

1 **Title: GENETIC THERAPIES FOR INHERITED NEUROMUSCULAR DISORDERS**

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27 FM has participated in scientific advisory board activities for Roche; Biogen, Avexis, PTC,
28 Sarepta Therapeutics, Santhera and Wave Pharma, and is also a member of the Pfizer rare
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33 study; and has served as an advisor to CureSMA (US), the SMA Foundation (US), SMA REACH
34 (UK) and SMA Europe.

35 EM serves on the scientific advisory boards for Roche, Biogen, Ionis, Avexis, Sarepta
36 Therapeutics and Santhera.

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49 **Summary:**

50 Inherited neuromuscular disorders encompass a broad group of genetic conditions caused
51 by a variety of genes whose discovery has expanded greatly in the past three decades. The
52 discovery of such genes has allowed for more precise diagnosis and the development of
53 specific therapeutic approaches based upon the genetic basis and pathways that contribute
54 to the pathophysiology of the disease. Research is starting to show concrete results, and the
55 outcome of recent translational research work treatments have begun to deliver the first
56 approved therapies, using genetic therapy. Two diseases for which the development of
57 personalized genetic therapy is already advanced are Duchenne muscular dystrophy (DMD)
58 and spinal muscular atrophy (SMA). In this article we aim to review recent trials and
59 therapies emerging in DMD, SMA and other less common childhood neuromuscular
60 disorders.

61

62 **Introduction**

63 Duchenne muscular dystrophy (DMD) and spinal muscular atrophy (SMA), are the most
64 frequent debilitating neuromuscular disorders affecting children. The understanding of the
65 genetic basis and the knowledge gathered on the disease specific complications has led in
66 the last 2 decades to dramatic improvement of the anticipatory care and survival for
67 affected children ⁽¹⁻⁴⁾ and, more recently, to the advent of experimental therapeutic
68 approaches.

69 Two broad strategies are being followed: the first one, initiated a decade ago, relates to the
70 correction of the mutant RNA processing. This strategy utilises either antisense
71 oligonucleotides (AONs) or small molecules that can modify mutant RNA splicing. ⁽⁵⁻¹⁶⁾ A
72 conceptually separate but related approach exploits drugs that alter the translation of
73 mutant mRNA by inducing a partial read-through of nonsense mutations. ⁽¹⁷⁾ More recently
74 the advances in adeno-associated virus (AAV) development have taken viral gene therapy
75 forward in SMA, in DMD and other rare neuromuscular diseases.

76 These novel therapies are rapidly changing the way these neuromuscular diseases are being
77 managed. While it will be many years before we have a fuller picture on the impact of these

78 interventions on the long term disease course, there is optimism that several of these
79 therapies are having clinically meaningful positive effects upon the natural history of these
80 diseases. It is timely for professionals to have a general review on the most significant
81 therapeutic advances for these disorders.

82

83 1. **Duchenne muscular dystrophy: from molecular genetics to genetic therapies.**

84 DMD is due to mutations in the *DMD* gene, located on the short arm of chromosome X,
85 encoding dystrophin, a protein located under the plasma membrane (sarcolemma) of
86 muscle fibres where it mainly serves a function of preserving structural integrity of muscle
87 fibres.

88 The most common mutations affecting DMD boys are out-of-frame deletions removing one
89 or more exons, found in approximately 65% of DMD boys. Out-of-frame duplications and
90 single nucleotide (non-sense) mutations are found in a proportion of patients between 10
91 and 15%, respectively, while the remaining mutations are splice site mutations or other
92 small insertions/deletions mutations. A milder allelic variant named Becker muscular
93 dystrophy (BMD), is also due to *DMD* mutations, often deletions that however are in-frame,
94 i.e. allow the production of an internally partially deleted protein which determines a milder
95 disease course.

96 **1.1 DMD: Antisense oligonucleotides (AONs)**

97 In the last decade experimental efforts have focused on the technology of splice switching
98 AON targeting DMD boys with out-of-frame deletions so that a BMD-like in frame deletion is
99 achieved [Figures 1 and 2]. AON are modified stretches of nucleic acids complementary to
100 the mRNA; two different chemical modifications have been used, the 2'-methoxyethyl
101 (2'OMe) modification, and the morpholino (PMO) chemistries. The AON strategy is
102 facilitated by the fact that there are common deletions in the *DMD* gene that could be "re-
103 framed" by skipping a single exon. As an example, skipping exon 51 restores the reading
104 frame of ~ 15% of all the boys with deletions. It has been suggested that by having 10 AONs
105 to skip 10 different exons it would be possible to deal with more than 70% of all DMD boys
106 with deletions. ⁽¹⁸⁾ Both AON chemistries have been used in DMD clinical trials, from proof
107 of concept single dose, local administration (intramuscular) studies, to larger repeated

108 doses studies in which both safety, clinical efficacy and efficiency in restoring dystrophin
109 protein production were measured. One drawback of the AON approach is the requirement
110 for regular administrations, weekly using a systemic route, as they only modify the way in
111 which pre-mRNA splicing occurs.

112 Both the 2'OMe and PMO chemistries demonstrated in early clinical trials the ability to
113 restore dystrophin production following a single intramuscular injection in DMD boys. ^(19, 20)
114 Both chemistries progressed their developmental pathways with increasing larger studies,
115 aimed at demonstrating the safety, feasibility and efficacy of the chronic systemic
116 administration.

117 The largest studies have been performed using the 2'OMe chemistry originally developed
118 by Prosensa, subsequently in partnership with GSK and with Biomarín, using a drug capable
119 of skipping exon 51 (drisapersen). The outcome of these studies was variable, with
120 randomised placebo controlled medium size studies demonstrating both clinical efficacy in
121 the first year of the administration, and the production of low levels of dystrophin in 59-72%
122 of patients ⁽²¹⁾, followed by a larger phase III study that failed to meet the clinical endpoint
123 (NCT02636686). ⁽²²⁾ There were also difficulties to demonstrate dystrophin restoration on
124 muscle biopsies in this latter study, mainly related to challenges in collecting and properly
125 storing muscle biopsies in the large multicentre study that highlighted the need to develop
126 robust standard operative procedures for collecting and shipping biopsies.

127 While the outcome of the phase III study was a significant disappointment, important
128 lessons were learned related to the optimal way to handle the muscle biopsies, and on
129 optimal inclusion criteria for the clinical trials. Indeed, the different outcomes of the studies
130 appears to be at least partly related to important differences between the inclusion criteria
131 of the successful phase II studies, and the unsuccessful phase III study, with more children
132 recruited into the latter trial being very close to the time of loss of ambulation and
133 presenting an overall more advanced stage of disease. As DMD is characterised by
134 progressive loss of muscle mass, and as AON can only induce the production of limited
135 dystrophin in the residual muscle fibres, the recruitment of children at very advanced stage
136 of disease in whom muscle has been replaced by fibro-adipose tissue, blunts the possibility
137 to detect a clinical response. Eventually the limited clinical efficacy but also concerns related
138 to the adverse event profile of the chronic s.c. administration of the 2'OMe AONs (renal and

139 coagulation adverse events, and severe local skin reactions), led FDA to reject a filing
140 application of Biomarin in 2016 on drisapersen. ⁽²³⁾ This was followed shortly after by the
141 cessation of the entire 2'OMe AON DMD program including AONs to skip exon 45, 44 and
142 53.

143 In a parallel effort, a PMO AON designed to skip exon 51 was developed in collaborative
144 efforts between the UK MDEX consortium led by one of the authors (FM) and the company
145 Sarepta Therapeutics (originally named AVI Biopharma). Similarly to what demonstrated for
146 the 2'OMe drisapersen, the PMO also induced dystrophin restoration after a single
147 intramuscular injection and the production of dystrophin in a follow-on i.v. phase IIa study
148 lasting 3 months (NCT00159250, NCT00844597). ^(24, 25) Subsequent longer duration studies
149 performed in the US by Dr Jerry Mendell demonstrated the production of dystrophin in
150 most of the patients receiving the morpholino AON (named Eteplirsen)⁽²⁶⁾, and a divergence
151 of the clinical course between the small number of children treated (12 children) and a
152 concomitant natural history study population (NCT01396239) ⁽²⁷⁾, in which patients had
153 identical inclusion criteria used in the clinical trial. These encouraging data led the FDA to
154 conditionally approve Eteplirsen in the US, while the evaluation in the EU is underway. This
155 approval was warmly welcomed by the patient community but also raised internal criticism
156 within the FDA due to the limited size of the treated patient population, the low amount of
157 dystrophin produced in the trial ⁽²⁷⁾ and the lack of a placebo controlled arm. Nevertheless
158 the agency concluded that there was plausibility of efficacy, and given the benign safety
159 profile of Eteplirsen (commercial name EXONDYS 51), recommended conditional approval,
160 pending the outcome of larger confirmatory studies. A larger randomised placebo
161 controlled study using morpholino AONs to target either exon 45 or exon 53 is currently
162 underway (NCT02500381). FM and other investigators from Europe (including EM and
163 investigators from Paris and Newcastle) have recently reported on the successful
164 restoration of dystrophin, the primary biochemical outcome, in a study funded by the
165 European Community and Sarepta, following the administration of a novel PMO AON
166 targeting exon 53 (NCT02310906) ⁽²⁸⁾. In parallel efforts, Sarepta has initiated a study to
167 assess the efficacy of Eteplirsen in young children (aged 6 months- 4 years, when there is
168 much better preserved skeletal muscle mass). (NCT03218995)

169 Following the conditional approval of Eteplirsen, efforts from a number of different players,
170 including Sarepta, and Wave Therapeutics, are now focused on the identification of next
171 generation AONs capable of inducing the production of higher levels of dystrophin, ideally
172 also in the heart as neither the 2'OMe nor the PMO AONs induce meaningful protein
173 expression in this organ. At the time of writing preliminary safety data were presented by
174 Sarepta regarding a next generation peptide conjugated morpholino (PPMO) AON ⁽²⁹⁾; and
175 Wave Therapeutics has announced the initiation of a clinical developmental programme of
176 AON to skip exon 51 using a novel stereochemical modification of AON with a 2'OMe
177 backbone. Both chemistries appear to have increased potency compared to the first
178 generation AON used so far. This next generation AON will start clinical trials in 2018.

179

180 **1.2 Approaches targeting nonsense mutations**

181 A different approach of mutation-specific therapies was used by PTC Therapeutics with a
182 drug (ataluren) targeting DMD nonsense mutations. These are found in approximately 10–
183 15% of patients with DMD and are responsible for creating a premature stop codon into the
184 dystrophin mRNA with subsequent inability to produce a functional protein. Ataluren should
185 allow the readthrough of the nonsense mutations and the partial restoration of full-length
186 functional dystrophin production. A phase IIa, open-label, dose-ranging (NCT00264888)
187 study in DMD patients with nonsense mutation, demonstrated a modest increase in
188 dystrophin expression after 28 days of treatment. Both a phase IIb, randomised, double-
189 blind, placebo-controlled trial (NCT00592553) and a subsequent confirmatory phase III trial
190 (ACT DMD) failed to achieve their primary endpoint after 48 weeks in patients receiving
191 ataluren (40 mg/kg/day) versus placebo but showed a slowing of disease progression
192 measured by 6-minute walk distance changes. This was most obvious in the subgroup of
193 patients (prespecified in the ACT DMD study) who were in the intermediate stages of
194 ambulatory decline, i.e. those with baseline 6MWD between 300 and 400 m. These results
195 were supported by the secondary outcome measures, including timed function tests and
196 functional scales. ⁽³⁰⁾ These studies also helped the DMD community to better appreciate
197 inclusion and stratification criteria in designing clinical trials for DMD. As ataluren was
198 generally well tolerated, the overall efficacy and safety profile led to a conditional approval
199 by EMA, while the drug is being considered by FDA.

200 **1.3 DMD: AAV gene therapy**

201 The sheer size of the DMD cDNA (14Kb) makes it very challenging to package its full coding
202 sequence into a viral vector such as the adeno-associated viral (AAV) vectors, many
203 serotypes of which target skeletal and cardiac muscle with high efficiency. The capacity for
204 an AAV transgene is ~4.5 kb, less than half of the *DMD* cDNA. Thus, shortened transgenes,
205 coding for partially functional minidystrophins containing essential domains of the
206 dystrophin protein have been generated. The principle of these transgenes is derived from
207 rare BMD deletions that remove large portion (~ 50%) of the protein and yet result in a
208 partially functional dystrophin and a relatively mild phenotype. Viral delivery to muscle is
209 associated with an immune response against the viral vector which precludes the possibility
210 to perform repeated administrations of the vector. It is therefore essential to develop
211 efficient strategies with a realistic perspective of producing a therapeutic benefit for the
212 affected individuals who would otherwise be vaccinated against the AAV for life without
213 realistic possibilities to receive subsequent AAV administrations. One of the challenges of
214 the field over the last few years has therefore been that of optimising the transgene for the
215 highly internally deleted dystrophin protein; to identify an optimal promoter that directs
216 dystrophin protein expression in muscle and heart; and to search for optimal AAV vectors
217 which can efficiently target skeletal and cardiac muscles following systemic administration.
218 Several studies have shown the potential of AAV8 and AAV9 to induce production of an
219 internally deleted dystrophin protein in most of the muscles of the dystrophic *mdx* mice and
220 of the dystrophic dog, with improvement of the histological parameters and of the clinical
221 symptoms after systemic delivery. ⁽³¹⁻³⁷⁾ Based on these encouraging results, several
222 academic groups and industrial partners (Jerry Mendell at Nationwide Children Hospital in
223 collaboration with Sarepta; Pfizer; Solid Bioscience; Genethon) are at the advanced planning
224 stage for phase I clinical trials in which escalating doses of either AAV8 or 9 will be
225 administered systemically to DMD boys. The primary outcome will be safety, but dystrophin
226 protein production and exploratory clinical efficacy will also be essential outcomes of these
227 studies. The first study to recruit a DMD boy for the AAV delivery was at Nationwide
228 Children hospital on the 4th of January 2018.

229 **1.4 CRISPR technology**

230 Amongst the novel strategies to correct dystrophin gene mutations a special mention is for
231 the RNA-guided, nuclease-mediated genome editing systems based on type 2 clustered
232 regularly interspaced short palindromic repeats (CRISPR) and CRISPR-associated proteins
233 (Cas). Several recent studies have applied this technology for editing the mutation in the
234 *mdx* dystrophic mice in vivo by use of adeno-associated viral vectors carrying CRISPR–Cas9
235 to edit specific regions of the dystrophin gene. These preclinical studies showed a
236 widespread expression of dystrophin after both local and systemic delivery, suggesting that
237 this approach has potential for the development of future therapies for DMD.⁽³⁸⁾ .
238 Nevertheless further studies aimed at containing the long term enzymatic activity and
239 potential for off-target effects are required before this technology could be considered for
240 safe following *in-vivo* therapy for DMD.

241

242 **2. Spinal muscular atrophy: from molecular genetics to genetic therapies.**

243 Spinal muscular atrophy (SMA) is a motor-neuron disease characterized by generalised
244 muscle atrophy and weakness. SMA is caused by the dysfunction and eventually death of a-
245 motor neurons in the spinal cord ventral horn secondary to deletions (95%) or other rare
246 mutations of the Survival Motor Neuron 1 gene (*SMN1*) on chromosome 5q13 which
247 encodes the survival of motor neuron (SMN) protein.⁽³⁹⁻⁴¹⁾ The estimated incidence is 1 in
248 10,000 live births, with a carrier frequency of 1/40-1/60 in Caucasian but lower in the
249 African population. The classification of SMA is based on the age of onset and maximum
250 motor abilities achieved, with a broad range of phenotypes from very weak infants unable
251 to sit (type 1), non-ambulant children able to sit (type 2), to ambulant children (type 3)^{(42,}
252 ⁴³⁾

253 Due to a large inverted duplication region located at chromosome 5q, 2 variants of the *SMN*
254 gene exist on each allele in humans: a telomeric (*SMN1*) and a centromeric variant (*SMN2*).
255 The coding sequence of *SMN2* differs from that of *SMN1* by a crucial exonic nucleotide
256 variation (840C > T), which does not alter the aminoacid sequence but results in alternative
257 splicing of exon 7. Due to this alternative splicing, *SMN2* genes produce a reduced amount
258 (~10%) of full length transcripts (SMN-fl) and protein, while the majority of the mRNA lacks
259 exon 7 which gives rise to a truncated and unstable protein.⁽⁴⁴⁾ The small proportion of full
260 length transcript derived from *SMN2* which includes exon 7 results in the production of low

261 levels of full length functional SMN that is sufficient to prevent lethality yet not enough
262 compensate for the loss of *SMN1*, resulting in motor neuron disease. All patients indeed
263 retain at least one copy of *SMN2*, generally 2-4. Less than 10% of SMA Type 1 patients retain
264 only one copy of the *SMN2* gene and almost invariably have a congenital onset and very
265 severe, early lethal course. The complete absence of MN protein is considered to be lethal
266 in the human. In general, the *SMN2* copy number—and thus the total amount of full-length
267 SMN—is inversely correlated with the severity of the disease. ^(45, 46)

268

269 **Antisense Oligonucleotides for the Treatment of Spinal Muscular Atrophy:**

270 A major focus of therapeutic developments has been on increasing the full-length SMN
271 protein. In particular, AONs designed to enhance exon 7 inclusion of *SMN2*, leading to
272 increased production of full-length SMN protein. (Figure3) These AONs are not capable of
273 crossing the blood-brain barrier, requiring repeated intrathecal administrations. ^(47, 48)

274 Early open label clinical trials of the AON Spinraza (also known as Isis 396443, SMN_{Rx} and
275 nusinersen), demonstrated a good safety profile and encouraging efficacy data both in type I
276 and type 2 SMA individuals. A phase II, open-label, dose escalation study of nusinersen in 20
277 infants with infantile-onset SMA showed progressive improvements in motor function and
278 prolonged survival when compared to natural-history data. ⁽⁴⁹⁾

279 A subsequent large randomised double blind controlled clinical trial (ENDEAR) in which
280 infants under 7 months of age with type I SMA received either Spinraza or sham procedure,
281 had two primary efficacy end points. The first was a motor-milestone response, assessed
282 using the Hammersmith Infant Neurological Examination (HINE-2). The second end point
283 was event-free survival, which was defined as the time to death or the use of permanent
284 assisted ventilation (tracheostomy or ventilatory support for ≥16 hours per day for >21
285 continuous days in the absence of an acute reversible event). In the interim analysis, a
286 significantly higher percentage of infants in the nusinersen group than in the control group
287 achieved new milestones.

288 Following the positive results of the interim analysis, the study was prematurely
289 interrupted, allowing to all participants to be rolled over into an open label study (SHINE,
290 NCT02594124), designed to assess the effects of longer treatment duration.

291 The positive interim results were confirmed at the final analysis, with 51% of the infants in
292 the nusinersen group and none in the sham group achieving new milestones. ⁽⁵⁰⁾ The risk of
293 death or the use of permanent assisted ventilation was 47% lower in the nusinersen group
294 than in the sham group.

295 Approximately half the infants in the nusinersen group who received permanent assisted
296 ventilation did so within 13 weeks after they received the first dose; this result indicates
297 that a minimum treatment time is required to see the full benefits of nusinersen. Infants
298 with disease duration at screening longer than the median duration of 13.1 weeks were
299 more likely to need permanent assisted ventilation than those with shorter disease duration
300 suggesting that early initiation of treatment may maximize its efficacy.

301 Similar results have been obtained in a parallel large randomised double blind controlled
302 clinical trial (CHERISH, NCT02292537) including children with late onset SMA (mainly type 2
303 SMA). The positive results from this study prompted a fast FDA and EMA approval. While
304 the drug is being evaluated for licensing, an Expanded Access Program (EAP) has been
305 initiated by Biogen, to allow patients with type I SMA to receive the drug until it becomes
306 available through commercial means.

307 Finally, an ongoing Phase II, open-label, single-arm study, Nurture (NCT02386553) is
308 evaluating the effect of nusinersen in 20 pre-symptomatic infants (most likely to develop
309 SMA Type I or II). At the interim analysis conducted in October 2017 out of the nine infants
310 who completed the day 365 assessment, none died or required respiratory intervention; all
311 achieved sitting, 5/9 crawling, 5/9 walking with assistance, 3/9 standing alone and 2/9
312 walking alone. These results confirm that early initiation of nusinersen provides larger
313 clinical benefits than in the more advanced symptomatic stage of SMA.

314

315 **Other *SMN2* splicing modifiers for the Treatment of Spinal Muscular Atrophy:**

316 Orally available small molecules have proved to be able to modify exon 7 splicing and
317 promote inclusion of exon 7 into *SMN2* mRNA, by interacting with proteins that are
318 themselves involved in the regulation of *SMN2* exon 7 splicing. Administration of these
319 compounds to mice models of severe SMA led to an increase in SMN protein levels,
320 improvement of motor function, and substantial prolongation of life span. ^(51, 52)

321 Ongoing studies using these small molecules are currently in phase I/II clinical trials for SMA.
322 (NCT02908685; NCT02913482; NCT03032172; NCT02268552)

323

324 **Viral Gene therapy for SMA**

325 As a monogenic disease affecting motorneurons, which are not mitotically active cells, SMA
326 is a good target for vector-based gene replacement therapy aimed at delivering a functional
327 copy of a human *SMN1* gene to the patient. AAV 9 expressing SMN showed efficient
328 transduction of motor neurons as well as nearly complete correction of the SMA phenotype
329 in mice; due to its ability to cross the blood–brain barrier after systemic (intravenous)
330 delivery in mouse models, AAV type 9 is an excellent contender to deliver the SMN1 gene
331 .⁽⁵³⁻⁵⁵⁾

332 The first gene therapy phase I clinical trial to assess the safety of intravenous delivery of
333 scAAV9-SMN in type 1 SMA infants was conducted at a single site in US by Jerry Mendell.
334 (NCT02122952) This open-label, dose-escalation clinical trial of AVXS-101 has completed
335 enrolment and is active. A total of 15 infants were enrolled in this study; participants were
336 allocated in 2 cohorts receiving 6.7e13 vg/kg of AVXS-101 (n=3) and 2.0e14 vg/kg of AVXS-
337 101 (n=12) delivered as a single intravenous administration.

338 The primary outcome in the study was safety and tolerability. The secondary outcome
339 measure is an efficacy measure as defined by the time from birth to an “event,” defined as
340 death or at least 16 hours per day of required ventilation support for 14 consecutive days in
341 the absence of acute reversible illness.

342 Encouraging preliminary data were recently published⁽⁵⁶⁾; as of the data cut-off on August
343 2017, all 15 patients were alive at 20 months of age and did not require permanent
344 mechanical ventilation. In contrast, only 8% of the patients in a historical cohort did not
345 require permanent mechanical ventilation at 20 months of age.⁽⁵⁷⁾

346 Of the 12 patients who received the high dose, 11 sat unassisted, 9 rolled over and 2 walked
347 independently. Elevated serum aminotransferase levels occurred in all patients but were
348 attenuated by prednisolone and there were no other abnormalities on liver –function
349 testing.

350 A larger multicentre Phase III open-label single-dose, by intravenous infusion, gene
351 replacement therapy clinical trial for patients with SMA type 1 is currently active in the US
352 and soon in Europe. A phase I study with intrathecal delivery of AVXS-101 is also now
353 actively recruiting SMA type 2 patients in the US.

354

355 **Other genetic therapies for neuromuscular disorders**

356 *Follistatin Gene Therapy for Becker muscular dystrophy.*

357 In parallel efforts focused on BMD, an AAV vector has been designed to deliver follistatin
358 (FS), a potent myostatin antagonist, a protein that inhibits muscle growth and
359 differentiation. Preclinical studies in dystrophic animals resulted in increased muscle mass
360 and strength^(58, 59). In this proof-of-principle clinical trial (NCT01519349), adult patients with
361 BMD have received an intramuscular injection of the follistatin AAV gene directly into thigh
362 muscle on one (first cohort) or both legs (2nd and 3rd cohort). A muscle biopsy was
363 performed 180 days after the injection to evaluate the muscle fibers size. The primary
364 endpoint was safety while the secondary endpoints were related to muscle function and
365 strength, quadriceps muscles imaging (MRI), muscle biopsies and thigh circumference
366 measurement. Preliminary results showed encouraging safety data together with a degree
367 of improved walking distance at the high dose. Histological changes showed reduced fibrosis
368 and central nucleation with more normal fiber size distribution especially at high dose.^(60, 61)

369 *AAV gene therapy programme for Limb girdle muscular dystrophy due to mutations in the*
370 *sarcoglycans genes.*

371 The limb-girdle muscular dystrophies (LGMDs) are one of the class of genetic disorders
372 affecting the musculoskeletal system. LGMD type 2E represents one of the most severe
373 LGMDs, with an incidence of 1 in 200,000 to 1 in 350,000.⁽⁶²⁾ In this disease, mutations in
374 the β -sarcoglycan (*SGCB*) gene lead to loss of functional protein with concurrent loss of
375 other structural components of the sarcolemma-stabilizing dystrophin-associated protein
376 complex (DAPC).^(63, 64) The loss of one of the sarcoglycans leads to muscle fiber loss, similar
377 to the pathophysiology of DMD. Importantly, the LGMD genes are relatively small and can
378 be fully inserted as the transgene into the AAV vector, unlike DMD.

379

380 LGMD2E patients exhibit typical clinical Duchenne like features, with increasing difficulty in
381 mobility in early childhood and subsequent loss of ambulation.^(65, 66) Cardiac involvement is
382 also common.^(67, 68)

383 The LGMD2E disease phenotype is recapitulated in *sgcb*^{-/-} mice, providing an ideal model to
384 study therapeutic developments. In this model, studies have demonstrated the therapeutic
385 efficacy using the tMCK promoter, with successful targeting of multiple muscles by vascular
386 delivery to restore β -sarcoglycan expression along with the significant reduction of fibrosis,;

387 these results provided a foundation for translating AAV-mediated hSGCB transfer to
388 LGMD2E patients. ⁽⁶⁹⁾ This promoter allows for enhanced transgene expression in cardiac
389 muscle, allowed the nearly complete transduction and restoration of hSGCB expression in
390 limb skeletal muscles, diaphragm muscle and cardiac muscle after intravenous injection of
391 scAAVrh.74.MHCK7.hSGCB in mice. ⁽⁷⁰⁾

392 The next step will now be to take this approach to clinic.

393

394 *Giant Axonal neuropathy (GAN).*

395 GAN is an autosomal recessive neurodegenerative disorder, characterized by abnormally
396 large and dysfunctional axons with disordered microtubules and intermediate filaments. The
397 disease is due to loss-of-function mutations in the *GAN* gene encoding the protein gigaxonin
398 which plays a major role in the maintenance of orderly and functional intermediate filament
399 (IF) architecture, which is critical for axonal function. In the peripheral nervous system the
400 disease progressively affects predominantly sensory and motor nerves. Onset of symptoms,
401 usually at 3-4 years of age, generally manifests with an ataxic gait. By the end of the 2nd
402 decade of life, patients typically are wheelchair dependent with limited use of the arms and
403 little to no use of their legs. During the 2nd decade a tracheostomy or other means of
404 ventilation, as well as a feeding tube, are often necessary. Death normally occurs in the 2nd
405 or 3rd decade of life. The diagnosis of GAN is suggested by clinical findings and the results of
406 nerve conduction velocity (NCV) studies and brain MRI. ⁽⁷¹⁾ Intrathecal delivery of a gene
407 transfer vector carrying a normal copy of the *GAN* gene to the spinal cord and brain offers a
408 potentially effective treatment for GAN. A phase I clinical trial using Intrathecal
409 administration of scAAV9/JeT-*GAN* is currently ongoing in the US. (NCT02362438)

410

411 *AAV gene therapy for X-linked Myotubular myopathy.*

412 X-linked myotubular myopathy (XLMTM) is a neuromuscular disorder caused by mutations
413 in the myotubularin (*MTM1*) gene which encodes a protein called myotubularin. This
414 protein plays an important role in the development, maintenance and function of skeletal
415 muscle cells. XLMTM is a rare condition (~1:50,000 male births) characterized by profound
416 muscle weakness, respiratory failure and early death. ^(72, 73)

417 Multiple studies in animal models of XLMTM have demonstrated that a single
418 administration of an AAV8 vector containing a functional copy of the *MTM1* gene improves
419 disease symptoms and survival rates, with no significant related adverse events or safety
420 findings. ⁽⁷⁴⁾

421 A Phase I/II, multinational, open-label, ascending-dose, clinical study to evaluate the safety
422 and preliminary efficacy of the *MTM1* AAV gene therapy in subjects with XLMTM aged less
423 than 5 years old, has recently started in US (ASPIRO clinical study- NCT03199469). Subjects
424 will receive a single dose of AT132 and will be followed for safety and efficacy for 5 years.

425 The study aims to evaluate the safety and preliminary efficacy of the AAV gene therapy in
426 approximately 12 XLMTM patients. The study is expected to include nine treated subjects
427 and three delayed-treatment concurrent control subjects. Primary endpoints include safety
428 (adverse events and certain laboratory measures) and efficacy (assessments of
429 neuromuscular and respiratory function). Secondary endpoints will include the burden of
430 disease and health related quality-of-life, and muscle tissue histology and biomarkers.

431

432 **Concluding remarks**

433 In the last decade there have been dramatic developments on the genetic therapies for
434 neuromuscular disorders and in particular for DMD and SMA. The deep knowledge of the
435 genetic basis of these 2 conditions and advances in small molecules, AONs and very recently
436 also of the use of AAV to deliver transgenes to muscle and the central nervous system have
437 produced remarkable results especially in SMA, which certainly exceeded our expectations
438 as investigators in these trials. Nevertheless these successful experiences also raise a
439 number of issues that require urgent considerations. In the case of using AONs for SMA, for
440 example, it is still unknown whether the intrathecal delivery and the lack of splicing
441 correction in the peripheral tissues are relevant for the size and the longevity of the
442 response. The comparative analysis between drugs that offer CNS and peripheral splicing
443 correction vs nusinersen will provide answers to these questions in the years to come.

444 As far as DMD is concerned, the efficacy of the first generation AONs in targeting skeletal
445 muscle can be improved, and with that the cardiac targeting, as cardiomyopathy remains a
446 major unmet need of DMD patients. Additional DMD specific challenges are the abundance
447 of the target tissue and the marked advanced pathology of even early symptomatic children.

448 This will also be a challenge for the upcoming AAV gene therapy trials that could only be
449 overcome by considerably bringing down the age at recruitment in future clinical trials.
450 Nevertheless these early successes clearly suggest that these highly specialised and targeted
451 therapies have the potential to produce substantial changes on the clinical course of these
452 devastating disorders. For at least some of these therapies early indication suggests that if
453 they could be administered early enough they can potentially lead to almost complete
454 resolution of clinical features or prevention of most of the pathology (as in the SMA nurture
455 AON study; and as the children receiving AAV in the very early symptomatic phase of the
456 disease). These findings provide further impetus to consider newborn screening
457 programmes for these devastating diseases. At the same time, the availability of these
458 novel therapies has also been accompanied by very high price tags. The financial implication
459 of these novel therapies at the time of financial constraint is currently an issue that is
460 precluding the adoption of these novel therapies in several geographic regions. This paradox
461 of extremely efficacious novel therapies that only with significant difficulties make their way
462 to the very patients for whom they have been originally designed, is a pattern that is only
463 going to continue until a broader discussion on the cost of novel therapies on one hand, but
464 also the particular circumstances of therapy development for rare diseases is discussed
465 more widely in the society. ⁽⁷⁵⁾

466

467 **Key points**

- 468 • Advances in the understanding the molecular genetic mechanisms in a number of
469 neuromuscular conditions have provided substantial progress to therapeutic
470 approaches
- 471 • Two ASO-mediated therapies have received approval from the US Food and Drug
472 Administration for the treatment of Duchenne muscular dystrophy and spinal
473 muscular atrophy.
- 474 • Further advancement of ASOs in the clinic will require optimization of ASO delivery,
475 and tissues target maintaining optimal safety profile
- 476 • AAV gene therapy holds promise for the treatment of monogenic neuromuscular
477 diseases and many such therapies have already made substantial strides toward
478 clinical translation.
- 479 • Ongoing trials in the field are summarised in Table 1.

480

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702 Search strategy and selection criteria

703 Published and unpublished data, in English language only, for this review were identified by searches
704 of PUBMED, MEDLINE, www.clinicaltrials.gov and references from relevant articles; FDA, EMA and
705 drug companies' relevant Brief releases were also searched. Most common search terms used were:
706 Duchenne and Becker muscular dystrophy; spinal muscular atrophy; antisense oligonucleotides;
707 nonsense mutations; CRISPR; *SMN2* splicing modifiers; AAV gene therapy; Giant Axonal
708 neuropathy; follistatin gene. Date of research ranges from 1990-2017.

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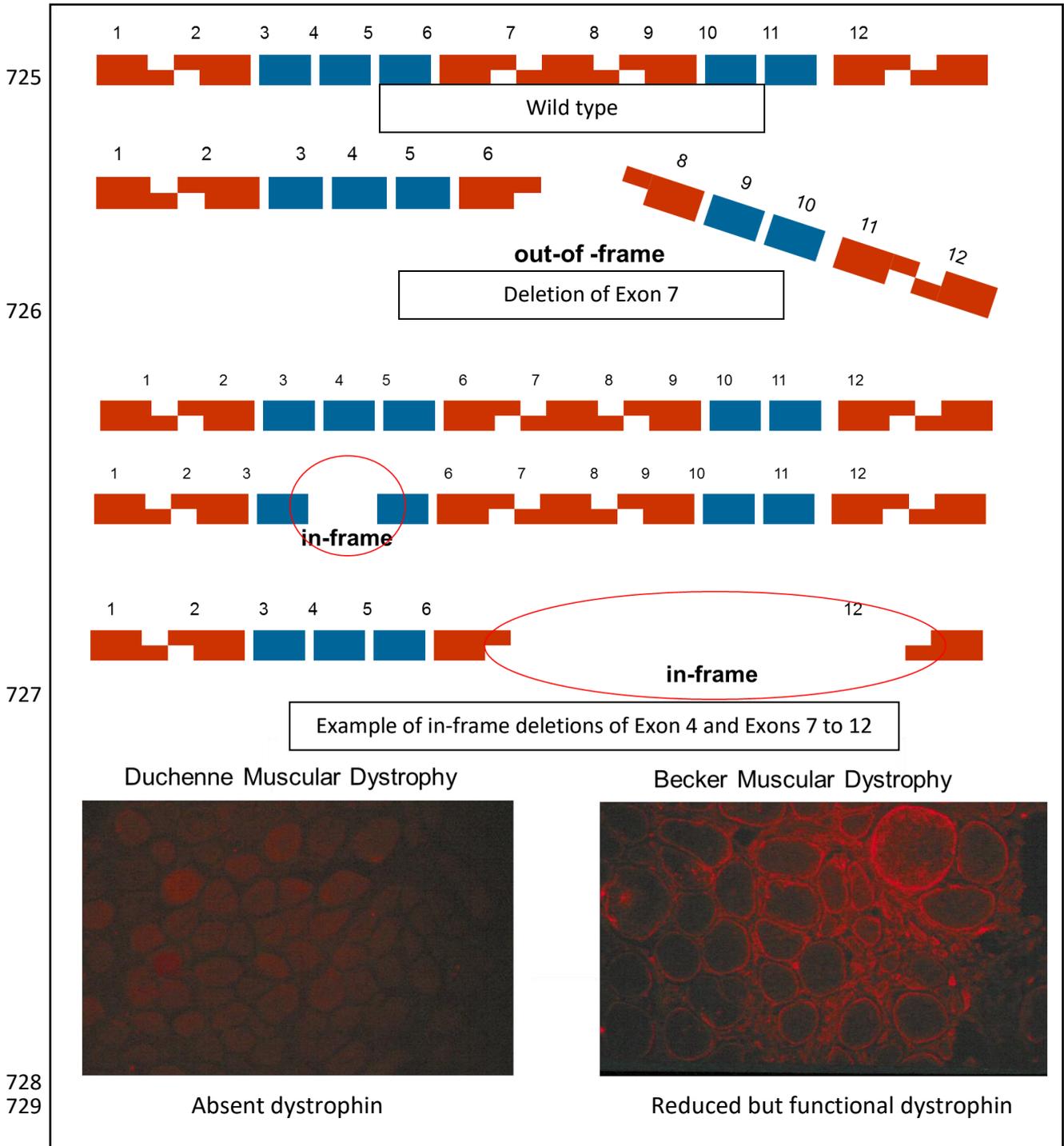
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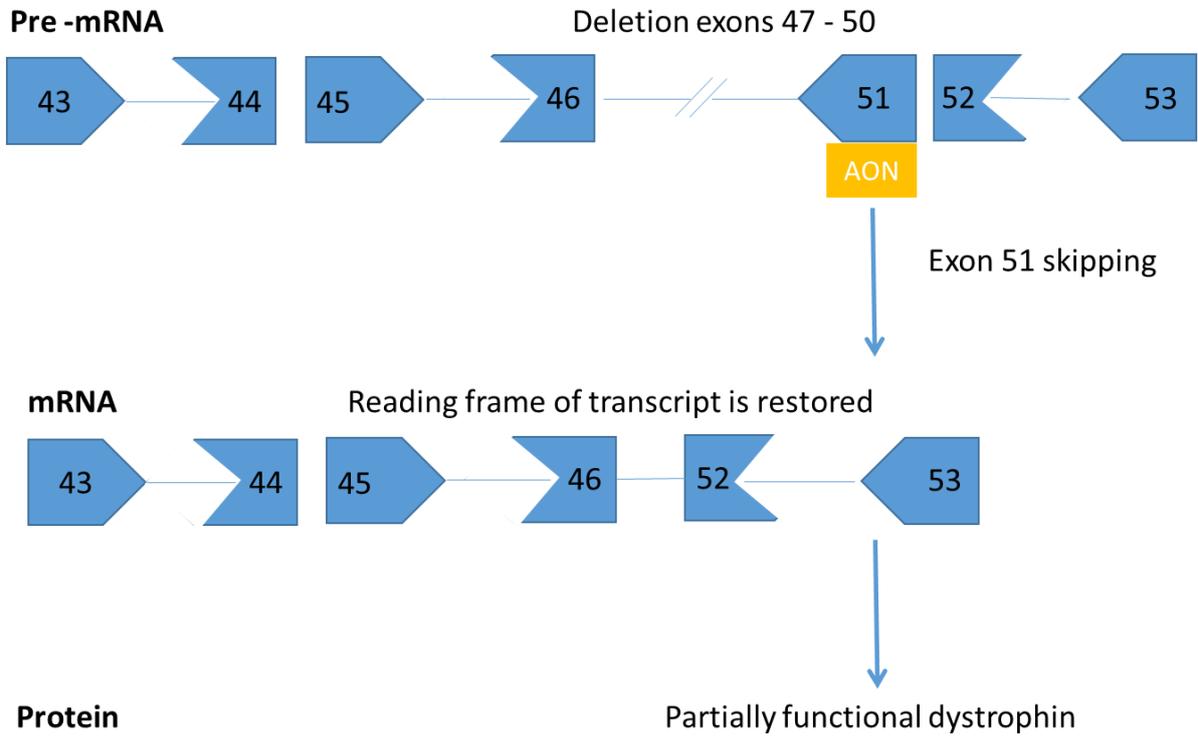
721 **Figure1. Duchenne Muscular Dystrophy: effect of out of frame mutations, (i.e. deletion of Exon 7)**
 722 **preventing the creation of a protein product. Becker Muscular Dystrophy: effect of in-frame**
 723 **mutations (i.e. deletion of Exon 4; or deletion of multiple Exons i.e. 7 to 12)** leading to altered, but
 724 **detectable dystrophin expression in muscle fibers.**



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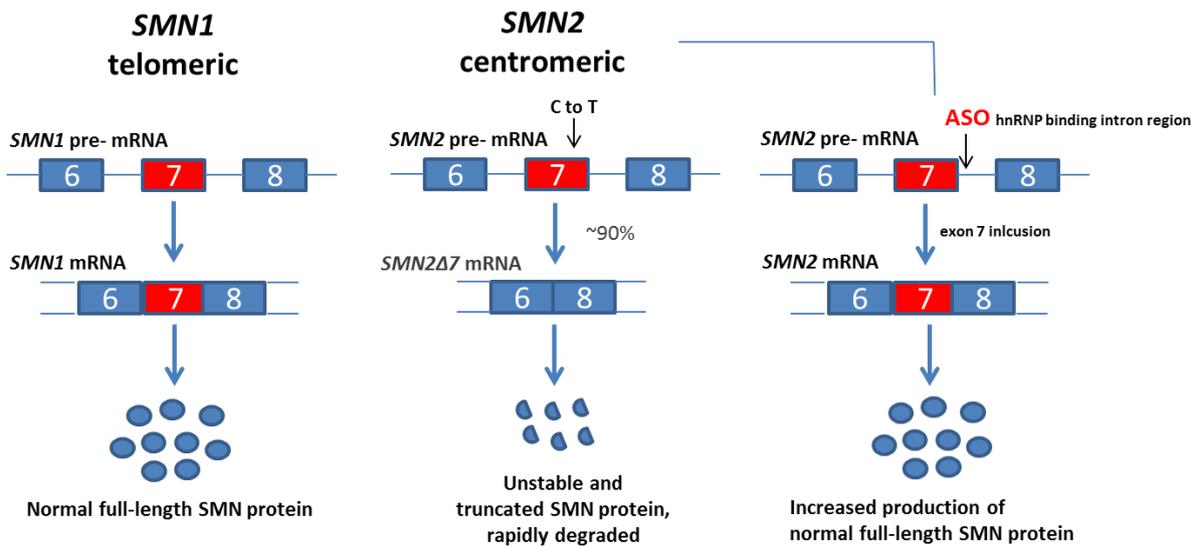
732 **Figure 2. Exon skipping mechanism using AON in DMD to reframe transcripts**



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735 **Figure 3. The mechanism of action of AON targeting Exon 7 inclusion in *SMN2* for the**
 736 **treatment of SMA**



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