

## **Sources and dry deposition of carbonaceous aerosols over the coastal East China**

### **Sea: Implications for anthropogenic pollutant pathways and deposition**

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### **Abstract**

While many studies have been conducted on carbonaceous aerosols in urban areas worldwide, investigations of carbonaceous components in marine atmospheres are rather limited. In this study, 75 paired total suspended particle (TSP) and PM<sub>2.5</sub> samples were collected over four seasons on Huaniao Island (HNI), an island that lies downwind of continental pollutants emitted from mainland China moving towards the East China Sea (ECS). These samples were analyzed for organic carbon (OC) and elemental carbon (EC), with a special focus on char-EC (char) and soot-EC (soot), to understand their sources, and the scale and extent of pollution and dry deposition over the coastal ECS.

The results showed that char concentrations in PM<sub>2.5</sub> and TSP averaged from 0.13-1.01 and 0.31-1.44 µg/m<sup>3</sup>; while for soot, they were from 0.03-0.21 and 0.16-0.56 µg/m<sup>3</sup>, respectively. 69.0% of the char and 36.4% of the soot were present in the PM<sub>2.5</sub> fraction. The char showed apparent seasonal variations, with highest concentrations in winter and lowest in summer; while soot displayed relatively small seasonal variations, with maximum concentrations in the fall and minimum concentrations in summer. The char/soot ratios in PM<sub>2.5</sub> averaged from 3.29-17.22; while for TSP, they were from 1.20-7.07. Both of the ratios in PM<sub>2.5</sub> and TSP were highest in winter and lowest in fall. Comparisons of seasonal variations in OC/EC and char/soot ratios confirmed that char/soot may be a more effective indicator of carbonaceous aerosol source identification than OC/EC. Annual average atmospheric dry deposition fluxes of OC and EC into ECS were estimated to be 229 and 107 µg/m<sup>2</sup>/d, respectively, and their deposition fluxes significantly increased during episodes in fall, winter and spring. It was estimated that the loadings of OC+EC and EC accounted for 1.3% and 4.1% of the total organic carbon and EC in ECS surface sediments, respectively, implying a relatively small contribution of OC and EC dry deposition to organic carbon burial. This finding also indicates a possibly more important contribution of wet deposition to organic carbon burial in sediments of ECS, and this factor should be considered for future study.

**Keywords:** Organic Carbon and Elemental Carbon; char and soot; aerosols; sources; dry deposition; East China Sea

## 1. Introduction

Organic carbon (OC) is a mixture of hundreds of organic compounds including *n*-

alkanes, aromatic and aliphatic compounds. They are formed by both primary emissions from anthropogenic combustion and secondary organic formation through reactions within atmospheric gases. By contrast, elemental carbon (EC) may be defined as a mixture of graphite-like particles and light-absorbing organic matter, produced by the incomplete combustion of fossil-fuels and biomass burning, and from the vapor phase as a condensation product. Compared with OC, EC has received much more attention in recent decades due to its significant fossil-fuel combustion source, its adverse effects on human health (Menon et al., 2002; Highwood et al., 2006) as well as its potential contribution to global climate change (Schmidt et al., 2001). EC may be divided into two parts: char and soot. Char includes solid residues formed at combustion temperatures between 300 and 600 °C and with a typical particle size range of 1-100 µm; while soot particles are graphite clusters mainly formed via gas-to-particle conversion under combustion temperatures  $\geq 600$  °C. These formation conditions and their physicochemical properties, make char and soot distinct, and therefore effective tools in the assessment of EC sources and their associated long-range transport pathways in the atmosphere (Han et al., 2010; Cao et al., 2013; Wang et al., 2015).

Atmospheric OC and EC concentrations have increased in recent decades. In China, this has been due to rapid industrialization and urbanization, especially in eastern and southern regions (Cao et al., 2003; Feng et al., 2009) and the composition and sources of these pollutants have therefore been a focus for researchers. For example, Feng et al., (2007) analyzed the carbonaceous compositions of PM<sub>2.5</sub> on Changdao Island, located on a line separating the Bohai Sea from the Yellow Sea in northern China, and apportioned sources of these pollutants using specific biomarkers. Hou et al., (2011) apportioned the sources of OC and EC in PM<sub>2.5</sub> that were collected from 2006 to 2007

in Shanghai and investigated the carbonaceous pollutant roles associated with haze episodes in different seasons. [Kunwar et al., \(2014\)](#) presented one-year data sets of carbonaceous aerosols collected in Okinawa Island, Japan, with the aim of providing insight into the long range transport of anthropogenic aerosols from East Asia, while [Li et al., \(2017\)](#) integrated three-years observations of OC and EC (2013-2015) in PM<sub>2.5</sub> in the Taiwan Strait and estimated their potential contribution to the formation of PM<sub>2.5</sub>. These studies emphasized the spatio-temporal characteristics of the concentration and sources of carbonaceous pollutants, yet have been unable to provide an insight into the high-resolution formation mechanisms and atmospheric deposition of these pollutants on regional scales.

The East China Sea (ECS) is located near a highly-developed region of China, namely, the Yangtze River Delta (YRD). This region has been reported as the source of many anthropogenic pollutants as well as a transport pathway for pollutants moving downstream from mainland China to the ECS ([Hsu et al., 2010](#); [Lin et al., 2013](#)). However, regional atmospheric circulation patterns result in the ECS being a “receptor” for pollutants transported both from YRD and other adjacent regions (i.e., central and northern China) driven by the East Asian monsoon ([Gao et al., 1997](#); [Nakamura et al., 2005](#)) as demonstrated by several studies on atmospheric deposition of heavy metals in dust and trace elements in ECS ([Hsu et al., 2009](#); [Hsu et al., 2010](#); [Zhang et al., 2010](#)). Recently, comprehensive aerosol studies concerning nutrients, heavy metals and carbonaceous species associated with long-range transport have also been undertaken at Huaniao Island (HNI) in the coastal ECS ([Zhu et al., 2013](#); [Guo et al., 2014](#); [Wang et al., 2014, 2015](#)). However, these studies were limited to low resolution data on the source formation mechanism of carbonaceous aerosols, and did not refer to atmospheric dry deposition of these pollutants. In this current study, 75 paired TSP and PM<sub>2.5</sub>

samples were collected on HNI over four seasons between October 2011 and August 2012. These samples were analyzed for organic carbon (OC) and elemental carbon (EC), with a special focus on char-EC (char) and soot-EC (soot), to understand the sources, pollution characteristics and dry deposition of OC and EC to the ECS. This study therefore represents the first high resolution assessment of carbonaceous aerosol sources and estimates of dry deposition fluxes to the East China Sea, as well as determining the influence of continental atmospheric pathways on the OC and EC budgets to this important coastal region.

## **2. Material and Method**

### **2.1 Sampling site and sample collection**

The sampling site of Huaniao Island (HNI) (N30.86°, E122.67°), is ~66 km east of Shanghai (Fig. 1). It has a land area of 3.28 km<sup>2</sup> and a population of approximately 2,000. HNI lies in the downwind transport path of the continental outflow to the northwest Pacific, where the prevailing winds move from west to east in winter and spring driven by the East Asian monsoon. There is almost no anthropogenic emission of OC and EC on the island itself, making it an ideal location to assess continental pollutant transport in the marine atmosphere. The sampling apparatus was placed on the roof of a 15 m high building. The PM<sub>2.5</sub> samples were collected on pre-combusted quartz filters (20×25 cm<sup>2</sup>, 2600QAT, PALL, USA) at a flow rate of 18 m<sup>3</sup>/h through a PM<sub>2.5</sub> sampler (Guangzhou Mingye Huanbao Technology Company). The TSP samples were collected concurrently on pre-combusted quartz filters (9 cm in diameter, QM-A, Whatman, UK) at a flow rate of 4.1 m<sup>3</sup>/h (Beijing Geological Institute). The sampling period was from October 23, 2011 to August 20, 2012. 75 paired PM<sub>2.5</sub> and TSP samples covering four seasons were collected during this period. Each paired sample started at

0900 on the first day and ended at 0830 on the following day. Two parallel operational sample blanks were obtained in each season. In order to directly compare the pollution characteristics of carbonaceous aerosols between Shanghai and the coastal ECS on HNI, we simultaneously collected PM<sub>2.5</sub> samples at a “supersite” in urban Shanghai. This site is located on the roof of No 4. teaching building at Handan campus of Fudan University (31.3° N, 121.5° E), ~ 112 km away from HNI (Wang et al., 2016) (Fig. 1). The sampling periods and sample collection times were consistent with those collected at HNI. Prior to sampling, the quartz filters at both sites were wrapped in aluminum foil and baked at 450 °C for 4 h in a muffle furnace. Before and after sample collection, these filters were placed in a constant temperature (20±1 °C) and humidity (45±5 %) chamber for 24 hours. The exposed filters were stored in labelled, sealed valve bags prior to sample analysis.

## 2.2 Sample analysis

Organic carbon and EC in all samples were detected using a Thermal/Optical Carbon Analyzer (Desert Research Institute: DRI, Model 2001) by IMPROVE thermal/optical reflectance (TOR) (Chow et al., 1993). The targeted eight carbon fractions including four OC fractions (OC1, OC2, OC3, and OC4), three EC fractions (EC1, EC2, and EC3) and a pyrolyzed carbon fraction (OP), were analyzed based on a 0.544 cm<sup>2</sup> punch area that was taken from each sample. These fractions were produced under selected temperature and oxidation conditions. OC1, OC2, OC3, and OC4 were formed in a helium atmosphere under temperatures of 140 °C, 280 °C, 480 °C and 580 °C, respectively. EC1, EC2 and EC3 were formed in a 2% oxygen/98% helium atmosphere under temperatures of 580 °C, 740 °C and 840 °C, respectively. Details of the TOR method for OC and EC analysis has been previously described by Wang et al.,

(2015). In addition, a further parameter, OP, defined as ..... was also measured by .... to take account of .... Cao et al., 2003). As a result ,  $OC = OC1+OC2+OC3+OC4+OP$ , while  $EC=EC1+EC2+EC3 - OP$ , respectively. According to Han et al., (2007),  $char = EC1- OP$ , and  $soot = EC2+EC3$ .

### 2.3 Dry deposition estimation

Atmospheric dry deposition fluxes of OC and EC in aerosols were parameterized using the methodology previously developed for individual organic compounds such as persistent organic pollutants (Jurado et al., 2004; Park et al., 2001). Dry deposition fluxes of aerosol-bound OC and EC ( $F_{OC}$  and  $F_{EC}$ ,  $\mu\text{g}/\text{m}^2/\text{d}$ , respectively) were estimated as the product of OC and EC concentrations (i.e.  $C_{OC}$  and  $C_{EC}$ ,  $\mu\text{g}/\text{m}^3$ , respectively) and the corresponding dry deposition velocity of the aerosol particle ( $D_v$ ,  $\text{cm}/\text{s}$ ):

$$F_{OC}=D_v\times C_{OC}$$

$$F_{EC}=D_v\times C_{EC}$$

The parameter  $D_v$  depends not only on atmospheric turbulence such as wind speed, but also on the aerosol particle size. Previously, a size-segregated sampler (28.3 L/min, Anderson FA-3) was used to collect aerosol samples for nutrient deposition analysis (Zhu et al., 2013). This sampler collects aerosols into eight stages, namely, 9.0 ~100  $\mu\text{m}$  (stage 0), 5.8~9  $\mu\text{m}$  (stage 1), 4.7~5.8  $\mu\text{m}$  (stage 2), 3.3~4.7  $\mu\text{m}$  (stage 3), 2.1~3.3  $\mu\text{m}$  (stage 4), 1.1~2.1  $\mu\text{m}$  (stage 5), 0.65~1.1  $\mu\text{m}$  (stage 6), 0.43~0.65  $\mu\text{m}$  (stage 7), and 0~0.43  $\mu\text{m}$  (stage 8). These data were used to provide particle size information for  $D_v$  calculations using a particle deposition model. Briefly,  $D_v$  is the sum of particle deposition speeds at a series of resistances at the air-surface interface including the aerodynamic resistance and the resistance to molecular diffusion. A detailed description

of this methodology is reported elsewhere (Jacobson, 2004).  $D_v$  is affected by meteorological conditions including surface temperature and pressure, wind speeds at reference height (10 m), and wind direction. Meteorological data were obtained by a portable Automatic Weather Station (Jinzhou Sunshine Scientific, JW-3) installed at the sampling site.

## 2.4 Quality assurance and quality control (QA/QC) procedures

The analyzer was calibrated with sucrose (10.56  $\mu\text{g}$ ) every day and the recovery rate was within a difference  $< 6\%$  for each calibration. Replicate analyses were performed after every ten samples. The difference determined from replicate analyses was 2.9% for OC and EC, 4.1% for TC (total carbon, OC+EC), and 8.3% for char and soot. The blank filters ( $n=8$ ) were analyzed using the same procedures as those for the samples. The results presented in this study were calibrated with respect to the concentrations of blank filters.

## 3. Results and discussion

### 3.1 Concentrations of char and soot in $\text{PM}_{2.5}$ and TSP

Concentrations of char and soot (in  $\mu\text{g}/\text{m}^3$ ) and ratios of char/soot and OC/EC in  $\text{PM}_{2.5}$  and TSP in each season at HNI are shown in Table 1. The concentrations of char and soot in all  $\text{PM}_{2.5}$  and TSP samples are shown in Table S1 (Supporting information). The average concentrations of char in  $\text{PM}_{2.5}$  were 0.83  $\mu\text{g}/\text{m}^3$  in fall, 1.01  $\mu\text{g}/\text{m}^3$  in winter, 0.85  $\mu\text{g}/\text{m}^3$  in spring, and 0.13  $\mu\text{g}/\text{m}^3$  in summer; for TSP, they were 0.94  $\mu\text{g}/\text{m}^3$ , 1.44  $\mu\text{g}/\text{m}^3$ , 1.14  $\mu\text{g}/\text{m}^3$  and 0.31  $\mu\text{g}/\text{m}^3$ , respectively. Soot concentrations, based on a four season average, were 4-8 times lower than the corresponding char. For comparison, Table 1 also summarizes the concentrations of char and soot in  $\text{PM}_{2.5}$  in Shanghai,



collected concurrently with those at HNI. The char and soot were all lower at HNI (0.13-1.01  $\mu\text{g}/\text{m}^3$  for char, 0.03-0.21  $\mu\text{g}/\text{m}^3$  for soot) than in Shanghai (1.83-3.75  $\mu\text{g}/\text{m}^3$  for char, 0.30-0.41  $\mu\text{g}/\text{m}^3$  for soot), indicating relatively severe carbonaceous pollution and complicated source contributions of these pollutants to the Shanghai atmosphere. 69.0% of the char and 36.4% of the soot were present in the  $\text{PM}_{2.5}$  fraction at HNI (Table 1). These values are lower than those of the corresponding OC and EC, which were 88% and 80%, respectively (Wang et al., 2015). The different content of char, soot, OC and EC in  $\text{PM}_{2.5}$  relative to TSP indicates an important role in source identification of carbonaceous aerosols.

Seasonal variation of char and soot in  $\text{PM}_{2.5}$  and TSP on HNI is shown in Fig.2. It can be seen that char shows apparent seasonal variations, with highest concentrations in winter (average: 1.01  $\mu\text{g}/\text{m}^3$  in  $\text{PM}_{2.5}$  and 1.44  $\mu\text{g}/\text{m}^3$  in TSP) and lowest in summer (average: 0.13  $\mu\text{g}/\text{m}^3$  in  $\text{PM}_{2.5}$  and 0.31  $\mu\text{g}/\text{m}^3$  in TSP). Soot displays relatively small seasonal variations, with maximum concentrations in fall (average: 0.21  $\mu\text{g}/\text{m}^3$  in  $\text{PM}_{2.5}$  and 0.56  $\mu\text{g}/\text{m}^3$  in TSP) and minimum concentration in summer (average: 0.03  $\mu\text{g}/\text{m}^3$  in  $\text{PM}_{2.5}$  and 0.16  $\mu\text{g}/\text{m}^3$  in TSP). For a better direct comparison, the individual char and soot concentrations in  $\text{PM}_{2.5}$  in Shanghai are also presented in Fig. 2 (red dotted line). As shown in Fig. 2, seasonal trends in soot are much less distinct than those of char. The concentrations of soot were similar over four seasons, averaging 0.30  $\mu\text{g}/\text{m}^3$  in fall, 0.38  $\mu\text{g}/\text{m}^3$  in winter, 0.41  $\mu\text{g}/\text{m}^3$  in spring and 0.37  $\mu\text{g}/\text{m}^3$  in summer, respectively. For char, concentrations are highest in winter (average: 3.75  $\mu\text{g}/\text{m}^3$ ), lowest in summer (average: 1.83  $\mu\text{g}/\text{m}^3$ ), and intermediate in fall and spring, with averages of 3.59 and 2.31  $\mu\text{g}/\text{m}^3$ , respectively. The different seasonal patterns between HNI and Shanghai indicate that the soot in  $\text{PM}_{2.5}$  at the two sites may be from different sources.

Table 2 shows a comparison between the mean concentrations of char and soot

( $\mu\text{g}/\text{m}^3$ ) in  $\text{PM}_{2.5}$  at HNI with other studies including background and urban sites worldwide. The char and soot concentrations of  $\text{PM}_{2.5}$  at HNI (0.71 and  $0.12 \mu\text{g}/\text{m}^3$ , respectively) were comparable to a remote sampling site at Qinghai Lake, on the Tibetan Plateau ( $0.32$  and  $0.12 \mu\text{g}/\text{m}^3$ , respectively) (Zhu et al., 2014), but lower than in a village in Wusumu, Inner Mongolia ( $1.15$  and  $0.69 \mu\text{g}/\text{m}^3$ , respectively) (Han et al., 2008) and a suburban site at Qiongzhou University in Sanya on Hainan Island ( $1.04$  and  $0.18 \mu\text{g}/\text{m}^3$ , respectively) (Wang et al., 2015) and an urban site at East China University of Science and Technology in Shanghai ( $1.64$  and  $0.31 \mu\text{g}/\text{m}^3$ , respectively) (Zhao et al., 2015). Compared with other cities, such as Taipei ( $18.1$  and  $0.8 \mu\text{g}/\text{m}^3$ , respectively) (Zhu et al., 2010) and Xi'an ( $7.45$  and  $1.82 \mu\text{g}/\text{m}^3$  in urban and  $4.1$  and  $0.5 \mu\text{g}/\text{m}^3$  in rural locations, respectively) (Han et al., 2016; Zhu et al., 2016), the concentrations of char and soot at HNI were 5- to 10-fold lower. Comparing these results with those from cities overseas, char concentrations at HNI were lower than those at Bangi in Selangor, Malaysia (Fujii, et al., 2016), Saitama in Japan (Kim, et al., 2011), Raipur in Chhattisgarh, India (Sahu, et al., 2018) and Sonla in Vietnam (Lee, et al., 2016), which were  $3.85$ ,  $2.68$ ,  $7.9$  and  $3.0 \mu\text{g}/\text{m}^3$ , respectively. However, soot concentrations were comparable ( $0.27$ ,  $0.30$  and  $0.4 \mu\text{g}/\text{m}^3$  in Malaysia, Japan and Vietnam, respectively), with the exception of where concentrations reached  $2.2 \mu\text{g}/\text{m}^3$ .

### **3.2 Source identification from char/soot ratios and comparison with OC/EC**

Since the OC/EC ratio at HNI has been used to apportion sources of carbonaceous aerosols in a previous study (Wang et al., 2015), here we focus on source categories implied by char/soot ratios. Char/soot ratios, while similar to ratios of OC to EC, also vary distinctly between different emission sources. As early as 1999-2000, Chow et al. (2004) found char/soot ratios of  $0.60$  for motor vehicle exhaust,  $1.31$  for coal

combustion and 22.6 for biomass burning. This ratio was further investigated by [Cao et al., \(2005\)](#) in a sampling campaign in Xi'an, China, during the fall and winter of 2003, where ratios of 1.9 were identified for coal combustion and 11.6 for biomass burning. Recently, [Han et al., \(2010\)](#), also conducting a sampling campaign in Xi'an, found char/soot ratio of  $>3$  for biomass burning and coal combustion samples, and  $<1.0$  for motor vehicle exhausts. Therefore, the lower char/soot ratios from vehicular exhaust when compared with biomass burning and coal combustion, may be used for source apportionment of carbonaceous aerosols.

[Table 1](#) summarizes the averaged char/soot and OC/EC ratios for both  $PM_{2.5}$  and TSP over the four seasons. Char/soot ratios display pronounced seasonal trends, being highest in winter (17.22 and 7.07 in  $PM_{2.5}$  and TSP, respectively) and lowest in fall (3.29 and 1.20 in  $PM_{2.5}$  and TSP, respectively). By contrast, seasonal variations in the ratios of OC/EC, are relatively narrow, ranging from 2.8 to 6.1 in  $PM_{2.5}$  and 2.8 to 4.2 in TSP, respectively. The higher char/soot ratio in winter suggests a dominance of biomass burning and coal combustion; while lower char/soot in fall and summer indicates the major influence of vehicular exhausts. However, unlike char/soot, OC/EC ratios showed two peaks in winter and summer. The peak in winter could be associated with air parcels transported from northern China ([Wang et al., 2015](#)) where coal combustion is commonly used for indoor heating in winter. The peak in summer could be explained by the formation of secondary organic carbon (SOC) ([Feng et al., 2009](#), [Hou et al., 2011](#)) and the influence of shipping traffic including public ferries and cargo transport around Shanghai ([Wang et al., 2014, 2017](#)). This suggests that, compared with char/soot, OC/EC is not such a useful parameter in identifying primary sources of carbonaceous aerosols, as it is not only influenced by fuel-type, (i.e. primary emissions), but also by secondary organic aerosol (SOA).

### 3.3 Correlations between char and soot in PM<sub>2.5</sub> and TSP

The correlations between char and soot in PM<sub>2.5</sub> and TSP are plotted in Fig. 3 and Fig. 4 respectively. In PM<sub>2.5</sub>, moderate correlations can be observed between char and soot in winter ( $R^2=0.61$ ), spring ( $R^2=0.46$ ) and summer ( $R^2=0.60$ ), while, in fall, the correlations are lower ( $R^2=0.22$ ). For TSP, moderate correlations were also observed in fall and winter ( $R^2=0.54$  and  $0.76$ , respectively), but were poor in summer ( $R^2=0.03$ ). This correlation pattern is similar to those investigated at Lake Daihai, a rural high-mountain area in Inner Mongolia, northern China (Han et al., 2008) and could be due to smaller soot particles being transported over longer distances by prevailing winds; while larger char particles tend to remain closer to emission sources (Masiello, 2004). As for the variation in slope of PM<sub>2.5</sub> and TSP over the four seasons, this may be explained by increasing contributions of soot to EC in cold seasons (fall and winter) compared to those in spring and summer. This is possibly due to a reduced contribution from biomass burning and coal combustion in warmer seasons.

The correlation indices ( $R^2$ ) between OC, EC, char, soot, and char/soot, in PM<sub>2.5</sub> and TSP, are summarized in Table 3. The correlations between char and soot are much weaker than those between OC and EC, indicating contributions from different emission sources for char and soot. Char, both in PM<sub>2.5</sub> and TSP, showed stronger correlations with OC and EC with respect to soot. This may be explained by the production of char as a residue of incomplete combustion while soot is formed by the transformation of gas to particulate form by condensation. Furthermore, correlations between EC and char ( $R^2=0.98$  and  $0.96$  in PM<sub>2.5</sub> and TSP, respectively) were much stronger than those between EC and soot ( $R^2=0.37$  and  $0.12$  in PM<sub>2.5</sub> and TSP, respectively), indicating the dominant role of char in total EC. This also indicates that EC measured by TOR may be used to estimate char concentrations, which is consistent

with previous investigations from both urban and remote rural sites (Han et al., 2007, 2008).

### 3.4 Dry deposition of OC and EC

Previous estimates of dry deposition fluxes for aerosols have usually been based on TSP concentrations multiplied by TSP dry deposition velocities (Duce et al., 1991; Franz et al., 1998; Gigliotti et al., 2005). However, our previous study showed that 88% OC and 80% EC were found in PM<sub>2.5</sub> (Wang et al., 2015), which would suggest an overestimation if we used TSP dry deposition velocities to estimate the flux. Therefore, we used the average deposition velocity ( $D_v$ ) for particles collected on stages 4~7 (0.43~3.3  $\mu\text{m}$ ) and stages 0~3 (3.3~100  $\mu\text{m}$ ) to estimate flux for PM<sub>2.5</sub> and TSP-PM<sub>2.5</sub>, respectively. The equations can be described as:

$$D_v(\text{PM}_{2.5}) = \frac{\sum \text{MC}_i \times D_{vi}}{\sum \text{MC}_i} (i=4-7)$$

$$D_v(\text{TSP-PM}_{2.5}) = \frac{\sum \text{MC}_i \times D_{vi}}{\sum \text{MC}_i} (i=0-3)$$

where  $\text{MC}_i$  is the mass concentration of stage  $i$  ( $\mu\text{g}/\text{m}^3$ ), and  $D_{vi}$  is the deposition velocity of stage  $i$  (cm/s).

The average deposition velocity ( $D_v$ ) and corresponding flux of OC and EC in PM<sub>2.5</sub> and TSP-PM<sub>2.5</sub> over the four seasons at HNI are presented in Table 4. PM<sub>2.5</sub> deposition velocities showed no apparent seasonal differences and averaged  $2.43 \times 10^{-2}$  cm/s. For TSP-PM<sub>2.5</sub>, deposition velocities were smaller in spring and winter than in fall and summer and averaged 0.46 cm/s. For PM<sub>2.5</sub>, OC fluxes ranged from 11.4 to 610.8  $\mu\text{g}/\text{m}^2/\text{d}$  in fall, 19.1 to 173.7  $\mu\text{g}/\text{m}^2/\text{d}$  in winter, 18.8 to 235  $\mu\text{g}/\text{m}^2/\text{d}$  in spring and 8.5 to 63.4  $\mu\text{g}/\text{m}^2/\text{d}$  in summer, with averages of 70.2  $\mu\text{g}/\text{m}^2/\text{d}$ , 75.1  $\mu\text{g}/\text{m}^2/\text{d}$ , 67.6  $\mu\text{g}/\text{m}^2/\text{d}$  and 28.5  $\mu\text{g}/\text{m}^2/\text{d}$ , respectively. EC fluxes were two- to five-fold lower than the corresponding OC flux. For TSP-PM<sub>2.5</sub>, the OC fluxes were comparable, or two- to

three-fold higher, than those in  $PM_{2.5}$ . The EC flux for TSP- $PM_{2.5}$  comprised most of the total dry deposition flux: about 80% of the annual average. These values are comparable to previously published results. For example, [Jurado et al. \(2008\)](#) reported dry deposition fluxes of 20 to 210  $\mu\text{g}/\text{m}^2/\text{d}$  for OC, and 10 to 30  $\mu\text{g}/\text{m}^2/\text{d}$  for EC based on measurements taken during an ocean cruise. However, in sub-tropical north-east Atlantic, OC deposition fluxes ( $11760 \pm 2640 \mu\text{g}/\text{m}^2/\text{d}$ ) were found to be much higher than those observed in this current study ([Duarte et al., 2006](#)). These differences suggest spatial variations between regions and uncertainties associated with the dry deposition fluxes using the parameters described above.

In order to understand the relative importance of atmospheric dry deposition to the carbon budget of coastal ECS, we assessed the contribution of OC and EC dry deposition to the ECS OC and EC budgets. [Deng et al., \(2006\)](#) estimated that about  $7.4 \times 10^6$  t/year of TOC (OC+EC) were preserved in shelf sediments of the ECS. According to a study on EC preservation in these sediments ([Wang et al., 2007](#)), we used an average of 10% (5% to 15% in 3 sampling sites) of EC to TOC to estimate EC burial. This indicates about  $7.4 \times 10^5$  t/year of EC preserved in ECS sediments. However, based on the estimate of OC+EC flux in each season and the  $7.7 \times 10^5 \text{ km}^2$  area of ECS, an estimated  $6.4 \times 10^4$  t/year OC and  $3 \times 10^4$  t/year EC would be deposited to ECS. The dry deposition flux of OC+EC would therefore account for 1.3% of the TOC burial while the EC deposition flux would contribute 4.1% of the EC burial in ECS.

Although our study was limited to data collected on an island and did not account for the spatial variation of OC and EC over the ECS, these data sets provide a first insight and estimate of the contribution of dry deposition to total carbon burial in the ECS. Larger scale investigations using cascade impactors at multiple sites are needed to improve this dry deposition estimate. Importantly, there have been several studies on

the wet deposition of OC and EC (Cooke et al., 1996; Duce et al., 1991; Jurado et al., 2005). Since wet deposition scavenges particle compounds effectively, wet deposition fluxes of OC and EC should be higher. Jurado et al. (2008) estimated that there were about 47 Tg/year of OC and 10 Tg/year of EC in wet deposition to the global ocean and only 11 and 2 Tg/year in dry deposition. Our study also suggests a vital role for wet deposition on the TOC budget of ECS. Further estimates of the contribution of wet deposition to organic carbon burial in sediments of the coastal ECS would prove to be interesting and fruitful.

### **3.5 Sources of char and soot and flux of OC and EC during episodes**

Three episodes with high concentrations of both char and soot were observed in the aerosols of fall (November 11-13 2011), winter (December 24-25 2011) and spring (March 31-April 1 2012) (Fig. 2). The air mass pathways during these episodes have been presented in our previous studies (Wang et al., 2014, 2015) but are included as Figure S1 in supporting information. The deposition fluxes of OC and EC of PM<sub>2.5</sub> and TSP-PM<sub>2.5</sub> also increased during these episodes. However, in this study, we focus on source identification based on the concentrations and ratios of char and soot and the fluxes of OC and EC in these episodes.

Fig. 5 shows a comparison between average concentrations of char and soot for PM<sub>2.5</sub> ( $\mu\text{g}/\text{m}^3$ ) and dry deposition fluxes of OC and EC for PM<sub>2.5</sub> and TSP-PM<sub>2.5</sub> ( $\mu\text{g}/\text{m}^2/\text{d}$ ) between normal and episode days. The average concentration of char in PM<sub>2.5</sub> was 2.82  $\mu\text{g}/\text{m}^3$  and 0.34  $\mu\text{g}/\text{m}^3$  in the fall episode days and non-episode days, respectively, a more than eight-fold increase. For soot, these averages were 0.51  $\mu\text{g}/\text{m}^3$  and 0.14  $\mu\text{g}/\text{m}^3$ , respectively. Furthermore, the char/soot ratio in the episode was 5.5, while on non-episode days it was 2.4 indicating an increased contribution from biomass

burning during the episode (Han et al., 2010). As expected, the deposition fluxes of OC and EC correspondingly increased, 441.5  $\mu\text{g}/\text{m}^2/\text{d}$  and 73.2  $\mu\text{g}/\text{m}^2/\text{d}$  for OC, 151.5  $\mu\text{g}/\text{m}^2/\text{d}$  and 26.1  $\mu\text{g}/\text{m}^2/\text{d}$  for EC, in the fall episode and non-episode days, respectively. This indicates that biomass burning associated with anthropogenic activity could not only lead to an intensive increase in char and soot concentrations, but could also influence the carbonaceous aerosol budget to the coastal ECS.

The winter episode was not as severe as that in the fall. The concentrations of char were 1.8  $\mu\text{g}/\text{m}^3$  and 0.9  $\mu\text{g}/\text{m}^3$  in the episode and non-episode periods, respectively, while for soot, they averaged 0.1 and 0.08  $\mu\text{g}/\text{m}^3$ , remaining relatively constant before and after the episode. The char/soot ratios in the episode (18.0) were higher than those on non-episode days (11.3). However, the increased rate of deposition of OC and EC were more obvious, 269.8  $\mu\text{g}/\text{m}^2/\text{d}$  and 151.7  $\mu\text{g}/\text{m}^2/\text{d}$  for OC, and 109.3  $\mu\text{g}/\text{m}^2/\text{d}$  and 37.6  $\mu\text{g}/\text{m}^2/\text{d}$  for EC in the winter episode and non-episode, respectively. According to back-trajectories, the air mass during the episode could be traced back to northern China (Wang et al., 2015). In this region, coal and biomass burning were commonly used for indoor heating in winter. Therefore, we conclude that a possible increase in char and soot triggered by biomass burning and an additional source such as coal burning, is responsible for the other carbonaceous aerosol components.

The extent of the episode in spring was similar to that of winter. As shown in Fig. 5, the concentrations of char and soot, and the fluxes of OC and EC in the episode (1.7  $\mu\text{g}/\text{m}^3$ , 0.25  $\mu\text{g}/\text{m}^3$ , 331.2  $\mu\text{g}/\text{m}^2/\text{d}$ , 108.4  $\mu\text{g}/\text{m}^2/\text{d}$ , respectively) were approximately double that of the non-episode (0.55  $\mu\text{g}/\text{m}^3$ , 0.11  $\mu\text{g}/\text{m}^3$ , 149.1  $\mu\text{g}/\text{m}^2/\text{d}$ , 49.6  $\mu\text{g}/\text{m}^2/\text{d}$ , respectively). The char/soot ratio remained fairly constant thereafter, 6.8 in the episode and 5.0 in the non-episode, respectively. This ratio also exactly characterizes a biomass burning source. According to Wang et al., (2015), the air mass that arrived at HNI during



this spring episode was from northern China, where Asian dust storm events frequently occur, and passed over highly populated eastern China. The dusts from these source areas could be entrained into the free troposphere and subsequently transported to remote areas by prevailing westerly winds (Zhang et al., 1997). Therefore, the spring episode could be attributed to the long-range transport of dusts and pollutants from biomass burning.

#### **4 Conclusions**

This study provides the first data sets of atmospheric char and soot, and dry deposition of OC and EC over coastal ECS. The annual average concentrations of char and soot in PM<sub>2.5</sub> were 0.71 and 0.12 µg/m<sup>3</sup>, respectively. In TSP, they were 0.96 and 0.31 µg/m<sup>3</sup>, respectively. The higher char/soot ratio in winter suggests a dominance from biomass burning and coal combustion; while lower char/soot ratios in fall and summer indicate the influence of vehicular exhausts. The stronger correlations between EC and char compared with EC and soot indicate a dominant role of char in total EC. The atmospheric dry deposition fluxes of OC and EC showed a minimum in summer and maximum in winter. It was estimated that the contribution of atmospheric dry deposition fluxes of OC+EC and EC to TOC and EC burial in the ECS were 1.3% and 4.1%, respectively. Three episodes of high char and soot concentrations, as well as deposition fluxes of OC and EC, demonstrated the impacts of anthropogenic pollutant pathways on the sources, pollution characteristics and dry deposition of carbonaceous aerosols over the coastal ECS.

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### **Table captions**

Table 1. Average concentrations of char, soot ( $\mu\text{g}/\text{m}^3$ ) and ratios of char/soot, OC/EC in  $\text{PM}_{2.5}$  and TSP in each season. (TSP samples were not collected in Shanghai and therefore " - " indicates values where these parameters not obtained)

Table 2. Comparisons of the mean concentrations of char and soot ( $\mu\text{g}/\text{m}^3$ ) in  $\text{PM}_{2.5}$  and associated indices at HNI in coastal ECS with worldwide studies.

Table 3. Correlations ( $R^2$ ) between OC, EC, char, soot, and char /soot in both  $\text{PM}_{2.5}$  and TSP samples.

Table 4. Average deposition velocities ( $D_v$ ) and fluxes of OC and EC in  $\text{PM}_{2.5}$  and TSP- $\text{PM}_{2.5}$  ( $\mu\text{g}/\text{m}^2/\text{d}$ ) over four seasons at HNI.

### **Figure captions**

Figure 1. Map of sampling sites on Huaniao Island (HNI) in coastal ECS and Fudan University in urban Shanghai.

Figure 2. Seasonal variations of char and soot in  $\text{PM}_{2.5}$  and TSP (both in  $\mu\text{g}/\text{m}^3$ ) on HNI. (Red dotted lines are the individual char and soot concentrations in  $\text{PM}_{2.5}$  of Shanghai samples that were simultaneously collected).

Figure 3. The correlations between char and soot in  $\text{PM}_{2.5}$  over four seasons.

Figure 4. The correlations between char and soot in TSP over four seasons.

Figure 5. Comparisons between average concentrations of char and soot for  $\text{PM}_{2.5}$  ( $\mu\text{g}/\text{m}^3$ ) and dry deposition fluxes of OC and EC for  $\text{PM}_{2.5}$  and TSP- $\text{PM}_{2.5}$  ( $\mu\text{g}/\text{m}^2/\text{d}$ ) between normal and episodic days.