Vanadium Oxide Oligomers and Ordered Monolayers Supported on CeO<sub>2</sub>(111): Structure and Stability Studied by Density Functional Theory

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**ABSTRACT:** Structures and stabilities of vanadium oxide oligomers as well as two candidate structures for a monolayer on the  $CeO_2(111)$  surface have been studied by density functional theory employing a genetic algorithm to determine the global energy minimum structures. These ceria-supported structures have predominantly four-fold coordinated  $V^{5+}$  ions with V=O groups in common. The agglomeration of  $VO_2$  clusters deposited on the surface is a strongly exothermic process, particularly when ring structures with three or six  $VO_2$  units are formed that are commensurate with the close-packed surface-terminating oxygen layer. The  $VO_2$  and  $V_2O_5$  monolayers feature larger coordination numbers (5, 6) of V and contain V atoms without V=O groups. Relative to oligomers,  $VO_2$  and  $V_2O_5$  monolayer structures with and without oxygen defects are thermodynamically more stable. This, together with the fact that flat "monolayer" clusters are preferred to taller "bilayer" clusters, indicates the preference for a complete 2D wetting of the ceria support.

#### 1. Introduction

Vanadium oxides, or vanadia for short, supported on other metal oxides are important components of active as well as selective solid catalysts applied in many industrially relevant oxidation reactions.<sup>1-3</sup> The oxidative dehydrogenation (ODH) of low alcohols such as methanol<sup>4-10</sup> or ethanol<sup>11-13</sup> on oxide supported vanadia is an intensely studied reaction in the context of C–H bond activation. It was shown that vanadia supported on reducible oxides like ceria or titania is, in terms of turnover frequency, three to four orders of magnitude more active than vanadia on non-reducible supports like SiO<sub>2</sub>.<sup>4-7</sup> Similar observations have been made for the ODH of propane.<sup>14</sup> Preparation conditions and support affect type and distribution of the vanadia species, which is likely the reason for the variation in turnover frequencies with the support for given vanadium loadings.<sup>14-16</sup> Depositing vanadia on oxides which are themselves dispersed on a different support may also strongly affect the reactivity, as shown, e.g., by Bañares and coworkers for a ternary VO<sub>x</sub>/CeO<sub>2</sub>/SiO<sub>2</sub> system.<sup>17</sup>

The activity in selectively oxidizing methanol to formaldehyde at ceria-supported vanadia catalysts depends on the amount of vanadium deposited on the surface. <sup>9,18-19</sup> To understand this behavior at the atomic level, model studies are indispensable. <sup>20</sup> The reactivity of vanadia on well-defined CeO<sub>2</sub>(111) model systems was shown to be comparable to that of powder catalysts, <sup>11,18</sup> suggesting that the models capture essential features of the supported vanadia species. Scanning tunneling microscopy (STM) images of VO<sub>x</sub> deposited on well-defined CeO<sub>2</sub>(111) films showed a wide distribution of isolated, two-dimensional vanadia species. <sup>21</sup> Upon increasing vanadia coverage and upon annealing, monomers agglomerate to form larger species, in particular trimers and heptamers. The same study reported X-ray photoelectron spectroscopy (XPS) results indicating that vanadium is accommodating its highest oxidation state +5, while Ce<sup>4+</sup> ions of the support are reduced to Ce<sup>3+</sup>. Combining temperature-programmed desorption (TPD) and STM revealed that the monomers are more active in the ODH of methanol than trimers and larger oligomers. <sup>19</sup>

Ceria-supported vanadia MLs have been extensively studied experimentally for powder catalysts, but detailed atomistic information has not become available yet. 9,18,22-23 The complete ML represents an important limiting case in terms of activity. Beyond ML coverage, V<sub>2</sub>O<sub>5</sub> crystallites are formed, which have a much lower active site density than VO<sub>x</sub> supported on CeO<sub>2</sub>(111). Furthermore, the variation in activity with the support indicates that the V-O-M (M = support metal cation) interphase bond plays an important role. 8,24-26 Different values for the vanadium content of the ML were obtained by different groups. Burcham and Wachs reported 5.7 V atoms/nm<sup>2</sup> corresponding to the highest loading for which no Raman bands typical of V<sub>2</sub>O<sub>5</sub> crystallites were observed. On the other hand, Feng and Vohs performed TPD experiments after exposing the (powder) catalyst to methanol. They reported that the high-temperature CO desorption peak at ca. 615 K, characteristic for methanol oxidation on the employed pure ceria, does not appear for vanadia loadings higher than 9.5 V atoms/nm<sup>2</sup>. According to these authors, this indicates exhaustive (2D) coverage of the ceria surface and thus formation of a complete ML.

The oxygen content of the ML, i.e. whether it corresponds to a fully oxidized  $V_2O_5$  layer or to a layer with the composition of a reduced vanadium oxide phase like  $V_2O_3$ , is not directly accessible by experiment. As mentioned above, this is because vanadium is readily oxidized to  $V^{5+}$  by ceria. In refs. 19,21,24 and 27, physical vapor deposition was employed to deposit vanadia on the  $CeO_2(111)$  films, while the work by Feng and Vohs was accomplished for samples prepared via incipient wetness impregnation. According to their XPS results, nonreduced (so-called stoichiometric)  $CeO_2$  was present, i.e. no reduction to  $Ce^{3+}$  upon vanadia deposition was observed. This indicates that the (electronic) structure of the vanadia ML catalyst may depend on the actual preparation technique.

Density functional theory (DFT) calculations on small vanadia clusters (up to trimers) on  $CeO_2(111)$  were compatible with the experimental results, in particular the stabilization of  $V^{5+}$  by reduction of  $Ce^{4+}$  to  $Ce^{3+}$  ions<sup>21,28-29</sup> and the thermodynamic preference of  $VO_2$  trimers<sup>29</sup>

compared to kinetically stabilized<sup>30</sup> monomers. Furthermore, detailed mechanistic DFT studies on the rate-determining hydrogen abstraction<sup>31-32</sup> reported lower barriers for supported monomers<sup>33</sup> compared to trimers,<sup>34</sup> in agreement with experimental results.

Recently, Gong and coworkers argued that they have found a more stable structure for the  $VO_2$  monomer on  $CeO_2(111)$ . However, their structure is *de facto* identical with the one reported previously by this group. <sup>19,28-30,33</sup> Therefore, contrary to the statements made in refs. 35 and 36, our previous calculations do refer to the most stable structure of ceria-supported  $VO_2$ .

The present work employs DFT for examining structures and stabilities of 2D vanadium oxides on the CeO<sub>2</sub>(111) surface for intermediate coverages as well as for the important limiting case of a complete ML. The latter is particular relevant because no support surface is exposed and there are no vanadia ad-particle/ceria surface edges present. The reaction will happen on a vanadia film whose geometric structure is given by the supporting (cerium) oxide underneath and whose electronic structure is modified by the vanadia/ceria interphase.

The supported vanadia oligomers were built from VO<sub>2</sub> units, as comparison of earlier work in our group<sup>22,23</sup> with experimental results<sup>21</sup> revealed them to be more likely candidates than species with different vanadium-oxygen ratios. In particular, we found that (i) trimerization of VO<sub>2</sub> monomers on CeO<sub>2</sub>(111) is strongly exothermic, in contrast to supported VO;<sup>29</sup> (ii) supported VO<sub>2</sub> features terminal V=O bonds, in contrast to supported VO<sub>4</sub> clusters;<sup>28</sup> and (iii) Ce<sup>3+</sup> ions are created by electron transfer from supported VO<sub>2</sub>, in contrast to supported VO<sub>3</sub> and VO<sub>4</sub> clusters.<sup>28</sup> The last point also suggests that V<sub>2</sub>O<sub>5</sub> clusters are unlikely to be the relevant species, at least under the ultra-high vacuum conditions used in ref. 21. However, due to the stability of V<sub>2</sub>O<sub>5</sub> in bulk phase, we performed calculations on a supported V<sub>2</sub>O<sub>5</sub> cluster (shown in the Supporting Information). It is less stable than supported VO<sub>2</sub> clusters in the range of conditions considered in this work. Therefore, we decided to focus on clusters with VO<sub>2</sub> composition.

Two approaches to construct models of supported vanadium oxide ML structures are reported in the literature: (i) substitution of metal atoms of the support with vanadium atoms, for example in anatase  $TiO_2(001)$ ,  $^{37}$   $\alpha$ -Al<sub>2</sub>O<sub>3</sub>(0001),  $^{38}$   $\kappa$ -Al<sub>2</sub>O<sub>3</sub>(001) $^{39}$  and Al<sub>2</sub>O<sub>3</sub>/NiAl(110),  $^{40}$  or (ii) deposition of vanadium oxide layers cut from bulk phases on the support, e.g.  $V_2O_3$  on Al<sub>2</sub>O<sub>3</sub>/NiAl(110) $^{40}$  and  $V_2O_5$  on anatase  $TiO_2(001)$ .  $^{37,41-42}$  Thus, the monolayers are typically structurally similar either to the support or the corresponding bulk vanadium oxide. This is different with monolayers on  $CeO_2(111)$ . The hexagonal surface structure prevents direct deposition of  $V_2O_5(001)$  layers due to the strong crystallographic mismatch. Creation of an interface between  $CeO_2(111)$  and  $V_2O_3(0001)$  is hampered by a large lattice mismatch of ca. 25% between the calculated surface lattice parameters of 388 and 486 pm, respectively.  $^{43-44}$  As a consequence, ceria-supported monolayers will be structurally rather different from most of the monolayers on other supports.

To sample the potential energy surface of a given composition, a genetic algorithm (GA) is used to determine global energy minimum structures. Specifically, the "DoDo" algorithm is used, which was developed in-house and has been successfully applied to solve the structure of ordered water monolayers on the MgO(001) surface.<sup>45</sup>

For the energy minimum structures found for the different supported vanadia aggregates we discuss relative stabilities and report energies required to create oxygen point defects in the various structures, which characterize their reducibilities. We summarize these results as a stability diagram. In addition, harmonic wavenumbers of V=O stretch vibrations are presented.

#### 2. Models and methods

**2.1. Surface model.** The surface model was created by cutting the CeO<sub>2</sub> unit cell of the bulk phase (employing an optimized lattice constant of 549 pm)<sup>43</sup> along the (111) plane. Results reported in this work use a  $p(4 \times 4)$  surface unit cell. The slab model consists of 9 atomic layers,

resulting in a composition of  $Ce_{48}O_{96}$ . We use asymmetric slab models, i.e. positions of atoms residing in the bottommost O-Ce-O tri-layer were fixed to preserve the geometric structure as in the bulk phase. The Brillouin zone was sampled for integration using only the zone center  $\Gamma$ . The employed vacuum layer was set to 10 Å.

As mentioned in the introduction, the literature values for a complete ML on  $CeO_2(111)$  range from 5.7 V atoms/nm<sup>2</sup> (ref. 23) up to 9.5 V atoms/nm<sup>2</sup> (ref. 9). These two numbers correspond to approximately 0.75 and 1.25 V atoms per surface Ce atom. This work uses the corresponding mean value, i.e. 1 V atom per surface Ce atom, to define the ML coverage. This value is identical to a coverage of 8 V atoms/nm<sup>2</sup>, as suggested by Burcham and Wachs for the average vanadia content of a ML supported on various metal oxides.<sup>23</sup> Since the  $p(4 \times 4)$  surface unit cell contains 16 Ce atoms in each cation layer, a single vanadium atom is equivalent to  $^{1}/_{16}$  or 6.25 % of a ML.

**2.2. Electronic and ionic structure optimizations.** Calculations were performed using the projector augmented wave method (PAW)<sup>46-47</sup> to describe the interaction between ionic cores and valence electrons as implemented in the Vienna *ab initio* simulation package (VASP).<sup>48-49</sup> The PAW potentials comprise 12 ([Xe] 5s<sup>2</sup>5p<sup>6</sup>4f<sup>1</sup>5d<sup>1</sup>6s<sup>2</sup>), 6 ([He] 2s<sup>2</sup>2p<sup>4</sup>) and 11 ([Mg] 3p<sup>6</sup>4s<sup>2</sup>3d<sup>3</sup>) valence electrons for Ce, O and V, respectively. For Ce, the cutoff radii for the partial waves are 1.5 and 1.65 au for 5s and 6s, 1.8 for 5p, 2.3 for 5d and 2.57 au for 4f. The cutoff radii for the partial waves employed for O are 1.2 and 1.52 au for 2s and 2p orbitals and 2.0, 2.3 and 2.3 au for 3p, 4s and 3d orbitals of V, respectively. The onsite Coulomb correlation of occupied Ce 4f orbitals is corrected via the DFT+U approach<sup>50-51</sup> employing the gradient-corrected exchange-correlation functional by Perdew, Burke, and Ernzerhof (PBE)<sup>52</sup> and an *effective* Hubbard-type U parameter of 4.5 eV. The specific implementation of DFT+U used in this work follows Dudarev *et al.*<sup>53-54</sup>

A plane wave kinetic energy cutoff of 600 eV was used and structure optimizations were performed until forces acting on the relaxed atoms were below  $0.02 \text{ eV Å}^{-1}$ . Starting from these minima, the structures were reoptimized with the DFT-D2 dispersion correction scheme by Grimme. <sup>55</sup> A cutoff radius for the pair interactions has been used, <sup>56</sup> but as discussed in ref. 29, employing the Ewald summation technique <sup>57</sup> gives virtually identical results. For  $C_6$  and  $R_0$  parameters of  $C_6$ , see ref. 29.

Orbitally projected densities of states (DOS) and local magnetic moments of Ce (or V) species are obtained by projecting the crystal orbitals into spherical harmonics of f (or d) symmetry located in atom centered PAW spheres with a radius of 1.323 (or 1.217) Å. A typical value of local magnetic moments for Ce<sup>3+</sup> is  $\pm 0.96~\mu_B$  for up (+) and down spin (–), respectively.

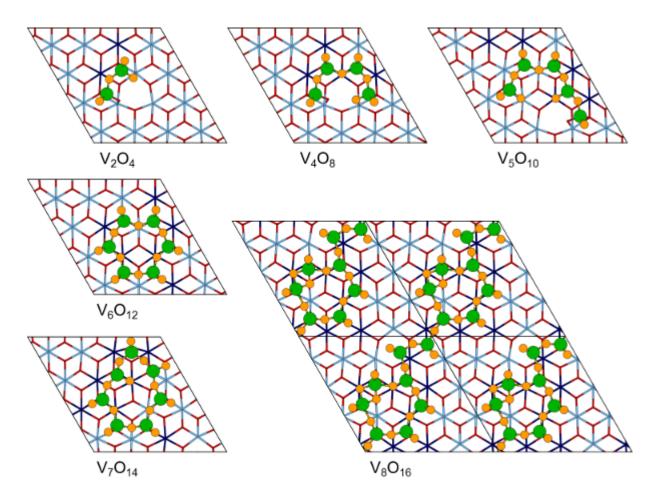
For the local optimization within the genetic "DoDo" algorithm, a smaller plane wave kinetic energy cutoff of 300 eV as well as the so-called soft oxygen pseudopotential have been applied. Starting from the VO<sub>2</sub>-tetramer, the most stable structures of smaller species have been introduced into the initial population as so-called seeds. This procedure speeds up convergence of the evolutionary search, but it may also introduce a bias towards certain structures. However, we try to overcome this problem by using additional random structures in the initial population and maintaining a large and structurally diverse population.

Molecular dynamics (MD) simulations were performed on the ML structures to refine the structures obtained using the GA and the manually generated structures. A Nosé thermostat employing a temperature of 1000 K and a time step of 1 fs has been used.

Vibrational frequencies and normal modes were obtained by diagonalizing a partial, mass-weighted matrix of second derivatives of the energy with respect to the three Cartesian degrees of freedom of each adatom. This 'Hessian' matrix was obtained by finite-differences of the gradients with displacements of  $\pm 0.015$  Å (central differences). Relative intensities were calculated based on the dipole moment change perpendicular to the surface.<sup>58</sup>

## 3. Results and Discussion

3.1. Vanadia oligomers on  $CeO_2(111)$ . The lowest-energy structures for various adsorbed clusters built from  $VO_2$  units are shown in Figure 1. The monomer and trimer structures are not included, because they are identical to those published earlier.<sup>29</sup> However, a new dimer structure was found which is 0.30 eV/V atom more stable than the structure shown in Figure 1 of ref. 29. This is due to the different coordination environment in vicinity of the cluster. In the previous structure, binding of the cluster to surface oxygen atoms results in two 6-fold coordinated Ce ions (i.e., they lost one coordination compared with Ce in the regular surface), while in the new structure, 7-fold coordination for one of them is restored by an oxygen atom from the cluster. In agreement with results obtained for small oligomers, the support stabilizes V in the +5 oxidation state and the  $VO_4$  tetrahedron is the predominant building block. Structures with reduced vanadium ions or smaller coordination numbers for V than four are significantly less stable. Larger coordination numbers were found in a few structures, specifically in the  $V_8O_{16}$  structure, which contains two 5-fold coordinated vanadium ions ( $V_{5c}$ ). V-O bond distances are reported in Table 1.



**Figure 1.** Most stable  $V_nO_{2n}/CeO_2(111)$  (n = 2, 4 – 8) structures. For clarity, only the topmost O-Ce-O trilayer is shown. Ce<sup>4+</sup> and Ce<sup>3+</sup> cations are shown in light and dark blue, respectively. Vanadium and oxygen atoms of the adsorbed cluster are depicted in green and orange, respectively, while oxygen atoms of the ceria surface are shown in red. Four unit cells of  $V_8O_{16}/CeO_2(111)$  are shown to highlight its extended structure. All pictures were generated using the XCrysDen program.<sup>59</sup>

For a given composition, the most stable structure contains the oligomer of highest nuclearity instead of 'fragments', i.e. isolated smaller oligomers. For instance, the  $V_6O_{12}$  structure shown in Figure 1 is 0.29 eV more stable than two separate  $V_3O_6$ -rings at the same coverage. Except for the  $V_8O_{16}$  structure, linkage of oligomers across cell boundaries to form extended structures did not occur or was unfavorable in terms of stability. Furthermore, formation of two-dimensional clusters is preferred over three-dimensional growth.

Oligomers appear as chains and rings. In rings, each VO<sub>4</sub> tetrahedron is formed by one V=O vanadyl bond, two V-O-V bonds to oxygen atoms shared with neighboring vanadium atoms ('bridging' V-O bonds), and one bond to a surface oxygen atom ('anchoring' V-O bonds). This

also applies to vanadium atoms in chain structures except for the two terminal vanadium atoms, which have one V-O-V bond less. One of these V atoms establishes a V-O-Ce bond by using an O atom stemming from the adsorbed cluster, while the other V atom binds to an additional surface oxygen atom to adopt 4-fold coordination. The corresponding surface oxygen atom is usually significantly displaced from its position in pristine CeO<sub>2</sub>(111). This structural feature was described as a so-called "pseudo-oxygen-vacancy" in a previous study.<sup>30</sup> Similar to an actual oxygen vacancy,<sup>60</sup> it serves as a very favorable binding site for methanol and, consequently, enhances the activity of the catalyst.<sup>33</sup> Rings matching the hexagonal surface structure of CeO<sub>2</sub>(111), i.e. containing three or six VO<sub>2</sub> units, are very stable and abundant structural motifs (in the GA populations).

**Table 1.** Mean V-O bond distances<sup>a</sup> (pm) of  $V_nO_{2n}$  clusters as well as  $VO_2$  and  $V_2O_5$  MLs on  $CeO_2(111)$  using dispersion-corrected PBE+U.

	vanadyl	bridging	anchoring	interphaseb
	(V=O)	(V-O-V)	(V-O-Ce)	
VO <sub>2</sub> /CeO <sub>2</sub> (111)	163	-	181	174
$V_2O_4/CeO_2(111)$	162	182	180	173
$V_3O_6/CeO_2(111)$	161	182	174	-
$V_4O_8/CeO_2(111)$	161	182(178 - 185)	178 (176 - 180)	173
$V_5O_{10}/CeO_2(111)$	162 (161-	182(177 - 189)	178 (174 - 180)	172
	165)			
$V_6O_{12}/CeO_2(111)$	161	181	175	-
$V_7O_{14}/CeO_2(111)$	162 (161-	181 (178 – 185)	175 (173 – 176)	-
	165)			
$V_8O_{16}/CeO_2(111)$	161	184 (165 - 224)	176(173 - 184)	-
$VO_2$ -ML-a/CeO <sub>2</sub> (111)	160	183 (170 – 196)	177(175 - 179)	-
$VO_2$ -ML-c/CeO <sub>2</sub> (111)	160	199(194-201)	176	-
V <sub>2</sub> O <sub>5</sub> -ML-a/CeO <sub>2</sub> (111)	160	189 (170 – 224)	211 (176 – 228)	-

<sup>&</sup>lt;sup>a</sup> If the smallest and largest distances differ by more than 2 pm, the range is given in parentheses. <sup>b</sup> V-O-Ce bonds at the end of a chain with a 2-fold coordinated oxygen, commonly involved in

formation of a pseudovacancy.

Figure 1 shows only the most stable structure of a given composition which are labeled  $V_nO_{2n}$ -a in section 1 of the Supporting Information. The latter provides a more complete account of low energy structures of surface  $V_nO_{2n}$  clusters with n=4-8.

The abundance of ring structures for larger oligomers and the associated lack of a pseudovacancy might explain the experimentally observed lower activity for catalysts formed at high vanadia coverage, which feature bigger clusters. In addition, only one pseudovacancy is formed per cluster. Therefore, larger oligomers are probably less active than the monomer, since (i) the pseudovacancy might be harder to access due to steric effects, and (ii) the degree of reduction is higher for larger VO<sub>2</sub> oligomers, rendering further surface reduction during methanol oxidation energetically less favorable.

3.2. Comparison with gas-phase clusters and different supports. Neutral and anionic gas-phase  $V_nO_m$  clusters with more than three vanadium atoms prefer to form cage-like structures,  $^{61}$ - $^{63}$  while the supported  $VO_2$  clusters described above form two-dimensional structures to maximize contact with the surface. Nevertheless, adsorbed clusters and gas-phase clusters have several points in common. In both cases, the predominant coordination number of vanadium atoms is four, and six-membered rings are common structural motifs. Gas-phase clusters often involve eight-membered rings, which were also found on the  $CeO_2(111)$  surface. However, they are energetically less favorable than six-membered rings since they do not match the hexagonal lattice of the surface. Regarding the electron distribution, mixed cerium – vanadium oxide clusters in the gas phase show the same preference for the  $Ce^{3+}/V^{5+}$  oxidation states as found for the supported clusters.  $^{64}$ 

For a recent review of experimental and theoretical studies on vanadia model catalysts on different supports we refer to ref. 3. On ultrathin  $Al_2O_3$  films grown on a NiAl substrate, cage type, two-layer  $V_4O_{10}$  and  $V_6O_{15}$  clusters which contain 4-fold coordinated V atoms show up in the stability diagram,<sup>40</sup> in contrast to the flat  $VO_2$  oligomers studied in this work. This is in

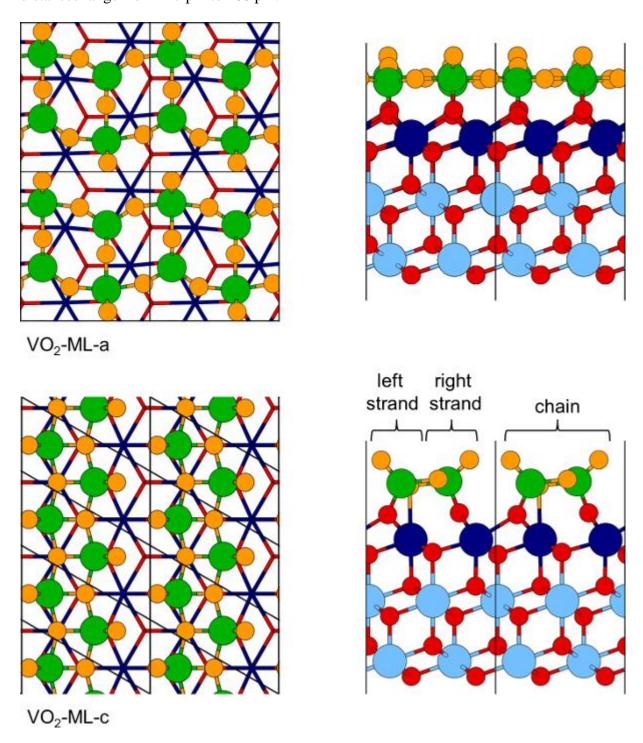
agreement with the observed tendency of vanadia on  $Al_2O_3/NiAl$  films of forming taller aggregates rather than wetting the surface.<sup>40,65</sup>

Vanadia clusters with  $V_2O_5$  composition on (101) and (001) surfaces of tetragonal  $ZrO_2$  are discussed in ref. 66. V-O bond distances of monomers (vanadyl: 161 vs. 163 pm; anchoring: 179 vs. 181 pm) and dimers (vanadyl: 161 vs. 162 pm; bridging: 185 vs. 182 pm; anchoring: 177 vs. 180 pm) are similar to those of ceria-supported clusters, and the clusters also contain 4-fold coordinated vanadium. The monomers have been obtained by dissociating  $V_2O_5$  to  $VO_3^-$  and  $VO_2^+$ . In all these species, vanadium is in the +5 oxidation state.

Although TiO<sub>2</sub> is considered a reducible oxide, it does not stabilize the +5 oxidation state of vanadium to the same extent as ceria does, i.e. (VO<sub>2</sub>)<sub>n</sub> clusters on titania surfaces mostly contain reduced vanadium cations. Henkelman and coworkers studied monomers, dimers and tetramers on rutile and anatase surfaces.<sup>67</sup> Coordination numbers of V range from three to six.

**3.3. VO**<sub>2</sub> **monolayers**. The most stable VO<sub>2</sub> ML structure (VO<sub>2</sub>-ML-a, see Figure 2, top) is formed by chains built from a mixture of  $V_{4c}$  and  $V_{5c}$  atoms. Each  $V_{4c}$  ( $V_{5c}$ ) atom is connected to three  $V_{5c}$  ( $V_{4c}$ ) atoms via bridging O atoms. Two of these connections are in chain direction and the third one is perpendicular to the chain direction. This results in eight-membered (V-O-)<sub>4</sub> rings with  $C_2$  symmetry as structural motifs. In terms of coordination polyhedra,  $VO_5$  trigonal-bipyramids are linked to two tetrahedra by using the pyramid tops and to another tetrahedron by using a vertex of the triangular base, which is perpendicular to the surface. Only the  $V_{5c}$  atoms feature vanadyl groups, which are tilted towards the surface (43° with respect to the surface normal).  $V_{5c}$  atoms are elevated by 23 pm compared to  $V_{4c}$  atoms. The formation of anchoring V-O bonds strongly affects the support structure. Surface oxygen atoms bonded to  $V_{5c}$  ( $V_{4c}$ ) atoms are lifted by 69 pm (43 pm) compared to their position in pristine  $CeO_2(111)$ . Due to additional lateral displacements of the surface oxygen atoms, the change in O-Ce bond

distances is asymmetric, i.e. instead of three bonds with the same distance (237 pm), the bond distances range from 247 pm to 268 pm.



**Figure 2.** Stable (VO<sub>2</sub>-ML-a, top) and a meta-stable structure (VO<sub>2</sub>-ML-c, bottom) of the VO<sub>2</sub> ML on CeO<sub>2</sub>(111) in top view (left) and side view (right). For details and color code, see Figure 1. Black lines indicate primitive unit cells.

As shown in Figure 2 (top), the primitive unit cell is rectangular and contains four  $VO_2$  units.

Placing the same  $V_4O_8$  repeat unit in a rhombic p(2x2) CeO<sub>2</sub>(111) unit cell results in a different

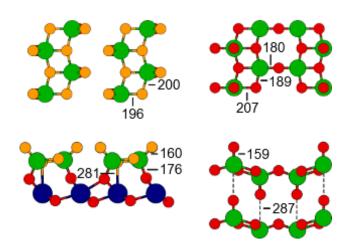
pattern regarding the connection of the repeat units. In this structure (VO<sub>2</sub>-ML-b, see Figure S2), V atoms of one ring are linked to V atoms of different neighboring rings (creating a two-dimensional network of rings), instead of being linked to V atoms of the same neighboring ring (creating chains of fused rings). This structure is 0.05 eV/V atom higher in energy compared to the one shown in Figure 2 (top).

Similar to VO<sub>2</sub> oligomers, the V 3d electron of each VO<sub>2</sub> unit of the adlayer is transferred to  $Ce^{4+}$  surface ions. This leads to a reduction of each  $Ce^{4+}$  ion in the topmost trilayer to  $Ce^{3+}$  while vanadium adopts the oxidation state +5. The structure may therefore be described as a ML of  $V_2O_5$  on a  $Ce_2O_3$  surface layer on  $CeO_2$ . The (111) surface of the cubic bulk phase of  $Ce_2O_3$  (c- $Ce_2O_3$ , bixbyite) and the (0001) surface of  $Ce_2O_3$  have a hexagonal surface structure closely resembling that of  $CeO_2(111)$ . Experimental results show that reducing  $CeO_2(111)$  films creates ordered surface layers of  $Ce_2O_3$ . The transformation of  $CeO_2(111)$  to  $Ce_2O_3(0001)$  has been discussed in ref. 71.

A different  $VO_2$  ML structure ( $VO_2$ -ML-c, see Figure 2, bottom) was also considered due to its similarities with the chains formed by  $VO_3^-$  in hydrated metavanadates, e.g.  $KVO_3 \cdot H_2O$ , and the (001) surface structure of  $V_2O_5$ . This structure has a similar  $V_2O_5$ -type motif as the monolayer structure reported for  $VO_2$  on  $ZrO_2(101)$ .

Figure 3 provides a more detailed comparison. Compared to VO<sub>2</sub>-ML-a, it is 0.20 eV/V atom higher in energy. The constituent VO<sub>2</sub> units are placed with their V-O bond parallel to the surface and the vanadyl bond adopts a tilt angle of 41° to 45° with respect to the surface normal. Along the direction of one surface cell vector, the VO<sub>2</sub> units are stacked in an alternating pattern, which results in the formation of parallel infinite chains. The orientation of these chains with respect to the support is perpendicular to the chain direction in the most stable VO<sub>2</sub> ML structure. Vanadium is 5-fold coordinated, and the distorted VO<sub>5</sub> trigonal-bipyramids share two edges with neighboring units. The repeat unit contains four-membered (V-O-)<sub>2</sub> rings as a structural motif, in contrast to the (V-O-)<sub>4</sub> rings in the most stable structure. Oxygen atoms of

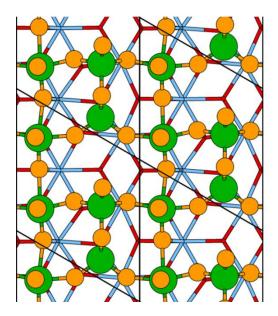
the VO<sub>2</sub> layer are either connected to a single vanadium atom via a vanadyl bond or to three V atoms as part of the chains. Each chain can be divided into two strands, which will be labeled 'left' and 'right' according to Figure 2 (bottom). The O atoms in the left strand are in atop position of a surface Ce atom, with an average O-Ce distance of 281 pm. In contrast, the O atoms of the right strand are located above a subsurface O atom. V atoms are bonded to two O atoms of their strand and one O atom from the other strand. Surface oxygen atoms connected to the left (right) strand of the VO<sub>2</sub> ML are lifted by 54 pm (63 pm) compared to their position in pristine CeO<sub>2</sub>(111). Furthermore, they are displaced laterally, breaking the symmetric coordination in pristine CeO<sub>2</sub> with three O-Ce distances of 237 pm. Surface oxygen atoms connected to the left strand of the VO<sub>2</sub> ML feature one short (249 pm) and two long (261 pm) O-Ce distances, while surface oxygen atoms connected to the right strand feature one large (288 pm) and two small (254 pm) O-Ce distances.

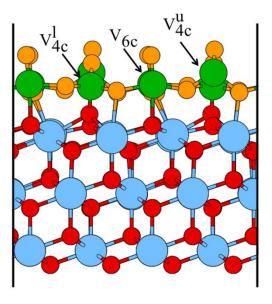


**Figure 3.** Comparison of the  $VO_2$ -ML-c (left) to  $V_2O_5(001)$  (right) in top view (top) and side view (bottom). Bond distances are given in pm. For details and color code, see Figure 1.

**3.4.** V<sub>2</sub>O<sub>5</sub> monolayer. The most stable structure found for the fully oxidized V<sub>2</sub>O<sub>5</sub> ML is shown in Figure 4. It was obtained by adding oxygen atoms between the chains of VO<sub>2</sub>-ML-c, followed by simulated annealing. The same structure was also obtained by addition of oxygen atoms between the chains of VO<sub>2</sub>-ML-a and local optimization. It contains equal numbers of 6-fold

and 4-fold coordinated vanadium atoms.  $V_{6c}$  atoms form chains of distorted corner-sharing  $VO_6$  octahedra. Between these rows of octahedra, pairs of corner-sharing  $VO_4$  tetrahedra are placed. Only one vanadium atom of the pair features a vanadyl bond. This vanadium atom is not bound to a surface oxygen atom and is vertically lifted by 66 pm compared to  $V_{6c}$  atoms. It will be referred to as  $V_{4c}^u$  (u for 'upper atom'). The other vanadium atom of the pair is bound to a surface oxygen atom instead, i.e. it does not have a vanadyl bond. These  $V_{4c}$  atoms without a vanadyl bond will be referred to as  $V_{4c}^l$  (1 for 'lower atom'). They are located 12 pm closer to the surface than  $V_{6c}$  atoms. To summarize, parallel chains of corner-sharing  $VO_6$  octahedra are connected by sharing vertices with pairs of corner-sharing tetrahedra. Vanadium oxide frameworks built from a mixture of octahedra and tetrahedra are known to exist, <sup>72</sup> but this specific arrangement has to the best of our knowledge not been described in the literature so far.

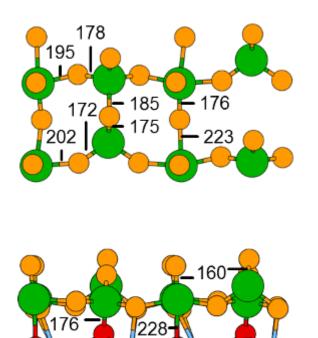




**Figure 4.**  $V_2O_5$  ML on  $CeO_2(111)$  in top view (left) and side view (right). The side view indicates the three different types of  $V^{5+}$  cations, with the subscript denoting the coordination number and the superscript referring to lower ('1') and upper ('u'). For details and color code, see Figure 1.

The coordination polyhedra are rather distorted and feature multiple V-O bond distances (see Figure 5). In contrast to the VO<sub>2</sub> ML, which involves large displacements of surface oxygen atoms, the support structure is mostly unaffected by deposition of the  $V_2O_5$  ML. Only surface O atoms bonded to  $V_{4c}^1$  atoms are significantly displaced from their position in pristine CeO<sub>2</sub>(111), while  $^{1}/_{4}$  of the surface oxygen atoms are not connected to the vanadium oxide adlayer.

The tetrahedra pairs can be arranged in two patterns. In the first one, which is shown in Figure 4,  $V_{4c}$  atoms are out of registry, i.e.  $V_{4c}^u$  and  $V_{4c}^l$  atoms alternate perpendicular to the octahedra chains, so that each  $V_{6c}$  atom is bonded to one  $V_{4c}^u$  and one  $V_{4c}^l$ . The other pattern (see Figure S3) has  $V_{4c}$  atoms that are in registry, so that each  $V_{6c}$  atom is bonded to either two  $V_{4c}^u$  or two  $V_{4c}^l$ . The energy difference between these two structures is negligibly small (2 meV/V atom). The patterns correspond to the two different  $VO_2$  ML arrangements ( $VO_2$ -ML-a and  $VO_2$ -ML-b) described above. Adding one bridging O atom between certain  $V_{4c}$  and  $V_{5c}$  atoms as well as a vanadyl O atom to the corresponding  $V_{4c}$  atom transforms the  $VO_2$  ML to the  $V_2O_5$  ML.



**Figure 5.** Bond distances (pm) in the  $V_2O_5$  ML on  $CeO_2(111)$  in top view (top) and side view (bottom). For details and color code, see Figure 1.

## 3.5. Relative stabilities of oligomers and monolayers.

Table 2 shows relative stabilities of the  $VO_2$  surface aggregates compared to bulk  $VO_2$  and  $V_2O_5$  per  $VO_2$  unit.

$$\{VO_2\}_{\text{bulk}} + \frac{1}{n} \{(CeO_2)_{48}\} \to \frac{1}{n} \{V_nO_{2n} \cdot (CeO_2)_{48}\}$$
 (1a)

$$\frac{1}{2} \{ V_2 O_5 \}_{\text{bulk}} + \frac{1}{n} \{ (CeO_2)_{48} \} \rightarrow \frac{1}{n} \{ V_n O_{2n} \cdot (CeO_2)_{48} \} + \frac{1}{4} O_2$$
 (1b)

The energies according to eq. (1b) differ from (1a) by the bulk reduction energy, i.e. the energy required to form  $VO_2$  and  $O_2$  from  $V_2O_5$ . Using our calculated values (per V atom), this amounts to adding 0.75 eV to the relative stabilities according to eq. (1a) in Table 2. For example, in the

case of the  $VO_2$  monolayer, the resulting reaction energy is +0.07 eV, implying a slight thermodynamic preference of bulk  $V_2O_5$  compared to surface  $VO_2$  species.

Agglomeration energies,

$$\{VO_2 \cdot (CeO_2)_{48}\} \to \frac{1}{n} \{V_nO_{2n} \cdot (CeO_2)_{48}\} + \frac{n-1}{n} \{(CeO_2)_{48}\}$$
 (2)

relate the stability of an aggregate to the smallest building block. They are obtained from the relative stabilities, eq. 1a, by just subtracting the relative stability of the  $VO_2$  monomers which are able to diffuse and agglomerate. For example, the agglomeration energy (Table 2) for the trimer is -0.74 - (-0.08) = -0.66 eV.

Growth energies,

$$\{VO_2 \cdot (CeO_2)_{48}\} + \{V_{n-1}O_{2n-2} \cdot (CeO_2)_{48}\} \rightarrow \{V_nO_{2n} \cdot (CeO_2)_{48}\} + \{(CeO_2)_{48}\}$$
(3)

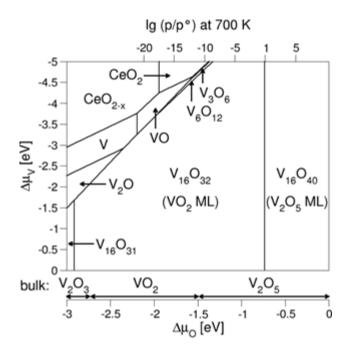
describe the energy gain when adding a monomer to an existing oligomer.

**Table 2.** Relative stabilities and growth energies (eq. 3) in eV for  $V_nO_{2n}$  aggregates on  $CeO_2(111)$ .

n	Relative	stability	Growth energy
	(eq. 1a)	(eq. 1b)	(eq. 3)
1	-0.08	+0.67	0
2	-0.58	+0.17	-0.99
3	-0.74	$\pm 0.00$	-1.00
4	-0.73	+0.02	-0.62
5	-0.64	+0.11	-0.18
6	-0.73	+0.02	-1.13
7	-0.68	+0.07	-0.30
8	-0.63	+0.11	-0.20
16 (ML)	-0.68	+0.07	-

Table 2 shows that combining monomers to form any of the higher oligomers and, ultimately, a ML, is an exothermic process. However, there are three systems with particularly high agglomeration energies: the trimer, the tetramer, and the hexamer.

In addition to the agglomeration energies presented in Table 2, relative stabilities of the different supported vanadia species have also been analyzed using free energies calculated from DFT energies and molecular statistics. Examples of applying these approaches to solid-state systems can be found in refs. 44,66,73. Details concerning our specific set of equations and approximations are described in ref. 29. Zero-point vibrational contributions and thermal vibrational contributions were neglected. For a comprehensive picture, adsorbed VO species as well as oxygen-defective VO and VO<sub>2</sub> oligomers studied in ref. 29 have also been included. In addition, oxygen-defective ML structures have been generated and incorporated as well. The resulting stability diagram (phase diagram) is shown in Figure 6. It is dominated by ML structures, especially the VO<sub>2</sub> ML. This confirms the previously reported thermodynamic preference of VO<sub>2</sub> units to aggregate and indicates that vanadia wets the ceria surface completely. Relative stabilities of the different ML structures suggest that the VO<sub>2</sub> ML is more relevant than the V<sub>2</sub>O<sub>5</sub> ML under UHV conditions. This is in agreement with observation detecting an increasing number of Ce<sup>3+</sup> ions with increasing vanadium coverage. Compared with the corresponding bulk phases, a much larger oxygen partial pressure is required to oxidize VO<sub>2</sub> to V<sub>2</sub>O<sub>5</sub> supported on CeO<sub>2</sub>(111), which illustrates the stabilizing effect of the support. The trimer and hexamer rings presented in Figure 1 also show up in the phase diagram which is compatible with the observed STM images which, at higher vanadium coverage, show two predominant species consisting of rings formed by three and six protrusions, respectively.<sup>21</sup>



**Figure 6.** Phase diagram of various adsorbed vanadia clusters. The Figure shows the most stable species as a function of  $\Delta\mu_V$  and  $\Delta\mu_O$ . The latter has been translated into an oxygen pressure scale at 700 K. For comparison, the most stable bulk phase as a function of  $\Delta\mu_O$  is shown at the bottom (calculated values).

Under catalytic reaction conditions, vanadia supported on ceria may convert into the stable cerium vanadate phase, CeVO<sub>4</sub>, see, e.g. ref. 74, for which a Ce(f<sup>1</sup>)<sup>III+</sup>/V(d<sup>0</sup>)<sup>V+</sup> electronic structure has been predicted using PBE+U<sup>75</sup> in agreement with the earlier interpretation of XANES spectra.<sup>76</sup> Therefore, we look at the relative stability of our most stable monolayer phase, VO<sub>2</sub>-ML-a, with respect to bulk CeVO<sub>4</sub> which, taking into account the composition of our slab models, is expressed by the reaction

$$\frac{1}{16} \{ (\text{VO}_2)_{16} \cdot (\text{CeO}_2)_{48} \} \to \{ \text{CeVO}_4 \}_{\text{bulk}} + \frac{1}{24} \{ (\text{CeO}_2)_{48} \}$$
 (4)

Within our assumptions (no vibrational contributions to chemical potential differences) the free energy differences are identical with the energy differences at 0K. The reaction energy, -1.09 eV, indicates a thermodynamically very favorable formation of cerium vanadate, which is in qualitative agreement with experiment.<sup>74</sup>

## 3.6. Infrared spectra

Infrared reflection absorption spectroscopy (IRAS) experiments indicate the presence of terminal vanadyl (V=O) bonds. <sup>21</sup> Upon increasing vanadia coverage,, the wavenumber of the V=O stretching mode is shifted from 1006 cm<sup>-1</sup> (predominantly monomers) to 1033 cm<sup>-1</sup> (trimers) to 1040 cm<sup>-1</sup> for larger aggregates. <sup>65</sup> This shift is attributed to a coupling of the V=O dipole moments. As already reported in earlier work, <sup>29</sup> the blue-shift from monomeric to trimeric species is reproduced by the calculated harmonic vibrational wavenumbers (Table 3) which are systematically to high – a known phenomenon for the type of functional used. However, Table 3 shows that an increase in cluster size is not always connected with a blue-shift of the V=O stretching mode. Test calculations indicate that not only vanadium atoms within an adsorbed cluster may influence the vibrational frequencies, but also nearby clusters. In particular, the most intense V=O stretching mode of V<sub>3</sub>O<sub>6</sub> clusters is blue-shifted by 12 cm<sup>-1</sup> upon doubling the V coverage, i.e. depositing two clusters per  $p(4 \times 4)$  unit cell.

The wavenumbers calculated for the V=O stretching modes of the most stable VO<sub>2</sub> monolayer (VO<sub>2</sub>-ML-a) are very close to the ones of the largest,  $V_8O_{16}$  cluster. Both the calculated (1072 cm<sup>-1</sup>) and observed (1040 cm<sup>-1</sup>) wavenumbers of the V=O vibrations of vanadyl-terminated  $V_2O_3(0001)$  surface<sup>77</sup> are very close to the respective values for the large VO<sub>2</sub> aggregates (calc. 1069, obsd. 1040 cm<sup>-1</sup>). The calculated V=O stretching wavenumbers fall also in the range of values calculated for the most stable  $V_2O_5$  layer on an ultrathin  $Al_2O_{2.6}$  film grown on a NiAl substrate,<sup>40</sup> and for the  $V_2O_5$  (001) surface.

**Table 3.** Harmonic wavenumbers (cm $^{-1}$ ) of V=O stretch vibrations of V<sub>n</sub>O<sub>2n</sub> clusters and monolayers on CeO<sub>2</sub>(111) using dispersion-corrected PBE+U compared to other systems and experiment.

	range	most intense
VO <sub>2</sub> /CeO <sub>2</sub> (111)	1024	1024
$V_2O_4/CeO_2(111)$	1025-1035	1025
$V_3O_6/CeO_2(111)$	1049-1063	1049 <sup>a</sup>
$V_4O_8/CeO_2(111)$	1036-1058	1045;1058
$V_5O_{10}/CeO_2(111)$	1025-1035	1027
$V_6O_{12}/CeO_2(111)$	1045-1067	1048
$V_7O_{14}/CeO_2(111)$	957-1065	1054
$V_8O_{16}/CeO_2(111)$	1039-1069	1069
VO <sub>2</sub> -ML-a/CeO <sub>2</sub> (111)	1060-1069	1060;1069
$VO_2$ -ML-c/CeO <sub>2</sub> (111)	1054-1092	1054;1058
$V_2O_5$ -ML-a/CeO <sub>2</sub> (111)	1047-1082	1047
$O-V_2O_3(0001)$		1072 <sup>b</sup>
$V_2O_5/Al_2O_{2.6}$	1033-1088 <sup>c</sup>	
$V_2O_5(001)$	1066-1102 <sup>d</sup>	1102

<sup>&</sup>lt;sup>a</sup> Shifted to 1061 cm<sup>-1</sup> upon placing a second  $V_3O_6$  cluster in the  $p(4 \times 4)$  unit cell.

## 4. Conclusions

Vanadia oligomers, (VO<sub>2</sub>)<sub>n</sub>, deposited on CeO<sub>2</sub>(111) mainly contain tetrahedrally coordinated V<sup>5+</sup> ions as principal building blocks. One electron per VO<sub>2</sub> unit is transferred to the support creating n Ce<sup>3+</sup> ions, leading to a (VO<sub>2</sub>+)<sub>n</sub>/CeO<sub>2</sub>(111)<sup>n-</sup> system. With increasing coverage, especially with respect to monolayer formation, V atoms with larger coordination numbers (five or six) become more abundant. Likewise, monolayer structures feature more bridging V-O-V bonds. Furthermore, V atoms in small oligomers are preferentially vanadyl-terminated, while some V atoms in large oligomers and the monolayer structures lack V=O groups. The preference for monolayer aggregates from small clusters to full surface coverage together with the fact that flat "monolayer" clusters are preferred to taller "bilayer" clusters, i.e. for wetting the ceria surface, is in contrast to what has been found for non-reducible oxides such as alumina.

<sup>&</sup>lt;sup>b</sup> Vanadyl-covered V<sub>2</sub>O<sub>3</sub>(0001) surface, unscaled value from ref. 77.

<sup>&</sup>lt;sup>c</sup> Ref. 40.

<sup>&</sup>lt;sup>d</sup> Ref. 38: 1042-1095 cm<sup>-1</sup>.

Pseudo-oxygen vacancies, i.e. oxygen ions lifted out of the surface to anchor the vanadia

cluster, are present in most of the chain-type oligomers, but are absent in ring structures and the

monolayer structures. Upon increasing cluster size, these pseudovacancies become less

abundant, in agreement with the experimentally observed lower activity at higher V loading of

the  $CeO_2(111)$  support.

Agglomeration of adsorbed VO<sub>2</sub> units is strongly exothermic, and VO<sub>2</sub> monolayer structures

are predicted to be thermodynamically favorable under a wide range of experimentally relevant

conditions (vanadium oxide loading and oxygen partial pressure).

ASSOCIATED CONTENT

**Supporting Information** 

The Supporting Information is available free of charge on the ACS Publication website at DOI:

XXX.XXX

Additional VO<sub>2</sub> and V<sub>2</sub>O<sub>5</sub> monolayer structures; total energies of all optimized

structures; x,y,z coordinates of optimized structures.

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**Notes** 

The authors declare no competing financial interest.

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# **TOC Graphic:**

