

1 Adalimumab for the Prevention of Uveitic Flare in Patients with Inactive Non-infectious Uveitis
2 Requiring Corticosteroids: A Multicenter, Double-masked, Placebo-Controlled Phase 3,
3 Randomised Controlled Trial

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49 **Summary**

50 **Background:** Non-infectious uveitis represents a potentially sight-threatening ocular disorder as
51 a result of chronic inflammation and its complications. Therapeutic success is limited by
52 systemic adverse effects associated with long-term corticosteroid and immunomodulator use if
53 topical medication is not sufficient to control the inflammation. This study assessed the efficacy and
54 safety of adalimumab in systemic corticosteroid-dependent patients with inactive, non-infectious
55 intermediate, posterior, or panuveitis.

56 **Methods:** VISUAL II, a multinational, double-masked, phase 3 trial enrolled adult patients with
57 inactive, non-infectious intermediate, posterior, or panuveitis requiring 10-35mg of prednisone
58 daily to maintain inactivity. Patients were randomized 1:1 to receive adalimumab (loading dose,
59 80mg; biweekly dose, 40mg) or placebo and were subjected to a mandatory prednisone taper
60 from week 2. The primary efficacy endpoint time to treatment failure (TF) a multi-component
61 endpoint, encompassing new active inflammatory chorioretinal and/or inflammatory retinal
62 vascular lesions, anterior chamber cell grade, vitreous haze grade and visual acuity, as well as
63 nine ranked secondary efficacy endpoints were assessed in the intent-to-treat population.
64 Adverse event (AEs) rates were monitored. ClinicalTrials.gov, number-NCT01124838.

65 **Findings:** 229 patients from 21 countries involving 62 study sites were enrolled. Patients
66 receiving adalimumab were significantly less likely to have TF (hazard ratio=0·57; 95% CI,
67 0·39-0·84; $P=0\cdot004$). The 40th percentile for time to TF was 4·8 months for placebo and 10·2
68 months for adalimumab group, respectively. Neither group reported opportunistic infections
69 (excluding TB). No malignancies were reported in the placebo group while 1 (0.9%)
70 adalimumab-treated patient reported non-serious squamous cell carcinoma of skin. The most

71 common AEs were arthralgia (Placebo: 12 [10·5%]; Adalimumab: 27 [23·5%]), nasopharyngitis
72 (Placebo: 16 [16·7%]; Adalimumab: 8 [15·7%], and headache (Placebo: 17 [14·9%];
73 Adalimumab: 17 [14·8%]).

74 **Interpretation:** In systemic corticosteroid-dependent patients with inactive, non-infectious
75 intermediate, posterior, or panuveitis adalimumab significantly lowered the risk for uveitic flare
76 or visual acuity loss upon corticosteroid withdrawal. Based on the limited safety data, no new
77 safety signals were observed. The rate of AEs was similar with adalimumab compared with
78 placebo, although it is recognized that the study sample size does not allow complete conclusions
79 on the safety of the therapy.

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81 Funding: AbbVie, Inc.

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90 **Introduction**

91 Uveitis and its associated complications account for approximately 10-15% of preventable
92 blindness in western countries.¹⁻³ Corticosteroids (CS) have been the mainstay of uveitis
93 treatment, but ocular and/or systemic adverse effects limit their long-term use in the treatment of
94 intermediate, posterior, or panuveitis.⁴⁻⁶ The guidance from the Standardization of Uveitis
95 Nomenclature (SUN) working group supports the use of systemic CS-sparing agents in patients
96 on chronic CS treatment with quiescent disease; the ability to achieve a reduction in CS dose
97 below a clinically meaningful threshold while maintaining inactive disease is a key determinant
98 of treatment success.⁶

99 There are few currently approved non-CS immunomodulatory agents for uveitis worldwide that
100 can provide long-term control of uveitis^{7,8}. Globally, there is an unmet need that warrants pursuit
101 of additional effective therapies in steroid-dependent patients with non-infectious uveitis who are
102 at risk for long-term CS side effects.

103 Tumor necrosis factor-alpha (TNF- α) is a pro-inflammatory cytokine produced by various cells
104 including macrophages and neutrophils.⁹⁻¹² Adalimumab (Humira[®]; AbbVie Inc., North Chicago,
105 IL) is a recombinant human immunoglobulin (IgG1) monoclonal antibody that binds specifically
106 to TNF and neutralizes its biological function.¹³ Adalimumab's safety and efficacy profile spans
107 over 13 years for various approved inflammatory conditions such as rheumatoid arthritis,
108 psoriasis, ankylosing spondylitis (AS), Crohn's disease, ulcerative colitis, hidradenitis
109 suppurativa and juvenile idiopathic arthritis (JIA).¹³ Several prospective studies, including the
110 VISUAL I clinical trial, have shown the efficacy and safety of anti-TNF agents (infliximab and
111 adalimumab) in the treatment of chronic and refractory uveitis and in reducing CS use.¹⁴⁻¹⁹

112 There are two major therapeutic goals in uveitis: (1) To achieve quiescence in an eye with active
113 intraocular inflammation, which was the focus of the VISUAL I trial. (2) To prevent a recurrence
114 of intraocular inflammation, and reduce side effects of long-term CS usage in patients with a
115 history of uveitic flare controlled by oral CS ($\geq 10\text{mg/d}$) treatment. The VISUAL II study was a
116 randomized, double-masked, placebo-controlled clinical trial designed to assess the efficacy and
117 safety of adalimumab in preventing reactivation of non-infectious intermediate, posterior, and
118 panuveitis dependent on CS to maintain inactivity.

119 **Methods**

120 *Study design and oversight*

121 VISUAL II was a phase 3, randomized, double-masked, placebo-controlled study conducted in
122 21 countries involving 62 study sites between August 2010 and May 2015. The study protocol
123 was approved by the responsible ethics committees and internal review boards and was
124 performed in compliance with the Declaration of Helsinki, Good Clinical Practice (GCP)
125 guidelines, and applicable local regulations.

126 *Study participants*

127 Eligible patients included individuals aged ≥ 18 years with inactive non-infectious intermediate,
128 posterior, or panuveitis. Key inclusion criteria were inactive disease ≥ 28 days prior to the
129 baseline visit and daily oral prednisone ≥ 10 to $\leq 35\text{mg}$ to maintain inactive uveitis. Inactive
130 uveitis was defined as eyes without active inflammatory chorioretinal and/or retinal vascular
131 lesions, anterior chamber (AC) cell grade $\leq 0.5+$ (SUN, Working Group criteria; score range, 0–
132 4+),²⁰ and/or vitreous haze (VH) grade $\leq 0.5+$ (National Eye Institute [NEI] criteria adapted by
133 SUN).^{20,21} To demonstrate CS dependency, the patient should have a documented history of

134 experiencing at least 1 disease flare within 18 months of the screening visit. Additionally, this
135 flare should have occurred during or up to a maximum of 28 days after tapering off the oral
136 corticosteroid therapy. Patients were allowed only one ongoing immunosuppressive therapy (not
137 including corticosteroids) within the last 28 days prior to the baseline visit. Additionally, the
138 dose of the 1 concomitant immunosuppressive therapy allowed had to be stable for at least 28
139 days prior to baseline and within the dose range as mentioned in Appendix, Table S1. Patient
140 with corneal or lens opacity that precluded visualization of the fundus or that likely required
141 cataract surgery during the duration of the trial were excluded. Patients with isolated anterior or
142 infectious uveitis or any condition precluding safe participation in the study or interfering with
143 study assessments were excluded (see appendix p.4 for complete inclusion and exclusion
144 criteria).

145 *Randomisation and Masking*

146 At the baseline visit, patients were randomised to adalimumab or placebo treatment
147 groups in a 1:1 ratio stratified by baseline immunosuppressant treatment with an interactive
148 voice/web response system that assigned allocation numbers and treatments. Randomization was
149 performed using a block size of 4. This was a double-masked study. All sponsor personnel with
150 direct oversight of the conduct and management of the study (with the exception of those
151 providing study treatments), investigators, study site personnel, and patients were masked to
152 treatment. Masking was maintained throughout the 80-week treatment period.

153 *Procedures*

154 According to the treatment regimen, adalimumab and placebo were supplied in pre-filled
155 syringes and were administered subcutaneously. The adalimumab group received an 80-mg
156 baseline loading dose followed by 40-mg doses every other week starting at week 1 for the

157 duration of the study. Patients were on 10 to 35mg/d of oral prednisone at Baseline and from
158 week 2, all patients underwent a mandatory prednisone taper to 0-mg by week 19. The schedule
159 of study procedures is described (see appendix p.11). Presence or absence of inflammatory
160 chorioretinal and/or retinal vascular lesions was determined by dilated indirect ophthalmoscopy.
161 AC cell counts and cataracts were assessed using slit-lamp biomicroscopy at every study visit.
162 The AC cell counts were graded according to SUN criteria while the cataracts were graded using
163 Age-Related Eye Disease Study (AREDS) lens opacity grading system.^{20,22} VH was assessed
164 using dilated indirect ophthalmoscopy and graded using SUN-adapted NEI criteria.^{20,21} ME was
165 assessed using OCT (Stratus OCT [Carl Zeiss Meditec, Inc., Jena, Germany], Cirrus HD-OCT
166 [Carl Zeiss Meditec, Inc.], or Spectralis [Heidelberg Engineering, Heidelberg, Germany]) (see
167 appendix p.2).

168

169 *Outcomes*

170 Clinic visits were scheduled at screening; baseline; week 2, 4, and approximately every 4 weeks
171 thereafter. Patients were assessed until treatment failure was determined or until completion of
172 80 weeks of double-blind masked treatment. The maximum duration of treatment was 80 weeks
173 or when the 106th treatment failure occurred.

174 Beginning at or after week 2 and at every subsequent visit thereafter, treatment failure was
175 determined if any of the following criteria were met in at least 1 eye: new active, inflammatory
176 chorioretinal and/or inflammatory retinal vascular lesions (as determined by the investigator
177 using clinical examination and/or ancillary testing such as fluorescein angiography); worsening
178 of BCVA by ≥15 letters; 2-step increase in AC cell grade; 2-step increase in VH grade relative to
179 Baseline.

180 The primary efficacy endpoint was time to treatment failure. Nine ranked secondary endpoints
181 were tested in hierarchical order for statistical significance between adalimumab and placebo
182 groups: (1) change in AC cell grade in each eye; (2) change in VH grade in each eye; (3) change
183 in BCVA (logMAR) in each eye; (4) time to optical coherence tomography (OCT) evidence of
184 macular edema (ME) in at least 1 eye; (5) percent change in central retinal thickness (CRT, i.e.
185 CRT as defined by center point thickness for this analysis) in each eye; (6) change in NEI Visual
186 Functioning Questionnaire-25 (VFQ-25) composite score; (7) change in VFQ-25 distance vision
187 subscore; (8) change in VFQ-25 near vision subscore; and (9) change in VFQ-25 ocular pain
188 subscore. All ranked secondary endpoints were analyzed comparing baseline with the final or
189 early termination visit, except for endpoint 4.

190 Adverse events (AEs) were monitored throughout the study and reported from the first dose of
191 study drug until 70 days after the last dose of study drug or until patients were rolled into a
192 separate extension study. Serious AEs were collected from the time of informed consent. AEs
193 were tabulated using Medical Dictionary for Regulatory Activities (MedDRA) version 17·0
194 system organ class and preferred terms. Adalimumab immunogenicity was evaluated at multiple
195 time points throughout the study.

196 *Statistical analysis*

197 *Sample size determination*

198 An overall treatment failure rate of 30% – 35% at 6 months is assumed with an expected
199 treatment effect corresponding to an absolute difference of 15% between the treatment failure
200 rates in the adalimumab and placebo group. A conservative assumption was that treatment
201 failures would begin to occur after 2 months because of prednisone taper. A pooled dropout rate
202 of 35% over 12 months was also assumed. Based on these assumptions, 84 to 107 treatment

203 failures were sufficient for a 2-sided significance level of 5% using a log-rank test. This
204 calculation assumed power of 80% and an average accrual rate of 3 patients per month in the
205 first 28 months and 16 patients per month thereafter.

206 A series of calculations with different sample sizes using the event rate, recruitment rate, and
207 dropout rate assumptions described above was performed using East5, v5.2.0.0 (Cytel Inc.,
208 Cambridge, MA). To achieve approximately 96 treatment failure events, it was determined that a
209 sample size of approximately 220 patients was needed.

210 An Independent data monitoring committee (IDMC) was set up at the beginning of the trial. The
211 IDMC independently monitored and assessed data and was in effect until the end of the study. At
212 each committee meeting, the IDMC undertook a comprehensive review and assessment of the
213 cumulative safety data. The IDMC met approximately every 6 months or at a frequency
214 determined by the IDMC to render their recommendation for either the termination or
215 continuation of the study or an amendment to the study. The IDMC analyses were conducted by
216 a statistics vendor (Axio Research, LLC, Seattle, USA) external to AbbVie in order for AbbVie
217 to remain masked to the results of the study. The IDMC met 8 times and did not identify safety
218 issues requiring either a temporary hold or an early termination of the study.

219 Protocol deviations were monitored via evaluation of inclusion/exclusion criteria at study entry
220 and throughout the study. A total of 54 patients (23.9%) had important reportable deviations,
221 including criteria violations, received excluded concomitant treatment, received wrong treatment
222 or incorrect dose (adalimumab/placebo), received wrong treatment or incorrect dose
223 (prednisone), and developed withdrawal criteria but was not withdrawn. No patients received a
224 treatment to which they were not randomised for an entire period; therefore, all patients were

225 analyzed as randomised for both safety and efficacy analyses. Baseline characteristics were
226 summarized using descriptive statistics.

227 Efficacy endpoints were analyzed in the intent-to-treat (ITT) data set (all patients randomized to
228 treatment excluding 3 patients from 2 non-compliant sites). The primary endpoint “time to
229 treatment failure” was compared between treatment groups using a log-rank test. A proportional
230 hazards model with treatment as a factor was fitted to estimate the hazard ratio (HR) with its
231 95% confidence interval. As additional exploratory endpoints, time to treatment failure due to
232 each component of the primary endpoint was analyzed similarly.

233 Testing of ranked secondary endpoints was conducted in hierarchical order and nominal *P* values
234 for between-group differences were provided. Changes in AC cell grade, VH grade, BCVA, and
235 CRT were compared between groups by analysis of variance with treatment as a factor adjusted
236 for clustered observations within a patient, i.e. a repeated measures ANOVA was used to account
237 for correlation between measurements from both eyes of a patient. CRT analysis used the OCT
238 machine type as an additional factor. Time to OCT evidence of ME on or after week 2 was
239 compared between groups with a log-rank test excluding patients with pre-existing ME at
240 baseline. Changes in VFQ-25 composite score and sub-scores were compared between groups by
241 analysis of variance with treatment as a factor. For analysis of secondary variables, with the
242 exception of time to OCT evidence of ME, missing data were imputed using last observation
243 carried forward.

244 Safety analysis was performed on the safety set which included patients who received at least
245 one dose of adalimumab. Treatment-emergent AEs were summarized descriptively by treatment
246 group. AEs were presented as events per 100 patient-years (100PY) to avoid confounding by
247 between-group differences in duration of exposure to study treatment. All statistical tests were 2-

248 sided at a significance level of 0·05; analyses were performed by the study sponsor using SAS
249 software (SAS Institute Inc., Cary, NC). This trial is registered with ClinicalTrials.gov, number
250 NCT01124838.

251 *Role of Funding Source*

252 AbbVie funded the study, contributed to design, participated in the collection, analysis, and
253 interpretation of the data, and in preparation and approval of this report. All authors had access to
254 study data, reviewed and approved the final report, and take full responsibility for the accuracy
255 of the data and statistical analysis. The corresponding author had full access to study data and
256 had final responsibility for the decision to submit for publication.

257 **Results**

258 *Patients*

259 The trial recruited 229 patients between August 10, 2010 and May 14, 2015; of these 229
260 patients randomised to treatment, 226 were included in the ITT analyses (3 patients were
261 excluded from 2 non-compliant sites) (placebo, n=111; adalimumab, n=115) (Figure 1). More
262 patients were female (61%) and white (84%); 46% were diagnosed with panuveitis. Mean patient
263 age was 42·5 years, and mean duration of uveitis was 61 months. There were no significant
264 differences between randomised groups in demographics and baseline characteristics (**Table 1**).
265 Fourteen patients receiving adalimumab and 16 patients receiving placebo discontinued the
266 study. AEs were the most common cause of discontinuation in both groups (Figure 1). The
267 median time of follow-up, measured as duration of treatment with study drug, for placebo and
268 adalimumab groups was 155 and 245 days, respectively.

269 *Efficacy*

270 An early and sustained separation of the treatment failure curves was observed between
271 adalimumab and placebo groups. The 40th percentile for time to TF was 4·8 months for placebo
272 and 10·2 months for adalimumab group, respectively, while median time to treatment failure was
273 8·3 months for placebo and not estimable (>18 months) for adalimumab, as more than half of the
274 adalimumab-treated patients did not experience treatment failure. The risk of treatment failure
275 for patients in the adalimumab group was significantly reduced by 43% compared to patients in
276 the placebo group (HR, 0·57; 95% CI, 0·39–0·84; $P=0\cdot004$), (**Figure 2A**). Adalimumab treated
277 patients had lower risk to fail and fewer criteria of treatment failure were met (Figure 3A).

278 Nine ranked secondary variables were tested in hierarchical order for statistical significance
279 between the adalimumab and placebo groups. Overall, the hierarchical testing procedure stopped
280 after testing the first ranked secondary endpoint as no statistically significant difference was
281 observed between the treatment groups; p-values provided for ranked secondary endpoints are
282 exploratory in nature. Results were numerically in favor of adalimumab for all ranked secondary
283 variables except change from baseline in VFQ-25 near vision subscore (Table 2).

284 Exploratory analyses of the 4 pre-specified reasons for treatment failure were performed. The
285 percentage of patients with treatment failure due to visual acuity showed the largest difference
286 between the placebo and adalimumab groups (20·7% and 8·7%, respectively; Figure 3B). The
287 risk of treatment failure based on visual acuity was reduced by 67% for patients in the
288 adalimumab group compared to the placebo group (HR, 0·33; 95% CI, 0·16–0·70; $P=0\cdot002$).

289 The rates of treatment failure based on new active inflammatory chorioretinal and/or
290 inflammatory retinal vascular lesions (HR, 0·55; 95% CI, 0·26–1·15; $P=0\cdot105$), increase in AC
291 cell grade (HR, 0·70; 95% CI, 0·42–1·18; $P=0\cdot180$) and increase in VH grade (HR, 0·79; 95%

292 CI, 0·34–1·81; $P=0\cdot589$; **Figure 2B**) were numerically lower in the adalimumab group
293 compared with placebo.

294

295 *Safety*

296 The incidence of AEs was comparable between treatment groups (905 E/100PY and 879
297 E/100PY placebo and adalimumab, respectively (Table 3). Serious AEs were reported at rates of
298 14·1 E/100PY in the placebo group and 13·8 E/100PY in the adalimumab group. The most
299 frequently reported AEs were injection site reactions (placebo, 22·6 E/100PY; adalimumab, 38·1
300 E/100PY) and allergic reactions (placebo, 11·3 E/100PY; adalimumab, 5·3E/100PY). Serious
301 infections occurred at a similar rate between groups. One malignancy (non-serious squamous cell
302 carcinoma of skin) in the adalimumab group and 1 and 3 events of latent tuberculosis were
303 reported in the placebo and adalimumab group, respectively. No active tuberculosis, lupus or
304 lupus-like reaction or demyelinating disorders were reported.

305 Seven patients (6·1%) in the placebo group and 10 patients (8·7%) in the adalimumab group
306 discontinued study drug due to AEs. AEs leading to patient discontinuation in the adalimumab
307 group included mycobacterium TB complex test positive (4 patients), pulmonary sarcoidosis (2
308 patients), and bronchitis, neutropenia, hepatic stenosis, dermatitis, and worsened migraine (1
309 patient each). Sixty patients were pseudophakic at baseline. Six (5.3%) patients in the placebo
310 and 2 (1.7%) in the adalimumab groups, developed cataracts during the study. Overall, 2 patients
311 in the placebo and 1 patient in the adalimumab group had cataract surgery/YAG-laser
312 capsulotomy during the study, but continued in the study. AE results were consistent with the
313 known safety profile of adalimumab across approved indications. One death due to aortic
314 dissection and cardiac tamponade was reported post-treatment (Day 54 [18 days after last dose])

315 in a patient randomised to adalimumab; the investigator considered the events not related to
316 study drug (Table 3). Six patients (5·2%, n=6/115) had anti-adalimumab antibodies (AAA⁺)
317 during the study. Five/six AAA⁺ patients experienced treatment failure at 13, 16, 16, 24 and 31
318 weeks, respectively; median time to treatment failure was not estimable for AAA⁻ patients, as
319 more than half of the AAA⁻ patients did not experience treatment failure (n=109).

320 **Discussion**

321 In the VISUAL II study, treatment of patients with inactive, non-infectious intermediate,
322 posterior, or panuveitis with adalimumab significantly reduced the risk of treatment failure
323 (uveitic flare or visual acuity loss), as demonstrated by an early and sustained separation of
324 adalimumab and placebo treatment failure curves. Median time to treatment failure for
325 adalimumab-treated patients, although not estimable, was significantly longer than placebo.
326 Patients receiving adalimumab met fewer treatment failure criteria as compared with the placebo
327 group. The risk of treatment failure based on logMAR BCVA (visual acuity) was reduced by
328 67% for patients in the adalimumab group compared to the placebo group. The rates of treatment
329 failure based on active inflammatory lesions, AC cell grade and VH grade were numerically
330 lower in the adalimumab group compared with placebo.
331 Most of the measurable effect of adalimumab was on the BCVA component of the primary
332 efficacy endpoint. Although the effect of adalimumab on the other inflammatory components of
333 the primary endpoint was not significant, the improvement in BCVA is likely to be through its
334 effect on multiple aspects of inflammation within the eye, some of which may not have been
335 included in the multiple-component endpoint. The inflammatory manifestations observed in
336 patients with vision loss that may have been, at least in part, the cause of the vision loss were
337 increase in AC cell and VH grade (≥ 1), new inflammatory/chorioretinal vascular lesions, retinal

338 thickening, and cataracts. The cross-sectional study by Dick et al, based on population insurance
339 data provides supportive evidence that the presence of chronic low grade inflammation in this
340 group is associated with worse visual outcomes.²

341 The efficacy results of this placebo-controlled trial were in accordance with previous studies. In
342 VISUAL-I, a multicenter, double-masked controlled trial in patients with active non-infectious
343 uveitis, adalimumab significantly reduced the risk of treatment failure by 50% compared to the
344 placebo group.¹⁹ In both VISUAL-I (active disease) and VISUAL-II (inactive disease), the risk
345 to fail was halved and the time to fail was nearly doubled. A retrospective study in patients with
346 refractory chronic uveitis demonstrated that adalimumab effectively controlled inflammation in
347 35% of patients refractory to previous treatment with infliximab or etanercept.²³ In a prospective
348 open-label pilot study of 19 patients with various uveitic diagnoses, adalimumab significantly
349 reduced inflammation in 63% of patients with complete resolution of cystoid macular edema
350 (CME) in 55% affected eyes after 1 year of treatment.²⁴ In another non-comparative open-label
351 prospective study of 31 patients with refractory uveitis, 68% of patients were clinical responders
352 at 10 weeks, with sustained response at 50 weeks seen in 39% of the patients.²⁵ A multicenter
353 prospective study of 131 patients with a mean age of 27 years also demonstrated that
354 adalimumab therapy significantly improved anterior chamber and vitreous inflammation with the
355 ability to taper CS.¹⁴ The French uveitis network recently published a multicenter observational
356 study of 160 patients with refractory uveitis treated with anti-TNF α (infliximab and adalimumab)
357 agents. The patients had an overall response rate of 93% at 12 months.²⁶

358 The low adalimumab immunogenicity observed in the current study was within the range of rates
359 observed in other disease states.¹³ The safety profile of adalimumab in this study was comparable

360 to other approved indications. The rate of AEs, serious AEs and discontinuation due to an AE
361 were similar in both adalimumab and placebo groups.¹³ No new safety signals were detected.^{27,28}
362 Previous clinical trials that were initiated to evaluate therapeutic potential for inactive, non-
363 infectious uveitis have either failed to achieve their primary endpoint or were prematurely
364 terminated due to unknown reasons.²⁹⁻³¹ Thus, VISUAL II is a first phase 3 trial of a nonsteroidal
365 systemic medication in quiescent (inactive disease) patients to have reached its pre-specified
366 primary endpoint (Time to treatment failure) and showed promise in treating inactive non-
367 infectious uveitis in patients dependent on chronic oral CS (≥ 10 mg/d) to maintain disease
368 inactivity.

369 The unique trial design, large study population, range of uveitis diagnoses and multiple
370 component primary endpoint were strengths of this study. The composite primary endpoint
371 assessed various facets of the disease, spanning from anterior to posterior segments of the eye,
372 and facilitated detailed assessment of treatment response and efficacy since inflammation does
373 not always manifest as a single endpoint such as VH. The CS-sparing effect of adalimumab
374 could be assessed as all patients had a mandatory CS taper to zero.

375 There were limitations to the interpretation of the secondary endpoints (change in AC cell grade,
376 VH grade, and visual acuity) as the magnitude of the treatment effect was diluted because only a
377 small percentage of patients had treatment failure due to 1 of the 4 components. Thus, the
378 magnitude of mean change observed was small for these secondary endpoints. There could have
379 been a “floor effect”, since most patients started with reasonably good visual acuity and minimal
380 inflammation; it might have been difficult to detect a change particularly since more than half of
381 the adalimumab group never achieved treatment failure. It is acknowledged that range of uveitis
382 diagnoses, could also be recognised as a potential limitation since it does not provide us

383 information on which disease groups (with their recognised heterogeneity) are actually the
384 responsive to the therapy. Due to difficulty in recruiting patients in a rare disease with multiple
385 competing studies, no restriction on the number of recruiting sites was imposed, which we agree
386 is a weakness of the study. In addition, the study was not statistically powered to analyze a
387 differential efficacy among the different causes of uveitis.

388 Studies or clinical trials intended for the treatment of uveitis face number of challenges. Uveitis
389 is a heterogeneous group of conditions characterized by intraocular inflammation. Most uveitis
390 syndromes are individually rare, but for taxonomic and clinical convenience are commonly
391 clustered according to their anatomical classification, despite the wide range of systemic and
392 clinical associations they represent. Another challenge that is encountered in any uveitis trial is
393 the lack of high quality outcome measure. Currently, VH grade, as defined by Nussenblatt, is a
394 disease activity surrogate endpoint that is accepted by the FDA for clinical trials. This score
395 utilizes a subjective ordinal scale of cloudiness of the vitreous humor, but has significant inter-
396 observer variability.

397 Treatment with adalimumab significantly lowered the risk for uveitic flare or visual acuity loss in
398 patients with steroid-dependent inactive, non-infectious intermediate, posterior, or panuveitis. No
399 new safety signals were identified with adalimumab treatment; the safety profile of adalimumab
400 was comparable to other approved indications. The findings from this study suggest that
401 adalimumab may be well tolerated and offers an effective treatment option for patients with
402 inactive, non-infectious uveitis and/or who are at risk of the long-term side effects of CS.

403 *PANEL: RESEARCH IN CONTEXT Systematic Review: Evidence before this study*

404 We searched PubMed for articles published up to March 20, 2016, in any language, for
405 drugs/agents that have been used for the treatment of non-infectious uveitis and using the search
406 terms: “non-infectious uveitis”, “anti-TNF”, “immunosuppression”, and “biologics”. There
407 were numerous publications on the use of anti-TNF agents in the treatment of various types of
408 anterior, intermediate, posterior or panuveitis. Several of these publications demonstrated the
409 effectiveness of anti-TNF’s (infliximab and adalimumab) in the treatment of uveitis. It is well
410 known that some of the diseases for which adalimumab is currently indicated, such as JIA, AS
411 and PsA, can present with uveitis. There have been reports of efficacy of adalimumab in
412 pediatric patients with JIA-associated or idiopathic uveitis. A retrospective study in patients with
413 refractory chronic uveitis demonstrated that adalimumab effectively controlled inflammation in
414 35% of patients refractory to previous treatment with infliximab or etanercept. In a prospective
415 open-label pilot study of 19 patients with various uveitic diagnoses, adalimumab significantly
416 reduced inflammation in 63% of patients with complete resolution of cystoid macular edema
417 (CME) in 55% of eyes after 1 year of treatment. A multicenter study of 131 patients with a mean
418 age of 27 years also demonstrated that adalimumab therapy significantly improved anterior
419 chamber and vitreous inflammation with the ability to taper CS. In an open-label study of
420 infliximab, 77% patients with refractory autoimmune uveitis achieved clinical success by week
421 10. In the open-label uncontrolled RHAPSODY study in AS patients, adalimumab decreased the
422 rate of acute anterior uveitis flares by 51%. In a prospective study, adalimumab reduced
423 anterior chamber and vitreous inflammation, improved visual acuity and reduced the
424 corticosteroid burden in patients with refractory uveitis. The French uveitis network recently
425 published a multicenter study of 160 patients with refractory uveitis treated with anti-TNF α
426 (infliximab and adalimumab) agents. The patients had an overall response rate of 93% at 12

427 months. However, most of these are case reports/series or open-label studies. An adequate, well-
428 controlled study of the efficacy and safety of anti-TNF therapy is lacking in the current
429 literature. Previous clinical trials that were initiated to evaluate therapeutic potential for
430 inactive, non-infectious uveitis have either failed to achieve their primary endpoint or were
431 prematurely terminated due to unknown reasons.

432 *Added value of this study*

433 VISUAL-II is a multinational Phase 3, randomised, double-masked, study assessing the efficacy
434 and safety of adalimumab in patients with inactive non-infectious intermediate, posterior, or
435 panuveitis requiring corticosteroids. This study was done in 21 countries involving 62 study
436 sites, representative of the global diversity of the study population. This is the first study to have
437 achieved its pre-specified primary endpoint (Time to treatment failure) and showed promise in
438 treating inactive non-infectious uveitis in patients dependent on chronic oral CS (≥ 10 mg/d) to
439 maintain disease inactivity. The safety profile was consistent with the known safety profile of
440 adalimumab across approved indications.

441 *Interpretation: Implications of all the available evidence*

442 Results from this study indicate that treatment with adalimumab significantly lowered the risk for
443 uveitic flare or visual acuity loss in patients with steroid-dependent inactive, non-infectious
444 intermediate, posterior, or panuveitis. No new safety signals were identified with adalimumab
445 treatment; the safety profile of adalimumab was comparable to other approved indications. The
446 findings from this study suggest that adalimumab may be well tolerated and offers an effective
447 treatment option for patients with inactive, non-infectious uveitis and/or who are at risk of the
448 long-term side effects of CS.

449 **CONTRIBUTORS**

450 Quan Dong Nguyen, Pauline T Merrill, Shree Kumar Kurup, John Sheppard, Ariel Schlaen,
451 Carlos Pavesio, Luca Cimino, Joachim Van Calster and Andrew D Dick participated in the
452 conduct of the study, including selection, treatment, and follow-up of patients; data
453 interpretation; and preparation and critical review of the report. Quan Dong Nguyen, Glenn J
454 Jaffe and Antoine P Brézin participated in the conception and study design; analysis and
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456 Kwatra, Alexandra P Song, Martina Kron, and Samir Tari participated in the analysis and
457 interpretation of data; and preparation and critical review of the report. All authors provided a
458 final review and approved the manuscript.

459 **DECLARATION OF INTERESTS**

460 **Anne Camez, Martina Kron, Alexandra P Song, Nisha V Kwatra and Samir Tari** are
461 AbbVie employees and may hold AbbVie stock or options.

462 **Quan Dong Nguyen** has served on the Scientific Advisory Board for AbbVie, Santen, XOMA,
463 Bausch & Lomb, Genentech uveitis studies, and chairs the Steering Committee for the VISUAL,
464 EYEGUARD, and SAKURA studies.

465 **Antoine P Brézin** has served on advisory boards and as a consultant for AbbVie.

466 **Glenn J Jaffe** has served as a consultant for AbbVie.

467 **Andrew D Dick** has served on advisory boards for AbbVie.

468 **Pauline T Merrill** has served on the Steering Committee for the VISUAL studies and has served
469 as consultant for Santen.

470 **Shree Kumar Kurup** has been an advisor and/or Steering Committee member for AbbVie,
471 Allergan, Bayer, Clearside, Regeneron, and Xoma.

472 **John Sheppard** has been a consultant for AbbVie, Alcon, Allergan, Aldeyra, Bausch & Lomb,
473 Clearside, EyeGate, Tear Lab, Tear Science, Santen; investigator for Xoma, Lux Biosciences,
474 Eyegate, Alcon, Clearside, Alimera, pSivida, Aldeyra; steering committee for the VISUAL
475 studies.

476 **Luca Cimino** has been on advisory boards and as a consultant for AbbVie.

477 **Ariel Schlaen** has no conflicts to declare.

478 **Carlos Pavesio** has received a research grant from Alcon and consultancy with Xoma, Servier
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480 **Joachim Van Calster** has served on advisory boards for AbbVie and MSD and has served as a
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620 **Figure legends**
621 **Figure 1. Trial Profile**
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623 **Figure 2. Treatment failure rate (Kaplan-Meier curve).** (A) Treatment failure because of any reason, and (B)
624 treatment failure rate due to vitreous haze, new lesions, anterior chamber cells, and best corrected visual acuity.
625 HR=hazard ratio.
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627 **Figure 3. Causes of treatment failure.** (A) Number of reasons for treatment failure per treatment group; (B)
628 individual reasons for treatment failure per treatment group. Percentages of patients are indicated above the bars.
629 TF=treatment failure.
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651 **Table 1. Patient Demographic and Baseline Characteristics (Intent-to-Treat Population)**

	Placebo (n=111)	Adalimumab (n=115)
Sex, n (%)		
Female	72 (64·9)	66 (57·4)
Race, n (%)		
White	93 (83·8)	96 (83·5)
Black or African American	8 (7·2)	6 (5·2)
Asian	3 (2·7)	3 (2·6)
Other	5 (4·5)	9 (7·8)
Age, years		
Mean ± SD	42·2±14·0	42·9±12·9
Range	20-79	18-75
Type of Uveitis, n (%)		
Intermediate	30 (27·0)	17 (14·8)
Posterior	34 (30·6)	39 (33·9)
Panuveitis	46 (41·4)	57 (49·6)
Intermediate/Posterior	1 (0·9)	2 (1·7)
Diagnosis, n (%)		
Idiopathic	40 (36·0)	29 (25·2)
Birdshot Choroidopathy	15 (13·5)	15 (13·0)
Multifocal Choroiditis & panuveitis	2 (1·8)	5 (4·3)
Vogt Koyanagi Harada	25 (22·5)	26 (22·6)
Sarcoid	14 (12·6)	18 (15·7)

Behçet's	6 (5·4)	10 (8·7)
Other	9 (8·1)	12 (10·4)
Affected Eye, n (%)		
Left	3 (2·7)	2 (1·7)
Right	4 (3·6)	1 (0·9)
Both	104 (93·7)	112 (97·4)
Duration of Uveitis, months		
Mean ± SD	62·9±67·7	59·5±64·5
Range	4-394	2-381
No. of flares in past 12 months, n (%)		
0-1	46 (41·4)	48 (41·7)
2	40 (36·0)	43 (37·4)
≥3	25 (22·5)	24 (20·9)
Concomitant Immunomodulators at baseline, n (%)		
Azathioprine	11 (9·9)	3 (2·6)
Cyclosporine	11 (9·9)	15 (13·0)
Methotrexate	14 (12·6)	19 (16·5)
Mycophenolate mofetil	17 (15·3)	17 (14·8)
Tacrolimus	0	0

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659 **Table 2.** Summary of Ranked Secondary Efficacy Variables (ITT population)

Ranked Secondary Variable*	Placebo		Adalimumab		P	
	(n=111)		(n=115)			
	n^a	Mean	n^a	Mean		
1. Change in AC cell grade						
Left eye	110	0.57	115	0.41		
Right eye	110	0.53	115	0.40		
Difference, mean (95% CI)		-0.14 (-0.37, 0.08)			0.218 ^b	
2. Change in VH						
Left eye	110	0.33	115	0.16		
Right eye	110	0.27	115	0.18		
Difference, mean (95% CI)		-0.13 (-0.28, 0.01)			0.070 ^b	
3. Change in logMAR BCVA						
Left eye	110	0.06	115	0.01		
Right eye	110	0.02	115	-0.01		
Difference, mean (95% CI)		-0.04 (-0.08, 0.01)			0.096 ^b	
4. Time to OCT evidence of ME (months) on or after Week 2	95	NE	90	NE		
Median						
Hazard ratio (95% CI)		0.75 (0.34, 1.69) ^c			0.491 ^f	
5. Percent change in central retinal thickness						
Left eye	107	6.4	114	4.5		

Right eye	108	7·7	113	5·4
Difference, mean (95% CI)		-2·3 (-8·5, 3·8)		0·451 ^d
6. Change in VFQ-25 total score	109	1·24	115	3·36
Difference, mean (95% CI)		2·12 (-0·84, 5·08)		0·16 ^e
7. Change in VFQ-25 distance vision subscore	109	0·76	115	2·64
Difference, mean (95% CI)		1·88 (-2·53, 6·29)		0·40 ^e
8. Change in VFQ-25 near vision subscore	109	3·98	115	3·88
Difference, mean (95% CI)		-0·10 (-4·81, 4·61)		0·97 ^e
9. Change in VFQ-25 ocular pain subscore	109	2·87	115	3·42
Difference, mean (95% CI)		0·56 (-4·56, 5·68)		0·83 ^e

AC=anterior chamber; BCVA=best-corrected visual acuity; ME=macular edema; OCT=optical coherence tomography; VFQ-25=Visual Functioning Questionnaire-25; VH=vitreous haze.

*With the exception of endpoint 4 (time to OCT evidence of ME), data reflect change from BL to final or early termination visit

- a. For each endpoint, n = number of patients with non-missing value.
- b. From ANOVA of change from BL to the final/early termination visit with treatment as factor adjusted for clustered observations.
- c. HR of adalimumab vs placebo from proportional hazards regression with treatment as factor.
- d. From ANOVA of change from BL to the final/early termination visit with treatment and type of OCT machine as factors adjusted for clustered observations
- e. From ANOVA of change from BL to the final/early termination visit with treatment as factor.
- f. Log rank test.

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662 **Table 3. Adverse Events (Safety Population)**

AEs, Events (Events per 100PY)	Placebo (N=114, PYs=71·0)	Adalimumab (N=115, PYs=94·5)
Any AE	642 (905)	831 (879)
AE leading to death*	0	2 (2·1)
Serious AE	10 (14·1)	13 (13·8)
AE leading to discontinuation of adalimumab/placebo	7 (9·9)	11 (11·6)
Serious infectious AE	2 (2·8)	3 (3·2)
Injection site reactions	16 (22·6)	36 (38·1)
Malignancies†	0	1 (1·1)
Opportunistic infections (excluding oral candidiasis and TB)	0	0
Active tuberculosis	0	0
Latent tuberculosis	1 (1·4)	3 (3·2)
Demyelinating disease	0	0
Lupus-like reaction	0	0
Allergic reactions (including angioedema, anaphylaxis)	8 (11·3)	5 (5·3)

663 *One death, due to 2 fatal AEs of aortic dissection and cardiac tamponade (18 days after last ADA dose), not related
 664 to ADA treatment. †One event of non-serious squamous cell carcinoma of skin (day 210; resolved on day 215; ADA
 665 treatment was not interrupted).

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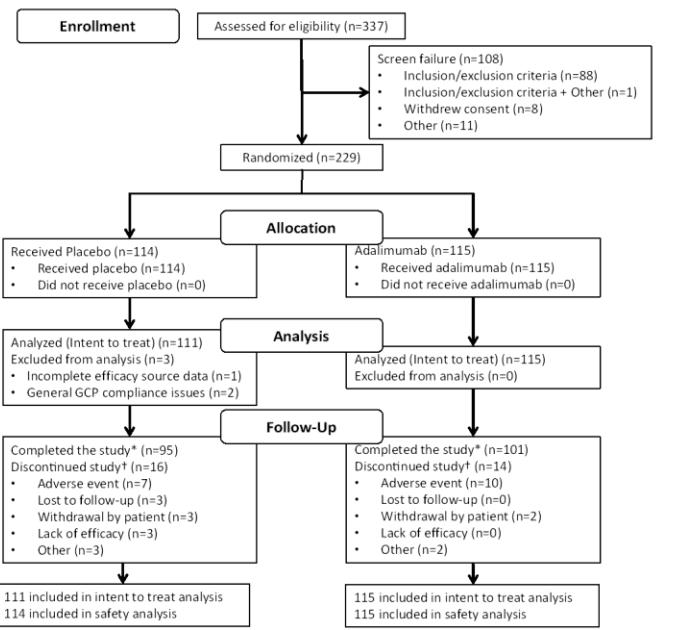
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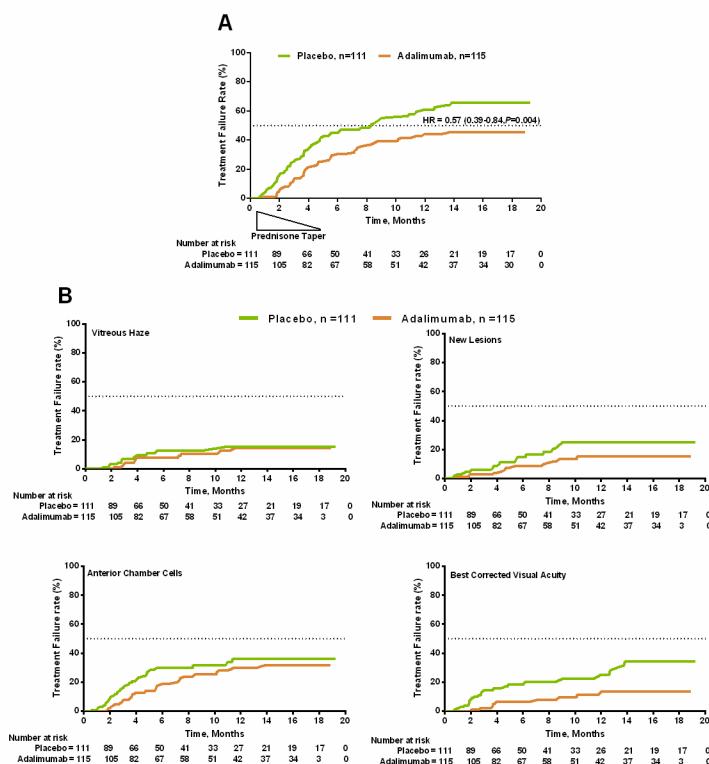
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672 **Figure 1.**



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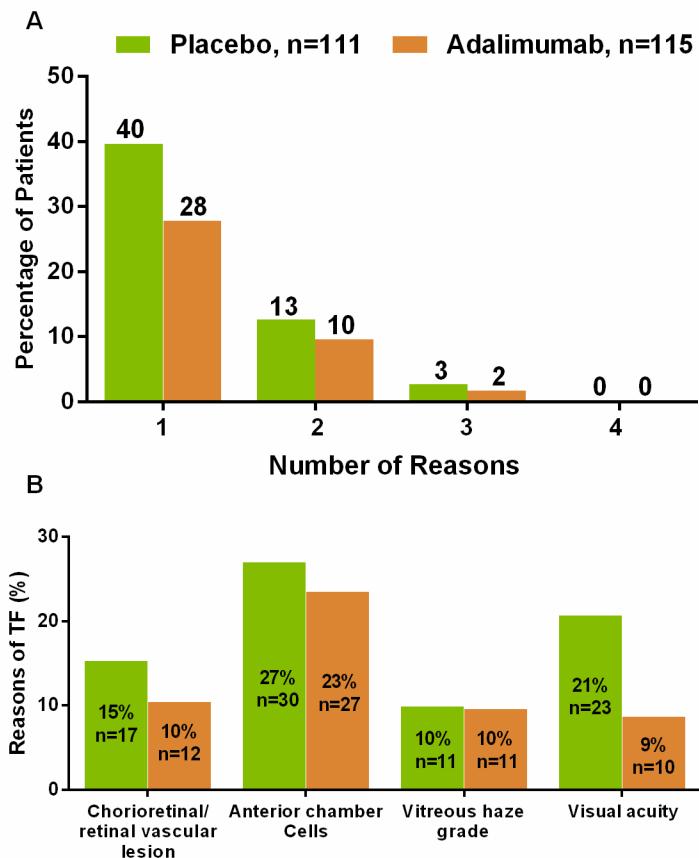
674 **Figure 2.**



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677 Figure 3.



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