

**Title: Retinal vasculometry associations with cardiometabolic risk factors in the European Prospective Investigation of Cancer Norfolk study**

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**SUPPLEMENTAL MATERIAL**

This article contains additional online-only material. The following should appear online-only: Supplemental Figures 1, 2 and 3 and Supplementary Table 1.

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## **CONFLICT OF INTEREST**

None.

## **RUNNING HEADER**

Retinal vasculometry associations with cardiometabolic risk factors

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1 **ABSTRACT**

2 **Purpose:** To examine associations between retinal vessel morphometry and  
3 cardiometabolic risk factors in older British men and women.

4 **Design:** Retinal imaging examination as part of the European Prospective Investigation into  
5 Cancer-Norfolk Eye study.

6 **Participants:** 7411 participants underwent retinal imaging and clinical assessment. Retinal  
7 images were analysed using a fully automated validated computerised system, which  
8 provides novel measures of vessel morphometry.

9 **Methods:** Associations between cardiometabolic risk factors, chronic disease and retinal  
10 markers were analyzed using multi-level linear regression, adjusted for age, sex and within  
11 person clustering, to provide percentage differences in tortuosity and absolute differences  
12 in width.

13 **Main outcomes measures:** Retinal arteriolar and venular tortuosity and width.

14 **Results:** 279,802 arterioles, and 285,791 venules from 5947 participants (mean age 67.6  
15 years, SD 7.6, 57% female) were analysed. Increased venular tortuosity was associated with  
16 higher BMI (2.5%, 95% CI 1.7,3.3% per 5 kg/m<sup>2</sup>) and HbA1c (2.2%, 95%CI 1.0,3.5% per %),  
17 and with prevalent type 2 diabetes (6.5%, 95%CI 2.8,10.4%); wider venules were associated  
18 with older age (2.6µm, 95%CI 2.2,2.9µm per decade), higher triglycerides (0.6µm, 95%CI  
19 0.3,0.9µm per mmol/L), BMI (0.7µm, 95%CI 0.4,1.0 per 5 kg/m<sup>2</sup>) and HbA1c (0.4µm, 95%CI -  
20 0.1,0.9 per %) and being a current smoker (3.0µm, 95%CI 1.7,4.3µm); similarly smoking was  
21 also associated with wider arterioles (2.1µm, 95%CI 1.3,2.9µm). Thinner venules were  
22 associated with HDL (1.4µm, 95%CI 0.7,2.2 per mmol/L). Arteriolar tortuosity increased  
23 with age (5.4%, 95%CI 3.8,7.1% per decade), higher systolic blood pressure (1.2%, 95%CI  
24 0.5,1.9% per 10mmHg), in females (3.8, 95%CI 1.4,6.4%) and with prevalent stroke (8.3%,

25 95%CI -0.6,18%); no association was observed with prevalent myocardial infarction.

26 Narrower arterioles were associated with age (0.8 $\mu$ m, 95%CI 0.6,1.0 $\mu$ m per decade), higher

27 systolic blood pressure (0.5 $\mu$ m, 95%CI 0.4,0.6 $\mu$ m per 10mmHg), total cholesterol (0.2 $\mu$ m,

28 95%CI 0.0,0.3 $\mu$ m per mmol/L) and HDL (1.2 $\mu$ m, 95%CI 0.7,1.6 $\mu$ m per mmol/L).

29 **Conclusions:** Metabolic risk factors show a graded association with both tortuosity and

30 width of retinal venules, even among people without clinical diabetes, whereas

31 atherosclerotic risk factors correlate more closely with arteriolar width, even excluding

32 those with hypertension and cardiovascular disease. These non-invasive microvasculature

33 measures should be evaluated further as predictors of future cardiometabolic disease

34 among apparently healthy individuals.

35 **Keywords:** Retinal vessels, morphology, cardiometabolic risk factors

36 Cardiovascular disease (CVD), including coronary heart disease (CHD), heart failure and  
37 stroke, is responsible for a substantial burden of morbidity and disability.<sup>1</sup> Type 2 diabetes  
38 is an increasing public health problem, affecting 1 in 10 adults globally, and a major cause of  
39 premature death and morbidities, especially CVD.<sup>2</sup> Early detection and prevention both of  
40 CVD and Type 2 diabetes is key to limiting future morbidity and mortality.<sup>3;4</sup> While disease  
41 risk factors for Type 2 diabetes, such as blood glucose levels and HbA1c, are yet to show  
42 good screening performance,<sup>5</sup> established markers of early vascular disease are used in risk  
43 prediction models to estimate future risk of CVD, providing indications for medical / lifestyle  
44 interventions to alter disease trajectory.<sup>6;7</sup> There have been a number of attempts to  
45 improve the performance of these risk prediction models, by adding other risk factors.<sup>6;7</sup>  
46 However, the addition of novel risk factors have added little to CHD prediction.<sup>8</sup> Recent  
47 evidence suggests that early markers for the presence of vascular disease (as opposed to  
48 additional risk factors) are needed to improve risk prediction for population screening.<sup>5;9</sup>

49

50 Detailed retinal vasculometry may offer such a marker. Growing evidence suggests that  
51 morphological features in retinal vessels, in particular vessel width, are early physiological  
52 markers of cardiometabolic risk and disease (as well as other disease processes).<sup>10-13</sup> While  
53 strong evidence has accrued for some of these associations, particularly associations with  
54 Type 2 diabetes and CVD (and their related risk factors), other associations have remained  
55 inconsistent. For instance, wider arterioles have been associated with higher levels of blood  
56 glucose, total cholesterol, triglycerides and inflammatory markers, but not in all studies.<sup>10;12</sup>  
57 Similarly associations of venular width with blood pressure have also been inconclusive,<sup>10</sup>  
58 although recent evidence suggests increased width associated with hypertension.<sup>14</sup> Wider

59 venules also seem to be associated with diabetes, elevated glycosylated haemoglobin, lower  
60 levels of high density lipoprotein, inflammatory markers, smoking and obesity.<sup>10-12</sup>  
61 However, some inconsistencies in the presence or absence of these associations (perhaps  
62 due to uncertainty caused by sample size) remain.<sup>11;12</sup> Moreover, in comparison to studies  
63 examining vessel width, associations with vessel tortuosity have been little studied,<sup>15</sup>  
64 especially in relation to metabolic markers, and may provide further insight into  
65 vasculometry changes associated with cardiometabolic risk. Large population studies are  
66 needed to resolve these uncertainties, and to allow the comparative performance of width  
67 and tortuosity associations to be gauged. However, the assessment of retinal vessel  
68 morphometry from retinal images, even with computerized assistance, has so far been  
69 heavily reliant on subjective operator involvement, which is time consuming and open to  
70 measurement error,<sup>16</sup> limiting its use in large scale, preventative initiatives in a community  
71 setting. We have developed a fully automated system for examining retinal vessel size and  
72 tortuosity, which overcomes many of these difficulties.<sup>17-19</sup> We have used this system to  
73 examine the associations between cardiometabolic risk factors and retinal vascular  
74 characteristics in a large prospective population study of older British men and women, to  
75 confirm associations previously reported with vessel width, but to provide novel  
76 associations with measures of vessel tortuosity.

## 77 RESEARCH DESIGN AND METHODS

78 **Study Population:-** The European Prospective Investigation into Cancer (EPIC) study is a  
79 European based prospective cohort study designed to investigate the aetiology of major  
80 chronic diseases.<sup>20</sup> The UK component of the study, EPIC-Norfolk, recruited from general  
81 practices in and around the city of Norfolk, and examined 25,639 participants (99.7% white  
82 European) aged 40 to 79 at baseline, between 1993 and 1997 (response rate 33%).<sup>21;22</sup>  
83 Study participants had a detailed examination (including anthropometry, blood pressure,  
84 urine and venous blood sampling) and questionnaire assessment at entry (including  
85 information on pre-existing cardiovascular disease, type 2 diabetes and other medical  
86 conditions), and completed periodic questionnaires about their health (with a particular  
87 focus on dietary habits). Participants have been followed up over a 13-year period for  
88 morbidity and mortality. In addition to questionnaire data, participants were invited for  
89 further clinical examinations over this period, including repeat anthropometric assessment,  
90 venous blood sampling, retinal imaging, and physiological measures.<sup>22</sup>

91

92 **Third Follow-Up:** Between 2004 and 2011, 8623 participants provided updated information  
93 on medical history and lifestyle behaviour.<sup>22</sup> Weight and height, were measured with  
94 participants in light clothing without shoes. Weight was measured to the last 0.1 kg using  
95 regularly calibrated digital scales (Tanita TBF-300, Tanita UK Ltd, Middlesex, UK), and height  
96 to the last complete 0.1 cm using a stadiometer (Chasmors, UK). Body mass index (BMI) was  
97 calculated as weight / height squared in kg/m<sup>2</sup>. Seated blood pressure was measured twice  
98 using an automated blood pressure monitor (Accutorr Plus<sup>TM</sup>, Datascope Patinet  
99 Monitoring, Huntington, UK); the mean of both measures was used. A non-fasting venous  
100 blood sample was collected; details of the analytic measures have been published

101 previously.<sup>22</sup> HbA1c was measured in whole blood using high performance liquid  
102 chromatography. Serum total cholesterol and HDL-cholesterol were measured using an  
103 auto-analyser (RA 1000 Technicon, Bayer Diagnostics, Basingstoke, UK). LDL-cholesterol was  
104 calculated using the Fredrickson–Friedewald equation.<sup>23</sup>

105

106 **Ocular Examination:** Ocular assessment included measurement of vision, visual acuity  
107 (LogMAR acuity) and closed field auto-refraction (Humphrey model 500, Humphrey  
108 Instruments, San Leandro, California, USA). Macular centred 45° digital fundus photographs  
109 were taken using a TRC-NW6S non-mydratic retinal camera and IMAGEnet Telemedicine  
110 System (Topcon Corporation, Tokyo, Japan) with a 10 megapixel Nikon D80 camera (Nikon  
111 Corporation, Tokyo, Japan) without pharmacological dilation of the pupil. Image processing  
112 was carried out using an automated computerised system (QUARTZ).<sup>17-19</sup> The automated  
113 system distinguishes between right and left eyes (by optic disc localisation), venules and  
114 arterioles, identifies vessel segments, out-puts centreline coordinates, and measures vessel  
115 width and angular change between vessel centreline coordinates, as well as providing  
116 further measures of tortuosity.<sup>17-19;24</sup> An ensemble classifier of bagged decision trees (with  
117 colour information) was used to classify vessels as being either venules or arterioles. Only  
118 vessels which were classified with 80% or more probability were retained, to balance the  
119 number of venules and arterioles detected, as well as maximise the number of vessels  
120 included for analyses.<sup>18</sup> The performance of the Arteriole/Venule (A/V) detection program  
121 was manually verified in a sub-set of images, and had detection rates of 84% for arterioles  
122 and 77% for venules, and corresponding false positive rates of 23% and 16% respectively.<sup>18</sup>  
123 An automated assessment of image quality was also made based on the segmented  
124 vasculature.<sup>18</sup> The system obtains thousands of measures of width and tortuosity from the



125 whole retinal image (dependent on image quality), not just concentric areas centred on the  
126 disc.<sup>10</sup> These measures were summarised using mean width in microns and tortuosity with  
127 arbitrary units, weighted by segment length, for arterioles and venules separately for each  
128 image. In the case of multiple images per person, an automated algorithm developed to  
129 assess image quality allowed the best right eye and best left eye images to be selected for  
130 analyses. A previously validated tortuosity measure which shows good agreement with  
131 subjective assessment of vessel tortuosity, based on the mean change in chord length  
132 between successive divisions of the vessel was used.<sup>24</sup> System performance has been  
133 outlined in detail and validated previously, and allows automated batch processing of  
134 images from large population based studies.<sup>17-19</sup> A model eye was used to quantify the  
135 magnification characteristics of the telecentric fundus camera used (Topcon TRC-NW6S),  
136 allowing pixel dimensions of vessel width to be converted to real size.<sup>25</sup>

137

138 **Ethics, Governance and Consent:** The EPIC-Norfolk Eye Study was carried out following the  
139 principles of the Declaration of Helsinki and the Research Governance Framework for Health  
140 and Social Care. The study was approved by the Norfolk Local Research Ethics Committee  
141 (05/Q0101/191) and East Norfolk and Waveney NHS Research Governance Committee  
142 (2005EC07L). All participants gave written, informed consent.

143

144 **Statistical Analysis:** Statistical analyses were carried out using STATA software (version 13,  
145 StataCorp LP, College Station, TX). Segment wise weighted mean widths and tortuosity  
146 were used, to provide a measure for venules and arterioles separately, for each eye.  
147 Histograms of retinal vessel widths showed normal distributions, while measures of  
148 tortuosity were positively skewed and log-transformed. Multilevel linear regression models

149 adjusting for age and sex were used to examine associations of cardiometabolic risk factors  
150 and prevalent disease status to retinal vessel morphometry outcomes, allowing for repeated  
151 measures of vessel indices within the same person. Regression models provided mean  
152 differences in width and percentage differences in tortuosity for venules and arterioles  
153 separately, per decade in age, females versus males, current smokers and former smokers  
154 versus never-smokers, or per unit increase in cardiometabolic risk factor (per 5 kg/m<sup>2</sup>  
155 increase in BMI, per 10 mmHg in systolic and diastolic blood pressure, per mmol/L increase  
156 in total cholesterol, high density lipoprotein, triglyceride, and per percentage rise in HbA1c).  
157 For disease outcomes, differences in vessel indices were obtained comparing those with  
158 prevalent disease present (including type 2 diabetes, MI, stroke, and known / treated  
159 hypertension) versus absent. Differences in associations between men and women were  
160 formally examined by inclusion of an interaction term between the risk factor and sex into  
161 the regression model. Risk factors found to be statistically significantly related to vascular  
162 measures at the 5% level were subsequently included in mutually adjusted models. We also  
163 examined associations after exclusion of participants with prevalent disease outcomes.

164 **RESULTS**

165 Of 18,380 individuals invited to participate in this phase of the study, 8,623 (47%) took part  
166 (mean age 67.6 years, 57% female). Supplemental Figure 1 shows a flow diagram of the  
167 numbers participating in the study. Fundus imaging and refractive assessment were carried  
168 out in 7411 individuals, of whom 5,957 participants (80%) had at least one image of  
169 sufficient quality and classified vessels as arterioles or venules with a probability set at 80%  
170 detection. It was not possible to obtain useful data from the remainder as images were  
171 miscentred, defocussed, or were obstructed by lashes and/or media opacities. A small  
172 number had missing data for height, weight or blood pressure (n=10), leaving 5947  
173 participants with measures of vessel width and tortuosity for 565,593 vessel segments  
174 (279,802,arterioles, 285,791 venules) from 10,474 images; blood sample data were available  
175 for 5514. Participant characteristics of EPIC participants at baseline, and those who took  
176 part in the third health examination with and without useable fundus images have been  
177 described previously.<sup>26</sup> Those attending the 3<sup>rd</sup> Health Check (3HC) were younger at  
178 baseline, of higher BMI and socioeconomic status, and were less likely to be a current  
179 smoker compared to participants not followed-up.<sup>26</sup> Participant characteristics of EPIC  
180 participants who took part in the third health examination, and who were included in the  
181 analyses compared with those who were not (5,947 versus 2,676 participants) are  
182 summarised in Table 1. Other than those included being slightly younger (mean age 68  
183 years vs 71 years), there was no clear evidence of a systematic difference in 3HC participant  
184 characteristics. Retinal vessel morphometry in those with useable fundus images are also  
185 summarised for arterioles and venules separately. Histograms of arteriolar and venular  
186 width and tortuosity measures (with and without log transformation) are shown in

187 Supplemental Figure 2, and shows appreciable variation in these measures within this study  
188 population.

189

190 Differences in retinal vessel width in microns, and percentage differences in tortuosity by

191 Type 2 diabetes and CVD risk factors and outcomes are shown by vessel type in Table 2.

192 Arterioles were inversely associated and more tortuous with older age (0.8 $\mu$ m, 95%CI 0.6,

193 1.0 $\mu$ m and 5.4%, 95%CI 3.8, 7.1% per decade respectively). Wider venules were observed

194 with older age (mean difference 2.6 $\mu$ m, 95%CI 2.2,2.9 $\mu$ m per decade), and amongst current

195 smokers compared to never smokers (3.0 $\mu$ m, 95%CI 1.7, 4.3 $\mu$ m). Narrower arterioles

196 (0.5 $\mu$ m, 95%CI 0.2,0.8) and more tortuous arterioles and venules were strongly associated

197 with being female compared to male (3.8%, 95% CI 1.4, 6.4%; 2.2%, 95% CI 0.7, 3.6%

198 respectively).

199 *Retinal vasculometry associations with metabolic risk factors:-*

200 Venular width was positively associated with Type 2 diabetes risk factors, including higher

201 BMI (0.7 $\mu$ m, 95%CI 0.4, 1.0 $\mu$ m per 5 kg/m<sup>2</sup>), and HbA1c (0.4 $\mu$ m, 95%CI -0.1, 0.9 $\mu$ m per %).

202 Wider venules were also positively associated with elevated levels of triglycerides (0.6 $\mu$ m,

203 95%CI 0.3, 0.9 $\mu$ m per mmol/L). Venular tortuosity was also positively associated with Type

204 2 diabetes risk factors, as well as prevalent Type 2 diabetes. Venules were 2.5% more

205 tortuous (95% CI 1.7, 3.3%) per 5 kg/m<sup>2</sup> increase in BMI, 2.2% more tortuous (95% CI 1.0,

206 3.5%) per percentage rise in HbA1c, and 6.5% more tortuous (95% CI 2.8, 10.4%) amongst

207 those with Type 2 diabetes compared to those without.

208 *Retinal vasculometry associations with cardiovascular risk factors:-*

209 Arteriolar widths were inversely associated with age, systolic (0.5 $\mu$ m 95%CI 0.4, 0.6 $\mu$ m per

210 10mmHg rise) and diastolic blood pressure (1.0 $\mu$ m, 95%CI 0.9, 1.2 $\mu$ m per 10mmHg rise).

211 Arteriolar tortuosity was also positively associated with systolic blood pressure (1.2%, 95%  
212 CI 0.5, 1.9% per 10mmHg respectively). Arteriolar width was inversely associated with total  
213 cholesterol (0.2 $\mu$ m, 95%CI 0.0, 0.3 $\mu$ m per mmol/L) and HDL (1.2 $\mu$ m, 95%CI 0.7, 1.6 $\mu$ m per  
214 mmol/L). Narrower venules and decreased venular tortuosity were associated with HDL  
215 cholesterol (1.4 $\mu$ m, 95%CI 0.7, 2.1 $\mu$ m, 1.8%, 95%CI -0.1, 3.7% less tortuosity per mmol/L).  
216 No associations were observed with prevalent MI, but there was a suggestion of increased  
217 arteriolar tortuosity with prevalent stroke (8.3%, 95%CI -0.6, 18%). Arterioles were  
218 narrower and more tortuous with increasing age; venular width increased with age. Both  
219 vessel types were wider amongst smokers compared with lifelong never smokers. Figure 1  
220 shows the associations between retinal vessel indices and Type 2 diabetes and CVD risk  
221 factors by quintile; statistically significant associations appeared to be graded. These  
222 associations remained after exclusion of those with prevalent disease, including MI, stroke,  
223 and diabetes (n=466).

#### 224 *Sensitivity and multiple variable analyses:-*

225 Sensitivity analyses examined the differences in vessel width and tortuosity associated with  
226 cardiometabolic risk factors, excluding those with clinical diabetes / cardiovascular disease,  
227 and those with known / treated hypertension (data available on request). Metabolic  
228 associations with venular width and tortuosity persist after exclusion of those with clinical  
229 diabetes, and arteriolar width associations with vascular risk factors (particularly blood  
230 pressure) remain after excluding those with cardiovascular disease and hypertension.

231 Retinal vessel associations were similar in males and females (tests for interaction  $P>0.05$ ),  
232 except for HDL, for which opposing associations with arteriolar tortuosity were apparent.

233 Per mmol/L higher HDL, arteriolar tortuosity was 5.8% (95% CI 0.1, 11.8%) higher in men,  
234 but 4.0% (95% CI 0.0, 7.8%) lower in women (test for interaction  $p=0.006$ ).

235 The mutual independence of these risk factor associations was also examined. Mutually  
236 adjusted risk factor associations are presented in Supplemental Table 1. Risk factors that  
237 were statistically significantly associated with retinal vasculometry in Table 2 were included  
238 in multiple variable regression models. Associations with both arteriolar morphometry  
239 measures and cardiometabolic risk factors remained remarkably stable. Consistent  
240 associations were observed between arteriolar width and age, current smoking status,  
241 blood pressure and HDL cholesterol, but there was no evidence of an independent  
242 association with total cholesterol. Similarly strong associations remained for arteriolar  
243 tortuosity with age, sex and blood pressure. Associations from mutually adjusted models  
244 for venular measures were also remarkably similar to the associations presented in Table 2.  
245 Venular width associations with age, current smoking, BMI and diastolic blood pressure  
246 were relatively unchanged, but associations with HDL cholesterol and triglycerides were  
247 attenuated towards the null. Further investigation showed that associations with lipids  
248 were primarily confounded by BMI. Venular tortuosity associations with sex and BMI were  
249 relatively unchanged. However, the association with HbA1c was attenuated (1.3%, 95%CI  
250 0.0,2.6%, increase in venular tortuosity per % increase in HbA1c), and the association with  
251 systolic blood pressure was weakened by adjustment for BMI. Multilevel regression models  
252 adjusting for age, sex and blood pressure showed a stronger association with prevalent  
253 stroke than in Table 2, with 9.0% more tortuous arterioles amongst those who had suffered  
254 a stroke compare to those who had not (95%CI 0.1,18.8%,  $p < 0.001$ ), suggesting that the  
255 effect on arteriolar tortuosity is independent of systolic blood pressure. Increased venular  
256 tortuosity among those with prevalent diabetes was independent of sex, BMI and blood  
257 pressure (5.5%, 95%CI 1.4%,8.9%).

258 **DISCUSSION**

259 Our results are consistent with previously documented retinal vasculometry associations  
260 with Type 2 diabetes and CVD risk factors and outcomes,<sup>10-13</sup> but provide further insight  
261 where uncertainties over the presence or absence of associations exist. Moreover, novel  
262 associations with vessel tortuosity provide further evidence of vasculometry changes.  
263 Findings suggest that Type 2 diabetes risk factors and prevalent Type 2 diabetes are  
264 associated with the morphology of retinal venules, both in terms of width and tortuosity,  
265 while coronary risk factors have a greater influence on arteriolar width. These associations  
266 remain after exclusion of those with prevalent diabetes, cardiovascular disease, and with  
267 known / treated hypertension, suggesting that these vessel changes may be indicative of  
268 preclinical phases of disease.

269

270 While retinal signs of diabetic eye disease are well described,<sup>27</sup> there have been some  
271 uncertainties about the association between diabetes, particularly risk factors for Type 2  
272 diabetes, and retinal vessel morphometry, with inconsistencies between cross-sectional and  
273 longitudinal findings.<sup>28</sup> However, a recent meta-analysis showed that wider venules, but not  
274 arterioles, were associated with diabetes;<sup>29</sup> consistent with cross-sectional observations  
275 suggesting that wider venules are associated with increasing levels of fasting glucose and  
276 HbA1c levels.<sup>28</sup> Findings from the present study are consistent with these risk factor  
277 observations, not only replicating the associations between increased venular width and  
278 glycosylated haemoglobin (although not formally statistically significant), but also showing  
279 coherent associations with other metabolic risk factors, including BMI, as well as novel  
280 associations with levels of triglyceride; associations which were absent with arteriolar width.  
281 The present study also showed that narrow venules were associated with increased HDL,

282 which when considered in relation to levels of triglyceride, might be considered as a further  
283 indicator of insulin resistance.<sup>30</sup> However, venular width associations with HDL and  
284 triglycerides were weakened after multivariable adjustment, and HDL-tortuosity  
285 associations differed in males and females. Reasons for these sex differences are unclear,  
286 but may relate to sex differences observed in retinal width-CHD associations, where  
287 associations are evident in women not men.<sup>13;31</sup> Moreover, this study was novel in showing  
288 consistent metabolic associations with retinal vessel tortuosity, whereby increased venular  
289 tortuosity was associated with Type 2 diabetes risk factors (including levels of BMI and  
290 HbA1c), in addition to showing a strong association with prevalent Type 2 diabetes. These  
291 associations persist after mutual adjustment, and exclusion of those with clinical diabetes,  
292 suggesting that these associations may be independent early markers of the disease  
293 process. Associations observed in this study appear to contrast with those observed with  
294 overt disease, whereby arteriolar (not venular) tortuosity has been related to the duration  
295 of diabetes.<sup>32</sup> Associations with Type 2 diabetes risk markers (including levels of BMI and  
296 HbA1c), as well as other cardiovascular risk factors (systolic blood pressure and blood  
297 cholesterol) were not observed amongst this diseased group.<sup>32</sup> This may suggest  
298 differences in retinal vessel morphometry associations between disease development and  
299 overt disease.

300

301 Cross sectional and longitudinal associations between retinal vasculometry and CVD  
302 outcomes have been studied, including coronary heart disease (CHD), stroke and  
303 cardiovascular mortality.<sup>13;33-35</sup> However, more recent evidence from prospective studies  
304 has raised some inconsistencies. In particular, retinal vessel calibre changes are only



305 associated with CHD events in women not men,<sup>13;31</sup> and in some studies vessel width  
306 associations with stroke appear only apparent in venules, which appears to contradict the  
307 perceived disease process.<sup>36</sup> In the present study, we observed no association between  
308 retinal vascular width measures and prevalent CHD, although there was the suggestion of a  
309 positive association between arteriolar tortuosity and prevalent stroke, which was stronger  
310 after adjustment for age, sex and blood pressure. An association between narrower  
311 arterioles and high blood pressure has been well documented.<sup>10;11;14;37</sup> The present study  
312 confirms these findings, showing decreased arteriolar width associated with both increased  
313 systolic and diastolic blood pressure.

314 Evidence examining associations between venular width and blood pressure have been less  
315 consistent,<sup>10</sup> although a recent meta-analysis suggested increased width associated with  
316 hypertension.<sup>14</sup> Our study showed a small but statistically significant decrease in venular  
317 width with increasing diastolic blood pressure, which remained after multivariable  
318 adjustment, although the magnitude of association was less than the association observed  
319 with arterioles. This association was no longer statistically significant when those with  
320 prevalent cardiovascular disease and known / treated hypertension were excluded, but  
321 associations with systolic blood pressure remained. The observation of an association  
322 between vessel width and systolic blood pressure amongst non-hypertensives, strengthens  
323 the potential additional use of retinal vessel morphometry assessment in routine health  
324 checks. Of particular note were the different associations with vessel tortuosity, where  
325 increased arteriolar and venular tortuosity was associated with greater systolic blood  
326 pressure (but not diastolic blood pressure), while decreased venular tortuosity was  
327 associated with higher HDL. The apparent different direction of associations with these

328 cardiovascular risk factors are potentially consistent, and replicate findings observed in one  
329 other large population based study.<sup>15</sup>

330

331 By far the strongest associations observed were those with age and smoking, where per  
332 decade rise in age there was arteriolar narrowing and increased tortuosity, and with current  
333 smoking appreciable arteriolar and venular dilation. There was also the suggestion of  
334 smaller arterioles and markedly greater tortuosity (both arteriolar and venular) in females  
335 compared to males. However, sex differences in width were largely explained and  
336 differences in tortuosity partially explained by height (data not presented). While  
337 differences in CVD risk between males and females may have contributed to these  
338 associations, explanations for potential sex differences in retinal vessel morphometry  
339 remain uncertain. The effect of age was independent of blood pressure, as well as other  
340 cardiometabolic risk factors, but smaller compared to a body of literature suggesting a 2 to  
341 5µm decrease in arteriolar width per decade in age (although these later effect sizes were  
342 seen in relation to central retinal vessel equivalent sizes, which are 2-3 times larger as they  
343 are scaled-up from retinal measures taken within 0.5 to 1.5 disc diameters from the  
344 disc).<sup>10,38</sup> Nevertheless, these observations demonstrate the well-known association  
345 between narrower more tortuous arterioles and older age.<sup>39</sup> The vasodilatory effects of  
346 smoking have also been widely reported in venules, less so in arterioles.<sup>10</sup> Increased carbon  
347 monoxide levels amongst smokers may well provide a biological explanation for these  
348 findings.<sup>40</sup>

349

350 Computerised assessment of vessels from retinal images have so far been heavily reliant on  
351 operator involvement, which is subjective, open to measurement error and time  
352 consuming,<sup>16</sup> limiting its use in large population based studies. The EPIC Eye study is such a  
353 study, which is richly phenotyped, allowing examination of multiple CVD risk factors within  
354 the same cohort. Our fully automated system provides a rapid, detailed quantification of  
355 retinal vasculature in this population, for both arterioles and venules separately, since they  
356 show some opposing patterns of association with risk markers and disease states.<sup>41</sup> The  
357 system has been extensively validated, and was successful in obtaining vessel measures in 4  
358 out of five who underwent retinal imaging. It was not possible to obtain useful data from  
359 the remainder, as image quality was graded as insufficient (with the AV detection program  
360 unable to distinguish arterioles from venules), with images being decentred, defocussed, or  
361 obstructed by media opacities or lashes; an inevitable consequence of non-mydriasis,  
362 especially in this older age group. This did not appear to reflect a selection bias, as there  
363 was no evidence of a marked differences in other phenotypes between those with and  
364 without vessel measures. While those participating in the 3HC did appear to be select  
365 (being significantly younger, with higher BMI and of more privileged socioeconomic status  
366 compared to participants at baseline), this is unlikely to invalidate retinal vessel  
367 morphometry and cardiometabolic risk factor associations.<sup>42</sup>

368

369 Our image analysis system has improved performance or is similar to earlier approaches,<sup>43-46</sup>  
370 obtaining measures from the whole retinal image, not just concentric areas centred on the  
371 disc.<sup>10</sup> Earlier studies have considered effect sizes in relation to central retinal artery and  
372 central retinal vein equivalent (CRAE, CRVE).<sup>10</sup> It was not possible to directly compare  
373 measures with CRAE and CRVE, as the number of measures of width were considerably

374 more and located over the entire image. Reducing the measurement area, typically  
375 between 0.5 to 1.5 disc diameters, to provide these measures would result in a huge data  
376 reduction, which might exclude vessel changes occurring elsewhere in the retina.  
377 Moreover, poor agreement between different systems has been highlighted, making direct  
378 comparisons in retinal calibre measures between systems problematic.<sup>47</sup> Despite this we  
379 report similar effect sizes (e.g., the change in vessel width associated with smoking) in  
380 relation to a narrower mean width indicative of a far greater measurement area. Vessel  
381 density is not uniform across the retina.<sup>48</sup> Supplemental Figure 3 shows the extent of vessel  
382 measures in a typical image. While the measures are not constrained to concentric areas  
383 close to the disc, as used in comparable systems,<sup>47</sup> this was not perceived as a weakness  
384 given that our system is fully automated and does not allow for measurement areas to be  
385 selected. Moreover, consistent inclusivity of measures across the whole image was  
386 observed in all images that were automatically selected as being of sufficient quality for  
387 inclusion, limiting any potential selection effects.<sup>19</sup> Our approach is further supported by  
388 the first paper examining use of artificial intelligence (AI) in detecting cardiovascular  
389 disease, which appears to show that retinal vessels over their entire length are key areas of  
390 interest in estimating cardiovascular risk factors, such as age, blood pressure and HbA1c.<sup>49</sup>  
391 While it is difficult at present to get precise information on how AI algorithms arrive at  
392 decisions, these findings suggest that retinal vasculometry studies, such as ours, are key to  
393 understanding processes associated with cardiometabolic disease.

394

395 We have condensed these measures to provide an overall summary of mean width, but it is  
396 possible that relative changes in vessel indices over time and perhaps variations in measures  
397 along the length of a vessel may be stronger predictors of vascular health than absolute size,

398 although this remains to be established. The presence of differential retinal vasculometry  
399 associations with cardiometabolic risk factors underline the importance of making separate  
400 arteriolar and venular width and tortuosity measures, calling into question the validity of  
401 arteriolar / venular ratio measures for cardiovascular risk profiling.

402

403 The modest vasculometry association with prevalent stroke and the absence of associations  
404 with prevalent MI does not necessarily mean that retinal vasculometry measures are  
405 unlikely to have a role in CVD risk prediction. Prevalent cases are likely to be very different  
406 to premorbid incident cases, with established cases often receiving vasoactive medications,  
407 which might have a modifying effect on vascular morphometry. It is also possible that there  
408 was insufficient power to determine change in these dichotomous outcomes, given the  
409 small number of prevalent events within this study population. However, retinal vessel  
410 associations with Type 2 diabetes risk markers and diabetes mellitus were observed, even  
411 after exclusion of those with prevalent outcome, suggesting that pre-clinical vasculometry  
412 changes are apparent. This is commensurate with recent longitudinal evidence, raising the  
413 possibility that retinal vasculometry may have a role in risk prediction),<sup>50</sup> as well as  
414 surveillance and disease management. Power to determine change in continuous outcomes  
415 was greater, replicating previous observations and yielding a number of novel associations,  
416 particularly those with vessel tortuosity, as well as metabolic markers. However, given the  
417 cross-sectional nature of data collection, these associations between cardiometabolic risk  
418 factors and retinal vessel abnormalities do not of themselves allow the potential role of  
419 retinal vessel quantification in disease risk prediction to be formally ascertained; future  
420 follow-up of this and other large cohorts with high quality retinal imaging data will allow this  
421 issue to be investigated.

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## CONTRIBUTION STATEMENT

All Authors contributed to this manuscript. CGO,ARR,SAB,DPS,PHW,PJF designed the present study and raised funding; RL,SAH,NJW,KTK,PJF for the EPIC Eye study.

RL,SAH,SAB,RAW,ARR collected data for the study and undertook data management.

RAW,SAB,ARR analysed the data. CGO wrote the first draft of the report, to which all authors contributed. CGO is responsible for data integrity and will act as guarantor.

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## FIGURE LEGENDS

Figure 1: Adjusted mean vessel width and tortuosity by quintiles of cardiovascular and Type 2 diabetes risk factors, for venues and arterioles. Adjusted means (solid square symbols), 95% CIs (error bars), regression lines (solid line) and associated 95% CIs (dashed lines) are from a multilevel model allowing for age, sex and repeated measure of vessel indices within person.

Supplemental Figure 1: Flow diagram of participant recruitment for different phases of the European Prospective Investigation of Cancer in Norfolk study, and in particular the third follow-up which included an eye examination.

Supplemental Figure 2: Histogram of arteriolar and venular width and tortuosity measures (including with and without log transformation for tortuosity measures).

Supplemental Figure 3: Automated arteriolar (red) and venular (blue) width measures recorded in one EPIC Eye image.

**Table 1.** Participant characteristics of EPIC participants who took part in the 3<sup>rd</sup> health check with and without useable fundus images (5947 versus 2676 participants)

Characteristic	Third Health Examination	
	Included in the analyses	Excluded from the analyses
Number	5947	2676
Age (SD) years	67.6 (7.6)	71.3 (8.6)
Gender n (% Female)	3,393 (57)	1,365 (51)
Current smokers n (%)	267 (4.5)	107 (4.0)
Former smoker n (%)	2,628 (44)	1284 (48)
Height (cm)	166.4 (9.1)	166.2 (9.2)
Weight (Kg)	74.4 (14.3)	74.6 (14.0)
BMI (Kg/m <sup>2</sup> )	26.8 (4.3)	27.0 (4.2)
Systolic blood pressure (mmHg)	135.7 (16.6)	137.3 (16.8)
Diastolic blood pressure (mmHg)	78.4 (9.2)	77.9 (9.6)
Total cholesterol (mmol/L)	5.4 (1.1)	5.3 (1.1)
LDL cholesterol (mmol/L)	3.2 (1.0)	3.1 (1.0)
HDL cholesterol (mmol/L)	1.5 (0.4)	1.5 (0.4)
Triglycerides (mmol/L)	1.7 (0.9)	1.6 (0.9)
HbA1c (%)	5.8 (0.6)	5.9 (0.7)
HbA1c (mmol/mol)	40	41
Prevalent MI n (%)	187 (3.1)	106 (4.0)
Prevalent stroke n (%)	118 (2.0)	67 (2.5)
Prevalent Type 2 diabetes n (%)	237 (4.0)	156 (5.8)
Mean axial length (SD) mm	23.6 (1.2)	23.5 (1.2)
Mean best vision sphere (SD) dioptries	0.2 (2.2)	0.2 (2.3)
Mean arteriolar width (SD) microns	74.8 (6.9)	-
Mean venular width (SD) microns	88.4 (11.3)	-
Arteriolar tortuosity x 1000*	4.2 (1.6)	-
Venular tortuosity x1000*	3.3 (1.3)	-

Mean (SD) or n (%) as indicated.

\* Geometric mean (SD)

For participants included in the analyses extent of missing data is as follows:-

Cholesterol missing data for 429 participants

LDL Cholesterol missing data for 511 participants

HDL Cholesterol missing data for 428 participants

Triglycerides missing data for 429 participants

HbA1c missing data for 498 participants

**Table 2.** Difference in vessel width ( $\mu\text{m}$ ) and tortuosity (%) associated with Type 2 diabetes and CVD risk factors and outcomes for individual factors in multivariable regression model age and sex adjusted

Risk marker	Difference in arteriolar width (95% CI) $\mu\text{m}$	P-value	Difference in venular width (95% CI) $\mu\text{m}$	P-value	Difference in arteriolar tortuosity (95% CI) %	P-value	Difference in venular tortuosity (95% CI) %	P-value
Per decade in age	-0.79 (-1.00, -0.58)	<0.001	2.56 (2.20, 2.91)	<0.001	5.44 (3.80, 7.11)	<0.001	-0.23 (-1.15, 0.69)	0.619
Female vs male	-0.51 (-0.83, -0.19)	0.002	-0.32 (-0.86, 0.22)	0.245	3.83 (1.37, 6.35)	0.002	2.16 (0.74, 3.60)	0.003
Current vs never smoked	2.13 (1.34, 2.91)	<0.001	3.03 (1.71, 4.34)	<0.001	-2.70 (-8.22, 3.16)	0.360	1.66 (-1.75, 5.18)	0.345
Former vs never smoked	0.11 (-0.23, 0.44)	0.522	0.31 (-0.25, 0.87)	0.275	-0.21 (-2.67, 2.31)	0.870	0.88 (-0.58, 2.36)	0.240
Per 5 kg/m <sup>2</sup> in BMI	0.15 (-0.03, 0.34)	0.098	0.72 (0.41, 1.03)	<0.001	-0.24 (-1.59, 1.13)	0.729	2.52 (1.71, 3.34)	<0.001
Per 10mmHg in SBP	-0.50 (-0.60, -0.41)	<0.001	-0.06 (-0.23, 0.10)	0.458	1.20 (0.47, 1.94)	0.001	0.45 (0.02, 0.88)	0.039
Per 10mmHg in DBP	-1.04 (-1.22, -0.87)	<0.001	-0.32 (-0.61, -0.02)	0.035	0.75 (-0.56, 2.07)	0.263	-0.55 (-1.30, 0.21)	0.157
Per 1mmol/L in TC	-0.18 (-0.33, -0.02)	0.024	-0.16 (-0.41, 0.10)	0.233	0.42 (-0.72, 1.58)	0.472	-0.52 (-1.18, 0.15)	0.131
Per 1mmol/L in LDL	-0.09 (-0.26, 0.08)	0.313	-0.24 (-0.53, 0.05)	0.108	0.60 (-0.69, 1.90)	0.362	-0.39 (-1.14, 0.36)	0.310
Per 1mmol/L in HDL	-1.18 (-1.62, -0.74)	<0.001	-1.42 (-2.16, -0.69)	<0.001	-0.61 (-3.82, 2.70)	0.714	-1.83 (-3.70, 0.07)	0.059
Per 1mmol/L in Triglycerides	0.06 (-0.12, 0.23)	0.524	0.57 (0.27, 0.86)	<0.001	0.29 (-1.01, 1.62)	0.661	-0.18 (-0.94, 0.59)	0.647
Per % in HbA1c per	0.22 (-0.08, 0.51)	0.148	0.41 (-0.07, 0.90)	0.097	0.95 (-1.21, 3.15)	0.393	2.24 (0.96, 3.53)	0.001
Prevalent MI vs absent	0.66 (-0.27, 1.58)	0.165	1.20 (-0.35, 2.75)	0.129	4.36 (-2.57, 11.77)	0.224	1.87 (-2.14, 6.05)	0.366
Prevalent Stroke vs absent	0.79 (-0.37, 1.95)	0.181	0.59 (-1.35, 2.53)	0.553	8.30 (-0.59, 17.99)	0.068	3.66 (-1.42, 9.01)	0.161
Prevalent DM vs absent	-0.08 (-0.90, 0.75)	0.857	0.48 (-0.90, 1.86)	0.494	1.64 (-4.38, 8.03)	0.602	6.53 (2.78, 10.41)	0.001

Number included n=5,942. Regression coefficients are from a multilevel model allowing for repeated images from the same person (random effect for person) and adjusting for age and sex as fixed effects. Prevalent MI, stroke, DM (Diabetes Mellitus); n=187, 118, 238 respectively

Cholesterol missing data for 429 participants

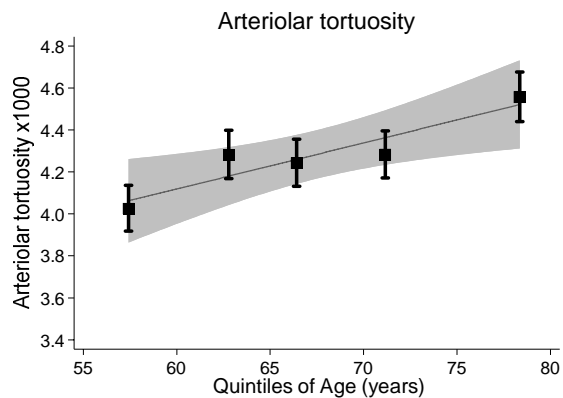
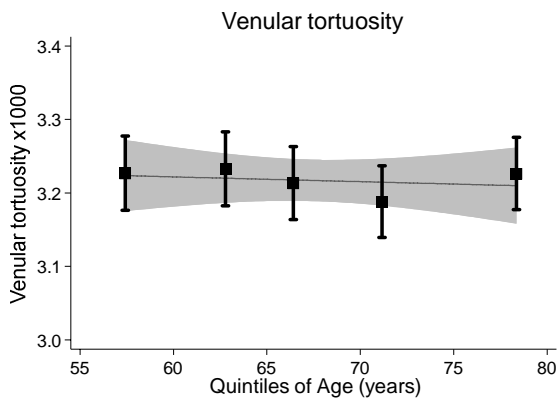
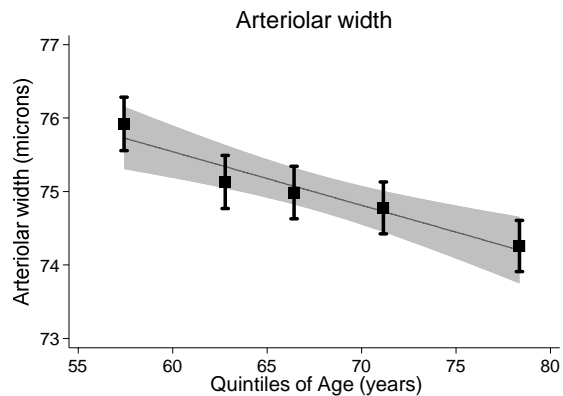
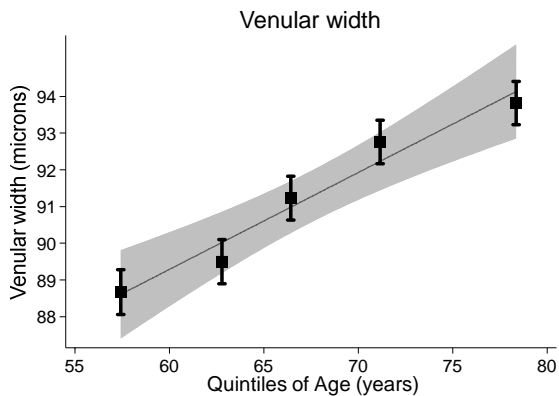
LDL Cholesterol missing data for 511 participants

HDL Cholesterol missing data for 428 participants

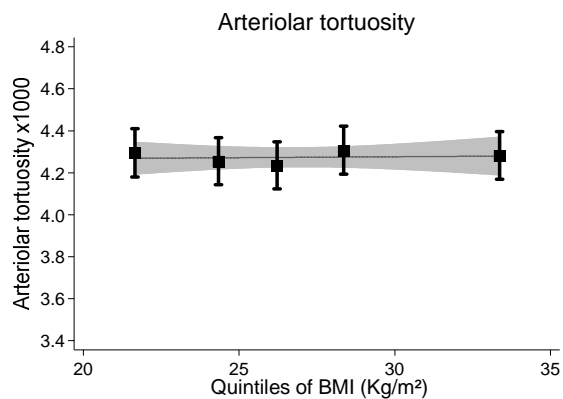
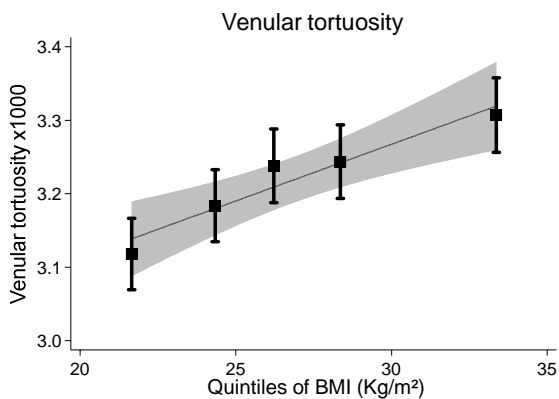
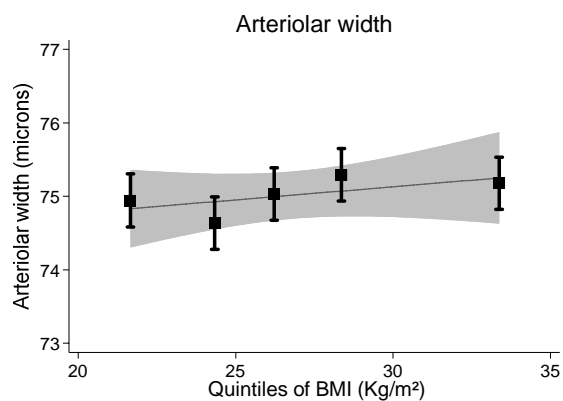
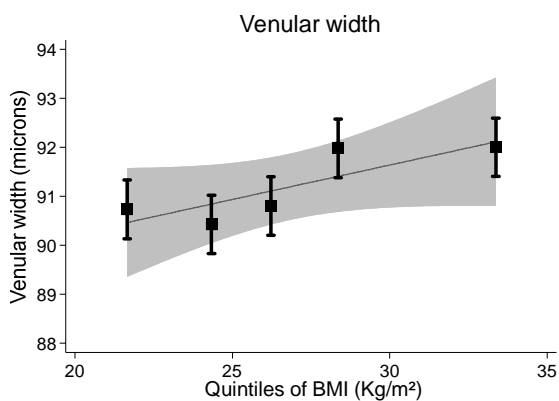
Triglycerides missing data for 429 participants

HbA1c missing data for 498 participants

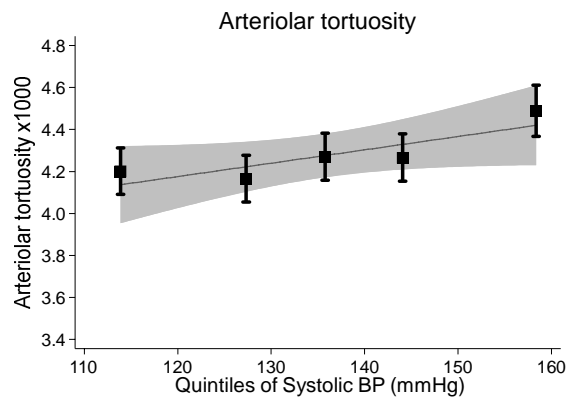
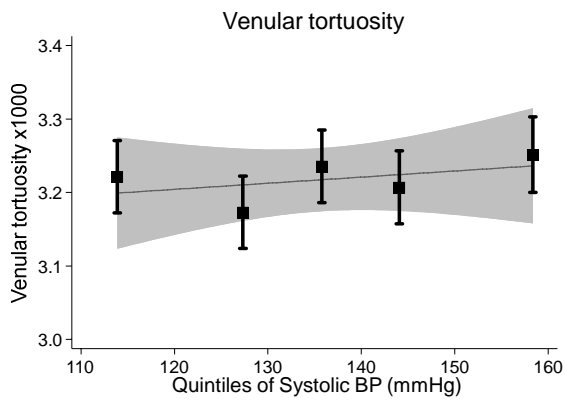
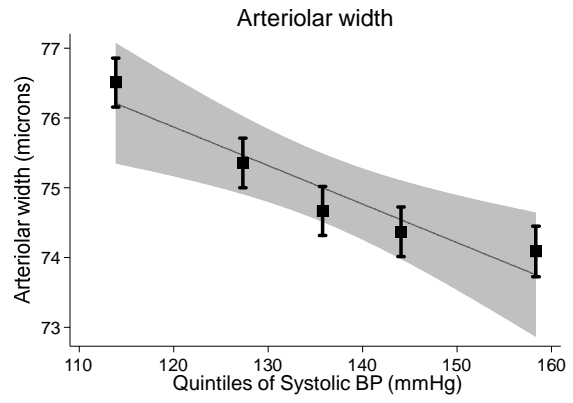
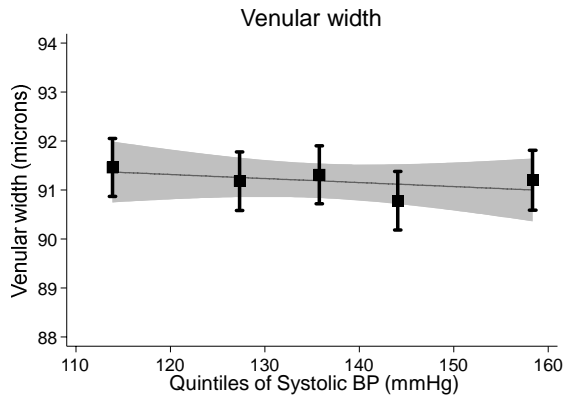
## AGE



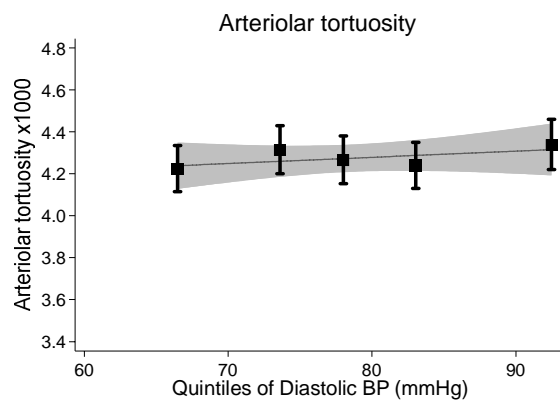
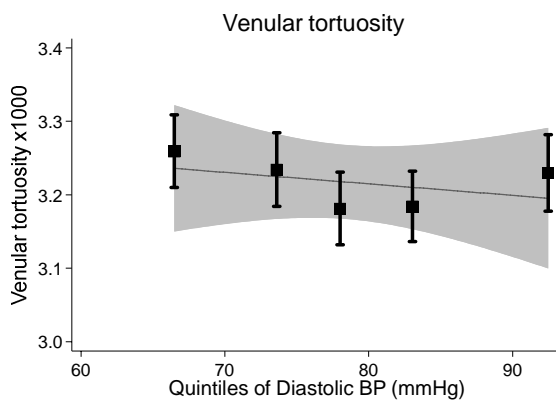
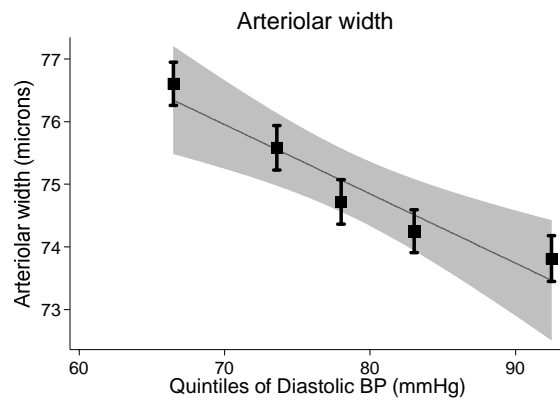
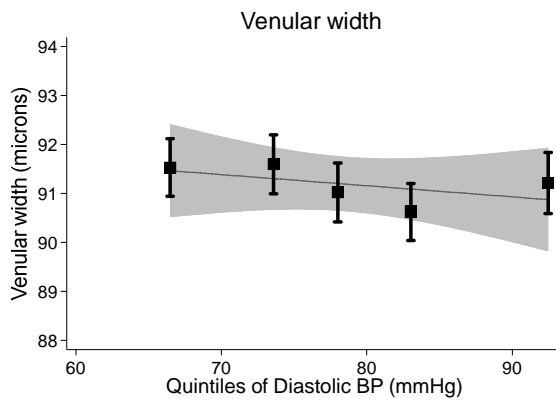
## BMI



## SYSTOLIC BLOOD PRESSURE

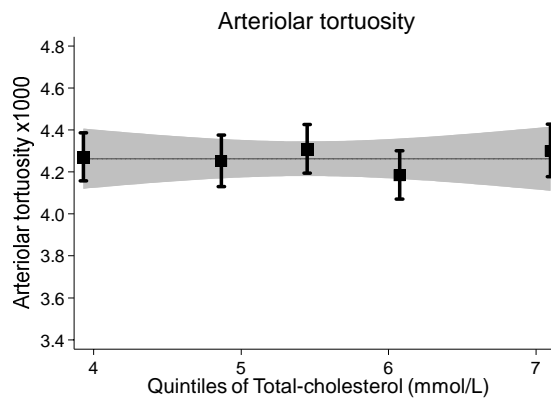
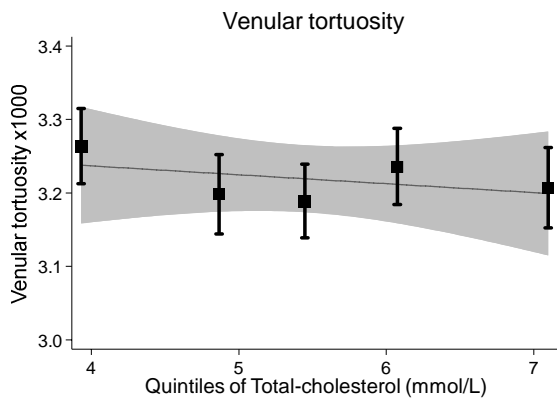
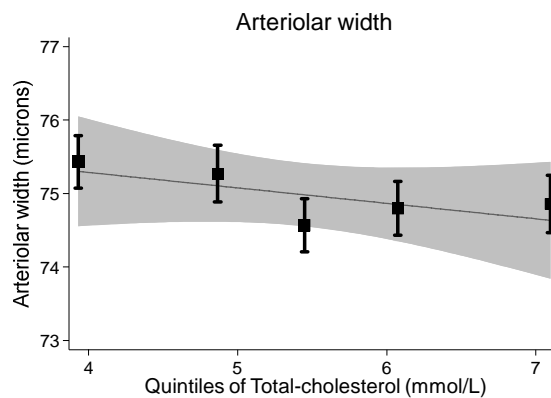
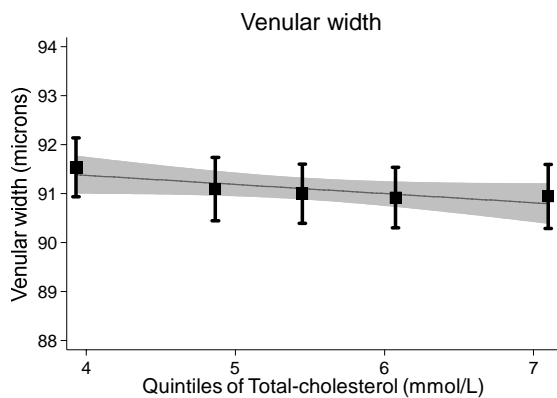


## DIASTOLIC BLOOD PRESSURE

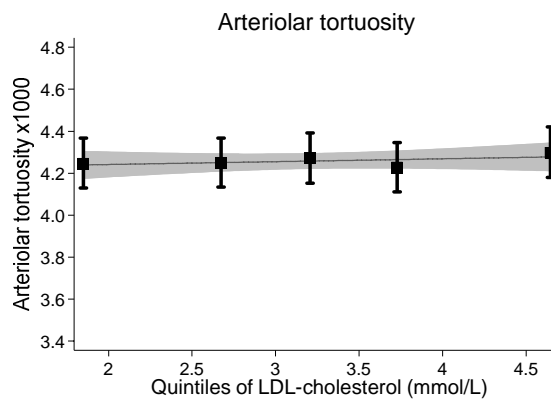
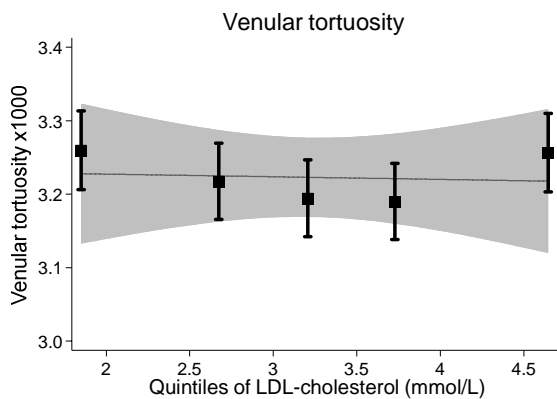
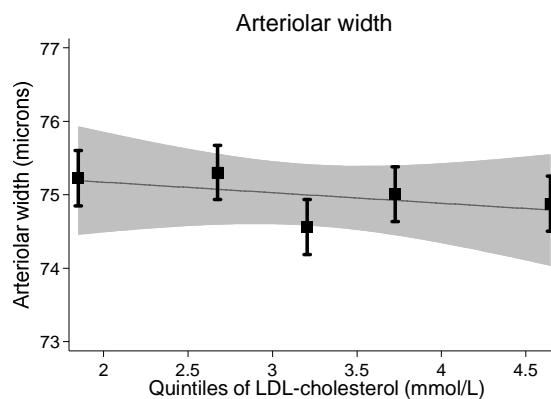
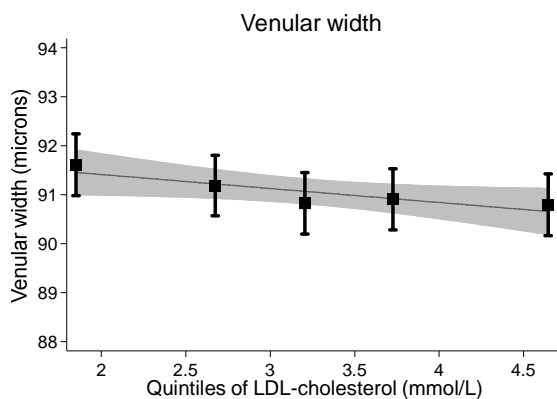




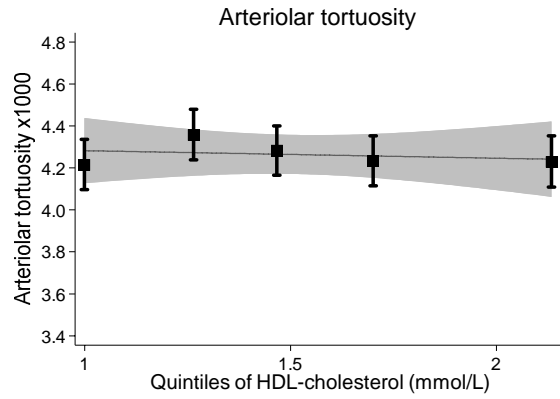
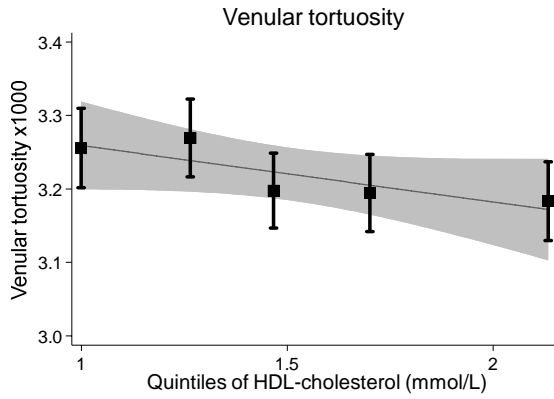
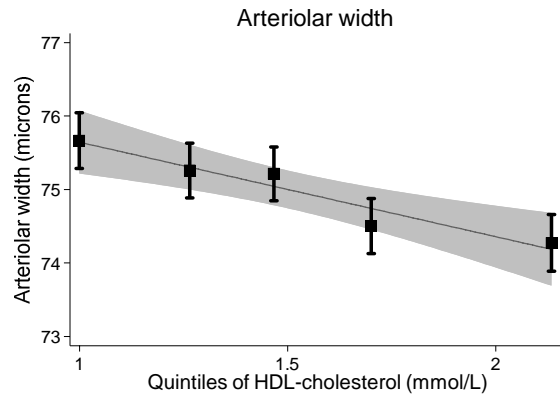
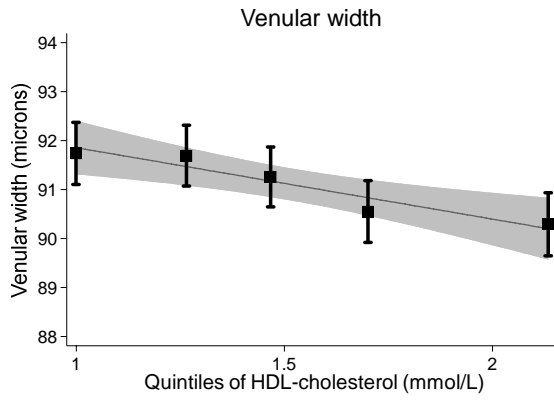
# TOTAL CHOLESTEROL



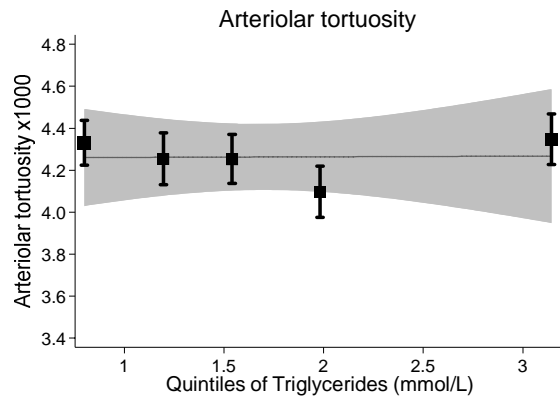
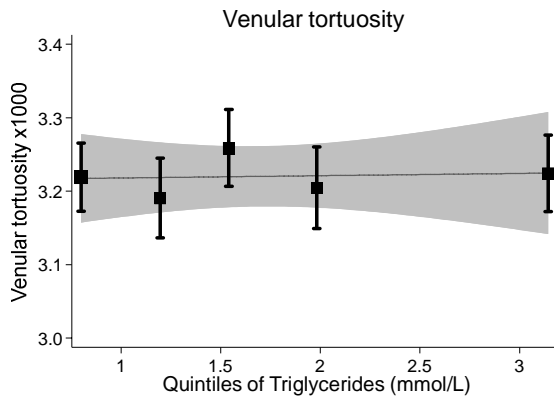
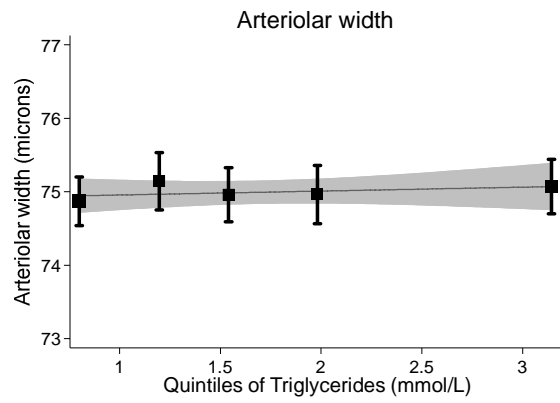
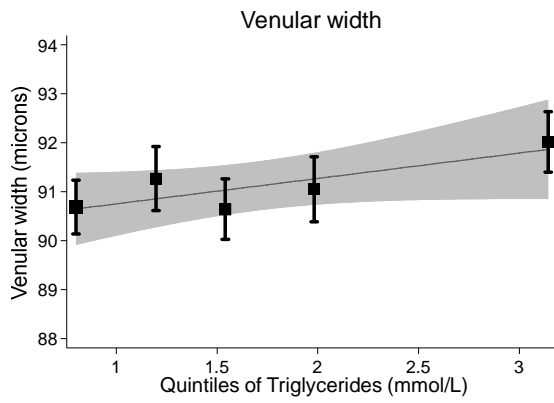
# LDL CHOLESTEROL



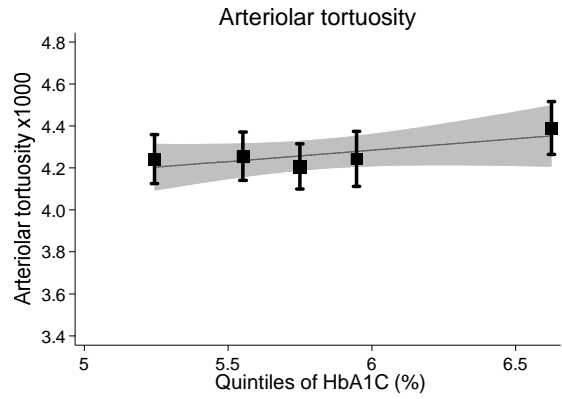
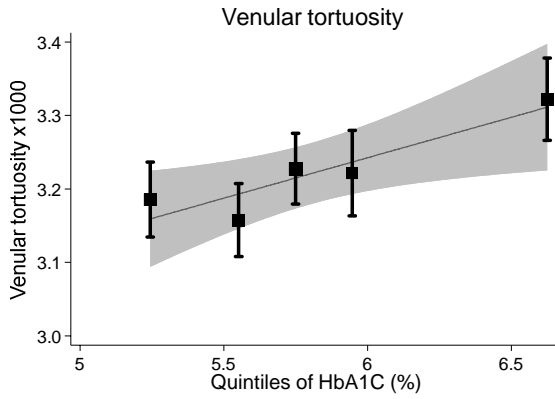
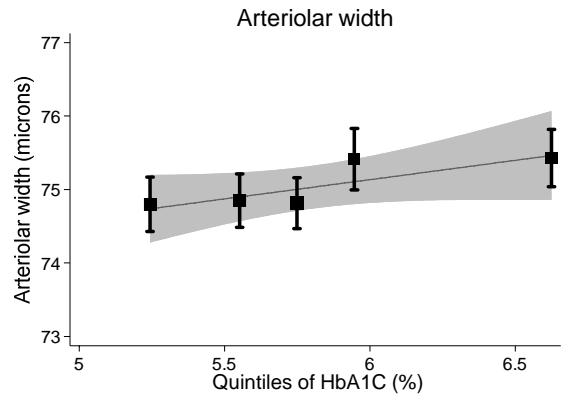
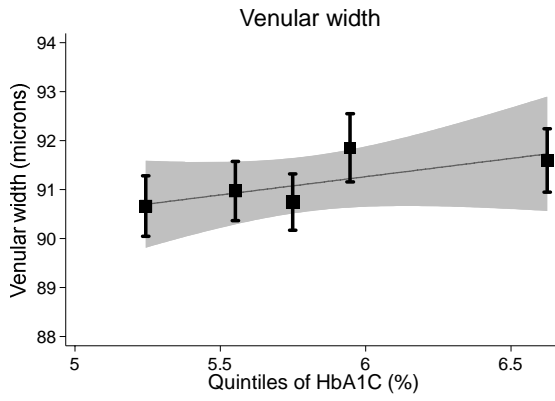
# HDL CHOLESTEROL



# TRIGLYCERIDE



## HbA1C



## HEIGHT

