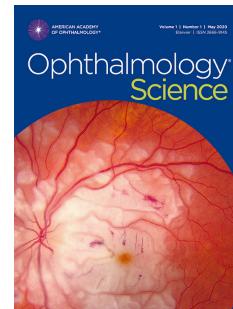


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Data-driven detection of subclinical keratoconus via semi-supervised clustering of multi-dimensional corneal biomarkers

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1 **Data-driven detection of subclinical keratoconus via semi-supervised clustering
2 of multi-dimensional corneal biomarkers**

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24 Keywords: Keratoconus, asymptomatic diseases, diagnosis, tomography, optical
25 coherence, artificial intelligence, machine learning, cluster analysis

26 Abstract

27 **Purpose:** To objectively identify subclinical keratoconus (SKC) from a large sample of
28 healthy and keratoconus (KC) patients via a data-driven framework on corneal imaging
29 data from an anterior optical tomography (AS-OCT) device (MS-39, CSO Italia,
30 Florence, Italy).

31 **Design:** Retrospective cohort study

32 **Subjects:** 25,816 corneal scans from 5,005 patients, including 3,605 with keratoconus
33 and 1,400 healthy control patients, acquired between 2020 and 2024 at two sites within
34 the Moorfields Eye Hospital network in London, UK.

35 **Methods:** Principal Component Analysis (PCA) followed by Gaussian Mixture Modeling
36 (GMM) was applied to AS-OCT derived data, including 20 keratoconus indices and
37 patient age, to identify SKC eyes which were then statistically compared against
38 healthy, and KC eyes. SKC eyes were also validated against external systems including
39 same-day Pentacam (Oculus Optikgeräte, Wetzlar, Germany) scans, Belin-Ambrosio's
40 ABCD system, KC progression criteria determined by a panel of corneal specialists, and
41 the Moorfields Corneal Cross-linking (CXL) Risk Calculator.

42 **Main Outcome Measures:** Detection of SKC and progression of these eyes to clinically
43 diagnosable keratoconus over time

44 **Results:** The GMM identified 166 eyes from 161 patients with distinct structural
45 differences to healthy and KC eyes. These eyes clustered in the morphometric
46 transition zone in PCA space and were predominantly classified as ABCD Stage 0.
47 However, they demonstrated asymmetry with their fellow eye, higher predicted CXL risk

48 at 1–4 years ($p < 0.001$) and faster progression to KC (log-rank $p < 0.0001$) compared
49 to healthy eyes. Among SKC eyes with longitudinal data, 72.7% met Global Consensus
50 criteria for progression.

51 **Conclusions:** SKC remains challenging to detect, and while classic staging such as
52 ABCD retain clinical utility, they are insufficient for early disease detection. PCA
53 followed by GMM classification on a multidimensional AS-OCT dataset identifies a
54 distinct and high-risk subclinical keratoconus group. This semi-supervised framework
55 offers a complementary tool for early risk stratification and can be applied to new
56 patients via projection into the learned PCA space and computation of KC probability.
57 Threshold values corresponding to the 25th and 75th percentiles of KC probability for
58 each parameter may serve as clinical context for flagging eyes when multiple features
59 fall in the atypical range.

60 Early detection of keratoconus (KC), a progressive ectatic corneal disease, is critical to
61 preventing irreversible visual decline and mitigating the risks associated with corneal
62 refractive surgery.¹⁻³ Missed or delayed diagnosis significantly increases the risk of post-
63 operative iatrogenic keratectasia, a serious complication of corneal laser procedures.^{4,5}
64 In this context, detection is increasingly essential due to the rising global prevalence of
65 visually significant myopia, which is predicted to exceed 50% in many countries by
66 2050.⁶ When KC is identified early, interventions such as corneal collagen cross-linking
67 (CXL) can halt disease progression in over 90% of cases,^{7,8} reducing the likelihood of
68 corneal transplantation or future dependence on specialized contact lenses.^{9,10}
69 Subclinical KC (SKC) is believed to represent the earliest detectable stage of disease,
70 marked by subtle deviations in corneal morphology that are not readily identified using
71 standard clinical tools.^{11,12}

72 Despite its clinical relevance, there is no consensus on the definition and diagnostic
73 criteria for SKC.¹¹ The variability in use of terminology related to KC's earliest stage,
74 such as forme fruste, subclinical, early-stage, asymmetric, and keratoconus suspect,
75 has contributed to variability in diagnostic consistency and delayed intervention.^{3,13} A
76 2015 Delphi panel¹⁴ concluded that posterior elevation abnormalities must be present to
77 diagnose SKC, however their report did not provide specific data or references to
78 support their agreement.¹⁵ Subsequent literature review found that posterior corneal
79 surface metrics performed worse than anterior corneal and thickness metrics in
80 differentiating SKC from normal controls¹⁶. This ambiguity has led to circular logic in the
81 validation of studies which use artificial intelligence (AI) methods to try and detect SKC.
82 Researchers often define SKC using specific topographic or tomographic thresholds

83 and then train machine learning (ML) models to detect those same criteria, an approach
84 that may undermine the objectivity and generalizability of model evaluation. As a result,
85 morphologic features used to define the subclinical cohort differ markedly across
86 studies, limiting opportunities to compare findings and develop a unified diagnostic
87 framework.¹⁷

88 High-resolution corneal imaging platforms such as the MS-39 (CSO Italia, Florence,
89 Italy), a combined Placido and anterior segment OCT (AS-OCT) tomographer, offer
90 multimodal insights into corneal structure,^{18,19} but threshold-based classification remains
91 insufficiently sensitive for capturing early, heterogenous morphological changes.²⁰ While
92 supervised ML approaches have strong performance in detecting clinical stages of
93 keratoconus,²¹ these eyes are already identifiable through clinical examination. The
94 clinical challenge lies in flagging eyes at risk of developing KC before overt structural or
95 functional deterioration in the cornea occurs.²² In this study, we hypothesize that SKC
96 represents a probabilistic intermediate state that can be identified between healthy and
97 KC eyes through a data-driven approach without reliance on arbitrary thresholds.

98 Methods

99 Study Design and Ethics

100 This retrospective, observational study analyzed corneal imaging data collected during
101 routine clinical care between 2020 and 2024 across two sites within the Moorfields Eye
102 Hospital (MEH) network in London, UK.

103 Because this was an observational study using anonymized data collected in the course
104 of routine clinical practice, individual patient consent was not required. This research
105 was approved by the Institutional Review Board and the Ethics Committee of the UK
106 Health Research Authority (HRA) (Ref: 22/PR/0249). The study protocol was reviewed
107 and approved by the Clinical Audit Assessment Committee of MEH NHS Foundation
108 Trust (reference CA17/CED/03). All research adhered to the tenets of the Declaration of
109 Helsinki.

110 **Instrument and Feature Set**

111 All data was obtained using the MS-39 AS-OCT system (CSO Italia, Florence, Italy),
112 which combines Placido-disk corneal topography with low-coherence (840 nm) AS-
113 OCT.²³ The two are integrated via the proprietary Phoenix software (version 4.1.3) to
114 produce comprehensive corneal maps, including curvature, elevation, and pachymetric
115 measurements across both anterior and posterior corneal surfaces.¹⁸

116 Twenty device-derived corneal tomographic parameters relevant to keratoconus
117 detection were extracted from each scan. They are described in Table 1, along with
118 normal and KC thresholds which are provided to aid interpretation and should not be
119 considered as definitive diagnostic cutoffs. Although none of these indices are
120 diagnostic in isolation, they have demonstrated high reproducibility and discriminative
121 performance across the KC disease spectrum²³ and were used as the primary input for
122 all analyses. Raw device outputs were exported in structured CSV format for all scans,
123 including the 20 KC indices and associated metadata. All analyses were performed
124 using R version 4.3.1.

125 Patient Cohorts

126 A total of 25,816 MS-39 scans were collected from 5,005 patients. Two cohorts were
127 defined:

- 128 • **Keratoconus (KC) group:** 12,501 scans from 3,605 patients with clinician-
129 assigned diagnosis of keratoconus. Patients were identified using an SQL-based
130 query of the hospital's data warehouse, targeting structured diagnostic fields in
131 the electronic medical record. Selected patients therefore had a clinical label of
132 keratoconus that applied at the level of the patient rather than individual eyes.
133 Post-operative cases (e.g., CXL, keratoplasty) were excluded at this stage
134 through additional SQL filters. All patients in this group were acquired at MEH
135 NHS Foundation Trust.
- 136 • **Control group:** 13,315 scans from 1,400 myopic individuals screened for
137 refractive and lenticle extraction surgical screening. Eyes were deemed free of
138 corneal pathology and ocular comorbidities following clinical evaluation by
139 refractive surgeons. All patients in this group were acquired at Moorfields Private
140 Hospital (MPH).

141 Data Quality Control

142 Scan fidelity was assessed using two device-derived metrics: Placido/OCT Coverage
143 (OC) and Section Coverage (SC). These quantify the proportion of the corneal surface
144 successfully captured by the Placido topography and AS-OCT subsystems,
145 respectively,²⁴ and account for common acquisition artifacts such as tear film instability,
146 motion blur, and misalignment. To determine appropriate thresholds for scan inclusion,

147 we evaluated the within-subject standard deviation (wSD) of the KC indices across
148 different OC and SC coverage levels. This reflects measurement repeatability, where
149 higher values indicate lower consistency across repeated scans. Minimum thresholds
150 for OC and SC were determined to ensure acceptable repeatability. OC was used as
151 the primary quality metric, with SC serving as a secondary criterion for inclusion when
152 OC was suboptimal. When multiple scans per eye were acquired on the same day, the
153 scan with the highest composite quality was selected.

154 Each KC index was also reviewed for errant values outside physiologically plausible
155 ranges, including non-positive values for thickness parameters and keratometry
156 readings, and negative values for root mean square error and distance metrics. A
157 detailed summary of exclusion thresholds is provided in Supplemental Table A.
158 Additionally, an Isolation Forest algorithm was applied to detect extreme multivariate
159 outliers. This method constructs an ensemble of decision trees that isolate individual
160 points based on recursive partitioning, allowing for efficient anomaly detection without
161 parametric assumptions.²⁵

162 **Semi-supervised Phenotype Classification**

163 We hypothesized that SKC eyes are an intermediate morphological phenotype
164 positioned between KC and structurally normal corneas. Given the absence of reliable
165 ground truth labeling, we implemented a semi-supervised framework to identify cases.

166 We leveraged our two labelled groups (Healthy and KC) to learn the morphological
167 extremes of the disease spectrum. The KC group was randomly downsampled to match
168 the size of the healthy group, with 1,400 patients each group. Downsampling the KC

169 group to match the healthy group mitigates the GMM implementation from inferring
170 class priors from the sample sizes and reduces overrepresentation of KC cases which
171 could reduce sensitivity to intermediate or borderline morphologies. For all included
172 patients, only the earliest available scan per eye was retained to eliminate bias from
173 disease progression. Dimensionality reduction was applied to the set of 20 corneal
174 indices as well as patient age, for a total of 21 features. All features were z-score
175 normalized. Principal Component Analysis (PCA) was used to decorrelate features and
176 capture the dominant sources of variance and axis of diseases severity. PCA is a
177 method to linearly combine, as a weighted sum, many correlated measurements into a
178 few independent axes that capture the main patterns of variation in the data. Each axis,
179 called a principal component (PC), represents a single direction of variation in the data,
180 with PC1 capturing the largest source of variation, PC2 the next largest, and so on. The
181 top two PCs were retained for downstream analysis.

182 Two single-component Gaussian Mixture Models (GMMs) were fitted separately to the
183 healthy and KC cohorts in the PC1-PC2 space using the expectation-maximization
184 algorithm, assuming equal class priors. The PC1-PC2 space refers to a two-
185 dimensional plot of the first two principal components, where each axis represents a
186 weighted sum of multiple corneal measurements, allowing overall patterns of variation
187 between eyes to be visualized more clearly. This two-component formulation reflects
188 the prevailing clinical dichotomy of healthy versus keratoconus. Models with more than
189 two components were also tested but resulted in poorer overall fit and diverged from the
190 data-driven intent of the analysis.

191 For any given eye x , the posterior probability of keratoconus, $P(KC | x)$, was computed
192 using Bayes' rule. In Bayesian terms, the posterior refers to the updated probability of a
193 hypothesis after considering the observed data (here, the probability that an eye
194 belongs to the KC distribution given its corneal features). To avoid confusion with the
195 clinical use of "posterior" referring to the posterior corneal surface, we will hereafter
196 refer to posterior KC probability simply as KC probability. Since the model only includes
197 two diagnostic classes, the probability of being healthy is defined as $P(Healthy | x) =$
198 $1 - P(KC | x)$. For clinical interpretation, these continuous probabilities were then
199 converted into categorical labels using post hoc confidence intervals:

200 • **Healthy:** $P(KC | x) < 0.05$ (i.e., $P(Healthy | x) > 0.95$)
201 • **Keratoconus:** $P(KC | x) > 0.95$
202 • **Subclinical Keratoconus:** $0.25 \leq P(KC | x) \leq 0.75$ (equivalently $0.25 \leq$
203 $P(Healthy | x) \leq 0.75$, i.e., low confidence for either class)

204 Eyes outside the intermediate band but not exceeding 0.95 were assigned to the more
205 likely class. Thus, SKC is not a third modeled cluster; it denotes cases near the decision
206 boundary (where the Bayes factor is close to 1), reflecting ambiguity between healthy
207 and KC. The 0.95 high-confidence threshold and the 0.25–0.75 intermediate band are
208 standard, conservative probability thresholds used after density estimation to aid clinical
209 interpretability without altering the fitted models.

210 **Batch Effects**

211 To assess the presence of batch effects resulting from the use of different clinical sites,
212 of which there was no patient overlap, we conducted a targeted validation using a
213 prospectively recruited cohort of nine healthy patients who underwent bilateral imaging
214 at both locations. Informed consent was obtained from all participants prior to data
215 acquisition. All individuals were imaged on the same MS-39 AS-OCT device model first
216 at MEH NHS and then at MPH, with both scans performed within a 2-hour window. All
217 imaging was conducted with identical calibration, positioning, lighting, and acquisition
218 protocols to ensure that any observed differences were attributable solely to site or
219 device related factors.

220 For each patient, paired scans from both sites were projected into PCA space using the
221 transformation derived from the main cohort. We computed the Euclidean distances in
222 PCA space between the two sites using PC1 and PC2, which served as a proxy for
223 multivariate deviation in corneal metrics. To statistically evaluate whether these inter-
224 site distances reflected systematic site differences, we performed a one-sample t-test
225 comparing the distribution of distances to a null hypothesis of zero mean displacement.

226 **Clinical Validation and Utility**

227 To evaluate the validity and prognostic value of the SKC phenotype, we conducted a
228 series of retrospective analyses. This included 7,658 scans from 1,827 patients with at
229 least one follow-up spanning up to two years.

230 **1. Longitudinal changes in disease likelihood**

231 We applied the previously trained PCA-GMM model to eyes from follow-up visits that
232 were not included in the initial model. PCA transformation was applied to follow-up
233 scans using the loading matrix derived from the training set, and KC probability was
234 computed using the fixed GMM model parameters, ensuring that longitudinal analyses
235 reflected projection into the same morphological space.

236 Structural progression in SKC eyes was defined using the 2015 Global Consensus
237 definition as change greater than expected measurement noise for the imaging device
238 used in two or more parameters between visits. We derived the MS-39 thresholds
239 based on Seiler et al²⁶:

- 240 (A) Steepest anterior curvature radius decrease > 0.1 mm
- 241 (B) Steepest posterior curvature radius decrease > 0.05 mm
- 242 (C) Minimum pachymetry reduction \geq 20 μ m

243 **2. CXL risk stratification**

244 To assess the clinical risk profile of eyes identified as SKC, we integrated structural
245 imaging data with the Moorfield CXL Risk Calculator (<https://beta.moorfieldscxl.com>), a
246 peer-reviewed external prognostic tool²⁷. Risk scores were generated via batch
247 submission to the calculator's backend API using age, Kmax, Front K1 and minimum
248 pachymetry from MS-39 scans. The calculator outputs a probability score (0–1)
249 indicating the likelihood that an eye will require CXL within a given time horizon, based
250 on statistical patterns learned from demographic and serial Pentacam HR (Oculus
251 Optikgeräte, Wetzlar, Germany) corneal tomography data from 8,701 eyes of 4,823
252 patients with early to mild KC. When multiple eligible scans existed for a patient, the

253 earliest one where the patient was classed as SKC was used. Healthy eyes were
254 matched similarly using their earliest available scan.

255 Predicted CXL risk probabilities after 1, 2, 3, and 4 years were extracted for each eye
256 and SKC eyes were compared to healthy using pairwise Wilcoxon rank-sum tests. For
257 eyes with at least one follow-up, we calculated the change in predicted risk between
258 consecutive visits.

259 **3. Survival analysis of time to keratoconus conversion**

260 A Kaplan-Meier survival model was constructed to compare time to progression
261 between SKC and healthy eyes. Progression was defined as a subsequent
262 reclassification to keratoconus at any follow-up visit. Time-to-event was measured in
263 days between the baseline visit to either the date of conversion or the last available
264 follow-up. Right-censoring was applied to non-progressors. In these instances, eyes
265 were considered “at risk” until their last follow-up, after which their subsequent clinical
266 status remained unknown. This approach ensures unbiased risk estimation by
267 incorporating both converted and non-converted eyes, rather than assuming non-
268 conversion equates to permanent stability. Although the Kaplan-Meier method provides
269 an unadjusted estimate and visualization of progression risk, to address potential
270 confounding by age we performed 1:1 nearest-neighbor matching of healthy to SKC
271 eyes on baseline age, without replacement, prior to survival analysis. This ensured that
272 differences in progression risk were not attributable to baseline age imbalances.

273 **4. Cross-sectional agreement between GMM and ABCD Staging**

274 GMM labels were compared to ABCD staging scores calculated from Pentacam scans
275 taken the same day. ABCD staging was computed using steepest anterior K value (A),
276 steepest posterior K value (B), minimum pachymetry (C), and best-corrected visual
277 acuity (D). A composite ABCD score was assigned based on the most frequent stage
278 among components A–D.

279 **Results**

280 **Scan Repeatability and Quality Thresholds**

281 Within-subject standard deviation (wSD) of KC indices increases markedly when either
282 OC or SC falls below 65% for PC and 85% for SC, indicating reduced measurement
283 repeatability. Repeatability stabilized at OC values between 60–64% and remains
284 consistent as coverage increases, as seen in Supplemental Figure A. In contrast, SC
285 demonstrated greater variability across the coverage range, with acceptable
286 repeatability only observed at 85–95%, and lower overall consistency compared to OC.
287 These findings indicate that OC is a more reliable metric of scan quality than SC.
288 Quality filtering was performed in a hierarchical manner: scans were included if OC >
289 65%; if OC was below this threshold, inclusion was still permitted if SC > 85%.

290 Following exclusion of scans that failed this quality criteria and removal of same-day
291 repeated scans, biologically implausible values, and multivariate outliers, 48.7% of the
292 dataset was deemed of good quality for further analysis. The impact of each step is
293 detailed in Figure 1.

294 **Dataset Demographics**

295 This study utilized two separate datasets for analyses. The majority of analyses used a
296 large retrospective cohort, while analysis of batch effects used a small internal set of
297 controls. Table 2 summarizes each dataset's characteristics. The age and sex
298 distributions of the downsampled KC group were not significantly different from those of
299 the full KC cohort.

300 **Dimensionality Reduction and Assessment of Inter-Site Variability**

301 PCA was conducted on 4,876 eyes from 3,278 patients. This comprised of 2,438 eyes
302 labelled as healthy and 2,438 eyes labelled as KC. The first two PCs explained 78.0%
303 of the total variance, with PC1 accounting for 71.7% and PC2 for 6.3%, as summarized
304 in Supplemental Table B. Inspection of the scree plot (elbow method)²⁸ confirmed that
305 variance contributions declined sharply after PC2 (Supplementary Figure B), with
306 higher-order PCs each explaining less than 2% of variance and largely reflecting
307 measurement noise or patient-specific variation.

308 Each scan pair clustered tightly and mapped to adjacent positions as seen in
309 Supplemental Figure B, indicating high morphological concordance across sites. The
310 mean Euclidean distance between site-paired scans was 0.12 ± 0.05 , and no
311 statistically significant difference from zero was detected (t-test, $p=0.368$). Based on
312 this, no batch correction was applied to the dataset.

313 **Keratoconus Severity Continuum**

314 PC1 represented a continuous axis of KC severity, with increasing positive coordinates
315 corresponding to a higher probability of KC (Figure 2). High PC1 loadings were
316 observed for anterior and posterior surface indices, elevation deviations, and curvature-

317 based parameters, whereas PC2 captured more localized variation, primarily reflecting
318 age-related structural asymmetries and corneal thinning, as presented in Supplemental
319 Figure C. Smoothed relationships between PC1 and each original parameter reveal
320 inflection zones where subtle changes in certain indices, particularly stromal thickness,
321 RMS, and elevation metrics, translate to sharp increases in KC probability (Figure 3).

322 **Subclinical Group Reclassification**

323 Using the KC probability derived from the two-component GMM, 166 eyes from 161
324 patients were identified SKC from the PCA data. These included 50 eyes from the
325 healthy cohort and 116 from the KC cohort. These eyes were not situated within the
326 high-density cores of either group but overlapped with the low-probability tails of the
327 healthy and KC distributions (Figure 4). When the probability thresholds were varied to
328 0.30–0.70 and 0.20–0.80, the number of eyes classified as SKC shifted slightly, to 162
329 and 168 eyes, respectively, corresponding to a relative change of less than 3%. Over
330 96.8% of eyes retained their original classification across thresholds. Statistically
331 significant differences were observed between SKC and both healthy and KC eyes for
332 all parameters, except for age between SKC and KC (Table 3). SKC often presented
333 unilaterally or asymmetrically, with only 9.6% of eyes showing bilateral SKC, whereas
334 healthy (94.9%) and keratoconic (84.1%) eyes exhibited more symmetric fellow eye
335 classifications. Subclinical keratoconus was commonly associated with either a
336 keratoconic fellow eye (54.6%) or healthy fellow eye (42.5%).

337 **Subclinical Phenotype as a High-Risk Intermediate State**

338 Among 1,827 patients with at least one follow-up in a period of up to 800 days (approx.
339 2 years), 437 contributed one eye and 1,390 contributed both, yielding 3,217 eyes
340 included in the longitudinal analysis. Based on GMM-derived classifications, 250
341 patients were consistently labelled as healthy, 1,246 as KC, and 12 as SKC. The
342 remaining 319 patients received more than one classification across visits, 190 of which
343 were classified as SKC during at least one visit. Follow-up intensity was similar between
344 groups: healthy eyes had 2.3 ± 1.5 visits per year, compared with 3.2 ± 1.3 visits per
345 year for SKC eyes ($p = 0.344$ for visit count; $p = 0.410$ for follow-up duration).

346 Transitions between states were summarized using a first-order Markov model (Figure
347 5). Over 90% of healthy and KC eyes retain their initial classifications over time. In
348 contrast, SKC eyes show instability, with only 56.2% retaining their classification and
349 35.2% transitioning to KC within an average follow-up period of 13 ± 4.5 months. Of the
350 8.6% of eyes reclassified back to normal, this occurred within 4.3 ± 2.1 months on
351 average.

352 To further examine progression patterns, we visualized the longitudinal trajectory of KC
353 component probabilities. Eyes initially classified as healthy exhibited minimal changes in
354 KC probability over time, though a small subset showed sudden increases, as illustrated
355 in Supplemental Figure D. In contrast, SKC eyes demonstrated a steady and gradual
356 increase in KC probability across visits, supporting a progression toward disease
357 conversion. This trajectory was primarily driven, in the following order, by thickness
358 changes (minimum stromal thickness, minimum corneal thickness, percentage
359 thickness index), maximum anterior and posterior elevation, anterior root mean square,
360 mean radius of notable points, posterior symmetry index, and posterior ectasia index, as

361 shown in Table 4. These parameters were not used to define SKC at baseline; rather,
362 they represent the structural features that significantly drove progression from SKC to
363 KC. Changes in other indices over time were not statistically significant between groups.
364 Although some of these metrics covary, as shown by Supplemental Figure E, it
365 highlights the specific anatomy and parameters that are most sensitive to early disease
366 changes.

367 These findings were corroborated against progression criteria outlined in an expert
368 opinion piece authored by a panel of corneal specialists¹⁴. Among eyes classified as
369 SKC, 72.7% showed evidence of progression. Of these, 54.4% met criterion A (anterior
370 curvature), 67.0% met criterion B (posterior curvature), and 87.5% met criterion C
371 (pachymetric thinning).

372 SKC eyes also exhibited significantly higher probability of requiring CXL in the future
373 compared to healthy eyes ($p < 0.001$; Figure 6A) with risk increasing by an average of
374 10.2% for SKC eyes with follow-up and 4.06% for healthy eyes. Kaplan–Meier survival
375 analysis, after age matching (mean baseline age 31.9 ± 12.1 years in both groups, $n =$
376 337 per group), revealed that SKC eyes had a substantially lower KC-free survival rate
377 than healthy eyes (log-rank $p < 0.0001$). Approximately 500 days after the first visit, the
378 probability of remaining KC-free had fallen below 50% for SKC eyes, whereas healthy
379 eyes remained largely stable throughout follow-up (Figure 6B).

380 **GMM Captures Early Risk Patterns Missed by Belin-Ambrosio's ABCD**

381 For 2,857 patients in the healthy and KC groups with same-day Pentacam scans,
382 98.1% of GMM-classified healthy eyes and 95.9% of SKC eyes were assigned Stage 0

383 on the ABCD grading system (Figure 7). KC eyes were predominantly distributed across
384 ABCD Stages 2 to 4. Among the 185 SKC eyes with at least one follow-up visit, 96.2%
385 (n=178) remained at Stage 0. Of the seven eyes that progressed, three advanced to
386 Stage 1, three to Stage 2, and one to Stage 4. When disaggregated by individual ABCD
387 components, progression was most frequently observed in parameter D (best-corrected
388 visual acuity), followed by C, A, and B. Pachymetry was the most frequent structural
389 progressors under ABCD criteria, aligning with Global Consensus thresholds.

390 Discussion

391 The identification of keratoconus at the earliest stage remains one of the most pressing
392 challenges in corneal diagnostics. This difficulty stems not only from the subtlety of the
393 phenotype but also from the absence of a universal standard definition. Across studies
394 and populations, reported prevalence estimates for keratoconus range from under 0.1%
395 to nearly 9%,²⁹ a disparity driven in part by different diagnostic cut-offs and whether
396 subclinical cases are included. A recent systematic review on subclinical keratoconus
397 by Randleman et al. found that many studies used subclinical group inclusion criteria
398 that would not exclude clinical keratoconus eyes.¹⁶ Even within a single cohort, the use
399 of different cut-off values for diagnostic indices can dramatically shift classification.^{16,30}
400 To date, none have attempted to distinguish normal from early keratoconus without
401 such assumptions.

402 The findings of this study directly address this gap by demonstrating that a semi-
403 supervised machine learning approach using Gaussian Mixture Modelling in a reduced
404 feature space can identify a subgroup of morphologically atypical eyes not captured by

405 traditional grading systems. Unlike fully unsupervised clustering, this approach ensures
406 that the learned latent structure is anchored to clinically meaningful binary groups (0 =
407 healthy, 1 = keratoconus). The strength of this approach is that the “subclinical” group
408 emerges from a region of maximal overlap within a biologically plausible continuum,
409 rather than through arbitrary cutoffs. Within a 0.25 to 0.75 confidence threshold, the
410 subclinical phenotype presents within a narrow biometric window, with thickness
411 differences under 65 μ m, radius differences under 0.5 mm, and elevation/ectasia index
412 variations below 1.

413 Overall, the eyes in this group demonstrated:

414 1. Almost total agreement with Belin-Ambrosio’s ABCD Stage 0,
415 2. Significant structural differences from healthy and KC eyes,
416 3. Significant asymmetry with fellow contralateral eye and
417 4. Consistent structural degradation over time

418 Although the ABCD display is the current standard for detecting keratoconus and
419 monitoring disease progression, our results suggest that its sensitivity to the detection of
420 early disease is limited. Healthy and SKC eyes are largely indistinguishable on this
421 scale, with both typically classified as Stage 0 despite significant differences in
422 structure. Progression is also not well predicted by ABCD staging but is reasonably
423 predicted by the Gomes et al. criteria. Only 3.8% of SKC eyes had progressed and
424 interestingly, this progression was mostly driven by functional decline (parameter D,
425 visual acuity) rather than by anterior or posterior curvature or pachymetry (parameters
426 A, B, C). This is markedly lower than the results of the Gomes et al. progression criteria,

427 which indicated that 70% of SKC eyes had progressed, aligning with previous studies
428 on SKC progression rates^{31,32}. Using GMM-derived KC probability, over 30% reached
429 75% probability of KC within two years. SKC eyes also demonstrated higher risk of
430 requiring CXL within 4 years and shorter keratoconus-free survival in Kaplan-Meier
431 analysis.

432 This was driven specifically by corneal thickness changes, especially in the stroma, and
433 by subtle elevations and irregularities in the anterior and posterior curvature. These
434 changes, while not always sufficient to shift ABCD staging, may signal early
435 biomechanical instability and help explain why some SKC eyes progress despite
436 appearing clinically normal. Progression in anterior and posterior Kmax was not found
437 statistically significant between healthy and SKC eyes and explained only 50-60% of
438 eyes meeting the Global Consensus criteria, highlighting the limitations of traditional KC
439 metrics in detecting early stages of the disease. Thickness changes were observed in
440 over 80% of SKC eyes, particularly at the stroma level. This supports prior findings that
441 stromal metrics are more effective than epithelial metrics – which were not found
442 significant in our cohort - in distinguishing SKC from healthy eyes.^{2,33} Some of these
443 significant parameters have previously been linked to SKC in earlier studies.¹⁶ Our
444 findings expand this understanding by showing that these features not only differ at
445 baseline but are also associated with longitudinal progression.

446 The concept of subclinical keratoconus as an intermediate state between healthy and
447 KC has long informed topographic indices such as KISA%³⁴ and CLMI/CLMI.X³⁵. While
448 these indices reliably distinguish manifest keratoconus from normal eyes, their
449 performance in subclinical or suspect eyes has been weaker, owing to several factors.

450 KISA% relied on only four anterior topographic parameters and applied rigid thresholds
451 ($\geq 100\%$), leading to substantial overlap between normal and keratoconus-suspects.
452 CLMI.X incorporated cone location and magnitude, as well as pachymetric features, but
453 still categorized eyes via fixed cut-offs. Although the recent CLMIX-AI³⁶ adaptation
454 leverages machine learning, published evaluations show that sensitivity and specificity
455 remain consistently lower for keratoconus suspects than for manifest keratoconus.

456 Our framework differs in three critical ways. First, it uses a broad multidimensional
457 feature set (20 tomographic indices plus age) encompassing anterior, posterior,
458 elevation, and thickness parameters. Second, it models classification probabilistically:
459 Gaussian mixture modeling yields a KC probability, with an explicit “grey zone” (0.25–
460 0.75) rather than a binary or trinary cut-off. This reflects diagnostic uncertainty rather
461 than masking it. Third, we benchmarked the subclinical group against external
462 validators (ABCD staging, Gomes et al. progression criteria, Pentacam tomography,
463 and the Moorfields CXL Risk Calculator) and demonstrated longitudinal predictive
464 value (higher CXL risk and faster conversion). These results show that the intermediate
465 group identified is not an artifact of thresholding but represents a clinically meaningful
466 high-risk state.

467 However, there are some limitations. The thresholds proposed in the 2015 article by
468 Gomes et al. remain insufficiently validated. The ABCD grading system was introduced,
469 in part, in response to this consensus and provided 95% confidence limits for the true
470 change in these parameters based on data from 252 normal ('early keratoconus') and
471 keratoconus ('established disease') patients. Further work should integrate adaptive
472 thresholding for progression³⁷ and investigate the metrics highlighted here to further

473 refine early disease progression, which may be distinct from those needed for initial
474 detection. Adaptive thresholding may also help mitigate measurement variability near
475 the threshold of detectability – reflected by a small subset of SKC eyes that were
476 reclassified as healthy within a short follow-up period. Although KM models provide
477 unadjusted estimates of progression risk and cannot simultaneously account for multiple
478 baseline covariates (e.g., pachymetry or inter-eye asymmetry), we minimized the
479 influence of age by matching SKC and healthy groups on baseline age prior to analysis.
480 The results should therefore be interpreted descriptively. The consistency of KM
481 findings with independent validation further supports that the elevated risk observed in
482 SKC eyes reflects a true biological signal rather than an artifact of unadjusted modeling.
483 Moreover, our findings can only be applied directly to MS-39 corneal tomography.
484 Although the indices used are broadly comparable across devices and were validated
485 with same-day Pentacam scans, further external validation will be essential before
486 generalizing this approach.
487 A further consideration is the proportion of scans excluded during quality control. In
488 total, 48.7% of acquisitions were excluded. Of these, 94.5% were repeated same-day
489 scans from the same eye and 0.06% were removed due to acquisition failures such as
490 incomplete Placido/OCT coverage, motion artefacts, or implausible device-derived
491 values. These exclusions reflect technical artifacts rather than biological variation and
492 are not systematically related to disease severity. Outliers (0.05% of excluded scans)
493 identified by the isolation forest were predominantly morphologically extreme eyes that
494 appeared as strong deviations in multiple indices, representing mislabeled scans (e.g.
495 post-operative cases) and cases of highly abnormal corneal structure (e.g., corneal

496 edema or infection) rather than borderline subclinical cases. Importantly, in routine
497 clinical workflows, it is common practice to obtain multiple scans and rely on the best-
498 quality image for interpretation, while discarding suboptimal acquisitions. Our exclusion
499 strategy therefore mirrors this process, suggesting minimal risk of systematic bias and
500 limited impact on the generalizability of our findings.

501 Although the probability thresholds used in this study reflect standard confidence
502 intervals³⁸, clinicians may calibrate decision boundaries based on clinical capacity or
503 acceptable false positive rates. In our cohort, shifting the thresholds $\pm 5\%$ changed the
504 number of eyes classified as SKC only modestly ($\pm 2\text{--}3\%$) and preserved $>96\%$
505 classification overlap, indicating that the SKC group is stable across reasonable
506 threshold choices. By flagging eyes that do not meet standard diagnostic thresholds but
507 are structurally atypical in several dimensions, this system has the potential to shift
508 keratoconus management from reactive treatment of overt disease to proactive
509 surveillance and early intervention. Such an approach offers a path toward reconciling
510 longstanding inconsistencies in the early detection of keratoconus.

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630 Legend for Print Figures

631 Figure 1. Flowchart illustrating dataset clean-up with the results of each pre-processing
632 step

633 Figure 2. Gaussian Mixture Model (GMM)–derived probability of keratoconus
634 [P(Keratoconus)] plotted against the first principal component (PC1). There is a strong
635 relationship observed between PC1 and P(Keratoconus), with increasing values along
636 the x-axis (PC1) corresponding to greater disease severity. Each point represents a
637 single eye, and the red curve indicates the fitted logarithmic trend line. The clear
638 association between PC1 and keratoconus probability highlights that subtle corneal
639 shape variations captured by unsupervised analysis align closely with disease severity.

640 Figure 3. Smoothed curves showing how individual tomographic parameters relate to
641 the Gaussian Mixture Model-derived probability of keratoconus. Each panel
642 corresponds to one tomographic feature. Red dotted vertical lines mark the parameter
643 values associated with 25% and 75% probability of keratoconus, with annotations
644 indicating the exact values. These parameter–probability curves identify threshold
645 ranges where subtle tomographic changes signal elevated keratoconus risk.

646 Figure 4. Classification of subclinical keratoconus using a two-component Gaussian
647 Mixture Model (GMM). Each point represents a single eye plotted according to a
648 reduced set of 21 tomographic features using principal component analysis. The
649 shaded grey ellipses indicate the regions where healthy eyes (light grey) and

650 keratoconus eyes (dark grey) are most likely to be located, with boundaries drawn at
651 50%, 75%, 90%, and 95% confidence levels. Eyes shown in orange fall outside the
652 high-confidence regions of both groups and are labelled as subclinical keratoconus. The
653 model isolates eyes with ambiguous morphology, highlighting cases that may warrant
654 closer monitoring despite not fitting cleanly into healthy or diseased categories.

655 Figure 5. State diagram showing how eyes changed classification over time, across
656 follow-up visits spanning up to 800 days after the first visit. Circles (nodes) represent the
657 three disease states: healthy (H), subclinical keratoconus (S), and keratoconus (K).
658 Arrows (edges) indicate observed transitions between states, with thicker arrows
659 corresponding to higher transition probabilities. Most progression occurred from
660 subclinical keratoconus to keratoconus, while direct transitions from healthy to
661 keratoconus were rare.

662 Figure 6. (A) Boxplots showing the predicted probability of requiring corneal crosslinking
663 (CXL) at years 1 through 4, stratified by baseline classification using the Gaussian
664 Mixture Model (GMM) into healthy, subclinical keratoconus, and keratoconus groups.
665 Asterisks denote statistically significant differences (**p < 0.0001). (B) Kaplan–Meier
666 survival curves estimating the probability of remaining keratoconus-free over time for
667 eyes classified at baseline as subclinical keratoconus (orange) or healthy (blue).
668 Shaded regions show 95% confidence intervals, and vertical tick marks indicate
669 censored observations (eyes lost to follow-up). The red dashed line marks the 50%
670 probability threshold. Eyes classified as subclinical keratoconus at baseline had

671 significantly higher predicted CXL risk and a steeper decline in keratoconus-free
672 survival compared to healthy eyes.

673 Figure 7. Distribution of ABCD keratoconus stages at baseline, stratified by Gaussian
674 Mixture Model (GMM)-based classification into healthy, subclinical keratoconus, and
675 keratoconus groups. Bars show the proportion of eyes in each ABCD stage (0–IV)
676 within each group. Eyes classified as healthy were almost entirely stage 0, subclinical
677 keratoconus eyes clustered in stages 0–I, and keratoconus eyes spanned the full
678 spectrum up to stage IV, confirming that the GMM groupings aligned with increasing
679 clinical disease severity.

Table 1. Summary and definitions of the 20 MS-39 anterior-segment optical coherence tomography-derived corneal features investigated

Feature	Unit	Definition	Normal Range
Symmetry index (SI)	mm	Difference in curvature or elevation between the superior and inferior cornea	± 0.1
Centre-surround index (CSI)	mm	Difference in curvature or elevation at the apex vs the surrounding annular region	± 0.1
Ectasia index (EI)	mm	Multi-quadratic composite score of significant Zernike coefficients for corneal surface	0-0.5
Root mean square (RMS)	mm	Deviation between the corneal surface and a best-fit reference surface	≤ 0.02
Maximum keratometry (Kmax)	mm	Maximum Gaussian curvature (steepest point) of corneal surface	7.70-7.90
Delta Z (Δz_{max})	μm	Maximum height of the bulging zone from the elevation vs normality map	0-20
Notable Points Radius (NotablePtsR)	mm	Mean radial distance between seven notable points on the cornea from their respective barycentre. These include the locations of minimum epithelial, stromal, and total corneal thickness, maximum anterior and posterior corneal curvature; and maximum anterior and posterior elevation.	> 0.6
Thickness symmetry index (TSI)	%	Difference in corneal thickness between two symmetric hemi-corneas, usually across the vertical meridian	± 10
Pattern deviation of TSI (PD-TSI)	%	Compares the actual TSI distribution of the patient to a reference population of normal eyes	± 10
% thickness index of the full cornea (PTI)	%	Expresses the proportion of the total corneal volume occupied by tissue thinner than the average	45-55
% epithelial thickness index (PEpiTI)	%	Relative contribution of the epithelial thickness to the total corneal thickness across the corneal surface and reflects epithelial compensation/remodelling	10
Minimum pachymetry (ThkMin)	μm	Value of the point with minimum total corneal thickness	500-600
Minimum stromal pachymetry (StrThkMin)	Mm	Value of the point with minimum total stromal thickness. The stromal thickness is less prone to remodelling than the epithelium and thus is a more stable indicator of true corneal structure.	470-550

Minimum epithelial pachymetry (EpiThkMin)	Mm	Value of the point with minimum total epithelial thickness	50
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Table 2. Summary of demographic characteristics for the retrospective and prospective cohorts. Percentages are reported at the patient level.

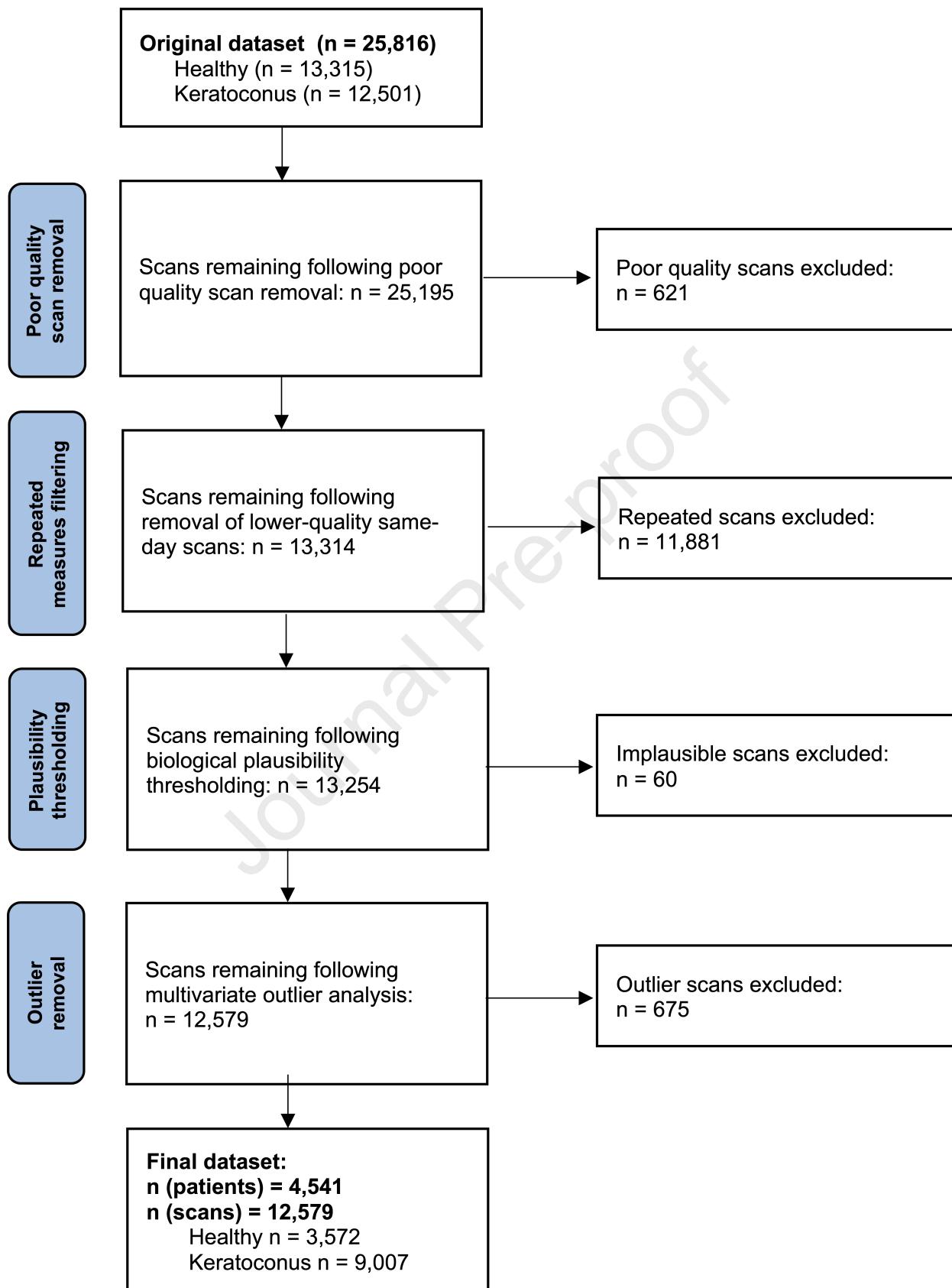
	Retrospective Dataset	Prospective Dataset
Total eyes (n)	12579	18
Total patients (n)	4541	9
Healthy (%)	28.4	100
Keratoconus (%)	71.6	0
Age (years)	33.6 ± 11.7 (range: 6-97)	27.2 ± 4.3 (range: 20-34)
Males (%)	60.9	44.4
Reported Ethnicity (%)	34.7	100
- White	31.0	44.4
- Middle Eastern	24.0	11.1
- South Asian	21.0	11.1
- Black	15.3	0
- East Asian	6.5	33.4
- Mixed	2.2	0

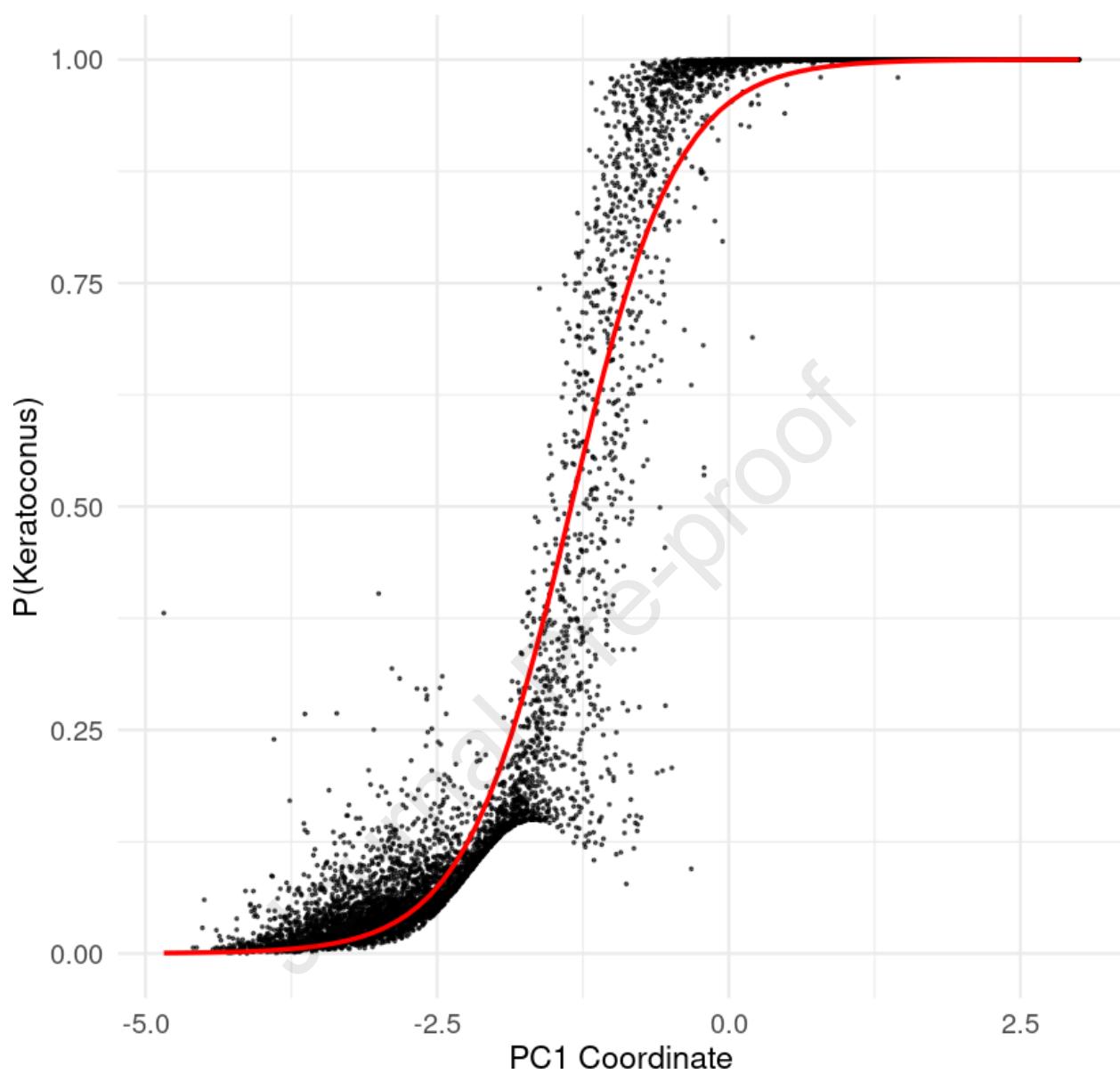
Table 3. Comparison of 21 features across Gaussian Mixture Model-defined groups of healthy ($p_{\text{KC}} < 0.25$), subclinical keratoconus ($0.25 < p_{\text{KC}} < 0.75$), and keratoconus ($p_{\text{KC}} > 0.75$) groups. P-value is calculated between adjacent column groups.

Metric (B = Posterior F = Anterior)	Healthy		p-value	Subclinical Keratoconus		p-value	Keratoconus	
	Mean	Std. Dev		Mean	Std. Dev		Mean	Std. Dev
	Mean	Std. Dev		Mean	Std. Dev		Mean	Std. Dev
Age	40.18	25.58	1.4e-35	31.39	11.07	0.299	30.76	7.99
SI B	0.03	0.12	4.3e-21	0.23	0.19	8.3e-122	1.34	0.76
SI F	0.30	0.49	1.9e-08	0.98	0.66	9.7e-120	5.10	3.07
CSI B	0.18	0.13	4.3e-191	0.25	0.26	4.9e-290	1.11	0.99
CSI F	0.51	0.77	8.0e-146	0.69	0.97	5.3e-275	3.57	3.50
EI B	4.19	4.45	2.9e-182	15.93	7.81	2.6e-289	75.45	38.23
EI F	3.60	2.66	2.2e-139	8.21	3.78	2.3e-282	34.55	18.32
RMS B	5.86	2.69	2.3e-17	10.14	5.47	2.4e-163	30.28	31.80
RMS F	3.63	1.63	3.5e-129	5.52	1.83	4.3e-229	15.77	7.62
KMax B	6.11	0.39	5.6e-71	5.59	0.57	1.3e-220	4.41	0.68
KMax F	7.52	0.34	5.3e-84	7.21	0.40	1.3e-120	6.26	0.62
Δzmax B	9.61	4.88	2.6e-96	20.59	10.46	7.8e-110	77.97	57.25
Δzmax F	5.91	3.04	1.7e-94	10.38	3.86	5.7e-224	35.16	18.05
NotablePtsR	1.34	0.53	2.9e-23	0.82	0.60	4.4e-217	0.37	0.37
TSI	5.94	4.68	8.0e-150	10.76	5.04	8.2e-263	24.96	11.48
PD-TSI	0.18	0.38	7.2e-120	0.55	0.33	3.5e-263	1.03	0.49
PTI	0.18	2.48	1.6e-146	1.53	2.00	3.0e-267	5.87	2.78
PEpiTI	8.85	5.92	2.5e-124	11.82	9.46	1.7e-265	34.42	21.67
ThkMin	519.73	42.62	5.0e-58	488.46	34.90	3.5e-66	450.82	41.46
StrThkMin	465.79	43.23	7.1e-66	436.95	35.12	1.6e-88	405.58	40.41
EpiThkMin	49.56	3.91	5.5e-95	48.30	4.77	3.6e-196	41.24	5.44

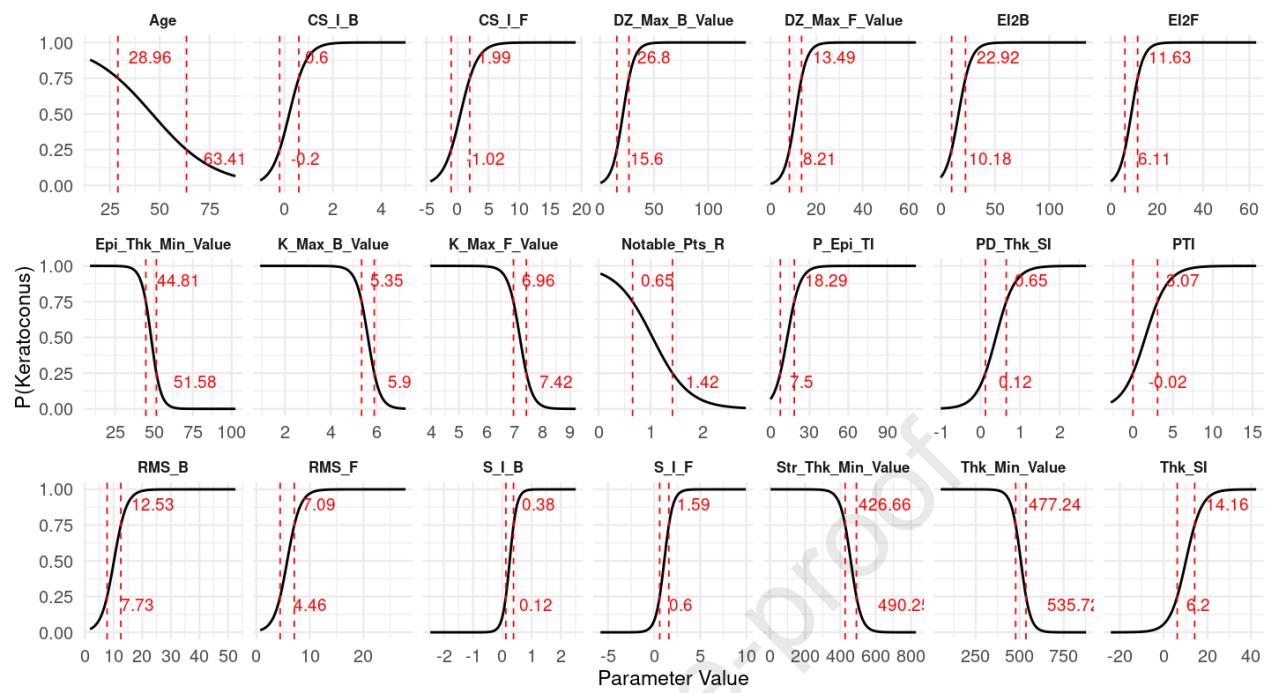
Table 4. Statistical significance of MS-39 indices associated with early keratoconus progression, defined as transition from Gaussian Mixture Model (GMM)-classified subclinical keratoconus ($0.25 < p_{KC} < 0.75$) to keratoconus ($p_{KC} > 0.75$). Effect size is reported as log fold-change (progressors vs non-progressors) with 95% CI. Metrics are ranked by ascending p-value, with smaller values indicating stronger discriminatory power. Bolded rows indicate statistically significant parameters for progression. D=direction of effect (\downarrow =decreasing, \uparrow =increasing). $\log_2 FC$ =log of fold change.

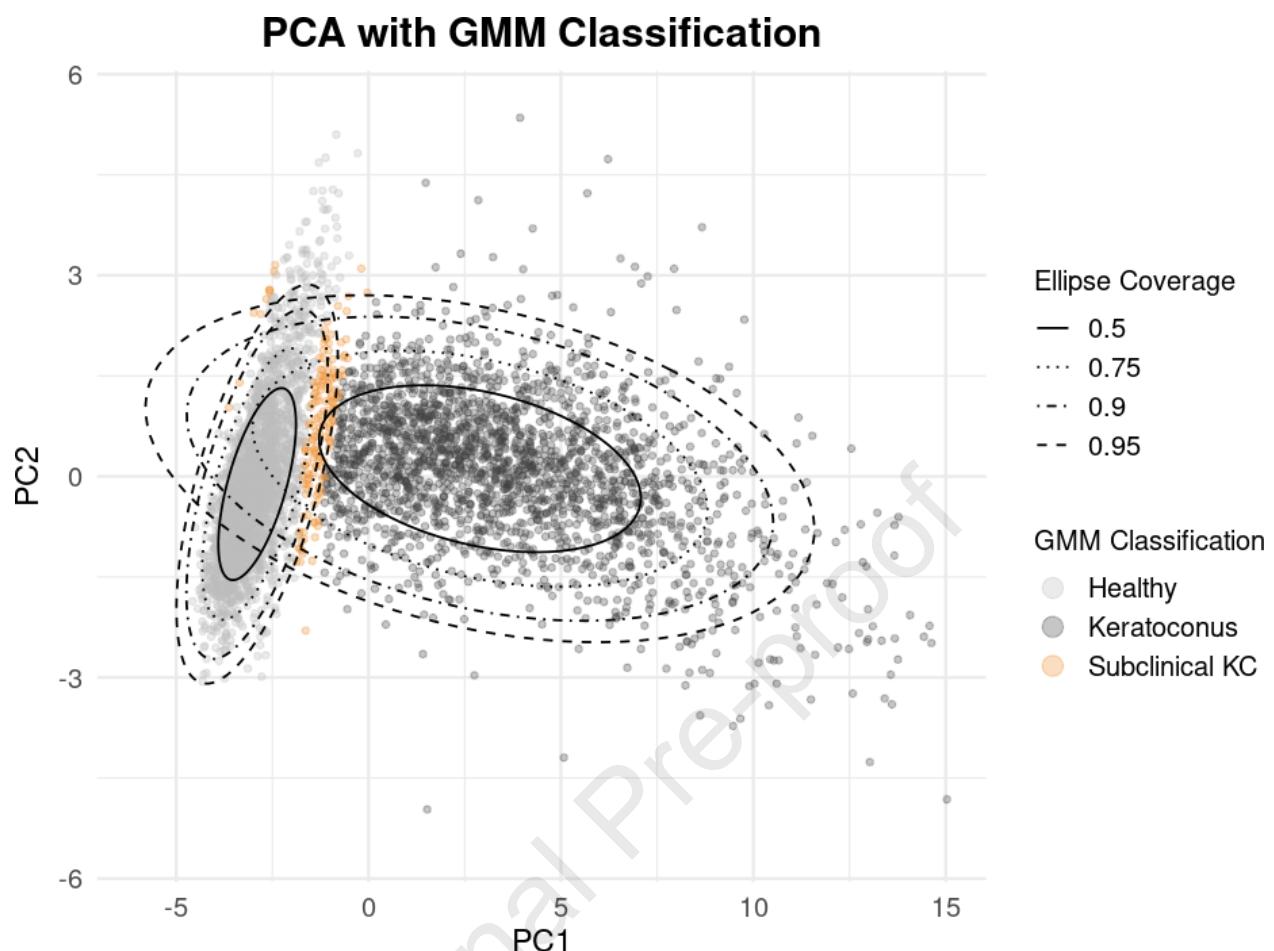
Metric	D	$\log_2 FC$ (95% CI)	p-value
Minimum stromal pachymetry (StrThkMin)	\downarrow	-0.45 (95% CI: -0.60, -0.30)	3.7×10^{-11}
Minimum pachymetry (ThkMin)	\downarrow	-0.40 (95% CI: -0.55, -0.25)	1.7×10^{-8}
Anterior delta Z (Δz_{max_F})	\uparrow	0.80 (95% CI: 0.60, 1.00)	7.9×10^{-7}
% thickness index of the full cornea (PTI)	\uparrow	0.35 (95% CI: 0.20, 0.50)	3.5×10^{-6}
Anterior root mean square (RMS_F)	\uparrow	0.65 (95% CI: 0.45, 0.85)	5.0×10^{-5}
Notable Points Radius (NotablePtsR)	\downarrow	-0.30 (95% CI: -0.45, -0.15)	2.0×10^{-4}
Posterior symmetry index (SI_B)	\uparrow	0.25 (95% CI: 0.10, 0.40)	1.5×10^{-3}
Posterior ectasia index (EI_B)	\uparrow	0.50 (95% CI: 0.30, 0.70)	2.4×10^{-3}
Posterior delta Z (Δz_{max_B})	\uparrow	0.75 (95% CI: 0.50, 1.00)	3.7×10^{-3}
Pattern deviation of TSI (PD-TSI)	\uparrow	0.30 (95% CI: 0.15, 0.45)	3.8×10^{-3}
Anterior centre-surround index (CSI_F)	\uparrow	0.20 (95% CI: 0.05, 0.35)	2.1×10^{-2}
% epithelial thickness index (PEpiTI)	\uparrow	0.40 (95% CI: 0.20, 0.60)	6.7×10^{-2}
Thickness symmetry index (TSI)	\downarrow	-0.00 (95% CI: -0.01, 0.01)	7.4×10^{-2}
Posterior centre-surround index (CSI_B)	\uparrow	0.01 (95% CI: 0.00, 0.02)	1.4×10^{-1}
Posterior root mean square (RMS_B)	\downarrow	-0.01 (95% CI: -0.05, 0.01)	2.2×10^{-1}
Minimum epithelial pachymetry (EpiThkMin)	\downarrow	-0.00 (95% CI: -0.01, 0.01)	5.2×10^{-1}
Anterior symmetry index (SI_F)	\downarrow	-0.07 (95% CI: -0.10, -0.04)	6.2×10^{-1}
Anterior maximum keratometry (Kmax_F)	\uparrow	0.00 (95% CI: -0.01, 0.01)	6.5×10^{-1}
Posterior maximum keratometry (Kmax_B)	\downarrow	-0.02 (95% CI: -0.02, -0.02)	6.9×10^{-1}
Anterior ectasia index (EI_F)	\downarrow	-0.02 (95% CI: -0.10, 0.02)	7.6×10^{-1}

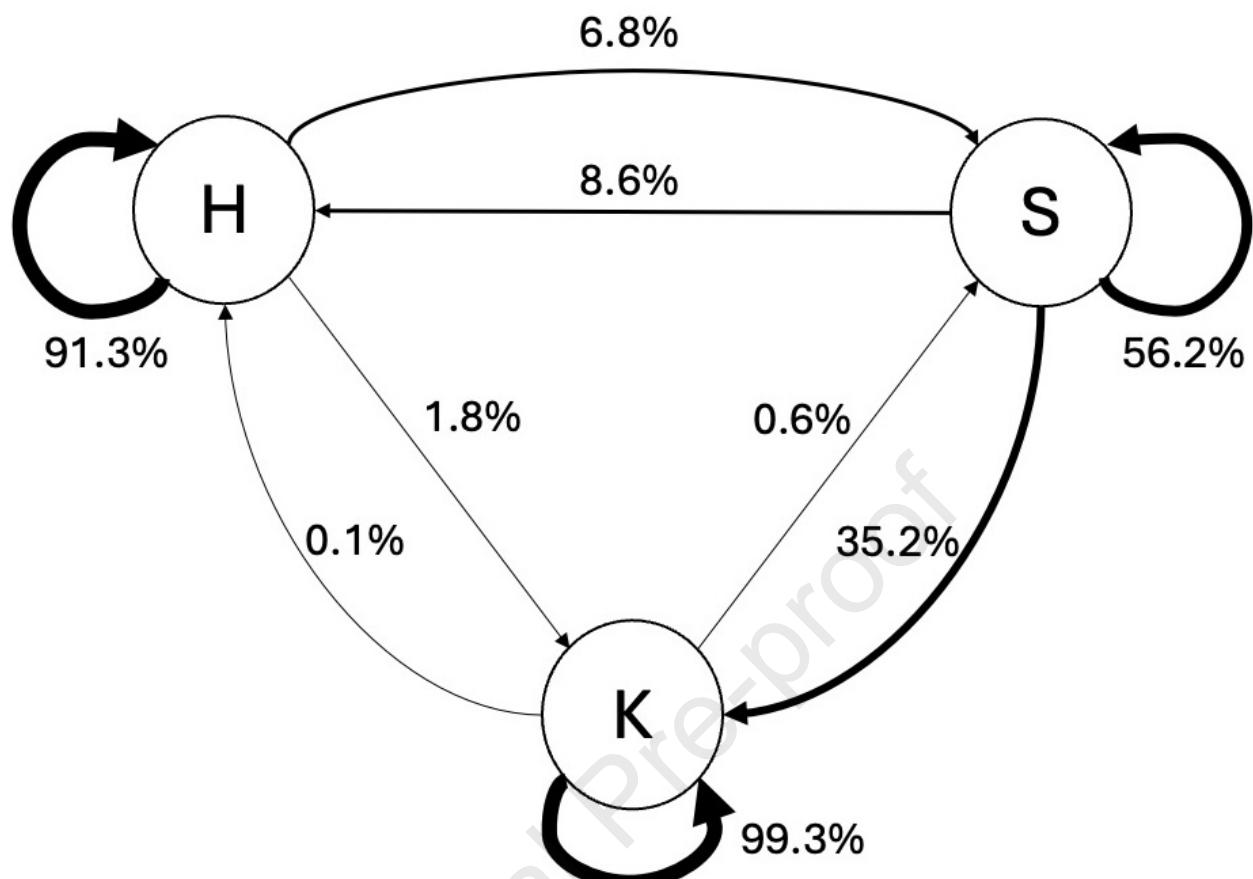


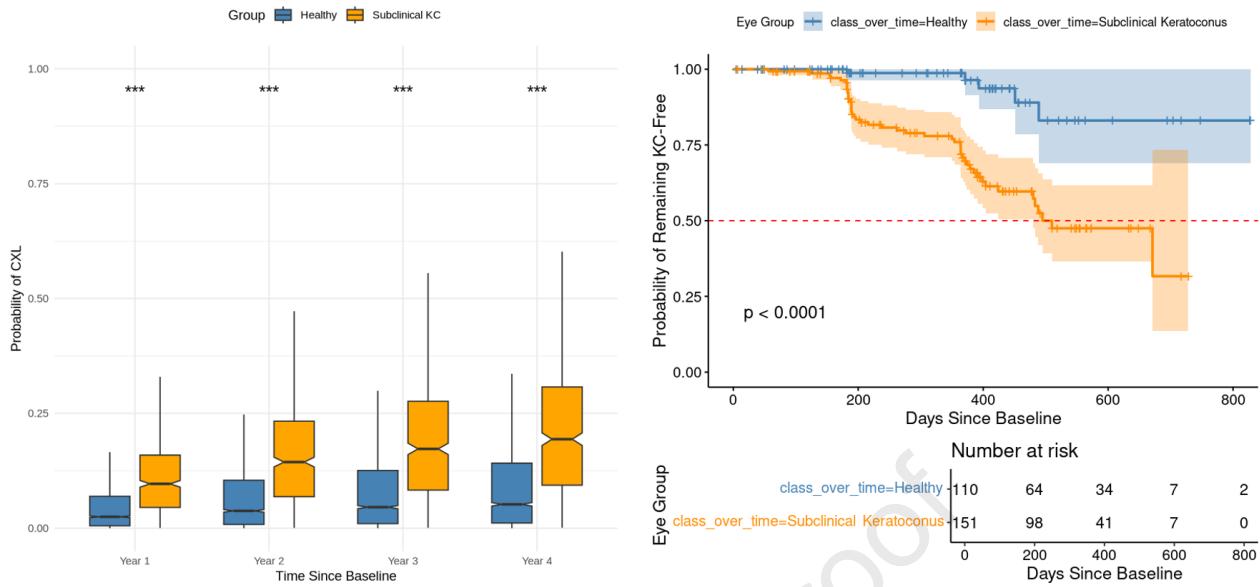
PC1 vs P(Keratoconus)

Original Parameters vs P(Keratoconus)











A data-driven statistical approach identified a distinct, high-risk early keratoconus group with subtle corneal changes, enabling detection before conventional thresholds and providing a framework for earlier clinical monitoring and intervention

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