

1 How prepared are pharmacists to support atrial fibrillation patients in adhering to newly  
2 prescribed oral anticoagulants?

3  
4 **Introduction**

5 Atrial fibrillation (AF) is the most common cardiac arrhythmia in clinical practice with an  
6 estimate of 1.36 million people in England living with the condition. <sup>1</sup> It is a major predisposing  
7 factor for stroke, increasing the risk of individuals by fivefold and contributing to  
8 approximately 20% of all ischaemic strokes. <sup>2-4</sup> Oral anticoagulants (OACs) have been shown  
9 to be the most effective agents for the prevention of AF-related strokes and there is extensive  
10 evidence demonstrating their underuse in practice. <sup>5-7</sup> Vitamin K antagonists (VKAs),  
11 predominantly warfarin, have been the main OAC used in England for prevention of AF-related  
12 strokes.<sup>7</sup> The availability of Non-vitamin K antagonist Oral Anticoagulants (NOACs) have  
13 shown to be non-inferior to warfarin and the latest National Institute of Health and Care  
14 Excellence (NICE) guidelines for AF recommend the choice of using a VKA or a NOAC based  
15 on patients' clinical features and preferences with a personalised package of care. <sup>8</sup> This  
16 intention is to encourage patients' involvement in shared decision making as well as  
17 recognising the opportunity to minimise the reported wastage of medicines due to non-  
18 adherence. <sup>9</sup>

19 Patients starting on VKA in England traditionally attend a dedicated anticoagulation  
20 management service for monitoring their treatment and are more closely supported by a  
21 healthcare professional upon initiation. <sup>8,10</sup> Option of VKA patient self-monitoring is also  
22 available and taken up by a small number of patients. <sup>11,12</sup> Those that are started on a NOAC  
23 do not require regular blood monitoring and guidelines recommend a first follow up  
24 appointment four weeks following treatment initiation. <sup>13</sup> Although these features can raise a  
25 potential concern on adherence, it is unclear whether VKAs or NOACs are a better option for

26 improved adherence, since most recent studies focus on comparison of adherence patterns  
27 within NOACs.<sup>14,15</sup>

28

29 Challenges of patient adherence in the context of oral anticoagulants used for stroke prevention  
30 in AF are similar to those that arise from other **long term conditions** (LTC), with evidence  
31 illustrating a third to half of patients are likely to stop taking their medication, resulting in a  
32 negative impact on their quality of life, mortality and the NHS economy<sup>16-18</sup>. Studies have also  
33 demonstrated patients are more likely to become non-adherent in the first month of being  
34 initiated a new medicine for LTCs<sup>19</sup>. Patients newly initiated on medicines frequently  
35 encountered unmet needs for information and required additional support. Hence, an  
36 intervention, based on a perceptions and practicalities approach<sup>20,21</sup>, recognising adherence is  
37 influenced by beliefs and/ or symptoms that are unique to each patient was proposed, where  
38 pharmacists adopt a patient centred approach by listening to the patient, providing information  
39 and reassurance in response to expressed needs during telephone consultations.<sup>22</sup> This  
40 intervention was shown to improve adherence and led to the development of a nationally  
41 commissioned service called New Medicine Service (NMS). This service was implemented  
42 into practice in 2011 as part of the Advanced Services under the National Health Service (NHS)  
43 community pharmacy contractual framework, whereby community pharmacist can opt to  
44 provide the service from their pharmacy.<sup>23</sup>

45 In 2014 an evaluation of the NMS was undertaken and showed to improve adherence by 10%  
46 to people who were started on new medication for asthma/COPD, type 2 diabetes, and  
47 hypertension or antiplatelet/anticoagulant treatment. The NMS comprises three consultations  
48 arranged between the pharmacist and patient over a month following initiation of any new  
49 medicine in the above mentioned indications. Each visit allows the pharmacist to determine  
50 and address patients' lack of understanding or knowledge needs, assess any health system or

51 personal barriers that may contribute to non-adherence and most importantly, facilitate  
52 appropriate interventions with individual patients' that are tailored to support their needs<sup>24</sup>. To  
53 undertake this role, community pharmacists acquire a number of skills and knowledge that can  
54 address the clinical and behavioural components required for supporting adherence. At present  
55 pharmacists have access to a range of learning materials developed to support the introduction  
56 of the NMS and are required to sign a declaration of competency. However, an assessment of  
57 pharmacists' needs in providing a NMS consultation on oral anticoagulants has not been  
58 formally undertaken.

59

### 60 **Aim of the study**

61 To assess community pharmacists' current practice, perceived skills and knowledge in  
62 supporting patients' medication adherence as part of NMS on OAC for stroke prevention in  
63 patients with AF. Also, we intended to evaluate pharmacists' perceived needs for additional  
64 support or education to increase the implementation of the service.

65

### 66 **Ethical approval**

67 Ethical approval was not sought because this survey was an assessment involving no changes  
68 to the current services being delivered. The questionnaire was anonymous and pharmacists  
69 were free to decline participation. As such, the principles of ethical research, such as  
70 confidentiality and anonymity, were followed.

71

### 72 **Method**

73 A cross-sectional survey was developed a) to benchmark community pharmacists' existing  
74 practice and experience of providing a NMS on OAC for stroke prevention in patients with  
75 AF; b) to determine their knowledge and confidence in discussing OAC management with

76 emphasis on supporting adherence and c) to identify training needs and pharmacists' preference  
77 of resources for a NMS consultation. Data were collected using an anonymous online survey  
78 that was distributed to pharmacists working within community pharmacies in London by e-  
79 mail with a link through their Local Pharmaceutical Committees (LPCs). The survey was also  
80 advertised by the Royal Pharmaceutical Society (RPS) through their local practice forum to  
81 encourage participation.

82

83 A literature search identified a lack in published surveys assessing community pharmacist  
84 knowledge, skills and NMS provision on OAC for stroke prevention. Hence, questions were  
85 developed internally by author consensus and pre-testing to a sample of twenty community  
86 pharmacists and five hospital pharmacists. Feedback was used to ensure the questions were  
87 meaningful and to examine their clarity and acceptability. Pilot results were not included in  
88 the final analysis, but used to modify and adapt the questions and format (Appendix A). **Data**  
89 **obtained from the application of the final version was used to validate the survey (as detailed**  
90 **in statistical analysis), ensuring credible results.**

91

## 92 Survey

93 The survey was designed using Survey Monkey and comprised a summary description of the  
94 study and questions designed to collate demographics and professional characteristics of  
95 pharmacists, including gender, years of experience and educational background. The current  
96 practice of community pharmacist was determined by quantifying the NMS consultation(s)  
97 undertaken by respondents in a three month period for all commissioned LTC and more  
98 specifically oral anticoagulants. The survey was then organised in several sections, where  
99 statements were provided for participants to rate:

- 100 a) Pharmacists' knowledge and confidence based on their perception, subdivided into  
101 when advising patients on NOACs and on VKA(5-point Likert scale ranging from  
102 strongly agree to strongly disagree).
- 103 b) Perceived helpfulness of information sources available (4-point Likert scale ranging  
104 from not helpful to very helpful)
- 105 c) Attributed importance to a list of counselling information to be discussed with patients  
106 newly prescribed an OAC (4-point Likert scale ranging from critical to not important).
- 107 d) Resources used during a NMS consultation for oral anticoagulants (list provided and  
108 answered as yes/no), followed by an open-ended question for those with a 'yes'  
109 response to enter the resource description.
- 110 e) Pharmacists' preference of support material required when discussing oral  
111 anticoagulant with patients (4-point Likert scale ranging from critical to not important),  
112 with an option of documenting additional resources and/ or tools that were not  
113 highlighted.
- 114 f) Pharmacists' views on the importance of soft skills for improving their NMS service  
115 provision for OAC (4-point Likert, ranging from very helpful to not helpful).

116 All questions were designed with a skip pattern and respondents had the opportunity to free  
117 type additional information for each question.

118

119 The first e-mail invitation was sent on the 4th December 2014 and the survey remained open  
120 until 31<sup>st</sup> January 2015. Reminder e-mails were sent on three different occasions to community  
121 pharmacists through LPCs and large multiple community pharmacies' regional managers were  
122 also contacted directly to confirm receipt of online survey and encourage its dissemination to  
123 their London pharmacy stores.

124

125 Sample size estimation

126 The number of pharmacists working in community pharmacies within London was 3807,  
127 representing 28% of the workforce in community pharmacy<sup>22</sup>. Assuming that 10% of these  
128 could be delivering the NMS for OAC<sup>25</sup>, using a confidence interval of 95% and accepting a  
129 3.5% error we expected to receive 277 answers.

130

131 Data analysis

132 The data were analysed in SPSS version 23. Validation of the survey comprised confirmatory  
133 factor analysis and reliability analysis (Cronbach's alpha). Data analysis comprised descriptive  
134 univariate statistics and bivariate analysis (Wilcoxon test). A confidence level of 95% was  
135 considered.

136

137 **Results**

138 A total of 269 responses were received over a two month period, of which 12 were excluded  
139 as they only contained demographic data. The majority were male (62%; n=159), with a wide  
140 range of experience in practice. Most responders were permanent members of staff.  
141 Approximately a third had undertaken further academic qualifications following their  
142 registration (table 1).

143 *Please insert table 1 here*

144

145 The survey's validation indicated it comprised 5 domains, all of them with high validity,  
146 ranging from 0.676 to 0.892. Detailed information of the survey's validity is presented in table  
147 2.

148 *Please insert table 2 here*

149

150 In a three month period, 87% of pharmacists completed one or more NMS consultations, 68%  
151 completed one or more NMS for OAC, and 35% completed one or more NMS for NOACs.  
152 Data indicates that 25% of pharmacists had completed six or more NMS consultations for all  
153 OAC, of which 11% were for NOACs (figure 1). Those with extra academic qualifications  
154 undertook more NMS for OAC ( $p=0.012$ ), whilst proprietor pharmacists undertook less  
155 ( $p=0.035$ ).

156 *Please insert figure 1 here*

157

158 Pharmacists were more confident in their knowledge, skills and access to resources for VKA  
159 than for NOAC ( $p<0.005$ ). Pharmacists with extra qualifications expressed higher confidence  
160 on all the dimensions illustrated in figure 2, except for using a counselling check list, which  
161 they use as little as all pharmacists ( $p<0.05$ ). The results also highlight pharmacists'  
162 unfamiliarity with NOAC alert cards, as a significantly lower proportion checked for them in  
163 comparison with VKA alert cards ( $p<0.001$ ), albeit there is also room for improvement in the  
164 latter. Approximately 40% of the pharmacists felt uncertain or lacked the confidence in their  
165 knowledge on NOAC, comparable to 23% for VKA (figure 2).

166

167 *Please insert figure 2 here*

168

169 All counselling items were deemed important, although with varying importance (figure 3).  
170 The key priorities for pharmacist during their consultation with patient newly initiated on oral  
171 anticoagulant was firstly to discuss what actions to take when bleeding occurs, followed by  
172 supporting adherence. In this order, the basic mode of action was perceived as the least  
173 important dimension when discussing newly initiated OAC with AF patients for stroke  
174 prevention.

175 The importance of a patient's alert card was perceived as critical to very important by 78% of  
176 pharmacists, more often by those that stated to routinely check for an alert card. Both the  
177 correlation coefficients for VKA and NOACs were statistically significant, although weak  
178 ( $r=0.304$ ;  $p<0.001$  for VKA and  $r=0.187$ ;  $p=0.005$ ).

179

180 *Please insert figure 3 here*

181

182 Around half the pharmacists confirmed they utilised one source of information during a NMS  
183 consultation on OAC (51%). The most frequently mentioned was the British National  
184 Formulary (BNF), the sole source utilised by a quarter of pharmacists. Online resources were  
185 accessed by 34%, of which a third did not specify the websites used. The most popular medical  
186 online websites named included patient websites, NHS choice, NICE clinical knowledge  
187 summaries and electronic medicine compendium to reach Patient Information Leaflets and/or  
188 Summaries of Product Characteristics.

189

190 The most valued resources to have available during a NMS consultation on OAC in AF patients  
191 for stroke prevention were check-lists, followed by patient information leaflets, with  
192 insignificant differences (figure 4).

193

194 *Please insert figure 4 here*

195

196 Soft skills were acknowledged as important to attain to address the behavioural dilemmas of  
197 adherence by over 80% of pharmacists. We have considered these as less tangible constructs,  
198 not clinical and often related to social and administrative sciences, such as communication

199 skills<sup>25</sup>. Within the latter, counselling skills were deemed the most necessary for an effective  
200 NMS consultation, albeit all skills were considered important (figure 5).

201

202 *Please insert figure 5 here*

203

204 The preferred training delivery methods for additional education were online educational  
205 modules (49.1% considered as very helpful), followed by clinical case studies (43.3%) and  
206 practical workshops (43.2%). The least favoured delivery methods within the list were  
207 independent study and clinical supervision, with only 24.1% and 16.7% respectively of  
208 pharmacist viewing these as very helpful. Seminars or lectures and facilitated group  
209 discussions were seen as very helpful by 30.5% and 30.0%, respectively.

210

## 211 **Discussion**

212 Over eighty five percent of community pharmacists in London are undertaking NMS  
213 consultations, of which OAC make up a small proportion. The DoH commissioned report for  
214 evaluating the NMS has shown antiplatelet and anticoagulants contributed to 8.5% of all NMS  
215 consultations, in line with our findings<sup>26</sup>. NHS England latest national pharmaceutical list  
216 2013-2014 reported a quarter of community pharmacies in London do not provide NMS<sup>27</sup>.  
217 This value is above our results, finding only 13% of pharmacists did not provide this nationally  
218 commissioned service. This suggests inconsistencies in access to the NMS services by patients  
219 in London and potentially inequalities in the care and support of adherence for their LTC  
220 medication, undermining the core principles of NHS. All AF patients initiated on oral  
221 anticoagulant for stroke prevention within London should be provided with an equal  
222 opportunity to access the NMS support systems rather than occur by chance<sup>28</sup>.

223

224 Proprietor pharmacists were undertaking fewer NMS consultations, as indicated by the DoH  
225 report<sup>27</sup>. This finding requires further exploration to determine if independent pharmacies  
226 require support in adopting the NMS as part of routine practice, especially as the number of  
227 independent contractors in London was estimated to be 61% of total community pharmacies in  
228 2013-14<sup>27</sup>.

229

230 Only for NOACs there was no positive relationship between higher education levels and the  
231 number of NMS consultations undertaken, which may largely be explained by the minimal  
232 exposure community pharmacists have to the patients initially prescribed a NOAC in London.  
233 Most current local arrangements across London for initiation of NOAC are largely dependent  
234 on shared care guidelines that require secondary care to continue supplying the first two to  
235 three months of NOAC and for most patients the NMS is not embedded as part of their care  
236 pathway. Furthermore the uptake of NOAC prescribing in London during our survey data  
237 collection period was averaged at 6% of the total number of OAC prescriptions, resulting in  
238 less opportunity for community pharmacist to undertake a NMS consultation. Further work is  
239 required to embed the NMS on oral anticoagulant for stroke prevention in the care pathway of  
240 patients with AF as routine practice.

241

242 The level of knowledge and confidence of the pharmacist responding to this survey  
243 demonstrates a wide variation, with approximately twice as many feeling uncertain or lack the  
244 confidence in their knowledge on NOAC, in comparison with VKA. The unfamiliarity and  
245 minimal exposure to NOAC is reflected in their knowledge and confidence. Conversely, VKA  
246 have been available for many years with national standardised recommendations and resources  
247 for patients and healthcare professionals including community pharmacists<sup>15</sup>. These include  
248 emphasis on pharmacists' role with the importance of ensuring patients on an OAC receive

249 appropriate verbal and written information at the start and throughout their treatment including  
250 a patient safety alert card. The availability of NOAC alert cards is currently dependent on the  
251 individual manufacturers, possibly contributing to the low proportion reporting to check for a  
252 NOAC alert card and highlights the need to make pharmacists aware and adopt a single oral  
253 anticoagulant alert card.

254

255 Responding pharmacists' primary focus during a consultation with a patient was safety  
256 followed by adherence to OAC. This reflects the responding pharmacists' own perceptions of  
257 their responsibilities that lay in the traditional risk averse roles and is leading to the importance  
258 of patients being able to recognise and manage bleeding as a priority to supporting adherence  
259 during their consultation. Awareness of our findings is pivotal and should be incorporated into  
260 training programmes for NMS on oral anticoagulant for prevention of AF-related strokes.

261 Facilitating a NMS consultation requires skills beyond clinical knowledge that create the  
262 correct conditions and behaviours to support patient adherence and lead to safe and effective  
263 compassionate care. Almost all pharmacist acknowledged the importance of utilising the  
264 appropriate soft skills to build trust and a professional relationship from the start of the  
265 consultation. Counselling and coaching skills were also deemed important by the majority for  
266 creating the correct conditions and behaviours to support patients' adherence.

267 There was an expressed need for a concise resource to use in practice as a reference guide or  
268 access to relevant information when undertaking a NMS consultation. The predominant  
269 resource used was BNF, containing legal and professional guidelines, focusing on the clinical  
270 effectiveness and safety of medicines but lacking information related to the process or factors  
271 impacting on adherence. The diversity of online and other resources accessed may potentially  
272 lead to variation in the quality of information used during the consultation. The majority of  
273 pharmacist felt the availability of a check-list and patient information leaflets (PIL) during their

274 NMS consultation would be of great benefit. At present there is no single online platform that  
275 is available for pharmacist to access information and resources to support their consultation on  
276 OAC in England. The American Anticoagulation forum is an example of such a platform that  
277 could be adapted to the UK pharmacists' needs by incorporating relevant validated tools,  
278 videos, PIL and alert cards<sup>29</sup>.

279 Online modules were rated as the most helpful education method. The remaining methods  
280 highly rated correspond to the existing options used to deliver continuous professional  
281 development to pharmacist. Clinical supervision was one of the least favoured, probably  
282 because it is not part of routine community practice.

283

#### 284 *Strengths and Limitations*

285 Although the response rate (6.8%) was low, the sample reached was in line with the estimate.  
286 Responses originated from all geographical areas of London with a variety in their  
287 demographic characterisation, making the results here presented valuable information.  
288 Nonetheless, it should be acknowledged that the sample largely represents experienced  
289 pharmacists, limiting extrapolations.

290

#### 291 **Conclusion**

292 This survey suggests that community pharmacists' current practice in London is limited in  
293 undertaking NMS consultations for OAC, particularly for NOACs for the prevention of AF-  
294 related strokes. Pharmacists perceive their knowledge and skills as having some gaps, implying  
295 there is an urgent need to revisit the currently implemented training programme for community  
296 pharmacists and to develop the most appropriate resources to support consultations, leading to  
297 wider dissemination of the service.

298

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304

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309 financial relationships that might lead to a conflict of interest.

310

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