Genome-wide association analyses identify new risk variants and the genetic architecture of amyotrophic lateral sclerosis

3

Wouter van Rheenen^{1,125}, Aleksey Shatunov^{2,125}, Annelot M. Dekker¹, Russell L. McLaughlin³, 4 Frank P. Diekstra¹, Sara L. Pulit⁴, Rick A.A. van der Spek¹, Urmo Võsa⁵, Simone de Jong^{6,7}, 5 Matthew R. Robinson⁸, Jian Yang⁸, Isabella Fogh^{2,9}, Perry T.C. van Doormaal¹, Gijs H.P. 6 Tazelaar¹, Max Koppers^{1,10}, Anna M. Blokhuis^{1,10}, William Sproviero², Ashley R. Jones², 7 Kevin P. Kenna¹¹, Kristel R. van Eijk¹, Oliver Harschnitz^{1,10}, Raymond D. Schellevis¹, 8 William J. Brands¹, Jelena Medic¹, Androniki Menelaou⁴, Alice Vajda^{12,13}, Nicola Ticozzi^{9,14}, 9 Kuang Lin², Boris Rogelj^{15,16}, Katarina Vrabec¹⁷, Metka Ravnik-Glavač^{17,18}, Blaž Koritnik¹⁹, 10 Janez Zidar¹⁹, Lea Leonardis¹⁹, Leja Dolenc Grošelj¹⁹, Stéphanie Millecamps²⁰, François 11 Salachas^{21,20,22}, Vincent Meininger^{23,24}, Mamede de Carvalho^{25,26}, Susana Pinto^{25,26}, Jesus S. 12 Mora²⁷, Ricardo Rojas-García^{28,29}, Meraida Polak^{30,31}, Siddharthan Chandran^{32,33}, Shuna 13 Colville³², Robert Swingler³², Karen E. Morrison^{34,35}, Pamela J. Shaw³⁶, John Hardy³⁷, Richard 14 W. Orrell³⁸, Alan Pittman^{37,39}, Katie Sidle³⁸, Pietro Fratta⁴⁰, Andrea Malaspina^{41,42}, Simon 15 Topp², Susanne Petri⁴³, Susanne Abdulla⁴⁴, Carsten Drepper⁴⁵, Michael Sendtner⁴⁵, Thomas 16 Meyer⁴⁶, Roel A. Ophoff^{47,48,49}, Kim A. Staats⁴⁹, Martina Wiedau-Pazos⁵⁰, Catherine Lomen-17 Hoerth⁵¹, Vivianna M. Van Deerlin⁵², John Q. Trojanowski⁵², Lauren Elman⁵³, Leo 18 McCluskey⁵³, A. Nazli Basak⁵⁴, Ceren Tunca⁵⁴, Hamid Hamzeiy⁵⁴, Yesim Parman⁵⁵, Thomas 19 Meitinger⁵⁶, Peter Lichtner⁵⁶, Milena Radivojkov-Blagojevic⁵⁶, Christian R. Andres⁵⁷, Cindy 20 Maurel⁵⁷, Gilbert Bensimon^{58,59,60}, Bernhard Landwehrmeyer⁶¹, Alexis Brice^{62,63,64,65,66}, 21 Christine A.M. Pavan⁶⁷, Safaa Saker-Delve⁶⁸, Alexandra Dürr⁶⁹, Nicholas W. Wood⁷⁰, Lukas 22 Tittmann⁷¹, Wolfgang Lieb⁷¹, Andre Franke⁷², Marcella Rietschel⁷³, Sven Cichon^{74,75,76,77,78}, 23 Markus M. Nöthen^{74,75}, Philippe Amouyel⁷⁹, Christophe Tzourio⁸⁰, Jean-François Dartigues⁸⁰, 24 Andre G. Uitterlinden^{81,82}, Fernando Rivadeneira^{81,82}, Karol Estrada⁸¹, Albert Hofman⁸², 25 Charles Curtis^{6,7}, Hylke M. Blauw¹, Anneke J. van der Kooi⁸³, Marianne de Visser⁸³, An 26 Goris^{84,85}, Markus Weber⁸⁶, Christopher E. Shaw², Bradley N. Smith², Orietta Pansarasa⁸⁷, 27 Cristina Cereda⁸⁷, Roberto Del Bo⁸⁸, Giacomo P. Comi⁸⁸, Sandra D'Alfonso⁸⁹, Cinzia 28 Bertolin⁹⁰, Gianni Sorarù⁹⁰, Letizia Mazzini⁹¹, Viviana Pensato⁹², Cinzia Gellera⁹², Cinzia 29 Tiloca⁹, Antonia Ratti^{9,14}, Andrea Calvo^{93,94}, Cristina Moglia^{93,94}, Maura Brunetti^{93,94}, Simona 30 Arcuti⁹⁵, Rosa Capozzo⁹⁵, Chiara Zecca⁹⁵, Christian Lunetta⁹⁶, Silvana Penco⁹⁷, Nilo Riva⁹⁸, 31 Alessandro Padovani⁹⁹, Massimiliano Filosto⁹⁹, Bernard Muller¹⁰⁰, Robbert Jan Stuit¹⁰⁰, 32

- 34 SLAGEN Consortium¹⁰¹, NNIPPS Study Group¹⁰¹, Ian Blair¹⁰², Katharine Zhang¹⁰², Emily P.
- 35 McCann¹⁰², Jennifer A. Fifita¹⁰², Garth A. Nicholson^{102,103}, Dominic B. Rowe¹⁰², Roger
- 36 Pamphlett¹⁰⁴, Matthew C. Kiernan¹⁰⁵, Julian Grosskreutz¹⁰⁶, Otto W. Witte¹⁰⁶, Thomas
- 37 Ringer¹⁰⁶, Tino Prell¹⁰⁶, Beatrice Stubendorff¹⁰⁶, Ingo Kurth¹⁰⁷, Christian A. Hübner¹⁰⁷, P.
- 38 Nigel Leigh¹⁰⁸, Federico Casale⁹³, Adriano Chio^{93,94}, Ettore Beghi¹⁰⁹, Elisabetta Pupillo¹⁰⁹,
- 39 Rosanna Tortelli⁹⁵, Giancarlo Logroscino^{110,111}, John Powell², Albert C. Ludolph⁶¹, Jochen H.
- 40 Weishaupt⁶¹, Wim Robberecht^{85,112,113}, Philip Van Damme^{85,112,113}, Lude Franke⁵, Tune H.
- 41 Pers^{114,115,116,117,118}, Robert H. Brown¹¹, Jonathan D. Glass^{30,31}, John E. Landers¹¹, Orla
- 42 Hardiman^{12,13}, Peter M. Andersen^{61,119}, Philippe Corcia^{120,121}, Patrick Vourc'h⁵⁷, Vincenzo
- 43 Silani^{9,14}, Naomi R. Wray⁸, Peter M. Visscher^{8,122}, Paul I.W. de Bakker^{4,123}, Michael A. van
- 44 Es¹, R. Jeroen Pasterkamp¹⁰, Cathryn M. Lewis^{6,124}, Gerome Breen^{6,7}, Ammar Al-
- 45 Chalabi^{2,126,127}, Leonard H. van den Berg^{1,126}, Jan H. Veldink^{1,126,127}
- 46

47 Affiliations:

- 48 1. Department of Neurology, Brain Center Rudolf Magnus, University Medical Center
- 49 Utrecht, Utrecht, The Netherlands.
- 50 2. Department of Basic and Clinical Neuroscience, Institute of Psychiatry, Psychology and
- 51 Neuroscience, King's College London, London, UK.
- 52 3. Population Genetics Laboratory, Smurfit Institute of Genetics, Trinity College Dublin,
- 53 Dublin, Republic of Ireland.
- 4. Department of Medical Genetics, Center for Molecular Medicine, University Medical
- 55 Center Utrecht, Utrecht, The Netherlands.
- 56 5. Department of Genetics, University of Groningen, University Medical Centre Groningen,
- 57 Groningen, The Netherlands.
- 58 6. MRC Social, Genetic & Developmental Psychiatry Centre, Institute of Psychiatry,
- 59 Psychology & Neuroscience, King's College London, London, UK.
- 60 7. NIHR Biomedical Research Centre for Mental Health, Maudsley Hospital and Institute of
- 61 Psychiatry, Psychology & Neuroscience, King's College London, London, UK.
- 62 8. Queensland Brain Institute, The University of Queensland, Brisbane, Queensland,
- 63 Australia.
- 64 9. Department of Neurology and Laboratory of Neuroscience, IRCCS Istituto Auxologico
- 65 Italiano, Milano, Italy.
- 66 10. Department of Translational Neuroscience, Brain Center Rudolf Magnus, University
- 67 Medical Center Utrecht, Utrecht, The Netherlands.

- 68 11. Department of Neurology, University of Massachusetts Medical School, Worcester, MA,
- 69 USA.
- 70 12. Academic Unit of Neurology, Trinity College Dublin, Trinity Biomedical Sciences
- 71 Institute, Dublin, Republic of Ireland.
- 13. Department of Neurology, Beaumont Hospital, Dublin, Republic of Ireland.
- 73 14. Department of Pathophysiology and Tranplantation, 'Dino Ferrari' Center, Università
- 74 degli Studi di Milano, Milano, Italy.
- 75 15. Department of Biotechnology, Jožef Stefan Institute, Ljubljana, Slovenia.
- 76 16. Biomedical Research Institute BRIS, Ljubljana, Slovenia.
- 17. Department of Molecular Genetics, Institute of Pathology, Faculty of Medicine,
- 78 University of Ljubljana, SI-1000 Ljubljana, Slovenia.
- 79 18. Institute of Biochemistry, Faculty of Medicine, University of Ljubljana, SI-1000
- 80 Ljubljana, Slovenia.
- 81 19. Ljubljana ALS Centre, Institute of Clinical Neurophysiology, University Medical Centre
- 82 Ljubljana, SI-1000 Ljubljana, Slovenia.
- 83 20. Institut du Cerveau et de la Moelle épinière, Inserm U1127, CNRS UMR 7225, Sorbonne
- 84 Universités, UPMC Univ Paris 06 UMRS1127, Paris, France.
- 85 21. Centre de Référence Maladies Rares SLA Ile de France, Département de Neurologie,
- 86 Hôpital de la Pitié-Salpêtrière, Paris, France.
- 87 22. GRC-UPMC SLA et maladies du Motoneurone, France.
- 88 23. Ramsay Generale de Santé, Hopital Peupliers, Paris, France.
- 89 24. Réseau SLA Ile de France.
- 90 25. Institute of Physiology, Institute of Molecular Medicine, Faculty of Medicine, University
- 91 of Lisbon, Lisbon, Portugal.
- 92 26. Department of Neurosciences, Hospital de Santa Maria-CHLN, Lisbon, Portugal.
- 93 27. Department of Neurology, Hospital Carlos III, Madrid, Spain.
- 94 28. Neurology Department, Hospital de la Santa Creu i Sant Pau de Barcelona, Autonomous
- 95 University of Barcelona, Barcelona, Spain.
- 96 29. Centro de Investigación en red en Enfermedades Raras (CIBERER), Spain.
- 97 30. Department Neurology, Emory University School of Medicine, Atlanta, GA, USA.
- 98 31. Emory ALS Center, Emory University School of Medicine, Atlanta, GA, USA.
- 99 32. Euan MacDonald Centre for Motor Neurone Disease Research, Edinburgh, UK.
- 100 33. Centre for Neuroregeneration and Medical Research Council Centre for Regenerative
- 101 Medicine, University of Edinburgh, Edinburgh, UK.

- 102 34. School of Clinical and Experimental Medicine, College of Medical and Dental Sciences,
- 103 University of Birmingham, Birmingham, UK.
- 104 35. Queen Elizabeth Hospital, University Hospitals Birmingham NHS Foundation Trust,
- 105 Birmingham, UK.
- 106 36. Sheffield Institute for Translational Neuroscience (SITraN), University of Sheffield,
- 107 Sheffield, UK.
- 108 37. Department of Molecular Neuroscience, Institute of Neurology, University College
- 109 London, UK.
- 110 38. Department of Clinical Neuroscience, Institute of Neurology, University College London,111 UK.
- 112 39. Reta Lila Weston Institute, Institute of Neurology, University College London, UK.
- 40. Department of Neurodegenerative Diseases, Institute of Neurology, University College
- 114 London, UK.
- 115 41. Centre for Neuroscience and Trauma, Blizard Institute, Queen Mary University of
- 116 London, London, UK.
- 117 42. North-East London and Essex Regional Motor Neuron Disease Care Centre, London, UK.
- 118 43. Department of Neurology, Hannover Medical School, Hannover, Germany.
- 119 44. Department of Neurology, Otto-von-Guericke University Magdeburg, Magdeburg,
- 120 Germany.
- 121 45. Institute of Clinical Neurobiology, University Hospital Wuerzburg, Germany.
- 122 46. Charité University Hospital, Humboldt-University, Berlin, Germany.
- 123 47. University Medical Center Utrecht, Department of Psychiatry, Rudolf Magnus Institute of
- 124 Neuroscience, The Netherlands.
- 125 48. Department of Human Genetics, David Geffen School of Medicine, University of
- 126 California, Los Angeles, CA, USA.
- 127 49. Center for Neurobehavioral Genetics, Semel Institute for Neuroscience and Human
- 128 Behavior, University of California, Los Angeles, CA, USA.
- 129 50. Department of Neurology, David Geffen School of Medicine, University of California,
- 130 Los Angeles, CA, USA.
- 131 51. Department of Neurology, University of California, San Francisco, CA, USA.
- 132 52. Center for Neurodegenerative Disease Research, Perelman School of Medicine at the
- 133 University of Pennsylvania, Philadelphia, PA, USA.
- 134 53. Department of Neurology, Perelman School of Medicine at the University of
- 135 Pennsylvania, PA USA.

- 136 54. Neurodegeneration Research Laboratory, Bogazici University, Istanbul, Turkey.
- 137 55. Neurology Department, Istanbul Medical School, Istanbul University, Istanbul, Turkey.
- 138 56. Institute of Human Genetics, Helmholtz Zentrum München, Neuherberg, Germany.
- 139 57. INSERM U930, Université François Rabelais, Tours, France.
- 140 58. APHP, Département de Pharmacologie Clinique, Hôpital de la Pitié-Salpêtrière, France.
- 141 59. Université Pierre & Marie Curie, Pharmacologie, Paris VI, Paris, France.
- 142 60. BESPIM, CHU-Nîmes, Nîmes, France.
- 143 61. Department of Neurology, Ulm University, Ulm, Germany.
- 144 62. INSERM U 1127, Hôpital de la Pitié-Salpêtrière, 75013 Paris, France.
- 145 63. CNRS UMR 7225, Hôpital de la Pitié-Salpêtrière, 75013 Paris, France.
- 146 64. Sorbonne Universités, Université Pierre et Marie Curie Paris 06 UMRS 1127, Hôpital de
- 147 la Pitié-Salpêtrière, 75013 Paris, France.

148 65. Institut du Cerveau et de la Moelle épinière, Hôpital de la Pitié-Salpêtrière, 75013 Paris,

- France.
- 150 66. APHP, Département de Génétique, Hôpital de la Pitié-Salpêtrière, 75013 Paris, France.
- 151 67. Département de Pharmacologie Clinique, Hôpital de la Pitié-Salpêtrière, APHP, UPMC
- 152 Pharmacologie, Paris 6, Paris, BESPIM, CHU-Nîmes, Nîmes, France.
- 153 68. Genethon, CNRS UMR 8587 Evry, France.
- 154 69. Department of Medical Genetics, l'Institut du Cerveau et de la Moelle Épinière, Hoptial
- 155 Salpêtrière, Paris, France.
- 156 70. Department of Neurogenetics, Institute of Neurology, University College London, UK.
- 157 71. PopGen Biobank and Institute of Epidemiology, Christian Albrechts-University Kiel,
- 158 Kiel, Germany.
- 159 72. Institute of Clinical Molecular Biology, Kiel University, Kiel, Germany.
- 160 73. Division of Genetic Epidemiology in Psychiatry, Central Institute of Mental Health,
- 161 Mannheim, Germany.
- 162 74. Institute of Human Genetics, University of Bonn, Bonn, Germany.
- 163 75. Department of Genomics, Life and Brain Center, Bonn, Germany.
- 164 76. Division of Medical Genetics, University Hospital Basel, University of Basel, Basel,
- 165 Switzerland.
- 166 77. Department of Biomedicine, University of Basel, Basel, Switzerland.
- 167 78. Institute of Neuroscience and Medicine INM-1, Research Center Juelich, Juelich,
- 168 Germany.
- 169 79. University of Lille, Inserm, CHU Lille, Institut Pasteur de Lille, U1167 RID-AGE Risk

- 170 Factor and molecular determinants of aging diseases, Labex Distalz, F-59000 Lille, France.
- 171 80. Bordeaux University, ISPED, Centre INSERM U1219-Epidemiologie-Biostatistique &
- 172 CIC-1401, CHU de Bordeaux, Pole de Sante Publique, Bordeaux, France.
- 173 81. Department of Internal Medicine, Genetics Laboratory, Erasmus Medical Center
- 174 Rotterdam, Rotterdam, The Netherlands.
- 175 82. Department of Epidemiology, Erasmus Medical Center Rotterdam, Rotterdam, The
- 176 Netherlands.
- 177 83. Department of Neurology, Academic Medical Center, Amsterdam, The Netherlands.
- 178 84. Laboratory for Neuroimmunology, Section of Experimental Neurology, Department of
- 179 Neurosciences, KU Leuven University of Leuven, Leuven, Belgium.
- 180 85. Leuven Research Institute for Neuroscience and Disease (LIND), Leuven, Belgium.
- 181 86. Neuromuscular Diseases Unit/ALS Clinic, Kantonsspital St. Gallen, 9007, St. Gallen,
- 182 Switzerland.
- 183 87. Laboratory of Experimental Neurobiology, IRCCS 'C. Mondino' National Institute of
- 184 Neurology Foundation, Pavia, Italy.
- 185 88. Neurologic Unit, IRCCS Foundation Ca' Granda Ospedale Maggiore Policlinico, Milan,186 Italy.
- 187 89. Department of Health Sciences, Interdisciplinary Research Center of Autoimmune
- 188 Diseases, UPO, Università del Piemonte Orientale, Novara, Italy.
- 189 90. Department of Neurosciences, University of Padova, Padova, Italy.
- 190 91. Department of Neurology, University of Eastern Piedmont, Novara, Italy.
- 191 92. Unit of Genetics of Neurodegenerative and Metabolic Diseases, Fondazione IRCCS
- 192 Istituto Neurologico 'Carlo Besta', Milano, Italy.
- 193 93. "Rita Levi Montalcini" Department of Neuroscience, ALS Centre, University of Torino,
- 194 Turin, Italy.
- 195 94. Azienda Ospedaliera Città della Salute e della Scienza, Torino, Italy.
- 196 95. Department of Clinical research in Neurology, University of Bari "A. Moro", at Pia
- 197 Fondazione "Card. G. Panico", Tricase (LE), Italy.
- 198 96. NEMO Clinical Center, Serena Onlus Foundation, Niguarda Ca' Granda Hostipal, Milan,199 Italy.
- 200 97. Medical Genetics Unit, Department of Laboratory Medicine, Niguarda Ca' Granda
- 201 Hospital, Milan, Italy.
- 202 98. Department of Neurology, Institute of Experimental Neurology (INSPE), Division of
- 203 Neuroscience, San Raffaele Scientific Institute, Milan, Italy.

- 204 99. University Hospital "Spedali Civili", Brescia, Italy.
- 205 100. Project MinE Foundation, Rotterdam, The Netherlands.
- 206 101. A list of members and affiliations appears in the Supplementary Note.
- 207 102. Department of Biomedical Sciences, Faculty of Medicine and Health Sciences,
- 208 Macquarie University, Sydney, New South Wales, Australia.
- 209 103. University of Sydney, ANZAC Research Institute, Concord Hospital, Sydney, New
- 210 South Wales, Australia.
- 211 104. The Stacey MND Laboratory, Department of Pathology, The University of Sydney,
- 212 Sydney, New South Wales, Australia.
- 213 105. Brain and Mind Centre, The University of Sydney, New South Wales 2050, Australia.
- 214 106. Hans-Berger Department of Neurology, Jena University Hospital, Jena, Germany.
- 215 107. Institute of Human Genetics, Jena University Hospital, Jena, Germany.
- 216 108. Department of Neurology, Brighton and Sussex Medical School Trafford Centre for
- 217 Biomedical Research, University of Sussex, Falmer, East Sussex, UK.
- 218 109. Laboratory of Neurological Diseases, Department of Neuroscience, IRCCS Istituto di
- 219 Ricerche Farmacologiche Mario Negri, Milano, Italy.
- 220 110. Department of Basic Medical Sciences, Neuroscience and Sense Organs, University of
- 221 Bari 'Aldo Moro', Bari, Italy.
- 222 111. Unit of Neurodegenerative Diseases, Department of Clinical Research in Neurology,
- 223 University of Bari 'Aldo Moro', at Pia Fondazione Cardinale G. Panico, Tricase, Lecce, Italy.
- 112. VIB, Vesalius Research Center, Laboratory of Neurobiology, B-3000 Leuven, Belgium.
- 225 113. University Hospitals Leuven, Department of Neurology, B-3000 Leuven, Belgium.
- 226 114. Division of Endocrinology, Boston Children's Hospital, Boston, MA, USA.
- 227 115. Division of Genetics, Boston Children's Hospital, Boston, MA, USA.
- 228 116. Center for Basic Translational Obesity Research, Boston Children's Hospital, Boston,
- 229 MA, USA.
- 230 117. Department of Genetics, Harvard Medical School, Boston, MA, USA.
- 231 118. Program in Medical and Population Genetics, Broad Institute, Cambridge, MA, USA.
- 232 119. Department of Pharmacology and Clinical Neurosience, Umeå University, Umeå,
- 233 Sweden.
- 234 120. Centre SLA, CHRU de Tours, Tours, France.
- 235 121. Federation des Centres SLA Tours and Limoges, LITORALS, Tours, France.
- 236 122. Diamantina Institute, The University of Queensland, Translational Research Institute,
- 237 Brisbane, Queensland, Australia.

- 238 123. Department of Epidemiology, Julius Center for Health Sciences and Primary Care,
- 239 University Medical Center Utrecht, Utrecht, The Netherlands.
- 240 124. Department of Medical and Molecular Genetics, King's College London, London, UK.
- 241 125. These authors contributed equally to this work.
- 242 126. These authors jointly directed this work.
- 243 127. To whom correspondence should be addressed.
- 244

245 **Corresponding authors:**

- 246 Ammar Al-Chalabi
- 247 Department of Basic and Clinical Neuroscience
- 248 King's College London
- 249 Maurice Wohl Clinical Neuroscience Institute
- 250 Coldharbour Lane
- 251 E-mail: ammar.al-chalabi@kcl.ac.uk
- 252
- 253 Jan H. Veldink
- 254 Department of Neurology
- 255 Brain Center Rudolf Magnus
- 256 University Medical Center Utrecht
- 257 Heidelberglaan 100
- 258 3584CX Utrecht
- 259 The Netherlands
- 260 Email: j.h.veldink@umcutrecht.nl

261

262 To elucidate the genetic architecture of amyotrophic lateral sclerosis (ALS) and find 263 associated loci, we assembled a custom imputation reference panel from whole genome-264 sequenced ALS patients and matched controls (N = 1.861). Through imputation and 265 mixed-model association analysis in 12,577 cases and 23,475 controls, combined with 266 2.579 cases and 2.767 controls in an independent replication cohort, we fine mapped a 267 novel locus on chromosome 21 and identified C21orf2 as an ALS risk gene. In addition, 268 we identified *MOBP* and *SCFD1* as novel associated risk loci. We established evidence 269 for ALS being a complex genetic trait with a polygenic architecture. Furthermore, we 270 estimated the SNP-based heritability at 8.5%, with a distinct and important role for low 271 frequency (1–10%) variants. This study motivates the interrogation of larger sample 272 sizes with full genome coverage to identify rare causal variants that underpin ALS risk. 273

ALS is a fatal neurodegenerative disease that affects 1 in 400 people, death occurring within three to five years¹. Twin-based studies estimate heritability to be around 65% and 5–10% of ALS patients have a positive family history^{1,2}. Both are indicative of an important genetic

277 component in ALS etiology. Following the initial discovery of the *C9orf72* locus in GWASs³⁻

⁵, the identification of the pathogenic hexanucleotide repeat expansion in this locus

revolutionized the field of ALS genetics and biology^{6,7}. The majority of ALS heritability,

however, remains unexplained and only two additional risk loci have been identified robustly
since^{3,8}.

282

To discover new genetic risk loci and elucidate the genetic architecture of ALS, we genotyped
7,763 new cases and 4,669 controls and additionally collected existing genotype data of
published GWAS in ALS. In total, we analyzed 14,791 cases and 26,898 controls from 41
cohorts (Supplementary Table 1, Supplementary Methods). We combined these cohorts
based on genotyping platform and nationality to form 27 case-control strata. In total 12,577
cases and 23,475 controls passed quality control (Online methods, Supplementary Tables 2–
5).

290

For imputation purposes we obtained high-coverage (~43.7X) whole genome sequencing data from 1,246 ALS patients and 615 controls from The Netherlands (Online methods, and **Supplementary Fig. 1**). After quality control, we constructed a reference panel including 18,741,510 single nucleotide variants. Imputing this custom reference panel into Dutch ALS cases increased imputation accuracy of low-frequency genetic variation (minor allele

- 296 frequency, MAF 0.5–10%) considerably compared to commonly used reference panels: the 1000 Genomes Project phase 1 (1000GP)⁹ and Genome of The Netherlands (GoNL)¹⁰ (Fig. 297 298 1a). The improvement was also observed when this reference panel was used to impute into 299 ALS cases from the UK (Fig. 1b). To benefit from the global diversity of haplotypes, the 300 custom and 1000GP panels were combined, which further improved imputation. Given these 301 results, we used the merged reference panel for imputation of all strata in our study. 302 303 In total we imputed 8,697,640 variants passing quality control in the 27 strata and separately 304 tested these for association with ALS risk by logistic regression. Results were then included 305 in an inverse-variance weighted fixed effects meta-analysis, which revealed 4 loci at genomewide significance ($p < 5 \times 10^{-8}$) (Fig. 2a). The previously reported C9orf72 (rs3849943)^{3-5,8}, 306 UNC13A (rs12608932)^{3,5} and SARM1 (rs35714695)⁸ loci all reached genome-wide 307 308 significance, as did a novel association for a non-synonymous variant in C21orf2 (rs75087725, $p = 8.7 \times 10^{-11}$, Supplementary Tables 8 and 10–13). Interestingly, this variant 309
- 310 was present on only 10 haplotypes in the 1000GP reference panel (MAF = 1.3%), while our
- 311 custom reference panel included 62 haplotypes carrying the minor allele (MAF = 1.7%). As a
- result, more strata passed quality control for this variant by passing the allele frequency

313 threshold of 1% (**Supplementary Table 9**). This demonstrates the benefit of the merged

- 314 reference panel with ALS-specific content, which improved imputation and resulted in a
- 315 genome-wide significant association.
- 316

317 Linear mixed models (LMM) can improve power while controlling for sample structure¹¹, particularly in our study that included a large number of imperfectly balanced strata. Even 318 319 though LMM for ascertained case-control data has a potential small loss of power¹¹, we 320 judged the advantage of combining all strata while controlling the false positive rate, to be 321 more important and therefore jointly analyzed all strata in a LMM to identify additional risk 322 loci. There was no overall inflation of the linear mixed model's test statistic compared to the meta-analysis (Supplementary Fig. 2). We observed modest inflation in the QQ-plot (λ_{GC} = 323 324 1.12, $\lambda_{1000} = 1.01$, Supplementary Fig. 3). LD score regression yielded an intercept of 1.10 325 (standard error 7.8×10^{-3}). While the LD score regression intercept can indicate residual 326 population stratification, which is fully corrected for in a LMM, the intercept can also reflect 327 a distinct genetic architecture where most causal variants are rare, or a non-infinitesimal architecture¹². The linear mixed model identified all four genome-wide significant 328

11

- 329 associations from the meta-analysis. Furthermore, three additional loci that included the 330 *MOBP* gene on 3p22.1 (rs616147), *SCFD1* on 14q12 (rs10139154) and a long non-coding 331 RNA on 8p23.2 (rs7813314) were associated at genome-wide significance (Fig. 2b, Table 1, 332 Supplementary Tables 14–16). Interestingly, the SNPs in the *MOBP* locus have been reported in a GWAS on progressive supranuclear palsy (PSP)¹³ and as a modifier for survival 333 in frontotemporal dementia (FTD)¹⁴. The putative pleiotropic effect of variants within this 334 335 locus suggests a shared neurodegenerative pathway between ALS, FTD and PSP. We also found rs74654358 at 12q14.2 in the TBK1 gene approximating genome-wide significance 336 $(MAF = 4.9\%, OR = 1.21 \text{ for A allele}, p = 6.6 \times 10^{-8})$. This gene was recently identified as an 337 ALS risk gene through exome sequencing^{15,16}. 338 339 340 In the replication phase, we genotyped the newly discovered associated SNPs in nine 341 independent replication cohorts, totaling 2,579 cases and 2,767 controls. In these cohorts we replicated the signals for the C21orf2, MOBP and SCFD1 loci, with lower p-values in the 342 343 combined analysis than the discovery phase (combined p-value = 3.08×10^{-10} , p = 4.19×10^{-10} ¹⁰ and $p = 3.45 \times 10^{-8}$ for rs75087725, rs616147 and rs10139154 respectively. **Table 1**. 344 345 **Supplementary Fig. 4**)¹⁷. The combined signal for rs7813314 was less significant due to an 346 opposite effect between the discovery and replication phase, indicating non-replication. 347 Although replication yielded similar effect estimates for rs10139154 compared to the 348 discovery phase, this was not statistically significant (p = 0.09) in the replication phase alone. 349 This reflects the limited sample size of our replication phase, which is inherent to the low 350 prevalence of ALS and warrants even larger sample sizes to replicate this signal robustly. 351 352 There was no evidence for residual association within each locus after conditioning on the top 353 SNP, indicating that all risk loci are independent signals. Apart from the C9orf72, UNC13A 354 and SARM1 loci, we found no evidence for associations previously described in smaller 355 **GWAS** (Supplementary Table 17). 356 357 The associated low-frequency non-synonymous SNP in C21orf2 suggested that this gene 358 could directly be involved in ALS risk. Indeed, we found no evidence that linkage 359 disequilibrium of sequenced variants beyond C21orf2 explained the association within this
- 360 locus (**Supplementary Fig. 5**). In addition, we investigated the burden of rare coding
- 361 mutations in a set of whole genome sequenced cases (N = 2,562) and controls (N = 1,138).
- 362 After quality control these variants were tested using a pooled association test for rare variants

- 363 corrected for population structure (T5 and T1 for 5% and 1% allele frequency,
- 364 Supplementary methods). This revealed an excess of non-synonymous and loss-of-function
- 365 mutations in *C21orf2* among ALS cases that persists after conditioning on rs75087725 ($p_{T5} =$
- 366 9.2×10^{-5} , $p_{TI} = 0.01$, **Supplementary Fig. 6**), which further supports that *C21orf2*
- 367 contributes to ALS risk.
- 368
- 369 In an effort to fine-map the other loci to susceptibility genes, we searched for SNPs in these
- loci with *cis*-eQTL effects observed in brain and other tissues (**Supplementary methods**,
- 371 **Supplementary Table 18**)¹⁸. There was overlap with previously identified brain *cis*-eQTLs
- 372 for five regions (Supplementary Fig. 7, Supplementary Table 19, Supplementary Data
- 373 Set 1). Interestingly, within the *C9orf72* locus we found that proxies of rs3849943 (LD $r^2 =$
- 374 0.21 0.56) had a brain *cis*-eQTL effect on *C9orf72* only (minimal $p = 5.27 \times 10^{-7}$), which
- arbors the hexanucleotide repeat expansion that drives this GWAS signal. Additionally, we
- found that rs12608932 and its proxies within the UNC13A locus had exon-level cis-eQTL
- effect on *KCNN1* in frontal cortex ($p = 1.15 \times 10^{-3}$)¹⁹. Another overlap was observed in the
- 378 SARM1 locus where rs35714695 and its proxies had the strongest exon-level cis-eQTL effect
- on *POLDIP2* in multiple brain tissues ($p = 2.32 \times 10^{-3}$). Within the *SCFD1* locus rs10139154
- and proxies had a *cis*-eQTL effect on *SCFD1* in cerebellar tissue ($p = 7.71 \times 10^{-4}$). For the
- 381 *MOBP* locus, rs1768208 and proxies had a *cis*-eQTL effect on *RPSA* ($p = 7.71 \times 10^{-4}$).
- 382
- 383 To describe the genetic architecture of ALS, we calculated polygenic scores that can be used to predict phenotypes for traits with a polygenic architecture²⁰. We calculated the SNP effects 384 385 using a linear mixed model in 18 of the 27 strata and subsequently assessed their predictive 386 ability in the other 9 independent strata. The analysis revealed that a significant, albeit 387 modest, proportion of the phenotypic variance could be explained by all SNPs (Nagelkerke r² = 0.44%, $r^2 = 0.15\%$ on the liability scale, $p = 2.7 \times 10^{-10}$, Supplementary Fig. 8). This 388 finding adds to the existing evidence that ALS is a complex genetic trait with a polygenic 389 390 architecture. To further quantify the contribution of common SNPs to ALS risk, we estimated 391 the SNP-based heritability using three approaches, all assuming a population baseline risk of $0.25\%^{21}$. The variance explained by all SNPs using GCTA-REML estimated heritability at 392 393 8.5% (SE 0.5%). Haseman-Elston regression yielded a very similar 7.9% and LD score 394 regression estimated the SNP-based heritability at 8.2% (SE 0.5%). The heritability estimates per chromosome were strongly correlated with chromosome length ($p = 4.9 \times 10^{-4}$, $r^2 = 0.46$, 395 396 Fig. 3a), which again is indicative of the polygenic architecture of ALS.

398	We found that the genome-wide significant loci only explained 0.2% of the heritability and
399	thus the bulk of the heritability $(8.3\%, SE\ 0.3\%)$ was captured in SNPs below genome-wide
400	significance. This implies that many genetic risk variants have yet to be discovered.
401	Understanding where these unidentified risk variants remain across the allele frequency
402	spectrum will inform designing future studies to identify these variants. We, therefore,
403	estimated heritability partitioned by minor allele frequency. Furthermore, we contrasted this
404	to common polygenic traits studied in GWASs such as schizophrenia. We observed a clear
405	trend that indicated that most variance is explained by low-frequency SNPs (Fig. 3b).
406	Exclusion of the C9orf72 locus, which harbors the rare pathogenic repeat expansion, and the
407	other genome-wide significant loci did not affect this trend (Supplementary fig. 9). This
408	architecture is different from that expected for common polygenic traits and reflects a
409	polygenic rare-variant architecture observed in simulations ²² .
410	
411	To gain better insight into the biological pathways that explain the associated loci found in
412	this study we looked for enriched pathways using DEPICT ²³ . This revealed SNAP receptor
413	(SNARE) activity as the only enriched category (FDR < 0.05, Supplementary Fig. 10).
414	SNARE complexes play a central role in neurotransmitter release and synaptic function ²⁴ ,
415	which are both perturbed in ALS^{25} .
416	
417	Although the biological role of C21orf2, a conserved leucine-rich repeat protein, remains
418	poorly characterized, it is part of the ciliome and is required for the formation and/or
419	maintenance of primary cilia ²⁶ . Defects in primary cilia are associated with various
420	neurological disorders and cilia numbers are decreased in G93A SOD1 mice, a well-
421	characterized ALS model ²⁷ . C21orf2 has also been localized to mitochondria in immune
422	cells ²⁸ and is part of the interactome of the protein product of $NEK1$, which has previously
423	been associated with ALS ¹⁵ . Both proteins appear to be involved in DNA repair
424	mechanisms ²⁹ . Although future studies are needed to dissect the function of $C21 orf2$ in ALS
425	pathophysiology it is tempting to speculate that defects in C21orf2 lead to primary cilium
426	and/or mitochondrial dysfunction or inefficient DNA repair and thereby adult onset disease.
427	The other associated loci will require more extensive studies to fine-map causal variants. The
428	SARM1 gene has been suggested as a susceptibility gene for ALS, mainly because of its role
429	in Wallerian degeneration and interaction with $UNC13A^{8,30}$. Although these are indeed
430	interesting observations, the brain cis-eQTL effect on POLDIP2 suggests that POLDIP2 and

431 not SARM1 could in fact be the causal gene within this locus. Similarly, KCNN1, which

- 432 encodes a neuronal potassium channel involved in neuronal excitability, could be the causal
- 433 gene either through a direct eQTL effect or rare variants in LD with the associated SNP in

434 UNC13A.

435

- 436 In conclusion, we identified a key role for rare variation in ALS and discovered SNPs in
- 437 novel complex loci. Our study therefore informs future study design in ALS genetics: the
- 438 combination of larger sample sizes, full genome coverage and targeted genome editing
- 439 experiments, leveraged together to fine map novel loci, identify rare causal variants and
- thereby elucidate the biology of ALS.

441 ACCESSION CODES

- 442 NIH Genome-Wide Association Studies of Amyotrophic Lateral Sclerosis (phs000101.v3.p1),
- 443 Genome-Wide Association Study of Amyotrophic Lateral Sclerosis in Finland
- 444 (phs000344.v1.p1), CIDR: Genome Wide Association Study in Familial Parkinson Disease
- 445 (PD) (phs000126.v1.p1), Genome-Wide Association Study of Parkinson Disease: Genes and
- 446 Environment (phs000196.v1.p1)
- 447

448 DATA ACCESS

- 449 The GWAS summary statistics and sequenced variants are publicly available through the
- 450 Project MinE data browser: <u>http://databrowser.projectmine.com</u>
- 451

452 ACKNOWLEDGMENTS

- 453 The work of the contributing groups was supported by various grants from governmental and
- 454 charitable bodies. Details are provided in the **Supplementary Notes**.
- 455

456 AUTHOR CONTRIBUTIONS

- 457 A.V., N.T., K.L., B.R, K.V, M.R-G, B.K, J.Z, L.L., L.D.G., S.M., F.S, V.M., M.d.C, S.Pinto,
- 458 J.M, R.R-G, M.P., S.Chandran., S.Colville, R.S, K.E.M., P.J.S., J.H., R.W.O., A.Pittman.,
- 459 K.S., P.F., A.M., S.T., S.Petri, S.Abdulla., C.D., M.S., T.Meyer., R.A.O., K.A.S., M.W-P.,
- 460 C.L-H., V.M.V.D, J.Q.T, L.E, L.McC., A.N.B., Y.P., T.Meitinger, P.L., M.B-R., C.R.A.,
- 461 C.Maurel, G.B., B.L., A.B., C.A.M.P., S.S-D., N.W.W., L.T., W.L., A.F., M.R., S.C.,
- 462 M.M.N., P.A., C.Tzourio., J-F.D., A.G.U., F.R., K.E., A.H., C.Curtis, H.M.B., A.J.v.d.K.,
- 463 M.d.V., A.G., M.W., C.E.S., B.N.S., O.P., C.Cereda, R.D.B., G.P.C., S.D'A., C.B., G.S, L.M.,
- 464 V.P., C.G., C.Tiloca, A.R., A.Calvo., C.Moglia, M.B., S.Arcuti., R.C., C.Z., C.L., S.Penco,
- 465 N.R., A.Padovani., M.F., B.M., R.J.S., PARALS registry, SLALOM group, SLAP registry,
- 466 FALS sequencing consortium, SLAGEN consortium, NNIPPS study group, I.B., G.A.N.,
- 467 D.B.R., R.P., M.C.K., J.G., O.W.W., T.R., T.A.P., B.S., I.K., C.A.H., P.N.L., F.C., A.Chìo.,
- 468 E.B., E.P., R.T., G.L., J.P., A.C.L., J.H.W., W.R., P.V.D., L.F., T.P., R.H.B., J.D.G, J.E.L.,
- 469 O.H., P.M.A., P.C., P.V., V.S., M.A.v.E., A.A.-C, L.H.v.d.B. and J.H.V. were involved in
- 470 phenotyping, sample collection and management. W.v.R, A.S., A.M.D., R.L.M., F.P.D.,
- 471 R.A.A.v.d.S., P.T.C.v.D., G.H.P.T., M.K., A.M.B., W.S., A.R.J., K.P.K., I.F., A.V., N.T.,
- $472 \qquad R.D.S., W.J.B., A.V., K.V., M.R.-G., B.K, L.L., S.Abdulla., K.S., E.P., F.P.D., J.M., C.Curtis,$
- 473 G.B., A.A.-C and J.H.V. prepared DNA and performed SNP-array hybridizations. W.v.R.,
- 474 S.L.P., K.K., K.L., A.M.D., P.T.C.v.D., G.H.P.T., K.R.v.E., P.I.W.d.B and J.H.V. were

- 475 involved in the next-generations sequencing analyses. W.v.R., K.R.v.E., A.M., P.I.W.d.B.,
- 476 A.A. and J.H.V. performed the imputation. W.v.R., A.S., F.P.D., R.L.M., S.L.P., S.d.J., I.F.,
- 477 N.T., W.S., A.J., K.P.K., K.R.v.E., K.S., H.M.B., P.I.W.d.B., M.A.v.E., C.L., G.B., A.A.-C.,
- 478 L.H.v.d.B and J.H.V. performed GWAS analyses. W.v.R., A.M.D., R.A.A.v.d.S., R.L.M.,
- 479 C.A., M.K., A.M.B., R.D.S., E.P.M., J.A.F., C.Tzourio, H.H., K.Z., P.C., P.V. and J.H.V.
- 480 performed the replication analyses. W.v.R., A.S., R.L.M., M.R.R., J.Y., N.R.W., P.M.V.,
- 481 C.L., A.A.-C and J.H.V. performed polygenic risk scoring and heritability analyses. S.d.J.,
- 482 U.V., L.F., T.P., W.v.R., O.H., G.B., R.J.P. and J.H.V. performed biological pathway
- 483 analyses. U.V., L.F., W.v.R. and J.H.V. performed eQTL analyses. W.v.R., A.S., A.A.-C.,
- 484 L.H.v.d.B. and J.H.V., prepared the manuscript with contributions from all authors. A.A.-C.,
- 485 L.H.v.d.B. and J.H.V. directed the study.
- 486

487 **REFERENCES FOR MAIN TEXT**

- 488 1. Hardiman, O., van den Berg, L. H. & Kiernan, M. C. Clinical diagnosis and
- 489 management of amyotrophic lateral sclerosis. *Nat Rev Neurol* **7**, 639–649 (2011).
- 490 2. Al-Chalabi, A. et al. An estimate of amyotrophic lateral sclerosis heritability using
- 491 twin data. J. Neurol. Neurosurg. Psychiatry 81, 1324–1326 (2010).
- 492 3. van Es, M. A. *et al*. Genome-wide association study identifies 19p13.3 (UNC13A) and
- 493 9p21.2 as susceptibility loci for sporadic amyotrophic lateral sclerosis. *Nat. Genet.* 41, 1083–
 494 1087 (2009).
- 495 4. Laaksovirta, H. *et al.* Chromosome 9p21 in amyotrophic lateral sclerosis in Finland: a
 496 genome-wide association study. *Lancet Neurol.* 9, 978–985 (2010).
- 497 5. Shatunov, A. *et al.* Chromosome 9p21 in sporadic amyotrophic lateral sclerosis in the
 498 UK and seven other countries: a genome-wide association study. *Lancet Neurol.* 9, 986–994
 499 (2010).
- 500 6. DeJesus-Hernandez, M. *et al.* Expanded GGGGCC hexanucleotide repeat in
 501 noncoding region of C9ORF72 causes chromosome 9p-linked FTD and ALS. *Neuron* 72,
 502 245–256 (2011).
- 7. Renton, A. E. *et al.* A hexanucleotide repeat expansion in C9ORF72 is the cause of
 chromosome 9p21-linked ALS-FTD. *Neuron* 72, 257–268 (2011).
- 505 8. Fogh, I. et al. A genome-wide association meta-analysis identifies a novel locus at
- 506 17q11.2 associated with sporadic amyotrophic lateral sclerosis. Hum. Mol. Genet. 23, 2220-
- 507 2231 (2014).

- 508 9. 1000 Genomes Project Consortium *et al*. An integrated map of genetic variation from
 509 1,092 human genomes. *Nature* 491, 56–65 (2012).
- 510 10. Genome of the Netherlands Consortium. Whole-genome sequence variation,
- population structure and demographic history of the Dutch population. *Nat. Genet.* 46, 818–
 825 (2014).
- 513 11. Yang, J., Zaitlen, N. A., Goddard, M. E., Visscher, P. M. & Price, A. L. Advantages
- and pitfalls in the application of mixed-model association methods. *Nat. Genet.* 46, 100–106
 (2014).
- 516 12. Bulik-Sullivan, B. K. et al. LD Score regression distinguishes confounding from
- 517 polygenicity in genome-wide association studies. *Nat. Genet.* **47**, 291–295 (2015).
- 518 13. Höglinger, G. U. et al. Identification of common variants influencing risk of the
- tauopathy progressive supranuclear palsy. *Nat. Genet.* (2011).
- 520 14. Irwin, D. J. et al. Myelin oligodendrocyte basic protein and prognosis in behavioral-
- 521 variant frontotemporal dementia. *Neurology* **83**, 502–509 (2014).
- 522 15. Cirulli, E. T. *et al.* Exome sequencing in amyotrophic lateral sclerosis identifies risk
 523 genes and pathways. *Science* 347, 1436–1441 (2015).
- 524 16. Freischmidt, A. *et al.* Haploinsufficiency of TBK1 causes familial ALS and fronto525 temporal dementia. *Nat. Neurosci.* 18, 631–636 (2015).
- 526 17. Skol, A. D., Scott, L. J., Abecasis, G. R. & Boehnke, M. Joint analysis is more
- 527 efficient than replication-based analysis for two-stage genome-wide association studies. *Nat*.
 528 *Genet*. 38, 209–213 (2006).
- 529 18. Nicolae, D. L. et al. Trait-associated SNPs are more likely to be eQTLs: annotation to
 530 enhance discovery from GWAS. *PLoS Genet.* 6, (2010).
- 531 19. Ramasamy, A. et al. Genetic variability in the regulation of gene expression in ten
- 532 regions of the human brain. *Nat. Neurosci.* **17,** 1418–1428 (2014)
- 533 20. Wray, N. R. *et al.* Pitfalls of predicting complex traits from SNPs. *Nat. Rev. Genet.* 14,
 534 507–515 (2013).
- 535 21. Johnston, C. A. et al. Amyotrophic lateral sclerosis in an urban setting: a population
- 536 based study of inner city London. J. Neurol. 253, 1642–1643 (2006).
- 537 22. Lee, S. H. et al. Estimating the proportion of variation in susceptibility to
- schizophrenia captured by common SNPs. Nat. Genet. 44, 247–250 (2012).
- 539 23. Pers, T. H. et al. Biological interpretation of genome-wide association studies using
- 540 predicted gene functions. *Nat. Commun.* **6**, 5890–20 (2015).

- 541 24. Ramakrishnan, N. A., Drescher, M. J. & Drescher, D. G. The SNARE complex in
- neuronal and sensory cells. *Mol. Cell. Neurosci.* **50**, 58–69 (2012).
- 543 25. Ferraiuolo, L., Kirby, J., Grierson, A. J., Sendtner, M. & Shaw, P. J. Molecular
- pathways of motor neuron injury in amyotrophic lateral sclerosis. *Nat. Rev. Neurol.* 7, 616–
 630 (2011).
- 546 26. Lai, C. K. *et al.* Functional characterization of putative cilia genes by high-content 547 analysis. *Mol. Biol. Cell.* **22**, 1104–1119 (2011).
- 548 27. Ma, X., Peterson, R. & Turnbull, J. Adenylyl cyclase type 3, a marker of primary cilia,
- 549 is reduced in primary cell culture and in lumbar spinal cord in situ in G93A SOD1 mice.
- 550 *BMC*. *Neurosci*. **12**, 71 (2011).
- 551 28. Krohn, K., Ovod, V., Vilja, P., Heino, M. & Scott, H. Immunochemical
- 552 characterization of a novel mitochondrially located protein encoded by a nuclear gene within
- the DFNB8/10 critical region on 21q22. 3. *Biochem. Biophys. Res. Commun.* (1997).
- 554 29. Fang, X. *et al.* The NEK1 interactor, C210RF2, is required for efficient DNA damage 555 repair. *Acta Biochim. Biophys. Sin.* **47**, 834–841 (2015)
- 556 30. Vérièpe, J., Fossouo, L. & Parker, J. A. Neurodegeneration in C. elegans models of
 557 ALS requires TIR-1/Sarm1 immune pathway activation in neurons. *Nat. Commun.* 6, 7319
 558 (2015).
- 559

560 FIGURE LEGENDS

- Figure 1. Imputation accuracy comparison. The aggregate r² value between imputed and
 sequenced genotypes on chromosome 20 using different reference panels for imputation.
 Allele frequencies are calculated from the Dutch samples included in the Genome of the
 Netherlands cohort. The highest imputation accuracy was achieved when imputing from the
 merged custom and 1000GP panels. This difference is most pronounced for low frequency
 (0.5–10%) alleles in both ALS cases from The Netherlands (a) and United Kingdom (b).
- Figure 2. Meta-analysis and linear mixed model associations. (a) Manhattan plot for metaanalysis results. This yielded four genome-wide significant associations highlighted with
 names indicating the closest gene. The associated SNP in *C21orf2* is a non-synonymous
 variant not found in previous GWAS. (b) Manhattan plot for linear mixed model results. This
 association analysis yielded three additional loci reaching genome-wide significance (*MOBP*, *LOC101927815 and SCFD1*). SNPs in the previously identified ALS risk gene *TBK1*
- 574 approached genome-wide significance ($p = 6.6 \times 10^{-8}$). Since the *C21orf2* SNP was removed

- from a Swedish stratum because of a MAF < 1%, this SNP was tested separately, but is
- 576 presented here together with all other SNPs with a MAF > 1% in every stratum. Here,
- 577 LOC101927815 is colored grey because the association for this locus could not be replicated.
- 578

579Figure 3. Partitioned heritability. (a) The heritability estimates per chromosome were580strongly correlated with chromosome length ($p = 4.9 \times 10^{-4}$). (b) For ALS there was a clear581trend where more heritability was explained within the lower allele frequency bins. This582effect was still observed when, for a fair comparison between ALS and a previous study583partitioning heritability for schizophrenia (SCZ) using identical methods²², SNPs present in584HapMap3 (HM3) were included. The pattern for ALS resembles that observed in a rare585variant model simulation performed in this study. Error bars reflect standard errors.

586

587 TABLES

588 Table 1. Discovery and replication of novel genome-wide significant loci.

	Discovery					Replication				Combined	
SNP	MAF_{cases}	$MAF_{controls}$	OR	P_{meta}	P_{LMM}	MAF_{cases}	$MAF_{controls}$	OR	Р	$P_{combined}$	I^2
rs75087725	0.02	0.01	1.45	8.65×10^{-11}	2.65×10^{-9}	0.02	0.01	1.65	3.89×10^{-3}	3.08×10^{-10}	0.00*
rs616147	0.30	0.28	1.10	4.14×10^{-5}	1.43×10^{-8}	0.31	0.28	1.13	2.35×10^{-3}	$4.19\times10^{\scriptscriptstyle-10}$	0.00*
rs10139154	0.34	0.31	1.09	1.92×10^{-5}	4.95×10^{-8}	0.33	0.31	1.06	9.55×10^{-2}	3.45×10^{-8}	0.05*
rs7813314	0.09	0.10	0.87	7.46×10^{-7}	3.14×10^{-8}	0.12	0.10	1.17	7.75×10^{-3}	1.05×10^{-5}	0.80**

589

590 Table 1. Discovery and replication of novel genome-wide significant loci. Genome-wide 591 significant loci from the discovery phase including 12,557 cases and 23,475 controls were 592 directly genotyped and tested for association in the replication phase including 2,579 cases 593 and 2,767 controls. The three top associated SNPs in the MOBP (rs616147), SCFD1 594 (rs10139154) and C21orf2 (rs75087725) loci replicated with associations in identical 595 directions as in the discovery phase and an association in the combined analysis that exceeded 596 the discovery phase. * Cochrane's Q test: p > 0.1, ** Cochrane's Q test: $p = 4.0 \times 10^{-6}$, Chr = 597 chromosome; SNP = single nucleotide polymorphism, MAF = minor allele frequency, OR = odds ratio, P_{meta} = meta-analysis p-value, P_{LMM} = linear mixed model p-value, $P_{combined}$ = meta-598 599 analysis of discovery linear mixed model and associations from replication phase. 600 601 **AUTHOR INFORMATION**

- 602 The authors declare no competing financial interests. Correspondence and requests for
- 603 materials should be addressed to A.A-C or J.H.V. (ammar.al-chalabi@kcl.ac.uk or
- 604 j.h.veldink@umcutrecht.nl).
- 605

606 **ONLINE METHODS**

- 607 Software packages used, their version, web source, and references are described in the
- 608 Supplementary Table 20.
- 609
- 610 **GWAS discovery phase and quality control.** Details on the acquired genotype data from 611 previously published GWAS are described in Supplementary Table 1. Methods for case and 612 control ascertainment for each cohort are described in the Supplementary methods. All 613 cases and controls gave written informed consent and the relevant institutional review boards 614 approved this study. To obtain genotype data for newly genotyped individuals, genomic DNA 615 was hybridized to the Illumina OmniExpress array according to manufacturer's protocol. 616 Subsequent quality control included: 617 1) Removing low quality SNPs and individuals from each cohort.
- 618 2) Combining unbalanced cohorts based on nationality and genotyping platform to form619 case-control strata.
- 620 3) Removing low quality SNPs, related individuals and population outliers per stratum.
- 621 4) Calculate genomic inflation factors per stratum.
- 622 More details are described in the **Supplementary methods**. The number of SNPs and
- 623 individuals failing each QC step per cohort and stratum are displayed in Supplementary
 624 Tables 2–5.
- 625
- Whole genome sequencing (custom reference panel). Individuals were whole genome sequenced on the Illumina HiSeq 2500 platform using PCR free library preparation and 100bp paired-end sequencing yielding a minimum 35X coverage. Reads were aligned to the hg19 human genome build and after variant calling (Isaac variant caller) additional SNV and sample quality control was performed (Supplementary methods). Individuals in our custom reference panel were also included in the GWAS in strata sNL2, sNL3 and sNL4.
- Merging reference panels. All high quality calls in the custom reference panel were phased
 using SHAPEIT2 software. After checking strand and allele inconsistencies, both the 1000
 Genomes Project (1000GP) reference panel (release 05-21-2011)³¹ and custom reference

- 637 with inconsistent allele frequencies between the two panels were removed.
- 638

639 Imputation accuracy performance. To assess the imputation accuracy between different 640 reference panels, 109 unrelated ALS cases of Dutch ancestry sequenced by Complete 641 Genomics and 67 ALS cases from the UK sequenced by Illumina were selected as a test 642 panel. All variants not present on the Illumina Omni1M array were masked and the SNVs on 643 chromosome 20 were subsequently imputed back using four different reference panels 644 (1000GP, GoNL, custom panel and merged panel). Concordance between the imputed alleles 645 and sequenced alleles was assessed within each allele frequency bin where allele frequencies 646 are calculated from the Dutch samples included in the Genome of the Netherlands cohort. 647

648 GWAS imputation. Pre-phasing was performed per stratum using SHAPEIT2 with the 1000GP phase 1 (release 05-21-2011) haplotypes³¹ as a reference panel. Subsequently, strata 649 650 were imputed up to the merged reference panel in 5 megabase chunks using IMPUTE2. 651 Imputed variants with a MAF < 1% or INFO score < 0.3 were excluded from further analysis. 652 Variants with allele frequency differences between strata, defined as deviating > 10SD from 653 the normalized mean allele frequency difference between those strata and an absolute 654 difference > 5%, were excluded, since they are likely to represent sequencing or genotyping 655 artifacts. Imputation concordance scores for cases and controls were compared to assess 656 biases in imputation accuracy (Supplementary Table 6).

657

Meta-analysis. Logistic regression was performed on imputed genotype dosages under an 658 659 additive model using SNPTEST software. Based on scree plots, 1 to 4 principal components 660 were included per stratum. These results were then combined in an inverse-variance weighted 661 fixed effect meta-analysis using METAL. No marked heterogeneity across strata was 662 observed as the Cochrane's Q test statistics did not deviate from the null-distribution (λ = 663 0.96). Therefore, no SNPs were removed due to excessive heterogeneity. The genomic 664 inflation factor was calculated and the quantile-quantile plot is provided in **Supplementary** 665 Fig. 3a.

666

667 Linear mixed model. All strata were combined including SNPs that passed quality control in
668 every stratum. Subsequently the genetic relationship matrices (GRM) were calculated per

669 chromosome including all SNPs using the Genome-Wide Complex Trait Analysis (GCTA)

- 670 software package. Each SNP was then tested in a linear mixed model including a GRM
- 671 composed of all chromosomes excluding the target chromosome (leave one chromosome out,
- 672 LOCO). The genomic inflation factor was calculated and the quantile-quantile plot is
- 673 provided as **Supplementary Fig. 3b**.
- 674

675 **Replication.** For the replication phase independent ALS cases and controls from Australia,

676 Belgium, France, Germany, Ireland, Italy, The Netherlands and Turkey that were not used in

677 the discovery phase were included. A pre-designed TaqMan genotyping assay was used to

678 replicate rs75087725 and rs616147. Sanger sequencing was performed to replicate

679 rs10139154 and rs7813314 (Supplementary methods, Supplementary Table 7). All

680 genotypes were tested in a logistic regression per country and subsequently meta-analyzed.681

Rare variant analysis in *C21orf2*. The burden of non-synonymous rare variants in *C21orf2* was assessed in whole genome sequencing data obtained from ALS cases and controls from The Netherlands, Belgium, Ireland, United Kingdom and the United States. After quality control the burden of non-synonymous and loss-of-function mutations in *C21orf2* were tested for association per country and subsequently meta-analyzed. More details are provided in the Supplementary methods.

688

689 **Polygenic risk scores.** To assess the predictive accuracy of polygenic risk scores in an 690 independent dataset SNP weights were assigned based on the linear mixed model (GCTA-LOCO) analysis in 18/27 strata. SNPs in high LD ($r^2 > 0.5$) within a 250 kb window were 691 692 clumped. Subsequently, polygenic risk scores for cases and controls in the 9 independent 693 strata were calculated based on their genotype dosages using PLINK v1.9. To obtain the 694 Nagelkerke R² and corresponding p-values these scores were then regressed on their true 695 phenotype in a logistic regression where (based on scree plots) the first three PCs, sex and 696 stratum were included as covariates.

697

698 SNP-based heritability estimates. *GCTA-REML*. GRMs were calculated using GCTA 699 software including genotype dosages passing quality control in all strata. Based on the 699 diagonal of the GRM individuals representing subpopulations that contain an abundance of 701 rare alleles (diagonal values mean +/- 2SD) were removed (Supplementary Fig. 14a). Pairs 702 where relatedness (off-diagonal) exceeded 0.05 were removed as well (Supplementary Fig.

- 703 14b). The eigenvectors for the first 10 PCs were included as fixed effects to account for more
- subtle population structure. The prevalence of ALS was defined as the life-time morbid risk 704 for ALS (i.e. 1/400)¹⁹. To estimate the SNP-based heritability for all non-genome-wide
- 705
- 706 significant SNPs, genotypes for the SNPs reaching genome-wide significance were modeled
- 707 as fixed effect. The variance explained by the GRM therefore reflects the SNP-based
- 708 heritability of all non-genome-wide significant SNPs. SNP-based heritability partitioned by
- 709 chromosome or MAF was calculated by including multiple GRMs, calculated on SNPs from
- 710 each chromosome or within the respective frequency bin, in one model.
- 711 Haseman-Elston regression. The Phenotype correlation - Genotype correlation (PCGC)
- 712 regression software package was used to calculate heritability based on the Haseman-Elston
- 713 regression including the eigenvectors for the first 10 PCs as covariates. The prevalence was
- 714 again defined as the life-time morbid risk (1/400).
- 715 LD score regression. Summary statistics from GCTA-LOCO and LD scores calculated from
- 716 European individuals in 1000GP were used for LD score regression. Strongly associated
- 717 SNPs ($p < 5 \times 10^{-8}$) and variants not in HapMap3 were excluded. Considering adequate
- 718 correction for population structure and distant relatedness in the linear mixed model, the
- intercept was constrained to 1.0^{12} . 719
- 720 Biological pathway analysis (DEPICT). Functional interpretation of associated GWAS loci 721 was carried out using DEPICT, using locus definition based on 1000GP phase 1 data. This 722 method prioritizes genes in the affected loci, predicts involved pathways, biological processes 723 and tissues, using gene co-regulation data from 77,840 expression arrays. Three separate analyses were performed for GWAS loci reaching $p = 10^{-4}$, $p = 10^{-5}$ or $p = 10^{-6}$. One thousand 724 725 permutations were used for adjusting the nominal enrichment p-values for biases and 726 additionally 200 permutations were used for FDR calculation.
- 727

728 **REFERENCES FOR METHODS**

- 729 31. Delaneau, O., Marchini, J. & 1000 Genomes Project Consortium. Integrating sequence
- 730 and array data to create an improved 1000 Genomes Project haplotype reference panel. Nat.
- 731 Commun. 5, 3934 (2014).
- 732 Howie, B., Marchini, J. & Stephens, M. Genotype imputation with thousands of 32.
- 733 genomes. G3 1, 457-70 (2011).