

1 **Are we ready for genetic testing for primary open-angle glaucoma?**

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9 The authors declare that they have no conflict of interest.

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21 **Abstract**

22 Following a dramatic reduction in the cost of genotyping technology in recent years, there
23 have been significant advances in the understanding of the genetic basis of glaucoma.
24 Glaucoma patients represent around a quarter of all outpatient activity in the UK hospital eye
25 service and are a huge burden for the National Health Service. A potential benefit of genetic
26 testing is personalised glaucoma management, allowing direction of our limited healthcare
27 resources to the glaucoma patients who most need it. Our review aims to summarise recent
28 discoveries in the field of glaucoma genetics and to discuss their potential clinical utility.

29 While genome-wide association studies have now identified over ten genes associated with
30 primary open-angle glaucoma (POAG), individually, variants in these genes are not predictive
31 of POAG in populations. There are data suggesting some of these POAG variants are
32 associated with conversion from ocular hypertension to POAG and visual field progression
33 among POAG patients. However, these studies have not been replicated yet and such genetic
34 testing is not currently justified in clinical care. In contrast, genetic testing for inherited early-
35 onset disease in relatives of POAG patients with a known genetic mutation is of clear benefit;
36 this can support either regular review to commence early treatment when the disease
37 develops, or discharge from ophthalmology services of relatives who do not carry the
38 mutation. Genetic testing for POAG at a population level is not currently justified.

39

40 **Introduction**

41 Glaucoma remains the second commonest cause of certifiable visual loss in England and
42 Wales.¹ Given the chronic nature of glaucoma, lifelong follow-up is generally required.
43 Therefore, glaucoma patients form a large proportion of outpatient activity in the UK hospital
44 eye service (an estimated 23% of all follow-up attendances) with over 1 million glaucoma-
45 related visits per year.^{2,3} This represents a huge burden for the National Health Service (NHS)
46 which is likely to grow further given the projected increase in the number of people with
47 glaucoma.⁴ Genetic testing offers the promise of personalised glaucoma management and
48 directing limited healthcare resources to the patients that need it most.

49 There is strong evidence for a genetic contribution to the commonest form of glaucoma,
50 primary open-angle glaucoma (POAG). One twin study estimated the heritability of POAG to
51 be 13%, though this is a likely underestimate given glaucoma case ascertainment was via
52 prescribing registries and that a considerable proportion of glaucoma in a population is
53 undiagnosed.⁵ First-degree relatives of POAG patients were shown to have a 9-fold increased
54 risk of developing glaucoma in their lifetime compared to relatives of controls in the
55 population-based Rotterdam Study.⁶ Most convincingly, with the advent of affordable high-
56 throughput DNA genotyping, there have now been multiple genes identified as contributing
57 to susceptibility for POAG.⁷

58 What is the future potential of genetic testing in the management of POAG? For patients
59 already diagnosed with POAG, genetic testing may offer prognostic information which may
60 guide the intensity of their treatment and follow-up strategy. Genetic testing may also guide
61 which treatments are most suitable for individual patients, predicting the most efficacious
62 treatment and the treatment least likely to induce side effects. Within families with
63 hereditary glaucoma, identifying the genetic cause will allow testing of offspring to determine
64 who requires close monitoring and early treatment. The potential benefits are clear to see,
65 but is our knowledge sufficient or our tools accurate and affordable enough that we are now
66 ready for genetic testing in glaucoma management? Our review aims to answer these
67 questions while giving a conceptual overview of POAG genetics and an update on recent
68 advances in the field. The role of genetic testing in congenital glaucomas⁸ is established and
69 beyond the scope of this review.

70

71 **Search strategy**

72 We conducted the following Medline search: "Genetic Testing"[Mesh] AND
73 "Glaucoma"[Mesh]. We further considered studies that were referenced in the articles
74 identified in the initial search.

75

76 **Mendelian versus complex disease**

77 Mendelian disorders are conceptually the simplest demonstration of how genes can be
78 responsible for disease. A single genetic defect alone causes a disease and if this is passed on
79 by parents, their children will potentially inherit the disease. Common forms of inheritance
80 of Mendelian disorders include autosomal dominant, autosomal recessive and X-linked
81 recessive. If the genetic defect responsible for the disease in the family is identified, it is
82 possible to screen offspring to determine their risk of disease and potentially take
83 preventative action. For example, Angelina Jolie famously underwent bilateral mastectomy
84 to prevent breast cancer knowing she had inherited the *BRCA1* gene mutation that had
85 caused breast cancer in her family.⁹

86 A complex disease is generally not caused by a single genetic defect; multiple genetic and/or
87 environmental factors combine to collectively result in disease. Conceptually, it can be
88 considered that each individual risk factor is insufficient to cause disease on its own and that
89 each risk factor may not be present in all cases of disease (**Figure 1a**). The fact that the risk
90 factor may not be present in all cases and yet present in some controls makes identifying each
91 individual risk factor challenging in complex disease. Large sample sizes are required to
92 provide adequate power to identify each risk factor. An alternative way of conceptualising
93 complex disease is shown in **Figure 1(b-d)**. It may be that a single genetic factor is sufficient
94 to cause disease, and that another single genetic factor is also sufficient to cause the disease,
95 and these two different 'flavours' of the disease are indistinguishable or have not been
96 separated during analyses. Again, in this situation also, important risk factors may not be
97 present in all cases of the disease, posing a challenge for their identification. Similarly, large
98 sample sizes can help identify each risk factor. Additionally, in this conceptual model,

99 accurate phenotyping and separating cases into biologically meaningful subgroups can help
100 improve power for detection of risk factors.

101

102 **Genetic mutations versus genetic variants**

103 As stated above, a Mendelian disease is caused by a single genetic alteration which is usually
104 rare and is alone sufficient to cause a gene to malfunction and result in disease. Such genetic
105 alterations are termed ‘mutations’. ‘Variants’, on the other hand, are points in the genome
106 (DNA code) at which we vary from one another. The human genome is greater than 3 billion
107 nucleotides long, but we vary at less than 1% of these. The commonest form of variation is a
108 nucleotide substitution at a single point in the genome and this is referred to as a single
109 nucleotide polymorphism (SNP). A genetic variant alone does not *cause* disease, but may be
110 *associated* with disease. Possessing a variant may increase or decrease the risk of disease,
111 but alone is insufficient to cause disease and is unlikely to be predictive of who will develop
112 disease (*cf* arrows in **Figure 1a**). Complex diseases may have many associated genetic
113 variants. It is the cumulative contribution of these associations, or potentially interactions
114 between them, that ultimately result in disease (**Figure 1a**).

115

116 **Approaches to discovering genes that contribute to POAG**

117 Identifying a new gene for POAG in a hypothesis-independent manner requires methodology
118 that looks for association across the whole genome. Until recently, it was not feasible to
119 examine all independently inherited SNPs genome-wide. However, this was not necessary if
120 examining genetic factors that segregate with disease in large families with inherited POAG.
121 This approach is called linkage analysis and requires only around 400 markers to cover the
122 whole genome. Linkage studies have identified several genes associated with glaucoma, such
123 as myocilin (*MYOC*),¹⁰ optineurin (*OPTN*)¹¹ and WD repeat domain 36 (*WDR36*).¹² Mutations
124 in these genes have been reported to cause autosomal dominant Mendelian POAG in the
125 studied families. Further details on the roles of these genes in POAG have been previously
126 reviewed.^{7,13,14} While a mutation in one of these genes may completely explain the
127 development of POAG in some families, collectively, mutations in these genes contribute to

128 only around 6% of POAG cases in the general population.¹⁵⁻¹⁷ More recently, family studies
129 have identified TANK binding kinase 1 (*TBK1*) as another cause of Mendelian POAG.¹⁸ Rather
130 than a mutation within the gene, it is duplication of the gene and the resultant increase in
131 function that appears to be causing the glaucomatous process.

132 The cost of genome-wide genotyping has fallen dramatically in recent years, at a rate much
133 faster than Moore's Law. This has resulted in affordable high-throughput technologies that
134 can measure all common independently inherited genetic variation across the whole genome
135 in individuals. Therefore, it has become possible to investigate genetic associations with
136 POAG, hypothesis independent and genome-wide, without the need for families. Instead,
137 unrelated POAG cases are collected and compared with unrelated controls at several million
138 genetic markers (some directly measured and others imputed based on reference data). This
139 approach is called a genome-wide association study (GWAS). GWAS identifies common
140 variants (with a frequency of over 5% in the general population) associated with disease.
141 Given the number of genetic variants examined, there is a multiple testing statistical issue.
142 For this reason, associations are only considered significant and valid if the *P*-value is very
143 small (a 'hit' is considered to be 'genome-wide significant' if $P < 5 \times 10^{-8}$) and there is evidence
144 for the same association in an independent cohort. The first glaucoma GWAS discovery was
145 the *LOXL1* locus for exfoliation glaucoma.¹⁹ The first replicated GWAS discovery for POAG
146 was in an Icelandic population which identified a significant locus near *CAV1* and *CAV2* (both
147 of which are expressed in retinal ganglion cells and trabecular meshwork).²⁰ Further GWAS
148 of European populations have identified other significant POAG loci in discovery cohorts from
149 the United States^{21,22} (near or at *SIX1/SIX6*, *TXNRD2*, *ATXN2* and *FOXC1*) and Australia^{23,24}
150 (*TMCO1*, *CDKN2B-AS1*, *ABCA1*, *AFAP1* and *GMD5*). A POAG GWAS in people of Chinese
151 descent identified a significant locus in *PMM2*.²⁵ Despite these identified variants being
152 common, the effect of each one is small, and collectively they explain only a small fraction
153 (<5%) of POAG heritability. It is anticipated many more loci will be identified as the statistical
154 power improves with a larger sample of POAG cases. The first glaucoma GWAS success was
155 for pseudoexfoliation glaucoma in 2007 which identified common variants in lysyl oxidase-
156 like protein 1 (*LOXL1*) as strongly associated with disease.¹⁹ Following this, the combination
157 of cases from a large international consortium has identified further pseudoexfoliation
158 glaucoma loci at *CACNA1A*,²⁶ *POMP*, *TMEM136*, *AGPAT1*, *RBMS3* and *SEMA6A*.²⁷ There has also

159 been some GWAS success for primary angle-closure glaucoma, with eight genetic loci
160 identified to date (near or at *PLEKHA7*, *COL11A1*, *EPDR1*, *PCMTD1–ST18EPDR1*, *CHAT*, *GLIS3*,
161 *FERMT2*, and *DPM2–FAM102A*).^{28,29}

162 There have also been multiple GWAS hits for heritable quantitative traits related to glaucoma
163 (endophenotypes), such as intraocular pressure (IOP), and optic cup-disc ratio (CDR). A large
164 IOP GWAS from the International Glaucoma Genetics Consortium (IGGC) identified *GAS7* as a
165 significant locus for both IOP and POAG.³⁰ There have been over 40 genetic loci identified for
166 CDR in the largest published GWAS meta-analysis from the IGGC.³¹ However, it remains
167 unclear what role these loci have in disease, as the majority do not demonstrate association
168 with POAG when tested in the available cohorts. It is possible these variants are related to
169 developmental processes and associated with optic disc anatomy and not the pathological
170 glaucomatous cupping process. Alternatively, these variants are associated with POAG
171 aetiological processes, but there is insufficient power in the currently available POAG case-
172 control datasets to confirm the associations.

173

174 **Evidence for clinical utility of genetic testing in POAG**

175 Learning which genes contribute to POAG can inform us about previously unknown biological
176 pathways that are important in disease aetiology and progression. In the longer term, these
177 discoveries can prompt further research into these pathways and potentially lead to new
178 treatments. In the shorter term, it is possible that genetic markers are of predictive value and
179 can help personalise glaucoma management.

180

181 *Diagnosis in hereditary POAG*

182 There are situations when genetic testing can be helpful for managing families with inherited
183 POAG. For example, a young member of a family with severe, early onset, autosomal
184 dominant POAG may benefit from knowing their likelihood of developing the disease.³² If the
185 mutation causing POAG in that family is identified (e.g. by testing for myocilin mutations in
186 affected family members), then the individual concerned can be tested for that mutation
187 (cascade genetic testing). If they do not carry the myocilin mutation, then their risk of

188 developing POAG will be similar to the risk in the general population, and this would allow
189 discharge from routine ophthalmic examinations.³² Such information may even inform life
190 choices such as occupation, especially if the disease is of early onset. Conversely, if they do
191 carry the mutation, this would warrant regular follow-up for early signs of raised IOP and
192 permit early treatment.

193 More general screening of relatives for an identified disease-causing mutation is termed
194 cascade genetic testing. There is some evidence that early diagnosis and treatment of
195 myocilin-related POAG following cascade genetic testing may result in a better clinical
196 outcome. In a retrospective study, glaucoma severity parameters were compared between
197 patients who were identified by cascade genetic testing (Genetic cases) and patients who
198 presented through normal clinical pathways and were subsequently found to have a myocilin
199 mutation (Clinical cases).³³ Clinical cases had significantly higher maximum IOP, larger CDR
200 and worse visual field mean deviation than Genetic cases.³³

201 It has been suggested there may be benefit in screening patients with advanced POAG for
202 myocilin mutations if they meet certain criteria (young age of onset, high maximum IOP and
203 strong family history).³⁴ The prevalence of myocilin mutations in this phenotypically selected
204 group ranged from 16% to 40% depending on the cut-off thresholds. Identification of a
205 myocilin mutation could then prompt cascade genetic testing and early treatment of family
206 members at high risk.³⁴

207 Deciding whether to test patients or family members for myocilin mutations may not be
208 straight-forward and genetic counselling should be offered.³⁵ This may involve referral to a
209 clinical genetics service. Information provided should include details about the condition and
210 its prognosis, its inheritance pattern, and risk to children or other family members.
211 Counselling for at-risk but currently unaffected family members should explore the underlying
212 motivation for genetic testing, and explain the testing process and potential impact of the
213 test result.³⁵ Accredited testing for myocilin mutations is currently available to NHS clinicians
214 via the UK Genetic Testing Network.³⁶ At the time of writing, sequencing the entire myocilin
215 gene to look for any mutation cost £305, whereas testing for one known mutation in a family
216 member cost £180.³⁶ There is currently regional variation on whether commissioners will
217 cover the cost of myocilin genetic testing.

218

219 *Predicting conversion from ocular hypertension (OHT) to POAG*

220 A subset of participants of the Ocular Hypertension Treatment Study (OHTS) were genotyped
221 for variants previously associated with POAG and these variants tested for association with
222 subsequent conversion from OHT to POAG.³⁷ Among the largest ethnic group in cohort, non-
223 Hispanic Whites, a SNP in *TMCO1* was significantly associated with the development of POAG.
224 *TMCO1* has been strongly associated with IOP^{30,38} and it is assumed that the variant mediates
225 its increased risk of POAG by raised IOP. Remarkably, the association between the *TMCO1*
226 variant and POAG conversion remained highly significant even after adjustment for all
227 parameters in the previously published risk calculator,³⁹ including baseline IOP; the hazard
228 ratio was 1.7 per risk allele (95% confidence interval 1.3 – 2.3, $P = 0.0004$).³⁷ This equates to
229 a 3-fold increased risk of POAG in people with two risk alleles compared to people with no
230 risk alleles, an effect size that is comparable to other established risk factors such as age. It
231 is perhaps surprising that the *TMCO1* effect remains significant even after adjustment for a
232 direct measurement of IOP. This suggests that the *TMCO1* variant provides information
233 regarding the cumulative level of true IOP over and above that provided by a single
234 measurement at baseline. While this is an exciting finding that offers hope for the potential
235 of genetic testing in the management of OHT, replication of this finding in an independent
236 study would provide stronger evidence. It should also be noted that there was no discernible
237 association between the *TMCO1* variant and conversion to POAG in the Black subgroup.³⁷

238

239 *Predicting progression of POAG*

240 Examining risk factors for susceptibility to progression of POAG in treated cohorts is
241 challenging, not least because intensity of treatment is difficult to quantify and account for.
242 A study of 469 Singaporean Chinese POAG patients with 5 or more visual fields showed that
243 only one of ten POAG loci tested was associated with visual field progression (ascertained by
244 pointwise linear regression criteria).⁴⁰ This locus was in the *TGFBR3-CDC7* region and was
245 associated with a 6.7 (95% CI 1.9 - 23.7, $P = 0.003$) times increased chance of visual field
246 progression. The wide confidence interval suggests uncertainty of this effect estimate and
247 there is a possibility this is a chance finding. Replication in an independent cohort is required

248 before firm conclusions can be made. Unfortunately, data for the *TMCO1* variant that was
249 examined in OHTS were not available for this study.

250

251 *Predicting response to treatment*

252 There is good evidence that, in general, there may be a genetic basis for effectiveness of
253 treatment in different individuals, as well as for the development of side effects for
254 treatment.⁴¹ However, pharmacogenomic studies for glaucoma treatments have been small
255 and with conflicting results. For example, variants in the prostaglandin F2 α gene have been
256 associated with response to prostaglandin analogues in Japanese studies^{42,43} but not in a
257 North American study.⁴⁴ A variant in *ADRB2* has been associated with response to timolol
258 drops, but this finding remains unreplicated.⁴⁵ Currently, there is no convincing evidence for
259 genetic testing to support the choice of treatment for POAG.

260

261 **Targeted therapy for Mendelian POAG**

262 Identifying the disease-causing mutation in Mendelian POAG offers the potential for targeted
263 therapy to fix the specific molecular defect caused by the mutation. It has been suggested
264 that myocilin mutations result in misfolded MYOC protein accumulating in trabecular
265 meshwork cells resulting in an adverse effect.⁴⁶ Phenylbutyrate, a chemical chaperone that
266 aids proteins folding into their correct conformations, appears to cure myocilin-caused
267 glaucoma in transgenic mice when administered orally or as an eyedrop.^{46,47} While
268 phenylbutyrate has not been tested in humans, this may serve as a proof of concept for
269 targeting treatment to the underlying pathology caused by a specific genetic defect.

270 More recently, clustered regularly interspaced short palindromic repeats (CRISPR)-mediated
271 genome editing was used to disrupt the mutant myocilin gene in a mouse model, resulting in
272 reduced endoplasmic reticulum stress, lower IOP, and prevention of further glaucomatous
273 damage.⁴⁸ Additionally, the investigators demonstrated the potential feasibility of human
274 genome editing in the eye using an ex vivo human organ culture system.⁴⁸

275

276 **Conclusions**

277 The pace of new genetic discoveries for glaucoma has increased significantly in recent years
278 due to the exponential drop in cost of high-throughput genome-wide genotyping platforms.
279 While there is some evidence supporting the clinical utility of this new knowledge, such as
280 *TMCO1* variation being predictive of conversion from OHT to POAG, such studies are small
281 and not replicated to date. Genetic testing for glaucoma is clearly helpful in some specific
282 situations, such as screening of family members in autosomal dominant POAG of early onset.
283 POAG pharmacogenomics is an understudied area that warrants further work in the GWAS-
284 era. However, genetic testing for POAG at a population level is not currently justified. We
285 look forward to further genetic discoveries for glaucoma as statistical power increases, from
286 large cohorts such as the UK Biobank and from global collaborations such as the IGGC. Time
287 will tell if these discoveries will help us manage our patients better, or at least help direct
288 resources to those who need them most.

289

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431 **Figure 1: Conceptual diagrams for complex disease.** Each circle represents an individual
432 person; filled in circles are people affected by disease and hollow circles are unaffected
433 controls. 1(a) and 1(b/c/d) are two different concepts for complex disease.

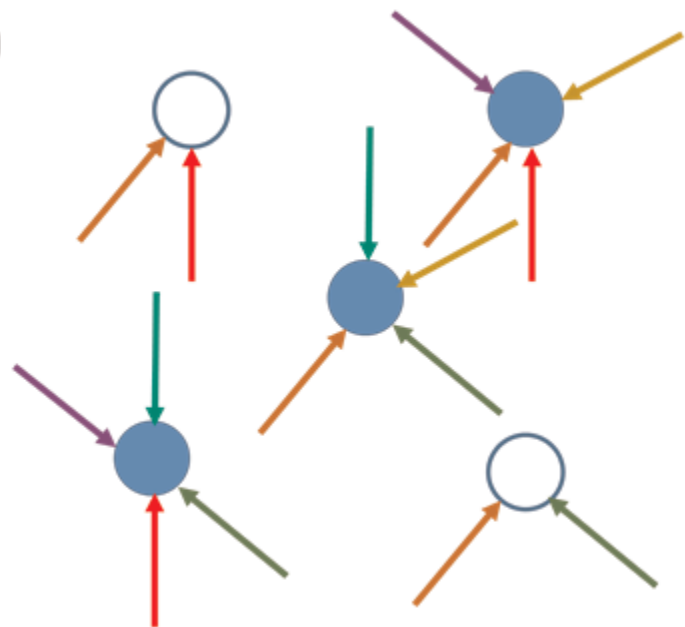
434 *First concept: 1(a)* - The arrows are risk factors (genetic or environmental); different colours
435 represent different risk factors. It can be seen that none of the risk factors are present in all
436 of the cases, and some of the risk factors that contribute to disease are present in controls.

437 *Second concept: 1(b)* – In this concept, each individual risk factor is sufficient to cause the
438 disease. The different colours represent different subsets of disease which may or may not
439 be clinically distinguishable. 1(c) – If all cases are examined together, identifying each risk
440 factor can be challenging as they are present only in subset of cases. 1(d) – If the cases are
441 subdivided in a biologically meaningful way, this can increase the power to identify risk factors
442 despite the smaller sample size.

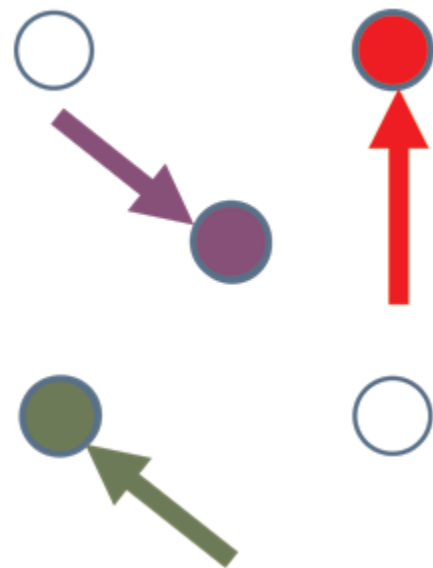
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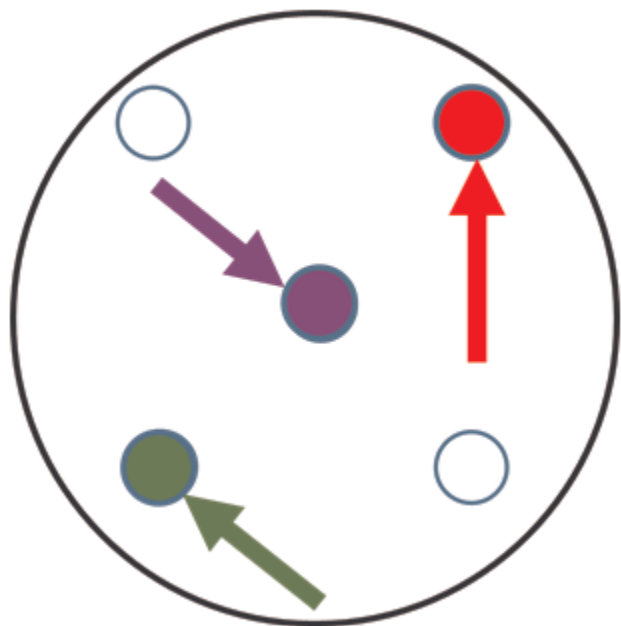
(a)



(b)



(c)



(d)

