

A deep marine organic carbon reservoir in the non-glacial Cryogenian ocean
(Nanhua Basin, South China) revealed by organic carbon isotopes

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Abstract

The late-Cryogenian warm (non-glacial) interval or LCWI (c.660 – c.650 Ma) is potentially of great significance to the co-evolution between life and the surface environment during the emergence of animal life on Earth. Although oxygenation has been frequently used to explain the timing of animal evolution and diversification, few studies have focused on the net source of oxygen, sedimentary

organic carbon, during this interval. In this study, three high-resolution organic carbon isotopic ($\delta^{13}\text{C}_{\text{org}}$) records for the Datangpo Formation (=Xiangmeng Formation) on the Yangtze platform are presented. The data derive from drill cores representing different depositional settings at Daotuo (slope), Minle (shallower-basin), and Xiangtan (deep-basin), respectively. The Daotuo and Minle samples exhibit an overall increase of 6-8‰ as well as significant isotopic fluctuations following the Tiesi'ao (=Sturtian) glaciation, while samples from the deeper Xiangtan section show relatively muted fluctuations ($\pm 1\%$) and no overall trend over the same interval. These findings can potentially be explained by a much longer residence time for marine organic matter, which may have acted as a redox buffer against oxygenation and climate change. The build-up and eventual oxidation of a sub-pycnocline organic carbon reservoir in the redox stratified non-glacial ocean could help to explain the extreme positive and negative carbon isotope excursions, respectively, in time-equivalent shallow-marine carbonate platform successions from Mongolia, Australia and Namibia.

Keywords: Cryogenian non-glacial interval, Nanhua Basin, organic carbon isotopes, organic carbon reservoir, oxygenation

1 Introduction

The Neoproterozoic Era is of great significance in the evolutionary history of the

Earth system and life. A series of important geological events occurred during this era, known widely by their evocative nicknames: ‘Snowball Earth’; the ‘Neoproterozoic Oxygenation Event’; and the ‘Cambrian Explosion’ (Holland, 1999, 2006; Squire et al., 2006; Canfield et al., 2007; Frei et al., 2009; Campbell and Squire, 2010; Halverson and Shields-Zhou, 2011; Smith et al., 2013; Lyons et al., 2014; Zhang et al., 2014; Chen et al., 2015; Sperling et al., 2015). Accompanying these various upheavals was a series of extremely negative excursions in the carbon isotopic composition of marine carbonates ($\delta^{13}\text{C}_{\text{carb}}$), the origin of which is hotly debated (Halverson et al., 2002; Grotzinger et al., 2011; Kump et al., 2011; Johnston et al., 2012; Rose et al., 2012; Schrag et al., 2013; Fan et al., 2014). Suggested causes of the negative excursions (by as much as 10‰ to 15‰) are: diagenetic alteration; decreased primary productivity; methane-seepage; petroleum-seepage; and repeated oxidation of a large ‘dissolved’ organic carbon reservoir due to pulsed oxygenation (Halverson et al., 2002; Fike et al., 2006; McFadden et al., 2008; Swanson-Hysell et al., 2010; Jiang, et al., 2012; Johnston et al., 2012; Lee et al., 2015), or deep seawater upwelling (Fan et al., 2014).

Rothman et al. (2003) proposed the existence of a large dissolved organic carbon (DOC) reservoir to explain the extreme carbon isotope fluctuations of the Neoproterozoic Era (Rothman et al., 2003), and this model has received further support since (Fike et al., 2006; McFadden et al., 2008; Swanson-Hysell et al., 2010; Kump et al., 2011). Much of the emphasis in these later studies has been to examine the relationship between $\delta^{13}\text{C}_{\text{carb}}$ excursions and the carbon isotopic composition of

organic carbon ($\delta^{13}\text{C}_{\text{org}}$), and in particular whether the two show the same trends or are decoupled from each other. If $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{org}}$ are coupled, it is suggested that they both formed from the same dissolved inorganic carbon (DIC) reservoir (Johnston et al., 2012). If $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{org}}$ are decoupled, they are both subordinate to the DOC reservoir (McFadden et al., 2008; Swanson-Hysell et al., 2010). A two-component mixing model was used by Johnston et al. (2012) to argue that apparent decoupling was the result of admixture of detrital organic carbon, and that coupling was the norm in more organic-rich, shallow marine settings. These findings supported the primary nature of negative carbon isotope excursions, and lead to the prediction that shallower and organically more productive settings ought to exhibit closer isotopic coupling during isotope excursions. However, the existence of this postulated DOC reservoir has yet to be demonstrated using transects from shallow to deep marine settings.

The existence of an organic carbon reservoir would play a significant role in buffering oxygen levels (Rothman et al., 2003; Fike et al., 2006; Li et al., 2010, 2012, 2015; Swanson-Hysell et al., 2010). Organic carbon acts as an electron donor in redox reactions, while an organic carbon reservoir could potentially provide enough reductant capacity to sustain ocean redox stratification (Li et al., 2012, 2015; Fan et al., 2014). Oxygen levels, in general, are controlled by the balance between net oxidant flux (mostly from oxidative weathering and oxidation of volcanic outgassing) and net reductant flux (mostly from organic production). The presence of an organic carbon pool in the lower oceans would also generate a negative climate feedback,

whereby excess organic carbon production would be compensated by a greater net contribution from 'DOC' oxidation, releasing CO₂ and preventing further global cooling and glaciation (Swanson-Hysell et al., 2010). The magnitude and buffering capacity of the putative organic carbon reservoir would be tightly related to the stability and evolution of Earth's surface environment. However, its existence is hotly disputed, with some authors skeptical that enough oxidizing power could ever have been available to remineralise sufficient organic carbon to cause large carbon isotope perturbations (Bristow and Kennedy, 2008). As a result, the precise nature of the organic carbon reservoir is in dispute (Swanson-Hysell et al., 2010; Bjerrum and Canfield, 2011; Jiang et al., 2010; Johnston et al., 2012), while there is an overall lack of direct geological evidence (Jiang et al., 2012; Johnston et al., 2012). This study attempts to resolve this problem by comparing a shallow marine section with two other, more distal, but time-equivalent successions for the late Cryogenian warm interval (LCWI) in the Nanhua Basin.

The Nanhua Basin in South China is a suitable subject to study the evolution of the non-glacial Cryogenian ocean composition because of its well-characterized stratigraphic (glacigenic) framework, including upper and lower radiometric age constraints. The Datangpo Formation and correlative Xiangmeng Formation were both deposited between the late Cryogenian (Marinoan) glaciation and the early Cryogenian (Sturtian) glaciation in the Nanhua Basin, South China. Both the Datangpo and Xiangmeng formations comprise apparently complete, organic carbon-rich black shale and siltstone that make good subjects for studying the carbon

cycle and redox conditions between the Cryogenian glaciations. The organic carbon-rich nature of these units makes their $\delta^{13}\text{C}_{\text{org}}$ records more reliable, allowing us potentially to address questions such as: Did redox conditions govern the burial of organic carbon? Was there a carbon isotope gradient in the Cryogenian non-glacial ocean? What organic carbon sources contributed to the organic carbon isotope composition of deposited organic matter? The three drill cores examined in this study present the Datangpo Formation (=Xiangmeng Formation) in its entirety, developed in slope (Daotuo section), shallower basin (Minle section), and basin (Xiangtan section) settings. The depositional depth of Minle section is shallower than that of Xiangtan section (Fig. 1) (Jiang et al., 2011).

2 Geological setting and sample descriptions

The Neoproterozoic strata in South China were deposited in a rift to passive margin setting along the southeastern side of the Yangtze platform (Li et al., 1999; Jiang et al., 2003; Zhang et al., 2008), and are composed of three major parts (Jiang et al., 2003; Zhou et al., 2004; Zhang et al., 2008) (Fig.1): (1) pre-glacial siliciclastic rocks (Liantuo Formation and Banxi Group), being thin in the interior of the Yangtze Block and thick (>2 km) in the basin; (2) two Cryogenian-age, glacially-influenced diamictite intervals (Chang'an/Dongshanfeng/Tiesi'ao Formation and Nantuo Formation) that are separated by a manganese-bearing siltstone/shale unit (Datangpo/Xiangmeng Formation); and (3) post-glacial marine carbonates and

shales (Doushantuo Formation and Dengying/Liuchapo Formation) that are thick (>700m) on the shelf but thinner (<250m) in the basin. During the Cryogenian, the Yangtze platform can be divided into three sedimentary facies, representing different depositional environments from northwest to southeast: (1) platform facies; (2) slope facies; and (3) basin facies (Jiang et al., 2011).

The Datangpo/Xiangmeng Formation is sandwiched between two major glacially-influenced diamictites, the older Tiesi'ao Formation (Gucheng Formation in western Hunan Province) and the younger Nantuo Formation (Dobrzinski and Bahlburg, 2007; Zhang et al., 2008, 2013). The Datangpo Formation and the Xiangmeng Formation are time-equivalent Cryogenian non-glacial (warm interglacial) deposits.

Looking more widely, the Datangpo Formation and the Taishir Formation in western Mongolia were likely deposited around the same time (Fig. 2), while the Ombaatjie Formation of Namibia is probably equivalent to the upper portion of the Datangpo Formation (Hoffman, 2011). The Trezona Formation of Adelaide Rift Complex, South Australia is probably equivalent to the upper portion of the Datangpo Formation (McKirdy et al. 2001; Rose et al., 2012).

The Tiesi'ao Formation comprises diamictites, and is correlative with Sturtian glacial deposits globally (Zhang et al., 2003; Macdonald et al., 2010; Zhang et al., 2015). The Nantuo Formation comprises thick diamictites, siltstones, and sandstones, and is correlative with Marinoan glacial deposits globally (Condon et al., 2005; Zhang et al., 2005; Zhang et al., 2015). The Datangpo Formation and the Xiangmeng

Formation comprise the entire sedimentary record of the Nanhua Basin between the Sturtian and Marinoan glacial intervals.

The Daotuo, Minle and Xiangtan drill cores exhibit different facies and represent different depositional settings (Fig.1). The Daotuo section is located in Songtao County in northeastern Guizhou Province (N28°07'3.67", E108°52'25.86", Drill core ZK105). The Datangpo Formation is 285.5 m thick, representing a slope setting. The Daotuo section contains the thickest example of the Datangpo Formation in the Nanhua Basin. The Minle section is located in Huayuan County in western Hunan Province (Drill core 0905). At Minle section, the Datangpo Formation is 196.3 m thick, and represents a shallower basin setting. The Xiangtan section is located near Xiangtan City in Hunan Province (N27°58'31", E112°50'22", Drill core 0905), where the Xiangmeng Formation (=Datangpo Formation) is 89 m thick and represents a basin setting. The depositional depth of Xiangtan section is deeper than that of Minle section (Fig.1)(Jiang et al., 2011). Although of different thicknesses, the studied sections have a similar lithologic profile (Fig.2) with intervals of manganese carbonate, directly overlying Sturtian-age diamictites of the Gucheng or Tiesi'ao formations, followed by organic carbon-rich black shale (or gray-black shale), and gray siltstone (Li et al., 2012; Zhang et al., 2015). The Datangpo/Xiangmeng Formation exhibits an apparently conformable contact with both the underlying Tiesi'ao/Gucheng Formation as well as the overlying Nantuo Formation, and contain no intra-formatinal break in deposition (Fig.4) for the three studied drill cores.

Detailed lithologic information about the Daotuo section can be found in [Zhang et al. \(2015\)](#). The Datangpo Formation at Minle can be divided into 7 units ([Fig.2](#)). Unit 1 is a 1.3-m-thick layer composed of pyritic, Mn-carbonate. Unit 2 is a 3.8-m-thick layer composed of gray shale. Unit 3 is a 45.5-m-thick layer composed of black shale. Unit 4 is a 33.0-m-thick layer composed of black shale and gray shale. Unit 5 is a 69.0-m-thick layer composed of gray shale. Unit 6 is a 19.3-m-thick layer composed of black shale, gray shale. Unit 7 is a 24.4-m-thick layer composed of black-silty shale. The Xiangmeng Formation at Xiangtan can be divided into 4 units ([Fig.2](#)). Unit 1 is a 0.4-m-thick interval composed of Mn-carbonate. Unit 2 is a 82.0-m-thick interval composed of black shale. Unit 3 is a 1.1-m-thick interval composed of gray-black manganese-bearing limestone. Unit 4 is a 5.5-m-thick interval composed of a calcareous, pyritic, gray-black shale.

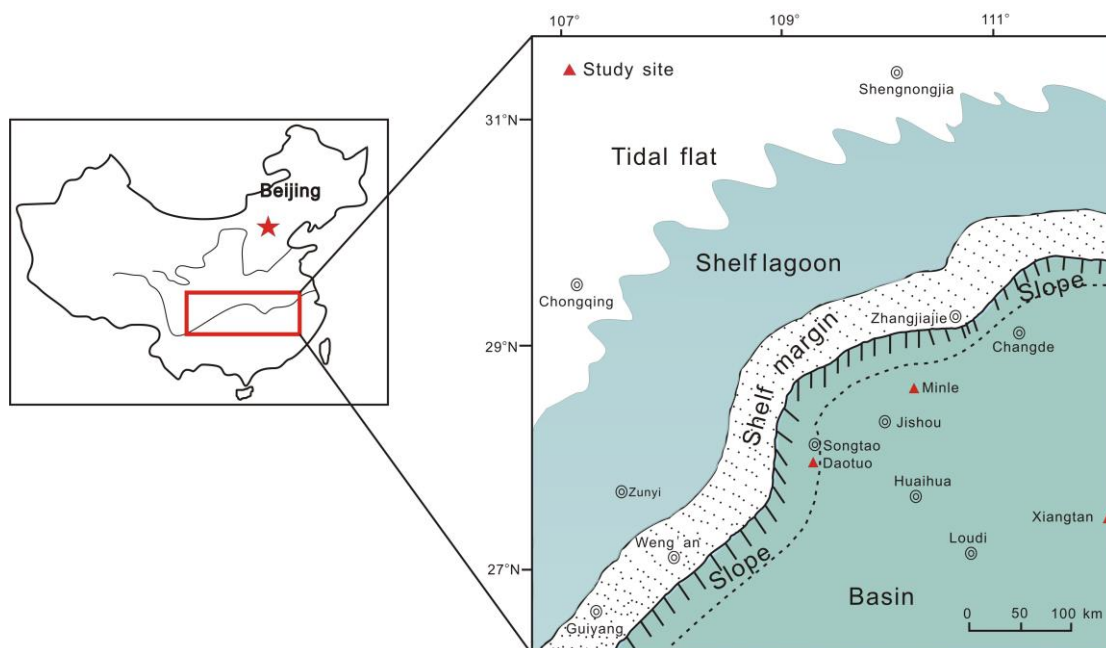


Fig. 1 A simplified paleogeographic map showing the studied area ([modified Jiang et](#)

al., 2011)

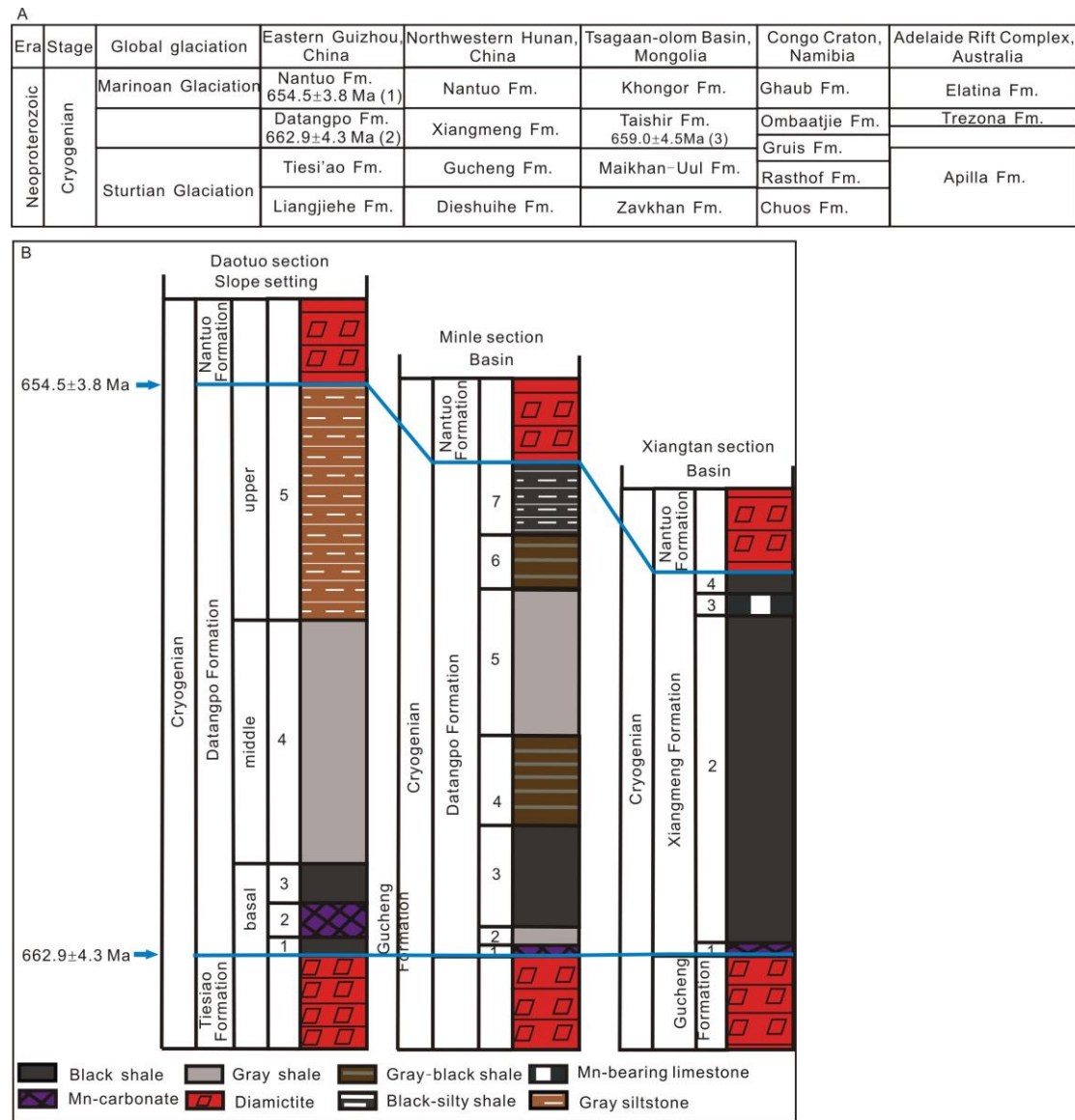


Fig. 2 A. Stratigraphic correlations of South China and Namibia, Mongolia during Cryogenian non-glacial stage. B. The stratigraphic column shows the stratigraphic succession of study sections. (1) SHRIMP zircon U-Pb age (Zhang et al., 2008). (2) Zircon U-Pb age (Zhou et al., 2004). (3) Re-Os isochron age (Rooney et al., 2015).

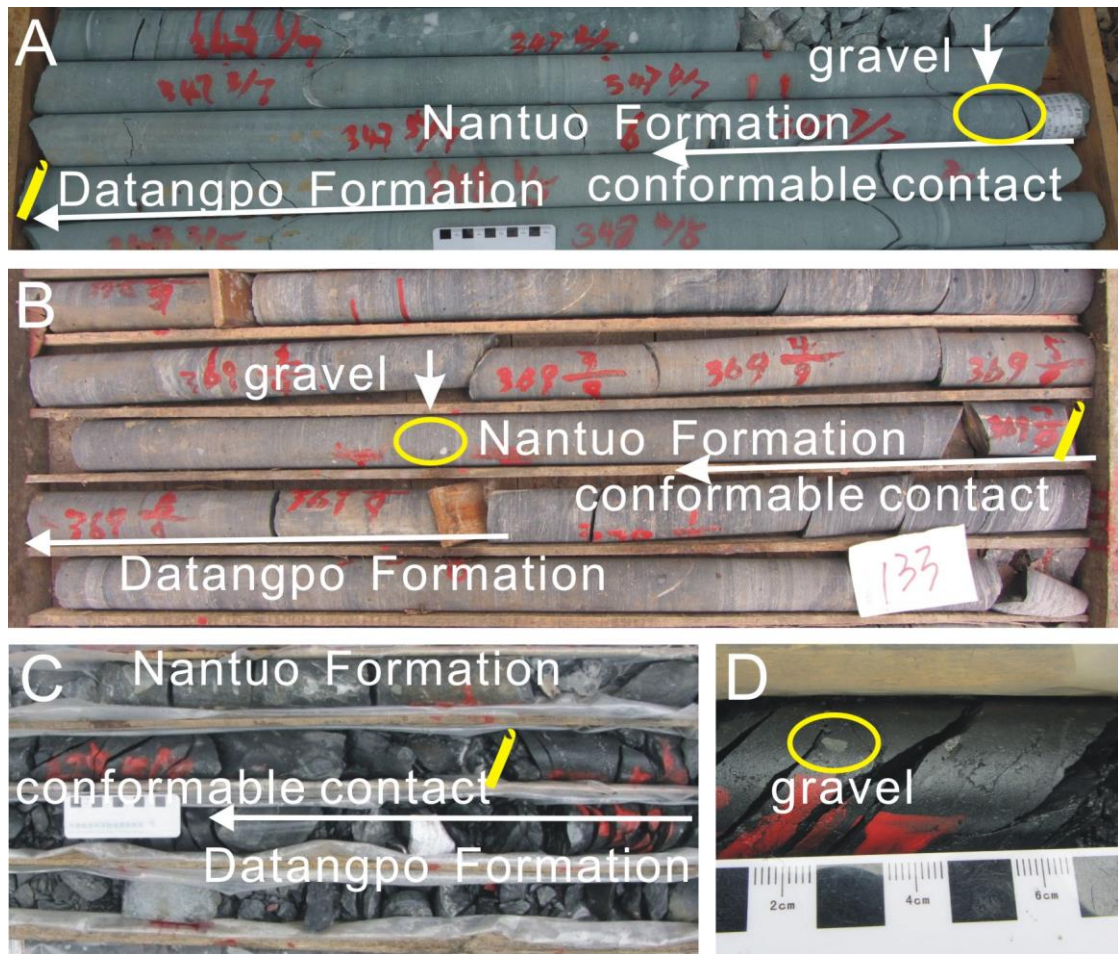


Fig.3 Drill core photographs. A. Gradual transition between Datangpo Formation and Nantuo Formation in the Daotuo section, Songtao County in northeastern Guizhou. B. Gradual transition between Datangpo Formation and Nantuo Formation with the presence in the Minle section, Huayuan County in western Hunan Province. C. Gradual transition between Datangpo Formation and Nantuo Formation in the Xiangtan section, Xiangtan City in Hunan Province. D. Gravels in top part of Xiangmeng Formation (black shale) in Xiangtan section.

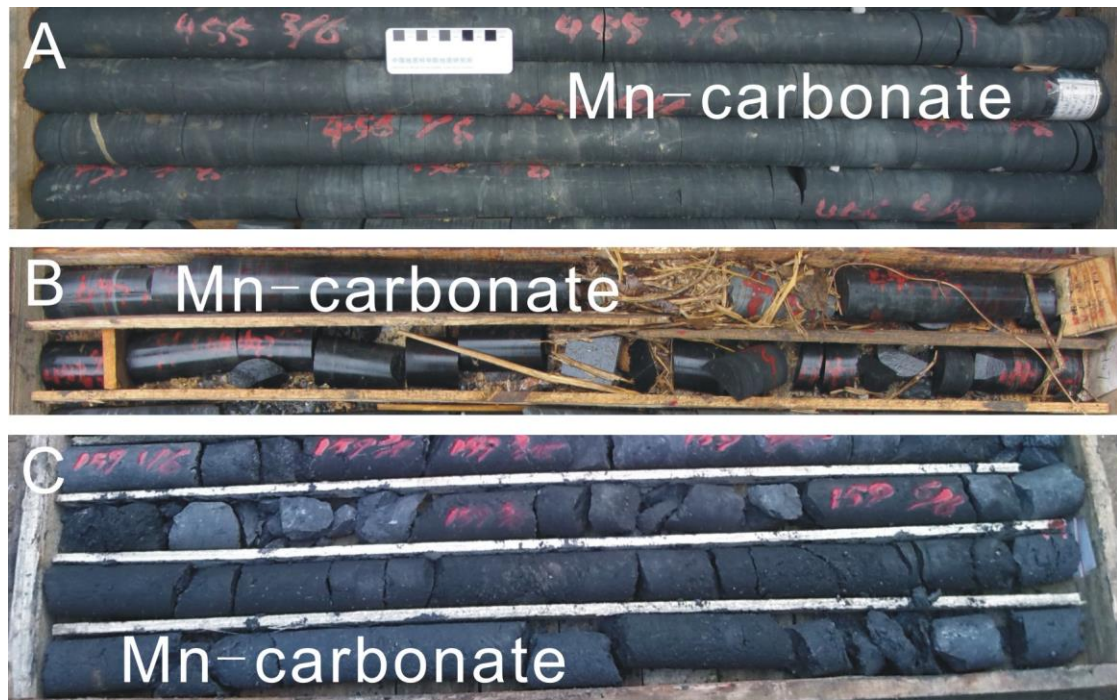


Fig.4 A. Strata containing Mn-carbonate ore in the Datangpo Formation for Daotuo section. B. Strata containing Mn-carbonate ore in the Datangpo Formation for Minle section. C. Strata containing Mn-carbonate in the Xiangmeng Formation for Xiangtan section.

3 Analytical methods

3.1 Major elements

Bulk-rock samples of various lithologies, including black shale, Mn-carbonate, grey shale, and grey siltstone, were prepared for geochemical analysis by washing in 18.2 Milli-Q H₂O and crushing to a powder. 153 samples were analyzed in a clean room at the National Research Center for Geoanalysis, Chinese Academy of Geological Sciences. Major elements were analyzed by ICP-AES (inductively coupled plasma–atomic emission spectrometry).

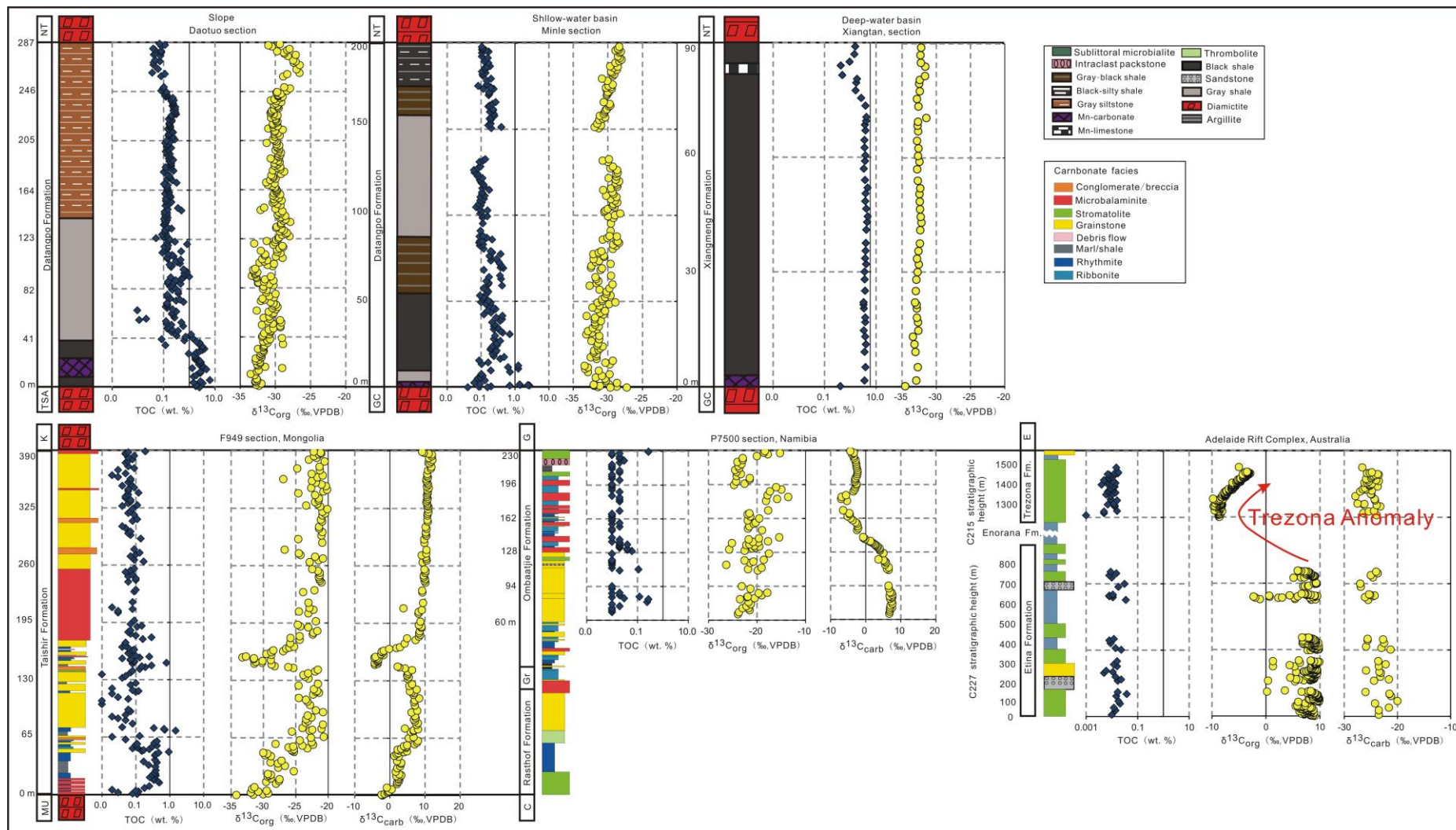
3.2 Organic carbon abundance and organic carbon isotopes

A total of 485 samples were analyzed for C isotope compositions in this study, of which, 259 samples were collected from Daotuo drill core at a spacing of 0.5-2.0m; 180 samples were collected from Minle drill core at a spacing of 0.1-2.0 m; and 46 samples were collected from Xiangtan section at a spacing of 0.5-4.0 m. For every sample, veins were avoided to avoid late-stage hydrothermal effects.

For each sample, ca.3g of fresh shale was ground to powder (200 mesh) in an automated agate mill. Samples were decalcified with concentrated HCl (6M) for 1h at 70°C, buffered back to about neutral pH (>pH5) with deionized water, then centrifuged and the supernatant removed. The siliciclastic content was removed with HF (6M) for 1h (70 °C). Samples were buffered back to about neutral pH (>pH5) with deionized water again, centrifuged, and the supernatant removed and evaporated to dryness at 50°C. Homogenized residues were analyzed on a Vario EL cube elemental analyzer for TOC contents, and on a ThermoFinnigan Delta XL Plus in continuous mode for C isotope compositions. External and internal uncertainties were monitored using urea standards, and were better than 0.1% and 0.2‰ for TOC and $\delta^{13}\text{C}_{\text{org}}$, respectively. To monitor the reproducibility, duplicates were analyzed for every sample. All isotope values are reported as δ values with reference to the Vienna Pee Dee Belemnite standard (VPDB).

Sample preparation was performed in the MLR Key Laboratory of Isotope Geology, Institute of Geology, Chinese Academy of Geological Sciences, and sample analyses carried out in the State Key Laboratory of Organic Geochemistry,

Guangzhou Institute of Geochemistry, Chinese Academy of Sciences.



226 Fig. 5 Spatial and temporal distribution of organic carbon isotopes and TOC
227 abundance. Data for F949 section for the Taishir Formation and P7500 section are
228 from [Johnston et al. \(2012\)](#). F949 section is located at Uliastay Gol, Mongolia
229 (46°49'30"N, 95°49'20"E). P7500 section is located at Namibia (19°09'26"S,
230 13°51'09"E). Data for C215 and C227 section are from [Swanson-Hysell et al. \(2010\)](#).
231 C215 and C227 section are located at Adelaide Rift Complex, Australia (31°23'44"S,
232 138°51'14"E). C indicates the Chuos Formation. E indicates the
233 Marinoan-equivalent Etina Formation. G indicates the Ghaub Formation, and Gr
234 indicates the Gruis Formation. GC indicates the Sturtian-equivalent Gucheng
235 Formation. MU indicates the Sturtian-equivalent Maikhan-Uul Formation, and K
236 indicates the Marinoan-equivalent Khongor Formation. TSA indicates the
237 Sturtian-equivalent Tiesi'ao Formation, and NT indicates the Marinoan-equivalent
238 Nantuo Formation.

239

240 **4 Results**

241 The results of major element content, TOC abundance and $\delta^{13}\text{C}_{\text{org}}$ results for the
242 study sections are listed in Table 1 of the supplementary material.

243

244 Daotuo section (slope setting)

245 The TOC abundance ranges from 0.01 wt.% (at 41 m and 51 m) to 6.35 wt.% (at 3.8
246 m), averaging 0.52 ± 0.88 wt.%. $\delta^{13}\text{C}_{\text{org}}$ ranges from -33.5‰ (at 4.8 m) to -26.5‰ (at

247 261.5 m), averaging $-30.3 \pm 1.4\text{‰}$. TOC abundance decreases through the non-glacial
248 interval, from 2.48 wt.% to 0.10 wt.% (Fig.5), while $\delta^{13}\text{C}_{\text{org}}$ values clearly show two
249 successive increasing trends, and an overall increase from -32.3‰ at the base to
250 -29.3‰ at the top. There are also two $\delta^{13}\text{C}_{\text{org}}$ excursions (ca. 5‰) at the bottom of
251 the Daotuo section. At Daotuo, changes in TOC abundance and lithology are
252 synchronous, but changes in organic carbon isotopes and lithology do not coincide
253 (Fig.5).

254

255 Minle section (shallower basin setting)

256 The TOC abundance ranges from 0.04 wt.% (at 0 m) to 2.67 wt. % (at 1.0 m),
257 averaging 0.24 ± 0.32 wt.%. $\delta^{13}\text{C}_{\text{org}}$ ranges from -33.4‰ (at 14.4 m) to -27.3‰ (at 0
258 m), averaging $-30.3 \pm 1.3\text{‰}$. TOC abundance is higher after Sturtian Glaciation than
259 before Marinoan Glaciation, from 2.67 wt. % to 0.11 wt. %. And $\delta^{13}\text{C}_{\text{org}}$ values show
260 an obvious increasing trend during the non-glacial interval, from -32.0‰ to -28.3‰
261 (Fig.5). There was a $\delta^{13}\text{C}_{\text{org}}$ excursion (ca. 4‰) at the bottom of Minle section. But
262 the change to and away from the nadir is more muted compared with that of Daotuo
263 section (Fig.5). For Minle section, TOC abundance and organic carbon isotope
264 values are variable at the bottom and stable for the middle and upper part, while
265 lithology is variable for the whole section (Fig.5).

266

267 Xiangtan section (basin setting)

268 The TOC abundance is relatively high, ranging from 0.42 wt.% (at 0 m) to 4.80 wt.%

269 (at 44.5 m), averaging 3.32 ± 1.16 wt.%. $\delta^{13}\text{C}_{\text{org}}$ ranges from -34.5‰ (at 0 m) to
270 -31.4‰ (at 70.5 m), averaging $-32.6 \pm 0.5\text{‰}$. TOC abundance decreases sharply at
271 81.5 m, from 2.67 wt. % to 0.50 wt. % (Fig.5), but $\delta^{13}\text{C}_{\text{org}}$ remains stable through the
272 whole profile, being -32.9‰ at the base and -32.3‰ at the top. Lithology remains
273 unchanged despite the major decrease in TOC near the top of the formation (Fig.5).

274

275 **5 Discussion**

276 **5.1 Geochronological constraints on the Datangpo/ Xiangmeng Formation**

277 It is generally considered that the Nantuo deposits are equivalent to the global
278 end-Cryogenian or ‘Marinoan’ glaciation (Condon et al., 2005; Zhu et al., 2007;
279 Zhang et al., 2008). Thus, the Datangpo/Xiangmeng Formation covers the whole
280 interval of the late-Cyogenian warm interval between the ‘Snowball Earth’ events.
281 Most radiometric age data for Cryogenian strata were obtained from the Yangtze
282 Block. A U-Pb age of 662.9 ± 4.3 Ma has been obtained from a layer of volcanic ash
283 near the base of the Datangpo Formation (Zhou et al., 2004), which is regarded as an
284 anchor for the termination of ‘Sturtian’ glaciation (Rooney et al., 2014, 2015). A
285 U-Pb zircon study of tuffaceous beds from the top Datangpo Formation yield an age
286 of 654.5 ± 3.8 Ma (Zhang et al., 2008). Thus, the Datangpo/Xiangmeng Formation
287 covers a time interval of ca. 10 million years.

288 **5.2 Chronostratigraphic correlation between the three sections**

289 The relative completeness of the studied Datangpo and Xiangmeng formations is
290 vital to interpretations of these isotope data. It is considered that considerable erosion
291 must have occurred across a large area due to the postulated sea-level fall of up to
292 800 meters caused by the ‘Marinoan’ glaciation (Hoffman, 2005; Liu and Peltier
293 2013). Indeed, there are differences in thickness and lithology for the three studied
294 sections that might be attributed to erosional truncation. This issue is dealt with
295 specifically below by examining the nature of the contacts between underlying and
296 overlying strata.

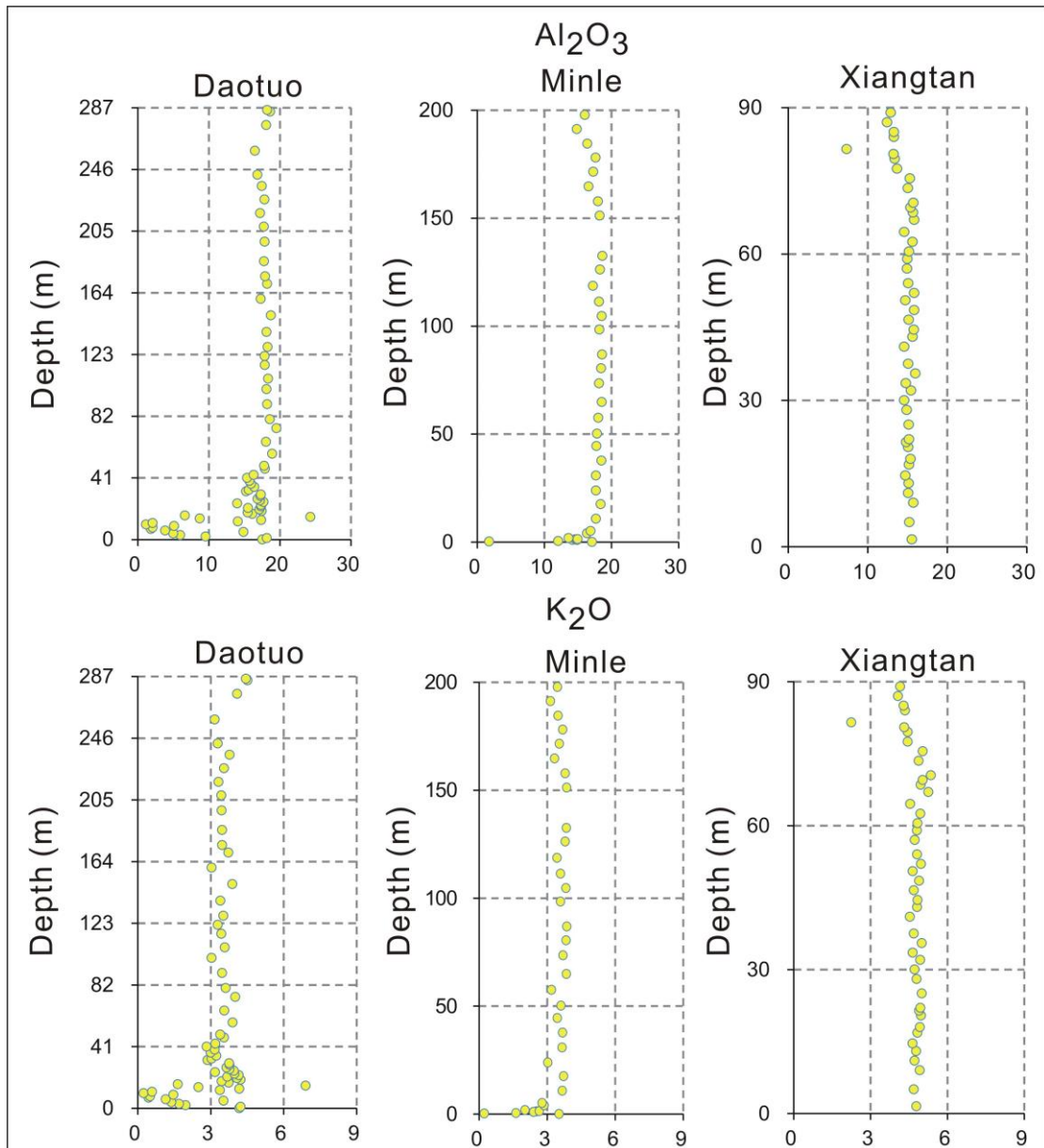
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298 **5.2.1 Contact relationship with underlying strata**

299 Mn-carbonate ore is a unique feature that is characteristic of the Datangpo Formation
300 (Xiangmeng Formation) across the Yangtze platform (Fig.4). It has been well
301 documented that the Mn-carbonate ore-bearing strata occur at the bottom of the
302 Datangpo and Xiangmeng formations in both outcrop sections and drill cores (Fan et
303 al., 1992; Zhou et al., 2004; Zhang et al., 2015; Wu et al., 2016). As shown in Figs.
304 2 and 4, Mn-carbonate beds have been observed to mark the base of the non-glacial
305 succession at each of the three localities studied here (Fig.2). This suggests that the
306 non-glacial sedimentation of the Datangpo/Xiangmeng Formation occurred almost
307 synchronously in the three localities, and that there is no significant hiatus between
308 the non-glacial and underlying glacial sedimentation.

309 5.2.2 Contact relationship with overlying strata

310 The base of the Nantuo Formation is marked by the onset of conglomerate
311 (diamictite) deposition (Zhang et al., 2008). Although the transitions between the
312 Datangpo (Xiangmeng) Formation and the Nantuo Formation are generally sharp, no
313 discontinuities are observed at any of the three sections (drill cores in the three areas)
314 (Fig.3). Instead, gradational transitions between the two formations are evident in
315 terms of their colour, organic content and general lithology (Fig.3). More importantly,
316 angular gravels have been observed at the top of the Xiangmeng Formation in some
317 drill cores (Fig.3C, D). The large sizes of the gravels are in great contrast to those of
318 the host black shale (Fig. 3A, B, C, D). Thus, these gravel lonestones are considered
319 to be possible dropstones. The occurrence of outsized dropstones in otherwise, very
320 fine-grained black shale argues for continuity of sedimentation between the
321 Datangpo/Xiangmeng Formation and the overlying Nantuo diamictite. Therefore, the
322 Xiangtan black shale is argued to cover the entire non-glacial interval in South
323 China.



324

325 Fig.6 Comparisons between Al_2O_3 and K_2O contents in Daotuo, Minle and

326 Xiangtan dill core sections

327 5.3 Constraints on the depositional settings

328 Although samples from different depositional settings were purposely selected, using

329 previous studies as a guide, it is important to examine whether features exhibited by

330 the studied samples are consistent with their supposed settings.

331 **5.3.1 Grain sizes**

332 Sediment grain size can reflect hydrodynamic conditions. Coarse grain size is taken
333 to indicate a high energy (high velocity) environment, while fine grain size suggests
334 low energy (low velocity) conditions. Therefore, grain size distribution can also
335 reflect sedimentary depth (Ghoshal et al., 2010; Bouchez et al., 2011). The Datangpo
336 Formation at Daotuo is mainly composed of black shale and siltstone, with the
337 portion of siltstone being much larger than that of shale. This is consistent with the
338 the Datangpo Formation at Daotuo being situated nearer the land. The Datangpo
339 Formation at Minle is mainly composed of black shale, gray shale and a little
340 siltstone, indicating that the depositional site for the Datangpo Formation at Minle
341 was further away from its terrestrial source than for Daotuo, thus likely deeper. The
342 Xiangmeng Formation in Xiangtan is mainly composed of fine black shale, which
343 indicates a quiet depositional environment. Thus, compared with the Datangpo
344 Formation at Daotuo and the Datangpo Formation at Minle, the Xiangmeng
345 Formation at Xiangtan was likely deposited in the deepest part of the basin.

346 **5.3.2 Clay minerals**

347 Clay minerals can be transported a long distance. Clay minerals generally contain a
348 mixture of some or all of kaolinite, illite, smectite and chlorite, depending on the
349 depositional setting. Kaolinite settles more quickly, followed by illite, due to their
350 different hydrodynamic properties (Thiry et al., 2000; Kalinenko, et al., 2001).
351 Therefore, kaolinite is found in greater abundance near the shore, while illite

352 concentrations increase in a relative sense away from shore. The obvious difference
353 between kaolinite and illite is the abundance of potassium (K). Illite is rich in K, and
354 kaolinite lacks K. Compared with samples from Daotuo and Minle, Xiangtan
355 samples are rich in K₂O, which indicates that Xiangtan is rich in illite (Fig.6), thus
356 providing further support that the Xiangtan strata were deposited in a deeper marine
357 environment than those at Daotuo and Minle.

358 **5.3.3 Sedimentation rates**

359 The greater thickness of the Datangpo Formation at Daotuo is consistent with the
360 greater abundance of terrestrially-derived material and high sedimentation rates
361 expected of a nearshore environment. Conversely, being most distal from the shore,
362 it might be expected that the Xiangmeng Formation at Xiangtan would be relatively
363 condensed due to a relative lack of terrestrially-derived material and lower
364 sedimentation rate. The thickness of the Datangpo Formation at Minle is between
365 these two extremes.

366 Based on grain size, clay mineral distributions and sedimentation rates, we
367 propose that the order of depositional depth for the study sections is: Daotuo < Minle
368 < Xiangtan.

369

370 **5.4 Temporal and spatial variability of organic carbon isotope composition**

371 Organic carbon isotopic values obtained in this study vary according to depositional

372 setting in the Nanhua Basin, South China (Fig.1). Firstly, from the nearshore, slope
373 setting to the more distal deep-water basin, average TOC abundances show an
374 obvious increase, from 0.52 wt.% to 3.32 wt.%; and average $\delta^{13}\text{C}_{\text{org}}$ values show a
375 significant decrease (Fig.5), from -30.3‰ to -32.6‰. Secondly, $\delta^{13}\text{C}_{\text{org}}$ values
376 exhibit an increasing trend with time after the ‘Sturtian’ glaciation towards the
377 ‘Marinoan’ glaciation, especially at Daotuo and Minle. Thirdly, significant negative
378 $\delta^{13}\text{C}_{\text{org}}$ excursions are evident in the slope and shallow-water basin environments: ca.
379 5‰ at Daotuo and ca. 4‰ at Minle. By contrast, the $\delta^{13}\text{C}_{\text{org}}$ values at Xiangtan are
380 unchanging. The spatial distribution of $\delta^{13}\text{C}_{\text{org}}$ values indicates a depth gradient in the
381 isotopic composition of the organic carbon fraction of deposited sediments in the
382 Cryogenian non-glacial warm interval ocean.

383

384 **5.5 Main possible interpretations for the distributional features of TOC** 385 **abundance and $\delta^{13}\text{C}_{\text{org}}$**

386 The spatial distribution of $\delta^{13}\text{C}_{\text{org}}$ and TOC abundance from our study shows that
387 $\delta^{13}\text{C}_{\text{org}}$ values decrease with depth, while TOC abundance increases with depth
388 (Fig.5). $\delta^{13}\text{C}_{\text{org}}$ values increase with time at Daotuo and Minle, but remain
389 unchanging at Xiangtan (Fig.5). The $\delta^{13}\text{C}_{\text{org}}$ data can reflect carbon sources and
390 redox conditions. The most likely reasons causing the apparent organic carbon
391 isotope gradient include: (1) admixture of detrital organic carbon with primary
392 production (Jiang et al., 2012; Johnston et al., 2012); (2) biological pump (Shen et

393 a1., 2005; Gidding and Wallace, 2009); (3) diagenetic alteration (Gidding and
394 Wallace, 2009; Jiang et al., 2012; Wang et al., 2016); (4) admixture of organic
395 material from an 'dissolved' or 'particulate' organic carbon reservoir (Rothman et al.,
396 2003).

397 **5.5.1 The effect of detrital organic carbon is insignificant**

398 Detrital organic carbon, primary production and secondarily recycled primary
399 production (particularly bacteria biomass) are major sources of TOC in marine
400 sediment (Jiang et al., 2012). Terrestrial organic carbon is mainly of low abundance
401 (Burdige, 2007; Campbell and Squire, 2010) and so the contribution of detrital
402 carbon in the black shales of the Xiangmeng Formation and lower parts of the
403 Datangpo Formation is unlikely to be significant. Furthermore, the contribution of
404 terrestrial organic carbon would be expected to decrease with increasing distance
405 from the shore. However, TOC abundance at the deeper Xiangtan section is much
406 higher than that at either Daotuo or Minle (Fig.5). Therefore, it can be concluded that
407 the effect of detrital organic carbon is insignificant in our samples.

408 **5.5.2 Primary productivity and biological pumping cannot explain the overall** 409 **organic carbon abundance and $\delta^{13}\text{C}_{\text{org}}$ distribution.**

410 Primary production is controlled by the abundance of nutrients, such as nitrogen and
411 phosphorus. Rivers bring abundant nutrients to the ocean (Bernard et al., 2011), and
412 detrital abundance decreases in general with increasing distance from the shore.

413 Therefore, the contribution that primary producers make to TOC achieves its high
414 point in the slope environment due to the abundant nutrients from terrestrial sources
415 and upwelling waters (Falkowski et al., 1998). This is inconsistent with the observed
416 TOC distribution in our study (Fig.5). The contribution of chemoautotrophic biomass
417 is the same with that of primary production.

418 Biological pumping results from preferential uptake of ^{12}C by primary
419 producers and remineralization of the ^{12}C -rich organic matter at depth, which leads
420 to shallow DIC enriched in ^{13}C and deep DIC enriched in ^{12}C (Shen et al., 2005;
421 Gidding and Wallace, 2009). Biological pumping can cause primary producers at
422 different depths to incorporate dissolved inorganic carbon of different $\delta^{13}\text{C}$, this does
423 not necessarily lead to a $\delta^{13}\text{C}_{\text{org}}$ gradient. In any case, any effect from biological
424 pumping cannot explain the temporal trends in $\delta^{13}\text{C}_{\text{org}}$.

425 **5.5.3 Early diagenetic alteration is not a significant factor**

426 Bacterial sulphate reduction and methanogenesis are the main microbially-driven
427 reactions during early diagenesis (Gidding and Wallace, 2009). The intense activity
428 of chemoautotrophic and methanotrophic bacteria can cause $\delta^{13}\text{C}_{\text{org}}$ values in organic
429 matter to become more negative (Summons et al., 1998; Hollander and Smith, 2001;
430 Jiang et al., 2010, 2012). The prerequisites for the activity of chemoautotrophic and
431 methanotrophic bacteria are anoxic conditions and abundant organic matter. Biomass
432 of chemoautotrophic and methanotrophic bacteria could make significant
433 contributions to both the $\delta^{13}\text{C}_{\text{org}}$ negative shift of the basal part at Daotuo and Minle,

434 as well as the decreasing trend in $\delta^{13}\text{C}_{\text{org}}$ with depth. However, the activity of
435 chemoautotrophic and methanotrophic bacteria alone cannot explain the overall
436 increasing trend in $\delta^{13}\text{C}_{\text{org}}$ and/or lack of marked fluctuations in absolute values.
437 Consequently, the effects of early diagenesis are unlikely to be significant for the
438 purposes of our study and can be neglected.

439 **5.6 A deep marine organic carbon reservoir in a gradually oxygenating ocean**

440 As discussed above, any dominant effect from detrital organic carbon and primary
441 producers on the organic carbon cycle in the studied area can be ruled out. The
442 biological pumping and activity of chemoautotrophic and methanotrophic bacteria
443 rely on abundant organic carbon, and their effects cannot explain the overall $\delta^{13}\text{C}_{\text{org}}$
444 trends. In contrast, the existence of a deep marine organic carbon reservoir in a
445 redox-stratified ocean can plausibly explain the temporal and spatial distribution of
446 $\delta^{13}\text{C}_{\text{org}}$ and TOC abundance. In our study, the deepest depositional environment is
447 associated with greater TOC and more negative $\delta^{13}\text{C}_{\text{org}}$ values. This organic carbon
448 source is akin to the dissolved organic carbon (DOC) reservoir proposed by
449 [Rothman et al. \(2003\)](#), although the distinction between recalcitrant dissolved and
450 particulate organic matter is not central to the arguments below ([Jiao et al., 2010](#); [Liu
451 and Peltier, 2011](#); [Hansell, 2013](#)). It is envisaged that DOC could adsorb on, or
452 aggregate with settling clays in areas of relatively low primary production, thus
453 dominating the organic carbon isotope signature in those settings.

454 It has been documented that the Cryogenian Nanhua ocean became gradually

455 oxygenated during the Datangpo interval (Li et al., 2012; Zhang et al., 2015). The
456 $\delta^{13}\text{C}_{\text{org}}$ values at Daotuo and Minle increase with time, which is consistent with
457 enhanced organic carbon burial and oxygen production. The almost unchanging
458 $\delta^{13}\text{C}_{\text{org}}$ values for Xiangtan are anomalous and could be caused by a greater relative
459 contribution from 'old' carbon out of the deep ocean organic carbon reservoir, and is
460 consistent with redox-stratification during most of the mid-Cryogenian non-glacial
461 interval in the Nanhua Basin (Shields et al., 1997, 2002; Li et al., 2012). The organic
462 carbon is predisposed to build the organic carbon reservoir. As the net growth of the
463 organic reservoir surpassed its peak (high $\delta^{13}\text{C}$), and began to oxidise, negative $\delta^{13}\text{C}$
464 anomalies in the shallow marine DIC reservoir and in organic matter produced at
465 shallow depths in the ocean would be predicted to reflect this oxidation.

466 The observation that the $\delta^{13}\text{C}_{\text{org}}$ values at Daotuo, Minle and Xiangtan decrease
467 with depth can be explained, therefore, by the increasing effect of the deep marine
468 organic carbon reservoir at greater depths. Small negative shifts in $\delta^{13}\text{C}_{\text{org}}$ in the
469 basal parts of Daotuo and Xiangtan could have been caused by influx from organic
470 carbon reservoir through ocean upwelling (Fan et al., 2014). Based on the above
471 analysis, it is suggested that there was a large organic carbon reservoir in the Nanhua
472 Basin during mid-Cryogenian (non-glacial), warm interval, and the ocean is
473 gradually oxygenated and redox stratified.

474 **5.7 The effect of a long-lived marine organic carbon reservoir on the carbon**
475 **cycle during the Cryogenian non-glacial interval**

476 The organic carbon reservoir would have helped to buffer oxygen levels on the
477 Earth's surface, and would also have affected the concentration of CO₂ in the
478 atmosphere. Hence, research on the deep marine organic carbon reservoir is critical
479 to exploring the evolutionary history of life and its planetary environment.
480 Decoupling between $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{org}}$ was used to confirm the existence of the
481 DOC reservoir during the Ediacaran Period (Fike et al., 2006; McFadden et al.,
482 2008). Swanson-Hysell et al. (2010) found that there was complete decoupling
483 between $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{org}}$ during the Cryogenian non-glacial interval, which was
484 argued to be in accord with the existence of a huge DOC reservoir. In contrast,
485 Johnston et al. (2012) proposed a quantitative mixing model to explain coupled and
486 decoupled $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{org}}$ data from Mongolia and Canada, and from Namibia,
487 respectively, and did not support existence of a DOC reservoir during the
488 Cryogenian non-glacial interval. Does the model of a gradually oxygenating,
489 redox-stratified ocean with a large, deep-ocean, organic carbon reservoir proposed in
490 this study accord with the $\delta^{13}\text{C}_{\text{org}}$ data from other areas during Cryogenian
491 non-glacial interval?

492 To date, three complete $\delta^{13}\text{C}_{\text{carb}}$ records (the Taishir Formation in Tsagaan-Olom
493 basin, southwestern Mongolia, and Nepouie and Upalinna Subgroups in south
494 Australia), one complete $\delta^{13}\text{C}_{\text{org}}$ record (the Taishir Formation in Tsagaan-Olom
495 basin, southwestern Mongolia), and three composite profiles of $\delta^{13}\text{C}_{\text{carb}}$ data (the

496 Taishir Formation in Dzabkhan Basin, Mongolia; Abenab Subgroup in Namibia)
497 have been presented for the mid-Cryogenian non-glacial, warm interval (Hoffman
498 and Schrag, 2002; Halverson et al., 2002, 2005; Giddings and Wallace, 2009;
499 Johnston et al., 2012; Bold et al., 2015, 2016).

500 There is a $\delta^{13}\text{C}_{\text{carb}}$ negative excursion in the basal part of the F949 section in the
501 Taishir Formation (Taishir Formation in Dzabkhan Basin, Mongolia), and a negative
502 excursion (ca. 10‰) occurs in the corresponding $\delta^{13}\text{C}_{\text{org}}$ record (Fig.5). There are
503 also $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{org}}$ negative excursions in the basal part of the P7500 section in
504 the Ombaatjie Formation (Congo Craton, Namibia) (Fig.5). The negative $\delta^{13}\text{C}_{\text{carb}}$
505 excursions are thought to have resulted from remineralization of primary production
506 and exogenous (detrital organic carbon) (Johnston et al., 2012), who argued against
507 any dominant effect from the marine organic carbon reservoir. However, studied
508 sections in Johnston et al. (2012) represent a much shallower marine environment
509 than sections in this study. The organic carbon reservoir existed in the deep sea, and
510 so its effect on the ocean carbon cycle would have decreased with increasing
511 distance from the shore. Moreover, the redox conditions would be different within a
512 redox stratified ocean. Shallow marine environments would be more oxic than
513 contemporaneous deep marine settings. The major organic carbon source might be
514 primary producers in the F949 section, but when upwelling brings dissolved organic
515 carbon from the deep organic carbon reservoir, the 'old' organic carbon can play a
516 more important role. The negative excursions of $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{org}}$ are likely to
517 have been caused by oxidation of organic carbon from the organic carbon reservoir

518 (Gidding and Wallace, 2009; Fan et al., 2014). The negative $\delta^{13}\text{C}_{\text{carb}}$ excursion in the
519 P7500 section may, by contrast, have been affected by ‘detrital’ or ‘older’ organic
520 carbon, and therefore there is no corresponding $\delta^{13}\text{C}_{\text{org}}$ negative excursion.

521 Is the Trezona anomaly present in the Nanhua Basin? If there was a
522 contemporaneous carbonate sedimentary record, then we should be able to recognize
523 the Trezona anomaly in the shallower parts of the basin. However, there are no
524 carbonate rocks of that age in South China, while no corresponding negative $\delta^{13}\text{C}_{\text{org}}$
525 excursion can be found in our data. For Daotuo section, the upper part was more oxic,
526 and $\delta^{13}\text{C}_{\text{carb}}$ negative excursion could appear due to oxidation of ‘old’ organic carbon
527 but no $\delta^{13}\text{C}_{\text{org}}$ negative excursion. Compared with Daotuo section, the upper part of
528 Minle section was less oxic, both $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{13}\text{C}_{\text{org}}$ negative excursion could occur
529 due to the effect of ‘old’ organic carbon (Fig.5). Xiangtan section was reducing, so
530 the Trezona anomaly could not occur.

531 It can be concluded that the dominant organic carbon sources and redox
532 conditions vary significantly during the Neoproterozoic, and this should be
533 considered when discussing the Neoproterozoic carbon cycle. With reference to our
534 proposed chemo-oceanographic structure: the existence of a large organic carbon
535 reservoir in the deeper parts of a periodically oxygenating and redox-stratified ocean
536 seems to us a reasonable way to view the non-glacial carbon cycle during the
537 Cryogenian Period.

538

539 **6 Conclusions**

540 Three complete $\delta^{13}\text{C}_{\text{org}}$ records for the Datangpo Formation (= Xiangmeng
541 Formation) representing different depositional settings are presented in this study.
542 The spatial and temporal variability of organic carbon isotopes is in accordance with
543 the existence of a large organic carbon reservoir, which existed in the deep sea. The
544 mid-Cryogenian non-glacial interval can be viewed as a time when the oceans
545 beneath the pycnocline became rich in organic carbon, which underwent periodic net
546 oxidation under redox-stratified conditions. The existence of an organic carbon
547 reservoir can explain the evolution of organic carbon isotopes in the Dzabkhan Basin,
548 Mongolia and Congo Craton, Namibia as well as Flinders Ranges, South Australia.
549 This study seeks to demonstrate the significance of organic carbon isotopes in
550 building a general framework towards a better understanding of the ocean structure
551 during the Neoproterozoic. Studies of complete, high resolution $\delta^{13}\text{C}_{\text{org}}$ records in
552 different depositional settings (from slope setting to deep-marine) help us to build a
553 more detailed picture of the carbon cycle and redox conditions in the Nanhua Basin
554 during mid-Cryogenian non-glacial, warm interval.

555

556 **Acknowledgements**

557 This study was financially supported by the Natural Science Foundation of China
558 (Grant No. 41430104), the MLR Public Benefit Research Foundation (Grant No.
559 201411044), and the State Key Laboratory of Organic geochemistry Fund (Grant

560 No.OGL-201416). We are grateful to Engineer Jiazhuo He and Engineer Huashan
561 Chen for their assistance during the determination of TOC abundance and organic
562 carbon isotopic composition.

563

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