## Acute Leukemias

## The PML-RAR $\alpha$ transcript in long-term follow-up of acute promyelocytic leukemia patients

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Background and Objectives. Detection of PML-RAR $\alpha$ transcripts by RT-PCR is now established as a rapid and sensitive method for diagnosis of acute promyelocytic leukemia (APL). Although the majority of patients in longterm clinical remission are negative by consecutive reverse transcription polymerase chain reaction (RT-PCR) assays, negative tests are still observed in patients who ultimately relapse. Conversion from negative to positive PCR has been observed after consolidation and found to be a much stronger predictor of relapse. This study reports on 47 APL patients to determine the correlation between minimal residual disease (MRD) status and clinical outcome in our cohort of patients.
Design and Methods. The presence of PML-RAR $\alpha \mathrm{t}$ transcripts was investigated in 47 APL patients ( 37 adults and 10 children) using a semi-nested reverse transcrip-tase-polymerase chain reaction to evaluate the prognostic value of RT-PCR tests.
Results. All patients achieved complete clinical remission (CCR) following induction treatment with all-trans retinoic acid (ATRA) and chemotherapy (CHT) or ATRA alone. Patients were followed up between 2 and 117.6 months (median: 37 months). Relapses occurred in 11 patients ( 9 adults and 2 children) between 11.4 and 19 months after diagnosis (median: 15.1 months) while 36 patients ( 28 adults and 8 children) remained in CCR. Seventy-five percent of patients carried the PML-RAR $\alpha$ long isoform ( $b \mathrm{cr} 1 / 2$ ) which also predominated among the relapsed cases (9 of 11) but did not associate with any adverse outcome ( $p=0.37$ ). For the purpose of this analysis, minimal residual disease tests were clustered into four time-intervals: 0-2 months, 3-5 months, 6-9 months and 10-24 months.
Interpretation and Conclusions. Children showed persisting disease for longer than adults during the first 2 months of treatment. At 2 months, $10(50 \%)$ of 20 patients who remained in CCR and 4 ( $80 \%$ ) of 5 patients who subsequently relapsed were positive. Patients who remained in CCR had repeatedly negative results beyond 5.5 months from diagnosis. A positive MRD test preceded relapse in 3 of 4 tested patients. The ability of a neg-


#### Abstract

ative test to predict CCR (predictive negative value, PNV) was greater after 6 months ( $>83 \%$ ), while the ability of a positive test to predict relapse (predictive positive value, PPV) was most valuable only beyond 10 months ( $100 \%$ ). This study (i) highlights the prognostic value of RT-PCR monitoring after treatment of APL patients but only from the end of treatment, (ii) shows an association between conversion to a positive test and relapse and (iii) suggests that PCR assessments should be carried out at 3 -month intervals to provide a more accurate prediction of hematologic relapses but only after the end of treatment.


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Key words: APL, RAR $\alpha$, minimal residual disease

The PML-RAR $\alpha$ fusion transcript is associated with thet $(15 ; 17)(q 21 ; q 22)$ translocation and results from the rearrangement of the PML gene on chromosome 15 and the RAR $\alpha$ gene on chromosome 17.1-4 The fusion product is transcriptionally active in all cases of acute promyelocytic leukemia (APL) (M3 subtype in the FAB classification) whilst only 70\% of the cases carry the reciprocal RAR $\alpha$-PM L. ${ }^{5-8} \mathrm{~A}$ breakpoint in intron 2 is the common site on chromosome 17, while three different breakpoint regions have been described in the PM L gene on chromosome 15. This results in the generation of three different PML/RAR $\alpha$ transcripts, depending on whether the breakpoint in the PML gene affects intron 6 (bcr1; long type), exon 6 (bcr2; variant type) or intron 3 (bcr3; short type).5,9

Amplification of the PML-RAR $\alpha$ transcripts by reverse transcriptase polymerase chain reaction (RT$P C R$ ) is now established as a rapid and sensitive method for diagnosing APL. This also enables identification of patients with the three different isoforms, and finally provides a valuable method for the assessment of minimal residual disease (MRD) in these patients.,10-15

Recent investigations have shown that the majority of patients in long-term clinical remission have consecutively negative RT-PCR tests. Nevertheless, clinical relapses are often preceded by negative tests. ${ }^{16-18}$ The short half-life of the PM L-RAR $\alpha$ mRNA and poor sensitivity of the technique may be responsible for false negative results. Despite the increased sensitivity of recent assays, ${ }^{19}$ negative tests are still observed in patients who ultimately relapse. More recently, conversion from negative to positive PCR has been observed after consolidation and found to be a much stronger predictor of relapse. ${ }^{17}$ However, no consistency in the time-interval between PCR conversion and time of relapse was observed. Only larger studies or information from more APL patients will improve the future interpretation of MRD data and their significance as prognostic indicators of clinical outcome in APL.

For this reason, we report here on a two- step RT- PCR investigation of the PML-RAR $\alpha$ transcript in 47 APL patients . Although the patients studied were from different institutions, they were all treated with all trans retinoic acid (ATRA) and chemotherapy (CHT). This study aimed to determine the correlation between MRD status and clinical outcome in our cohort of patients.

## Design and Methods

## Patients' data

All 47 patients were diagnosed as having APL (AM LM3) according to the FAB classification. ${ }^{20}$ With the exception of patient \#5 (Figure 1) (who suffered secondary APL following treatment for breast cancer), all cases had denovo APL. Thirty- seven were adults (median age 37.8 years, range: 16-69; 21 were males and 16 females) and ten were children (median age: 7.0 years, range: 1.5-14; 4 males and 6 females). Forty of the 47 patients were analyzed at time of referral using RTPCR: 33 were analyzed at presentation, 4 at 1 month (cases \#12, 21, 35, 43), 2 at 2 months (cases \#31 and 46) and 1 at 10 months (case \#39). In four other patients (cases \#2, 4, 6 and 24) the presence of the $\mathrm{t}(15 ; 17)$ was confirmed by conventional cytogenetics. In the 3 remaining cases ( $\# 1,3$ and 8 ) there was no material available for molecular or cytogenetic analysis at presentation and diagnosis was based on morphologic and clinical evaluation. Following diagnosis, all patients were monitored by RT-PCR for an average of 19.3 months (range: 1.1 to 97.9 months). Patients received different therapeutic protocols but all included ATRA during the induction period. The number of consolidation cycles varied between 1 and 4 . Eleven patients ( 10 children and 1 adult) received maintenance treatment. Five patients (\#7, 9, 28, 38 and 43) have been previously reported (Devaraj et al., 1996).

Samples and RNA preparation
The number of tests performed varied between 1 and 9 tests per patient (average 4 tests). Bone marrow (BM) ( $n=184$ ) and peripheral blood (PB) ( $n=5$ ) samples were used for follow- up investigations. The mononuclear cells were isolated by density gradient centrifugation in FicollHypaque (Nycomed Pharma AS, Oslo, Norway) and washed twice in phosphate-buffered saline (PBS). Cells were lysed in 4M guanidium thiocyanate (GITC) solution and stored at $-70^{\circ} \mathrm{C}$. Total RNA was extracted by the method of Chomczynsky and Sacchi. ${ }^{21}$ In 14 patients RNA was prepared using the Gentra extraction kit (Gentra, UK). Four time periods were analyzed: 0-2 months, 3-5 months, 6-9 months and 10-24 months. The result of the last sample tested was used for MRD analysis in patients with more than 1 test in each time period.

## RT-PCR

The protocol and the primers used to amplify the PM L-RAR $\alpha$ fusion transcript were adapted from a previously described protocol. ${ }^{5}$ Briefly, $1 \mu \mathrm{~g}$ of total RNA was reverse transcribed (RT) into CDNA in a $20 \mu \mathrm{~L}$ reaction for 90 min at $37^{\circ} \mathrm{C}$ using random hexamers (Promega, M adison, WI, USA), 40 U of RNAse inhibitor (Promega), 1 mM dNTP (Pharmacia Biotech, USA) and 200 U of Superscript II RNAse H Reverse Transcriptase (Gibco BRL, Bethesda Research, Gaithersburg, MD, USA) according to the manufacturers' instructions. Two and a half microliters of cDNA were first amplified in a 50 $\mu \mathrm{L}$ reaction using the oligonucleotides $\mathrm{M} 4-\mathrm{M} 5$ or M 2 M $5^{5}$ with 2.5 U of Taq DNA polymerase (Gibco, BRL), 1.5 $\mathrm{mM} \mathrm{MgCl} 2,200 \mathrm{mM}$ dNTPs and 15 pM of each primer. PCR was carried out in a DNA thermal cycler machine (Perkin Elmer-Cetus) for 35 cycles ( 50 sec at $94^{\circ} \mathrm{C}, 50$ sec at $59^{\circ} \mathrm{C}$ and 90 sec at $72^{\circ} \mathrm{C}$ ). One microliter of the first round amplification was re-amplified in a seminested reaction for another 35 cycles, using primers M4-R8 or M2-R8, ${ }^{5}$ under the same conditions as for the first round amplification. The PCR products were analyzed on a $1.5 \%$ agarose gel and visualized by ethidium bromide staining. To evaluate the integrity of the RNA and assess the efficiency of the RT step, each sample was also amplified using primers for the RAR $\alpha$ transcript under the same conditions as for the PML-RAR $\alpha$ transcripts. A positive control (total RNA extracted from the promyelocytic cell line NB4) and a negative control (no RNA) were included in each experiment.

## Sensitivity tests

To assess the sensitivity of the RT-PCR, serial dilutions of RNA from an APL patient and from the NB4 cell line were set up using RNA from the HL60 cell line. All procedures were done using RNAse free disposable material. Both the bcr1 (long form) and the bcr3 (short form) transcripts were obtained from the control amplifica-

| A) ADUL |  |  |  |  |  |  |  | onths from presentation |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| PT No | BCR | Induction | Consolid. | Mainten. | $0 \quad 12$ | 345 | $6 \quad 7 \quad 89$ |  | 25-36 | 37-48 | 49-60 | post 73 |
| 1 | na | atra+cht | 2 x | no |  |  |  |  |  |  |  | O-O-CCR (117.6 mo) |
| 2 | na | atra | 3 x | no | O |  |  |  |  |  |  | CCR (90.0 mo) |
| 3 | na | atra | 3 x | no |  |  |  | O | - | $\square 00$ |  | CCR (90.0 mo) |
| 4 | na | atra+cht | 3 x | no | $\bigcirc$ |  |  |  |  |  |  | CCR (83.2 mo) |
| 5 | bcr $1 / 2$ | atra | 1 x | no | - - ${ }^{\text {ra }}$ |  | 0 |  | $\square$ |  |  | CCR (77.0 mo) |
| 6 | na | atra | 3 x | no | $\oplus$ |  |  | - |  |  |  | CCR (70.6 mo) |
| 7 | bcr $1 / 2$ | atra+cht | 3 x | no | O | --- | -0-0 |  | -0 |  |  | CCR (69.0 mo) |
| 8 | na | atra | 3 x | no |  |  |  | - | -0 |  |  | CCR (68.6 mo) |
| 9 | bcr $1 / 2$ | atra+cht | 3 x and bmt | no | - | - | A O | O- |  |  | CCR (51.7 |  |
| 10 | bcr $1 / 2$ | atra+cht | 2 x | no | ra | - | --0 | O |  |  | CCR 49.5 |  |
| 11 | bcr $1 / 2$ | atra+cht | 2 x | no |  | -- | O-O-O- | $\bigcirc$ |  |  | CCR (49.2 |  |
| 12 | bcr3 | atra | 4 x | no | rd | - 0 | - | O- |  |  | CCR 49. |  |
| 13 | bcr3 | atra+cht | 3 x | yes |  | - | - | $\square-\mathrm{O}$ |  |  | CCR 47.5 |  |
| 14 | bcr 1/2 | atra+cht | 4x | no |  | --0 | O- | O |  |  | CCR 44.5 |  |
| 15 | bcr $1 / 2$ | atra+cht | 3 x | no | Ta | - | - 0 | $\mathrm{O}-\mathrm{O}-$ |  |  | CCR (44.0 |  |
| 16 | bcr $1 / 2$ | atra+cht | 4 x | no |  | $\bigcirc$ | - |  |  | CCR 134. |  |  |
| 17 | bcr3 | atra | 1x | no | - 0 | --0 | , |  | O- | CR ${ }^{3} 3$. |  |  |
| 18 | bcr $1 / 2$ | atra | 1 x | no | - | O |  |  | - | CCR (27.3 |  |  |
| 19 | bcr $1 / 2$ | atra | 4 x | no | O | $0-0$ | O- |  | CCR 21 |  |  |  |
| 20 | bcr $1 / 2$ | atra | 1x | no |  | - |  |  | CCR 20. |  |  |  |
| 21 | bcr $1 / 2$ | atra+cht | 4 x | no | त | - | $0-0$ |  | CCR (13 |  |  |  |
| 22 | bcr $1 / 2$ | atra+cht | 1x | no |  | - | O- | - CCR (10.2 mo) |  |  |  |  |
| 23 | bcr3 | atra | 1 x | no |  | $\bigcirc$ |  | $\bigcirc-\operatorname{CcR}(10.2 \mathrm{mo})$ |  |  |  |  |
| 24 | na | atra+cht | 4 x | no | - | -0-0 | O- | - CCR (8.7 mo) |  |  |  |  |
| 25 | bcr3 | atra+cht | 1x | no | - ${ }_{\text {rd }}$ - |  | O-O-0- | CCR (7.3 mo) |  |  |  |  |
| 26 | bcr $1 / 2$ | atra | 3 x | no | - - rd | ${ }_{\text {ra }} \mathrm{O}$ | 4 CCR (4.8 mo) |  |  |  |  |  |
| 27 | bcr $1 / 2$ | atra | 1x | no | O | O-0 | - CCR (3.7 mo) |  |  |  |  |  |
| 28 | bcr3 | atra+cht | no | no | - - | 4 CCR (2.0 | mo) |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| B) CHILD |  |  |  |  |  |  |  |  |  |  |  |  |
| 29 30 | bcr $1 / 2$ | atra+cht | 2 x | yes |  |  |  |  |  |  |  | CCR ( 66.1 mo) |
| 30 31 | bcr $1 / 2$ | atra+cht | 2 x | yes | - - |  | $\bigcirc$ |  | O-0 |  |  | CCR (60.7mo) |
| 31 32 | bcr3 | atra+cht | 2 x | yes |  |  | O | 00 | - |  |  |  |
| 32 33 | bcr $1 / 2$ | atra+cht | 2 x | yes | rd | O |  | - $0^{-0}$ |  |  | CCR 147.5 |  |
| 33 | bcr $1 / 2$ | atra+cht | 2 x | yes | - ${ }^{\text {ra }}$ |  | 0 | $\bigcirc$ |  |  | CCR (43.2 |  |
| 34 | bcr 1/2 | atra+cht | 2 x | yes |  |  | , | O- |  |  | CCR (41.8 |  |
| 35 | bcr3 | atra+cht | 4 x | yes |  | -0-0 | O |  |  |  | CCR (38.8 |  |
| 36 | bcr $1 / 2$ | atra+cht | 2 x | yes | 0 |  |  |  |  | CCR (25. |  |  |

[^0]tion tests using 0.1 ng of total positive RNA (i.e. sensitivity of $\left.1: 1 \times 10^{4}\right)$.

Statistical analysis
Standard statistical tests were carried out (Fisher's exact test, $\chi^{2}$ contingency tests, and parametric and non-parametric t-tests) using the statistic programs GraphPad Prism, and SPSS. Disease-free survival (DFS) curves were generated using the Kaplan-Meier method, ${ }^{22}$ and compared with the logrank test. The impact of multiple predictor variables on DFS was assessed and compared to MRD status using the Cox regression model. ${ }^{23}$

## Results

Patients' clinical data
Thirty-six patients (28 adults and 8 children) remained in CCR between 2 and 117.6 months (Figure 1) from when they were first referred (median period: 43.7 months). The median period of DFS in this group was 41.7 months (range 1-114.7 months). Eleven patients (9 adults and 2 children) relapsed between 11.4 and 19 months (median 15.1) after presentation (Figure 2), following CCR for a median period of 13.3 months (range 10-16.5). The clinical and biological characteristics of patients at the time of diagnosis are presented in Table 1. Age appeared to have no impact on overall clinical outcome ( $p=1.0$, Fisher's exact test). All patients had achieved CCR following induction treatment with ATRA and chemotherapy or ATRA alone (median time: 54 days; range 28-102 days; 56 days in adults and 50 in children). Patients who later relapsed achieved first CCR between 35 and 90 days (median 57 days; adults 56 and children 58 days) which was comparable with the time in
patients who remained in remission (range 28 and 102 days; median 53 days; adults 55 and children 48 days)( $p=0.32$, M ann-Whitney $t$-test).
The induction therapy using ATRA or ATRA plus chemotherapy did not result in better overall survival (Figure 3A) ( $p=0.94$, logrank test) as we observed relapses in $4(24 \%)$ of 17 patients in the ATRA group and in 7 (23\%) of 30 cases in the ATRA plus chemotherapy group. Patients who received 3 or 4 cycles of chemotherapy following induction did not appear to have a better overall survival compared to the group who received 1 or 2 chemotherapy cycles as we observed relapses in $11 \%$ and $33 \%$ of patients in these two groups, respectively ( $\mathrm{p}=0.07$, logrank test; Figure 3B) although a trend towards a better outcome was associated with the higher number of cycles.

## PML/ RAR $\boldsymbol{\alpha}$ isoforms

The PML/RAR $\alpha$ isoforms (bcr1/2 and bc3) were identified by RT-PCR in 40 patients. Thirty-three patients were analyzed at presentation, 4 at 1 month, 2 at 2 months and 1 patient (case \#39) at 10 months, in CCR. The bcr1/2 (long form) was detected in 30 (75\%) patients, 21 of them remained in CCR and 9 relapsed. The bcr3 (short form) was detected in 10 (25\%) patients, 8 remained in CCR and 2 relapsed. There was no difference in the distribution of the isoforms between the two age groups ( $p=1.00$, Fisher's exact test). Although 9 of 11 patients who relapsed carried the bcr1/2 form, there was no statistically significant difference in the incidence of the PM L/RAR $\alpha$ isoform types between the relapsed and CCR group ( $p=0.69$, Fisher's exact) and neither isoform conferred better or worse disease-free survival ( $p=0.72$, logrank test; Figure 3C).


Figure 2. RT-PCR amplification of PML-RAR $\boldsymbol{\alpha}$ transcript during follow-up of 11 APL patients who relapsed. Symbols and abbreviations are as in Figure 1. R: relapse time (in months) from presentation.
Table 1. Clinical characteristics of patients at time of diagnosis and type of therapy administered. Abbreviations are as followS: f; female; m, male; ATRA: all-trans retinoic acid; DA: daunarubicin + Ara-C; DAV: daunarubicin + Ara-C+etoposide; MTZ: mitoxantrone; MTZA: mitoxantrone+Ara-C; IDACCNU: idarubicin + CCNU; IDAARA-C: Idaru
bicin +Ara-C; IDAARA-C6TG: idarubicin +Ara-C+6-thioguanine: MP, mercaptopurine.

| N | Age | Sex | VBC( $\times 10^{\circ} / \mathrm{L}$ ) | RTPCR | Indution | $\mathbf{1}^{\text {t }}$ consdicktion | $2{ }^{\text {d }}$ consplidation | 3d consolidation | Maiterance | Pratad | Evert |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 39 | m | 20 | NA | ARA +2 (MIZA) | MITA | MIIZA | no | ro |  | $C^{C R}$ |
| 2 | 47 | m | 0.8 | NA | ARA | DA | DA | DA | no | AP91 | $C$ cr |
| 3 | 65 | f | 11 | NA | ARA | DA | MITA | idacan | no |  | CR |
| 4 | 34 | m | 12 | NA | AIPAIDA | DA | DA | DA | no | AP91 | CRR |
| 5 | 53 | f | 27.7 | borl | ARA | DA | no | no | no |  | CRR |
| 6 | 45 | m | 4.6 | NA | APA | DA | DA | DA | no | AP91 | CRR |
| 7 | 25 | f | 7.7 | borl/2 | APAIGTIT | 3xal |  |  | no |  | CRR |
| 8 | 27 | m | 0.8 | NA | ARA | DA | DA | DA | ro | AP91 | $C$ cr |
| 9 | 16 | f | 10.4 | borl/2 | araicht | 3x-htatobM |  |  | no |  | CRR |
| 10 | 65 | f | 9.0 | borl | APAIDA | D ${ }^{\text {d }}$ | IDARAC | no | no |  | CRR |
| 11 | 38 | f | NA | borl | Araida | DN | IDAPAC | no | no |  | CRR |
| 12 | 33 | f | NA | bar3 | ARA | $4 \times \mathrm{Cl}$ |  |  | no |  | $C$ cr |
| 13 | 29 | f | 18 | bar3 | arailda | DN | ARACHAmadine | MITEA | ARA | ADA | $C$ cr |
| 14 | 34 | m | NA | $\mathrm{barl} / 2$ | ARAFET | $4 \times \mathrm{Cl}$ |  |  | no |  | $C \mathrm{CR}$ |
| 15 | 20 | m | 12 | borl | APAHDA | IDAPAC | MTVP16 | IDAPACGIG | no | ADA | $C \mathrm{CR}$ |
| 16 | 53 | m | 18 | $\mathrm{borl} / 2$ | APAIEAT | 4 CH |  |  | no |  | $C \mathrm{CR}$ |
| 17 | 43 | m | 9.9 | bar3 | ARA | StadinetMIZ | no | no | no | Pff 35 | $C$ cr |
| 18 | 38 | f | 14 | bor 1 | ARA | gtadinetMIZ | no | no | no | Pff 35 | $C$ cr |
| 19 | 52 | m | 86 | $\mathrm{borl} / 2$ | ARA | $4 \times$-ㅔ |  |  | no |  | CR |
| 20 | 47 | f | 14 | borl | ARA | GtardinetMIL | no | no | no | Pff 35 | CR |
| 21 | 21 | m | 19 | $\mathrm{borl} / 2$ | APAIGHT | 4×에 |  |  | no |  | $C \mathrm{CR}$ |
| 22 | 27 | m | 1060 | borl | ARAFgtadine | GtardinetMIZ | no | no | ro | Pff 35 | CR |
| 23 | 55 | f | 13 | bar3 | ARA | gtadinetMIZ | no | no | no | Pff 35 | $C$ cr |
| 24 | 53 | f | 22 | NA | APAIGTI | $4 \times$ 네 |  |  | no |  | CRR |
| 25 | 27 | m | 13.7 | bar3 | ARAIGyadine | GtadinetMIZ | no | no | no | Pff 35 | $C C^{\text {che }}$ |
| 26 | 52 | f | 53.0 | $\mathrm{borl} / 2$ | ARA | 3xat |  |  | no |  | $C$ cr |
| 27 | 47 | f | 0.6 | borl | ARA | GtadinetMIZ | no | no | no | Pff 35 | $C C^{\text {c }}$ |
| 28 | 56 | m | NA | bar3 | APAIETI | no |  |  | no |  | $C \mathrm{CR}$ |
| 29 | 7 | m | 3.7 | bar 1 | DA | DA | DA | no | MPIMIZ | AP93 | CR |
| 30 | 8 | m | 12 | borl | DA | DA | DA | no | APA | AP93 | $C \mathrm{CR}$ |
| 31 | 4 | f | 35.8 | bar3 | DA | DA | DA | no | ARA | AP93 | $C_{\text {cr }}$ |
| 32 | 15 | f | 9.6 | borl | DA | DA | DA | no | ARAIMPIMIZ | AP93 | cor |
| 33 | 10 | m | 769 | borl | DA | DA | DA | no | AtobMt | AP93 | CR |
| 34 | 14 | f | 21 | borl | DA | DA | DA | no | MIZ-NP | AP93 | $C \mathrm{CR}$ |
| 35 | 10 | f | 26 | bar3 | Aratert | $4 \times$ al |  |  | $1 \times$ |  | $C \mathrm{CR}$ |
| 36 | 5 | f | NA | bat | DA | DA | DA | no | ARAIMPIMIL | AP93 | CR |
| 37 | 16 | m | 24 | borl |  | (APACMisacine) | MITA | no | ro |  | reapse |
| 38 | 25 | m | 20 | bar3 | ARA | $3 \times \mathrm{CltatagMT}$ |  |  | no | Baltimare | reapse |
| 39 | 16 | m | 9.5 | bor 1 | ARAsalvagetherapy (20) | DA | no | no | ro | 4 PO | reapse |
| 40 | 41 | m | 13 | borl | ARA | GtardineMTR | no | no | ro | Pef. 35 | reapse |
| 41 | 23 | m | 35.0 | bar3 | ARAIGyadine | GtadinetMIZ | no | no | ro | Pef. 35 | reapse |
| 42 | 26 | f | 3.1 | borl | ARA | GtadainemIz | no | no | no | Pef. 35 | reapse |
| 43 | 18 | m | 10 | $\mathrm{borl} / 2$ | ARA | $4 \times \mathrm{Cat}$ |  |  | no | Batioure | reapse |
| 44 | 69 | m | NA | borl | ARAIDA | DN | DA | no | no |  | reapse |
| 45 | 22 | f | 112 | bor 1 | ARAIgyadine | gtadine+MIL | no | no | ro | Pef. 35 | reapse |
| 46 | 6 | m | 3.0 | bor 1 | DA | DA | DA | no | $1 \times$ | AP93 | reapse |
| 47 | 5 | f | 15 | borr | DA | DA | DA | no | 1x | AP93 | reapse |

## MRD tests

The results of MRD analysis of 189 samples are illustrated in Figure 1 for patients remaining in CCR (A: adults; B: children) and in Figure 2 for relapsed patients (A: adults; B: children). At 1 month all patients tested were RT-PCR positive (including 14/17 patients who had resistant disease, RD), irrespective of age and clinical outcome. At 2 months, $50 \%$ ( 10 of 20 ) of the patients tested in the CCR group were negative, while only 1 of the 5 patients in the relapsed group was RTPCR negative. Tests carried out between 3-5 and 6-9 months were almost all negative (with the exception of 4 patients with a positive result who remained in (CR), including in 10 of the 11 patients who later relapsed.

We observed a rapid decrease in the level of disease during the first 2 months of monitoring, with the adults showing a more rapid molecular clearance of disease than the children: $83 \%$ ( 5 of 6 ) of the children were still positive against $41.2 \%$ ( 7 of 17 ) of the adults. However, the slower reduction in MRD cases at 2 months did not result in a higher incidence of relapses in the younger age group: $2(20 \%)$ of 10 children versus 9 ( $24.3 \%$ ) of 37 adults ( $p=1.0$, Fisher's exact test). CCR
patients had serially negative RT-PCR results beyond 5.5 months. However, 4 patients ( 3 adults and 1 child) who later relapsed also had negative results at 6-9 months. M oreover, only negative tests were recorded 4, $7,8,10,11,11,12$ and 13 months prior to relapse in 8 patients (Figure 2A-B). Residual disease beyond 10 months was observed in 3 of 4 adults who relapsed 2, 5 and 7 months after the positive RT- PCR (\#45, 37 and 39, respectively).

## Statistical analysis of MRD tests

The ability of a positive test to predict relapse (predictive positive value, PPV) was better ( $100 \%$ ) between 10-24 months than in any previous time-interval. The ability of a negative test to predict CCR (predictive negative value, PNV) was also highest at 10-24 months with 17 ( $94 \%$ ) of 18 MRD negative tests among patients who remained in CCR. During treatment a negative test was invariably better at predicting CCR, (PNV: $91 \%, 76 \%$ and $83 \%$ at 0-2 months, $3-5$ months and 6-9 months, respectively), than a positive test at predicting relapse (PPV: 20\%, 0\% and 0\% at 0-2 months, 3-5 months and 6-9 months, respectively).

## A Induction therapy and DFS: ATRA alone vs ATRA+CHT



B Consolidation therapy and DFS:
$\leq 2 \mathrm{CHT}$ vs $>2 \mathrm{CHT}$


## C PMLRARA isoforms and DFs



D MRD tests and DFS (10-24 months only)


[^1]The correlation between MRD tests and disease-free survival (DFS) was investigated in each of the four timeintervals: 0-2 months, 3-5 months, 6-9 months,10-24 months with only tests at 10-24 months reaching statistical significance ( $\mathrm{p}<0.0001$, logrank test) (Figure 3D).
A Cox-regression multi-variant model was used to determine the variable that was most significantly prognostic of the DFS rate. MRD-status at each time period was compared with sex, age and days to CR (Table 2). The only co-variable to have a significant independent impact on the DFS was the MRD status at the 10-24 month mesasurement period: $\mathrm{p}=0.016$ (the Wald statistics was also its highest and the Exp $(B)$ deviated most from the numerical one).

## Discussion

Treatment with ATRA and chemotherapy has dramatically improved survival in APL patients ${ }^{18,1,9,2,2,5}$ and RT-PCR studies (using the PML-RAR $\alpha$ fusion transcripts) have been extensively used to monitor the efficacy of these therapeutic regimens. The patients in our study came from different institutions which used different therapeutic strategies but these all included ATRA and one or more cycles of chemotherapy, with a trend towards a better overall outcome for patients who received more than two cycles of chemotherapy, following induction therapy, although this did not reach statistical significance ( $\mathrm{p}=0.07$ ).
The bcr3 transcript (short isoform) has been associated in different studies with adverse prognosis but no statistically significant correlation has been established with clinical outcome. ${ }^{19,25}$ In our study relapse was observed mainly in patients carrying the bcr1/2 (long isoform) while the majority of the patients carrying the bcr3 transcript remained in CCR. We observed no difference in overall incidence and outcome between patients carrying either isoform in the two age groups analyzed.
In other studies, long-term survivors have been associated with the finding of persistently negative MRD resultss ${ }^{11,13,1,5,25-27}$ suggesting eradication of the malignant clone, at least below the level of sensitivity of the RT-PCR test. In our series, all patients who have remained in CCR were serially RT-PCR negative from 5.5 months onwards irrespective of age (Figure 1A-B) with the PNV greatly improving beyond 5 months. However, 10 patients who later relapsed also converted to negative MRD after achieving clinical remission and tested negative at the end of therapy (time-period 6-9 months) (Figure 2A-B). This is suggestive of a poor ability of the MRD tests to detect future relapse or a rapid rise of residual disease pre-relapse (see below).
Our data indicate that during the first 9 months, the ability of a negative test to predict CCR is stronger than the ability of a positive test to predict relapse. Howev-

Table 2. Cox-regression model analysis of effects on dis-ease-free-survival of four co-variants: MRD-status, age, sex and days to CCR.

| Co-variables | Cases | Wald Statistic | Exp(B) | p-value |
| :--- | :---: | :---: | :---: | :---: |
| 10-24 months | $(21)$ |  |  |  |
| MRD status |  | 5.848 | 181.229 | 0.016 |
| Days to 1tCR |  | 1.929 | 0.925 | 0.165 |
| Sex | 0.306 | 3.504 | 0.580 |  |
| Age |  | 0.031 | 0.989 | 0.859 |

er, with only negative tests detected among patients who later relapsed, our M RD study shows that there is little advantage in assessing patients during treatment and greater effort should be made to monitor disease beyond the end of treatment, as this is the best time to pick up patients destined to relapse ( $\mathrm{p}<0.0001$ ).

Overall there was little difference in MRD results between the two age groups. The persistence of residual disease during the first 2 months of treatment was longer in children than in adults but did not translate into a worse outcome in the younger age group. This was rather unexpected as children with acute leukemia of B - cell origin have a more rapid clearance of disease than adults, as previously suggested. ${ }^{28}$ We did not observe a higher incidence of the bcr3 isoform in younger patients or a different clinical outcome for the patients with this isoform.
Our MRD investigation results expand data from our own previous study ${ }^{16}$ and confirm data from other investigators ${ }^{18}$ both in highlighting the poor ability of early tests to predict outcome and in describing the limited ability of MRD tests during treatment to predict overall outcome. Either inefficiency of the RT steps or low levels of PML-RAR $\alpha$ expression ${ }^{29}$ might explain the high rate of negative tests. However, the high level of positive tests during the $0-2$ months period is a strong argument, in our view, against technical reasons being the cause. Also, more sensitive ( $1: 10^{6}$ ) competitive RT-PCR tests can detect MRD in patients in CCR who tested negative using a less sensitive conventional RT-PCR ( $\left.1: 1 \times 10^{4}\right)^{30}$ However, a more important biological feature could also explain this apparent poor performance of the tests. The rate at which cells are cleared following therapy is slower than the rate at which leukemic cells divide and re-appear preceding relapse. This has been demonstrated in studies of patients with Philadelphia chromosome positive acute lymphoblastic leukemia following bone marrow transplantation. ${ }^{31}$ Very low or even undetectable levels of MRD preceded relapse in this group of patients. This is not the case, for instance, for patients with chronic myeloid leukemia who show
persistence of MRD for very long periods. In these patients the rate at which the BCR-ABL transcripts disappear is relevant in predicting outcome. Those who are slow to achieve a remission are more likely to relapse than those who reach this state quickly. ${ }^{32}$ The kinetics of cells becoming undetectable is influenced more by the disease-type than by the technique applied.
The positive results observed during the monitoring of three of the relapsed patients are also in accord with those of previous studies which described the reappearance of the leukemic clone prior to relapse. 11,15,17,18,27 However, in 8 of our patients, no prior PCR conversion was observed, although, no bone marrow sample was tested within the 6 to 9 months preceding relapse in 7 of these 8 patients. This strongly argues for a policy of monitoring MRD at 3 -monthly intervals in the bone marrow of APL patients in any future study in order to avoid false negative tests after the end of treatment.
In conclusion, our study highlights the poor predictive value of MRD during treatment in APL patients and the requirement for regular (3-monthly) sampling for MRD investigation, after the end of treatment. In a small cohort of patients we have confirmed the value of the RT- PCR positive conversion in predicting relapse. There is great expectation that the advent of real-time RT-PCR technology will in the future allow for more accurate and rapid monitoring of residual disease in APL patients. Preliminary results have shown that this technology is suitable for assessing the rate of clearance of PM L-RAR $\alpha$ transcripts and that its sensitivity can be superior to that of the conventional RT-PCR. ${ }^{33,34}$ It is likely that the application of this technique to large prospective trials will improve the identification of APL patients at risk of relapse.

## Contributions and Acknowledgments

PG, SV, ALS and JD carried out the tests on over 30 of the patients presented here. PC carried out molecular analyses from the patients collected at the Royal Free Hospital and presented here. AM , HGP and AVH provided the material and clinical data on the patients entered into the study from the Royal Free Hospital in the UK while J EG and AP funded and provided material from the largest porportion of patients from Portugal entered into the study. LF was involved in the generation, collection and analysis of data for the whole cohort of patients, preparation of the manuscript and elaboration of it from beginning to end as well as the practical measurement of some of the tests in a restricted group of patients.
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## Disclosures

Conflict of interest: none.
Redundant publications: yes, < 50\%.

## Manuscript processing

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## Potential implications for clinical practice

Our results have a primary implication for the management and stratification of APL patients ${ }^{36-39}$ according to MRD which is already being applied to a large cohort of patients in Europe and the USA.

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[^0]:    Figure 1. RT-PCR amplification of PML-RAR $\alpha$ transcript during follow-up of 36 APL patients who remained in CCR: A) Adults and B) Children. Symbols are as follows: $\quad$ MRD positive
    AR test; $\bigcirc$ MRD negative test; $\square$ MRD negative test (PB); $\oplus$ Cytogenetic positive analysis. \& Death in CCR: Abbreviations are as follows: CCR: complete clinical remission in months from treatment; rd: resistant disease; $x$ : number of chemotherapy cycles.

[^1]:    Figure 3. Survival curves (Kaplan Meier method). A) DFS and induction therapy: ATRA alone versus ATRA plus chemotherapy (CHT), B) DFS and consolidation therapy: 1 or 2 cycles of chemotherapy versus 3 or 4 cycles, C) Disease-free-survival (DFS) and PML/ RAR $\boldsymbol{\alpha}$ isoforms: bcr1/ 2 versus bcr3 forms ( $n=$ number of patients), D) DFS and MRD tests obtained 10-24 months after presentation: RT-PCR positive versus RT-PCR negative results.

