organ
81 transplantation (6). The study was approved by the Research Ethics Committee and
82 all patients gave written informed consent (6).

83 *gB peptide microarray*

84 To identify linear gB epitope binding, 15-mer peptides covering the entire gB open
85 reading frame (Towne strain), and overlapping with neighbouring peptides by 10
86 residues (total of 188 peptides) were synthesized and printed to a PepStar multiwell
87 array (JPT Peptide) in triplicate. Microarray binding was performed manually using
88 individual slides immobilized in the ArraySlide 24-4 chamber (JPT Peptide). First,
89 arrays were incubated for 1 hour with sera diluted 1:200 in blocking buffer
90 (Superblock T20 (TBS), ThermoFisher Scientific) followed by a 1 hour incubation
91 with anti-human IgG conjugated to AF647 (Jackson ImmunoResearch) diluted in
92 blocking buffer (0.1 µg/mL). Following each incubation step, arrays were washed 5x
93 in wash buffer (1x TBS buffer + 0.1% Tween) using an automated plate washer
94 (Wellwash Versa). Array was then dried by centrifugation and scanned at a
95 wavelength of 635 nm using an Axon Genepix 4300 SL50 scanner (Molecular
96 Devices) at a PMT setting of 650 and 100% laser power. Images were analysed
97 using Genepix Pro 7 software (Molecular Devices). Images were reviewed manually
98 for accurate automated peptide identification. For each spot, mean signal intensity
99 was extracted. For each peptide, the MMC2 values were calculated (the mean
100 values of all three instances on the microarray, except when the coefficient of
101 variation (CV) was larger than 0.5. In this case the mean of the two closest values
102 (MC2) was assigned to MMC2). Data analysis and graphical presentations were
103 made using the software R.

104 **Results:**

105 To characterise the antibody profile against linear epitopes of gB the sera of six
106 CMV+ gB/MF59 vaccine recipients were analysed pre and post-vaccination (Fig.1;
107 Fig.S.1; Fig.S.3).

108 This allowed the identification of epitopes recognised during natural infection as well
109 those induced or boosted by vaccine. Responses to several previously reported
110 epitopes were observed including some located in the Cytosolic Terminal Domain
111 (CTD). Studies of the serological responses to this region are limited with two studies
112 from the early 90s showing high serum reactivity to this region, subsequently called
113 “AD3” (11, 12). It was speculated that, due to its location on the intraluminal,
114 cytosolic part of gB, antibodies against this region will be most likely non-neutralising
115 and non-protective. Perhaps this assumption explains why AD3 has not been given
116 sufficient attention as a potential antibody target in the past. However, Nelson et al
117 (13) recently analysed sera from a cohort of CMV- post-partum women vaccinated
118 with gB/MF59 and subsequently found that 76% of the vaccine-induced linear IgG
119 response recognized CTD/AD3.

120 Our work with CMV+ sera shows that this also happens after natural infection
121 demonstrating that an overwhelming majority of all anti-gB antibodies against linear
122 epitopes were specific for this region (Fig.1.B). Interestingly, vaccination boosted
123 pre-existing anti-CTD responses to an extremely high level in three patients,
124 dwarfing the responses observed to other ADs (Fig.1.C and Fig. S1). The same
125 three patients experienced post-transplantation CMV viraemia. In direct contrast the
126 remaining three patients who had not developed these antibody responses

127 subsequently following vaccination and had no evidence of post-transplantation
128 viraemia (Fig.1.D).

129 Next, we sought to investigate how such potent response towards CTD in these
130 three individuals correlated with production of antibodies towards other regions
131 (Fig.2.) Interestingly we could see that high level of antibodies to AD2 and CTD are
132 mutually exclusive. This could potentially suggest that high level of anti-CTD
133 antibodies could hinder generation of anti-AD2 responses, a response that we and
134 others have previously demonstrated to be correlated with protection (Fig 2B) (8).
135 Although such a small number of individuals preclude definite conclusions, our
136 results argue that future studies should further investigate this highly immunogenic,
137 cytosolic region of gB and its relationship with other antigenic domains of gB.

138 **Discussion:**

139 Based on this study of linear epitopes, our data suggest that vaccinating CMV+
140 individuals with the gB/MF59 vaccine predominantly boosts pre-existing antibody
141 responses rather than inducing *de novo* responses. It is intriguing that while CTD is
142 highly immunogenic, responses to this region appear to inversely correlate with
143 protection from viraemia. One hypothesis is that inducing a humoral response
144 against CTD CMV diverts the immune response away from targets more likely to
145 induce protective antibody responses i.e. AD2. A competition model is not unique in
146 HCMV whereby it is argued AD1 responses may interfere with protective AD2
147 responses - although in our patient cohort we did not observe a correlation between
148 AD1 responses and the presence/absence of post-transplantation viremia (8).
149 [Additionally, we cannot rule out the reason for differences in protection are related to](#)
150 [differences in the responses to other important targets for neutralisation \(e.g. gH/gL](#)
151 [complexes\).](#)

152 An important implication of this study is that vaccination of CMV+ individuals with
153 gB/MF59 might simply boost the pre-existing antibody responses and, furthermore,
154 in some individuals these might be non-protective. This concept is consistent with
155 the paradigm of “original antigenic sin”, which describes the tendency of the immune
156 system to preferentially utilize immunological memory originating from a previous
157 antigen encounter. Thus, the ‘original antigenic sin’ might be responsible for shaping
158 the repertoire of immunological responses evoked by either vaccination or secondary
159 exposure to different versions of the same pathogen (e.g. a different strain, or a
160 recombinant protein subunit). As a result, pre-existing responses are boosted

161 instead of vaccination promoting the development of novel protective responses that
162 may occur in response to a newly encountered antigen. This phenomenon is well
163 established with studies of Influenza, Dengue, and HIV, and considered to be a
164 substantial obstacle to successful vaccine development (14). In this report we show,
165 for the first time, that this immunological phenomenon could also hamper the
166 success of the HCMV gB/MF59 vaccine in certain individuals. This becomes
167 prescient if we consider that a successful vaccine against this highly prevalent
168 pathogen should not only protect against primary infection but also re-infection with a
169 different strain of the virus as well as re-activation of latent infection (1, 15).

170 We believe that this observation – albeit based on small numbers – illustrates the
171 complexity of developing a universal vaccine strategy against a persistent viral
172 infection highly prevalent in the population. It also supports the hypothesis that
173 deletion of specific regions of gB, or alternative strategies to present gB, may be
174 important – particularly in individuals with prior exposure to HCMV.

175 **Figure 1. Responses against cytosolic terminal domain (CTD, AD3) in**
176 **seropositive individuals are dominant and non-protective.**

177 A) Linear structure of defined glycoprotein B antigenic domains. The entire open
178 reading frame (ORFs) of HCMV gB are shown. The four distinct regions of the gB
179 structure are indicated by black bars at the base of the figure, including the
180 ectodomain, membrane proximal domain (MPD), transmembrane domain (TM), and
181 the cytoplasmic domain. Major antigenic regions indicated include AD1 (orange),
182 AD2 site 1 (red), AD2 site 2 (yellow), AD3 (purple), AD4 (Domain II) (green), and
183 AD5 (Domain I) (blue). Numbers indicate approximate amino acid residues dividing
184 each region of interest. Diagram was adapted from Burke et al., Plos Pathogens,
185 2015 and Nelson et al., PNAS, 2018. B-C). The highest values of antibody
186 responses against these five major antigenic domains prior to vaccination (B) and
187 following vaccination (C) are shown for each naturally seropositive SOT patient from
188 R+ group. D) The highest value of IgG antibody responses against immunodominant
189 AD3 region are shown for each patient prior to vaccination and post-vaccination.
190 Median values of antibody responses are depicted by horizontal lines. Patients were
191 further stratified for viraemia post-transplant (>200 viral genomes/ml of whole blood).
192

193 **Fig.2. High level of antibodies to AD2 and CTD (AD3) are mutually exclusive.**

194 A-D) The highest IgG response against AD1 (A), AD2 (B), AD4 (C) and AD5 (D) was
195 plotted alongside the respective responses against cytoplasmic terminal domain
196 (CTD/AD3); (n=6).

197 **References:**

- 198 1. Cannon MJ, Schmid DS, Hyde TB. Review of cytomegalovirus
199 seroprevalence and demographic characteristics associated with infection. *Reviews*
200 *in medical virology*. 2010;20(4):202-13.
- 201 2. Baraniak IA, Reeves MB, Griffiths PD. Criteria to define interruption of
202 transmission of human cytomegalovirus from organ donor to recipient. *Reviews in*
203 *medical virology*. 2018;28(1).
- 204 3. Atabani SF, Smith C, Atkinson C, Aldridge RW, Rodriguez-Peralvarez M,
205 Rolando N, et al. Cytomegalovirus replication kinetics in solid organ transplant
206 recipients managed by preemptive therapy. *American journal of transplantation :*
207 *official journal of the American Society of Transplantation and the American Society*
208 *of Transplant Surgeons*. 2012;12(9):2457-64.
- 209 4. Bernstein DI, Munoz FM, Callahan ST, Rupp R, Wootton SH, Edwards KM, et
210 al. Safety and efficacy of a cytomegalovirus glycoprotein B (gB) vaccine in
211 adolescent girls: A randomized clinical trial. *Vaccine*. 2016;34(3):313-9.
- 212 5. Pass RF. Development and Evidence for Efficacy of CMV Glycoprotein B
213 Vaccine with MF59 Adjuvant. *Journal of clinical virology : the official publication of*
214 *the Pan American Society for Clinical Virology*. 2009;46(Suppl 4):S73-S6.
- 215 6. Griffiths PD, Stanton A, McCarrell E, Smith C, Osman M, Harber M, et al.
216 Cytomegalovirus glycoprotein-B vaccine with MF59 adjuvant in transplant recipients:
217 a phase 2 randomised placebo-controlled trial. *Lancet (London, England)*.
218 2011;377(9773):1256-63.
- 219 7. Baraniak I, Kropff B, McLean GR, Pichon S, Piras-Douce F, Milne RSB, et al.
220 Epitope-Specific Humoral Responses to Human Cytomegalovirus Glycoprotein-B

221 Vaccine With MF59: Anti-AD2 Levels Correlate With Protection From Viremia. The
222 Journal of infectious diseases. 2018;217(12):1907-17.

223 8. Baraniak I, Kropff B, Ambrose L, McIntosh M, McLean GR, Pichon S, et al.
224 Protection from cytomegalovirus viremia following glycoprotein B vaccination is not
225 dependent on neutralizing antibodies. Proceedings of the National Academy of
226 Sciences of the United States of America. 2018;115(24):6273-8.

227 9. Speckner A, Glykofrydes D, Ohlin M, Mach M. Antigenic domain 1 of human
228 cytomegalovirus glycoprotein B induces a multitude of different antibodies which,
229 when combined, results in incomplete virus neutralization. The Journal of general
230 virology. 1999;80 (Pt 8):2183-91.

231 10. Schrader JW, McLean GR. Location, location, timing: analysis of
232 cytomegalovirus epitopes for neutralizing antibodies. Immunology letters.
233 2007;112(1):58-60.

234 11. Silvestri M, Sundqvist VA, Ruden U, Wahren B. Characterization of a major
235 antigenic region on gp55 of human cytomegalovirus. The Journal of general virology.
236 1991;72 (Pt 12):3017-23.

237 12. Kniess N, Mach M, Fay J, Britt WJ. Distribution of linear antigenic sites on
238 glycoprotein gp55 of human cytomegalovirus. Journal of virology. 1991;65(1):138-46.

239 13. Nelson CS, Huffman T, Jenks JA, Cisneros de la Rosa E, Xie G, Vandergrift
240 N, et al. HCMV glycoprotein B subunit vaccine efficacy mediated by nonneutralizing
241 antibody effector functions. Proceedings of the National Academy of Sciences of the
242 United States of America. 2018;115(24):6267-72.

243 14. Monto AS, Malosh RE, Petrie JG, Martin ET. The Doctrine of Original
244 Antigenic Sin: Separating Good From Evil. The Journal of infectious diseases.
245 2017;215(12):1782-8.

246 15. Griffiths P, Baraniak I, Reeves M. The pathogenesis of human
247 cytomegalovirus. *The Journal of pathology*. 2015;235(2):288-97.
248

249 **Figure S1. The linear epitope binding responses against cytosolic antigenic**
250 **domain 3 (AD3) in naturally seropositive individuals is not correlated with**
251 **protection.**

252 The binding magnitude of antibody responses of six HCMV seropositive SOT
253 patients pre- and post-vaccination and two HCMV seronegative recipients of placebo
254 as a control were assessed against a 15-mer peptide library spanning the
255 cytoplasmic terminal domain (CTD, AD3). The negative cut-off values were set as
256 the highest responses in the sera from seronegative placebo recipients.

257 **Figure S2. General principle of epitope detection using overlapping peptide**
258 **scans.**

259 JPT's PepStar™ Peptide Microarrays are designed for detecting potential
260 biomarkers for infectious diseases, autoimmune diseases, cancer and allergies and
261 to elucidate protein-protein interactions. Each spot in the microarray represents a
262 single individual peptide. After incubation of the peptide microarray with serum or
263 antibody samples, bound antibodies or proteins can be detected using fluorescently
264 labeled secondary antibodies. Resulting antibody signatures represent unique
265 insights into the properties of samples studied.

266 **Figure S3: Heatmap diagram.**

267 Heatmap diagram showing all incubations of the serum samples (HCMV seropositive
268 SOT patients, pre- and post-vaccination) and controls (HCMV seronegative SOT
269 patients, placebo); y-axis represents peptide sequences in the library, x-axis shows
270 samples applied. Each column indicates a single patient (pre- or post-vaccination).
271 The binding magnitude is indicated as the MMC2 value (light units) calculated from
272 three spot replicates of each peptide. These values are shown as colour coded
273 ranging from white (0 or low intensity) over yellow (middle intensity) to red (high
274 intensity).

275 **Footnotes:**

276 **Funding:**

277 This study was supported by the Rosetrees and Stoneygate Trusts (A1601) and the Royal Free
278 Charity; M.B.R. was also supported by an MRC Fellowship (G:0900466). The original clinical trial of
279 gB/MF59 was supported the National Institute of Allergy and Infectious Diseases (R01AI051355) and
280 Sanofi Pasteur.

281 **Conflict of Interest:**

282 Funding sources (Rosetrees Trust, Stoneygate Trust, Royal Free charity and MRC) had no role in the
283 study design, data collection, data analysis, data interpretation, writing of the manuscript, or in the
284 decision to submit to publication. F.K. and P.H. are employees of JPT. All authors have submitted
285 ICMJE forms for disclosure of potential Conflicts of Interest.

286 **Ethics statement:**

287 The study was approved by the Research Ethics Committee and all patients whose samples were
288 investigated here gave written informed consent (6).

289 **Meeting(s) where the information has previously been presented:**

290 n/a

291 **Corresponding author contact information:**

292 Dr Matthew Reeves

293 Institute for Immunity & Transplantation, Royal Free Hospital,

294 Rowland Hill Street, NW3 2PF London, United Kingdom

295 E-mail: matthew.reeves@ucl.ac.uk

296 Tel: +44 (0)203 108 6783

297