- 1 Enhanced Depth Imaging Optical Coherence Tomography of Optic Nerve Head
- 2 Drusen: a Comparison of Cases with and without Visual Field Loss
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- 30

31 Abbreviations

- 32 AF Autofluorescence
- 33 EDI Enhanced Depth Imaging
- 34 MD Mean Deviation
- 35 ONHD Optic Nerve Head Drusen
- 36 PSD Pattern Stardard Deviation
- 37 RNFL Retinal Nerve Fiber Layer
- 38 SD-OCT Spectral-Domain Optical Coherence Tomography
- 39 VF Visual Field

# 41 Abstract

43	Purpose: Enhanced depth imaging (EDI) spectral domain optical coherence
44	tomography (SD-OCT) has been recognized as the most sensitive tool to diagnose
45	optic nerve head drusen (ONHD). The relationship between OCT characteristics and
46	visual loss has not been well documented. This study compares EDI SD-OCT
47	determined morphological characteristics of drusen in eyes with or without visual field
48	(VF) defects.
49	<b>Design</b> : Descriptive study of patients attending the neuro-ophthalmology service of
50	Moorfields Eye Hospital between January 2013 and October 2014.
51	Subjects: Patients with diagnosed ONHD and EDI SD-OCT imaging of the optic
52	nerve head.
53	Methods: Eyes with and without VF defects were compared with regard to retinal
54	nerve fiber layer (RNFL) thickness, drusen morphology, size, extent, visibility on
55	funduscopy, ultrasound and fundus autofluorescence.
56	Main Outcome Measure: Difference in OCT characteristics of ONHD between
57	patients with or without visual field defects
58	Results: Of 38 patients, 69 eyes with ONHD were included. 33 eyes had a normal
59	VF with average mean deviation (MD) –0.96dB (±1.2), pattern standard deviation
60	(PSD) 1.6dB ( $\pm$ 0.3) (group I), and 36 eyes had VF defects with MD -13.7dB ( $\pm$ 10.4),
61	PSD 7.2dB (±3.6) (group II). Mean global RNFL thickness was $62\mu m$ (±20.9) in the
62	latter group, and 99.0 $\mu$ m (±12.9) in group I. In group I, the predominant drusen type
63	were peripapillary drusen, of variable size. In group II, most eyes had confluent (p <
64	0.02) and large (>500 $\mu$ m; p < 0.003) drusen, and drusen were more commonly
65	visible on funduscopy ( $p = 0.001$ ), ultrasound ( $p= 0.013$ ) and autofluorescence ( $p =$

66	0.002). Differences between the two groups reached statistical significance in a
67	clustered analysis. RNFL thinning and autofluorescence showed relative sparing of
68	the temporal sector. 64% of patients with a VF defect in one eye also had a VF
69	defect in their fellow eye.
70	Conclusions: Drusen size and drusen type as classified by OCT morphological
71	characteristics are significantly different in patients with or without VF defects.
72	Confluent, large and autofluorescent drusen were more commonly found in patients
73	with VF defects. These findings may assist in clarifying how drusen give rise to visual
74	loss which is currently not known.
75	

## 77 Introduction

Drusen of the optic disc were first described by Liebrich in 1868<sup>1, 2</sup>. Although the 78 79 clinical picture and associated complications of optic nerve head drusen (ONHD) have been well described since the last century<sup>3-5</sup>, the pathogenesis of ONHD and 80 81 the mechanism of resultant visual field loss remain poorly understood. Based on findings on electron microscopy, Tso<sup>6</sup> concluded that drusen are related to axonal 82 degeneration in the optic nerve head. He suggested that intracellular mitochondrial 83 84 calcification with rupture of axons and subsequent progessive deposition of calcium 85 on the surface of these nidi form calcified microbodies in the extracellular space. ONHD are known to consist of calcium phospate  $(Ca_3[PO_4]_2)$ , mucoproteins, acid 86 mucopolysaccharides, amino and nucleic acids, and occasionally iron<sup>2, 7</sup>. Tso<sup>6</sup> found 87 drusen size to vary between 5 and 1000µm. 88 89 Until recently, imaging of ONHD was limited to fundus autofluorescence, computed tomographic (CT) scanning and ultrasound, with ultrasound being most sensitive<sup>8</sup>. 90 91 Today, spectral domain optical coherence tomography (SD-OCT), particularly with 92 the application of enhanced depth imaging (EDI) algorithms, allows visualization of 93 ONHD of hitherto unknown resolution<sup>9</sup>. 94 Generally, EDI SD-OCT is known to improve image guality of deeper structures of the posterior pole<sup>9-11</sup>. In particular, it allows imaging of the posterior margin of buried 95 ONHD. EDI SD-OCT is now the most sensitive method of detecting ONHD<sup>9</sup>. Using 96

97 OCT, a number of different morphologic types of ONHD have recently been

98 described.

Johnson et al.<sup>12</sup> identified a druse as a peripapillary "subretinal hyporeflective space"
on Stratus OCT, an older "time-domain" OCT system. This possibly corresponds to
the peripapillary "subretinal mass" with a reflectance similar to that of the inner and

outer plexiform layers as described by Lee et al.<sup>13</sup>. Other published morphologic 102 features of ONHD are small isolated or clustered hyperreflective bands<sup>9</sup>, and 103 hyporeflectant areas with fine hyperreflective borders within the optic nerve<sup>9, 14</sup>. 104 105 Based on the published literature and on our own EDI SD-OCT findings, we suggest 106 that ONHD can be differentiated into three morphological categories. 1) Peripapillary 107 subretinal hyperreflective drusen, 2) granular hyperreflective drusen, and 3) confluent 108 hyporeflective drusen. These three morphological categories will hence be referred to 109 peripapillary, granular and confluent drusen for ease of reference. Disc drusen are often associated with visual field loss<sup>5, 15, 16</sup>. Nerve fiber bundle 110 defects, a nasal step, enlargement of the blind spot as well as concentric visual field 111 112 constriction have all been described. There is usually preservation of central vision. 113 Retinal nerve fiber layer (RNFL) thinning of patients with ONHD is also well described in the more recent literature<sup>17-19</sup>. Peripapillary RNFL thickness changes are believed 114 115 to be an indicator of anatomic location (superficial versus buried) of ONHD and to be 116 associated with visual field defects. In a large retrospective cross sectional study, Malmovist et al.<sup>20</sup> reported more RNFL loss as well as higher frequency and extent of 117 118 VF defects in patients with superficial ONHD. However, to our knowledge, the 119 relationship between OCT-determined morphological characteristics of ONHD and 120 visual field loss has not been investigated, see Silverman et al. for review <sup>21</sup>. 121 This study compares EDI SD-OCT characteristics of ONHD in patients with or without visual field (VF) defects. 122

123

# 124 Methods

This retrospective descriptive study was approved by the institutional review board of
Moorfields Eye Hospital and adhered to the tenets of Declaration of Helsinki. 38

127 patients attending the neuro-ophthalmology clinics of Moorfields Eye Hospital 128 between 1/2013 and 10/2014 were included. Patients with diagnosed optic disc 129 drusen, with available EDI SD-OCT imaging of the optic nerve head, were included. Diagnosis of ONHD was based on OCT, as this has been shown to be the most 130 sensitive diagnostic tool<sup>9</sup>. However, ultrasound, autofluorescence imaging, or both 131 132 were obtained in some patients as well. All patients had full ophthalmologic examinations including slit lamp biomicroscopy, applanation tonometry, dilated 133 134 fundus examination, color disc photography, and automated perimetry (Humphrey 135 field analyzer, strategy SITA-standard, 24-2 threshold). Eyes with other ophthalmic pathologies known to affect the optic nerve head structure or VF were excluded, as 136 137 well as fellow eyes without evidence of ONHD. Eyes with and without visual field 138 defects were compared with regard to best-corrected visual acuity (Snellen chart), 139 color vision (Ishihara plates), RNFL thickness, ONHD type, ONHD layer, ONHD size, 140 ONHD extent, and visibility on funduscopy, on ultrasound and autofluorescence. 141 The definition of visual field defects was based on the criteria published by the IIHTT (Idiopathic Intracranial Hypertension Treatment Trial) group<sup>22</sup>. An abnormal visual 142 143 field test was defined as having a Glaucoma Hemifield Test (GHT) outside normal 144 limits and/or a pattern standard deviation (PSD) p<5%. 145 Patients included in this study had serial horizontal or vertical volume scans of the 146 optic nerve head with enhanced depth imaging using the Spectralis SD-OCT system (Heidelberg Engineering GmbH, Heidelberg, Germany; Eye Explorer Version 1.9.3.0, 147 148 Acquisition Software Version 5.7.5.0, Viewing Module Version 6.0.7.0). Mean B-scan 149 distance was 87.9 µm (± 61 µm standard deviation), mean scan guality 23.6 dB (±

150 5.7), and mean ART (automatic real-time function) 42.7 (± 10.4).

151 The average peripapillary RNFL thickness was automatically obtained using a 12° 152 (3.5 mm) diameter circle centred on the optic disc. All scans were reviewed. Absence 153 of motion artefacts and good centering on the optic disc was checked. Scans also 154 were evaluated in terms of the adequacy of the algorithm for detecting the RNFL. 155 Scans with gross algorithm failure in detecting the retinal layers were excluded. 156 whereas scans with minor algorithm failures over an angle of less than two clock 157 hours were manually corrected and included in the present study. Mean RNFL scan 158 quality was 27.4 dB (± 4.8 standard deviation), and mean ART (automatic real-time 159 function) 57.1 (± 37.1). 160 Most patients had autofluorescence images that were obtained on the Heidelberg 161 Spectralis (Heidelberg Engineering GmbH, Heidelberg, Germany). The area of 162 autofluorescence was measured using the integrated caliper tool. Instead of 163 comparing absolute area values, the area was analysed in relation to the whole disc 164 area (autofluorescence area / disc area = autofluorescence ratio) to avoid 165 measurement inaccuracies related to possible differences in refraction. ONHD size was categorized as small (< 300  $\mu$ m), medium (300 - 500  $\mu$ m) and large 166 (> 500  $\mu$ m) as described elsewhere<sup>23</sup>. 167 168 ONHD extent is a qualitative estimate of the ONHD volume in relation to the total 169 optic nerve head volume. A quantitative ONHD volume measurement was not 170 considered appropriate as this was a retrospective study with some variability in OCT

171 acquisition and quality. An experienced observer categorised the ONHD volume into

the following groups: minimal (<10% ONHD volume compared to total optic nerve

head volume), small (<50%), moderate (>50%), large (>75%), and extensive (>90%).

174 Statistical analyses were performed using Stata 13.1 (StataCorp, College Station,

175 TX, USA). We used logistic regression with cluster-robust standard error with

,visual field defect' as dependent variable. The alpha level (type I error) was set at0.05.

178

## 179 **Results**

Sixty-nine eyes of 38 patients (26 women and 12 men) were included in this study.
For seven patients, only one eye was included. Four of these patients had no
detectable ONHD in the fellow eye, and three had other ophthalmic diseases in their
fellow eye (previous retinal detachment, herpetic corneal scar, segmental inferior
hypoplasia of the disc). Thus, both eyes were included in 31 patients. Among these,
28 patients either had a visual field defect in both eyes or in neither eye; only three
patients had a field defect in one eye but not in the other.

187 Of the 69 eyes in 38 patients, 33 had a normal VF (group I) with average MD -

188 0.96dB ±1.2, PSD 1.6dB ±0.3, and 36 had VF defects (group II) with MD -13.7dB

189 ±10.4, PSD 7.2dB ±3.6 (Table 1). VF defects in the latter group were non-specific in

190 6/36 eyes, either a nasal step or nerve fiber bundle defect in 16/36 eyes and a

191 concentric defect in 14/36 eyes. 64% of patients with a VF defect in one eye also had

192 a VF defect in their fellow eye.

193 Best-corrected visual acuity was slightly better in group I at 1.1 ± 0.2 compared with

194 0.9 ± 0.3 (p = 0.003). Differences in color vision did not reach statistical significance

195 (**Table 1**). *Global* RNFL thickness was 99.0µm ±12.9 in group I with none of the

196 individual patients having an abnormal global RNFL thickness compared with the

197 normative database of Heidelberg Spectralis. In patients with VF defects (group II),

198 global RNFL thickness was  $62\mu m \pm 20.9$  (p<0.001) and 25/36 eyes (69%) had an

abnormal global RNFL (p=0.004) (Fig. 1 A and B). Quantitative RNFL sector

analysis with measurement of absolute RNFL thicknesses showed thinner RNFL in

all sectors for group II, which reached statistical significance for all sectors (Fig. 1 A).
Qualitative RNFL sector analysis (Fig. 1 B) showed few eyes with abnormal sectors
in group I, whereas in group II, a majority of the eyes had atrophic sectors except for
the temporal sector. Differences in sector atrophy between group I and II reached
statistical significance except for the temporal sector. There seemed to be relative
sparing of the temporal sector in both groups.

207 We identified all three morphologic types of ONHD in our series: peripapillary ONHD 208 (hyperreflective), granular ONHD (hyperreflective) and confluent ONHD 209 (hyporeflective) (Fig. 2). One single optic nerve head often showed more than one 210 type of ONHD. In that case, the predominant ONHD type was chosen for statistical 211 analysis. In group I, the predominant drusen type were peripapillary subretinal 212 masses, and drusen were of variable size. In group II, most eyes had large (>500um) 213 and confluent drusen. In line with these findings, ONHD extent was small in a 214 majority of group I patients and extensive in a majority of group II patients (Table 1). 215 Differences in ONHD type (p=0.02), size (p=0.003), and extent (p=0.001) reached 216 statistical significance. Figure 3 A-C plots mean deviation (MD) on 24-2 Humphrey 217 fields against different ONHD characteristics grouped for eyes without or with VF 218 defects. In eyes with VF defects, confluent drusen clearly show worst MD values and 219 there is a trend for worsening MD with increasing size and extent of the ONHD. ONHD were visible in 11/33 eyes in group I compared with 30/36 in group II 220 221 (p=0.001). ONHD were detectable on ultrasound in 15/31 eyes and autofluorescent 222 in 11/31 eyes in group I compared to 30/36 (p=0.013) and 29/34 (p=0.002) in group 223 II, respectively (Table 1, Fig. 3 D-F). None of the eyes with only peripapillary ONHD 224 showed autofluorescence. There were three eyes with granular ONHD only, two of 225 those had positive autofluorescence. No eyes had exclusively confluent ONHD.

Figure 4 shows an overlay of autofluorescence (AF)-positive images. AF images of the left eye were laterally inverted in order to allow overlay of right and left optic discs. Figure 4 A starts with those five optic discs each right and left with least autofluorescence, stepwise adding another five right and five left AF images with increasing AF areas. Figure 4 D finally shows a summation of all available AFpositive images of our cohort. The sequence A-D corroborates the fact that there is relative sparing of the temporal sector at least in an ealier stage of ONHD formation.

# 234 **Discussion**

Visual field (VF) defects are generally thought to be caused by impaired axonal 235 transport in an eye with a small scleral canal leading to gradual attrition of optic nerve 236 fibers, by direct compression by ONHD and/or ischemia within the optic nerve head<sup>5</sup>, 237 <sup>6, 24</sup>. Patients with ONHD-associated VF defects usually show a very slowly 238 239 progressive course of the disease. However, sudden VF loss even without disc swelling has been described<sup>25</sup>. In our study population, 64% of patients with a VF 240 241 defect in one eye also had a VF defect in their fellow eye. 242 Visual acuity, color vision and the central visual field as well as the temporal RNFL are known to be least affected by ONHD<sup>17, 18</sup> which was also true for our study. 243 Although the centro-caecal projection (papillomacular bundle)<sup>26</sup> is particularly 244 vulnerable in most optic neuropathies, there is relative sparing of the latter with 245 246 ONHD. The same is true for glaucoma and papilloedema. Not surprisingly, all three conditions also share the same pattern of RNFL loss. Figure 4 A-D illustrates that in 247 248 the case of ONHD this is not only a matter of relative susceptibility of nerve fibers in 249 different sectors of the optic nerve head. Overlay of the autofluorescence pictures 250 demonstrates that ONHD do not tend to form in the temporal sector of the optic nerve 251 head unless there is extensive involvement. In the context of glaucoma, regional differences of the lamina cribrosa structure are believed to affect the susceptibility of 252 253 axons to glaucomatous damage. Larger pores and thinner connective tissue were found in the superior and inferior parts of the lamina cribrosa and might offer less 254 255 structural support for optic nerve axons as compared to the temporal and nasal part.<sup>27, 28</sup> Interestingly. Odden et al. also found a naso-temporal difference with 256 smaller pores in the temporal part<sup>29</sup>. In a similar way, the axoplasmatic transport 257 258 which is involved in the pathophysiology of both ONHD and papilloedema might be 259 differently affected by the lamina cribrosa structure. More structural support in the 260 temporal sector might protect the temporal sector from axoplasmatic stasis and might 261 thus protect central vision.

Visual field loss is more often associated with visible ONHD<sup>5, 15, 30, 31</sup>. Sato et al.<sup>32</sup> 262 published a case series of 15 patients showing a negative correlation between 263 drusen diameter and autofluorescence area with RNFL thickness. Our study 264 265 corroborates this finding. The data presented here not only provide structure-266 structure correlation but also structure-function correlation in that visible, 267 autofluorescent and ultrasound-positive ONHD were significantly more common in 268 eyes with VF defects. Moreover, ONHD size and type were relevant with regard to 269 VF function meaning that most eyes had large (>500um) and/or confluent drusen in 270 group II which we conclude reflects the severity of the disease. 271 Sixteen eyes had evidence only of peripapillary ONHD. These eyes were both

273 predominant drusen type in patients with normal VF. Thus the question arises

272

whether or not these OCT structures truely are ONHD? On histologic sections similar

autofluorescence- and ultrasound-negative. Peripapillary drusen also were the

sturctures have been described as peripapillary retinal scarring by Friedman et al.<sup>33</sup>

276 (Fig. 5). However, the fact that we found confluent drusen within peripapillary drusen in our patients seems to corroborate the assumption that peripapillary drusen are 277 278 possibly an early or parallel form of ONHD. We hypothesize that the different OCT morphologies of ONHD correspond to the pathogenesis cascade with peripapillary 279 280 ONHD indicating axonal stasis as an initial step of ONHD formation. Calcified 281 mitochondria released into the extracellular space then become apparent as granular hyperreflective structures on OCT<sup>34</sup> until further deposition of calcium on the surface 282 283 of these nidi leads to formation large confluent drusen. Of note, a great majority of 284 the eyes had evidence of peripapillary ONHD on OCT (group I 29/33, group II 29/36; 285 Table 1), however, in group II this was not the predominant drusen type. It seems 286 counterintuitive that large calcified drusen become hyporeflective on OCT. However, Yi et al.<sup>35</sup> were able to correlate hyporeflective drusen on OCT with histology in the 287 288 same patient who sadly underwent exenteration for a melanoma. Slotnik and Sherman<sup>14</sup> suggested that a lack of change in the index of refraction leads to this 289 290 hyporeflective appearance.

291 To conclude, we have identified three morphogical types of ONHD on EDI SD-OCT. 292 1) Peripapillary subretinal hyperreflective drusen, 2) granular hyperreflective drusen, 293 and 3) confluent hyporeflective drusen. ONHD that are larger and of the confluent 294 hyporeflective type are more commonly found in patients with field defects, whereas 295 field defects are rare in patients with peripapillary subretinal drusen. Thus, other 296 causes must be ruled out if field defects are detected in patients with peripapillary 297 subretinal ONHD only. In patients with field defects, ONHD are also more frequently 298 visible on funduscopy, autofluorescence and ultrasound. 64% of patients with a VF 299 defect in one eye had a VF defect in their fellow eye. Our data show relative temporal 300 sparing of both RNFL and autofluorescence which possibly explains how drusen

- 301 produce visual field and not acuity loss. For future research, EDI SD-OCT may assist
- in clarifying how drusen give rise to visual field loss which is currently not known.

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- **3**89 **8**.
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- 391

## 392 Legends

393 Table 1

394 Clinical and EDI SD-OCT features of eyes without (group I) or with (group II) visual 395 field defects. In group I, both ultrasound and autofluorescence were not available for

- two eyes each. In group II, autofluorescence was not available for five eyes.
- n: number of eyes, MD: mean deviation, PSD: pattern standard deviation, BCVA:
- 398 best corrected visual acuity, Ishihara: color vision, RNFL: global retinal nerve fiber
- 399 layer thickness, abnormal RNFL: eyes with abnormal global RNFL, ONHD: optic
- 400 nerve head drusen, +Funduscopy: ratio of eyes with visible ONHD, remaining
- 401 patients had burried ONHD, +Ultrasound: ratio of eyes with gross ONHD on
- 402 ultrasound, +Autoflurescence: ratio of eyes with autofluorescent ONHD.

403

404 Figure 1

405 **A**: Comparison of group I and II with regard to RNFL thickness [µm] of the global

406 RNFL and the different RNFL sectors. Both the global RNFL and all RNFL sectors

407 were statistically significantly thinner in group II.

408 **B**: Relative number of eyes in both groups with abnormally thin RNFL sectors 409 compared to the normative database of Heidelberg Spectralis (Heidelberg 410 Engineering GmbH, Heidelberg, Germany). In group I, RNFL sector analysis showed 411 few eyes with atrophy of the nasal, inferonasal, inferotemporal, superotemporal and 412 superonasal sectors, whereas in group II more than half of the eyes had abnormal 413 sectors nasally and more than two third of the eyes had abnormal sectors 414 inferotemporally, superotemporally, superonasally as well as globally. Differences in 415 abnormal sector thickness between group I and II reached statistical significance 416 except for the temporal sector.

417 G: global RNFL; N: nasal, NI: inferonasal, TI: inferotemporal, T: temporal, TS:

418 superotemporal, NS: superonasal sector

419

420 Figure 2

421 Three different types of ONHD were identified on EDI SD-OCT. A) peripapillary 422 subretinal hyperreflective drusen (box: scanning laser ophthalmoscopy (SLO) image 423 of the optic disc; the horizontal green line shows the peripapillary location of the OCT 424 B-scan) B) granular hyperreflective drusen, C) confluent hyporeflective drusen. Often 425 more than one type of ONHD was detected in one eye. **D-F** shows the corresponding 426 histologic sections of the different ONHD types. However, there is a doubt whether 427 section **D** really represents drusen tissue. In the original publication it has been 428 described as peripapillary scarring.

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432

433 Figure 3

434 All graphs (A-F) show the 24-2 Humphrey visual field index mean deviation (MD) on the y-axis plotted against different drusen characteristics grouped for eyes without 435 (group I, circle) or with (group II, square) visual field defects. Whiskers indicate the 436 437 95% confidence interval. A) Group II patients with the confluent drusen type had the 438 highest MD indicating the most severely impaired visual fields. Interestingly, group I 439 patients could also have confluent drusen despite having normal visual fields. This is 440 likely explained by differences in drusen size between group I and II. Peripapillary and granular drusen had a similar MD in group II. **B)** After Lee et al.<sup>23</sup>. drusen size 441

442 was categorized as small (< 300µm), medium (300-500µm), large (> 500µm) based 443 on the maximum drusen diameter on OCT. Large ONHD are associated with worse 444 MD in group II. C) Drusen extent is an approximation of drusen volume. An experienced ophthalmologist rated the ratio [drusen volume / optic nerve head 445 446 volume] as minimal [<10%], small [<50%], moderate [>50%], large [>75%], extensive 447 [>90%] based on the EDI optic nerve head volume scan. In group II patients, MD gets progressively worse as a function of increasing drusen volume. **D-F)** In group II, 448 449 visible drusen (D), ultrasound positive drusen (E), and autofluorescent drusen (F) are 450 associated with worse MD.

451

452 Figure 4

453 Overlay of autofluorecence (AF)-positive images. AF-positive images of the right and 454 left eye respectively were put into an order of increasing AF area and then overlayed 455 in groups of five. AF images of the left eye were laterally inverted in order to allow 456 overlay of right and left optic discs. Figure 4 A starts with those five optic discs each 457 right and left with least autofluorescence. In figure 4 B another five right and five left 458 AF images were superimposed, which was repeated in figure 4 C with further AF 459 images of increasing AF area. Figure 4 D finally shows a summation of all available 460 AF-positive images of our cohort. The sequence **A-D** corroborates the fact that there 461 is relative sparing of the temporal sector at least in an ealier stage of ONHD 462 formation.

463

464 Figure 5

465 EDI SD-OCT image with evidence of confluent ONHD (arrow head) within a

466 peripapillary subretinal ONHD (arrow).

EDI OCT and visual field in optic nerve head drusen