

1 **Title:** Evaluating the effect of forest loss and agricultural expansion on Sumatran tigers  
2 from scat surveys.

3  
4 **Abstract**

5 Sumatran tigers (*Panthera tigris sumatrae*) are a critically endangered carnivore  
6 restricted to the island of Sumatra, and like many other large mammals on the  
7 Indonesian archipelago, they are threatened by high levels of poaching and widespread  
8 habitat degradation. Here, we conduct the first range-wide assessment of Sumatran tiger  
9 genetics using scat surveys and show that the wild population retains levels of genetic  
10 heterozygosity comparable to mainland tigers. However, the population also exhibits  
11 signs of subdivision due to the unprecedented rates of deforestation and land conversion  
12 in the last 30 – 40 years. The fact that this subspecies retains such levels of  
13 heterozygosity despite high rates of habitat loss and increasing isolation suggests a form  
14 of genetic extinction debt with an elevated risk of extinction if no action is taken within  
15 the next 30 – 100 years (see Kenney et al., 2014). However, the inherent time delay in  
16 extinction debt provides opportunities for conservation if habitat quality can be  
17 improved and connections between existing population fragments can be made. Our  
18 study highlights the importance of genetic studies for providing baseline information to  
19 improve the population management of highly threatened carnivore species. Mitigating  
20 further habitat degradation and expansion of oil palm and other cash crops in this region  
21 would improve the viability not only of Sumatran tiger populations, but of other  
22 threatened large mammal species as well.

23

24 **Keywords:**

25 Sumatra, tiger, primary forest loss, oil palm, population isolation, land use change

## 26 1. Introduction

27 Sumatra supports a disproportionately high level of global biodiversity. There are 5  
28 bioregions on the island (freshwater swamp, lowland rainforest, montane rainforest,  
29 peat swamp, and tropical pine forest), that support up to 200 species of mammals and  
30 580 species of birds, including some that are extinct or virtually so elsewhere in  
31 Indonesia, such as the rhino, elephant, and tiger (Whitten et al., 2000; Wikramanayake  
32 et al., 2002). Much of this biodiversity is at risk due to vast areas of primary forest (up  
33 to 0.38 million hectares per year) being cleared for timber products or converted to  
34 other land uses such as agriculture (e.g. coffee, rubber), oil palm, and *Acacia mangium*  
35 tree plantations (Margono et al., 2012; Sodhi et al., 2004; Stibig et al., 2014).

36

37 Much of the land clearance began in southern Sumatra in the 1970s when the  
38 Indonesian government introduced a transmigration scheme to relocate people from  
39 other islands in the archipelago (Imbernon, 1999). It is now home to nearly 51 million  
40 people spread across 10 provinces (BPS-Statistics Indonesia, 2016), and it is estimated  
41 that between 1969 and 1993 up to 8 million people relocated and cleared 1.7 million  
42 hectares of lowland forest for settlements and agricultural smallholdings (Barber and  
43 Schweithelm, 2000; Gaveau et al., 2009a). Much of this degraded forest was converted  
44 to industrial timber estates and oil palm plantations in the early 2000s, and with little  
45 accessible low elevation forest remaining in south Sumatra, attention has now turned to  
46 the peat swamp forests of east Sumatra (Margono et al., 2014).

47

48 It is estimated that ~70% of Sumatra's primary lowland forest has already been lost and  
49 this trend is set to continue as Indonesia aims to meet much of the global demand for

50 palm oil, pulp, and timber products (Geist and Lambin, 2002; Kinnaird et al., 2003;  
51 Suyadi, 2010). With net returns of up to \$13 000 per hectare of tropical timber or oil  
52 palm there are many commercial barriers to conserving the remaining primary habitat  
53 (Wilcove et al., 2013).

54

55 Tiger conservation, like that of rhinos and elephants, poses a difficult challenge in this  
56 context as they require a large amount of space, have a tendency towards conflict with  
57 people in secondary forest or at protected area boundaries, and are under constant threat  
58 from poaching due to their commercial value (Linkie et al., 2018). The main remaining  
59 populations of these species are therefore located in a few large protected areas of  
60 primary lowland or montane forest (Wibisono et al., 2011).

61

62 Current estimates put the global tiger population at 3000 – 4000 individuals. Sumatra is  
63 one of three regions combined (including India and Russia) containing ~80% of  
64 remaining tiger habitat with a Sumatran population of ~500 tigers (Tilson et al., 1993;  
65 Linkie et al., 2008a; Goodrich et al., 2015). The Sumatran tiger (*Panthera tigris*  
66 *sumatrae*) is recognized as a distinct subspecies due to its unique location, genetics, and  
67 morphological differences (Cracraft et al., 1998; Kitchener, 1999; Hendrickson et al.,  
68 2000; Luo et al., 2004; Kitchener and Yamaguchi, 2010; Wilting et al., 2015). It also  
69 represents the last remaining population of Sunda tigers since the Java and Bali  
70 subspecies are now extinct (Xue et al., 2015).

71

72 Continued land conversion across the tiger's range has created a patchwork of primary  
73 forest (lowland, montane or peat swamp), secondary forest, and human disturbance that

74 prompted the creation of Tiger Conservation Landscapes (TCLs), and more recently  
75 Source Sites, which overlap with the distribution of highly threatened species such as  
76 the Sumatran rhino, Asian elephant, and Sumatran orangutan (Sanderson et al., 2006;  
77 Walston et al., 2010; Wich et al., 2016). Although tigers can inhabit a broad range of  
78 forest types, abundance or occupancy rates are highest in areas of low human presence  
79 and infrastructure (Carroll and Miquelle, 2006; Johnson et al., 2006; Harihar and  
80 Pandav, 2012; Sunarto et al., 2012; Hebblewhite et al., 2014). Previous studies have  
81 shown that tigers mostly require a suitable prey base and good ground cover for hunting  
82 to persist, even in degraded forest (Linkie et al., 2008b; Smith, 2009; Sunarto et al.,  
83 2012). Designation of these large conservation areas was therefore intended to protect  
84 sufficient habitat and prey, free from human threats, to maintain self-sustaining tiger  
85 populations. Sumatra holds 12 TCLs and 4 Source Sites covering up to 88 000 km<sup>2</sup>  
86 (Wibisono and Pusparini, 2010), and these largely overlap with protected area  
87 boundaries. Here we use genetic data obtained from an island-wide scat survey to  
88 explore how disruption of the once contiguous forest on Sumatra has affected this last  
89 Sunda tiger subspecies.

90

91

## 92 **2. Material and Methods**

### 93 2.1 Sample collection

94 Fecal samples (scats) were collected from nine different field sites across Sumatra (Fig.  
95 1a, Table A1). Samples were collected during dedicated scat collection surveys or  
96 opportunistically during population monitoring studies prior to this study. Fresh samples  
97 were also obtained from a facility holding wild tigers captured following conflict with

98 rural communities. Scat surveys were conducted in a range of habitat types (montane,  
99 lowland, and production forests), and sampling transects followed animal trails and  
100 logging routes in high tiger density areas identified from camera trap survey data  
101 (unpublished results). Field teams covered one transect per day and each route was  
102 sampled just once with teams instructed to collect all fecal samples likely to have been  
103 deposited by a tiger based on size and appearance. Each sampling period lasted for an  
104 average of 2 weeks. We also tested the use of a detection dog in 3 sites (Way Kambas  
105 NP, Kerinci Seblat NP, and Batang Hari protection forest) using a 2-year old, male,  
106 Labrador Retriever from Bogor, West Java. The dog was trained over 3 weeks by an  
107 experienced dog handler to recognize the scent of tiger scats using samples from captive  
108 individuals. Dog surveys were conducted alongside the field teams with 20-minute work  
109 periods alternating with 10-minute rest breaks.

110

## 111 2.2 Laboratory methods

112 Each sample was initially preserved with silica gel beads in the field then transferred to  
113  $\geq 96\%$  ethanol once received in the laboratory. Extractions were performed using 2 - 3  
114 mm scrapings taken from the outer surface of each scat. The QIAamp DNA stool mini  
115 kit (Qiagen) was used for all extractions with some modifications (Table A2). A  
116 NanoDrop spectrophotometer (Thermo Scientific) was then used to quantify the DNA  
117 concentration for each sample. A tiger-specific Cytochrome b primer (Wetton et al.,  
118 2004) was used to identify positive tiger samples. Two PCRs were performed for each  
119 sample to confirm a positive result, indicated by a single PCR product of  $\sim 165$  bp.  
120 PCRs were performed in 10  $\mu$ l reaction volumes containing 5  $\mu$ l Qiagen Multiplex PCR  
121 mix, 0.3  $\mu$ M forward and reverse primers, 0.2  $\mu$ l (10 mg ml<sup>-1</sup>) BSA, and 1.2  $\mu$ l fecal

122 DNA. PCR cycling conditions were as described by Driscoll *et al.* (2009) and PCR  
123 products were visualized on a 2% agarose gel with 1% ethidium bromide. Sex  
124 identification was performed using a felid-specific zinc finger primer pair (Pilgrim et  
125 al., 2005). Sex was determined by a single PCR product for females (~163 bp) and 2  
126 products for males (~160 and 163 bp). PCR reactions were performed using a 10  $\mu$ l  
127 reaction volume containing 5  $\mu$ l Qiagen Multiplex PCR mix, 0.3  $\mu$ M fluorescent  
128 labelled forward primer, 0.3  $\mu$ M reverse primer, 0.5  $\mu$ l (10 mg ml<sup>-1</sup>) BSA, and 3  $\mu$ l fecal  
129 DNA. PCR cycling conditions were: 95 °C for 15 mins, 45 cycles of [94 °C for 30 s, 56  
130 °C for 1 min, and 72 °C for 30 s], followed by 72 °C for 10 mins. Fragment sizes were  
131 determined by capillary electrophoresis on an ABI 3130 genetic analyzer (Applied  
132 Biosystems).

133

134 Genotyping was performed using 24 fluorescent labelled microsatellite loci (Luo et al.,  
135 2004; Table A3). Loci were amplified in pairs in 10  $\mu$ l reaction volumes containing 5  $\mu$ l  
136 Qiagen Multiplex PCR master mix, 0.2  $\mu$ M forward and reverse primers, 0.5  $\mu$ l (10 mg  
137 ml<sup>-1</sup>) BSA, and 2  $\mu$ l fecal DNA. PCR conditions were 95 °C for 15 mins, 20 cycles of  
138 [94 °C for 15 s, 55 °C for 15 s and 72 °C for 30 s], followed by 35 cycles of [89 °C for  
139 15 s, 55 °C for 15 s and 72 °C for 30 s], then a final extension step of 60 °C for 90 mins.  
140 Microsatellite allele sizes were determined with GeneMarker software (SoftGenetics  
141 LLC) and allele bins for each locus were confirmed with Tandem v1.08 (Matschiner  
142 and Salzburger, 2009). Consensus multilocus genotypes were generated using a multi-  
143 tubes approach (Taberlet et al., 1996). An allele had to appear twice to be accepted as a  
144 true allele; a heterozygote genotype was provisionally accepted after 3 positive PCRs  
145 and a homozygote provisionally accepted after 7 positive PCRs. Shaza (Macbeth et al.,

146 2011) was then used to determine the number of unique genotypes, whilst genotyping  
147 error rates and probability of identity ( $PI_{SIB}$ ) were estimated with Gimlet v1.3.3, Micro-  
148 checker v2.3.3, Pedant v1.0, and MicroDrop (Johnson and Haydon, 2007; Valière,  
149 2002; van Oosterhout et al., 2004; Wang et al., 2012). SHAZA uses a likelihood test to  
150 distinguish between 3 different types of genotype match: (i) false matches in which  
151 different individuals have the same genotype (shadows), (ii) false non-matches that  
152 represent the same individual with different genotypes due to genotyping error, and (iii)  
153 phantoms that are true matches rejected because of insufficient power. However, Shaza  
154 is not able to distinguish duplicated genotypes (i.e. potential recaptures of the same  
155 individual) from related individuals, so we used Colony v2.0.1.1 (Jones and Wang,  
156 2010) to estimate the pairwise probability of individuals being full- or half-sibs.

157

### 158 2.3 Population genetics

159 Genepop v4.0 (Raymond and Rousset, 1995) was used to test for Hardy-Weinberg  
160 equilibrium. Observed and expected heterozygosity were estimated using GenAlEx v6.4  
161 (Peakall and Smouse, 2006). Unbiased expected heterozygosity was also calculated to  
162 account for small sample sizes at each locus. Rare alleles with a frequency  $< 0.05$  were  
163 also removed from the dataset to minimize the impact of genotyping errors and to obtain  
164 a conservative measure of diversity. Effective population size was estimated with  
165 NeEstimator v2 (Do et al., 2014) using a linkage disequilibrium method accounting for  
166 sampling error and with minimum allele frequencies set to  $> 0.05$ . We tested for  
167 isolation-by-distance using a regression between Rousset's genetic differentiation  
168 measure  $a(r)$  and the logarithm of least cost distances  $ln(r)$  as implemented in SPAGeDi  
169 v1.3 (Hardy and Vekemans, 2002). Least cost distances were estimated between

170 individual sample locations using human footprint data from the Last of the Wild v2  
171 (Sanderson et al., 2002) as our landscape map. Distances were computed in ArcView  
172 3.1 with the Pathmatrix v1.1 extension (Ray, 2005). The inverse of the regression slope  
173 was then used to estimate neighborhood size, a measure of effective population size  
174 based on the distribution of individuals within a given area (Wright, 1946; Rousset,  
175 2000). We also used GenAlEx to test for spatial autocorrelation using 50 km distance  
176 classes up to a total distance of 1550 km using 9999 random permutations and 10 000  
177 bootstraps (Peakall et al., 2003).

178

#### 179 2.4 Population structure

180 We defined four separate regions to coincide with the current designation of Tiger  
181 Conservation Landscapes and associated protected areas: 1. North - Ulu Masen/Gunung  
182 Leuser ecosystem, 2. West - Kerinci Seblat NP and Batang Hari protection forest, 3.  
183 East - Tesso Nilo NP, Bukit Tigapuluh NP, Kerumutan wildlife reserve, and Berbak NP,  
184 and 4. South - Way Kambas NP (Fig. 1b). Regional differentiation was tested using  
185 pairwise values of  $\theta_w$  (Weir and Cockerham, 1984) computed in Genepop and a locus-  
186 by-locus AMOVA implemented in Arlequin v3.1 (Excoffier et al., 1992, 2005) using 19  
187 microsatellite loci and 16 000 permutations. We then used BayesAss v1.2 (Wilson and  
188 Rannala, 2003) to estimate recent rates of gene flow between the four defined regions  
189 (North, East, West, and South).

190

191 Analysis of population structure with no *a priori* grouping was performed using  
192 Structure v2.3.3 (Pritchard et al., 2000), Tess v2.3.1 (François et al., 2006), and  
193 Geneland (Guillot et al., 2005) (Table A5). Structure is the most commonly used



194 method for population structure analysis but it can be affected by unequal sample sizes  
195 between populations and the presence of related individuals in a dataset (Anderson and  
196 Dunham, 2008; Kalinowski, 2011; Wang, 2017). Tess and Geneland are also affected  
197 by isolation by distance but can better incorporate spatial information (Safner et al.,  
198 2011). Clumpp v1.1.2 (Jakobsson and Rosenberg, 2007) was then used to confirm  
199 individual membership assignments for each population cluster. Individuals with a  
200 membership coefficient of  $q \geq 0.7$  were assigned to a single cluster, and individuals with  
201 membership coefficients of  $0.25 \leq q \leq 0.7$  were considered to have shared membership  
202 between clusters.

203

204

### 205 **3. Results**

206 A total of 148 scats were collected over 15 months of sampling, and scat contents  
207 included hair, bone fragments, body parts (claws, quills), soil, and vegetation. Transect  
208 length varied from ~2.5 – 10 km and the number of scats encountered at each site varied  
209 due to differences in survey effort and terrain, with lowland sites yielding far more  
210 samples than submontane regions. More scats were observed on open trails and logging  
211 roads compared to forest animal trails, due to the presence of heavy leaf litter and  
212 decomposition on the forest floor. Most scats were dried or partially decomposed on  
213 collection and varied in age (judged subjectively) from > 7 days old to > 1 month old.  
214 Preliminary analysis did not reveal any significant correlation between PCR success and  
215 scat location (e.g. animal trail, road, etc) or scat contents (e.g. bones, hairs, etc), though  
216 fresher samples (< 1 month old) stored in ethanol generally performed well (data not  
217 shown). We had variable success with the detection dog, mostly due to the high

218 temperatures and humidity, and the logistical challenges of transporting the dog with  
219 our field teams. This combined with the ongoing cost of the dog's husbandry meant that  
220 we found it far more effective to rely on field teams searching visually alone.

221

222 DNA concentration per extract ranged from ~6 - 192 ng  $\mu\text{l}^{-1}$ , but this did not correlate  
223 well with PCR success. Thirty-seven samples were positive for tiger DNA and variable  
224 results were obtained with the sex and microsatellite primers. Ten samples with very  
225 low PCR success rates across all loci (< 10%) were discarded immediately from the  
226 dataset, and we were able to determine putative sex for 15 of the 27 remaining samples  
227 (8 males and 7 females). The mean number of positive PCRs estimated with Gimlet was  
228 0.54 (range 0.25 - 0.88) across loci and 0.54 (range 0.36 - 0.77) across samples, with the  
229 proportion of missing data per locus ranging from 12 - 72%. The 24 microsatellite loci  
230 gave a  $PI_{SIB}$  value of  $1.57 \times 10^{-8}$ . Locus Fca 161 was monomorphic, and the most  
231 informative locus was Fca 94. Average allelic dropout and false allele rates were 0.39  
232 and 0.10 as estimated by Pedant with 15 000 search steps. Microchecker identified two  
233 loci with possible stuttering (Fca 201, Fca 220), and analysis with MicroDrop  
234 highlighted two other samples with allelic dropout rates > 0.50. Dropout rates above  
235 0.50 have been shown to bias estimates of genetic diversity and population structure  
236 (Smith and Wang, 2014). These two individuals plus the three problematic loci (Fca  
237 161, 201, and 220) were therefore also removed from the dataset before subsequent  
238 analysis. Shaza suggested that all the remaining samples represented unique individuals  
239 apart from a possible match between two pairs of samples. Analysis with Colony  
240 suggested that these two pairs were most likely to be full-sibs so they were retained. The  
241 final dataset thus contained 25 individuals genotyped at 21 loci.

242

243 Overall, mean observed heterozygosity was  $0.52 \pm 0.03$  s.e. and unbiased expected  
244 heterozygosity (UHe) was  $0.66 \pm 0.03$  s.e. (Table 1; Table A4). The population sample  
245 did not appear to be in Hardy-Weinberg equilibrium ( $F_{IS} = 0.201$ ), which may be due to  
246 non-random mating or population subdivision. NeEstimator v2 gave an estimate of  
247 effective population size ( $N_e$ ) = 22.2 (95% CI 14.9 - 37.5), comparable to that from the  
248 sibship assignment method in Colony ( $N_e = 18$  with 95% CI 9 - 40). We also found a  
249 significant pattern of isolation by distance, which gave a neighborhood size estimate of  
250 29 individuals (95% CI 16 - 115).

251

252 Overall differentiation between regions was low ( $\theta_w = 0.08$ ), with a  $\theta_w$  value of  $\leq 0.15$   
253 (95% CI 0.05 - 0.18) between the southern group and the rest of the island. This agreed  
254 with the AMOVA analysis, which suggested that most of the genetic variance could be  
255 explained by grouping the regions into North-West-East and South (Table 2; Table A6).  
256 Results from BayesAss suggested that there was little migration into or out of the south  
257 region (mean migration rates  $\leq 0.06$ ). Most gene flow occurred from the west to the  
258 north, and from the west to the east (mean migration rates  $\cong 0.20$ ).

259

260 We found evidence of spatial autocorrelation with a significant relationship between  
261 genetic and geographic distance up to 850 km (Fig. A1). This is roughly equivalent to  
262 half the length of Sumatra. Analysis with Structure, Tess and Geneland suggested two  
263 to four genetic clusters with inconsistent assignment of individuals to the clusters (Fig.  
264 2; Table A7). Structure analysis inferred two main clusters – (i) Riau samples north of  
265 Tesso Nilo NP and (ii) the rest of Sumatra, including Ulu Masen, Kerinci Seblat

266 NP/Batang Hari, and Way Kambas NP. Structure results may have been biased by the  
267 unequal sample sizes between regions, as it has been shown to assign all the individuals  
268 from the largest sample to the same cluster (in this case the Riau samples). The output  
269 from TESS also suggested two main clusters: one large group encompassing the  
270 majority of the island, and a southern subgroup containing the Way Kambas samples. In  
271 contrast, Geneland suggested 4 clusters: (i) an admixed northern group encompassing  
272 Ulu Masen, (ii) a separate eastern group in Riau, (iii) an admixed east-west grouping  
273 including Kerinci Seblat NP/Batang Hari and Jambi province, and (iv) a southern Way  
274 Kambas group. These Geneland results infer some influence of underlying clinal  
275 variation within the Sumatran population. Thus, due to the unequal sampling and  
276 presence of isolation by distance, it was not possible to combine results from these three  
277 clustering methods to infer one pattern of population structure.

278

#### 279 **4. Discussion**

280 This study represents the first genetic survey of the wild tiger population on Sumatra to  
281 include all the Tiger Conservation Landscapes and protected areas with global or long-  
282 term priority. Overall, estimates of heterozygosity were higher than expected for an  
283 island subspecies, with some evidence of southern Sumatran tigers becoming  
284 genetically differentiated from the rest of the island. This is most likely due to reduced  
285 migration into and out of this region as a consequence of an expanding human  
286 population and agricultural footprint. With ongoing deforestation and land conversion  
287 also occurring in Riau province, it is likely that tigers in eastern Sumatra will eventually  
288 suffer a similar fate.

289

290 Sample collection over a period of 15 months generated 148 scats, which yielded  
291 useable DNA data from 25 different tiger individuals. The limited number of samples is  
292 in part due to the vast sampling area considered ( $> 140,000 \text{ km}^2$  of occupied forest) and  
293 the low average population density of tigers on Sumatra ( $\sim 1 - 2$  individuals/ $100 \text{ km}^2$ )  
294 (Wibisono and Pusparini, 2010). It also serves to highlight that whilst non-invasive  
295 samples such as faeces and hair are valuable sources of DNA for threatened mammal  
296 species in humid, tropical environments, the proportion of samples that can ultimately  
297 be used for genetic analysis ranges from  $\sim 25 - 75\%$ , necessitating prolonged and  
298 repeated surveys for sample collection (e.g. Bhagavatula and Singh, 2006; Ernest et al.,  
299 2000; Janečka et al., 2008; Lucchini et al., 2002).

300

301 We also attempted to use a detection dog to increase sample detection during our  
302 surveys. Despite cultural sensitivities to handling dogs, the field teams adjusted well to  
303 working alongside the detection dog once introductory training had been completed.  
304 However, the high heat and humidity, hilly terrain, and changing locations challenged  
305 both the dog's stamina and concentration, resulting in short periods of work before his  
306 motivation and focus tailed off. Therefore, for this study, we found that the field teams  
307 were more effective with consistent survey effort rather than the alternating rest and  
308 work periods required for the dog surveys.

309

310 Although DNA quality has been shown to deteriorate with increasing sample age  
311 (Piggott et al., 2004; Santini et al., 2007; Panasci et al., 2011), we collected all scats  
312 during our surveys due to the expected low encounter rate for intact scats in this tropical  
313 environment. Fecal DNA is particularly prone to genotyping errors such as allelic

314 dropout and false alleles, but our results are similar to other non-invasive studies in  
315 carnivores (Broquet and Petit, 2004). Many different methods such as pre-amplification  
316 and sample dilution have been proposed to improve PCR success for non-invasive  
317 samples, but they had little effect in this study (data not shown). An ongoing pilot study  
318 in our research group suggests that combining an appropriate method of sample  
319 preservation (e.g. DNA/RNA Shield; Zymo Research), a DNA extraction method  
320 including homogenization (e.g. using FastPrep-24; MP Biomedicals), and amplification  
321 with inhibitor-resistant polymerases (e.g. KAPA2G Robust; KAPA Biosystems) can  
322 greatly improve data quality (data not shown). As it is difficult to obtain good quality  
323 scats in humid, tropical environments, others have explored the use of alternative  
324 sources of DNA, such as swabs taken from urine scent marks, which have much higher  
325 detection rates than scats in some sites (Caragiulo et al., 2015). For example, scent mark  
326 to scat detection ratios in Tambling Wildlife Nature Conservation, southern Sumatra,  
327 are typically between 3:1 and 4:1 (unpublished results). Tigers preferentially spray scent  
328 on overhanging trees or leaves along territory boundaries with up to 3.7 and 1.0 marks  
329 per km for males and females, respectively (Smith et al., 1989; Protas et al., 2010).

330 Lipids contained within the urine sprays enable them to persist on the surfaces of  
331 vegetation (Andersen and Vulpius, 1999; Burger et al., 2008), and their characteristic  
332 scent is easily detected by people for up to 3 weeks after deposition.

333

334 In this study, we used a subset of the microsatellite loci used by Luo et al. (2004) to  
335 show that wild Sumatran tigers retain levels of genetic variation comparable to  
336 mainland subspecies. Low heterozygosity has been shown to correlate with a high risk  
337 of extinction for many species, and threatened or island species are thought to have ~60

338 - 65% of the microsatellite heterozygosity of similar or related non-endangered species  
339 (Frankham and Ralls, 1998; Brook et al., 2002). Therefore, the level of genetic variation  
340 found bodes well for Sumatran tigers as it suggests that overall the population has not  
341 experienced significant genetic drift. Given that heterozygosity is expected to be lost at  
342 a rate of  $1/2N_e$  per generation due to genetic drift alone (Hedrick, 2005; Hamilton,  
343 2009), we would expect Sumatran tigers to lose 1 - 3% of their genetic variation every  
344 generation (~ every 5 – 7 years). This is in the absence of other threats and assumes that  
345 current estimates of effective population size ( $N_e \cong 18 - 29$ ) and generation time remain  
346 unchanged in the future. This rate could be higher for the smallest subpopulations of  
347 tigers ( $N < 30$  individuals), which would result in a faster rate of decline and increased  
348 differentiation from other subpopulations. While genetic drift and loss of genetic  
349 variation at the subpopulation level could be counterbalanced to some extent by  
350 migration or gene flow (e.g. Vilà et al., 2003), those at the subspecies level cannot be  
351 ameliorated by migration. Hence, while maintaining or increasing connectivity is an  
352 important part of the management of low density, wide-ranging species, the  
353 fundamental management strategy should be to increase the overall population size by  
354 expanding tiger habitat and/or improving habitat quality which then may also lead to  
355 increasing connectivity.

356

357 Our results may also represent a type of genetic extinction debt, in which population  
358 changes resulting from increased forest loss and poaching are subject to a time delay  
359 (Habel et al., 2015). The delay between the environmental change and a genetic effect is  
360 likely to be greater for long-lived species with low rates of population turnover  
361 (Kuussaari et al., 2009). Ultimately, if the pace of forest loss and human activity

362 continues at its current rate it is likely that we will start to see signs of reduced  
363 heterozygosity and greater population isolation on Sumatra (Helm et al., 2009).  
364 Increased homozygosity (and the resulting inbreeding depression) have been associated  
365 with increased extinction risk due to factors such as reduced reproductive success, a  
366 decrease in population fitness, and increased susceptibility to disease (Amos and  
367 Balmford, 2001; Spielman et al., 2004). Although these changes have been noted in  
368 some carnivore populations (e.g. Johnson et al., 2010; Fredrickson et al., 2007), for  
369 tigers there is little empirical evidence to determine at what level these changes would  
370 occur.

371

372 The presence of isolation by distance suggests that Sumatran tigers are partly structured  
373 by a neighborhood mating system in which individuals are more likely to mate within a  
374 given area governed by dispersal distance. The estimated values of effective population  
375 size in this study ( $N_e = 18 - 29$ ) give an  $N_e : N_c$  ratio in the range of  $\sim 0.04 - 0.06$ ,  
376 where  $N_c$  represents the total estimated population of 500 tigers. This is in line with  
377 previous studies in Bengal and Amur tigers ( $N_e = 27 - 35$ ) using genetic data and  
378 variance in reproduction (Henry et al., 2009; Smith and McDougal, 1991), and is close  
379 to the average ratio of 0.1 - 0.11 for wildlife populations (Frankham, 1995). However, it  
380 is lower than other cat species such as the leopard, cheetah, and puma in which ratios of  
381 0.25 – 0.64 have been recorded (Nowell and Jackson, 1996; Spong et al., 2000; Kelly,  
382 2001). Analysis with MRatio (Garza and Williamson, 2001) did not provide evidence  
383 for a recent population bottleneck (Smith, 2012) and thus other factors such as a  
384 polygynous mating system, in which dominant males mate with most available females,  
385 or sampling scale, may account for the low effective population size (Kaeuffer et al.,



386 2004; Neel et al., 2013). However, restricting our analysis to the neighborhood size  
387 suggested by spatial autocorrelation (< 850 km) did not result in a significant difference  
388 in the estimates of Ne (data not shown).

389

390 In the absence of gene flow, populations lose alleles under the influence of genetic drift  
391 and become increasingly differentiated (Falconer and MacKay, 1996). It was expected  
392 that geographic features such as Lake Toba and the Bukit Barisan mountain range might  
393 influence tiger population structure as they interrupt the distribution of other large  
394 mammals on Sumatra such as the tapir, orangutan, and rhino (Wich et al., 2016;  
395 Pusparini et al., 2015; Linkie et al., 2013). However, telemetry data shows that some  
396 tigers are capable of using ridgelines to cross the Bukit Barisan mountain range (Priatna  
397 et al., 2012), and our study did not find any obvious genetic discontinuity caused by  
398 these features. This is likely due to the tiger's dispersal ability, which can reach up to 65  
399 km for males and 33 km for females (Smith, 1993; Goodrich et al., 2010), and  
400 highlights the importance of understanding differences in species' abilities to disperse  
401 across natural and anthropogenic barriers.

402

403 The high concentration of roads, settlements and plantations across parts of central  
404 Sumatra were also expected to act as dispersal barriers (Smith et al., 1998; Kerley et al.,  
405 2002; Linkie et al., 2006), but again our results suggest that either tigers have been able  
406 to maintain a fairly continuous distribution using patches of 'stepping stone' habitat, or  
407 more likely that insufficient time has passed for measurable genetic drift to have  
408 occurred in this region. Given the tiger's long generation time of 7 years (Seal et al.,  
409 1994), it could take up to 105 years (15 generations) for a landscape barrier to produce a

410 detectable genetic signature (Holzhauer et al., 2006; Landguth et al., 2010). Therefore, it  
411 appears that the current Sumatran tiger population still exhibits evidence of the  
412 continuous distribution and genetic variation present within the ancestral Sunda  
413 population (Bay et al., 2014). However, given the current rates of land conversion to  
414 commercial crops such as oil palm and agroforestry, it is probable that much of the  
415 primary forest at lower elevations outside of conservation areas will be lost in the next  
416 30 - 50 years (Holmes, 2002). Repeating a genetic study such as this in the future is  
417 therefore likely to show a more extensive pattern of population isolation and a more  
418 profound loss of genetic variation (Wearn et al., 2012; With, 2004).

419

420 In contrast to central Sumatra, there appears to be very little gene flow into or out of  
421 Way Kambas NP in the southern tip of Sumatra - this national park showed the highest  
422 pairwise  $F_{ST}$  and the lowest migration rates. These high  $F_{ST}$  values represent a separation  
423 from the sampled TCL populations in western and eastern Sumatra. While we  
424 acknowledge that there may be some exchange of individuals with the nearest protected  
425 areas in Berbak/Sembilang NP and Bukit Balai Ranjang NP, Way Kambas covers a  
426 relatively small area of isolated habitat (~1300 km<sup>2</sup>) and has a small population of ~30  
427 tigers with low occupancy rates (Wibisono et al., 2011; Sanderson et al., 2006).

428 Maintaining gene flow or connectivity and the quality of the surrounding matrix is  
429 thought to be crucial to the survival of tigers within smaller protected areas  
430 (Ranganathan et al., 2008). However, the prospects for increasing connectivity in this  
431 region are bleak.

432

433 Primary lowland forest has been replaced by a mosaic of agricultural crops and

434 plantations, (Miettinen et al., 2008; Miettinen and Liew, 2010), and a zone of  
435 urbanization surrounds the park such that there are no significant buffer zones suitable  
436 for wildlife (Nyhus and Tilson, 2004; Imbernon, 1999). Although the early stages of  
437 forest conversion may be beneficial to tigers due to the creation of secondary forest and  
438 edge habitats that support many prey species (Berry et al., 2010; Maddox et al., 2007;  
439 Barlow et al., 2007; Sunquist, 1981; Santiapillai and Ramono, 1987), many degraded or  
440 previously logged areas are quickly converted to oil palm or other agricultural  
441 plantations which are not as beneficial to tigers (Barber and Schweithelm, 2000).  
442 Frontier activities by local communities at the borders of national parks/wildlife  
443 reserves and agricultural concessions also commonly progress to more wide-scale  
444 operations or permanent rural settlements (Smith, 2009). And some habitat degradation,  
445 encroachment and hunting also occurs within park borders such that these are not the  
446 inviolate refugia their names suggest (e.g. Forrest et al., 2011; Gaveau et al., 2009b).  
447  
448 Lowland peat swamp forest in eastern Sumatra is suffering a similar fate with land  
449 being cleared at a rate of up to 2.3% per year (Uryu et al., 2008; Hansen et al., 2009;  
450 Broich et al., 2011; Koh et al., 2011; Miettinen et al., 2012). Riau lost more than 50% of  
451 its primary lowland forest between 1990 and 2010, and focus has now shifted to  
452 primary peat swamp forest (Margono et al., 2012, 2014). This rate of deforestation is  
453 likely to continue as Indonesia plans to increase its land allocation to oil palm, paper  
454 and pulp to just under 15 million hectares by 2030 (Wilcove et al., 2013). These land  
455 use types support much lower species richness compared to primary forest (~38%), and  
456 tigers are commonly extirpated from these areas (Maddox et al., 2007; Smith, 2009;  
457 Danielsen et al., 2009; Fitzherbert et al., 2008). This combination of poaching pressure

458 and impoverished habitat is therefore likely to result in a population decline and  
459 increased genetic differentiation between protected areas as the options for tiger  
460 movement across the agricultural matrix are reduced (Kenney et al., 1995; Linkie et al.,  
461 2006; Chapron et al., 2008).

462

463 Tiger populations are more likely to suffer extinction debt in areas where there is  
464 overlap with agricultural or rural development, and these could serve as priority hot  
465 spots where intervention is most likely to be effective (Helm et al., 2009; Wearn et al.,  
466 2012). Despite a national government moratorium on conversion of peatland and  
467 primary forest since 2011 (Austin et al., 2014), the Ministry of Forestry has also  
468 pledged a commitment to expanding the oil palm and timber industries to support  
469 national and international demand (Karyaatmadja et al., 2011; Brockhaus et al., 2012;  
470 Harahap et al., 2017). Uncertainties around land classification and implementation of  
471 the moratorium have resulted in continued loss of primary forest on Sumatra,  
472 particularly in Riau province and around Tesso Nilo NP (Harris et al., 2017). Therefore,  
473 there needs to be greater coordination across different government policies (biofuels,  
474 climate, forestry and agriculture) to ensure adequate protection of the primary and  
475 secondary forested lands which are key to supporting the remaining tiger populations.

476

477

## 478 **5. Conclusions**

479 We present the first assessment of the effects of landscape change on the tigers on  
480 Sumatra. Our results show that the Sumatran tiger has retained levels of genetic  
481 diversity comparable to mainland subspecies and that there is evidence to suggest

482 reduced gene flow for tigers in the extreme south of Sumatra. Whilst we acknowledge  
483 the limited sample size, the distribution of sampling sites represents a good proportion  
484 of the remaining tiger habitat on Sumatra. Precise estimates of genetic variation can be  
485 made with as few as 10 individuals (Smith and Wang, 2014), and therefore, our results  
486 provide a good overview of the genetic status of the wild Sumatran tiger population.  
487 This study also demonstrates that the genetic data obtained from non-invasive samples  
488 is critical to understanding the genetic diversity and population structure of large-  
489 bodied, low-density mammals such as the tiger; individuals are not easily captured for  
490 biological sampling, baited hair traps are not reliable, and dens or latrines are rarely  
491 seen. Similar methods are being used to study the Sumatran elephant and Sumatran  
492 rhino, which will provide more information on the effects of land conversion on other  
493 threatened large mammal species.

494

495

#### 496 **Acknowledgements**

497 We are grateful to Wildlife Conservation Society Indonesia Program, Zoological  
498 Society of London, and Fauna and Flora International for fecal samples and assistance  
499 with applications for visas and sample collection permits. Indonesian research permits  
500 were awarded by the Ministry of Research & Technology (Permit No.  
501 0183/FRP/SM/VIII/09 and 07/TKPIPA/FRP/SM/VII/2010), the Department of  
502 Forestry, the Department for Forest Protection and Nature Conservation (Permit No.  
503 SK.199/IV-SET/2009 and SK.96/IV-SET/2010), and local national park offices. We are  
504 also grateful to Elva Gemita from FFI Kerinci for her assistance with the sampling  
505 surveys in Batang Hari. The Eijkman Institute for Molecular Biology provided

506 laboratory support for DNA extraction and genotyping in Jakarta, Indonesia. Prof. Tim  
507 Coulson (Imperial College London) provided PhD supervision, Dada Gottelli (IOZ)  
508 provided laboratory training, and Hugh Robinson (Panthera) helped with map design.

509

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962



963 **Conflict of Interest**

964 The authors have no conflicts of interest to declare.

965

966 **Source of Financial Support**

967 Funding: This work was supported by a NERC/Case studentship, a Panthera Kaplan  
968 Grant, and Institute of Zoology (Zoological Society of London) postgraduate grants.  
969

970 **Role of Funding Source**

971 None of the funding sources were involved in the study design; collection, analysis or  
972 interpretation of data; the writing of the report; or in the decision to submit the article  
973 for publication.

974 **TABLES**

975

976 **Table 1.** Estimates of genetic diversity in subspecies of *Panthera tigris*. Microsatellite  
 977 loci were not identical between studies but showed some degree of overlap between the  
 978 loci used.

Tiger subspecies	No. of individuals	No. of loci	Observed heterozygosity	Expected heterozygosity	Reference
P.t. sumatrae	25	21	0.52 ± 0.03 s.e.	0.64 ± 0.03 s.e.	This study
P.t. sumatrae	16	30	0.47 ± 0.02	0.49 ± 0.04	Luo <i>et al.</i> 2004
P.t. altaica	34	30	0.47 ± 0.02	0.46 ± 0.04	Luo <i>et al.</i> 2004
P.t. altaica	95	8	0.26 ± 0.11	-	Henry <i>et al.</i> 2009
P.t. corbetti	33	30	0.64 ± 0.02	0.67 ± 0.03	Luo <i>et al.</i> 2004
P.t. jacksoni	22	30	0.56 ± 0.02	0.57 ± 0.03	Luo <i>et al.</i> 2004
P.t. tigris	6	30	0.52 ± 0.04	0.57 ± 0.04	Luo <i>et al.</i> 2004
P.t. tigris	73	5	0.70 ± 0.16 s.d.	-	Mondol <i>et al.</i> 2009

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982 **Table 2.** Pairwise differentiation ( $\theta_w$ ) for regional groups in the Sumatran tiger  
 983 population. Estimates were computed in Genepop and significant values ( $p < 0.05$ ) are  
 984 indicated with an asterisk.

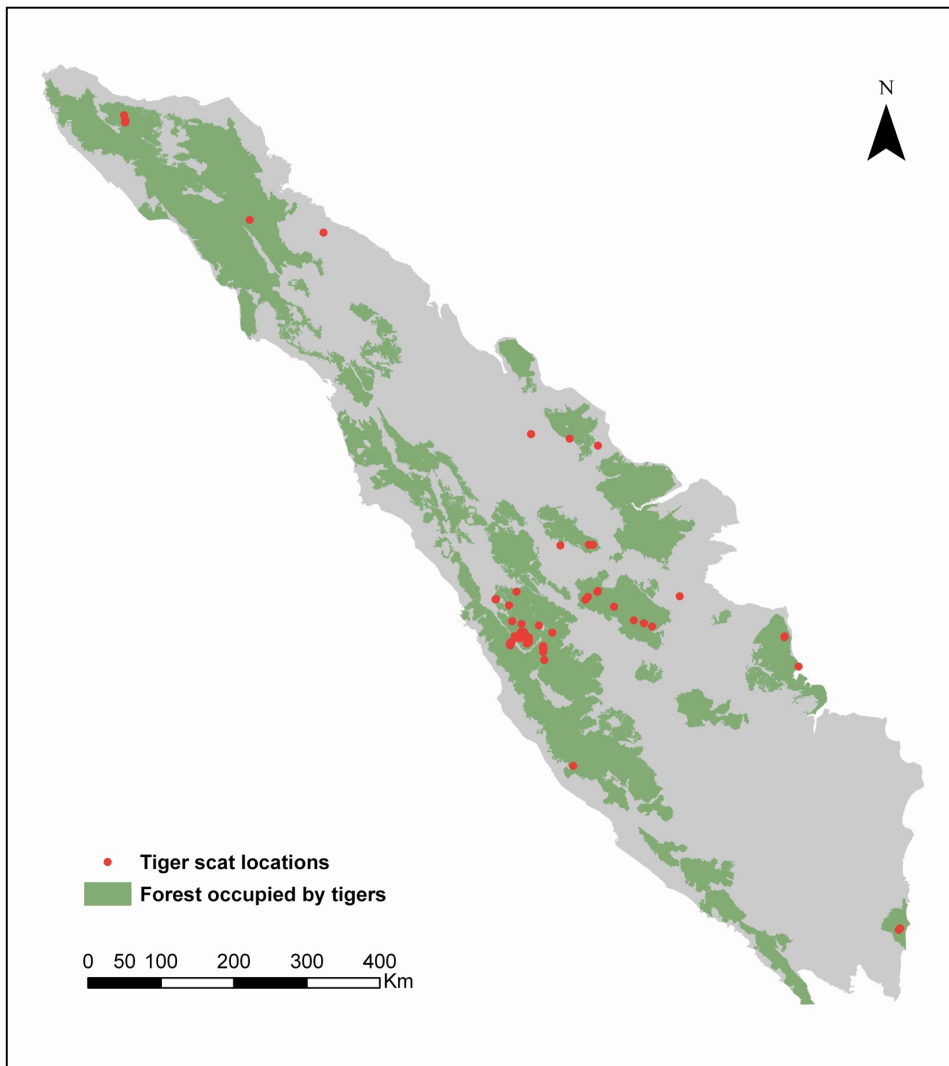
	<b>North</b> <sup>†</sup>	<b>East</b>	<b>West</b>	<b>South</b>
<b>North</b>	-			
<b>East</b>	0.07*	-		
<b>West</b>	0.06	0.03	-	
<b>South</b>	0.15*	0.15*	0.13*	-

985 <sup>†</sup> *North* - Ulu Masen-Gunung Leuser ecosystem; *East* - Tesso Nilo NP, Kerumutan

986 Wildlife Reserve, Berbak NP; *West* - Kerinci Seblat NP, Batang Hari protection forest;

987 *South* - Way Kambas NP.

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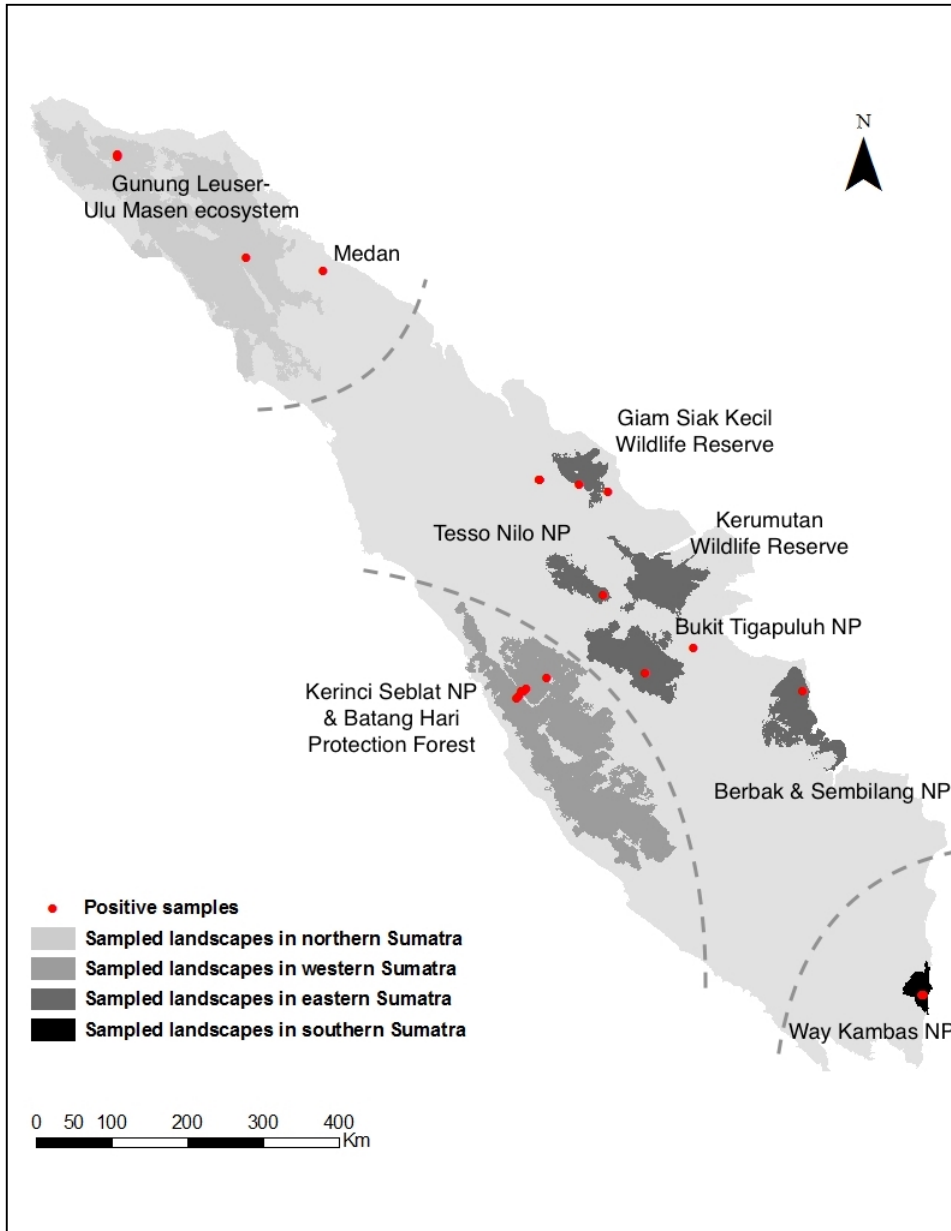


1000

1001 **Fig. 1a.** Map showing the remaining Sumatran forest habitat that is occupied by tigers  
1002 (data from Wibisono and Pusparini 2010). Locations where faecal samples were  
1003 collected are indicated by the red points.

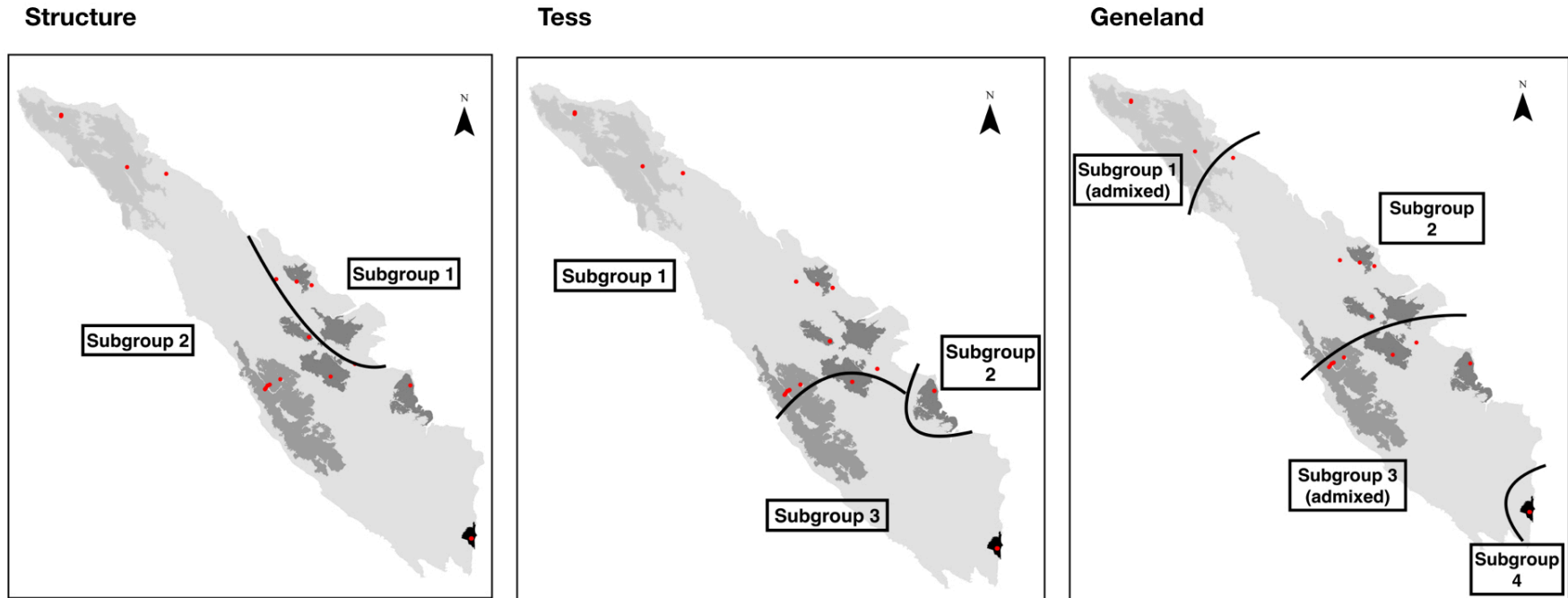
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1007 **Fig. 1b.** Regional subdivision of the Tiger Conservation Landscapes and protected areas  
 1008 sampled during this study. The Northern group includes the Ulu Masen ecosystem; the  
 1009 Western group includes Kerinci Seblat NP and Batang Hari protection forest; the  
 1010 Eastern group includes Tesso Nilo NP, Kerumutan wildlife reserve, Bukit Tigapuluh  
 1011 NP, and Berbak NP; and the Southern group includes Way Kambas NP. Locations of  
 1012 the tiger positive samples are represented by the red points



1015 **Fig. 2.** Maps showing the genetic subgrouping of positive tiger samples using 3 different algorithms in Structure, Tess, and Geneland.

1016 Structure preferentially separated northern Riau samples from the rest of Sumatra. Tess placed southern Way Kambas samples into a

1017 separate group. Geneland suggested 4 subgroups, which could reflect underlying isolation by distance.