# Cerebrospinal Fluid Neurogranin as a Biomarker of Neurodegenerative Diseases: A Cross-Sectional Study

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#### **ABSTRACT**

We investigated cerebrospinal fluid (CSF) concentrations of the postsynaptic biomarker neurogranin at baseline in cognitively healthy controls (HC) compared to individuals with mild cognitive impairment (MCI), patients with Alzheimer's disease (AD) dementia, and patients with frontotemporal dementia (FTD). CSF neurogranin was quantified using an in-house immunoassay in a cross-sectional multicenter study of 108 participants [AD dementia (n=35), FTD (n=9), MCI (n=41), cognitively HC (n=23)]. CSF neurogranin concentrations were significantly higher in AD patients compared with both HC subjects and FTD patients, suggesting that increased CSF neurogranin concentrations may indicate AD-related pathophysiology. CSF neurogranin was independently associated with both total tau and hyperphosphorylated tau proteins, whereas a non-significant correlation with the 42-amino acidlong amyloid-β peptide was evident. CSF neurogranin, however, was not superior to core AD biomarkers in differentiating HC from the three diagnostic groups, and it did not improve their diagnostic accuracy. We conclude that further classification and longitudinal studies are required to shed more light into the potential role of neurogranin as a pathophysiological biomarker of neurodegenerative diseases.

#### **INTRODUCTION**

Synaptic pathology plays a critical role in Alzheimer's disease (AD)<sup>1,2</sup> and correlates with cognitive decline.<sup>3-6</sup> Because "synapse failure" is increasingly recognized as a hallmark of AD,<sup>7</sup> synaptic markers hold promise for the diagnosis and monitoring of this condition. Neurogranin – a postsynaptic protein mainly localized into dendritic spines of neurons within associative cortical areas<sup>8-10</sup> – is involved in synaptic plasticity.<sup>11</sup> Neurogranin expression is significantly

lower in the cortex and hippocampus of post-mortem AD brains *versus* controls.<sup>2,12</sup> Compared with healthy controls (HCs), CSF neurogranin concentrations are increased in AD<sup>13</sup> and mild cognitive impairment (MCI) converting into AD (MCI-AD).<sup>14-16</sup> Moreover, neurogranin predicts MCI to AD dementia progression,<sup>14,17,18</sup> and the rate of cognitive decline,<sup>14</sup> and correlates longitudinally with hippocampal atrophy rates,<sup>17,19</sup> as well as with future reduction in cortical glucose metabolism assessed by <sup>18</sup>F-Fluorodeoxyglucose-PET (<sup>18</sup>F-FDG-PET).<sup>17</sup>

Herein, we investigated the diagnostic accuracy of CSF neurogranin in distinguishing clinical AD dementia patients from HCs and frontotemporal dementia (FTD) patients. Furthermore, we examined neurogranin diagnostic accuracy across the spectrum of AD pathology using an unbiased descriptive categorization system, the "A/T/N" scheme, based on core AD biomarkers and independent of cognitive impairment severity. It includes three biomarker categories reflecting AD pathophysiology, where "A" refers to amyloid-beta (A $\beta$ ) pathology, "T" to tau pathology, and "N" to neurodegeneration. To this end, we tested whether CSF neurogranin distinguished HCs from I) AD pathology patients (presenting decreased CSF concentrations of A $\beta$ <sub>1-42</sub> peptide and increased amounts of total tau (t-tau) or hyperphosphorylated tau (p-tau) protein), II) patients showing tau pathology only, and III) patients with A $\beta$  pathology only. We also assessed the ability of neurogranin to discriminate AD pathology from FTD.

#### MATERIALS AND METHODS

## Standard protocol approvals, registrations, and patient consents

The study was conducted in accordance with the tenets of the Declaration of Helsinki and was approved by the local Ethical Committees at each participant university. All participants or their representatives gave written informed consent for the use of their clinical data for research purposes.

#### **Study participants**

The research was designed as a multicentre cross-sectional study retrospectively conducted in a convenience series from three independent European academic AD research centres and memory clinics. A total of 108 individuals were included. Specifically, 35 participants were recruited from the Institute of Memory and Alzheimer's Disease (*Institut de la Mémoire et de la Maladie d'Alzheimer*, IM2A) at Pitié-Salpêtrière University Hospital in Paris (France), 57 from the German Centre for Neurodegenerative Diseases (DZNE) in Rostock (Germany), and 16 from the Institute of Neuroscience and Physiology at Sahlgrenska University Hospital in Mölndal (Sweden).

# **Patient stratification**

Categorization according to the clinical diagnostic approach ("Level I")

The clinical diagnosis of AD dementia was performed according to the National Institute of Neurological and Communicative Disorders and Stroke-Alzheimer's Disease and Related Disorders Association (NINCDS-ADRDA) consensus criteria.<sup>22</sup> The clinical diagnosis of MCI was based on MCI core clinical criteria.<sup>23</sup> The diagnosis of the FTD was performed according to the consensus on clinical diagnostic criteria of 1998.<sup>24</sup> HCs were individuals who I) volunteered for a lumbar puncture, II) showed a negative history of neurological or psychiatric diseases, and III) had a Mental-State Examination (MMSE) score between 27 and 30.

Of the 23 cognitively HCs (first group), two individuals from the Gothenburg cohort showed CSF t-tau concentrations higher than the established cut-off value. Being asymptomatic-at-risk of AD<sup>21</sup> or preclinical AD.<sup>25</sup> they were excluded from further analyses. The second group consisted of 41 clinically defined MCI cases.<sup>23</sup> The third and fourth groups included 35 AD patients<sup>21</sup> and 9 FTD patients, respectively (**Figure 1**).<sup>24</sup>

#### Categorization following the A/T/N system ("Level II")

AD and MCI patient classification followed the biomarker-based descriptive stratification model ("A/T/N" system) recently proposed by Jack and colleagues. <sup>20</sup> It contemplates 3 binary (positive/negative) categories: "A" referring to an amyloid biomarker (CSF  $A\beta_{1-42}$  or amyloid-PET), "T" to a tau pathology biomarker (CSF p-tau or tau-PET), and "N" to a quantitative or topographic biomarker of neurodegeneration or neuronal injury [CSF t-tau, <sup>18</sup>F-FDG-PET, or structural MRI]. Because each individual score is displayed with an "A±/T±/N±" arrangement, eight different categories are generated. <sup>20</sup> Notably, this stratification model is linked to the biomarker categorization frameworks – namely the International working group-2 (IWG-2) criteria<sup>21</sup> and the National Institute on Aging-Alzheimer's Association (NIA-AA) guidelines<sup>22,23,25</sup> – and is able to chart both diagnostic classification criteria. Here, we applied a simplified version of the original A/T/N model by including only core neurochemical markers (CSF  $A\beta_{1-42}$ , t-tau, and p-tau). Overall, 5 categories were established as follows: Group 1, cognitively HCs (n = 21), a priori defined as [A-/T-/N-], i.e. negative for all the core biological markers; Group 2, [A-/T-/N-] (n = 15), including 2 patients diagnosed with AD dementia and 13 MCI patients negative to both A $\beta$  and tau markers; Group 3, [A-/T±/N+, A-/T+/N±] (n = 15), including 6 AD dementia patients and 9 MCI cases all positive to only tau markers; Group 4, [A+/T-/N-] (n = 13), including 5 patients clinically diagnosed with AD dementia and comprising 8 MCI cases displaying positivity to only A $\beta$ ; Group 5, [A+/T±/N+, A+/T+/N±] (n = 33), including 22 AD dementia patients in line with the IWG-2 criteria<sup>21</sup> and the NIA-AA guidelines<sup>22</sup> comprising 11 prodromal AD<sup>26</sup> or MCI due to AD cases,<sup>23</sup> all showing positivity to both A $\beta$  and tau markers. Finally, the FTD participants (n = 9) (Group 6) included seven patients who were both  $A\beta_{1-42}$  and tau-negative, one patient who was  $A\beta_{1-42}$  negative and tau positive, and one patient who was  $A\beta_{1-42}$ -positive and tau-negative. According to the IWG-2 criteria, the latter participant should be defined as a case of FTD and not as a patient with a frontal variant of

AD.<sup>21</sup> Of note, since the A/T/N system is not directly applicable to FTD, this last group (VI) was examined exclusively in terms of clinical diagnosis (**Figure 1**).

## **CSF** sampling

A diagnostic lumbar puncture was performed in all participants. All CSF samples included in the three study cohorts were collected in polypropylene tubes, centrifuged at 1000 g for 10 min at +4°C (samples collected at IM2A in Paris), 1500 g for 10 min at +4°C (samples collected at DZNE in Rostock), 1800 g for 10 min at +4°C (samples collected at Mölndal Clinical Neurochemistry Laboratory). The collected supernatant was aliquoted and stored at –80°C pending biochemical analysis.

## **Immunoassays for CSF core biomarkers**

For the Paris cohort, CSF analyses of  $A\beta_{1-42}$ , t-tau, p-tau were performed at the Laboratory of Biochemistry, Unit of Biochemistry of Neurometabolic diseases, Pitié-Salpêtrière University Hospital of Paris. For the Rostock cohort, CSF analyses were executed in two different units: the Institute of Clinical Chemistry and Laboratory Medicine, Rostock University Medical Centre, after 06/2012, and the Laboratory of Neurochemistry, Department of Neurology, Göttingen University Medical Centre, before 06/2012. For the Göteborg cohort, CSF analyses were executed at the Clinical Neurochemistry Laboratory at the Sahlgrenska University Hospital, Mölndal. CSF  $A\beta_{1-42}$ , t-tau, and tau phosphorylated at threonine 181 (p-tau<sub>181</sub>) concentrations were measured using established sandwich ELISA methods, INNOTEST  $\beta$ -AMYLOID(1-42), <sup>27</sup> INNOTEST hTAU-Ag, <sup>28</sup> and INNOTEST Phospho-Tau[181P] (Fujirebio Europe NV, Gent, Belgium), respectively. All analyses were performed by board-certified laboratory technicians blinded to clinical information. CSF biomarkers abnormalities were defined based on reference values currently utilized in each memory clinic: at IM2A in Paris,  $A\beta_{1-42}$  <500 pg/mL, t-tau >450 pg/mL, p-tau<sub>181</sub> >60 pg/mL; at DZNE in Rostock,  $A\beta_{1-42}$  <567 pg/mL, t-tau >512 pg/mL, p-

tau<sub>181</sub>>66 pg/mL for the CSF samples measured before 06/2012 and A $\beta_{1-42}$  <450 pg/mL, t-tau >450 pg/mL, p-tau<sub>181</sub>>62 pg/mL for the CSF samples measured after 06/2012; at Mölndal Clinical Neurochemistry Laboratory, A $\beta_{1-42}$  <550 pg/mL, t-tau >400 pg/mL, p-tau<sub>181</sub>>80 pg/mL.

#### **Immunoassay for CSF neurogranin**

All CSF neurogranin analyses were performed at the Clinical Neurochemistry Laboratory at the Sahlgrenska University Hospital, Mölndal, Sweden. We used the same methodology as the one employed in a previous study. <sup>14</sup> In short, CSF neurogranin was measured using an in-house ELISA assay based on the monoclonal antibody Ng7 (epitope including amino acids 52–65 on neurogranin) for capture, a polyclonal neurogranin anti-rabbit antibody (ab23570; Upstate Biotechnology, Lake Placid, NY, USA) for detection, and full-length neurogranin protein as calibrator. All analyses were performed on one occasion with randomized samples using one batch of reagents by board-certified laboratory technicians blinded to clinical information to avoid bias.

#### **Statistical Analysis**

The associations of diagnostic groups with sex and age were assessed with Fisher's exact tests and nonparametric Kruskal-Wallis (KW) tests, respectively. All neurogranin values were initially adjusted for age, sex, and site using nonparametric regression. This step allowed age-, sex-, and site- independent assessment of the diagnostic potential of neurogranin while foregoing assumptions of normality. Whenever the result of the KW test was statistically significant (P < 0.05), post-hoc groupwise comparisons of neurogranin values were performed with the Conover's test for multiple comparisons. Results of post-hoc testing were corrected for multiple comparisons using a False Discovery Rate (FDR) procedure ( $\alpha = 0.05$ ). We then evaluated the diagnostic potential of neurogranin using logistic regression within a Leave-One-Out Cross-Validation (LOO-CV) approach in the following *a priori* comparisons: AD *versus* HCs, AD

versus FTD, in Level I of categorization; HCs versus [A-/T±/N+, A-/T+/N±], HCs versus [A+/T-/N-], HCs versus [A+/T±/N+, A+/T+/N±], and [A+/T±/N+, A+/T+/N±] versus FTD, in Level II. In this analysis, age-, sex-, and site-adjusted neurogranin values were entered as predictors and the diagnostic group was entered as the dependent variable. After model fitting, we calculated the area under the receiver operating characteristic (AUROC) curve by pooling predictions computed on the test sets from each train-test split in the LOO-CV procedure. Successively we computed its associated confidence intervals using a bootstrap procedure of (100000 bootstraps). The discriminatory ability of neurogranin to correctly allocate participants to diagnostic groups was classified as follows: excellent (AUROC 0.90-1.00), good (AUROC 0.80-0.89), fair (AUROC 0.70-0.79), poor (AUROC 0.60-0.69), or fail/no discriminatory capacity (AUROC 0.50-0.59). All statistical analyses were performed in the R statistical environment version 3.2.3 (https://www.R-project.org/) under a Linux environment using the nonparametric kernel smoothing methods for mixed data types package (np package), are partial ROC (pROC) package, and the pairwise multiple comparison of mean ranks (PMCMR) package. Two-tailed P values < 0.05 were considered statistically significant.

#### **RESULTS**

#### **CSF** neurogranin concentrations (Level I)

**Table 1** summarizes the levels of all analytes, combined with demographic and clinical data of the population classified according to Level I. Compared with HCs, CSF neurogranin levels were significantly increased in AD patients (P = 0.004). Higher CSF neurogranin levels were observed in AD group (P = 0.004) compared with FTD group (**Figure 2A**).

# **CSF** neurogranin concentrations (Level II)

**Table 2** summarizes the levels of all analytes, combined with demographic and clinical data of the population classified according to Level II. Compared with HCs, CSF neurogranin levels were significantly increased in patients who were tau-positive only  $[A-/T\pm/N+, A-/T+/N\pm]$  (P=

0.001) and in those positive to both A $\beta$  and tau markers [A+/T±/N+, A+/T+/N±] (P < 0.001). These two groups also presented markedly higher CSF neurogranin concentrations compared with cases negative for all the core biomarkers [A-/T-/N-] (P < 0.001 for both groups), those who were A $\beta$ -positive only [A+/T-/N-] (P < 0.001 for both groups), and FTD patients (P < 0.001 for both groups) (**Figure 2B**).

# Diagnostic accuracy of neurogranin (Level I)

We found that CSF neurogranin was able to differentiate HCs from AD dementia patients with an AUROC of 0.72 (95% CI, 0.58-0.86) (**Figure 3A**). CSF neurogranin was also able to discriminate AD from FTD with an AUROC of 0.76 (95% CI, 0.55-0.96) (**Figure 3B**).

## Diagnostic accuracy of neurogranin (Level II)

We found that CSF neurogranin was able to differentiate cognitively HCs from Group 3 [A-/T±/N+, A-/T+/N±] with an AUROC of 0.77 (95% CI, 0.60-0.94) (**Figure 3C**). CSF neurogranin was able to discriminate HCs from Group 4 [A+/T-/N-] with an AUROC of 0.55 (95% CI, 0.34-0.76) (**Figure 3D**). CSF neurogranin was able to distinguish HCs from Group 5 [A+/T±/N+, A+/T+/N±] with an AUROC of 0.85 (95% CI, 0.74-0.95) (**Figure 3E**). CSF neurogranin was able to discern Group 5 [A+/T±/N+, A+/T+/N±] from FTD with an AUROC of 0.85 (95% CI, 0.64-1.00) (**Figure 3F**).

#### **DISCUSSION**

Results of Level I (**Figure 2A**) are consistent with previous reports showing increased CSF neurogranin levels in AD dementia patients *versus* HCs. 14-19,34,35. Increased CSF neurogranin concentrations in AD dementia are most likely caused by the extracellular release of synaptic proteins resulting from synaptic dysfunction and loss. Interestingly, higher CSF neurogranin concentrations were found in AD dementia *versus* FTD, suggesting a potential role for

neurogranin in discriminating between the two forms of dementia, in line with a prior study.<sup>34</sup> To corroborate the increase of CSF neurogranin as an AD-specific characteristic,<sup>35</sup> future analyses are needed across a range of different neurodegenerative diseases.

In Level II, the significantly elevated CSF neurogranin expression detected in all patient categories displaying tau pathology (**Figure 2B**) confirms the previously observed association between neurogranin and markers of neuronal injury. <sup>13,14,16-18,35</sup> Increased amounts of CSF t-tau and other neuronal proteins in AD reflect extensive cortical neuro-axonal degeneration. Owing to the correlation between CSF neurogranin and tau levels, higher neurogranin concentrations may serve as a proxy for the cortical synaptic degeneration occurring in AD.<sup>2,36</sup>

ROC curves, computed in a LOO-CV setting, were used to examine the accuracy of neurogranin as an AD diagnostic marker. In Level I, the performances of CSF neurogranin in discriminating clinical AD dementia from HCs and FTD were both fair (AUROC 0.72 and 0.76, respectively) (**Figures 3A** and **3B**). In Level II, the performances in distinguishing patients with AD pathology (i.e. exhibiting positivity to both Aβ and tau markers) from HCs as well as from FTD were good (AUROC 0.85 for both comparisons) (**Figures 3E** and **3F**). Consequently, neurogranin delivers a higher diagnostic accuracy when the A/T/N classification system (rather than clinical criteria) is used. A fair AUROC (0.77) was found for tau positive patients *versus* HCs (**Figure 3C**), whereas the ability to discriminate between patients who were Aβ-positive only and HCs was unsatisfactory (AUROC 0.55) (**Figure 3D**). These results suggest that CSF neurogranin concentrations may reflect mostly tau pathology rather than Aβ pathology. <sup>14,18,35</sup> Differently from the case of tau markers, the link between CSF Aβ and neurogranin appears relatively weak and the association between amyloid plaque load and synaptic depletion appears very low if not absent at all. <sup>14,18,35</sup> Accordingly, Aβ is involved in the initial steps of AD pathophysiology rather than in synaptic loss. <sup>37</sup>

Some potential caveats of this study merit comment. Owing to the relatively small sample size, it was not possible to divide our patients in all groups established by the original A/T/N

system when Level II classification was used. 20 Because only core CSF biomarkers (and not imaging modalities) were used, MCI with AD dementia patients were grouped together for the purpose of analysis (Figure 1). Notably, the clinical distinction between MCI and dementia might be problematic and time-dependent; accordingly, the IWG-2 criteria define MCI with AD pathology as AD in its prodromal stage.<sup>21</sup> Owing to the cross-sectional nature of the study, it was not possible to differentiate stable-MCI cases from those converting into dementia. Further studies are needed to confirm the potential value of neurogranin in predicting MCI to AD conversion. 17,18 Extensive psychometric data were not available in our study, preventing the study of CSF neurogranin levels in relation to different cognitive dimensions. Moreover, the quantification of core AD CSF biomarkers was not performed in a centralized manner, potentially being subject to inter- and intra-operator variability. This study is largely exploratory as it represents the first attempt of utilizing neurogranin as a CSF biomarker for AD diagnosis in a clinical setting by applying an original, unbiased biomarker-based model of stratification<sup>20</sup>) (**Figure 1**). Notably, the A/T/N dissection system addresses the need for a unifying conceptual approach to biomarkers employed in AD research. In fact, given its substantially unbiased descriptive nature which eludes disease labels, the A/T/N scheme could potentially be employed in any framework of existing and upcoming diagnostic criteria. Moreover, it could be, at some point, expanded to integrate key biomarkers of other relevant proteinopathies, genetic or epigenetic factors.<sup>38</sup> and indicators of other pathologies such as cerebrovascular diseases or white matter pathologies which appear to impair cognitive function.<sup>39</sup> Finally, the multicenter design of the study may introduce variation + a comment on how we dealt with this (if we did; please feel free to disregard this comment if it is hard to meet it...).

In conclusion, our cross-sectional study confirms and expands previous findings on the role of CSF neurogranin as a biomarker that consistently distinguishes both AD dementia patients from HCs. Because neurogranin helps discriminate AD from FTD, its increased CSF concentration seems to be AD-specific. Furthermore, the A/T/N system allows improving

neurogranin diagnostic accuracy in distinguishing cognitively impaired patients with AD pathology and, to a lesser degree, tau pathology from HCs as well as AD pathology from FTD.

Future studies are needed to investigate whether CSF neurogranin may predict conversion to dementia in subjects at risk of developing AD.

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