

# Hanzhong bronzes and the highly radiogenic lead in Shang period China

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## Abstract

For decades, the origin of the bronzes with distinct highly radiogenic lead isotopic ratios in Shang period China has constituted a research puzzle. This paper presents new lead isotope data of bronze objects from Hanzhong, representing one of the key regional bronze cultures during Shang period China. On the basis of a synthetical investigation of the typological, chemical and lead isotopic features of Hanzhong bronzes and their relations to other regional bronze cultures, we propose the Qinling area as the potential region of origin for the metals containing highly radiogenic lead used by several contemporaneous but culturally/ politically distinct entities across a vast territory. Taking into account both archaeological and geological evidence, this working hypothesis is expected to draw attention not only merely to the geological provenance of metal resources but also to the mechanisms of metal production and circulation as well as broader social-economic dynamics.

## Keywords:

Lead isotopes; provenance; Shang; China; bronze; highly radiogenic lead; regional specialisation; multiple-direction exchange.

## Highlights:

- 35 • New lead isotope results from a key regional bronze culture of Shang period  
36 China.
- 37 • The Qinling region is proposed as a source of the mysterious highly radiogenic  
38 lead in Shang period bronzes.
- 39 • Regional specialisation and a multiple-direction interregional exchange are  
40 shown in Bronze Age China
- 41 • A new understanding of ancient metallurgy in broader social-economic contexts  
42 is offered.

## 43 **1. Introduction**

44 The production of splendidly cast bronzes, one of the most prominent features  
45 characterising China's early civilisations (Chang, 1986: 365-7) reached its zenith  
46 during the middle and late Shang period (ca. 1300-1046 BCE). To support the large-  
47 scale production of ritually important vessels, weapons and other implements, copper,  
48 tin and lead had to be exploited in ore-rich mountainous regions, remote from the  
49 centres of Shang civilisation, and transported to the Central Plain in the lower reaches  
50 of the Yellow River (Yue and Liu, 2006), the core and metropolitan region of the  
51 Shang polity (Figure 1). The circulation of these key resources and products must  
52 have resulted in complex trans-cultural inter-regional interactions, which in turn  
53 played a significant role in shaping the developmental trajectory of the early states in  
54 Bronze Age China (Liu and Chen, 2012: 369-81).

55 Seeking to identify the geological origins and supply routes of raw metals and the  
56 circulation patterns of the finished bronzes, chemical and/or isotopic analyses have  
57 therefore been a core topic of archaeometallurgical research in China just as in many  
58 other regions in the world (e.g. Peng, et al., 1999, Jin, 2008, see also Pollard, et al.,  
59 2015, Radivojević, et al., 2018 for most recent reviews on methodology). However,  
60 the distinctive highly radiogenic lead (HRL) isotopic composition of many Shang  
61 period bronzes has puzzled scholars from various disciplinary backgrounds and  
62 become a long-standing issue in Chinese archaeology since it was first identified in  
63 the 1980s. After looking at the data collected by the authors, Ernst Pernicka (2013,  
64 personal communication) even joked that archaeological scientists would have given  
65 up the lead isotope method if they had started with the Shang period bronzes.

66 Over the last few years, another round of discussion on this question has attracted  
67 interest from a broad academic community (e.g. Sun, et al., 2016, Jin, et al., 2017,  
68 Liu, et al., 2018a, Liu, et al., 2018b). While the mysterious HRL metal and its  
69 geological origin still sit in the heart of the debate, we begin to see a promising new  
70 tendency paying more attention to general archaeological contexts and questions (Jin,

71 et al., 2017, Liu, et al., 2018b). In this paper we report new analytical data, briefly  
72 review previous studies, propose a potential geographic region of origin for the HRL  
73 and discuss the implications of this new model, thus moving towards a new  
74 understanding of bronze metallurgy and its social-economic context in Bronze Age  
75 China.

## 76 **2. The Hanzhong bronzes**

77 The Hanzhong basin, situated in southwestern Shaanxi, is located on the upper  
78 reaches of the Han River, surrounded by the Qinling mountain range to the north and  
79 the Daba-Micang Mountains to the south. The basin has geographic connections  
80 reaching southwest to the Chengdu Plain, north to the Wei River valley and south to  
81 the Middle Yangtze region, making it an important centre for communication  
82 networks between various parts of China (Figure 1). Since the 1950s, dozens of  
83 ancient bronze hoards or caches have been found around the confluence of the Han  
84 and Xushui Rivers (e.g. Duan, 1963, Tang et al., 1980, Chai et al. 2005), forming the  
85 so-called Hanzhong bronze group, also known as the ‘Chengyang bronze group’, after  
86 the two counties of Chenggu and Yangxian in the eastern part of the basin (Zhao,  
87 1996). The total assemblage of Hanzhong bronzes comprises more than 700 objects  
88 from 33 caches at 19 different sites. The bigger caches/hoards such as Longtou, Sucun  
89 and Machang (Chen et al., 2016: online supplement 1) often contain stylistically  
90 mixed but chronologically similar assemblages of bronze artefacts (Zhao, 2006, Sun,  
91 2011). The whole bronze assemblage includes hundreds of weapons and *Yang* discs,  
92 dozens of ritual vessels, masks, *Zhang* sceptres, sickle-shaped objects, and a few tools  
93 and ornaments (Figure 2) (Cao, 2006, Zhao, 2006). As a group, these bronzes, often  
94 found accidentally by farmers, have been assigned to the “Baoshan Culture”, which  
95 takes its name from the only properly excavated site in the region, contemporary with  
96 the Shang culture of the Central Plain (XDWX, 2002: 176-9). Typological studies  
97 suggest an approximate date ranging from the Upper Erligang to the late Anyang  
98 (Yinxu) periods, spanning from the fourteenth to the eleventh centuries BCE (Cao,  
99 2006, Zhao 2006), although some pieces in the group may be dated to the Western  
100 Zhou period (e.g. Li 2007, von Falkenhausen, 2011).

101 Previous research has revealed two notable features of the Hanzhong bronzes. On the  
102 one hand, the whole assemblage shows remarkable stylistical/typological diversity,  
103 comprising various groups of products that are typologically attributable to distinct  
104 regions, including the Shang metropolitan areas in the Central Plain (Figure 2: Sub-  
105 group A), the Wei River valley in the north of the Qinling Mountains (Figure 2: Sub-  
106 group B), and the middle-lower Yangtze River Valley (Figure 2: Sub-group C) (e.g.

107 Cao, 2006, Chen, 2010a: 117-23, von Falkenhausen, 2011, Chen, et al., 2016). On the  
108 other hand, the close correlation among the indigenous archaeological culture,  
109 stylistically local items (sickle-shaped objects, *Zhang* sceptres and socketed axes,  
110 Figure 2: Sub-group D) and their characteristic metal compositions (mainly unalloyed  
111 copper and the “natural alloys” containing arsenic, antimony and nickel), suggests the  
112 existence of indigenous metalwork in the Hanzhong region during the Shang period  
113 (Chen, et al., 2009, Chen, 2010a: 104-9, Chen, et al., 2016).

114 Jin, et al. (2006) reported lead isotopic results of 31 Hanzhong bronze objects and  
115 suggested the region as a foothold in the “northern route” through which the Central  
116 Plain polities contacted those in the Sichuan Basin, which is relevant to the  
117 exploitation of metallic resources in the regions further southwest, the bordering area  
118 of Yunnan, Guizhou and Sichuan provinces.

### 119 **3. Materials, methods and analytical results**

120 This paper presents new lead isotope data of 84 samples from 72 objects among the  
121 Hanzhong bronzes. The objects sampled cover a good range of various typologies,  
122 chronology and aforementioned sub-groups, and therefore are thought to be  
123 representative of the entire group (Figure 2). The lead isotope composition of our  
124 samples was analysed utilizing a Thermo Electron Corporation MAT262 Surface  
125 Ionization Mass Spectrometer (TIMS) at Beppu University in Japan.

126 The results are presented in Table S1 in the Supplementary materials as three isotopic  
127 ratios with  $^{204}\text{Pb}$  as the denominator, together with the methods of sample preparation  
128 and measurement. The table also shows lead isotope data published by Jin, et al.  
129 (2006), lead concentrations, and the alloy types following the conventional threshold  
130 of 2wt% for alloys classification. Detailed results and description of chemical  
131 compositional analysis can be found in Chen, et al. (2009), Chen (2010a) and Chen, et  
132 al. (2016: supplementary material S2). In total, lead isotopic data of 115 samples of  
133 104 bronze objects from Hanzhong are now available for discussion, with 87 of them  
134 being complemented with their elemental composition.

135 The lead isotope compositions of the Hanzhong bronzes span a broad range, from  
136 17.531 to 23.853 for  $^{206}\text{Pb}/^{204}\text{Pb}$ , 15.452 to 16.507 for  $^{207}\text{Pb}/^{204}\text{Pb}$  and 38.104 to  
137 44.681 for  $^{208}\text{Pb}/^{204}\text{Pb}$ . Among them, more than three quarters of the samples (88 of  
138 115) are highly radiogenic, defined here as  $^{206}\text{Pb}/^{204}\text{Pb} \geq 20$  and  $^{208}\text{Pb}/^{204}\text{Pb} \geq 40$  (Jin,  
139 2008: 292-302, Liu, et al., 2018b). Though there is concern that possible  
140 contamination from the burial environment may alter the lead isotope composition of  
141 the patina from base metal with low lead content (e.g. Snoek, et al., 1999, Gale and

142 Stos-Gale, 2000), the non-clustered distribution of the lead isotope ratios and the good  
143 agreement with published data suggest lead contamination from the burial  
144 environment was negligible. Therefore, the results are considered robust and taken to  
145 represent the base metal of the objects.

146 Lead concentration is a major concern when applying lead isotope analysis for the  
147 provenance of copper-based metals in antiquity (Gale and Stos-Gale, 1982). While the  
148 contribution of lead from tin and its influence on the lead isotope composition are  
149 generally negligible (Gale and Stos-Gale, 2000, Molofsky, 2009), it is crucial to  
150 ascertain whether the measured isotopes from one specific object are derived from  
151 traces of lead within the copper, or from the lead added during the alloying process  
152 (Gale and Stos-Gale, 1982, Pollard and Bray, 2015). The issue appears even more  
153 significant in Bronze Age China, given the prevalence of leaded tin bronze (taken  
154 here as  $\text{Pb} \geq 2 \text{ wt}\%$ ) among hundreds of chemically analysed objects. Although it is  
155 complicated to decide how much lead in copper-based alloys can signify deliberate  
156 addition of lead, several scholars have tentatively suggested that a concentration of 1  
157 wt% Pb is sufficient to indicate addition of lead, and for the isotope signature being  
158 dominated by the lead source ( Zhu and Chang, 2002, Jin, 2008: 41, Liu, et al.,  
159 2018b).

160 Figure 3:a plots a lead isotope ratio against inverse lead chemical concentration as  
161 recently proposed by Pollard and Bray (2015). Theoretically, the mixing lines of two  
162 components would become linear in the chart and can be used to illustrate the  
163 controlling component (copper or lead in this case) of the isotope data. We also plot  
164 the data with two isotope ratios grouped by different lead contents for comparison  
165 (Figure 3:b). There is no clear linear correlation between  $1/\text{Pb}$  ( $1000 \text{ ppm}^{-1}$ ) and the  
166 lead isotope ratio ( $^{206}\text{Pb}/^{204}\text{Pb}$ ) (Figure 3:a), as many of the plots are horizontally  
167 squeezed in a very narrow area around  $1/\text{Pb} \approx 0$  due to their relatively high lead  
168 contents but vertically scattered over a broad range of lead isotope values. Figure 3:b  
169 is also characterised by the substantial overlap of the isotope ratios despite their  
170 various lead concentrations. These patterns, revealed by both isotopic and elemental  
171 compositions, suggest that the copper (indicated by the low lead samples) and lead (as  
172 shown by the high lead ones) used to cast most of the Hanzhong bronzes have similar  
173 HRL isotope signature, although it is interesting to see that a considerable number of  
174 lead-rich samples ( $\text{Pb} \geq 2\%$ ) seem to be more radiogenic than the rest ( $^{206}\text{Pb}/^{204}\text{Pb} >$   
175 23).

176 As mentioned before, typological diagnosis and chemical analyses have differentiated  
177 four sub-groups among the assemblage of Hanzhong bronzes, implying their diverse

178 origins from distinct regional bronze cultures (e.g. von Falkenhausen, 2011, Chen, et  
179 al., 2016). When the data are classified by these sub-groups and plotted in Figure 4, it  
180 is surprising to see that lead isotopic composition of samples from different sub-  
181 groups again substantially overlap with each other and are hardly distinguishable.  
182 That is to say, even though objects assigned to distinct sub-groups were most likely  
183 fabricated in various regions and cultural/political contexts, the raw metals used  
184 (copper and/or lead), especially the ones that have HRL isotope composition, seem to  
185 have originated from a common source. This observation is very significant for our  
186 understanding of metal material sources and their circulation networks.

## 187 **4. Discussion**

### 188 *4.1 The highly radiogenic lead metal in Shang period bronzes*

189 Since the pioneering work of Jin Zhengyao in the early 1980s (Jin, 2004), for decades  
190 the provenance of the metals for the HRL Shang bronzes has puzzled researchers from  
191 various disciplines. More than 60% of the analysed Shang period bronzes ( $n > 800$ )  
192 were found to have distinctive highly radiogenic lead isotopic compositions,  
193  $^{206}\text{Pb}/^{204}\text{Pb} \geq 20$  and  $^{208}\text{Pb}/^{204}\text{Pb} \geq 40$ , which distinguish them from most known lead  
194 deposits and bronze artefacts worldwide (Zhu and Chang, 2002, Jin, 2008, Sun, et al.,  
195 2016, Liu, et al., 2018b). Their occurrence is geographically widespread in a vast area  
196 of several million  $\text{km}^2$  in China and involves most of the major Shang period regional  
197 cultures (Figure 1). The HRL bronzes identified in different regions are hardly  
198 differentiated from each other by their lead isotope ratios (Figure 5), despite their  
199 remarkable typological/cultural varieties (e.g. Bagley, 1999).

200 Another interesting point is that the chemical compositions of HRL bronzes cover  
201 various copper alloys with distinct lead concentrations. On the one hand, as most of  
202 the HRL bronzes contain a significant amount of lead ( $> 2$  wt%) that dominates lead  
203 isotope ratios of the measured objects, the source should be plumbiferous. On the  
204 other hand, some artefacts with low lead contents ( $< 1$  wt%) and malachite samples  
205 from various sites also show similar lead isotope ratios (Jin, 2008: 39-43), suggesting  
206 that the HRL in the alloy could have derived from copper ore as well. The same  
207 uncorrelated patterns between lead concentration and isotope ratios have been  
208 observed from our results of Hanzhong bronzes as indicated in Figure 3.

209 It is also important to note that the wide appearance of HRL bronzes is  
210 chronologically limited to approximately 300 years between the Upper Erligang  
211 (early-middle Shang period) and the Yinxu Phase III (ca. 1450-1150 BCE) (Figure 6,  
212 Figure 7). Despite forming the majority of Shang period objects, HRL bronzes are

213 hardly found among bronzes from the pre-Shang Erlitou and the later Zhou periods  
214 (Jin, 2008). HRL bronze first appears in early Shang cities at Zhengzhou and Yanshi  
215 in Henan ( Peng, et al., 1999, Jin, 2008, Tian, 2013), Yuanqu in Shanxi (Cui, et al.,  
216 2012) and Panlongcheng in the Middle Yangtze River (Peng, et al., 1999), and is  
217 subsequently identified in almost every major bronze group dating to the middle-late  
218 Shang period, such as Anyang (Yinxu) in Henan (Jin, 2008), northern Shanxi and  
219 Shaanxi (Cao, 2014, Liu, 2015), Sanxingdui in Sichuan (Jin, et al., 1995) and Xin'gan  
220 Dayangzhou in Jiangxi (Jin, et al., 1994). A significant proportion (~60%) of the  
221 collections of Shang bronzes in the Arthur M. Sackler Museum in Washington D.C.  
222 and the Sen-oku Hakuko Kan in Kyoto also have this distinctive isotopic signature  
223 (Barnes, et al., 1987, Hirao, et al., 1998). Towards the end of the Shang period, HRL  
224 bronze rather quickly disappeared except for the continued presence at the site of  
225 Jinsha in Sichuan for around another one (?) hundred years (Jin, et al., 2004).

226 In our view, two important observations can be derived from previous research.  
227 Firstly, the fact that the HRL bronzes are relatively tightly circumscribed  
228 chronologically but widespread geographically indicates that it is most likely that a  
229 single source region had provided the HRL metal for many distinct regional bronze  
230 cultures in China during the Shang Period (e.g. Jin, et al., 2017, Liu, et al., 2018b).  
231 Secondly, the various lead concentrations of HRL bronzes, from lead-free unalloyed  
232 copper to alloys containing dozens percent of lead, suggest the source would have  
233 supplied both copper and lead during its exploitation (e.g. Jin, 2008: 33-47, Tian,  
234 2013, Liu, et al., 2018b).

235 Theoretically, it is possible that more than one source of HRL was exploited during  
236 the Shang period China, as recently proposed by Liu, et al. (2018a). However,  
237 according to the lead isotope data for lead and copper ores from China (Jin, et al.,  
238 Figure 3), radiogenic lead is rather rare and only several mineral deposits including  
239 the ones in north-east Yunnan, Qinling and Zhongtiao Mountains are reported to yield  
240 metalliferous ores with lead isotopic values as high as Shang bronzes. Considering the  
241 rather 'sharp' chronological beginning and end of use of HRL in such a vast  
242 geographically and culturally diverse territory, current evidence tends to be more  
243 consistent with the assumption of a single source or source region. With more sources,  
244 each potentially with their own geological, political and economic constraints, we  
245 might expect more variability in terms of the chronology. Liu, et al. (2018a) note that  
246 HRL signatures are found in some pigments and glass after the Shang period (but so  
247 far not in metal objects) and use this as key evidence to argue for multiple sources of  
248 HRL for the Shang bronzes. However, there are obvious risks when assuming that the  
249 parameters affecting the supply of non-metals in the post-Shang period can be so

250 easily applied to Shang-period metals. Thus, on balance, we favour the hypothesis of  
251 a single source.

252 Talking about the geographic location of this source, however, becomes difficult and  
253 controversial. Jin Zhengyao first proposed that the raw materials for casting HRL  
254 bronzes in Yinxu came from Yunnan and developed the hypothesis of the “southwest  
255 origin” of HRL metal in the following series of papers on the materials from  
256 Zhengzhou (Erligang), Sanxingdui and Xin’gan Dayangzhou (e.g. Jin, 2008, Tian,  
257 2013, Jin, et al., 2017). Although the suggestion has been supported by geologists  
258 (Zhu and Chang, 2002), the lack of archaeological evidence for contacts between  
259 Yunnan and central China during the Shang period has raised serious questions from  
260 archaeologists. Considering the geographic intermediate position of the Qinling  
261 Mountains, Saito, et al. (2002) suggested the region as another possible source of  
262 HRL but provided no isotopic and archaeological evidence. A number of other  
263 regions including Jiangxi, Hunan (Peng, et al., 1999, Zhu and Chang, 2002), the  
264 minor Qinling area in Henan, Qingchenzi in Liaoning (Zhu and Chang, 2002) and  
265 even Africa (Sun, et al., 2016) have been proposed by other scholars, but none of  
266 them is so far conclusive and sometimes conflicts with the archaeological evidence  
267 and existing analytical results (see also Chen, 2010b, Jin, et al., 2017, Liu, et al.,  
268 2018b for detailed review). The geological source of the HRL Shang bronzes has  
269 therefore remained unknown.

#### 270 ***4.2 The implications of the new data from Hanzhong***

271 The lead isotope analysis, together with the systematic typological and technological  
272 research presented in this paper throws new light on the discussion of HRL bronzes of  
273 Shang period China. As shown in Figure 5, the results of our analysis are consistent  
274 with previous studies and the lead isotope ratios of Hanzhong bronzes are hardly  
275 differentiable from other major Shang period bronze groups. More importantly, a  
276 substantial part of the typologically and chemically distinctive objects such as sickle-  
277 shaped objects and *Zhang* sceptres (Sub-group D in Figure 2) are found to be made of  
278 HRL metal as well (mostly unalloyed copper, Figure 3, Figure 4). Since it is widely  
279 accepted that these objects are characteristic products of the Hanzhong community  
280 (e.g. Cao, 2006, Zhao, 2006, Chen, et al., 2009, von Falkenhausen, 2011, Chen, et al.,  
281 2016), a tight interrelationship between Hanzhong’s local metallurgical industry and  
282 the HRL source is therefore upheld by both archaeological, technological and isotopic  
283 evidence. It is interesting to see that all of the four samples from the repairing patches  
284 of different vessels (No. HZ029, 102, 105, 116) also have HRL composition, even  
285 though one sample from the main (repaired) body (HZ104) has common lead (see

286 Supplementary Table S1). These repair patches are most likely to have been added to  
287 the vessels when they were used in Hanzhong (Chen, 2010a: 97-99) and would further  
288 testify the HRL signature of local metalwork.

289 The Qinling area, where the Hanzhong Basin is located, is a well-known metallogenic  
290 region of multimetallic ore deposit clusters (e.g. Qi and Hou, 2005, Ren, et al., 2007).  
291 The Mujiazhuang copper deposit in the Zhashan area of the south Qinling Orogenic  
292 Belt, about 200 km to the northeast of Hanzhong, had been identified to have highly  
293 radiogenic lead isotopic compositions (see Supplementary Table S2 for detailed  
294 analytical results) (Zhu, et al., 2006). To the south of the basin, the Pb-Zn deposit in  
295 Mayuan has been classified as Mississippi Valley Type (MVT), which tends to have  
296 more radiogenic and varied lead isotope signatures (Liu, et al., 2015), even though the  
297 published data for this deposit were not highly radiogenic.

298 The rich mineral resources in the region have long been noticed and used by the  
299 ancient communities there. Several ancient mining pits for turquoise and hundreds of  
300 hammer stones have recently been discovered in the area centred at the conjunction of  
301 the Xiyu and Luo Rivers in Luonan County (Li, et al., 2016). Pottery sherds found in  
302 the mining pits were assigned to archaeological cultures ranging from the Neolithic to  
303 the Late Bronze Age, consistent with the absolute date of 2030-500 BCE obtained  
304 from eight radiocarbon dates (samples of bone and charcoal, four of each) (Xian,  
305 2016: 76-80). It is suggested that the Luonan region is a potential source of the  
306 turquoise industry at Erlitou, the key site of the Early Bronze Age in the Central Plain  
307 (Xian, et al., 2018). This research provides crucial evidence for the early exploration  
308 and exploitation of mineral resources in the Qinling region, even though ancient  
309 copper/lead mining and smelting sites in this area are yet to be identified.

310 It is also important to point out that the date of Hanzhong bronzes, and the Baoshan  
311 archaeological culture to which they have been assigned, span from the Upper  
312 Erligang to the Yinxu Phase III (Cao, 2006, Zhao, 2006), which is virtually  
313 synchronous with the period when HRL bronzes were widespread (see Figure 7). The  
314 Jinsha site, which has yielded the only later HRL bronze group dated to early Western  
315 Zhou (Jin, et al., 2004), is located in the Sichuan Plain, hence not very far from  
316 Hanzhang and showing a close relationship with the Baoshan culture (XDWX, 2002).

317 Based on the aforementioned observations, here we propose the Qinling area as a  
318 potential target area to locate the geological and geographical origin of the Shang  
319 period HRL bronzes. According to this proposal, polymetallic resources (copper and  
320 lead) exploited by the local communities in Hanzhong would have supplied the raw  
321 metals for many bronze industries in different regions during the Shang period in

322 China, as indicated by their shared and highly characteristic lead isotope signature and  
323 the archaeologically evidenced trans-cultural correlations (e.g. von Falkenhausen,  
324 2011, Chen, et al., 2016). The implications of this new hypothesis are briefly outlined  
325 below.

#### 326 *4.3 Social-economic landscape in Shang period China: a metallurgical perspective*

327 The early Shang period, when the HRL bronzes first came into use, witnessed the  
328 widespread adoption of bronze metallurgy in China, especially south-eastward  
329 (Bagley, 1999, Wang, 2014). The increasing expansion of production scale in the  
330 Shang metropolitan areas would have undoubtedly increased demand for raw  
331 materials such as metals, which subsequently facilitated the interregional connections  
332 and established routes of exchange between the ore-rich mountainous areas and the  
333 regional centres where the raw metals were accumulated and worked into artefacts. It  
334 has been pronounced repeatedly by specialists in Bronze Age China archaeology that  
335 acquisition of metals for the elites would have been an essential motive for the well-  
336 formulated “Erligang Expansion” during the early Shang period (e.g. Bagley, 1999,  
337 Wang, 2014, Liu and Chen, 2003: 131-45).

338 Demonstrated as a crucial nodal point of the exchange network since the Erligang  
339 period, the local communities of Hanzhong would have been stimulated by the  
340 external influence and reacted to it by taking advantage of the natural landscapes and  
341 resources (Chen, et al., 2016). As von Falkenhausen (2011: 435) has insightfully  
342 pointed out, “whatever bronze manufacturing went on in the upper Han River Basin  
343 should be viewed in conjunction with the exploitation of the copper-ore resources of  
344 the Qinling Mountains”. Considering the ore-rich geology and ample fuel supplies  
345 from the mountainous area, and the relatively underdeveloped state of agriculture as  
346 evidenced by the finds from the Baoshan site (XDWX, 2002: 180), it would have  
347 been a rational choice for this region to specialise in the primary production of metals  
348 (mining and smelting) and to exchange their metals with cultures in other regions  
349 which excelled at other productive activities. This kind of dynamics of interaction has  
350 been considered to have occurred regularly in Bronze Age China, as illustrated by the  
351 production and exchange of salt (von Falkenhausen, 2006, Liu and Chen, 2012: 273-  
352 90). The intermediate geographic position of the Qingling Mountains and navigable  
353 water routes along the Han River would have been crucial in promoting the proposed  
354 exchange.

355 During the Yinxu period, the Hanzhong region continuously participated in a trans-  
356 cultural interaction network connecting many regions. While the control of the Shang  
357 state seemed to retreat to Anyang and surrounding areas (Li, 1990), regional bronze

358 industries across the vast territory in south China prospered, as exemplified by the  
359 spectacular and distinctive artefacts from Jiangxi, Sichuan and Hunan (Kane, 1974,  
360 Bagley, 1999, Xu, 2008, Steinke, 2014). With the engagement of these newly  
361 established regional centres, the simplified “core-periphery” tributary model (Liu and  
362 Chen, 2003: 133-40), even if it was the case during the early Shang period, must have  
363 given way to a more complex multiple-direction exchange network. Importing copper  
364 and lead from specialised mining/smelting communities in Hanzhong through the  
365 existing connection routes would have been more cost-effective than producing them  
366 locally, even for some regions where ores were available. The increasing interactions  
367 with other regional bronze cultures in turn explain why bronzes of various styles and  
368 manufacture origins (secondary production) were gathered in Hanzhong ( Li, 2007,  
369 Chen, 2010a, von Falkenhausen, 2011, Chen, et al., 2016). This pattern of economic  
370 specialisation (i.e. production for exchange and import across cultural and geographic  
371 boundaries) is predicted by Ricardo’s Law of Comparative Advantage, and has been  
372 demonstrated previously for copper production and exchange in Bronze Age Alps  
373 (Shennan, 1999).

374 It is also worth noting that the decrease of HRL bronzes in the Central Plain coincided  
375 with the rise of the Zhou in the Wei River valley towards the late Yinxu period. The  
376 dramatic change of the political landscape would have undoubtedly affected and  
377 potentially limited the multiple-direction exchange network engaged with many  
378 regional powers of various political standings. Several scholars have already  
379 correlated the sudden disappearance of bronzes in Hanzhong with the conquest of  
380 Shang, although whether the local communities were allies of the Zhou is still  
381 controversial (e.g. Li, 1997, von Falkenhausen, 2011, Sun, 2011).

## 382 **5. Concluding remarks**

383 Until direct evidence of metallurgical production, such as mining pits, furnaces, slag  
384 and other technological remains dated to the Shang period has been identified in the  
385 region, the proposed Qinling area as an origin of the Shang period HRL bronzes  
386 should remain as a working hypothesis. However, the significance of this paper is not  
387 only merely in demarcating the potential geological ore source but, more importantly,  
388 in aligning the archaeological and scientific data into a coherent historical narrative.  
389 Even though we are unable to confirm the exact geographical/geological origin of the  
390 metal with the evidence available, the proposed model of a single specialised source  
391 supplying a number of culturally distinctive regional bronze industries itself is  
392 sufficiently intriguing and meaningful for furthering our understanding of Shang  
393 period China. Instead of being just an unsolved mystery pertaining to the exact

394 geographical/geological origin of HRL, the subject is shown here to be an excellent  
395 case in point for the discussion of regional relationships and the wider social-  
396 economic landscape in Shang period China.

397 While the provenance of ancient artefacts and the location of primary production  
398 remains will undoubtedly continue to be one of the primary goals of our research,  
399 cautious research has to continue to explore the archaeological/historical dynamics  
400 outlined by the existing evidence. Solid cooperative relationships among researchers  
401 from diverse backgrounds are undoubtedly crucial for further work on bronze  
402 metallurgy in Shang China, given the interdisciplinary nature of archaeological  
403 research.

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573 **Figure Captions**

574 **Figure 1.** Map showing the sites/regions yielding HRL bronzes (red dots) and ore  
575 deposits mentioned in the text (white square). Pie charts show the proportion of HRL  
576 bronzes among the analysed samples from the major Shang sites/areas (1. Jinsha; 2.  
577 Sanxingdui (n=53); 3. Hanzhong (n=115); 4. Huaizhenfang; 5. Northern Shaanxi and  
578 Shanxi (n=195); 6. Lingshi Jingjie; 7. Yuanqu; 8. Yanshi; 9. Zhengzhou (n=37); 10.  
579 Anyang (n=67); 11. Runlou (n=20); 12. Taijiasi; 13. Luoshan; 14. Panlongchen  
580 (n=37); 15. Dayangzhou (n=19); a. Mayuan; b. Mujiashuang; c. Luonan)

581

582 **Figure 2.** Examples of Hanzhong bronzes (upper) and their alloy types (lower)  
583 showing the typological/cultural and material diversity of the assemblage (drawings  
584 are reproduced from Cao 2006 and Zhao 2006, chemical data are from Chen 2010)

585

586 **Figure 3.** (a) A plot of Hanzhong bronzes data, showing  $1/Pb$  against lead isotope  
587 ratio  $^{206}Pb/^{204}Pb$ . (b) A  $^{207}Pb/^{204}Pb$  versus  $^{206}Pb/^{204}Pb$  diagram for Hanzhong bronzes  
588 showing the distribution of lead isotope ratios of artefacts with different lead contents

589

590 **Figure 4.** Plots of lead isotope ratios of Hanzhong bronzes showing the overlap of  
591 objects from distinct sub-group, especially at the highly radiogenic region  
592 ( $^{206}Pb/^{204}Pb \geq 20$ ).

593

594 **Figure 5.** Plots of lead isotope ratios of major Shang period bronze assemblages  
595 including Hanzhong. Note the large proportion of HRL values and the substantial  
596 overlapping of the artefacts from various sites/regions. (see Supplementary material  
597 for the sources of data used )

598

599 **Figure 6.** Plots of  $^{206}Pb/^{204}Pb$  ratios of objects from different sites/regions showing  
600 that the uses of HRL bronzes are almost completely limited to the Shang period.  
601 (ELG/PLC=Erligang and Panlongcheng, NS&S= North Shanxi and Shaanxi, TM-  
602 QC= Tianma Qucun). Note that the few objects with HRL signatures from the  
603 Yejiashan and Tianma-Qucun sites of the Western Zhou period are typologically  
604 dated to the Shang period. (see online Supplementary Material for sources of data  
605 used)

606

607 **Figure 7.** Schematic diagram showing the relative chronology of the sites/regions  
608 mentioned in the text. Note the date of the whole assemblage of Hanzhong bronzes is  
609 in essence synchronous with the wide appearance of HRL objects.