

1 **Metagenomic insights into transferable antibiotic resistance in oral bacteria**

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## 17 **Abstract**

18 Antibiotic resistance is considered one of the greatest threats to global public health.  
19 Resistance is often conferred by the presence of antibiotic resistance genes (ARGs) which  
20 are readily found in the oral microbiome. In-depth genetic analyses of the oral microbiome  
21 using metagenomic techniques reveal a broad distribution of ARGs (including novel ARGs)  
22 in individuals not recently exposed to antibiotics, including humans in isolated, indigenous  
23 populations. This has resulted in a paradigm shift from focusing on the carriage of antibiotic  
24 resistance in pathogenic bacteria to a broader concept of an oral resistome, which includes  
25 all resistance genes in the microbiome. Metagenomics is beginning to demonstrate the role  
26 of the oral resistome and horizontal gene transfer within and between commensals in the  
27 absence of selective pressure, such as an antibiotic. At the chairside, metagenomic data  
28 reinforces our need to adhere to current antibiotic guidelines to minimise the spread of  
29 resistance as it reveals the extent of ARGs without exposure to antimicrobials and the  
30 ecological changes created in the oral microbiome by even a single dose of antibiotics. The  
31 aim of this review is to discuss the role of metagenomics in the investigation of the oral  
32 resistome including the transmission of antibiotic resistance in the oral microbiome. Future  
33 perspectives, including clinical implications of the findings from metagenomic investigations  
34 of oral ARGs will also be considered.

## 35 **Introduction**

36 The success of any therapeutic is compromised by the potential development of resistance  
37 to that compound. This is illustrated by the declining efficacy of clinically used antibiotics.  
38 Significant reductions in mortality rates attributed to various infectious diseases, increases in  
39 life span and quality of life are now being tempered by the unprecedented rise in antibiotic  
40 resistance, representing one of the most serious global threats to modern medicine  
41 (Antimicrobial Resistance 2015). Dental practitioners are very aware of the significant clinical  
42 and financial burden of antibiotic resistance (Cope *et al.* 2014), however, the perception that  
43 antibiotic resistance is not a dental problem persists within the profession, justified by the

44 nature (short courses using a narrow range of antibiotics) and number of prescriptions -  
45 under 10% of scripts worldwide are prescribed for dental conditions (Sweeney *et al.* 2004,  
46 Bagg 2014).

47 Established definitions of antibiotic resistance are based on the likelihood of therapeutic  
48 failure in clinical isolates in mammalian populations (Berendonk *et al.* 2015). However, this  
49 definition fails to characterise the data provided by new approaches to studying antibiotic  
50 resistance, such as metagenomics (Martinez *et al.* 2015). The term 'metagenomics' was  
51 coined in 1998 to define the direct, function-based analysis of environmental samples  
52 containing a mixture of species (Handelsman *et al.* 1998). Next generation sequencing  
53 (NGS) technologies have advanced the metagenomic approach of studying microbes in their  
54 natural environments without the need for isolation and cultivation of individual species.  
55 Culture-based studies are unable to provide this comprehensive view of the oral microbiota,  
56 as less than one percent of bacteria, from some environments can currently be grown on  
57 solid culture media (Wade 2011). NGS studies of oral microbiota have revealed the huge  
58 diversity of bacteria in the oral environment with up to 1179 oral taxa found, of which about  
59 68% were uncultured phenotypes (Dewhirst *et al.* 2010). This ecological community of  
60 commensal, symbiotic and pathogenic microorganisms that reside in the oral cavity in both  
61 planktonic and biofilm form is known as the oral microbiome (Lederberg and McCray 2001).  
62 The ability to isolate and analyse the entire metagenome of the oral and other microbiomes  
63 (Martinez *et al.* 2015) has resulted in a paradigm shift in our understanding of antibiotic  
64 resistance. The focus is no longer simply on understanding the carriage of resistance in  
65 cultivable, pathogenic bacteria but in the broader concept of pools of resistance genes within  
66 the commensal bacterial population and the potential of transfer of this resistance to  
67 pathogens. This collective has been labelled the resistome (Wright 2007). The resistome is  
68 the part of the metagenome of the oral microbiome which confers antibiotic resistance.

69 The aim of this review is to discuss the role of metagenomics in i) the comprehensive  
70 investigation of the oral microbiome; ii) investigating the distribution and diversity of antibiotic

71 resistance genes (ARGs); iii) transmission of antibiotic resistance in the oral microbiome and  
72 iv) increasing our understanding of the resistance profiles of specific human pathogens.  
73 Future perspectives, including clinical implications of the findings from metagenomic  
74 investigations of oral ARGs will also be considered.

### 75 **Antibiotic Resistance Phenotype**

76 Antibiotic resistant phenotypes can either be intrinsic (due to a pre-existing physiological trait  
77 of the species) or acquired, via horizontal gene transfer (HGT) or by mutation. Intrinsic  
78 resistance is exemplified by vancomycin resistance in *Escherichia coli*. The vancomycin  
79 molecule is simply too large to pass through the porin channels in the outer membrane of the  
80 cell wall, thus rendering the antibiotic ineffective (Chen *et al.* 2009).

81 Bacteria within a biofilm (the majority of oral microbiota) show increased resistance to  
82 antibiotics compared with planktonic bacteria. This is due to the structure, physiology and  
83 resultant socio-microbiology of the biofilm (Høiby *et al.* 2010). The diverse ecological  
84 pressures in the oral cavity are a consequence of physical and chemical variations in this  
85 environment, which requires the individual members of the oral biofilm to adjust their  
86 metabolic and genomic activity in order to cope with these stresses. Thus, the nature of the  
87 oral biofilm permits, and may favour, complex bacterial interactions including HGT (Roberts  
88 and Kreth 2014). Acquired resistance represents a more flexible phenotype, and its  
89 prevalence is more immediately responsive to selection pressure (Martinez 2008). The  
90 majority of antibiotic resistance in human commensals and pathogens is acquired through  
91 HGT (Alekshun and Levy 2007, Hannan *et al.* 2010).

92 An ARG is defined as a specific gene which when expressed renders an otherwise  
93 susceptible host more resistant to a particular antibiotic. In fact, phylogenetic studies have  
94 determined that many ARGs have a long evolutionary history that predates the antibiotic era,  
95 as most antibiotics in use are naturally made by microbes (Aminov and Mackie 2007,  
96 D'Costa *et al.* 2011). It is suggested that the likely origin of ARGs in human commensals,

97 such as oral bacteria, are from the environment (Pehrsson *et al.* 2013), as diverse  
98 homologues of known resistance genes have been found to be broadly distributed across  
99 environmental locales (D'Costa *et al.* 2011). To date, the oral microbiota has been found to  
100 contain a broad distribution of ARGs, including in individuals with no recent exposure to  
101 antibiotics and isolated indigenous populations (Seville *et al.* 2009, Schmieder and Edwards  
102 2012, Clemente *et al.* 2015, Rampelli *et al.* 2015). Antibiotic resistance genes found in an  
103 Amerindian population with no exposure to pharmacological grade antibiotics were thought  
104 to be either the result of HGT with antibiotic producing soil microbes or to have evolved in a  
105 soil dwelling ancestor of a human commensal (Clemente *et al.* 2015). In spite of our lack of  
106 understanding of the origins of resistance, the evidence is unequivocal; the introduction and  
107 widespread use of antibiotics has selected for ARGs (Roberts 1998). In fact, it has been  
108 demonstrated that in some circumstances the presence of low levels of antimicrobials in the  
109 environment is a key signal that promotes horizontal gene transfer of ARGs (Seier-Petersen  
110 *et al.* 2014, Berendonk *et al.* 2015).

#### 111 **Methods for identifying ARGs in the oral microbiome**

112 Investigation of ARGs by culture-independent amplification-based methods, such as PCR  
113 and DNA microarrays, are limited by low throughput, limited availability of primers (generally  
114 targeting known pathogens and ARGs) and amplification bias. High-throughput sequencing  
115 based metagenomic analysis overcomes a number of these limitations, (Li *et al.* 2015)  
116 allowing for screening of ARGs in both culturable and non-culturable bacteria and  
117 importantly, the detection of novel ARGs. Furthermore, NGS is fast, robust and cost-effective  
118 (Thomas *et al.* 2012).

119 There are two different metagenomic approaches to investigating antibiotic resistance;  
120 sequence-based and functional studies (Schmieder and Edwards 2012, Mullany 2014).  
121 Sequence-based metagenomics involves the extraction and random (shot-gun) sequencing  
122 of DNA direct from an environment such as the oral cavity. The short sequence reads which  
123 overlap are assembled together to make longer contiguous sequences known as contigs,

124 which are compared to reference sequences in a database (Schmieder and Edwards 2012).  
125 This method can be used to detect and quantify ARGs in the microbiome as well as predict  
126 the function of these genes. In addition, high throughput sequencing can, if the assembly is  
127 satisfactory, suggest which bacteria within the sampled microbiome contain which ARGs.  
128 This is possible because long contigs that contain either a whole, or part of an ARG will also  
129 contain DNA flanking the ARG, which can be used to determine the likely bacterial source  
130 due to homology with sequenced genomes in the database. To demonstrate that targeted  
131 sequences actually cause resistance, functional metagenomic studies are required.

132 Functional metagenomics may involve random cloning of metagenomic DNA, such as from  
133 an oral microbiome sample, into a vector, which is then transferred into a suitable host such  
134 as *E. coli*. The vector is usually a plasmid, which is able to contain the inserts of fragmented  
135 metagenomic DNA. The transformed *E.coli* is plated onto an antibiotic containing medium.  
136 The plasmid inserts from the isolated resistant clones are sequenced to identify the genes  
137 that confer resistance and to determine if there are any flanking sequences which can be  
138 used to determine the likely source of DNA. An overview of this process is provided in Figure  
139 1. While this is a more labour intensive method in comparison to sequence-based  
140 metagenomics, the major advantages are that no previous knowledge of resistance gene  
141 sequence is required, making it possible to identify novel ARGs by directly associating a  
142 genotype to resistance phenotype (Dantas and Sommer 2012, Pehrsson *et al.* 2013, van  
143 Schaik 2015). The main disadvantage of this technique is that it cannot be used to  
144 quantitatively investigate the resistome as a whole. This is because genes within a  
145 metagenomic library may not express in the surrogate bacterial host or if they do, the protein  
146 may not fold correctly or be transported to the appropriate part of the cell. Therefore,  
147 functional metagenomics, whilst being excellent for identifying new genes, will always  
148 underestimate the resistance potential of a metagenome (Clemente *et al.* 2015). Other  
149 considerations include whether the bacterial host has intrinsic resistance to an antibiotic,  
150 thus, excluding that antimicrobial from the investigation; for example *E. coli* has intrinsic

151 resistance to glycopeptides and macrolides. Finally, genes that may not normally be involved  
152 with resistance in their natural host, may interact with surrogate host genes and / or proteins  
153 in a novel way to confer resistance (Pehrsson *et al.* 2013). These problems can be  
154 overcome by using different vectors for library construction and different bacterial hosts in  
155 which to transform the library.

156 Metagenomic analysis provides vast amounts of information, which has resulted in a  
157 continual increase in the number of sequences available in databases specifically curating  
158 ARGs (see Table 2). A significant issue is that only a small proportion of sequences added  
159 to these databases have been functionally characterised. The inclusion of housekeeping and  
160 regulatory genes (for example, those that encode for antibiotic targets) increase the 'noise' in  
161 databases, as it is unlikely these genes confer clinical antibiotic resistance (Martinez *et al.*  
162 2015, van Schaik 2015). A ranking system for ARGs has been proposed to provide some  
163 consensus to the definition of antibiotic resistance (Martinez *et al.* 2015) as well as to focus  
164 on the crux of the problem; which of these novel genes can be acquired and confer  
165 resistance to human pathogens?

#### 166 **Metagenomics of the oral resistome: distribution, diversity and discovery**

167 Metagenomic analysis is advancing our understanding of the distribution and diversity of  
168 ARGs in the microbiome, in addition to being used to discover new ARGs. Culture and  
169 amplification-based genetic methods have previously established that individual oral species  
170 are resistant to a specific class or classes of antibiotics (Lancaster *et al.* 2003, Ready *et al.*  
171 2003, Ready, Lancaster *et al.* 2004, Ready, Lancaster *et al.* 2006). However, these studies  
172 have not provided a broad view of the role of resistance genes amongst the whole  
173 microbiome (Roberts and Mullany 2010).

174 A key feature of the oral resistome revealed by metagenomic analysis is that ARGs are  
175 widespread in the oral microbiome (Diaz-Torres *et al.* 2006), even amongst antibiotic naive  
176 populations (Moraes *et al.* 2015). A recent functional metagenomic study of the oral  
177 microbiome found that ARGs are present in the absence of antibiotic selection pressure in

178 previously un-contacted Amerindians (Clemente *et al.* 2015). Twenty-eight functional ARGs  
179 were found in this population. These included genes resistant to semi-synthetic and  
180 synthetic antimicrobials, such as genes encoding for penicillin binding proteins that conferred  
181 resistance to third generation cephalosporins. The Amerindians shared a common oral  
182 resistome with populations exposed to antibiotics despite being naïve to anthropogenic  
183 antibiotics - for example, the majority (79%) of ARGs in the Amerindian resistome aligned to  
184 the Human Microbiome Project with over 95% nucleotide identity (Clemente *et al.* 2015).

185 Metagenomics has confirmed results from culture-based studies, that the tetracycline  
186 resistant gene *tet(M)* predominates amongst the detected *tet* genes in the oral metagenome  
187 (Seville *et al.* 2009). The *tet(M)* gene encodes a ribosomal protection protein and is often  
188 contained on the Tn916 conjugative transposon (Franke and Clewell 1981) which is a mobile  
189 genetic element that integrates into the hosts' genome (Figure 2). The Tn916 family is  
190 widespread in both commensal and pathogenic oral bacteria (Roberts and Mullany 2009,  
191 Roberts and Mullany 2010). This family of conjugative transposons contains a variety of  
192 ARGs primarily to tetracyclines but also to other antibiotics, such as macrolides (Tn1545,  
193 Tn6002 and Tn6079), kanamycin (Tn1545 and Tn6003) as well as antimicrobials such as  
194 mercury (Tn6009) and antiseptics such as cetrimonium bromide (Tn6087) (Ciric *et al.* 2011),  
195 which is commonly used in combination with ethylene diamine tetra-acetic acid (EDTA) as  
196 an irrigant in endodontic therapy (Guerisoli *et al.* 2002). These additional resistance genes  
197 are often located on, or associated with, smaller mobile genetic elements which have  
198 inserted into Tn916 (Figure 2).

199 The ubiquity of tetracycline resistance genes in the oral resistome may be explained by co-  
200 selection. Co-selection, or co-carriage, refers to a genetic element which contains multiple  
201 resistance determinants (Baker-Austin *et al.* 2006) such as the Tn916-like elements. A  
202 variety of members from this family of conjugative transposons are present in oral  
203 streptococci and contain elements with resistance genes in addition to *tet(M)* such as the  
204 erythromycin resistance gene, *erm(B)* (Ciric *et al.* 2012). Hence, exposure to erythromycin



205 may co-select for tetracycline resistant bacteria (Salako *et al.* 2007). While sequencing  
206 technologies and improved PCR techniques have greatly advanced our understanding of  
207 these genetic elements, it also means that the presence of mobile genetic elements such as  
208 Tn916 cannot be reported based on the detection of a few genes by PCR. Characterisation  
209 of the entire element is now required as both culture based (e.g. Tn5386) and metagenomic  
210 samples have shown that some oral streptococci have *tet(M)*-less Tn916 elements (Seville  
211 *et al.* 2009, Santoro *et al.* 2014) (Figure 2).

212 Currently, there are only a limited number of metagenomic studies that have functionally  
213 identified novel oral ARGs. These include the tetracycline resistance genes; *tet(37)* (Diaz-  
214 Torres *et al.* 2003) and *tet(32)* (Warburton *et al.* 2009), *foIP* which encodes for sulphonamide  
215 resistance (Card *et al.* 2014), as well as 95 unique  $\beta$ -lactamase genes, most of which were  
216 derived from commensal bacteria contained in saliva (Sommer *et al.* 2009). As discussed  
217 earlier, the majority of sequences are not functionally characterised, (Martinez *et al.* 2015)  
218 and further functional studies are required to verify the role of these putative ARGs.

### 219 **Transmission of antibiotic resistance**

220 Antibiotic resistance genes in the oral microbiome can be acquired via mutation of existing  
221 genes or by HGT. Mutation usually occurs in genes not classified as ARGs such as gyrase  
222 or topoisomerase and are usually not transferable. Whole genome sequencing and analysis  
223 of individual genes may be able to determine if the particular gene has been acquired  
224 through HGT. For example, *tet(M)* has been shown to be 95% identical at nucleotide level in  
225 a wide range of bacteria, indicating that this gene is very likely to have been acquired  
226 through HGT (Roberts and Mullany 2010, Roberts and Kreth 2014).

227 Horizontal gene transfer of ARGs occurs by movement of mobile genetic elements between  
228 bacteria; these include plasmids (pieces of DNA which usually exist separately from the  
229 chromosome), conjugative transposons (discussed above) and bacteriophages (bacterial  
230 viruses). The HGT of these mobile genetic elements occurs through multiple mechanisms  
231 that are not mutually exclusive. These mechanisms include conjugation (transfer of plasmids

232 and transposons), transformation (acquisition of extracellular DNA) and transduction  
233 (movement of chromosomal DNA by bacteriophages). Additionally, membrane vesicle  
234 mediated release of DNA is a more recently described process of HGT. This involves the  
235 release of membrane vesicles containing DNA from the cell surface of bacteria. These DNA  
236 containing vesicles can then be used by other bacteria as a substrate for DNA acquisition  
237 (Roberts and Kreth 2014).

238 At present there are a limited number of metagenomic studies investigating how HGT  
239 mechanisms transmit ARGs within the oral microbiome. Results to date are highly indicative  
240 that gene transfer occurs in the oral cavity; however, more metagenomic data is required to  
241 gain a better understanding of the situation.

#### 242 **Metagenomics and oral species with systemic relevance**

243 While metagenomics continues to broaden our understanding of resistance through  
244 investigation of the resistome, the clinical imperative remains with pathogenic bacterial  
245 species and their antibiotic resistance profiles. Some strains of oral commensals such as the  
246 viridans group streptococci (VGS) cause opportunistic infections at distant sites including the  
247 heart (infective endocarditis) (DeSimone *et al.* 2015). The potential for the development of  
248 infective endocarditis from oral microbes does not legitimise a “blanket cover” approach to  
249 antibiotic prophylaxis guidelines as changes to international recommendations demonstrate.  
250 In fact, the evidence from metagenomic studies on the resistance profiles of oral species  
251 capable of causing infective endocarditis and their demonstrable ARG transmission provides  
252 further impetus for reducing antibiotic prophylaxis. Current guidelines in the UK and USA  
253 have greatly reduced the number of patients who require antibiotic prophylaxis, resulting in  
254 declining dental antibiotic prescription rates (Dayer *et al.* 2015, DeSimone *et al.* 2015).

255 Over the past decade high rates of resistance have been observed in commensal and  
256 pathogenic VGS (includes mitis, anginosus, salivarius, mutans and bovis groups) to  
257 antibiotics such as  $\beta$ -lactams, clindamycin and erythromycin (Chaffanel *et al.* 2015). The

258 *mef(A/E)* gene confers erythromycin resistance and is often on the mobile genetic element,  
259 MEGA, itself associated with Tn916-like elements, which has been previously implicated in  
260 the conjugative transfer of ARGs between VGS and major streptococcal pathogens such as  
261 *Streptococcus pneumoniae* and *Streptococcus pyogenes* (Chaffanel *et al.* 2015).

262 ARGs have also been found in gram-negative commensals such as *Neisseria subflava*,  
263 *Veillonella parvula* and *Haemophilus parainfluenzae*. Functional sequencing of saliva  
264 samples was able to determine the source of DNA of the ARGs (Card *et al.* 2014). The ARG  
265 *folP* was recovered from the chromosomes of *N. subflava* and *V. parvula* and the ampicillin  
266 resistance genes *acrA* and *acrB* were recovered from the chromosomes of *H.*  
267 *parainfluenzae* (Card *et al.* 2014). It has been demonstrated that *N. subflava* is capable of  
268 exchanging DNA with related and other pathogenic species such as *Neisseria gonorrhoeae*  
269 and *Neisseria meningitidis* as well as *Haemophilus influenzae* (Pachulec and van der Does  
270 2010). While these gram-negative commensals are not associated with distant site  
271 infections, metagenomics studies have detected a link between the oral resistome and the  
272 resistome of pathogens.

273 Oral commensals can also cause other systemic conditions including pulmonary infections  
274 such as aspiration and community acquired pneumonia (Yamasaki *et al.* 2013). Molecular  
275 analysis of specimens from patients with community-acquired pneumonia found known  
276 common causative pathogens such as *S. pneumoniae*, *H. influenzae* as well as relatively  
277 high rates of oral bacteria such as *Neisseria* spp. and VGS (Yamasaki *et al.* 2013).

278 Thus, antimicrobial resistance of oral commensals are of significant concern as it may  
279 compromise current therapeutic regimes for systemic infections. This is due to ARGs carried  
280 by opportunistically pathogenic commensals such as VGS and also via the exchange of  
281 ARGs by commensals to related and other pathogenic species. Furthermore, the role of as  
282 yet uncultivated oral bacteria (over a third of the oral microbiome) in disease processes is  
283 not understood as their virulence potential cannot be investigated (Vartoukian *et al.* 2016). A  
284 very recent study has successfully cultivated novel bacterial strains from three previously-

285 uncultivated taxa using a specifically developed supplemented culture medium (Vartoukian  
286 *et al.* 2016). This development as well as further metagenomic data is required to elucidate  
287 the role of oral species in systemic infections and then to compare the resistance profile of  
288 the oral resistome and the clinical isolates.

## 289 **The metagenome and the future**

### 290 ***Research***

291 The shift towards metagenomics has significantly advanced our understanding of the  
292 amount and diversity of ARGs in the oral microbiome. In the future, complete oral  
293 metagenomes will be sequenced (Roberts and Mullany 2010, Sommer *et al.* 2010) allowing  
294 for comprehensive characterisation of the resistome of an individual. The information  
295 generated by this approach will enable the creation of a complete resistance profile of the  
296 individual oral microbiome. Without this fundamental knowledge, our understanding of the  
297 origins and evolution of ARGs is restricted (Martinez *et al.* 2015). However, new  
298 metagenomic approaches may be required as current methods for functional identification of  
299 novel resistance genes are relatively low through-put and time consuming (Schmieder and  
300 Edwards 2012).

301 Metagenomics will also enable further understanding of the transmission of antibiotic  
302 resistance by providing information on mobile genetic elements and HGT (Schmieder and  
303 Edwards 2012). This will lead to insights into triggers for the transmission of antibiotic  
304 resistance and how resistance may be controlled or even stopped (Roberts and Mullany  
305 2010). This will have an impact on the clinical decisions made by dental practitioners and  
306 reinforce their role in antibiotic stewardship.

### 307 ***Clinical Implications***

308 Ongoing inappropriate prescription and use of antibiotics in dentistry will undoubtedly have  
309 an impact in the clinical setting, as resistance patterns will result in difficulties with the  
310 management of oro-facial infections or even failure of therapy (Bagg 2014, Cope *et al.*

2014). The accumulation of metagenomic data indicating the presence of ARGs in commensal oral bacteria emphasises the importance of appropriate surgical management and further underscores the importance of limiting antibiotic use in the dental clinical setting. Metagenomics is likely to have a bigger impact in the clinical setting, beyond expanding our knowledge of resistance. Application of the metagenomic approach for clinical diagnostics has already begun and has applications to combat antibiotic resistance (Schmieder and Edwards 2012). At a population level, large amounts of sequence-based metagenomic data will be able to combine information about ARG abundance, microbial community composition and metabolic pathway information. In-depth data such as this has the potential to inform the development of therapeutic guidelines for antibiotic use based on the impact of antibiotics on the overall composition and function of the oral microbiome, which may assist in reducing the selection for resistance (Schmieder and Edwards 2012). A recent dual centre randomised placebo controlled trial in the UK and Sweden used sequence-based metagenomics to demonstrate that the oral microbiome was more ecologically stable than the gut microbiome in terms of species composition following a single course of antibiotics (Zaura *et al.* 2015). At an individual level, repeated sequencing of the oral metagenome has been used to evaluate changes in the oral resistome over time, providing a window into an individual's oral health and response to antibiotic treatment (Schmieder and Edwards 2012). The metagenomic approach can be used to develop 'genome-inspired personalised medicine' that will allow the prescription of an antibiotic with the appropriate spectrum of activity to the targeted bacteria and/or disease, rather than an empirical course of broad-spectrum antibiotics (Schmieder and Edwards 2012).

### 333 **Summary**

334 Antibiotic resistance is a natural phenomenon that predates clinical antibiotic use (D'Costa *et al.* 2011), thus, the historical focus on resistance being confined to pathogenic bacteria has  
335  
336 by necessity been broadened. Recent metagenomic studies of the human oral microbiome  
337 reveal a greater presence of ARGs than has been previously recognised (Diaz-Torres *et al.*

338 2006) and that oral commensal bacteria are reservoirs of ARGs (Penders *et al.* 2013, Port  
339 *et al.* 2014). Antibiotic resistance in the oral biofilm is mainly acquired through HGT and the  
340 biofilm is likely to be an ideal environment for transfer. Functional metagenomics reveals that  
341 many ARGs in the oral microbiome are located on mobile genetic elements, which facilitate  
342 HGT. Further research utilising sequence-based and functional metagenomics will provide a  
343 more detailed understanding of the diversity of the oral resistome, its interplay with  
344 commensal and possibly pathogenic bacteria in the oral cavity and eventually impact on  
345 clinical decision-making in the dental setting to manage this significant public health issue.

#### 346 **Acknowledgments**

347 Nil

#### 348 **Conflict of Interest**

349 None of the authors have any financial interest in the subject matter or materials discussed  
350 in this manuscript.

351 **Figure Legend**

352 **Figure 1:** Schematic representation of functional metagenomics used to identify ARGs  
353 (purple rectangle). **A & B:** Extraction of genomic DNA from oral saliva and biofilm sample. **C:**  
354 The genomic DNA is sheared. **D & E:** The DNA is ligated into plasmid vectors to create a  
355 library of metagenomic DNA. **F:** The library is transformed into a bacterial host such as *E.*  
356 *coli*. **G:** *E. coli* is plated on antibiotic containing agar plates in order to identify the resistant  
357 clones (H). **I:** The plasmid from the isolated resistant clones are extracted and sequenced so  
358 the ARG can be identified. **J:** The **blue arrows** indicate the phylogenetic markers elsewhere  
359 on the insert which may be used to identify the host chromosome, thus identifying the likely  
360 bacterial host of the ARG.

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362 **Figure 2:** Schematic representation (adapted from Roberts and Mullany, 2010) of multiple  
363 Tn916-like elements. Tn916 containing the tetracycline resistance gene *tet(M)* is located at  
364 the top of the figure and all other elements have been aligned to the *tet(M)* gene for  
365 comparison. The core Tn916 genes are shown in light blue and red and are present in all  
366 elements illustrated. The name and original bacterial host are shown on the left of the image.  
367 The names of the genes are located above the arrows which show the size and orientation  
368 of the genes. The scale bar in top right corner represents one kilobase (kb). The key below  
369 the figure shows the colours that are associated with each category of genes (first line) and  
370 the second line shows other (smaller) mobile genetic elements and the resistances these  
371 carry.

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<b>Glossary</b>	
<b>Taxa</b>	A category or group such as phylum, genus or species
<b>Phylogenetics</b>	The study of evolutionary history and the way different organisms and species are related to each other.
<b>Phenotype</b>	Observable/detectable/measurable characteristic of an organism which is a manifestation of its genotype.
<b>Genotype</b>	The genetic constitution of a cell or organism as distinct from its phenotype or expressed features
<b>Metagenome</b>	The totality of genomes of all microbiota (culturable and not yet culturable) found in a given location such as the oral cavity.
<b>Microbiome</b>	The ecological community of all microorganisms that reside in a niche.
<b>Resistome</b>	All the resistance genes within a microbiome.
<b>PCR</b>	Polymerase Chain Reaction: a method used for <i>in vitro</i> amplification of DNA which results in millions of copies of a template. The PCR products are of sufficient quantity to be utilised in a range of laboratory procedures.
<b>DNA microarray</b>	An analytical tool where DNA is arranged in a regular pattern on a small membrane or glass slide.
<b>Through-put</b>	Number of samples being analysed, e.g. PCR is a low throughput technique while NGS is high throughput.
<b>Genomic DNA</b>	Total DNA in the cell - both chromosomal and extrachromosomal (on plasmids).
<b>Vector</b>	Common term for a plasmid that can be used to transfer DNA sequences from one organism to another.
<b>Human Microbiome Project</b>	A project launched in 2008 by the US government which has the goal of identifying and characterising the microbes associated with health and disease in the human microbiome ( <a href="http://hmpdacc.org">hmpdacc.org</a> ).
<b>Mobile genetic elements</b>	Segments of DNA with the ability to move from one position in a genome to another e.g. transposons, introns and insertion sequences (ISs). Some also have the ability to undergo horizontal gene transfer between cells e.g. conjugative plasmids and conjugative transposons.
<b>GenBank</b>	An annotated database of all publicly available DNA sequences run by the National Institute of Health, USA



## Box 1: ARG-specific Databases

These databases aim to unify the publicly available information on antibiotic resistance by annotating each gene and resistance type with information such as resistance profile and mechanism of action. The database can be used as a compendium of antibiotic resistance factors as well as to identify the resistance genes of newly sequenced genes, genomes, or metagenomes.

<p><b>Antibiotic Resistance Gene-Annotation (ARG-ANNOT)</b>  <a href="http://en.mediterranee-infection.com/article.php?laref=283&amp;titre=arg-annot-">http://en.mediterranee-infection.com/article.php?laref=283&amp;titre=arg-annot-</a></p>	<p>Maintained but concise database with excellent sensitivity and specificity for the identification of known ARGs.</p>
<p><b>Antibiotic Resistance Genes Database (ARDB)</b>  <a href="http://arbd.cbc.umd.edu/">http://arbd.cbc.umd.edu/</a></p>	<p>The first database to compile information about ARGs. No longer recommended as it is not maintained and contains a large number of housekeeping and regulatory genes.</p>
<p><b>Comprehensive Antibiotic Resistance Database (CARD)</b>  <a href="http://arpcard.mcmaster.ca/">http://arpcard.mcmaster.ca/</a></p>	<p>Curated set of reference genes involved in antibiotic resistance from a variety of organisms, genomes and plasmids.</p>
<p><b>RESfams</b>  <a href="http://www.dantaslab.org/resfams/">http://www.dantaslab.org/resfams/</a></p>	<p>A curated database used to quantitatively analyse the relationship between environmental and human-associated resistomes.</p>
<p><b>RESfinder</b>  <a href="https://cge.cbs.dtu.dk/services/ResFinder/">https://cge.cbs.dtu.dk/services/ResFinder/</a></p>	<p>Curated database that uses whole shot-gun sequencing data to identify acquired antimicrobial resistance genes in bacteria specifically, horizontally acquired ARGs, not resistance mediated by mutations.</p>
<p><b>Resistance Determinants Database (RED-DB)</b>  <a href="http://www.fibim.unisi.it/REDDB/">http://www.fibim.unisi.it/REDDB/</a></p>	<p>An updated repository of reference gene sequences.</p>

379 Table 2: List of ARG Databases

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