

Steps to implementation: understanding barriers and enablers for implementing Arts on Prescription at Home for people impacted by dementia

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This is the accepted version of the following article: O'Connor CMC, Poulos RG, Preti C, Heldon M, Barclay L, Beattie E, Poulos CJ. Steps to implementation: Understanding barriers and enablers for implementing Arts on Prescription at Home for people impacted by dementia. Health Promot J Austr. 2024 Jan;35(1):110-121. doi: 10.1002/hpja.720. Epub 2023 Apr 9. PMID: 36964997, which has been published in final form at <https://onlinelibrary.wiley.com/doi/10.1002/hpja.720>. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions. This article may not be enhanced, enriched or otherwise transformed into a derivative work, without express permission from Wiley or by statutory rights under applicable legislation. Copyright notices must not be removed, obscured or modified. The article must be linked to Wiley's version of record on Wiley Online Library and any embedding, framing or otherwise making available the article or pages thereof by third parties from platforms, services and websites other than Wiley Online Library must be prohibited.

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Abstract

Issue addressed: Arts on Prescription at Home (AoP@Home) involves a professional artist visiting a person with dementia and their informal carer(s) in their own home to engage them in participatory art making. While there is evidence for the use of these programs, more work is needed to facilitate effective implementation. This study explored contextual barriers and enablers to implementation of AoP@Home within a real-world community aged care service.

Methods: Two remote focus groups were conducted at a community aged care provider in Sydney, Australia. Key stakeholders (n=14) were recruited, representing: people with dementia, informal (family) carers, AoP artists, service referrers, and community service managers. Focus group transcripts were analyzed using qualitative content analysis and mapped onto the Consolidated Framework for Implementation Research (CFIR). Outcomes were reviewed against the Expert Recommendations for Implementing Change (ERIC) strategy compilation to inform development of a tailored implementation strategy.

Results: Four overarching themes described the range of barriers and enablers to AoP@Home implementation: (1) “I don’t know enough about it” (awareness and engagement within the sector), (2) artists delivering programs, (3) awareness and engagement of people impacted by dementia, (4) practicalities of implementation. All five domains of the CFIR were represented across the four themes. The ERIC compilation provided a list of practical strategies for implementation of AoP@Home.

Conclusions: The implementation of psychosocial interventions for people living with dementia within a community aged care service is complex and multifactorial.

So what?: Organizations planning implementation should consider conducting their own pre-implementation analysis to identify context-specific strategies.

Keywords: Arts programs, dementia, informal carers, service development, implementation

Introduction

There are more than 57 million people living with dementia in the world, with this number expected to nearly triple by 2050 (GBD 2019 Dementia Forecasting Collaborators, 2022). In Australia, 70% of the nearly half a million people with dementia are living in the community, the majority of whom are being supported by informal carers (Australian Institute of Health and Welfare (AIHW), 2021; NATSEM, 2017). As dementia progresses, it becomes more challenging to leave the house, limiting access to previously accessible community services, eventually leading to isolation and reduced quality of life for both the person with dementia and their informal carers (Alzheimer's Australia, 2014; Giebel & Challis, 2015; Pertl et al., 2015).

“Arts on Prescription” (AoP) was first described in the United Kingdom (Rigby, 2004), and an Australian team subsequently further developed and delivered a model for AoP specifically for older people (HammondCare, 2017; Poulos et al., 2019). While group-based AoP programs have a positive impact on wellbeing (Poulos et al., 2019), it was identified that people with dementia and their family carers may struggle to attend (Poulos et al., 2021). To address this need, the same Australian team developed an Arts on Prescription at Home (AoP@Home) model, a psychosocial intervention that involves a professional artistⁱ visiting a person with dementia and their informal carer(s) in their own home to engage them in participatory art making. A recent pilot study (n=6) in Australia provided preliminary support for AoP@Home as a positive intervention option for people with dementia and their informal carer(s) living in the community (Poulos et al., 2021). Adding to this, a recent scoping review concluded there was strong evidence for the use of community-based participatory arts to support people living with dementia (Ward et al., 2021). Despite these positive outcomes, and the availability of aged care funding in Australia to support program delivery (O'Connor et al., 2022), more work is needed to determine how to effectively implement AoP@Home within a real-world community aged care service.

Challenges in uptake and implementation of new health services is an international issue (Proctor et al., 2009). Implementation science involves the use of theories and frameworks to better understand and inform this process (Bauer et al., 2015). As multiple contextual factors influence implementation, a vital preliminary step in the translation and implementation of research into practice requires a detailed understanding of the environment (or ‘context’) into which the intervention is to be implemented (Baumann et al., 2017). This process involves

conducting an implementation needs analysis to determine the barriers and enablers that may impact on implementation (Fernandez et al., 2019). The use of implementation frameworks such as the Consolidated Framework for Implementation Research (CFIR; Damschroder et al., 2009) has been recommended to guide implementation planning and provide a structured approach to devising implementation strategies (King et al., 2020). Indeed, the CFIR has been successfully applied to the qualitative analysis of focus group outcomes to inform the implementation of evidence-informed interventions into real-world practice (Atkins et al., 2020). The need for development of implementation plans to support broader access to socially prescribed interventions such as AoP@Home has been recognised (Giebel et al. 2021). However, internationally, knowledge on implementation of arts interventions in dementia remains in its infancy (Shoesmith et al. 2022), and in Australia, a significant knowledge gap remains around implementing community-based participatory arts programs such as AoP@Home. Appropriately understanding and planning for the implementation process is a vital step in ensuring broader access and sustainable roll-out of programs such as AoP@Home for people living with dementia (Bray et al. 2022; Clemson et al. 2018).

This study forms an important preliminary step in a broader implementation study aimed at implementing and evaluating AoP@Home for people with dementia within an existing community aged care service. The current study aims to explore contextual barriers and enablers to implementation of AoP@Home within a community aged care setting, informed by the CFIR, with potential to contribute knowledge on implementing psychosocial interventions within aged care more broadly (Bauer et al., 2015).

Methods

Participants and setting

Participants were stratified to capture the range of stakeholders involved in the delivery of AoP@Home programs to ensure a thorough understanding of the implementation context and identify any potential implementation issues. Purposive recruitment occurred via the established community-based services and referral pathways of a large not-for-profit aged care provider in Sydney, Australia. Participants were sought from the following stakeholder groups: consumers (people living with dementia and informal carers of someone with dementia), AoP trained artists, service referrers, and community service managers. Inclusion criteria for people living with dementia and informal carers were that people with dementia

would have capacity and willingness to participate in a focus group and if required, had an appropriate person responsible to participate in the focus group alongside them. Carers who attended the focus group in the capacity of person responsible would support the person with dementia's participation, but would also be invited to provide their own views towards the focus group content. Inclusion criteria for artists were that they must be trained in delivering arts programs to people living with dementia (Poulos et al., 2019). Inclusion criteria for community service managers were that they must be involved in coordinating the delivery of community aged care services (e.g. receiving referrals, designating programs, linking funding etc). Inclusion criteria for service referrers were that they must be involved in coordinating the referral of clients to aged care community services. Finally, all participants must be over 18 years of age. The sample spread over two focus groups was estimated to be sufficient to discover the majority of themes (Guest et al., 2017). Ethics approval was provided by the University of New south Wales Human Research Ethics Committee (HC210033) and all participants provided informed consent to participate.

Data collection

Due to social distancing restrictions associated with the COVID-19 pandemic, focus groups were conducted online via Microsoft Teams in June 2021. Focus group questions were informed by pilot study outcomes that provided a preliminary understanding of the implementation climate for AoP@Home (Poulos et al., 2021). Focus groups were facilitated by an occupational therapist experienced with working with individuals living with dementia (first author), and notes were taken by a separate researcher (third author). Focus groups lasted around 60 minutes, were audio-recorded and transcribed verbatim by an external transcription service.

Data analysis

Focus group facilitation involved clarifying any ambiguous responses to ensure an accurate recording of the data (Acocella, 2012). At the end of each focus group the two researchers (first and third authors) debriefed, discussing preliminary impressions and taking notes. This contributed to an audit trail along with the focus group questions, the carefully checked transcripts and audio files, and data analysis tables and notes (Carcary, 2009). Deductive qualitative content analysis was initially used to evaluate focus group outcomes within the

context of the focus group questions to explore barriers and enablers to AoP@Home implementation from the perspective of key stakeholders (Downe-Wamboldt, 1992; Vaismoradi et al., 2013). In line with previous research (Gale et al., 2019), this process involved sorting transcript data into a matrix organized by focus group question and participant group (i.e. people impacted by dementia, AoP artists, referrers, program managers). This allowed comparison across and within groups, and facilitated identification of common themes. Outcomes were then mapped onto the CFIR (Damschroder et al., 2009) to identify the most influential barriers and enablers to implementation of AoP@Home and to identify any implementation-specific gaps that would require addressing prior to program rollout to maximize the potential for successful and sustainable uptake of AoP@Home within the existing community aged care environment (Goldsmith, 2021). A process of quotation mapping was used whereby direct quotations were mapped across each of the five domains and 39 sub-domains of the CFIR. The next step in the analysis involved data abstraction to identify the final themes that emerged from the data and record the corresponding CFIR domains and sub-domains to reflect the key perceptions around AoP@Home implementation (Downe-Wamboldt, 1992; Hsieh & Shannon, 2005). To maximize the practical impact of this study, a final step in the analysis involved reviewing outcomes against the Expert Recommendations for Implementing Change (ERIC) strategy compilation (Powell et al., 2015). There is no set formula in addressing identified contextual barriers (Waltz et al., 2019), but the ERIC compilation provides a range of potential strategies to inform development of a tailored implementation strategy. Themes are illustrated with direct de-identified quotes annotated according to participant role and number (person with dementia – D, carer – C, artist – A, service referrer – R, community service manager – Mx).

Insert table 1 about here

Results

Fourteen stakeholders participated across two focus groups: person with dementia (n=1), informal (family) carer (n=1), AoP artists (n=4), service referrers (n=5), community service managers (n=3) (table 1). While two separate consumer dyads had originally been recruited (one for each focus group), one of these dyads chose not to participate in the final moments before the focus group began. Of the referrers, two were independent assessors who are key to linking people with Commonwealth care support funding and referring to appropriate

services (Warburton et al., 2015). The remaining three were care managers who work within an aged care organization and support clients in using their Commonwealth care support funding by making referrals to appropriate services.

Deductive analyses revealed four overarching themes that described the range of barriers and enablers to AoP@Home implementation: (1) “I don’t know enough about it” (awareness and engagement within the sector), (2) artists delivering programs, (3) awareness and engagement of people impacted by dementia, (4) practicalities of implementation. Table 2 illustrates how the identified themes mapped across each of the five CFIR domains (Damschroder et al., 2009), with corresponding ERIC implementation strategies (Powell et al., 2015) listed.

Insert table 2 about here

“I don’t know enough about it” (awareness and engagement within the sector):

The biggest barrier identified within this theme was the lack of knowledge of AoP amongst key players within the community aged care sector, such as service referrers, GPs, and geriatricians.

“I was not aware this program existed. So I think one difficulty is not being aware of the program, from the wider community to the assessors, but to the wider community.” (R-3)

Amongst the focus group participants who were referrers and did have some knowledge of AoP, barriers were raised around high turnover of assessors within teams, so if education had occurred previously, the current team were unaware of AoP.

“we’ve had a huge turnover of staff ... we’ve introduced the program then. So that’s quite a long time ago. Um, and nothing since then. So most of the care managers, current care managers don’t know about Arts on Prescription And we have no information with us about it” (R-5)

These identified barriers fall clearly within the CFIR domain IV-A: Knowledge and Beliefs about the Intervention. Participants also came up with potential enabling counteracting strategies. The most frequently discussed strategy was around marketing of AoP to a broad

range of stakeholders who might be involved in referring clients or supporting people living with dementia, such as service referrers, GPs, and geriatricians.

“that all goes back to advertising a bit more, promoting it a bit more I think it has to come from a big range: [home care service provider], [assessment services], geriatricians. Yeah, the whole of – a big, you know a really big roll out of marketing” (Mx-3)

Participants felt that the marketing needed to include clear education on what AoP for people with dementia is, how the programs can be flexibility implemented to meet the capacity and needs of each individual client (CFIR I-D: Adaptability), and that AoP does not require prior experience with the arts.

“Arts on Prescription is not necessarily for people who have experience in the arts, it can be for anyone so that ‘I won’t be good enough. I’m not good at art or music’ or whatever it is, so... so self-confidence and lack of experience can be a barrier for people and just make them hesitant about joining a program.” (A-1)

A key strategy was around having champions to share understanding of AoP for people with dementia (CFIR V-B: Engaging). Having champions within the assessor and referral teams was identified as vital to influence attitudes of their colleagues about referring their own clients to AoP@Home, and to promote the program as an option to their clients when discussing their care support packages.

“The word has to get out, I guess. That it’s out there, that it’s available. I mean since it’s worked so well with my clients, I keep raving on about it at work, so I think all my care managers know about it now.” (R-5)

Artists delivering programs

A number of barriers were raised around the process of artists delivering programs. Artists discussed the challenges around working with people living with dementia (CFIR II-A: Patient Needs & Resources), such as communicating with clients, dealing with short term memory loss, and managing behavior changes. In line with CFIR domain IV-B Self-Efficacy, one artist described that *“at the beginning when I first started, I did feel a little bit out of my depth. Not being really experienced with people with dementia” (A-4)*, while another

discussed feeling guilt or disappointment if unable to help their client engage during a session: *“When a client's family are paying for a session, and it has been organized, I often feel pressure as an artist to meet their expectations and make sure the client has experienced meaningful engagement.”* (A-1).

Having artists who were professionally trained as artists was identified as an enabling factor to supporting the validity of AoP@Home programs (CFIR I-B Evidence Strength & Quality; I-G: Design Quality & Packaging): *“I just explain that we have a program here ... And that we have artists, not just volunteers, but actual artists that will ... come into the home and bring all the resources ...”* (R-4). The enabling concept of training extended to the additional AoP and dementia training that the artists delivering AoP@Home also receive: *“I have been able to overcome these challenges by being armed with knowledge and understanding of dementia from my training as well as support from other staff and [organizational] safety measures [such as around behavior management].”* (A-1). Other enabling features to support artists delivering AoP@Home programs were around important characteristics for artists to have, such as compassion, patience, creativity and passion, as well as specific skills for working with clients with dementia, such as being able to think on the spot to know when to change session plans or have a break, and strategies for promoting engagement with the program activities.

“Things like getting up for a short walk during a session, having breaks, changing the activity can all help support the client to have more meaningful engagement.”
(A-1)

Awareness and engagement of people impacted by dementia

Barriers around the person with dementia participating in AoP@Home were raised by service referrers, artists, and the family carer, such as potential unwillingness to try something new or to have an unfamiliar person in their home, or self-confidence around capacity to participate. In contrast, the person with dementia who participated in the focus groups was very positive about the idea of engaging in an AoP@Home program: *“I’m interested in whatever is offered to me, and I’ve learned to cope with it... . Because I’m always willing to learn something new.”* (D-1), and this sentiment was supported by a manager who described *“I have a client at the moment, it wasn't her family that wanted to ... it was her herself that expressed an interest.”* (R-5) While carers were identified as often being the ones to facilitate engagement

in an AoP program, participants also described that informal carers can themselves be a barrier to engagement in an AoP@Home program:

“I find often the relatives of people ... they have an expectation and almost an embarrassment sometimes of what their husband or wife is producing art wise. And I find it’s almost educating them that art can be so many different things” (A-4)

“I have a client who she, was an artist in her day she doesn't do it anymore. She has dementia but it’s the family that are baulking at [it]. I think the lady herself would really benefit greatly and become immersed in it, but her family balk.” (R-5)

Other important barriers were around client concerns about program costs (CFIR I-H: Cost) and challenges around delivering services to clients from culturally and linguistically diverse (CALD) backgrounds: *“from an assessing point of view, the barriers we face with all services is a lot of CALD backgrounds. So you’ve got the language and then the financial concern. They would be my two biggest.” (R-2)*

In line with addressing the CFIR domain II-A: Patient Needs & Resources, a range of enablers were discussed to support engagement of people with dementia in AoP@Home programs. Suggestions involved providing information on AoP to clients at the initial contact with service referrers by changing the protocol to ensure a teams-based approach, and providing clear information such as via a brochure that clients could keep and refer back to. Ensuring flexibility in program delivery and understanding clients and their needs was also identified as important.

“having some kind of background knowledge about the person that they were working with would definitely help. Knowing like their interests, their likes and dislikes um, yeah. And their ability so they can better provide like appropriate things for them that they can like manage” (C-1)

Participants identified that having a formally appointed implementation leader (CFIR V-B), such as the arts engagement manager, who is available as a central contact person for referrers, clients or potential clients to liaise with was important. To address uncertainty that people living with dementia may feel about trying or committing to an unfamiliar program, participants discussed the idea of program trials (e.g. up to three sessionsⁱⁱ without requiring commitment to the full program) or that *“a community ‘Come and Try Day’ would be helpful” (A-3).*

Practicalities of implementation

Limited funding within client packages was identified as an important barrier to engaging in an AoP@Home program when clients had other care needs (CFIR I-H: Cost).

“cost can be prohibitive when their clients are ... trying to weigh-up how they're going to get their day-to-day care done as well as them maybe seeing the art as something additional or a top of, rather than being quite a core part of what they can utilize their packages for. And maybe just that view of like leisure and self-care as not as important as some of those other domestic tasks or getting out into the community.” (Mx-2)

“I guess the sad thing there is ... that you know if they could still physically do it, but there's just not the funding anymore to be able to support it ... sometimes the lady might need more help in the morning, so needs help with the shower every day. So there's not enough money... if the clinical needs of a client you know they come first I guess ... sadly, it would be the Arts on Prescription that would drop away first.” (R-5)

Illustrating the CFIR domain III-E: Readiness for Implementation and CFIR I-C: Complexity, availability of programs and the steps required to implement the program were raised as major barriers to clients accessing AoP@Home.

“the issue that that we possibly have at the moment is that the Arts on Prescription program is only offered ... in specific regions. So the footprint is not national it's not even state-wide... . We have to increase, increase our resources, our artists and that sort of thing to be able to operate it at that ... overall level ... to have that available to people in the areas where they live.” (Mx-1)

“so artists that were trained in working with older people so they would need to have a team of artists, they would need to have training and they would need to offer it, it would need to be a service offering as well that was in collaboration with their own you know home care or CHSP you know teams as well.” (Mx-1)

In line with this, challenges around staffing and availability of artists (CFIR I-C: Complexity) were identified by artist, service referrer and community service manager participants, highlighting this as a key barrier to implementation.

“an issue for artists, is timing, you know, like we ... try to do something like make ourselves available for a certain time, but if it doesn't happen, then we don't get paid, obviously, and it's difficult to just keep that availability over and over again week in, week out.” (A-2)

“staff recruitment in our team, including the artist you know it's hard to have the right artists, all the right people in the right places at the right time. So you know lots of our artists are casual and of course, if you haven't got things to offer them to do, clients, then that's really hard. They also need to make a living so I think that's a real juggle, is working out how to manage... the staffing of it.” (Mx-3)

In addition to being identified as a barrier to accessing AoP@Home programs, funding was also viewed as a potential enabler.

“if we can get more grants and government grants and probably you know getting people within the government to see the benefits of it so it can be valued and delivered.” (R-5)

“the [Commonwealth funding support] under that, makes an enormous difference to the subsidized cost of the program” (Mx-1)

In the same vein, while referrers not having enough information was raised as a barrier, participants also saw referral pathways as an opportunity to enable greater access to AoP@Home programs (CFIR V-B: Engaging).

“if we could have some more referrals coming through like we do currently for say physio and OT, if they could come through like um, other restorative care referrals, ... that would be really good, because we know we would have a stream of people coming or. Yeah, but that all goes back to advertising a bit more, promoting it a bit more” (Mx-3)

Participants discussed the importance of collaborative relationships between artists and referrers and the need for maintaining clear communication pathways to support sustainable

implementation (CFIR III-B: Networks & Communications, and CFIR V-D: Reflecting & Evaluating).

“keeping in touch with say the care manager... we can also then provide feedback to you [the artist] about what we're finding with our clients ... you see them for that time, but the effect on them goes on for the rest of the week” (R-5)

“that builds that rapport between case managers and artists ... that’s [feedback re. the program impact on the client] such vital thing for the artist provider because you work in isolation ...” (A-3)

Finally, the format of AoP@Home being run in a client’s home can come with challenges:

“That’s community care for you, because we’re in their home as a guest, and nothing is, you know often not ideal ... But those are some of the challenges of going into someone’s home.”

(R-5) However, participants also identified that the nature of having AoP@Home in the client’s home was also enabling for people living with dementia and during the COVID-19 pandemic it allowed for continued service provision where group sessions were stopped.

“The only trouble with group[s] is then you have to get clients there [to the centre where the group will be held] that can be problematic if ... you know, need a care worker to actually get them there and then get home again... . Then of course, the other problem with COVID ... it completely shuts down altogether during COVID whereas if it’s one-on-one, it theoretically could still go ahead. ... we have had group Arts on Prescription ... and the difficulty is getting them in. It adds to the cost to the package in that we’ve got to provide a care worker and then you’ve got to have another care worker bringing them back and all that. Logistically it’s a bit more difficulty.” (R-5)

Implementation considerations

Focus group findings, including barriers and enablers to the uptake of AoP@Home, were considered alongside the range of ERIC strategies (Powell et al., 2015), leading to the development of a list of practical AoP@Home implementation considerations for the specific aged care service provider involved in the study. An outline of the implementation considerations is shown in Table 3.

Insert table 3 about here

Discussion

Implementing a new service within existing practice can be difficult, requiring detailed planning and engagement with multiple stakeholders. This study reports outcomes from an important step in this process for a community aged care service in Sydney, Australia, to understand the barriers and enablers to implementing the AoP@Home service, in order to maximize the likelihood of effective implementation. Use of the CFIR to inform the qualitative analysis has been well-reported (Nevedal et al., 2021; Paulsen et al., 2019); through this process, we found that all five domains of the CFIR were represented in the barriers and enablers identified across the four themes. Applying the ERIC compilation (Powell et al., 2015) to the findings provided a list of practical strategies to directly inform future development of implementation plans for AoP@Home. While 14 participants are not representative of all people who may benefit from or be involved in an AoP@Home program, multi-stakeholder groups involving a similar number of dementia consumers and health professionals have been used previously to identify priorities in dementia care research (Patel et al. 2021).

Our study identified lack of knowledge of AoP@Home as a key barrier for both service referrers and potential clients accessing programs. If the ‘gatekeepers’ (i.e. referrers) don’t know about a program, then they won’t offer it to their clients as an option, and if those same clients also don’t know about what programs are available to them, then they will not generate demand. The importance of flexible case management with adequate knowledge of a range of health and psychosocial intervention options for people living with dementia has been highlighted (Turró-Garriga et al., 2021). Additionally, limited access to information about what services might be available has previously been raised by carers as a major barrier to accessing services (Macleod et al., 2017), and our finding that staff turnover results in less knowledge about available programs was supported in a recent systematic review (Groot et al., 2021). To counteract these barriers, participants identified the need for tailored (and repeated) education for service referrers and other key players (e.g. GPs), as well as for people living with dementia and their informal carers. Indeed, the use of tailored information has been shown to support implementation in an aged care context (Bourbonnais et al., 2020),

and strategies such as educational meetings and distributing educational materials are highlighted in the ERIC compilation (Powell et al., 2015).

Another key barrier that may challenge effective implementation of AoP@Home was identified as insufficient funding to support access to programs. Barriers around limited access to allied health and psychosocial interventions are well-reported in Australia (Low et al., 2021; O'Connor et al., 2020), and the importance of improved access and funding support for interventions that promote wellbeing in dementia are recognized internationally (House of Commons Health and Social Care Committee, 2021; Royal Commission into Aged Care Quality and Safety, 2021). Despite this, our findings align with previous research, indicating that physical health care needs are still prioritized over wellbeing interventions such as AoP@Home (Hansen et al., 2017; Low et al., 2021; Martin et al., 2020). There is a need for greater awareness of the power and importance of psychosocial interventions to support a person living with dementia's wellbeing and quality of life, in addition to meeting their daily care needs (Oyebode & Praveen, 2019). Moreover, a key feature of AoP@Home is the ability for programs to be dyadic, where both the person with dementia and their carer are active participants; the AoP@Home pilot indicated important benefits to carers who participated (Poulos et al., 2021). While not representative of all people living with dementia and informal carers, active involvement from two consumers in this research was vital to include as they represented a stakeholder group that has an invested interest in this topic, and who could directly benefit from the implementation of this intervention (Burton et al. 2019). Additionally, dementia consumers continue to face barriers in being actively included in research (Ries et al. 2020).

Participants identified specific barriers relating to people with dementia, including lower self-confidence, hesitance, and perhaps being suspicious of new people (i.e. artists) which may limit their willingness to participate in AoP@Home. Indeed, the potential for people with dementia to feel suspicious or unsure around strangers is recognized (Mace & Rabins, 2006; Macleod et al., 2017), as is the fact that people with dementia may experience reduced self-esteem (Burgener & Berger, 2008). Arts facilitators in a recent study involving a therapeutic visual arts intervention with people living with dementia raised similar concerns regarding apprehension from people with dementia around engaging with the program; however, they found that fostering a non-judgmental and failure-free space was key to overcoming this barrier (Shoesmith et al., 2021). Engaging in arts programs has potential to specifically

improve self-esteem in people living with dementia (Kinney & Rentz, 2005; Richards et al., 2018), which in-turn improves self-rated capacity to live well with dementia (Lamont et al., 2020), therefore, it is vital that people with dementia are supported to participate in such psychosocial programs. Participants in our study offered a range of strategies to support engagement of people with dementia in AoP@Home, for example, ensuring clients have access to information about the program, facilitating flexibility in service delivery, and knowing the personal background of clients with dementia including their needs and preferences, which has been recognized as an important enabler to successful implementation of similar interventions (Boersma et al., 2017).

Understanding the person with dementia and having specific attributes (i.e. compassion, patience) were important strategies identified to support artists in delivering AoP@Home programs by the participants in this study. In line with previous research, artists discussed a range of perceived challenges around working with people living with dementia, such as effectively communicating with clients, and working with changed behaviors and cognitive changes (Quick et al., 2022). Training can have a direct impact on skills, staff confidence, and in the quality of care that is provided to people with dementia (Boersma et al., 2017; Hughes et al., 2008; Morris et al., 2017). The artists in our study highlighted that training in working with people living with dementia and in delivering AoP@Home was identified as an important enabler to implementation and aligns with dementia care priorities (Martin et al., 2020). Importantly, as part of the broader implementation project, the Arts Engagement Teamⁱⁱⁱ from the local aged care service is developing an AoP in dementia training package for artists to expand the service. This training is being designed to be accessible (i.e. online) and low cost to ensure maximum implementation impact through artists being trained in delivery of AoP@Home nation-wide (Boersma et al., 2017).

In parallel with specialized training for the artist workforce, appointment of an arts engagement champion within the Arts Engagement Team was discussed as an important support to successful implementation within this setting. Champions that are effective communicators and enthusiastic have been recognized as being important to support implementation (Groot et al., 2021). Our analysis also highlighted the importance of engaging champions external to the Arts Engagement Team who could influence their colleagues to support implementation through referrals (Latham & Brooker, 2017). Other practicalities around implementation identified in our study include challenges around coordinating a casual workforce of artists. As the service was being established, using a

casual workforce was important to the financial viability of AoP@Home, as it was a new service and casual employment allowed greater flexibility (Becker et al., 2010). Despite this, focus group participants in our study highlighted barriers associated with casual work, both for artists and coordination of the service more broadly. For artists, if a planned session was cancelled, then the artist did not receive payment; such unpredictability and lack of security has been identified as a negative aspect of casual work (Pocock et al., 2004). An Australian study looking at retention of aged care workers found that staff on casual contracts were 37% more likely than those on a permanent contract to leave the role (Austen et al., 2013). Finally, in line with recent research (Groot et al., 2021), program managers discussed that availability of resources (e.g. appropriately skilled artists within a client's region) may directly impact on implementation of the program. The practical implementation considerations outlined in table 3 were developed specifically for the local aged care service involved in this study. However, as an implementation protocol has been suggested as an enabling strategy (Groot et al., 2021), the ongoing implementation evaluation will ultimately result in development of an implementation guide designed to provide broadly applicable strategies to support the design and delivery of AoP@Home programs in other organizations.

Study limitations

Focus group approaches have potential limitations, for example, information that emerges is likely to reflect shared experiences and may limit elucidation of unique experiences (Acocella, 2012). To address this, the facilitator highlighted the importance of each participant's unique expertise and encouraged contribution from each participant to the discussion. While the sample size was small, it has been recognized that two focus groups should be sufficient to discover 80-90% of themes (Guest et al., 2017). Additionally, using the CFIR to inform the analysis provided a comprehensive framework to facilitate comparison of results across studies (Nevedal et al., 2021). While there was only one person living with dementia and one informal carer in the focus groups, their presence was an important feature to ensure representation and oversight of study outcomes from people with lived experience of dementia (Goeman et al., 2019). In addition, the other focus group participants had combined broad experience from working with people living with dementia. Future work that aims for a broader understanding around the implementation of AoP@Home for people with dementia should include a larger cohort of dementia consumers. Finally, qualitative research involves a level of subjectivity that could result in bias (Putnam & Conant, 1990), however, deductively applying the CFIR to the analysis provided a

transparent framework to facilitate comparison between the different stakeholder groups, and the structured approach to analysis contributes to a clear audit trail (Goldsmith, 2021).

Conclusion

This study showed that the implementation of a psychosocial intervention such as AoP@Home for people living with dementia within a community aged care service is complex and multifactorial. Outcomes from this work provide an important foundation from which to develop a tailored plan for effective implementation of AoP@Home; this broadly includes: tailored marketing, appointment of champions, and education and training that should be considered across the spectrum of stakeholders to maximize implementation. While the barriers and enablers presented here are specific to this community aged care setting and may not encompass all barriers and enablers for AoP@Home implementation, this foundational piece of work illustrates the range of stakeholders and factors that should be considered when implementing a new service within the aged care sector. Any organization intending to implement a new psychosocial program within their own service should consider conducting their own pre-implementation analysis and applying a framework such as the CFIR to provide a structured approach to interpretation and for planning strategies to address identified barriers.

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Tables

Table 1: Overview of focus group participants

| Participant | Focus group 1 (n=8) | Focus group 2 (n=6) | All (n=14) |
|-----------------------------------|--------------------------------|--------------------------------|-----------------------|
| Person with dementia | 1 | 0 | 1 |
| Family carer | 1 | 0 | 1 |
| AoP Artists | 2 | 2 | 4 |
| Service referrers | | | 5 |
| ○Assessors | 2 | 0 | |
| ○Care managers | 1 | 2 | |
| Community service managers | 1 | 2 | 3 |

Table 2: Identified themes divided across barriers and enablers to AoP@Home implementation, cross-referenced with associated CFIR domains and ERIC implementation strategies specific to each theme

| | “I DON’T KNOW ENOUGH ABOUT IT” (AWARENESS AND ENGAGEMENT WITHIN THE SECTOR) | ARTISTS DELIVERING PROGRAMS | AWARENESS AND ENGAGEMENT OF PEOPLE IMPACTED BY DEMENTIA | PRACTICALITIES OF IMPLEMENTATION |
|-----------------|--|--|--|---|
| BARRIERS | <ul style="list-style-type: none"> • Referrers, GPs, geriatricians, people with dementia and family carers all need to know more • Turnover of assessors so no knowledge of AoP • No information to pass onto clients | <ul style="list-style-type: none"> • Feeling pressure to meet expectations around program • Communicating with clients • Working with symptoms of dementia e.g. short-term memory loss, changed behaviors • Feeling a little bit out of my depth | <ul style="list-style-type: none"> • Family are barriers and enablers • People with dementia: hesitance, suspicions of new people, capacity, loss of confidence etc • Culturally and linguistically diverse backgrounds • Financial concerns | <ul style="list-style-type: none"> • Staffing: skilled artists, causal basis • Referrals • Funding • Cost balance between care needs and AoP • MyAgedCare information limited • Limited access to AoP |

| | | | | |
|---------------------|---|--|---|--|
| ENABLERS | <ul style="list-style-type: none"> • Marketing <ul style="list-style-type: none"> ◦ to different stakeholders (e.g. GPs, geriatricians, RAS, ACAT) ◦ About flexibility of programs for dementia • Champions sharing understanding of AoP@Home • Liaising with assessors | <ul style="list-style-type: none"> • Training: dementia • Flexibility: session content, session scheduling • Artist characteristics: compassion, patience, creativity, passion • Artist skills: thinking on the spot, change plans, promote engagement | <ul style="list-style-type: none"> • Providing information to clients e.g. brochures, education on AoP, change protocols to introduce idea of AoP early to clients, • Trial periods; ‘come and try’ days • Program flexibility • Understanding clients: familiar support worker present at initial AoP sessions • Central contact person who can discuss programs with clients | <ul style="list-style-type: none"> • Training of artists • Group AoP vs AoP@Home: AoP@Home doesn’t require carers to get the person to a program and can continue during COVID • Program flexibility • Artists and referrers keeping in touch • Adjusting environment as required • Add to funding schedules • Integrate referrals into existing pathways e.g. restorative care |
| CFIR DOMAINS | <ul style="list-style-type: none"> • I. Intervention: relative advantage; adaptability; | <ul style="list-style-type: none"> • I. Intervention: evidence strength and quality | <ul style="list-style-type: none"> • I. Intervention: relative advantage; adaptability; design quality and packaging; cost | <ul style="list-style-type: none"> • I. Intervention: complexity; cost • II. Outer setting: patient needs and resources; |

| | | | | |
|--|---|---|--|--|
| | trialability; design quality and packaging • II. Outer setting: patient needs and resources • III. Inner setting: networks and communications; readiness for implementation (leadership engagement; access to knowledge and information) • IV. Characteristics of individuals: knowledge and beliefs about the intervention • V. Process: planning; engaging (champions, | • IV. Characteristics of individuals: self- efficacy; individual stage of change; individual identification with organization; other personal attributes • V. Process: planning; executing; reflecting and evaluating | • II. Outer setting: patient needs and resources • III. Inner setting: readiness for implementation (access to knowledge and information) • IV. Characteristics of individuals: knowledge and beliefs about the intervention • V. Process: engaging (formally appointed internal implementation leaders) | external policy and incentives • III. Inner setting: networks and communications; implementation climate (compatibility; relative priority), readiness for implementation (available resources; access to knowledge and information) • IV. Characteristics of individuals: other personal attributes • V. Process: engaging (external change agents); reflecting and evaluating |
|--|---|---|--|--|

| | external change agents) | | | |
|---------------------------------------|---|--|--|---|
| ERIC IMPLEMENTATION STRATEGIES | <ul style="list-style-type: none"> • Conduct educational meetings • Conduct educational outreach visits • Create new clinical teams • Distribute educational materials • Identify and prepare champions • Promote adaptability • Remind clinicians • Use mass media | <ul style="list-style-type: none"> • Conduct ongoing training • Develop educational materials • Make training dynamic • Distribute educational materials | <ul style="list-style-type: none"> • Conduct educational meetings • Distribute educational materials • Increase demand so clients ask for the service from providers • Prepare consumers to be active participants • Use mass media | <ul style="list-style-type: none"> • Create a learning collaborative • Create new clinical teams • Develop educational materials • Make training dynamic • Fund and contract for the clinical innovation • Identify and prepare champions • Place AoP@Home on fee for service list • Tailor strategies to address identified barriers |

ACAT – Aged Care Assessment Team; CFIR – Consolidated Framework for Implementing Research (Damschroder et al. 2009); ERIC – Expert Recommendations for Implementing Change (Powell et al. 2015); GP – General Practitioner; RAS – Regional Assessment Service

Table 3. Practical implementation considerations for the local aged care service involved in the study

- Develop implementation plan within community aged care context
 - Referral pathways
 - Funding mechanisms
 - Staffing and training
- Develop AoP@Home program flyers for prospective clients
- Update referral portal (e.g. MyAgedCare in Australia)
- Plan AoP website
 - Videos
 - Case studies
 - Resources
- Maintain role of arts engagement manager (aka ‘champion’) within Arts Engagement Team to ensure central contact person for referrers, clients and potential clients
- Liaise with service referrers via
 - In-services
 - Information resources; how to ‘sell’ AoP@Home program
 - Establish ‘champions’ within referral teams
- Liaise with community – build awareness
 - Education sessions
 - ‘Come and try’ days

ⁱ Artists who deliver AoP@Home are not accredited art therapists, they are professional artists, When recruited as staff who will deliver AoP@Home programs, these professional artists undergo a series of training sessions, in delivering AoP, working with people with dementia, and delivering AoP@Home.

ⁱⁱ AoP@Home sessions typically run for 1.5hrs, which factors in 30 mins set-up and pack-down time. The arts session is a time to build relationships (with the artist, and family), practice and experiment with various arts techniques, and engage in active art-making.

ⁱⁱⁱ The “Arts Engagement Team” constitutes the artists and managers employed by the service provider and is the Team that receives and activates referrals and oversees arts program delivery.