

1 Impaired reward sensitivity in Parkinson's depression is 2 unresponsive to dopamine treatment

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5 Abstract

6 Willingness to exert effort for a given goal is dependent on the magnitude of the potential rewards
7 and effort costs of an action. Such effort-based decision making is an essential component of
8 motivation, in which the dopaminergic system plays a key role. Depression in Parkinson's disease
9 (PD) is common, disabling and has poor outcomes. Motivational symptoms such as apathy and
10 anhedonia, are prominent in PD depression and related to dopaminergic loss. We hypothesised
11 that dopamine-dependent disruption in effort-based decision-making contributes to depression in
12 PD.

13 In the present study, an effort-based decision-making task was administered to 62 patients with
14 PD, with and without depression, ON and OFF their dopaminergic medication across two sessions,
15 as well as to 34 patients with depression and 29 matched controls on a single occasion. During the
16 task, on each trial, participants decided whether to accept or reject offers of different levels of
17 monetary reward in return for exerting varying levels of physical effort via grip force, measured
18 using individually calibrated dynamometers. The primary outcome variable was choice
19 (accept/decline offer), analysed using both logistic mixed-effects modelling and a computational
20 model which dissected the individual contributions of reward and effort on depression and
21 dopamine state in PD.

22 We found PD depression was characterised by lower acceptance of offers, driven by markedly
23 lower incentivisation by reward (reward sensitivity), compared to all other groups. Within-subjects
24 analysis of the effect of dopamine medication revealed that, although dopamine treatment
25 improves reward sensitivity in non-depressed PD patients, this therapeutic effect is not present in
26 PD patients with depression.

1 These findings suggest that disrupted effort-based decision-making, unresponsive to dopamine,
2 contributes to PD depression. This highlights reward sensitivity as a key mechanism and treatment
3 target for PD depression that potentially requires non-dopaminergic therapies.

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14
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17 18 **Introduction**

19
20 Depression in Parkinson's disease (PD) is associated with greater disability¹, increased
21 mortality² and a greater negative impact on health related quality of life than motor symptoms³.

22 Often occurring as an early symptom prodrome, at least one-third of people living with PD develop
23 depression; consequently, effective treatment of depression in PD has the potential to achieve
24 significant health and economic benefits.⁴ However, depression in PD goes undiagnosed in up to
25 half of patients, and current treatments for depressed mood are poorly effective⁵⁻⁸. Despite
26 significant progress in our understanding of the aetiology of motor symptoms in PD, we know

1 very little about the underlying mechanisms of depression in PD, posing a major barrier to
2 developing effective treatments.

3
4 Depression is a multifactorial, heterogeneous, and aetiologically complex syndrome.^{9,10} There are
5 at least 256 possible unique symptom profiles that meet DSM-V criteria for a diagnosis of major
6 depressive disorder.¹¹ Though the reliability of different depression subtypes has not been
7 established in the general population,¹² the aetiology of PD and the associated depressive symptom
8 profile suggests that depression in PD may be driven by more common and homogenous
9 underlying mechanisms.¹³

10
11 Motivational symptoms such as apathy and anhedonia are particularly prominent in PD, affecting
12 40% and 46% of patients, respectively¹⁴. Human and animal studies have shown that mesolimbic
13 dopaminergic transmission is crucial for motivated behaviour and reward processing.¹⁵ Loss of
14 pre-synaptic dopaminergic projections to the striatum and reduced functional connectivity in this
15 region are associated with increased apathy and anhedonia in PD.^{16,17} This may explain why mood
16 changes in PD are frequently associated with motor fluctuations, or “ON/OFF” dopamine states¹⁸,
17 and suggests depression in PD could be related to dopaminergic deficits which mediate specific
18 neurocognitive processes.

19
20 Biases in specific neurocognitive processes and their interaction with socioenvironmental factors
21 over time are thought to drive the emergence of depressive phenotypes.¹⁹ Recently, computational
22 methods have been used to experimentally dissect the cognitive components driving disorders of
23 motivation.¹⁵ A core process of motivated goal directed behaviour explains how the potential
24 benefit or reward for performing an action is evaluated with respect to the amount of effort required
25 to attain it. Disruption to this process, termed effort-based decision making for reward, is believed
26 to underlie motivational syndromes and potentially depression in Parkinson’s disease, in which
27 motivational symptoms are prominent.¹⁵

28

1 Measures of effort-based decision making utilise tasks that elicit effects of decision-making on the
2 relative potential costs and benefits of an action.¹⁵ For example, participants are asked to make
3 decisions as to whether to exert varying levels of effort (e.g. via grip force) for different magnitudes
4 of reward. Studies in PD and other neurological disorders have shown that changes in how reward
5 is evaluated against effort costs contribute to apathy.^{20–22} For example, apathetic PD patients are
6 less willing to exert effort for low rewards than more motivated patients.^{20,23}

7
8 The mesolimbic dopamine system has been consistently implicated as a key neuromodulator of
9 motivation and effort-based decision making.²⁴ Depletion of ventral striatal dopamine in animal
10 models shifts choice behaviour to selection of low-effort options.²⁵ When OFF dopaminergic
11 medication PD patients also exhibit greater impairments in reward processing.²⁶ Specifically,
12 dopaminergic medication has a general effect in motivating patient behaviour for high-effort, high-
13 reward options.²⁰ This may explain why treatment with dopamine agonists are associated with
14 reduced motivational symptoms of depression over time in PD.²⁷

15
16 Considering the effect of dopamine depletion on mood in PD, the high prevalence of motivational
17 symptoms and existing evidence of disruption in effort-based decision making, we hypothesised
18 that dopamine-dependent impairment in effort-based decision making is a key mechanism
19 underlying PD depression. Specifically, we predicted that PD patients with depression would
20 exhibit a combination of both lower reward sensitivity and greater effort sensitivity. We also
21 predicted that PD patients would exhibit greater discounting of reward by effort (i.e. have greater
22 effort sensitivity), when OFF dopaminergic medication, and that the effect of dopaminergic
23 medication withdrawal would be greater in patients with depression and PD. All study predictions
24 were preregistered after data collection had commenced but prior to any analysis
25 (<https://osf.io/b2umh>). Please note that the preregistered sample size appears incorrect due to an
26 initial duplication error during data entry; no participants were excluded.

27
28 To test this, we conducted the first study to investigate the effects of dopamine and depression on
29 effort-based decision making in PD.

1

2 **Materials and methods**

3 **Ethical approval**

4 The study was approved by the local ethics committee (approved by HRA and Health and Care
5 Research Wales, REC reference: 22/NS/0007, date of approval: 1st February 2022), and written
6 consent was obtained from all subjects in accordance with the Declaration of Helsinki.

7

8 **Participants**

9 In this case-control study, four groups of age- (over 50 years old) and sex-matched participants
10 were recruited (n=125): 29 healthy participants with no neurological or psychiatric conditions, 34
11 patients with major depressive disorder, 31 patients with Parkinson's disease and 31 patients with
12 Parkinson's disease and major depressive disorder.

13

14 All PD patients had a clinical diagnosis of idiopathic PD confirmed by a neurologist and were
15 recruited from movement disorders clinics in the Greater London area, UK. All participants with
16 major depressive disorder were clinically assessed and diagnosed by a psychiatrist (H.C) according
17 to DSM-V criteria. Exclusion criteria included evidence of dementia or other major neurological
18 or psychiatric conditions (other than PD, depression or co-morbid anxiety), and use of dopamine
19 modulating medications other than those indicated for PD (for example, antipsychotics or
20 stimulants). To screen for other psychiatric conditions, all participants underwent the Mini-
21 International Neuropsychiatric Interview (MINI), a validated short structured interview.²⁸ As a
22 baseline cognitive screen for dementia, all subjects were administered the Mini-Mental State
23 Examination and excluded if they scored less than 26.²⁹

24

25 Healthy control participants and depressed patients without PD underwent testing once. Both PD
26 patient groups were tested twice in morning sessions, once ON, following their normal

1 dopaminergic medications, and once having withheld their dopaminergic medication since the
2 night before for at least ten hours prior to testing (OFF). These sessions were counterbalanced in
3 order across both PD groups. A minimum of one week between testing sessions was ensured to
4 minimise repetition effects.

6 **Clinical measures**

7 Depression symptom severity was measured using the interviewer-rated 17-item Hamilton
8 Depression Rating Scale (HAM-D)³⁰ (score range: 0-50) and 21-item patient-rated Beck
9 Depression Inventory (BDI)³¹ (score range: 0-63), both of which were selected as they are valid
10 rating scales for depression in PD.³² Motivational symptoms were measured using the patient-
11 rated Dimensional Anhedonia Rating Scale (DARS)³³ and the 18-item clinician and patient-rated
12 versions of the Apathy evaluation scale.³⁴

13 Severity of PD was assessed using the Movement Disorder Society-Unified Parkinson's Disease
14 Rating Scale (MDS-UPDRS)³⁵ and the Hoehn and Yahr stage.³⁶

15 Participants also completed the Wechsler Test of Adult Reading (WTAR)³⁷ as a proxy measure of
16 intelligence quotient (IQ) and education.

17 All symptom severity measures were repeated in the ON and OFF states for PD participants.

18 Demographics and baseline measures for all groups are reported in Table 1.

19

20

21 **Effort-based decision-making task**

22 Participants completed an effort-based decision-making task, the 'apple-gathering task', which has
23 previously been used in patients with PD^{20,38}. This paradigm was designed in Psychtoolbox
24 (psychtoolbox.org) within MATLAB and administered on a laptop computer.

25

1 On each trial, participants were asked to either accept or reject offers of different levels of reward
2 in return for exerting different levels of physical effort (grip force) using their non-dominant hand
3 (see Figure 1). The key outcome measure was willingness to accept or reject a challenge (the
4 decision phase). Participants registered their responses using arrows on the keyboard (left for 'yes',
5 right for 'no') and a handheld dynamometer (SS25LA, BIOPAC Systems), to capture effort
6 exertion via grip force. Each participant's maximum voluntary contraction (MVC) was calculated
7 as the greatest force exerted over three maximal contractions and was established for each testing
8 session so that force levels were calibrated and thus normalised on an individual participant basis.

9
10 Each offer was presented on the screen as an apple tree (see Figure 1). The reward on offer for
11 each trial was indicated by the number of apples on the tree (3, 6, 9 and 12 apples), while effort
12 required was indicated by the height of a yellow line on the tree trunk. Effort levels corresponded
13 to 20, 40, 60, and 80% of the participant's MVC. Participants were offered monetary
14 rewards proportional to the total number of apples they collected. The four reward and four effort
15 levels were orthogonally combined (to give 16 conditions) and presented in a pseudo-randomised
16 order across five blocks, totalling 80 trials. All participants received the same offers presented in
17 the same order.

18
19 Prior to starting the experiment, participants practised each effort level to familiarise themselves
20 with the force required and completed a practice block consisting of four combinations of effort
21 and reward.

22
23 Participants were instructed to weigh up the effort costs against the reward offered for each trial,
24 and to decide 'whether it is worth it'. If they accepted an offer (by exerting a small squeeze on the
25 dynamometer) they then had to squeeze to the required force and hold this for >1s before being
26 rewarded with the apples. Prior to starting the experiment, participants practised each effort level
27 to familiarise themselves with the force required and completed a practice block consisting of four
28 combinations of effort and reward.

29

1 **Model-agnostic analyses**

2 Model-agnostic analyses were conducted in R. To account for the possibility that probabilistic
3 discounting drives decision making in the task (i.e. participants not accepting higher effort offers
4 due to physically being unable to exert the effort required, despite having passed the calibration
5 phase of the task), we initially analysed the effect of success rate on offer acceptance. As success
6 rates were positively associated with acceptance rates, we covaried for success rate in all mixed
7 models described below in sensitivity analyses.

8

9 **Mixed-effects regressions of trial-by-trial choices**

10

11 **Case-control analysis**

12 Given the hierarchical nature of our study, generalised logistic mixed effects models were used to
13 model accept/reject responses. We included a random effect of subject, reward and effort (mean
14 corrected), and fixed effects of reward, effort and group. Mixed effects modelling comparing group
15 effects across all four groups included responses from PD participants when tested in the ON-
16 dopamine state.

17

18 A three-way interaction term between all fixed effects was modelled, as well as all two-way
19 interactions and main effects.

20

21 **Dopamine state analysis**

22 Within-subjects modelling of the effect of dopamine state on task, which included only groups
23 with Parkinson's disease, also utilised a generalized linear mixed effects model with a logistic link
24 function to model accept/reject responses. Mixed effects modelling included a random effect of
25 effect of subject, reward and effort (mean corrected), in addition to fixed effects of reward, effort,
26 dopamine medication state and depression group. A four-way interaction term between all fixed
27 effects was modelled, as well as all three-way and two-way interactions and main effects.

1
2 Exploratory analysis using linear mixed effects modelling was also used to explore associations
3 between depressive symptom severity, anhedonia and apathy and overall willingness to accept
4 offers, and with effort and reward sensitivity, and how these symptoms interacted with dopamine
5 medication state in PD patients.

6
7 Mixed model fit was tested using the Akaike information criterion (AIC) which penalises models
8 for complexity, to counter over-fitting.

9 10 **Hierarchical Bayesian computational modelling**

11 To parse the relative contributions of different cognitive processes that influence decision making
12 during the task, a hierarchical Bayesian computational model incorporating a logistic link function
13 was constructed such that we could model decisions based on the effort and reward level of a given
14 trial. This allowed comparison of competing hypotheses of reward and effort contributions to
15 decision making, in addition to estimating parameters corresponding to reward and effort
16 processing for each individual, enabling group comparison and to examine associations with
17 symptom scores.

18
19 To reduce the risk of type-I error, all participants were fitted under the same group-level priors.
20 All models were implemented using the probabilistic modelling language Stan and parameters
21 were estimated using Hamiltonian Markov Chain Monte Carlo (MCMC) sampling using soft
22 constraints on likely parameter prior distributions, assuming participants come from a single
23 group-level distribution. Twenty MCMC chains were run, each having 5000 samples.

24
25 A variety of model iterations with varying complexity were built to capture the contribution of
26 various cognitive processes (supplemental Figures S4-6). For example, model iteration included
27 the addition of quadratic terms for effort and reward parameters, fixed effort and reward

1 parameters, and a stochasticity or ‘guess’ parameter. Once all models were fitted to the data, model
 2 comparison was performed to select the winning model using difference in ELPD (Expected Log
 3 Pointwise Predictive Density); a measure of the difference in expected log likelihood of new data
 4 points under the different models. This quantifies the difference in how accurately models predict
 5 new data with the lowest score identifying the most parsimonious model.

6
 7 For brevity, we only report the winning model in the main text (see supplement for other models),
 8 which was the simplest model comprising an effort sensitivity term (linear), reward sensitivity
 9 term (linear) and an accept bias term. The model works as follows on a given trial:

- 10
 11 i. Effort sensitivity – effort level is transformed through a linear effort sensitivity parameter
 12 to yield a subjective value of effort (the more negative, the more effort sensitive):

$$13 \quad eq. 1: (\theta_E * Effort)$$

- 14
 15 ii. Reward sensitivity – reward level is transformed through a linear reward sensitivity to yield
 16 a subjective value of the magnitude of reward such that a reward sensitivity <1 results in
 17 rewards being perceived as less rewarding than they truly are:

$$18 \quad eq. 2: (\theta_R * Reward)$$

- 19
 20 iii. Subjective value: Reward and effort parameters are then combined to form the subjective
 21 value of the offer:

$$22 \quad eq. 3: (eq. 1) + (eq. 2)$$

- 23
 24 iv. Accept bias: the subjective value of the offer is passed through an invert logit link function
 25 with a bias parameter for each participant which maps the subjective value of the offer to
 26 a probability of accepting the offer which shifts the curve by a constant. This term therefore

1 represents the tendency of accepting an offer independent of reward or effort level (the
2 higher the bias term, the more likely a participant to accept offers):

$$3 \quad eq. 4: \text{invlogit}(\theta_{\text{bias}} + eq. 3)$$

4
5 The same winning model was used for modelling of dopamine state effects on decision making.
6 To capture the within-subjects design, we modelled the natural pairings of each parameter across
7 conditions (i.e. the reward sensitivity ON and OFF dopaminergic medication) by drawing them
8 from multivariate, correlated distributions. This approach has recently been shown to improve
9 parameter estimation by allowing for the pooling of data across conditions, leading to within-
10 parameter shrinkage.³⁹

11
12 Convergence checks were conducted by visualizing trace plots and computing R-hat statistics
13 across MCMC chains. Posterior predictive checks were conducted to ensure model predictions
14 could accurately retrieve behavioural patterns in the original dataset.

16 **Results**

17 Participant characteristics are presented in Table 1. The groups differed significantly on
18 standardised WTAR (higher in healthy controls relative to all other groups) and age (lower in
19 depressed relative to all other groups), and therefore these were included as covariates in all case-
20 control models. As expected, duration since first onset of depressive symptoms was longer in the
21 depressed (mean=27.2 years, sd=11.3) than the PD depressed (mean=12.9 years, sd=17.5) group.
22 Other than this, the groups did not differ significantly on any other variable including PD patient
23 motor symptom severity, total daily levodopa equivalent dose (LED), minutes since last dose prior
24 to tests or change in motor symptom score ON and OFF dopamine. A total of five patients (PD
25 group n=3, PD depressed group n=2) were unable to tolerate testing OFF dopaminergic medication
26 and as a result, were only tested in the ON state.

27

1 We found that the addition of random effects of reward and effort, relative to random effects of
2 subject alone, improved model evidence (AIC 5346 vs 4539), and we therefore subsequently used
3 this as the model for all primary analyses. However, the addition of random effects that are also
4 incorporated as fixed effects significantly reduces statistical power and increases variance, and
5 therefore for completeness we additionally report the model with fixed effects of reward and effort
6 (retaining random effects of subject: see supplement). As expected, in the model treating reward
7 and effort as random effects, across all groups acceptance rates on the AGT increased significantly
8 as reward levels increased (odds ratio (OR)= 1.76, 95%CI(1.43 to 2.15), $p<0.001$) (see Figure 2A
9 and supplemental Figure S1) and decreased significantly as effort levels increased (OR=0.00,
10 95%CI(0.00 to 0.00), $p<0.001$) (see Figure 2B and supplemental Figure S1). No significant
11 reward-by-effort interaction was found in the model treating reward and effort as random effects
12 (OR=1.12, 95%CI(0.84 to 1.48), $p=0.4$), but this interaction was significant in the model in which
13 they were incorporated as fixed effects (OR=1.48, 95%CI(1.21 to 1.81), $p<0.001$) (see Figure 2
14 and supplemental Figure S1). Post-hoc analysis showed that while higher effort offers resulted in
15 significantly lower offer acceptance at all reward levels, this pattern was more pronounced at lower
16 reward levels (contrast between lowest and highest effort levels at the lowest reward level:
17 $\log\text{OR}=5.03$, $p<0.001$) compared to higher reward levels (contrast between lowest and highest
18 effort levels at the highest reward level: $\log\text{OR}=2.78$, $p<0.001$). In other words, effort has a
19 progressively smaller effect on acceptance as reward levels increase.

20
21 To examine whether effort-based decision making was influenced by success rate, especially on
22 high-effort trials (which could potentially induce probabilistic discounting), we also analysed
23 success rates during effort exertion (only on accepted trials) (see supplemental Figure S2). Success
24 rates decreased significantly as effort level increased (odds ratio (OR)=0.00, 95%CI(0.00-0.01),
25 $p<0.001$). Importantly, however, there was no significant effect of group on success rate, or
26 interactions between group and effort level (effort-by-group interaction, healthy volunteers relative
27 to: depressed, OR=0.2, 95%CI(0.00 to 33.9), $p=0.5$; PD, OR=0.03 95%CI(0.00 to 12.3), $p=0.3$;
28 PD depressed, OR=1.85, 95%CI(0.02 to 158), $p=0.8$). Nonetheless, we included individual mean
29 success rate at each effort level in all subsequent models as this slightly improved model fit (AIC
30 with covarying for success rate vs without: 5346 vs 5354).

1

2 **Depression in Parkinson's disease is associated with weakened** 3 **influence of reward on decisions**

4 **Mixed effects modelling of trial-level choices**

5 We first assessed the effects of depression and PD on choice by comparing group performance in
6 the ON dopamine state. There was a significant main effect of group on proportion of offers
7 accepted. Depressed PD patients accepted significantly fewer offers than the PD and healthy
8 control groups (main effect of group, compared to depressed PD ON: healthy controls, OR=17.8,
9 95%CI(3.83 to 83.0), $p<0.001$; PD ON, OR=5.13, 95%CI(1.34 to 19.7), $p=0.017$). Depressed
10 patients without PD also accepted significantly fewer offers relative to healthy controls (main
11 effect of group, compared to depressed: healthy controls, OR=8.25, 95%CI(1.71 to 39.7),
12 $p=0.008$). Acceptance of offers was also lower in the PD depressed compared to the depressed
13 group in models treating reward and effort as fixed effects (main effect of group, compared to
14 depressed PD ON: depressed OR=4.23, 95%CI(1.66 to 10.8), $p=0.003$). However, this finding did
15 not remain significant when treating reward and effort as random effects (main effect of group,
16 compared to PD ON: depressed, OR=2.01, 95%CI(0.55 to 7.41), $p=0.3$).

17

18 Logistic mixed-effects modelling examining how reward and effort levels altered decision making
19 revealed markedly lower incentivisation by reward in depressed PD patients. Depressed PD
20 patients were less likely to accept offers as reward increased compared to all other groups (reward-
21 by-group interaction, depressed PD ON relative to: healthy controls, OR=1.84, 95%CI(1.30 to
22 2.60), $p<0.001$; depressed, OR=1.49, 95%CI(1.11 to 2.00), $p=0.007$; PD ON, OR=1.73,
23 95%CI(1.27 to 2.35), $p<0.001$; Figure 2A). A comparison of all other groups revealed that this
24 effect was specific to PD-depressed patients, as there was no significant impact of reward on offer
25 acceptance between the other groups (reward-by-group interaction, group relative to healthy
26 controls: depressed, OR=0.83, 95%CI[0.59 to 1.18], $p=0.3$; PD ON, OR = 0.97, 95% CI [0.67 to
27 1.39], $p=0.9$).

1 This pattern of results was similar when repeating the analysis with PD groups in the OFF
2 dopamine state (reward-by-group interaction, group relative to PD depressed OFF: healthy
3 controls, OR=1.56, 95%CI(1.18 to 2.07), $p=0.002$; PD OFF, OR=1.49, 95%CI(1.16 to 1.90),
4 $p=0.002$). However, the reward-by-group interaction effect comparing the PD depressed OFF with
5 the depressed group was no longer significant in the primary model incorporating random effects
6 of reward and effort (OR=1.22, 95%CI(0.97 to 1.54), $p=0.09$).

7
8 We found no significant difference between groups in the effect of effort level on proportion of
9 offers accepted when PD groups were in either ON (effort-by-group interaction, group compared
10 to healthy controls: depressed, OR=0.12, 95%CI(0.00 to 12.7), $p=0.4$; PD ON, OR=1.33
11 95%CI(0.01 to 152), $p>0.9$; PD depressed ON, OR=4.01, 95%CI(0.04 to 393), $p=0.6$) or OFF
12 dopamine state (effort-by-group interaction, group compared to healthy controls: depressed,
13 OR=0.1, 95%CI(0.00 to 7.29), $p=0.3$; PD OFF, OR=0.12 95%CI(0.00 to 9.87), $p=0.3$; PD
14 depressed OFF, OR=0.16, 95%CI(0.00 to 11.9), $p=0.4$).

15
16 Additionally, there was no significant three-way interaction between group, effort and reward in
17 the primary model treating reward and effort as random effects when PD groups were in either the
18 ON (group-by-effort-by-reward interaction, PD depressed ON compared to: healthy controls,
19 OR=0.59, 95%CI(0.29 to 1.22), $p=0.2$; depressed, OR=0.64, 95%CI(0.40 to 1.04), $p=0.07$; PD
20 ON, OR = 0.93, 95%CI(0.56 to 1.54), $p=0.8$), or OFF state (group-by-effort-by-reward interaction,
21 PD depressed OFF compared to: healthy controls, OR=0.98, 95%CI(0.46 to 2.07), $p>0.9$;
22 depressed, OR=1.11, 95%CI(0.51 to 2.42), $p=0.8$; PD OFF, OR=1.52, 95%CI(0.72 to 3.21),
23 $p=0.3$).

24
25 To assess the influence of symptom severity beyond the group differences mentioned above, we
26 modeled trial-by-trial offer acceptance, incorporating symptom scores (mean-corrected) as fixed
27 effects in separate analyses, while controlling for group. This cross-group analysis included all
28 healthy control, depressed, PD ON and PD depressed ON data. This analysis showed that
29 participants with higher levels of anhedonia, depression, or apathy accepted fewer offers (DARS:

1 OR = 1.07, 95%CI(1.02 to 1.12), p=0.004, BDI: OR = 0.91, 95%CI(0.86 to 0.97), p=0.004, AES-
2 self: OR = 1.1, 95%CI(1.03 to 1.17, p=0.003) and were less incentivised by higher rewards levels
3 (reward-by-symptom measure interaction, adjusting for group: DARS, OR=1.01, 95%CI(1.00 to
4 1.02), p=0.009; BDI, OR=0.98, 95%CI(0.97 to 1.00), p=0.012; AES-self, OR=1.02, 95%CI(1.01
5 to 1.03), p=0.006) (see supplemental Figure S3). To explore whether there was any pattern of trial-
6 by-trial decision making which dissociated anhedonia from mood symptoms, mixed effects
7 modelling was repeated for DARS score while controlling for BDI score and group. This analysis
8 revealed that more severe anhedonia remained a significant predictor of lower offer acceptance
9 (DARS: OR = 1.06, 95%CI(1.01 to 1.12), p=0.013). Furthermore, acceptance of offers increased
10 less with increasing reward in individuals with more severe anhedonia symptoms (reward-by-
11 DARS interaction: OR=1.01, 95%CI(1.00 to 1.02), p=0.009), over and above other mood
12 symptoms or diagnostic group.

13

14 **Lack of willingness to exert effort in PD depression is driven by** 15 **lower reward sensitivity**

16

17 **Computational modelling**

18 As described in the methods, the best performing model was the simplest, incorporating: a linear
19 reward parameter (reward sensitivity), a linear effort parameter (effort sensitivity) and an intercept
20 parameter (accept bias), which accounts for the overall tendency to accept offers. Posterior
21 predictive checks demonstrated that the winning model predictions were qualitatively similar to
22 the raw data, recovered the pattern of behaviour observed in the model-agnostic analysis and
23 almost perfectly recovered individual differences in acceptance rates (see supplemental Figures
24 S4-6 for model comparison, and parameter recovery).

25

26 Modelling revealed a striking and specific difference between depressed PD patients relative to
27 the other three groups in reward sensitivity (Figure 3). Depressed PD patients exhibited markedly
28 lower reward sensitivity compared to all other groups (PD depressed group relative to: healthy

1 controls, $\beta=0.4$, 95%CI(0.17 to 0.63), $p<0.001$; depressed, $\beta=0.24$, 95%CI(0.02 to 0.46), $p=0.035$;
2 PD, $\beta=0.45$, 95%CI(0.23 to 0.67), $p<0.001$) (Figure 3). This suggests that during decision making
3 depressed PD patients perceived potential rewards as less valuable. There were no significant
4 differences between groups on accept bias and effort sensitivity.

5
6 In addition to the above group differences, more severe anhedonia (DARS: $\beta=5.5$, 95%CI(1.7 to
7 9.2), $p=0.005$) (Figure 4c), depression (BDI: $\beta=-4.8$, 95%CI(-8.2 to -1.3), $p=0.007$) (Figure 4d)
8 and apathy (AES-self: $\beta=5.1$, 95%CI(2.1 to 8.2), $p=0.001$) symptoms were associated with lower
9 reward sensitivity. However, when co-varying for group symptom severity, analyses were no
10 longer significant, suggesting that this result is recapitulating group differences. Interestingly,
11 however, when restricting this analysis to depressed participants (with and without PD) and
12 covarying for group, anhedonia symptom severity narrowly missed significance (DARS: $\beta=5.4$,
13 95%CI(-0.41 to 11), $p=0.068$), suggesting specifically depressed patients with more severe
14 anhedonia may subjectively perceive rewards as less valuable. To further investigate whether
15 anhedonia was specifically associated with lower reward sensitivity, over and above other
16 depressive symptoms, we repeated DARS analysis, across all groups, adjusting for BDI total score
17 after removing BDI anhedonia items.⁴⁰ The BDI anhedonia subscore has previously been validated
18 and comprises the following items: loss of pleasure (item #4), loss of interest (item #12), loss of
19 energy (item #15), and loss of interest in sex (item #21).⁴⁰ This exploratory analysis revealed that
20 lower reward sensitivity was significantly associated with greater anhedonia after adjusting for
21 non-anhedonia depressive symptoms (DARS: $\beta=3.6$, 95%CI(0.04 to 7.2), $p=0.047$).

22

23 **Dopamine treatment increases reward sensitivity in Parkinson's** 24 **disease but not Parkinson's depression**

25

26 To determine the effect of dopamine medication (ON vs OFF) on willingness to exert effort in PD,
27 we used a within-subjects logistic mixed-effects model incorporating interaction terms, examining
28 the effects of reward, effort, dopamine state and PD group. There was a significant two-way

1 dopamine-by-PD group interaction (OR= 0.40, 95%CI(0.22 to 0.71), $p=0.002$) and three-way
2 interaction between reward, dopamine and PD group (OR= 0.75, 95%CI(0.64 to 0.87), $p<0.001$);
3 this indicates a dissociation in dopamine-dependent incentivisation by rewards between PD groups
4 with and without depression (supplemental Figure S7). To understand this interaction, the effect
5 of dopamine and the dopamine-by-reward reward interaction for each PD group were modelled
6 separately. While PD patients were significantly more likely to accept offers overall when ON
7 dopamine (relative to OFF dopamine: OR= 1.66, 95%CI(1.01 to 2.75), $p=0.048$), the opposite was
8 the case for the PD depressed group (PD depressed ON relative to OFF dopamine: OR= 0.65,
9 95%CI(0.49 to 0.88), $p=0.004$). Furthermore, acceptance of offers increased less in the PD
10 depressed group with increasing reward when ON relative to OFF dopamine medication (PD
11 depressed reward-by-dopamine interaction: OR= 0.81, 95%CI(0.75 to 0.88), $p=0<0.001$). In
12 contrast to reward, the equivalent three-way interaction between effort, dopamine and PD group
13 was non-significant (OR= 9.23, 95%CI(0.86 to 99.3), $p=0.067$).

14
15 To help parse the factors driving this interaction, we performed within-subjects hierarchical
16 Bayesian computational modelling, using the same winning model. This analysis revealed a robust
17 effect of dopamine on reward sensitivity in PD patients without depression, but this effect was not
18 present in the depressed PD group (PD group-by-dopamine state interaction: $\beta=-0.27$, 95%CI(-
19 0.42 to -0.11), $p<0.001$) (see Figure 4). In other words, dopamine treatment increases reward
20 sensitivity in PD patients ($\beta=0.29$, 95%CI(0.19 to 0.39), $p<0.001$), but this effect of treatment is
21 not present in PD patients with depression ($\beta=0.03$, 95%CI(-0.09 to 0.15), $p=0.7$).

22
23 Supporting this finding, analysis of the interaction between dopamine state and symptom severity
24 on reward sensitivity revealed that patients with more severe anhedonia (DARS: $\beta=0.01$,
25 95%CI(0.00 to 0.02), $p=0.031$) and depressive symptoms (BDI: $\beta=-0.01$, 95%CI(-0.02 to 0.00),
26 $p=0.029$) had a lower response to dopaminergic medication, even after co-varying for group.

27
28 Similar to the above model-agnostic results, there was no main effect of dopaminergic medication
29 on effort sensitivity ($\beta=0.46$, 95%CI(-0.63 to 1.6), $p=0.4$) or interaction with group ($\beta=1$, 95%CI(-

1 0.50 to 2.6), $p=0.2$). Across both PD groups a significant reduction in accept bias when ON
2 dopamine was found (main effect of dopamine medication: $\beta=-0.92$, 95%CI(-1.5 to -0.31),
3 $p=0.004$), suggesting that dopaminergic treatment also reduces the likelihood of accepting offers
4 in PD irrespective of potential rewards or effort costs. However, further analysis revealed that
5 accept bias was significantly correlated with reward sensitivity ($\beta=-0.06$, 95%CI(-0.08 to -0.03),
6 $p<0.001$). When analysis was repeated adjusting for reward sensitivity, there was no significant
7 main effect of dopamine state on accept bias (main effect of dopamine medication on accept bias
8 after adjusting for reward sensitivity: $\beta=-0.45$, 95%CI(-1.1 to -0.24), $p=0.2$). By contrast, the main
9 effect of dopamine medication on reward sensitivity remained significant after adjusting for accept
10 bias (main effect of dopamine medication on reward sensitivity after adjusting for accept bias:
11 $\beta=0.25$, 95%CI(0.14 to 0.37), $p<0.001$), as did the PD group-by-dopamine state interaction ($\beta=-$
12 0.24 , 95%CI(-0.40 to -0.08), $p=0.004$).

13
14 To investigate whether the PD group-by-dopamine state interaction effect may be a consequence
15 of the difference in antidepressant medication use between groups we repeated the analysis
16 adjusting for antidepressant status. The main finding that reward sensitivity is dopamine-
17 unresponsive in PD depression was unchanged (PD group-by-dopamine state interaction: $\beta=-0.26$,
18 95%CI(-0.42 to -0.10), $p=0.002$). Additionally, exploratory analysis exclusively within the PD
19 depressed group but splitting participants by antidepressant status, found no association between
20 use of antidepressant and reward sensitivity ($\beta=0.04$, 95%CI(-0.25 to 0.32), $p=0.8$) or any
21 antidepressant-by-dopamine state interaction ($\beta=0.00$, 95%CI(-0.27 to 0.26), $p>0.9$).

22

23 Discussion

24 This study demonstrates that depression in PD is associated with disrupted effort-based decision-
25 making. Specifically, this effect is driven by reduced incentivisation by reward, rather than
26 increased sensitivity to effort costs. Although dopamine treatment also impacts effort-based
27 decision-making and increases reward sensitivity in PD, reward sensitivity in depressed PD
28 patients is unresponsive to dopaminergic therapies. Our findings indicate that the disruption in
29 reward sensitivity observed in depressed PD patients is specifically linked to motivational

1 symptoms, particularly anhedonia, above and beyond other depressive symptoms such as
2 dysphoric mood. This provides a clear plausible mechanism for the prominent motivational deficits
3 and lack of interest in pleasurable activities that characterises depression in PD. The lack of
4 response to dopaminergic medication may also explain why patients with depression exhibit a
5 more persistent state of amotivation and depressed mood than the fluctuations in these symptoms
6 described by PD patients without clinically significant depression who may have treatment
7 responsive reward sensitivity deficits.⁴¹

8
9 Reward related signalling is crucial for goal-directed behaviour and disruption to this process has
10 been associated with ‘decisional anhedonia’, where potential actions appear less rewarding leading
11 to a cycle of amotivation, and loss of expected pleasure or reward from future actions.⁴²
12 Impairment in reward valuation has been reported in depression without PD, although results have
13 been inconsistent and a previous study using the same task did not find significant changes in
14 reward sensitivity compared to healthy controls.^{38,43} In contrast, apathy in PD has been consistently
15 associated with disrupted valuation of rewards, specifically low reward options.^{20,23,44} However,
16 dopamine’s effect on effort-based decision making was the same in both apathetic and non-
17 apathetic PD patients, increasing sensitivity to reward particularly for high effort options.²⁰ In
18 contrast our finding that depressed PD patients demonstrate a dopamine non-responsive reward
19 sensitivity deficit, indicates a distinct mechanism underlying the effect of dopamine on depression
20 and apathy in PD. Furthermore, our results underscore the value of using computational modelling
21 to dissect latent drivers of behaviour that are not directly accessible through descriptive analyses
22 alone. For instance, while our initial mixed-effects model suggested that PD depressed participants
23 were more incentivised by reward when OFF compared to ON dopamine, this counterintuitive
24 pattern was not evident when accounting for other latent cognitive processes through
25 computational modelling. Instead, computational analysis revealed that reward sensitivity in PD
26 depressed patients is unaffected by dopamine treatment. Instead, dopamine medication appears to
27 change acceptance bias and effort sensitivity in PD depression, albeit the changes in the latter did
28 not achieve statistical significance.

29

1 Optogenetic animal studies and human fMRI studies have identified a convergent network of brain
2 regions involved in signalling reward valuation and effort costs, including the ventral striatum,
3 anterior cingulate cortex (ACC) and orbitofrontal cortex (OFC).¹⁵ For example, optogenetic
4 stimulation of dopaminergic projections from the ventral tegmental area increases reward seeking
5 and striatal activity, whereas stimulation of the OFC reduces striatal response and reward
6 seeking.⁴⁵ In PD patients, reduced functional connectivity and striatal neurodegeneration precede
7 the emergence of motivational symptoms.^{16,17} This suggests that disruption to frontostriatal circuit
8 synchrony may impair reward valuation and lead to the emergence of motivational and mood
9 symptoms. Different subregions of the ventral striatum have been shown to have dissociable
10 contributions to the motivational versus hedonic components of the affective processing of
11 reward.⁴⁶ Consequently, depression in PD may develop due to a pattern of neurodegeneration that
12 disrupts specific striatal subregions disrupting specific frontostriatal circuits which play a crucial
13 role in reward valuation.

14
15 The mesolimbic dopamine system has consistently been thought of as a modulator of reward
16 valuation.^{24,47} Several studies have shown a distinction between tonic dopamine signals, which
17 encode reward valuation and effort, and phasic dopamine signals which encode learning.⁴⁸⁻⁵¹
18 However, the lack of response of reward sensitivity to dopamine in PD depression poses the
19 question: if not dopamine, then what? Neuromodulators including serotonin and noradrenaline
20 have overlapping functions in reward processing and are co-released with dopamine.⁵²
21 Neurodegeneration of the locus coeruleus, the primary site of noradrenaline synthesis in the brain,
22 occur early in the condition and has been associated with apathy and depression in PD.^{53,54} Though
23 noradrenergic function has been predominantly implicated in response vigour and exploratory
24 behaviour, recent studies have shown that pharmacological blockade of noradrenaline leads to a
25 reduction in the use of reward/value information.⁵⁵ Serotonergic dysfunction occurs early in PD⁵⁶
26 and has been associated with depression⁵⁷, and proposed as a key modulator of reward processing
27 in the brain. In primate studies neuronal recordings from the dorsal raphe nucleus (DRN, one of
28 the main sources of serotonergic neurons) found that firing scaled with the size of prospective
29 reward,⁵⁸ while dietary depletion of serotonin in humans has shown that serotonin selectively
30 modulates reward value during a choice task.⁵⁹

1 However, our findings do not rule out a role for the dopaminergic system in the aetiology of
2 depression in PD. Dopamine unresponsive reward sensitivity in depressed PD patients could also
3 be explained by dopaminergic medication losing its efficacy due to greater dopaminergic
4 neurodegeneration within key regions involved in reward processing, especially in anhedonic
5 individuals.¹⁶ We have previously found that motivational symptoms of depression are associated
6 with the degree of dopaminergic degeneration, and that the effects of dopaminergic medications
7 on mood and motivation in PD interact with the degree of striatal dopaminergic
8 neurodegeneration.²⁷ In a large longitudinal study of PD patients, monoamine oxidase-B inhibitor
9 treatment improved both depressive and motivation symptoms, but this was attenuated in PD
10 patients with more severe striatal dopaminergic neurodegeneration.²⁷

11 Our results suggest that future studies and novel pharmacological treatment strategies should focus
12 on the interaction between dopamine signaling and other neuromodulators, such as noradrenaline
13 and serotonin, which regulate reward sensitivity.

14
15 Reward sensitivity may also be a promising cognitive treatment target for brain stimulation
16 therapies. Recent research has indicated that there are dissociable neural signatures of reward and
17 effort in the brain, with beta oscillations in the basal ganglia tracking subjective effort on a single
18 trial basis and PFC theta oscillations signalling previous trial reward.⁶⁰ The same study goes on to
19 show that deep brain stimulation of the PFC increases reward sensitivity in PD patients,⁶⁰ building
20 on existing evidence that stimulation of this region can selectively modulate willingness to exert
21 effort for reward.⁶¹ Concurrent DBS targeting of the PFC may be a promising intervention for PD
22 patients who are candidates for DBS therapy for motor symptoms and experience co-morbid
23 treatment resistant depression. However, the recent development of non-invasive neuromodulation
24 using focused ultrasound also enables the potential for targeting deeper brain structure implicated
25 in reward processing and depression such as the ventral striatum in future trials.^{62,63}

27 **Limitations**

28 It remains possible that participants were receiving sub or suprathreshold dopamine doses that
29 impacted task performance and moderating group differences. However, this is unlikely given the

1 robust group effects, and there were no significant differences in total dopamine dose, disease
2 severity, delay in dopamine, or change in motor symptoms ON and OFF dopamine between
3 groups.

4 Most participants in both depressed groups were using antidepressants, predominantly selective
5 serotonin reuptake inhibitors (SSRIs) that may have affected task performance. A previous study
6 in healthy participants showed that SSRIs can modulate effort-based decision making reducing
7 effort sensitivity.⁶⁴ However, the same study showed no clear effect on reward sensitivity,⁶⁴ and
8 the majority of trials of SSRIs have shown no significant therapeutic effect on motivational
9 symptoms.¹⁵ We also found clear differences in reward sensitivity between depressed and PD
10 depressed groups, suggesting that antidepressant use was not a key moderator of task performance.

11
12 Finally, there is the possibility that a confounding factor influenced depressed PD patient
13 performance on the task. As described above we analysed the effect of success during effort
14 exertion to check that probabilistic discounting did not impact offer acceptance, and accounted for
15 this in our modelling, though there were no significant group differences. Reduced concentration
16 or attention, common symptoms in depression, could have impacted task performance. However,
17 we showed specific rather than global changes in decision making, and all groups modulated effort
18 output appropriately.

19

20 **Conclusion**

21

22 This is the first study to investigate the effects of dopamine and depression on effort-based
23 decision-making in PD. We demonstrate that depression in PD is driven by reduced reward
24 sensitivity that is unresponsive to dopamine. This suggests that depression and disruption to effort-
25 based decision-making are not purely related to mesolimbic dopamine function, and other
26 neuromodulatory pathways are likely involved. Our findings indicate that reduced reward
27 sensitivity is a key mechanism and a promising cognitive treatment target for depression in PD
28 that requires non-dopaminergic novel therapies.

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Data availability

Anonymised data are available on request.

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Competing interests

There are no competing interests for authors to disclose.

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Supplementary material

Supplementary material is available at *Brain* online.

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12

13 **Figure legends**

14

15 **Figure 1 The Apple Gathering Task (AGT).** In this task participants decide to accept or reject
16 offers within which different levels of reward are available for different levels of physical effort
17 (grip force) (A). The key outcome measures are the willingness to accept a challenge (the decision
18 phase). Each participant's maximum voluntary contraction (MVC) is established so that force
19 levels are calibrated on an individual basis. Participants are asked to squeeze the handles of the
20 dynamometer as hard as they can with their right and left hands. Participants are instructed to
21 gather as many apples as they choose to over the course of the experiment, knowing that the money
22 they receive at the end depends on the total number of apples gathered. The image on screen
23 displays the reward on offer (number of apples on a tree) and the force required to obtain that level
24 of reward (height of the yellow line on the tree trunk). There are four different reward levels (3, 6,
25 9, 12) and four effort levels 20/40/60/80% MVC.

26

27 **Figure 2 Empirical data showing a dissociation in acceptance between groups as effort,**
28 **reward and symptom severity increased. A.** Empirical data showing increase in acceptance as

1 offerreward level increases and divergence in acceptance between groups (mean \pm standard error).
2 **B.** Empirical data showing reduction in acceptance as offer effort level increases and divergence
3 in acceptance between groups (mean \pm standard error). **C.** Change in each group acceptance as
4 both reward and effort increases (mean change). **D.** Decrease in offer acceptance, irrespective of
5 effort or reward, as anhedonia increases (lower DARS score).

6
7 **Figure 3 Depression in Parkinson's disease is driven by reduced reward sensitivity.** **A.**
8 Depressed Parkinson's patients exhibit significantly lower reward sensitivity than all other groups.
9 **B.** Distribution of this difference in reward sensitivity and individual variation is shown in this
10 group violin plot. In contrast there was no significant difference between groups in effort
11 sensitivity (**C.** & **D.**). **E.** Across groups the more the anhedonic (lower DARS score) or (**F.**)
12 depressed (higher BDI score) participants were the lower their sensitivity to reward. (reward
13 sensitivity units: change in proportion accept with increase in stake available, effort sensitivity
14 units: change in proportion accept with increase in effort required, *** $p < 0.001$, ** $p < 0.01$,
15 * $p < 0.05$, bars and points in figures A-D represent parameter means, error bars = standard error).

16
17 **Figure 4 Dopamine treatment increases reward sensitivity in Parkinson's disease but not**
18 **Parkinson's depression.** Across all PD patients being ON dopamine increased reward sensitivity
19 (**A** & **B**), purple lines represent individuals reward sensitivity change when ON and OFF
20 dopamine). However, there was a dissociation between groups in dopamine-dependent
21 incentivisation by rewards (**C** & **D**) where depressed PD patient reward sensitivity did not increase
22 when ON dopamine compared to OFF. This dopamine-dependent dissociation between groups
23 was not seen with effort sensitivity (**E** & **F**). Across both PD groups a significant reduction in
24 accept bias when ON compared to OFF (**G** & **H**) dopamine was found but this did not remain
25 significant after adjusting for reward sensitivity. (reward sensitivity units: change in proportion
26 accept with increase in stake available, effort sensitivity units: change in proportion accept with
27 increase in effort required, *** $p < 0.001$, ** $p < 0.01$, * $p < 0.05$, bars and points in all figures represent
28 parameter means, error bars = standard error).

29
30

1 **Table I Participant characteristics**

Measure	Healthy controls (n=29)			Depressed (n=34)			PD not depressed (n=31)			PD depressed (n=31)			p-value
	N	Mean	Std. Dev.	N	Mean	Std. Dev.	N	Mean	Std. Dev.	N	Mean	Std. Dev.	
Age	29	69	9.5	34	64	7.4	31	70	6.9	31	68	6.6	0.005
Sex	29			34			31			31			0.532
Female	13	45%		20	59%		13	42%		16	52%		
Male	16	55%		14	41%		18	58%		15	48%		
Antidepressant use:	29			34			31			31			
No	28	97%		13	38%		29	94%		18	58%		
Yes	1	3%		21	62%		2	6%		13	42%		
Antidepressant type:													
SSRI	0/1	0%		15/21	71.4%		0/2	0%		5/13	38.5%		
SNRI	0/1	0%		0/21	0%		2/2	100%		4/13	30.1%		
TCA	1/1	100%		3/21	14.3%		0/2	0%		3/13	23.1%		
Other	0/1	0%		3/21	14.3%		0/2	0%		1/13	7.7%		
BDI	29	6.7	4.6	34	23	8.9	31	10	5.3	31	20	7.3	
HAM-D	29	3.3	2.1	34	16	6.5	31	7	3.8	31	18	4.4	
AES-self	29	56	4.4	34	49	7.9	31	55	5.8	31	47	6.5	
AES-clin	29	57	4.3	34	45	7.7	31	56	5.9	31	45	6.5	
DARS	29	72	7.1	34	63	9.6	31	73	6.8	31	63	12	
WTAR age stand.	29	120	6	34	109	15	31	113	12	31	114	9.8	0.002
Dopamine state													
ON							31	53%		31	53%		0.931
OFF							29	47%		29	47%		
LED							30	697	460	31	673	296	0.815
MDS-UPDRS III (ON)							31	33	13	31	39	19	0.146
MDS-UPDRS III change OFF vs ON							28	-7.8	6.3	29	-10	6.5	0.153
Minutes since last dose, ON							31	117	64	29	134	67	0.329

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BDI = Beck Depression Inventory; HAM-D = Hamilton Depression Rating Scale; AES-self = Apathy Evaluation Scale (self-report); AES-clin = Apathy Evaluation Scale (clinician assessed); DARS = Dimensional Anhedonia Rating Scale; WTAR = Wechsler Test of Adult Reading; LED = Total daily Levodopa Equivalent Dose; MDS-UPDRS III = Movement Disorder Society-Unified Parkinson's Disease Rating Scale part III (motor symptom score); SSRI = Selective serotonin reuptake inhibitor; SNRI = Serotonin and noradrenaline reuptake inhibitor; TCA = Tricyclic antidepressant.

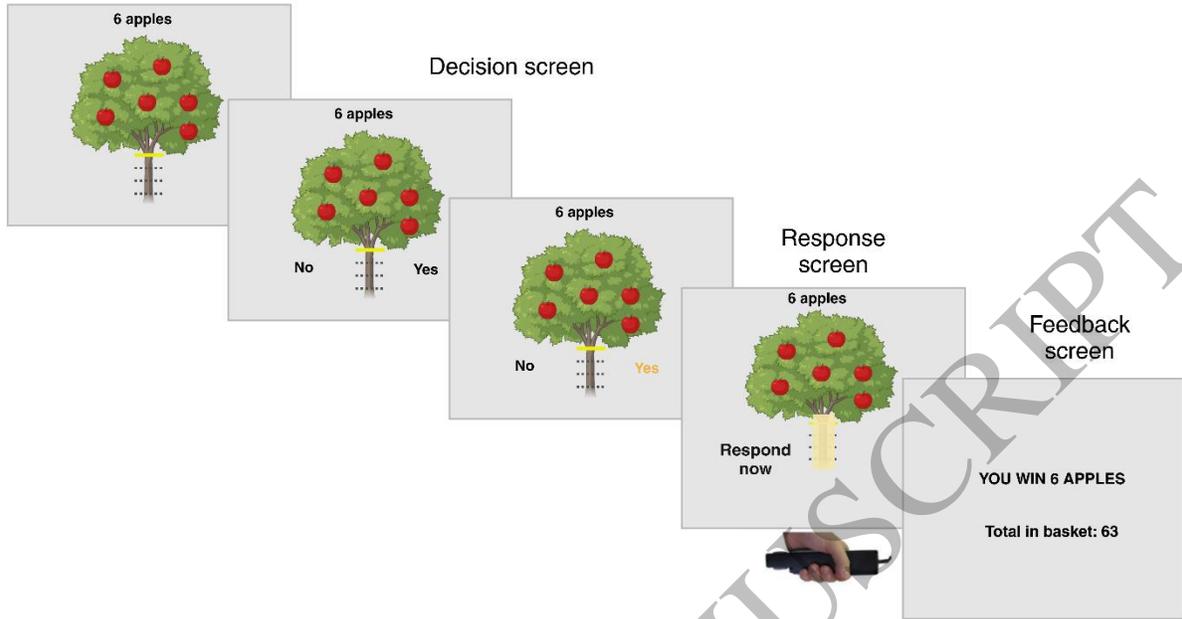


Figure 1
159x84 mm (x DPI)

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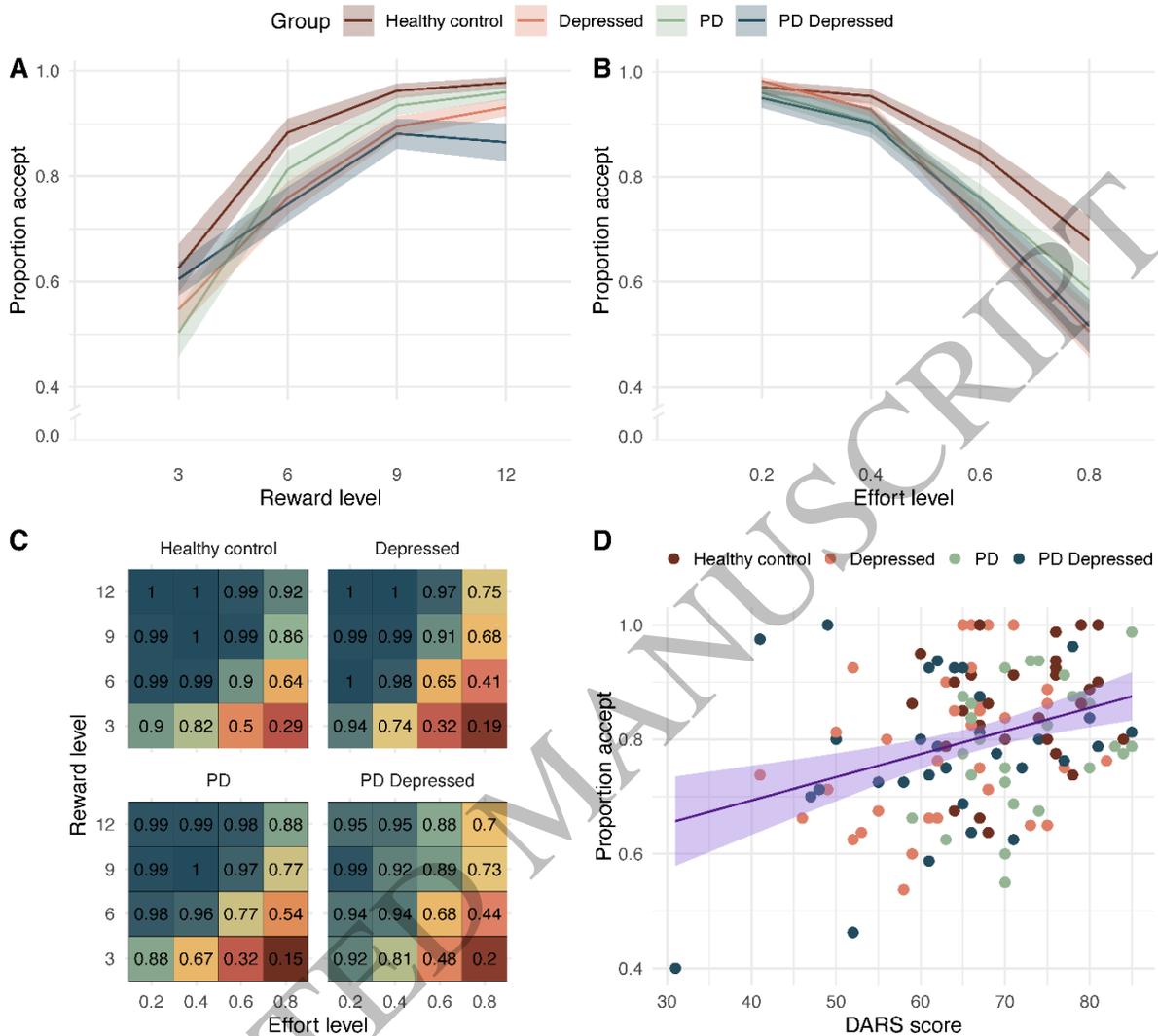


Figure 2
159x144 mm (x DPI)

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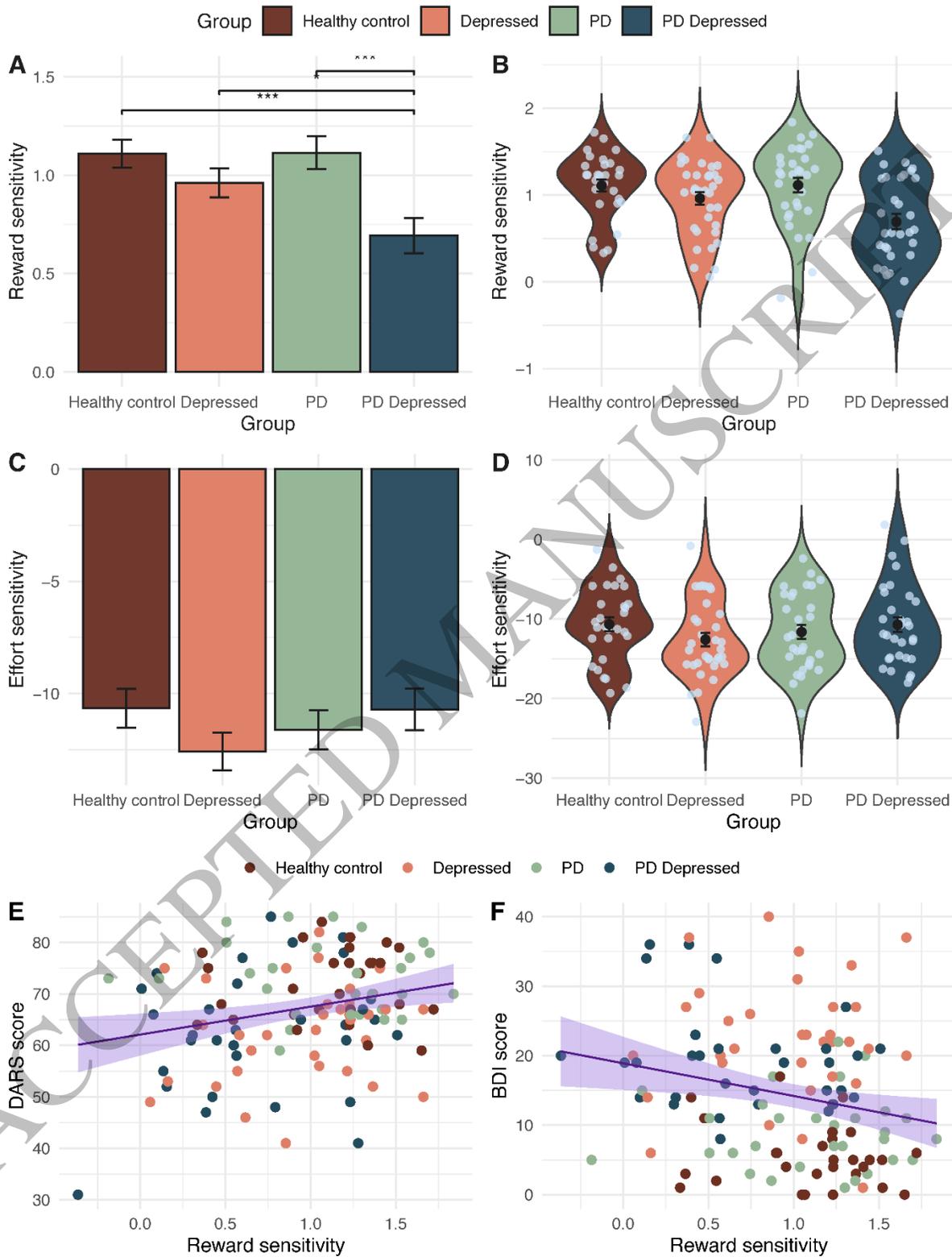


Figure 3
159x209 mm (x DPI)

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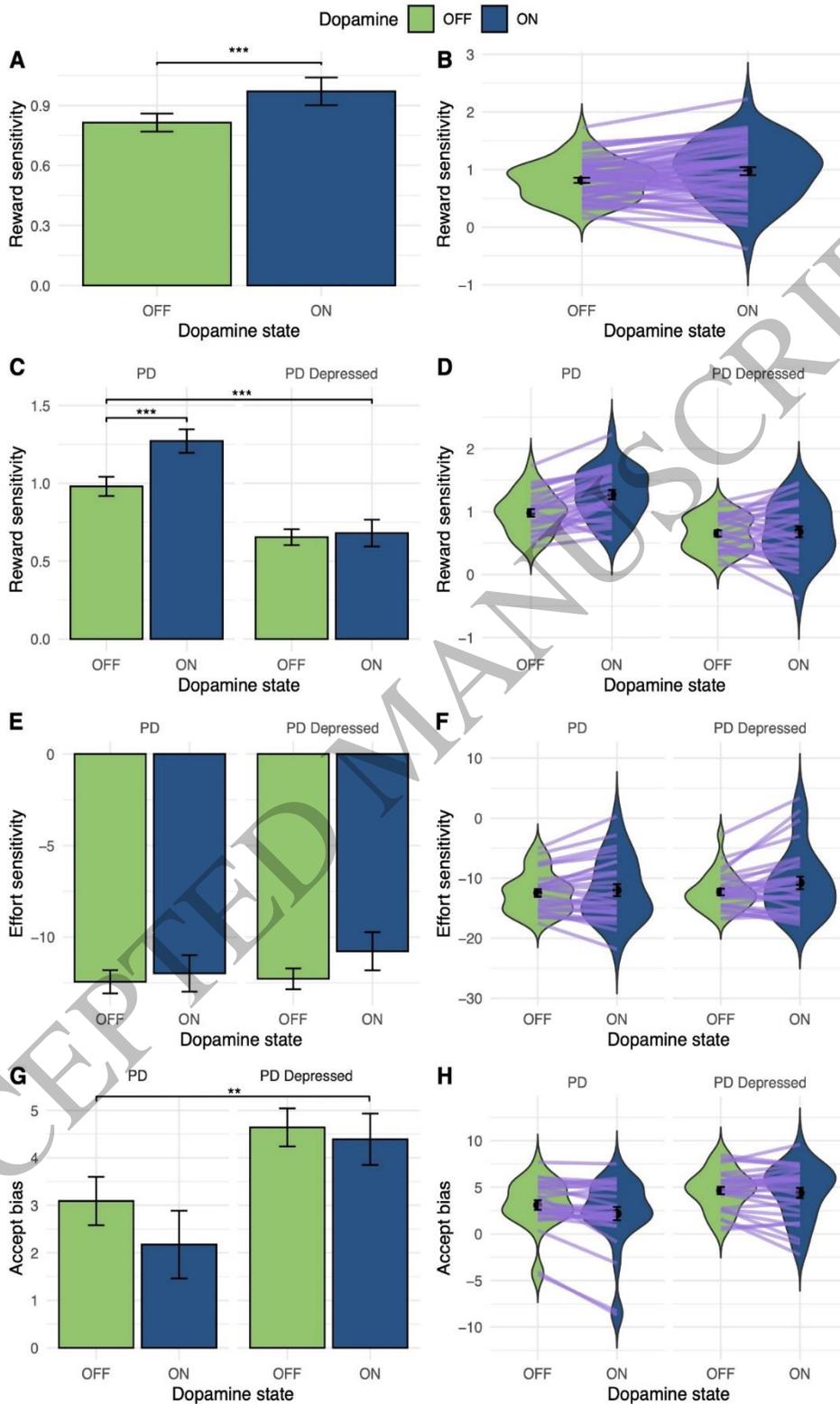


Figure 4
156x246 mm (x DPI)

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