

Baroreflex impairment and morbidity following major surgery.

Journal:	<i>British Journal of Anaesthesia</i>
Manuscript ID	BJA-2016-00163-PM007.R2
Manuscript Type:	Clinical Investigation
Date Submitted by the Author:	30-May-2016
Complete List of Authors:	Toner, Andrew; Royal Perth Hospital, Anaesthesia and Pain Medicine jenkins, nicholas; Royal National orthopaedic hospital, Anaesthesia Ackland, Gareth; Queen Mary University of London, William Harvey Research Institute
https://www.nlm.nih.gov/mesh/MBrowser.html Mesh keywords:	Baroreflex, Autonomic Nervous System, Postoperative Complications

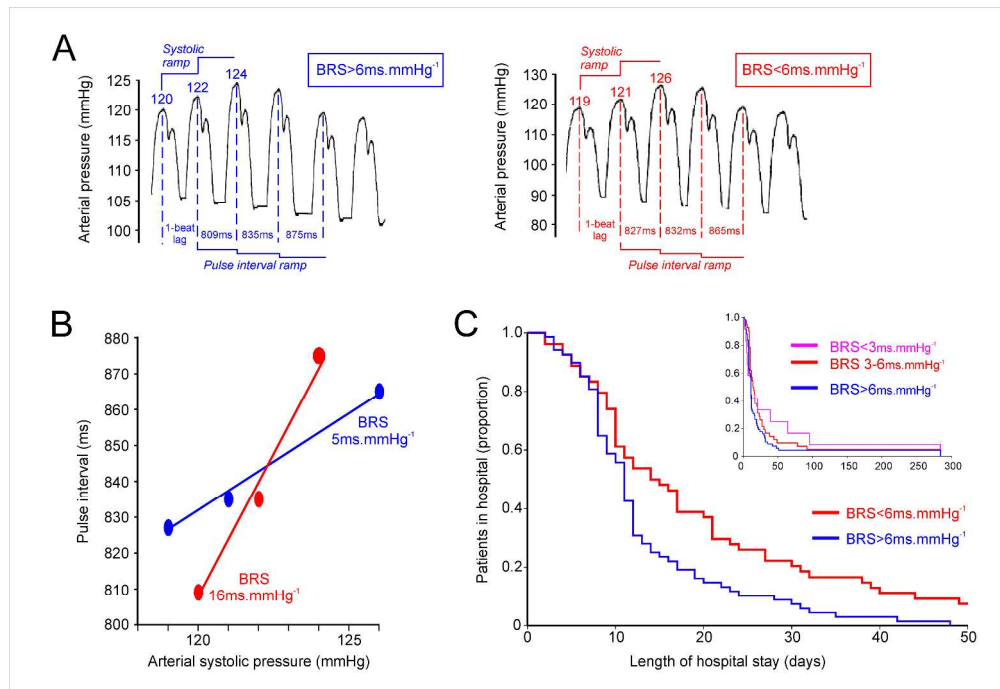


Figure 1. Baroreflex analysis and hospital stay. A. Schematic showing measurement and calculation of BRS. Using a validated sequence method technique (one beat lag), a baroreflex event is defined by 3 or more consecutive beats where the systolic blood pressure (SBP) and pulse interval (PI) change in tandem and are highly correlated ($r > 0.85$). B. The slope of the linear regression line of PI against SBP generates the BRS of each event in $\text{ms} \cdot \text{mmHg}^{-1}$. C. $\text{BRS} < 6 \text{ms} \cdot \text{mmHg}^{-1}$ was associated with prolonged hospital stay (hazard ratio: 1.62 (95%CI: 1.14-2.32); $p = 0.004$; unadjusted log-rank analysis). Inset plot highlights length of stay in patients with $\text{BRS} < 3 \text{ms} \cdot \text{mmHg}^{-1}$.

470x322mm (300 x 300 DPI)

1
2
3 Subedited BJA-2016-00163-PM007.R2; accepted 26th June; 4 tables, 1 figures; 1
4 supplementary file
5
6
7
8

9 **Baroreflex impairment and morbidity following major surgery**

10 Andrew Toner FRCA,^{1,3} Nicholas Jenkins FRCA,² Gareth L. Ackland PhD FRCA FFICM^{3,4},
11
12
13
14 ⁵ and the POM-O Study Investigators
15
16

17
18 ¹ Royal Perth Hospital, Australia
19

20
21 ² Department of Anaesthesia, Royal Free Hospital, Pond Street, London UK
22

23
24 ³ Clinical Physiology, Department of Medicine, University College London, London UK.
25

26
27 ⁴ William Harvey Research Institute, Barts and The London Medical School, Queen Mary
28 University of London, London, UK.
29

30
31 ⁵ Centre for Cardiovascular and Metabolic Neuroscience, Neuroscience, Physiology and
32 Pharmacology, University College London, London, UK.
33
34
35

36
37 *Corresponding author:

38
39 Gareth Ackland PhD FRCA FFICM Translational Medicine and Therapeutics, William
40 Harvey Research Institute, Barts and The London Medical School, Queen Mary University of
41 London, London EC1M UK.
42
43

44
45 Phone: +44 20 7882 2107
46

47
48 Fax: +44 20 7882 2107
49

50
51 Email: g.ackland@qmul.ac.uk
52
53

54
55 Running title: Baroreflex function and postoperative outcome
56
57
58
59
60

1
2
3 **Background:** Baroreflex dysfunction is a common feature of established cardiometabolic
4 diseases that are most frequently associated with the development of critical illness.
5

6
7 Laboratory models show that baroreflex dysfunction impairs cardiac contractility and
8 cardiovascular performance, thereby increasing risk of morbidity following trauma and
9 sepsis. We hypothesized that baroreflex dysfunction contributes to excess postoperative
10 morbidity following major surgery as a consequence of the inability to achieve adequate
11 tissue oxygen delivery perioperatively.
12
13
14
15
16
17

18
19
20 **Methods:** In a randomised, controlled trial of goal-directed hemodynamic therapy (GDT) in
21 higher-risk surgical patients (ISCRTN 76894700), baroreflex function was assessed using the
22 spontaneous baroreflex sensitivity (BRS) method via an arterial line placed preoperatively,
23 using a validated sequence method technique (one beat lag). BRS was calculated during the 6
24 h postoperative GDT intervention. BRS analyses were done by investigators blinded to
25 clinical outcomes. The primary outcome was the association between postoperative
26 baroreflex dysfunction ($BRS < 6 \text{ mmHg}\cdot\text{s}^{-1}$, a negative prognostic threshold in cardiovascular
27 pathology) and early postoperative morbidity. The relationship between baroreflex
28 dysfunction and postoperative attainment of preoperative indexed oxygen delivery (DO_2I)
29 was also assessed.
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44

45 **Results:** Patients with postoperative baroreflex dysfunction were more likely to sustain
46 infectious (RR 1.75 [95% CI: 1.07-2.85], $P=0.02$) and cardiovascular (RR 2.39 [95% CI:
47 1.22-4.71], $P=0.008$) morbidity. Prolonged hospital stay was more likely in patients with
48 baroreflex dysfunction (unadjusted hazard ratio: 1.62 [95% CI: 1.14-2.32], log-rank
49 $P=0.004$). Postoperative DO_2 was 36% (95% CI: 7-65) lower in patients with baroreflex
50 dysfunction in those not randomly assigned to GDT, $P=0.02$.
51
52
53
54
55
56
57
58
59
60

1
2
3 **Conclusions:** Baroreflex dysfunction is associated with excess morbidity, impaired
4 cardiovascular performance, and delayed hospital discharge, suggesting a mechanistic role
5 for autonomic dysfunction in determining perioperative outcome.
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Peer Review

Editor's Key Points

- Autonomic dysfunction is associated with baroreflex impairment or failure
- This study found that baroreflex impairment was associated with tissue ischaemia and postoperative complications
- Autonomic dysfunction thus seems to contribute to postoperative morbidity
- Interventions that restore or protect baroreflex function may improve outcome

For Peer Review

1
2
3 Established cardiometabolic disease, including heart failure and diabetes mellitus, is strongly
4 associated with increased risk of perioperative morbidity. Autonomic dysfunction is
5 frequently subclinical and a feature of preoperative comorbidities commonly associated with
6 excess postoperative morbidity. Baroreflex autonomic dysfunction is an independent
7 predictor of morbidity and mortality during critical illness.^{1, 2} Several studies have found that
8 baroreflex sensitivity values $<6 \text{ ms.mmHg}^{-1}$ are associated with poorer outcomes.³⁻⁷ In part,
9 this may reflect diminished parasympathetic (vagal) activity associated with baroreflex
10 dysfunction.⁸ Laboratory and clinical epidemiologic data show that augmented vagal activity
11 limits inflammation and organ injury, including cardiovascular morbidity and mortality.^{9, 10}
12 In addition, we have shown in experimental models that baroreflex dysfunction promotes
13 cardiac oxidative stress, thereby reducing inotropic reserve and impairing cardiac function.¹¹
14 Our translational laboratory studies have also suggested a mechanistic link between
15 parasympathetic dysfunction, impaired cardiac contractility and excess postoperative
16 morbidity.¹¹

17
18
19 As part of a randomized controlled trial exploring whether postoperative morbidity may be
20 reduced by ensuring individualized preoperative oxygen delivery was maintained,¹² we
21 prospectively tested the hypothesis that baroreflex dysfunction plays a role in the
22 development of postoperative morbidity. We postulated, on the basis of our laboratory and
23 complementary translational clinical findings,¹¹ that baroreflex dysfunction contributes to
24 impaired perioperative cardiac performance thereby preventing postoperative achievement of
25 preoperative oxygen delivery (DO_2), which we have shown is associated with excess
26 complications.

METHODS

We previously conducted a multicentre, randomized, double blinded trial (Trial Registration: *ISRCTN76894700*) at 4 hospitals in the United Kingdom.¹² A pre-specified analysis plan was published prior to trial completion at www.ucl.ac.uk/anaesthesia/trials. Adult patients undergoing major elective surgery expected to last for at least 2 hours were eligible for recruitment provided they satisfied the following high-risk criteria: a. ASA physical status ≥ 3 ; b. surgical procedures with an estimated/documentated risk of postoperative morbidity (as defined by the PostOperative Morbidity Survey) exceeding 50%; c. modified Revised Cardiac Risk Score ≥ 3 ,¹³ as defined by age ≥ 70 years, a history of cardiovascular disease (myocardial infarction, coronary artery disease, cerebrovascular accident, electrocardiographic evidence for established cardiac pathology), cardiac failure, poor exercise capacity (anaerobic threshold $< 1 \text{ ml.kg.min}^{-1}$ as assessed by cardiopulmonary exercise testing), renal impairment (serum creatinine $\geq 130 \text{ }\mu\text{mol/l}$) and/or diabetes mellitus. Intraoperative management was undertaken by consultant anaesthetists, according to their usual practice. Patients were randomly assigned to either postoperative goal-directed haemodynamic therapy aimed at restoring/preserving each patients' individualized preoperative oxygen delivery or protocolised care in a 1:1 ratio, stratified by operation type (STATA software). Central random allocation was undertaken, with assignments concealed by envelope. Patients, attending physicians and critical care staff were blinded to the patients' treatment assignments. Apart from the trial statistician and the data-monitoring committee, all treating physicians and other investigators remained blinded to the trial results until follow-up was completed.

Procedures

A radial arterial line was inserted preoperatively to permit calibrated cardiac output monitoring (LiDCOPlus, LiDCO Ltd, London, UK).¹⁴ Haemodynamic data were recorded intraoperatively and available for use by operating room staff. The intervention period commenced once the patient reached the critical care environment after surgery and continued for six hours. Haemodynamic management in both randomisation arms (i.e. GDT and protocolised control group allocated patients) were managed exclusively by research staff during the postoperative study period. Prior to the intervention, in all patients, the Lidco lithium-based sensor was recalibrated to ensure accurate measurement of cardiac output. Oxygenation ($\text{SpO}_2 \geq 94\%$), haemoglobin ($>80 \text{ g.l}^{-1}$), core temperature ($\geq 36^\circ\text{C}$) and heart rate ($<100 \text{ min}^{-1}$) and mean arterial pressure (60-90 mmHg), maintained using an alpha-1 adrenoceptor agonist as required) were protocolised standard of care measures. Crystalloid fluid (compound sodium lactate) was administered at 1 ml kg h^{-1} with additional fluid administered according to protocol. Postoperative analgesia was provided by thoracic epidural or patient-controlled opiate analgesia. The goal-directed therapy intervention group patients received intravenous fluid and inotropic therapy according to an algorithm (Supplementary Figure 1) targeting each patient's individualized preoperative oxygen delivery value. If the preoperative oxygen delivery target was not met after the first hour of stroke volume optimization using gelatine colloid, an intravenous infusion of dobutamine ($1\text{-}20 \text{ }\mu\text{g.kg.min}^{-1}$ via central venous catheter) was commenced but strictly limited by heart rate parameters ($<100 \text{ bpm}$, and/or $\leq 25\%$ from baseline heart rate at the start of the intervention period). A syringe with saline or dobutamine unidentifiable to all staff other than research personnel was connected via extension tubing to the central venous catheter of all patients. Cardiac output monitoring was not used in the protocolized standard of care

1
2
3 group, but all variables were recorded. Calculation of oxygen delivery values was delayed
4
5 until the end of the trial in the control group. All other aspects of clinical care were
6
7 managed by ICU clinicians who could alter any aspect of patient care, provided the site
8
9 principal and/or chief investigator was informed if haemodynamic management during the
10
11 study intervention period was involved directly. Postoperative management adhered to
12
13 enhanced recovery hospital protocols. Antibiotic use beyond prophylactic administration
14
15 (i.e. after 24 h postoperatively) was a deviation from normal postoperative care.
16
17
18
19

20 21 **Determination of baroreflex sensitivity**

22
23 Spontaneous baroreflex sensitivity (BRS) was measured in surgical patients from the intra-
24
25 arterial pressure recording¹⁵ (Figure 1A) obtained immediately preoperatively and for 6 hours
26
27 postoperatively using a validated sequence method technique (one beat lag).¹⁶ Baroreflex
28
29 events across 3 or more consecutive beats were identified where the systolic blood pressure
30
31 (SBP) and pulse interval (PI) changed in tandem and were highly correlated ($r > 0.85$).
32
33

34
35 Sequential changes in systolic pressures that occurred with directionally opposite changes in
36
37 pulse interval were excluded from analysis, as they do not represent physiological baroreflex
38
39 responses. The slope of the linear regression line of PI against SBP provided the BRS of each
40
41 event in $\text{ms} \cdot \text{mmHg}^{-1}$. Preoperative BRS was determined as the median slope value of all
42
43 detected events. Median BRS values $\leq 6 \text{ ms} \cdot \text{mmHg}^{-1}$ for each hour of the postoperative period
44
45 were defined as abnormal (baroreflex dysfunction), as BRS values $\leq 6 \text{ ms} \cdot \text{mmHg}^{-1}$ are
46
47 consistently associated with negative outcomes in preceding population based studies^{3, 5, 17-20}

48
49 We also assessed the incidence of markedly depressed baroreflex sensitivity ($\leq 3 \text{ ms} \cdot \text{mmHg}^{-1}$),
50
51 which has previously identified patients at the most extreme risk of death following
52
53 myocardial infarction.^{5, 21} To avoid bias, all baroreflex analyses were undertaken by
54
55 investigators blinded to clinical outcomes
56
57
58
59
60

Clinical outcomes

Morbidity was collected prospectively by assessors blinded to the intervention/BRS data using the PostOperative Morbidity Survey, which assesses all-cause morbidity over the preceding 24 h period.²² Failure to recover normally during the postoperative period was defined using the Clavien–Dindo scale, with \geq grade II complications representing clinically important deviations from projected postoperative recovery.²³ Thus, infectious morbidity was defined by microbiological confirmation and/or a deviation from normal postoperative care requiring antibiotic use beyond local, standardized prophylactic antibiotic therapy (i.e. after 24h post-operatively). The primary endpoint was all-cause morbidity (Clavien–Dindo \geq grade II complications) on postoperative day 2. Secondary outcomes were infectious morbidity on postoperative day 2, mean postoperative oxygen delivery (expressed as a percentage of each patients' preoperative oxygen delivery target) and length of hospital stay (adjusting for hospital deaths).

Statistical methods

Power analysis was based on the results of the POMO trial which showed that ~54% patients sustained significant morbidity (≥ 2 , Clavien-Dindo scale)²³ by postoperative day 2.¹² On the basis of our previous work,²⁴ we estimated that significant morbidity would be >1.5 times more likely to occur in patients with baroreflex dysfunction, which occurs in ~35% patients. Thus, the sample size required for an alpha of 0.05 and 80% power would be ≥ 119 patients. For continuous data, normality of distribution was assessed and, where appropriate, analysed with balanced design ANOVA (taking into account baroreflex sensitivity < 6 ms.mmHg⁻¹, timepoint (Suppl. Fig. 2) and randomization arm). Nonparametric data were analysed with the Mann–Whitney U-test. Relative risk (RR) and 95% CI were estimated.

1
2
3 Length of hospital stay was estimated using the Kaplan-Meier method and analysed using the
4
5 Cox proportional hazard model. Multivariate analysis was undertaken to establish whether
6
7 there was a significant relationship between postoperative baroreflex dysfunction and
8
9 perioperative factors associated with outcome and/or modulate arterial baroreflex regulation,
10
11 taking into account preoperative (absolute preoperative BRS value, albumin, diabetes
12
13 mellitus, cardiovascular morbidity (incorporating history of ischaemic heart disease, heart
14
15 failure, atrial fibrillation, stroke, anaerobic threshold $<11 \text{ ml.kg.min}^{-1}$), gender, age, type of
16
17 surgery) and intraoperative (blood transfusion, lactate at end of the operation, epidural use,
18
19 duration of operation) factors, using a hierarchical, 1-way forward switching model.²⁵ A full
20
21 set of references supporting the choice of these factors is supplied in Supplementary table 1.
22
23 A similar multivariate analysis was undertaken to explore the relationship between BRS and
24
25 oxygen delivery postoperatively, again using a hierarchical, 1-way forward switching
26
27 model.²⁵ All reported P values are two-sided. Statistical analyses were performed using
28
29 NCSS 8 (Kaysville, UT). Median values (interquartile range) are presented, unless stated
30
31 otherwise. Significance was accepted at P values ≤ 0.05 .
32
33
34
35
36
37
38
39
40

41 RESULTS

42 Baroreflex dysfunction in higher-risk surgical patients

43
44 BRS was calculated throughout the whole perioperative period in 122/204 POM-O trial
45
46 patients (Suppl. Figure 1). Preoperative recordings spanned a median duration of 39 minutes
47
48 (IQR: 31-55), capturing a median 162 spontaneous events ((IQR: 90-271). Postoperative
49
50 recordings spanned the entire 6-hour intervention period in all patients, with a median event
51
52 rate of 174/h. Baroreflex dysfunction- as defined by $\text{BRS} \leq 6 \text{ ms.mmHg}^{-1}$ - was present in 54
53
54 of 122 patients (44%); clinical characteristics are shown in Table 1. We identified 13 patients
55
56
57
58
59
60

1
2
3 (11%) with BRS values $<3 \text{ mmHg}\cdot\text{s}^{-1}$, a threshold associated with particularly poor
4
5 prognosis in other disease states.^{5, 21, 26}
6
7

8
9
10 **insert Table 1 here
11

12
13
14 Patients with preoperative $\text{BRS} < 6 \text{ mmHg}\cdot\text{s}^{-1}$ were more likely to have postoperative $\text{BRS} < 6$
15
16 $\text{mmHg}\cdot\text{s}^{-1}$ (RR 2.6 (95% CI: 1.6-4.1), $P=0.003$). Because intraoperative management and
17
18 surgery associated with specific anaesthetic interventions (e.g. hepatobiliary patients
19
20 receiving epidural analgesia²⁷) are known to affect baroreflex regulation, we also assessed
21
22 which factors were associated with higher BRS values at the end of the postoperative
23
24 intervention period. We performed a multivariable analysis taking into account comorbidities
25
26 associated with autonomic dysfunction (diabetes mellitus, cardiac disease) and intraoperative
27
28 management (Table 2). We found that preoperative BRS ($P=0.0002$; Suppl. Figure 2) was the
29
30 only perioperative factor associated with postoperative BRS.
31
32
33

34
35
36 **insert Table 2 here
37
38

39 40 41 **Perioperative cardiovascular performance**

42
43 Postoperative achievement of the individualized oxygen delivery target was associated with
44
45 higher BRS and randomization to goal-directed therapy, taking into account age, gender,
46
47 body mass index, type of surgery, diabetic and cardiovascular morbidity (Table 2B).

48
49 Intraoperatively, similar volumes of fluid and requirement for pressor (norepinephrine)
50
51 support were observed in patients with normal BRS and baroreflex dysfunction, irrespective
52
53 of which trial arm they were subsequently assigned to randomly (Table 3). The proportion of
54
55 patients with baroreflex dysfunction was similar between study arms (Table 3). Although
56
57
58
59
60

1
2
3 patients with baroreflex dysfunction were more likely to receive packed red cells
4
5 intraoperatively, haemoglobin levels were similar between groups by the end of the operation
6
7 and prior to the haemodynamic intervention. Baroreflex dysfunction was associated with
8
9 higher lactate, which persisted throughout the postoperative intervention period.
10
11 Postoperatively, DO₂I was 39% (95% CI: 11-68), P=0.001) lower than the preoperative target
12
13 in baroreflex dysfunction patients not randomized to GDT (Table 3; Suppl. Figure 2).
14
15

16
17
18 **insert Table 3 here
19
20

21 22 23 **Baroreflex dysfunction and postoperative outcome.**

24
25 Patients with baroreflex dysfunction sustained more episodes of significant (Clavien-Dindo
26
27 grade \geq 2) morbidity by postoperative day 2 (RR: 1.68 [95% CI: 1.19-2.44], P=0.003).
28
29 Baroreflex dysfunction was associated with more infectious (RR: 1.75 [95% CI: 1.07-2.85],
30
31 P=0.02) and cardiovascular (RR: 2.39 [95% CI: 1.22-4.71], P=0.008) morbidity by
32
33 postoperative day 2 (Table 4). Throughout the perioperative stay, fewer patients with normal
34
35 BRS sustained (\geq grade 2) Clavien-Dindo defined morbidity (RR: 0.73 (95% CI: 0.58-0.92),
36
37 P=0.009) Prolonged hospital stay was more likely in patients with baroreflex dysfunction
38
39 (unadjusted hazard ratio: 1.62 [95% CI: 1.14-2.32], log-rank P=0.004; Figure 1). Cox
40
41 regression analysis identified baroreflex dysfunction (RR: 0.54 [95% CI: 0.35-0.83],
42
43 achievement of preoperative oxygen delivery target (RR: 0.65 [95% CI: 0.43-0.98] and type
44
45 of surgery (RR: 0.41 [95% CI: 0.20-0.86] as significant predictors of prolonged hospital stay.
46
47
48
49

50
51
52 **insert Table 4 here
53

54
55 **insert Figure 1 here
56
57
58
59
60

DISCUSSION

Our data show that patients with baroreflex dysfunction undergoing elective major surgery sustain more morbid events (characterized by cardiac and infectious complications) and experience prolonged hospitalisation. These data suggest, for the first time, that baroreflex autonomic dysfunction may contribute to the development of postoperative morbidity.

Baroreflex dysfunction was not more prevalent in comorbidities linked to autonomic dysfunction such as diabetes mellitus. This is perhaps unsurprising given the emerging link between autonomic dysfunction and a range of cardiometabolic pathologies,²⁸ coupled with the recognition that cardiovascular and autonomic function are frequently impaired in patients presenting for cancer surgery.²⁹

Established cardiac dysfunction is strongly associated with excess postoperative morbidity and mortality.³⁰ Autonomic dysfunction is frequently observed in established cardiac failure, characterized by up-regulation of GPCR kinase (GRK)-2, which inhibits pro-contractile signalling by phosphorylating the beta-adrenoreceptor and inducing beta-arrestin binding.^{31,}
³² Impaired baseline function and inotropic responses in laboratory models of baroreflex autonomic dysfunction are consistent with impaired common GPCR signalling pathways underlying this defect.¹¹ Cardiovascular compromise likely contributes to the higher mortality observed in rats with baroreflex dysfunction following acute endotoxaemia.³³ In our study, patients with baroreflex dysfunction had a higher venous lactate at the end of the intraoperative period, suggesting tissue dysoxia may have developed in part as a consequence of lower oxygen delivery. Infectious complications after tissue trauma are likely exacerbated by reduced oxygen delivery and strongly linked to subsequent mortality.³⁴ Postoperative DO_2 was lower in patients with baroreflex dysfunction not randomized to GDT, and failure to achieve preoperative DO_2 was associated with lower BRS during the intervention period.

1
2
3 Despite GDT, higher venous lactate persisted in baroreflex dysfunction patients; these
4
5 patients were more likely to acquire early postoperative morbidity necessitating interventions
6
7 that deviate from normal surgical care. Our exploratory analysis of haemodynamic
8
9 performance shows that sustained baroreflex dysfunction (i.e. preoperative $BRS < 6 \text{ms} \cdot \text{mmHg}^{-1}$
10
11 persisting into the postoperative period) occurs regardless of haemodynamic management.
12
13 These findings mirror those of recent haemodynamic trials in sepsis, where targeting many
14
15 aspects of oxygen delivery that were broadly similar to those pursued in the POM-O trial
16
17 failed to improve outcome. These data therefore provide a new line of enquiry that
18
19 contributes to the debate over why goal-directed haemodynamic management in critical
20
21 illness does not appear to benefit patients with established, early sepsis/systemic
22
23 inflammation.³⁵

24
25
26
27
28 Defects in baroreflex function may occur in afferent neurons transmitting the information
29
30 from baroreceptors, brainstem neurons or the parasympathetic efferent limb.³⁶ Laboratory
31
32 models have demonstrated that loss of vagal parasympathetic activity exacerbates systemic
33
34 inflammation in several organs, through immuno-neuromodulation of alpha-7 nicotinic
35
36 receptors on tissue resident macrophages.³⁷ Furthermore, vagal denervation promotes
37
38 persistent inflammation through failure to regulate resolution of inflammation.³⁸ The triad of
39
40 inflammation, oxidative stress and impaired baroreflex sensitivity is well recognized in the
41
42 chronic development of cardiometabolic disease.³⁹ In conscious rats, loss of baroreflex
43
44 function impedes attenuation of peripheral (joint) inflammation, mediated by sympathetic
45
46 neural drive.⁴⁰ Thus, acute inflammation superimposed on a lack of established baroreflex
47
48 'reserve' may further detrimentally impair the neural anti-inflammatory armamentarium,
49
50 which consequently jeopardizes metabolic homeostasis.⁴¹
51
52
53
54
55
56
57
58
59
60

1
2
3 We found that established baroreflex dysfunction was associated with a higher incidence of
4 intraoperative blood transfusion. This observation may be mechanistically linked, since
5 haemostasis is influenced by parasympathetic neural activity. In experimental soft tissue
6 injury, haemorrhage is reduced following vagal nerve stimulation.⁴² Diminished efferent
7 (parasympathetic) activity, which contributes to baroreflex dysfunction, is promoted by
8 inflammatory mediators, opiates and anaesthetic agents.^{43,44} Further loss of parasympathetic
9 neural activity triggered by haemorrhage, reperfusion and consequent inflammation during
10 major surgery may therefore be particularly detrimental.⁴⁵

11
12 Masking of the serial BRS analyses to outcomes is a significant strength of the study. In-
13 depth hemodynamic characterization was also undertaken blinded to BRS data. Collecting the
14 BRS data serially as part of a randomized controlled trial offers a further mechanistic insight
15 into the role of autonomic dysfunction. Limitations include the exclusion of patients due to
16 unanticipated ineligibility for analysis (atrial fibrillation, dysrhythmias), suboptimal
17 waveform characterization, loss of data during downloading due to hardware dysfunction.
18 Our study compares favourably with similar translational studies conducted in ‘real-world’
19 clinical scenarios, where the acquisition and analysis of complex waveform data is typically
20 associated with ~30% exclusion rates.^{46,47} There was no systematic pattern to patients being
21 excluded, other than the failure of one monitoring device that ‘dumped’ the dataset whilst
22 saving to an external storage device. Exclusions occurred randomly throughout the study and
23 from all recruiting sites. Spontaneous baroreflex sensitivity is a useful ‘real world’ measure
24 of baroreflex, but these data may have been strengthened by complementary baroreflex
25 assessment including the ramp technique, which requires using vasoactive drugs serially.⁴⁸
26
27 However, logistic and clinical restrictions precluded this additional approach.
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 In summary, our findings suggest that baroreflex dysfunction may contribute to the
4
5 development of postoperative morbidity. These data present an alternative mechanistic
6
7 paradigm through which existing or novel pharmacologic approaches may be explored in an
8
9 effort to limit the excess morbidity and mortality following significant tissue trauma and
10
11 systemic inflammation.
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Peer Review

1
2
3
4 Author Contributions: Conceived and designed the experiments: GLA. Performed the
5
6 experiments: AT, NJ. Analysed the data: AT, NJ, GLA. Contributed reagents/ materials/
7
8 analysis tools: GLA. Wrote the first draft of the manuscript: GLA, AT. Contributed to the
9
10 writing of the manuscript: GLA, AT. ATICMJE criteria for authorship read and met: GLA,
11
12 AT, NJ. Agree with manuscript results and conclusions: AT, NJ, GLA.
13
14

15
16 **POM-O (PostOperative Morbidity- Oxygen delivery) Study Group contributors:**

17
18 Sadaf Iqbal, Laura Gallego Paredes, Andrew Toner, Craig Lyness, Phoebe Bodger, Anna
19
20 Reyes, John Whittle, Alberto Sciusco, Steven Cone, Shamir Karmali, Gareth Ackland,
21
22 Rumana Omar, Mervyn Singer, Ana Gutierrez del Arroyo, Mark Hamilton, Susan Mallett,
23
24 Massimo Malago, Charles Imber, Alastair Windsor, Robert Hinchliffe, Muntzer Mughal,
25
26 Khaled Dawas, Tim Mould, Maurizio Cecconi, Kirsty Everingham, Rupert Pearse, Martin
27
28 Lees, Robert Shulman.
29
30
31
32
33
34
35

36 **Acknowledgments:** This work was supported by the Academy Medical Sciences/Health
37
38 Foundation Clinician Scientist scheme (GLA). Part of this work was undertaken at
39
40 UCLH/UCL who received a proportion of funding from the UK Department of Health's
41
42 NIHR Biomedical Research Centres funding scheme. We acknowledge the support of the
43
44 surgical teams and patients for participating in these studies.
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Figure legends

Figure 1. Baroreflex analysis and hospital stay. A. Schematic showing measurement and calculation of BRS. Using a validated sequence method technique (one beat lag), a baroreflex events is defined by 3 or more consecutive beats where the systolic blood pressure (SBP) and pulse interval (PI) changed in tandem and are highly correlated ($r>0.85$). B. The slope of the linear regression line of PI against SBP generates the BRS of each event in $\text{ms}\cdot\text{mmHg}^{-1}$. C. $\text{BRS}<6\text{ms}\cdot\text{mmHg}^{-1}$ was associated with prolonged hospital stay (hazard ratio:1.62 (95% CI:1.14-2.32); $P=0.004$; unadjusted log-rank analysis). Inset plot highlights length of stay in patients with $\text{BRS}<3\text{ms}\cdot\text{mmHg}^{-1}$.

References

- 1 Schmidt H, Hoyer D, Hennen R, et al. Autonomic dysfunction predicts both 1- and 2-month mortality in middle-aged patients with multiple organ dysfunction syndrome. *Crit Care Med* 2008; **36**: 967-70
- 2 Schmidt H, Muller-Werdan U, Hoffmann T, et al. Autonomic dysfunction predicts mortality in patients with multiple organ dysfunction syndrome of different age groups. *Crit Care Med* 2005; **33**: 1994-2002
- 3 De Ferrari GM, Sanzo A, Bertoletti A, Specchia G, Vanoli E, Schwartz PJ. Baroreflex sensitivity predicts long-term cardiovascular mortality after myocardial infarction even in patients with preserved left ventricular function. *Journal of the American College of Cardiology* 2007; **50**: 2285-90
- 4 La Rovere MT, Bigger JT, Jr., Marcus FI, Mortara A, Schwartz PJ. Baroreflex sensitivity and heart-rate variability in prediction of total cardiac mortality after myocardial infarction. ATRAMI (Autonomic Tone and Reflexes After Myocardial Infarction) Investigators. *Lancet* 1998; **351**: 478-84
- 5 La Rovere MT, Specchia G, Mortara A, Schwartz PJ. Baroreflex sensitivity, clinical correlates, and cardiovascular mortality among patients with a first myocardial infarction. A prospective study. *Circulation* 1988; **78**: 816-24
- 6 Mostarda C, Rodrigues B, Vane M, et al. Autonomic impairment after myocardial infarction: role in cardiac remodelling and mortality. *Clin Exp Pharmacol Physiol* 2010; **37**: 447-52
- 7 Schmidt H, Muller-Werdan U, Hoffmann T, et al. Attenuated autonomic function in multiple organ dysfunction syndrome across three age groups. *Biomed Tech (Berl)* 2006; **51**: 264-7
- 8 Soares PP, Porto CS, Abdalla FM, et al. Effects of rat sinoaortic denervation on the vagal responsiveness and expression of muscarinic acetylcholine receptors. *Journal of cardiovascular pharmacology* 2006; **47**: 331-6
- 9 Tracey KJ. Physiology and immunology of the cholinergic antiinflammatory pathway. *J Clin Invest* 2007; **117**: 289-96
- 10 De Ferrari GM, Schwartz PJ. Vagus nerve stimulation: from pre-clinical to clinical application: challenges and future directions. *Heart failure reviews* 2011; **16**: 195-203
- 11 Ackland GL, Whittle J, Toner A, et al. Molecular Mechanisms Linking Autonomic Dysfunction and Impaired Cardiac Contractility in Critical Illness. *Crit Care Med* 2016; **March 4**
- 12 Ackland GL, Iqbal S, Paredes LG, et al. Individualised oxygen delivery targeted haemodynamic therapy in high-risk surgical patients: a multicentre, randomised, double-blind, controlled, mechanistic trial. *Lancet Respir Med* 2015; **3**: 33-41
- 13 Ackland GL, Harris S, Ziabari Y, Grocott M, Mythen M. Revised cardiac risk index and postoperative morbidity after elective orthopaedic surgery: a prospective cohort study. *Br J Anaesth* 2010; **105**: 744-52
- 14 Pearse RM, Ikram K, Barry J. Equipment review: an appraisal of the LiDCO plus method of measuring cardiac output. *Critical Care (London, England)* 2004; **8**: 190-5
- 15 Parati G, Di Rienzo M, Bertinieri G, et al. Evaluation of the baroreceptor-heart rate reflex by 24-hour intra-arterial blood pressure monitoring in humans. *Hypertension* 1988; **12**: 214-22
- 16 Laude D, Elghozi JL, Girard A, et al. Comparison of various techniques used to estimate spontaneous baroreflex sensitivity (the EuroBaVar study). *Am J Physiol Regul Integr Comp Physiol* 2004; **286**: R226-31
- 17 Monahan KD, Dinunno FA, Tanaka H, Clevenger CM, DeSouza CA, Seals DR. Regular aerobic exercise modulates age-associated declines in cardiovagal baroreflex sensitivity in healthy men. *J Physiol* 2000; **529 Pt 1**: 263-71
- 18 Laitinen T, Hartikainen J, Vanninen E, Niskanen L, Geelen G, Lansimies E. Age and gender dependency of baroreflex sensitivity in healthy subjects. *J Appl Physiol (1985)* 1998; **84**: 576-83

- 19 Saint Martin M, Sforza E, Thomas-Anterion C, Barthelemy JC, Roche F, Group PS. Baroreflex sensitivity, vascular risk factors, and cognitive function in a healthy elderly population: the PROOF cohort. *J Am Geriatr Soc* 2013; **61**: 2096-102
- 20 Farrell TG, Paul V, Cripps TR, et al. Baroreflex sensitivity and electrophysiological correlates in patients after acute myocardial infarction. *Circulation* 1991; **83**: 945-52
- 21 Farrell TG, Odemuyiwa O, Bashir Y, et al. Prognostic value of baroreflex sensitivity testing after acute myocardial infarction. *Br Heart J* 1992; **67**: 129-37
- 22 Bennett-Guerrero E, Welsby I, Dunn TJ, et al. The use of a postoperative morbidity survey to evaluate patients with prolonged hospitalization after routine, moderate-risk, elective surgery. *AnesthAnalg* 1999; **89**: 514-9
- 23 Clavien PA, Barkun J, de Oliveira ML, et al. The Clavien-Dindo classification of surgical complications: five-year experience. *Ann Surg* 2009; **250**: 187-96
- 24 Ackland GL, Whittle J, Toner A, et al. Molecular mechanisms linking dysautonomia and impaired cardiac contractility in critical illness. *Crit Care Med* 2016; **in press**
- 25 Hintze J. NCSS 8. . www.ncss.com 2012
- 26 Gouveia S, Scotto MG, Pinna GD, Maestri R, La Rovere MT, Ferreira PJ. Spontaneous baroreceptor reflex sensitivity for risk stratification of heart failure patients: optimal cut-off and age effects. *Clin Sci (Lond)* 2015; **129**: 1163-72
- 27 Fleisher LA, Frank SM, Shir Y, Estafanous M, Kelly S, Raja SN. Cardiac sympathovagal balance and peripheral sympathetic vasoconstriction: epidural versus general anesthesia. *AnesthAnalg* 1994; **79**: 165-71
- 28 Wulsin LR, Horn PS, Perry JL, Massaro JM, D'Agostino RB. Autonomic Imbalance as a Predictor of Metabolic Risks, Cardiovascular Disease, Diabetes, and Mortality. *J Clin Endocrinol Metab* 2015; **100**: 2443-8
- 29 Cramer L, Hildebrandt B, Kung T, et al. Cardiovascular function and predictors of exercise capacity in patients with colorectal cancer. *J Am Coll Cardiol* 2014; **64**: 1310-9
- 30 Hammill BG, Curtis LH, Bennett-Guerrero E, et al. Impact of heart failure on patients undergoing major noncardiac surgery. *Anesthesiology* 2008; **108**: 559-67
- 31 Huang ZM, Gold JI, Koch WJ. G protein-coupled receptor kinases in normal and failing myocardium. *Frontiers in bioscience : a journal and virtual library* 2011; **16**: 3047-60
- 32 Patel PA, Tilley DG, Rockman HA. Physiologic and cardiac roles of beta-arrestins. *J Mol Cell Cardiol* 2009; **46**: 300-8
- 33 Shen FM, Guan YF, Xie HH, Su DF. Arterial baroreflex function determines the survival time in lipopolysaccharide-induced shock in rats. *Shock* 2004; **21**: 556-60
- 34 Longo WE, Virgo KS, Johnson FE, et al. Risk factors for morbidity and mortality after colectomy for colon cancer. *Dis Colon Rectum* 2000; **43**: 83-91
- 35 Angus DC, Barnato AE, Bell D, et al. A systematic review and meta-analysis of early goal-directed therapy for septic shock: the ARISE, ProCESS and ProMISe Investigators. *Intensive Care Med* 2015
- 36 Heusser K, Tank J, Luft FC, Jordan J. Baroreflex failure. *Hypertension* 2005; **45**: 834-9
- 37 Matteoli G, Gomez-Pinilla PJ, Nemethova A, et al. A distinct vagal anti-inflammatory pathway modulates intestinal muscularis resident macrophages independent of the spleen. *Gut* 2014; **63**: 938-48
- 38 Mirakaj V, Dallj J, Granja T, Rosenberger P, Serhan CN. Vagus nerve controls resolution and pro-resolving mediators of inflammation. *J Exp Med* 2014; **211**: 1037-48
- 39 Pal GK, Adithan C, Ananthanarayanan PH, et al. Association of sympathovagal imbalance with cardiovascular risks in young prehypertensives. *Am J Cardiol* 2013; **112**: 1757-62
- 40 Bassi GS, Brognara F, Castania JA, et al. Baroreflex activation in conscious rats modulates the joint inflammatory response via sympathetic function. *Brain Behav Immun* 2015; **49**: 140-7
- 41 Deutschman CS, Tracey KJ. Sepsis: Current Dogma and New Perspectives. *Immunity* 2014; **40**: 463-75

1
2
3 42 Czura CJ, Schultz A, Kaipel M, et al. Vagus nerve stimulation regulates hemostasis in swine. *Shock*
4 2010; **33**: 608-13

5 43 Hermann GE, Tovar CA, Rogers RC. LPS-induced suppression of gastric motility relieved by
6 TNFR:Fc construct in dorsal vagal complex. *Am J Physiol Gastrointest Liver Physiol* 2002; **283**: G634-9

7 44 Karmali S, Jenkins N, Sciuso A, John J, Haddad F, Ackland GL. Randomized controlled trial of vagal
8 modulation by sham feeding in elective non-gastrointestinal (orthopaedic) surgery. *Br J Anaesth*
9 2015; **115**: 727-35.

10 45 Amar D, Fleisher M, Pantuck CB, et al. Persistent alterations of the autonomic nervous system
11 after noncardiac surgery. *Anesthesiology* 1998; **89**: 30-42

12 46 Seely AJ, Bravi A, Herry C, et al. Do heart and respiratory rate variability improve prediction of
13 extubation outcomes in critically ill patients? *Crit Care* 2014; **18**: R65

14 47 Wieske L, Chan Pin Yin DR, Verhamme C, Schultz MJ, van Schaik IN, Horn J. Autonomic
15 dysfunction in ICU-acquired weakness: a prospective observational pilot study. *Intensive Care Med*
16 2013; **39**: 1610-7

17 48 Parlow J, Viale JP, Annat G, Hughson R, Quintin L. Spontaneous cardiac baroreflex in humans.
18 Comparison with drug-induced responses. *Hypertension* 1995; **25**: 1058-68
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Table 1: Baseline characteristics for POM-O patients in whom BRS was calculated pre and postoperatively.

	BRS>6 ms.mmHg⁻¹	BRS<6 ms.mmHg⁻¹
	(n=68)	(n=54)
Age, years	67 (8)	68 (8)
Male	38 (56)	34 (63)
Body mass index, kg m ⁻²	26.1 (4.1)	28.5 (5.5)
Haemoglobin, g.l ⁻¹	123 (14)	129 (19)
Albumin, g.dl ⁻¹	43 (4)	41 (5)
Malignancy	54 (79)	38 (70)
Creatinine >130 μmolL ⁻¹	2 (3)	5 (9)
Diabetes mellitus	14 (21)	14 (26)
History of cardiovascular disease	47 (69)	42 (77)
Surgical procedure*		
Upper gastrointestinal	14 (54)	12 (46)
Liver resection/hepatobiliary	37 (65)	20 (35)
Lower gastrointestinal	11 (48)	12 (52)
Vascular	6 (38)	10 (62)
Hospital*		
University College London Hospital	24	21
Royal Free Hospital	36	19
Royal London Hospital	2	4
St Georges Hospital	6	10

Data presented as mean (SD), or n (%). * indicate % patients/group within each surgical category/hospital.

Table 2. Baroreflex dysfunction and postoperative oxygen delivery. Multivariate analysis assessing (a) Perioperative factors associated with postoperative BRS, (b) achievement of preoperative oxygen delivery target.

a. Perioperative factors associated with postoperative BRS.

Independent variable	Regression Coefficient β	Standard Error	T-Value	P value	Reject H0 at 5%?
Intercept	3.1844	4.6746	0.681	0.50	No
<i>Preoperative</i>					
Age	-0.0186	0.0373	-0.498	0.62	No
Gender	-0.5929	0.6191	-0.958	0.34	No
Body mass index	-0.0589	0.0622	-0.948	0.35	No
Preoperative albumin	0.1123	0.0664	1.693	0.09	No
Cardiac morbidity	-0.4401	0.6562	-0.671	0.5	No
Diabetes mellitus	-0.7982	0.7933	-1.006	0.32	No
Hepatobiliary surgery	1.4997	0.8567	1.751	0.08	No
Upper GI surgery	-0.5455	0.9864	-0.553	0.58	No
Vascular surgery	1.7551	1.5509	1.132	0.26	No
Preoperative BRS	0.4745	0.1242	3.82	0.0002	Yes
<i>Intraoperative</i>					
Duration of operation	-0.0022	0.0033	-0.663	0.50	No
Epidural	1.3221	0.7236	1.827	0.07	No
Blood transfusion	-1.211	0.7136	-1.697	0.09	No
Lactate, end op	-0.4192	0.2649	-1.582	0.11	No
Vasopressor	-0.7537	0.7562	-0.997	0.32	No
<i>Postoperative</i>					
Trial intervention	-0.0871	0.5905	-0.147	0.88	No

b. Factors positively associated with higher oxygen delivery (% preoperative DO₂I value).

Independent variable	Regression Coefficient β	Standard Error	T-Value	P value	Reject H0 at 5%?
Intercept	76.5974	34.8664	2.197	0.03	Yes
Age	0.1571	0.4755	0.33	0.74	No
Postop BRS > 6ms.mmHg ⁻¹	16.8889	8.1576	2.07	0.04	Yes
Cardiac morbidity	-4.7209	8.8376	-0.534	0.59	No
Diabetes mellitus	-7.1517	9.4787	-0.755	0.45	No
Lactate, end op	1.6747	3.1388	0.534	0.59	No
Goal-directed therapy	17.9531	7.7602	2.313	0.02	Yes
Surgery type	3.2863	9.2815	0.354	0.72	No

For Peer Review

Table 3: Perioperative haemodynamics and fluid therapy. Data presented as mean (SD), median (quartiles) or n (%). P values refer to two-way ANOVA comparing haemodynamic intervention X baroreflex type. Asterisk refers to between haemodynamic therapy group comparisons; A denotes significant difference for interaction between haemodynamic intervention X baroreflex type. B denotes significant difference between baroreflex types (BRS>6 v. BRS<6). All patients received 1 ml.kg⁻¹.h⁻¹ Ringers lactate crystalloid solution postoperatively.

	Control arm		Goal-directed therapy		P value
	BRS>6 (n=31)	BRS<6 (n=22)	BRS>6 (n=37)	BRS<6 (n=32)	
Preoperative					
Haemoglobin, g.L ⁻¹	126 (15)	132 (11)	121 (14)	126 (20)	0.71
Cardiac output, ml.min ⁻¹	6.1 (1.5)	6.8 (1.6)	5.9 (2.0)	6.2 (1.8)	0.62
DO ₂ I, ml.min ⁻¹ .kg ⁻¹	550 (157)	607 (140)	516 (166)	559 (171)	0.26
Intraoperative					
Crystalloid, ml.kg ⁻¹ .h ⁻¹	13.3 (8.2)	9.1 (2.9)	10.7 (4.9)	10.5 (5.7)	0.22
Colloid, ml.kg ⁻¹ .h ⁻¹	2.4 (0-5.4)	2.3 (0.7-3.6)	1.8 (0-3.7)	1.6 (0-4.1)	0.68
Blood, no. (%)	2 (6)	8 (36) ^B	7 (19)	11 (34) ^B	0.02
Hb, g.L ⁻¹ , end of op	105 (11)	108 (18)	103 (13)	110 (18)	0.31
Vasopressor, no.(%)	6 (19)	5 (23)	6 (16)	8 (25)	0.82
Lactate, mmol.L ⁻¹	1.6 (1.0)	2.9 (1.3) ^{B,*}	2.0 (1.1)	2.3 (1.6) ^B	^B 0.03; *<0.01
Cardiac output, ml.min ⁻¹	5.6 (2.5)	6.3 (3.1)	5.2 (2.3)	5.2 (1.4)	0.40
DO ₂ I, ml.min.kg ⁻¹	483 (197)	518 (255)	470 (223)	451 (147)	0.48
Postoperative					
Colloid, ml.kg ⁻¹ .h ⁻¹	1.8 (1.6)	2.0 (1.8)	3.0 (1.6)*	2.9 (2.1)*	*0.005
Blood, no. (%)	3 (10)	6 (27)	7 (19)	11 (34)	0.11
Dobutamine, no. (%)	0	0	13 (35)*	12 (38)*	*<0.001
Lactate, mmol.L ⁻¹	1.4 (0.6)	2.1 (0.8) ^B	1.9 (1.6)	2.7 (1.8) ^B	^B 0.003
Cardiac output, ml.min ⁻¹	6.1 (2.0)	6.0 (1.7)	6.8 (2.1)*	7.4 (2.1)*	*0.006
DO ₂ I, ml.min ⁻¹ .kg ⁻¹	497 (174)	431 (132) ^A	537 (164)*	599 (180)*	*<0.01; ^A 0.04

Table 4. Morbidity on postoperative day 2, stratified by postoperative baroreflex sensitivity.

Data represented as number of patients (%) for respective BRS category. P values calculated using Fisher's exact test. ARR- absolute risk reduction.

	Normal			
	baroreflex	Baroreflex		
	sensitivity	dysfunction	ARR (%)	P value
	(n=68)	(n=54)	(95% CI)	
Clavien-Dindo >grade 2	27 (40)	36 (67)	27 (10 to 44)	0.003
POMS-defined morbidity				
Pulmonary	56 (82)	45 (83)	-1 (-12 to 14)	0.89
Infection	18 (26)	25 (46)	20 (3 to 37)	0.02
Renal	61 (90)	46 (85)	-5 (-16 to 7)	0.45
Gastrointestinal	42 (62)	37 (69)	7 (-10 to 24)	0.44
Cardiovascular	10 (15)	19 (35)	21 (5 to 36)	0.008
Neurological	7 (10)	10 (19)	8 (-4 to 21)	0.19
Wound	2 (3)	3 (6)	3 (-5 to 10)	0.47
Haematological	7 (10)	7 (13)	3 (-9 to 14)	0.65
Pain	58 (85)	43 (80)	-6 (-19 to 8)	0.41