Outcomes after Cryoballoon or Radiofrequency Ablation for Persistent Atrial Fibrillation: a

Multicentric Propensity-score Matched Study

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Short Title: Cryo or RF for Persistent-AF

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Abstract

Purpose: Recent data show no benefit of additional ablation beyond pulmonary vein isolation (PVI) in persistent atrial fibrillation (AF). Evidence suggests that radiofrequency energy (RF) and cryoballoon (CRYO) have comparable efficacy for PVI. We aimed to assess the outcomes after a single catheter ablation procedure, comparing PVI using CRYO vs. RF ablation for PVI plus additional ablation in a cohort of patients with persistent AF.

Methods: In this prospective multicenter propensity score-matched comparison, 59 consecutive patients undergoing CRYO ablation of persistent AF were matched to 59 patients treated with RF from November 2010 to June 2012.

Results: During a mean follow-up of 15.6±11.5 months, 43.2% of patients presented atrial arrhythmia relapse after a blanking period of 3 months, which was comparable between the two groups (40.7% in CRYO vs. 45.8% in RF, Log rank P=0.14; adjusted HR= 1.46, 95%CI 0.48-4.41, P=0.50), despite the fact that 52.5% of RF patients add additional complex fractionated atrial electrogram ablation, as well as left atrial linear ablation in over two-thirds (roof line in 67.8% and mitral isthmus in 32.2%). Patients undergoing RF ablation presented a numerically, but non-significantly, lower complication rate (5.1% vs 10.2%, P=0.51).

Conclusion: In our multicenter experience, freedom from atrial arrhythmias was comparable among matched patients treated with CRYO and RF, despite non-significant trends in favour of RF in terms of complications, at the cost of longer procedure times.

Keywords: Atrial fibrillation; Pulmonary vein isolation; Arrhythmia relapse; Cryoballoon ablation; Radiofrequency ablation.

Abbreviations: PVI – Pulmonary Vein Isolation; SR – Sinus Rhythm; CFAE – Complex Fractionated Atrial Electrograms; CRYO – Cryoballoon; AAD – Anti-Arrhythmic Drug; AT – Atrial Tachycardia; PNP – Phrenic Nerve Palsy.

Background

Percutaneous catheter ablation is an established treatment option for patients with symptomatic drug-refractory atrial fibrillation (AF) [1]. Pulmonary vein isolation (PVI) is the cornerstone of AF ablation [1] and although effective in maintaining sinus rhythm (SR) in patients with paroxysmal AF, it appears to have limited success in persistent AF [1-3]. Initial data have suggested that additional line ablation [4] or complex fractionated atrial electrograms (CFAE) ablation [5] could decrease arrhythmia recurrence. However, recent results of meta-analyses [6] and randomized controlled trials [7] did not confirm the benefit of additional ablation beyond PVI in the outcome of persistent AF ablation, emphasizing the importance of durable PVI, even in persistent AF.

Cryoballoon (CRYO) ablation as emerged as an alternative approach to radiofrequency (RF) ablation and has proved to be at least equivalent for PVI in patients with paroxysmal AF [8-10]. The relative simplicity and faster learning curve associated with this approach have led to widespread adoption of this technology in clinical practice [8, 10].

Until now, only sparse data are available comparing the 1-year clinical outcome between the two techniques in patients with persistent AF. In this prospective, multicenter comparison we evaluated the outcomes after a single catheter ablation procedure, using the first generation CRYO for PVI versus open-irrigated RF ablation through a "stepwise approach" in a cohort of patients with persistent AF.

Methods

Setting and Study Population

Data concerning all consecutive patients undergoing a first procedure of cryoballoon ablation for persistent AF ablation in six centres from a French ablation Survey (NCT01918670-FrenchAF) were prospectively retrieved. This survey gathered tertiary public Universitary and Private centers all referent for AF ablation. Basically, FrenchAF included all consecutive patients older than 18 undergoing catheter ablation of paroxysmal and persistent AF refractory to at least one anti-arrhythmic drug agent in any of the participant centres from November 2010 to June 2012. Data regarding all patients within the specified time window was prospectively collected and inserted into each Centre's database in the day of the procedure.

The subgroup of persistent AF patients treated with CRYO was selected for this study. In the RF-treatment arm, to be eligible, a standard RF catheter ablation procedure (point-by-point or dragging) using a 3-D mapping system had to be performed. Patients undergoing RF ablation with single-shot techniques like Ablation Frontiers, (Medtronic©, Minneapolis, MN, USA) or NMARQTM, (Biosense Webster©, South Diamond Bar, CA, USA), or with a previous AF ablation procedure were excluded from analysis.

The second-generation Artic Front Advance only became available in France after the inclusion period of the study (June 2012) and contact-force sensing catheters were being used at that time in only one of the centers. In order to homogenize the groups and make them comparable, we decided only to compare first generation CRYO vs. non-contact-force open-irrigated RF, and hence, patients treated with contact-force sensing RF catheters were excluded from analysis.

All participant centers had been performing RF for more than 10 years and CRYO had been in use since 2008 in two centers and 2010 in the remainder.

Sample Characterization

All variables at the time of the procedure were defined and categorized according to the literature or common practice. Persistent AF was defined as continuous AF sustained beyond 7 days or when a decision was made to cardiovert the patient after ≥48 hours of AF, but prior to 7 days [1]. Patients with longstanding persistent AF (current episode continuously lasting for more than one year) [1] were also considered eligible. AF duration was defined as the total duration since the initial AF diagnosis (either paroxysmal and/or persistent) has been made.

Valvular cardiomyopathy was defined as presence of one of the following: moderate or severe aortic or mitral valve regurgitation, any degree of aortic or mitral valve stenosis and/or previous cardiac valve replacement/repair.

Information was collected regarding demographics, anthropometric data, baseline thromboembolic and bleeding risk, anti-arrhythmic drugs (AADs) prior to the procedure, atrial dilation, left ventricular ejection fraction and presence of structural heart disease.

Ablation Procedure

Data regarding the ablation procedure was recorded. The choice of pre-procedural imaging (i.e. transoesophageal echocardiogram, multidetector cardiac computed tomography and/or cardiac magnetic resonance imaging), type of anesthesia (general anesthesia vs. conscious sedation), choice of ablation technique for every particular patient was left at the discretion of the different participating centers.

PVI was the endpoint in all procedures. Data was collected regarding procedural and fluoroscopy duration, use of 3D mapping systems, ablation technique and AADs at hospital discharge.

Cryoballoon ablation

A 14-French deflectable sheath (FlexCath® Medtronic©) was introduced into the left atrium (LA) after a single transseptal puncture. Then the Artic Front™ (Medtronic©) balloon was introduced in the sheath, inflated, and advanced to the *ostium* of each pulmonary vein (PV) and ablation of PV *antra* was performed with at least two applications of 240 s per vein. Use of the 28mm CRYO was recommended but left to each physician's choice. Occlusion of each vein was assessed with venous angiography. Continuous monitoring of the phrenic nerve during ablation of the right PVs was systematically performed through right phrenic nerve pacing using a quadripolar catheter placed in the superior vena cava.

In the event of failure to restore SR while ablating, direct-current cardioversion was performed in the end of the procedure. PVI was assessed using a circular catheter after two applications. If the PVs remained connected, additional applications were performed using different angulations. Durable PVI was checked 20 min after the last ablation.

Radiofrequency ablation

A single or dual transseptal approach was used at the discretion of the operator. LA geometry was collected using a circular mapping catheter guided by 3D electroanatomic mapping system (Carto 3, Biosense Webster© or EnSite NavX, St. Jude Medical©, St. Paul, MN, USA). Wide antral circumferential ablation was performed using a 4-mm irrigated-tip, non-contact force sensing catheter. PVs were isolated at the level of their *antrum* by creating a circular continuous lesion in a point-by-point fashion. A ten-pole circular catheter permitted to assess PV isolation. Performing additional CFAE ablation was left at the discretion of the operator. When sinus rhythm (SR) was not restored, according to physician's strategy based on the analysis of the maps provided by

the CARTO or the NavX systems, linear lesions were deployed into the LA: roof line, mitral isthmus line, posterior line (joining the left inferior PV to the right inferior PV willing to isolate the LA posterior wall), septal line and inferior line. Pre-settings used were 30 W/48°C/20 cc/min except for the posterior line for which 25 W maximum were delivered. Whenever, AF converted into atrial tachycardia (AT); this last was mapped and ablated. When SR was restored by catheter ablation either directly from AF or through an AT, the procedure was stopped. If AT could not be converted by catheter ablation into SR, the procedure was, and SR obtained by direct-current cardioversion (DCCV). Similarly, if AF was neither converted into AT nor into SR at the end of the procedure, SR was also restored by DCCV.

RF ablation of the cavotricuspid isthmus was performed in both groups at the discretion of the operator, if typical flutter had been previously identified or if the arrhythmia organized into an isthmus dependent atrial flutter.

Once the patient was in SR, bidirectional block was systematically assessed at the PVs antra, the cavo-tricuspid isthmus, the LA roof and the mitral isthmus.

Follow-up and Outcomes

Patients could be discharged on anti-arrhythmic agents, according to investigator's preference, but these were stopped after the first 3 months. The first three months post-procedure were classified as a blanking period [11]. The primary endpoint was AF/atrial tachycardia (AT) recurrence, defined as any symptomatic or asymptomatic atrial arrhythmia lasting >30 seconds after the blanking period. However, if a patient relapsed during the blanking period and remained in AF/AT despite all attempts to restore SR, this was also considered a procedural failure. AF/AT relapse before discharge, and relapse during the 3-months blanking period were also systematically assessed.

The following monitoring protocol was proposed after discharge: a clinical assessment either at the ablating center or with patient's local cardiologist including a 12-lead ECG and a 24-hour Holter at 1, 3, 6, 9, and 12 months after the procedure. Following that, one consultation per year with an ECG and a 24-hour Holter was also suggested. In the event of a patient developing symptoms suggestive of relapse, the recommendation was to perform a 12-lead ECG as soon as possible and if this failed to document the arrhythmia, a 24-hour Holter or

an external loop recorder were advised. In patients with previously implanted intracardiac rhythm management devices these were used for monitoring AF/AT relapses.

With regard to safety, the following complications were systematically screened: vascular complications (if requiring intervention or prolongation of admission), thromboembolism (transient ischemic attack, stroke and/or systemic embolism), phrenic nerve palsy (PNP) persisting after the procedure, pericardial effusion (if causing haemodynamic instability and/or requiring pericardiocenthesis or prolonged monitoring), and procedure-related death.

ECG and Holter tracings were organized and checked by the locally and adjudication of relapse or procedural complications was done by the local electrophysiologist investigator.

Statistical analysis

Chi-square was used for the comparison of nominal variables. The t-student test and one-way ANOVA, or their non-parametric equivalents, Mann-Whitney and Kruskall-Wallis when appropriate, were used for comparison of continuous variables; the *Levene's* test was used in order to check the homogeneity of variance. Results with P<0.05 were regarded as significant.

A propensity score was obtained for all participants undergoing a first procedure of persistent AF ablation through binary logistic regression: ablation technique (RF or CRYO) was the binary outcome and all baseline variables were used as covariates for estimating a probability (the propensity score). Then, probabilities in the CRYO group were matched 1:1 to the best RF corresponding patient using the nearest neighbour matching approach. Histograms and box-plots, and comparison of means and medians, were used for assessing distribution and matching success.

Comparisons between RF and CRYO were then performed. Kaplan-Meier curves were traced for comparing sinus rhythm maintenance among the two intervention groups. The log rank test was used for assessing the existence of differences. The propensity-matched hazard ratio for this comparison was estimated after adjustment for all baseline variables whose comparison had shown a P of <0.5 (Multivariate Cox Regression, Method: Enter).

In propensity matched pairs, Univariate Cox and multivariate Cox regressions (Method: forward likelihood ratio, probability for stepwise= 0.05) were performed for assessing for possible predictors of atrial arrhythmia relapse after blanking.

Data was filled into a pre-defined data introduction electronic sheet made available to all participant Centers.

After completion of follow-up, data from all Centers was merged and analysed at the Coordinating Center (Clinique Pasteur, Toulouse).

PASW Statistics (SPSS Inc, Chicago, IL) version 18.0 was used for descriptive and inferential statistical analysis. G*Power 3.1.2. was used for power assessment of the sample.

Results

Study population

Among 622 consecutive procedures of persistent AF ablation in the *FrenchAF* survey, 142 were excluded as they were redo ablation procedures, and 51 procedures were also excluded as a contact-force sensing catheter was used. Among the remaining 429 patients, 59 consecutive patients undergoing CRYO ablation for persistent AF and 59 propensity score-matched controls treated with RF were included in this analysis. Mean age of the sample was 59.9±10.7 and 17.8 % (n=21) were women. The mean AF duration since diagnosis, CHA₂DS₂-VASc and HAS-BLED scores were 4.0±4.0 years, 1.2±1.1 and 0.6±0.7, respectively. Figures 1 and 2 illustrate the similar distribution (absolute values and Quartiles, respectively) of the propensity score among the two treatment groups. Propensity-score matching was accurate, and no significant baseline differences were present between the two treatment arms (Table 1).

Data on patients undergoing a first procedure of persistent AF ablation is illustrated in S-Table 1 (Supplementary material). This shows that our cohort of patients treated with CRYO and, correspondingly, their RF controls, are mainly composed of a less advanced persistent AF phenotype ("early persistent AF"), has they have a lower CHA₂DS₂-VASc score, and consequently lower prevalence of congestive heart failure, hypertension and Diabetes mellitus, present in sinus rhythm more often at the start of the procedure, have less dilated left atria, and more preserved LVEF. Also, only a very small minority of our eligible cohort was composed of longstanding persistent AF, unlike in the overall FrenchAF sample, where this was almost 15%.

Procedural Data

The prevalence of AF at the beginning of the procedure was comparable: 78.0% RF vs. 72.9% CRYO (P=0.52). Patients undergoing CRYO ablation presented shorter procedure (120±33 vs. 152±61, P<0.01) and similar

fluoroscopy duration (28±16 vs. 36±13, P=0.27) compared to the RF group (see Table 2). The large, 28mm, Artic Front TM balloon was used in all patients.

All PVs were isolated in 58 (98.3%) patients in each group. Five patients (8.5%) in the CRYO group required focal "touch-up" RF ablation to achieve PVI. In the RF group, 52.5% of patients (n=31) had CFAE, and ablation directed to the roof and the mitral isthmus in 67.8% (n=40) and 32.2% (n=19) patients, respectively.

The cavotricuspid isthmus line was ablated in 12 patients in the RF group and in 11 patients in the CRYO group (20.3% vs. 18.6%, P=0.82).

No differences in AADs prescription at discharge were observed between the two groups (47.5% in RF vs. 49.2% in CRYO, P=0.85).

Procedural Complications

Ten of the 118 patients (8.5%) experienced a complication, as detailed in Table 3. Patients undergoing RF ablation presented a numerically, but non-significantly, lower complication rate (6.8% vs 10.2%, P=0.51). PNP was only observed in 2 patients – both belonging to the CRYO group, but resolved completely before discharge.

Efficacy

No significant differences were observed among the two treatment strategies regarding relapses during the blanking period (35.6% in RF vs. 22.0% in CRYO, P=0.10). Based on an Alpha of 0.05, this sample of 118 individuals had a power of 0.80 (Beta 0.20) for showing a 40% reduction in relapse (effect size of 0.28 assuming a 50% relapse rate at 12 months), expecting 10% of individuals to be lost to follow-up during the study.

All patients had at least 6 months of follow-up, and between 6 and 12 months, 8 patients (7%) were lost to follow-up. During mean follow-up of 15.6±11.5 months, 43.2% (n=51) of patients presented AF/AT relapse.

Kaplan-Meier curves for freedom from AF/AT in the two treatment groups were comparable (log rank P=0.14; adjusted HR= 1.46, 95%CI 0.48-4.41, P=0.50), as seen in Figure 3, with 40.7% (n=24) vs. 45.8% (n=20) relapse rates in the CRYO and RF groups, respectively.

Predictors of arrhythmia relapse

On multivariate Cox regression (Table 4), only AF duration in years (HR=1.10, 95%CI 1.01-1.10, P=0.04) was a predictor of relapse.

Discussion

In this first propensity-score matched comparison of CRYO vs. RF for persistent AF ablation several findings are noteworthy. Until now, outcomes after CRYO ablation procedures in persistent AF patients have been evaluated indirectly by meta-analyses [9], non-randomized comparisons [10, 12], or observational studies [13-15]. In this study, we have tried for the first time to compare both techniques more directly, thanks to a propensity-score matching (1:1). Indeed, even if it will not replace a randomized trial, we believe that this approach can provide a more comprehensive and objective insight of this timely question. Second, patients treated with cryoablation had shorter procedural duration in this particular indication. Third, despite the additional and more extensive ablation beyond PVI in the RF group a comparable complication rate was observed in the two treatment arms.

In this day and age, the pathophysiology and best approach for persistent AF ablation are still unclear, and therefore the cornerstone of this therapy is still the achievement of a durable PVI [7]. For that purpose, cryoablation was shown to be a viable alternative to RF.

In our data, performing additional ablation was associated with longer procedure duration. This has been found in previous meta-analyses comparing CRYO ablation with RF [9, 16] and PVI with PVI plus additional atrial ablation [7], although this finding varies among reports published in literature.

The non-homogenous RF ablation approach (more than two thirds of patients in the RF arm received additional lesions) casts doubt on whether the energy source or the ablation strategy was responsible for the observed results in outcome. The reason why additional ablation was not associated with an increased benefit is still unclear. It could be that a substrate approach with more extensive ablation may be iatrogenic and may predispose to new areas of arrhythmogenesis due to incompletely ablated tissue or incomplete lines of conduction block [17, 18]. On the other hand, we can hypothesize that the culprit area was not targeted and neither CFAE nor linear lesions are the ideal complementary targets for ablation [19-21] and we still need to identify accurately the "eye of the storm"— like targeting rotors [22], ganglia [23] or using other mapping algorithms [24, 25].

Our results for persistent AF ablation, both with CRYO and RF, showing 56.8% freedom from atrial arrhythmias during follow-up after a single ablation were comparable to the available data. In other trials, persistent AF patients treated with CRYO ablation presented a 42-67% freedom from atrial arrhythmias at approximately 12

months [8, 12, 26]. Patients with persistent AF undergoing RF ablation also presented a comparable rate of freedom from AF after a single RF procedure [7, 12].

One consideration should also be made regarding the potential need for a RF catheter in cryoablation. Several patients have right atrial flutter associated to AF episodes. In our study, a RF catheter was used in 11 patients (18.6%) in the CRYO group (for completing PVI or ablating the cavotricuspid isthmus). Therefore a significant percentage of patients undergoing cryoablation for persistent AF needed a second ablation catheter, resulting in increased procedural costs.

With regard to safety, the incidence of complications was comparable and PNP occurred only in the cryoablation group, and in all cases PNP was temporary. This confirms the experience of other groups performing RF and cryoablation [10, 27, 28].

Our data reinforce the role of AF duration, and consequently electrical AF remodeling ("AF begets AF"), as only AF duration (years since diagnosis and longstanding persistent AF) was an independent predictor of arrhythmia relapse like previously shown by Tilz et al. [29]. Need for cardioversion at the end of the procedure was not a predictor of relapse in our sample. Studies in persistent AF patients revealed that AF termination during procedure is associated with a better outcome and failure to terminate AF was a predictor of recurrence [30-32]. Those studies provided support for additional atrial ablation, as it frequently increases the rate of patients converting to sinus rhythm during the procedure.

Study limitations

We acknowledge several limitations in our investigation. First, the results of this multicenter study should be interpreted carefully in view of its non-randomized design. Nevertheless, the use of propensity-score matching provided an appropriately matched control group, minimizing that issue. Second, since this was a multicenter registry, differences in ablation strategy and peri-procedural management may have existed among centers and operators. Third, as illustrated in Table S-1, the true groups of persistent AF patients compared in this analyses represent a lower risk AF cohort, and should therefore be regarded with caution, as they may not be extrapolated to the global persistent AF population. Lastly, in this sample, only first generation Artic Front balloon and non-contact-force sensing catheters were used, as this allows a fairer comparison, as previously shown by Mugnai et al. [28], and the new generation technologies were not available at the time to all (contact-force sensing catheters) or any (2nd generation cryoballoon) centers of the *FrenchAF* survey. In current

practice these new generation catheters are expected to improve the freedom from atrial arrhythmia recurrence, with the 2nd generation cryoballoon and contact-force sensing catheters presenting better results than their previous generation counterparts (non contact-force sensing catheters vs. contact-force sensing catheters [33] and 2nd generation vs. 1st generation cryoballoon [34, 35]), but presenting similar results in direct comparisons [12, 36, 37]. We believe that these positive evolutions don't detract the global message of our paper which emphasizes the major importance of PVI for treating persistent AF patients, but further studies are of course needed with the latest generation catheters in order to confirm our encouraging results.

Conclusions

This prospective, multicenter, propensity-score matched analysis comparing CRYO with open-irrigated RF ablation in the setting of persistent AF ablation shows that freedom from atrial arrhythmias was comparable in both treatment arms, despite non-significant trends in favour of RF in terms of complications, at the cost of longer procedure times.

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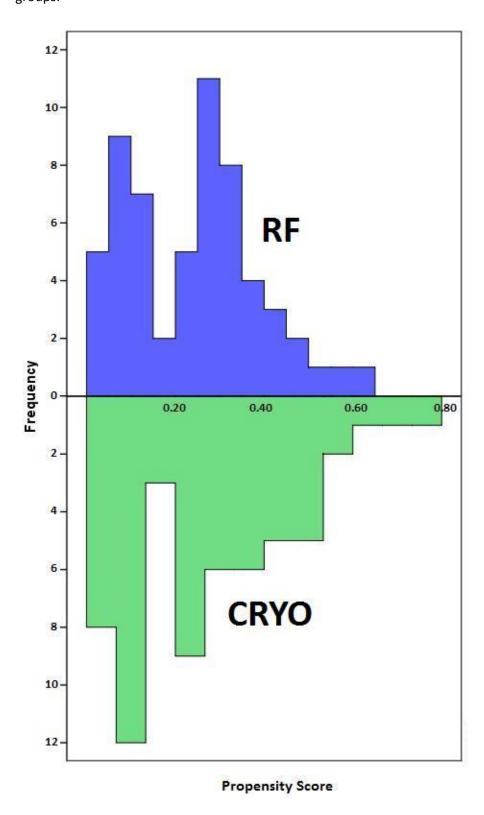
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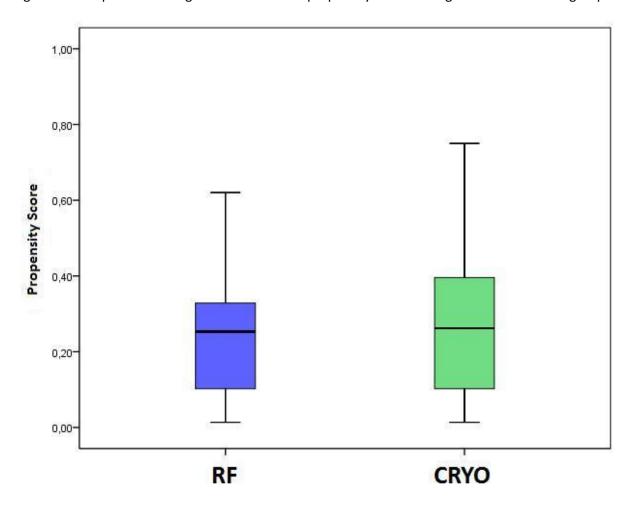
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Figure 1 – Histogram illustrating the distribution of propensity-score among the two treatment groups.



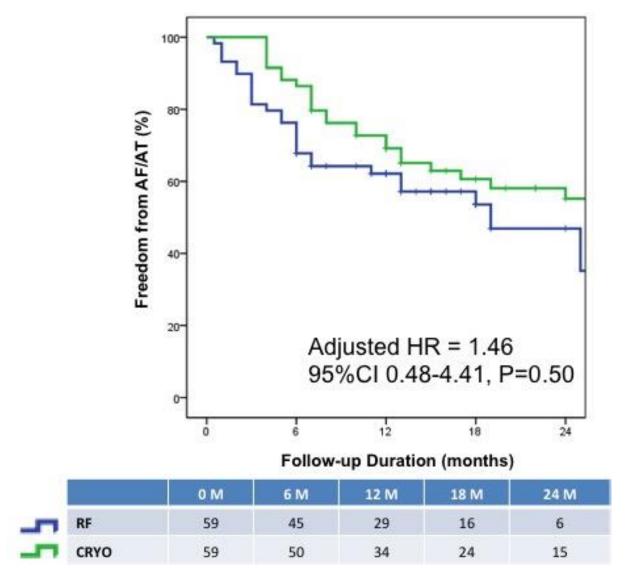
Legend: Comparison of means (t-student) shows no significant differences between the two groups - RF 0.24±0.15 vs. CRYO 0.27±0.19, P=0.28. RF – radiofrequency ablation; CRYO – cryoballoon ablation.

Figure 2 – Box-plot illustrating the distribution of propensity-score among the two treatment groups.



Legend: Comparison of medians (Mann-Whitney) shows no significant differences between the two treatment groups — RF 0.25 (0.10-0.33) vs. CRYO 0.26 (0.10-0.40), P=0.46. RF — radiofrequency ablation; CRYO — cryoballoon ablation.

Figure 3 – Kaplan-Meir curve illustrating freedom from arrhythmia relapse.



Legend: AF – atrial fibrillation; AT – atrial tachycardia; HR – hazard ratio; CI – confidence interval; RF – radiofrequency ablation; CRYO – cryoballoon ablation.