

# Alternative Learning Paradigms for Image Quality Transfer

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## Abstract

1 Image Quality Transfer (IQT) aims to enhance the contrast and resolution of low-quality  
2 medical images, e.g. obtained from low-power devices, with rich information learned from  
3 higher quality images. In contrast to existing IQT methods in the literature which adopt  
4 supervised learning frameworks, in this work, we propose two novel formulations of the IQT  
5 problem. The first approach uses an unsupervised learning framework, whereas the second  
6 is a combination of both supervised and unsupervised learning. The unsupervised learning  
7 approach considers a sparse representation (SRep) and dictionary learning model, which  
8 we call IQT-SRep, whereas the combination of supervised and unsupervised learning ap-  
9 proach is based on deep dictionary learning (DDL), which we call IQT-DDL. The IQT-SRep  
10 approach trains two dictionaries using a sparse representation model using pairs of low-  
11 and high-quality volumes. Subsequently, the sparse representation of a low-quality block,  
12 in terms of the low-quality dictionary, can be directly used to recover the corresponding  
13 high-quality block using the high-quality dictionary. On the other hand, the IQT-DDL ap-  
14 proach explicitly learns a high-resolution dictionary to upscale the input volume, while the  
15 entire network, including high dictionary generator, is simultaneously optimised to take full  
16 advantage of deep learning methods. The two models are evaluated using a low-field mag-  
17 netic resonance imaging (MRI) application aiming to recover high-quality images akin to  
18 those obtained from high-field scanners. Experiments comparing the proposed approaches  
19 against state-of-the-art supervised deep learning IQT method (IQT-DL) identify that the  
20 two novel formulations of the IQT problem can avoid bias associated with supervised meth-  
21 ods when tested using out-of-distribution data that differs from the distribution of the data  
22 the model was trained on. This highlights the potential benefit of these novel paradigms  
23 for IQT.

24 **Keywords:** Image Quality Transfer, Supervised Learning, Unsupervised Learning, Sparse  
25 Representation, Dictionary Learning, Deep Dictionary Learning, Deep Learning, Out-of-  
26 Distribution, In-distribution

27 **1. Introduction**

28 Image Quality Transfer (IQT) Alexander et al. (2014, 2017); Lin et al. (2019); Tanno et al.  
29 (2021); Lin et al. (2021, 2022); Kim et al. (2023) is a machine learning technique that is used  
30 to enhance the resolution and contrast of low-quality clinical data using rich information in  
31 high-quality images. For example given an image from a standard hospital scanner or rapid  
32 acquisition protocol, we might estimate the image we would have got from the same subject  
33 using a high-power experimental scanner available only in specialist research centres or a  
34 richer acquisition protocols too lengthy to run on every patient. IQT is a vital component of  
35 efforts to democratise the capabilities of high power rare experimental systems broadening  
36 the accessibility e.g. to lower and middle income countries Anazodo et al. (2022). This  
37 technique learns mappings from low-quality (e.g. clinical) to high-quality (e.g. experimental)  
38 images exploiting the similarity of image structure across subjects, regions, modalities, and  
39 scales. The mapping may then operate directly on low-quality images to estimate the  
40 corresponding high-quality images. Early work Alexander et al. (2017); Blumberg et al.  
41 (2018); Tanno et al. (2021) focused on diffusion MRI and showed remarkable ability to  
42 enhance both contrast and resolution and enabled tractography to recover small pathways  
43 impossible to reconstruct at the acquired resolution. Recent work Lin et al. (2021) extends  
44 the idea to standard structural MRI, particularly targeting application to low-field MRI  
45 systems. IQT technique Alexander et al. (2017) differs from super-resolution in computer  
46 vision Lau et al. (2023); Zhou et al. (2020, 2021); Li et al. (2024) in several key aspects.  
47 In general super-resolution aim to up-sample an image, whereas IQT aims to transfer the  
48 quality of information from an image to the other. This means that IQT is not limited  
49 to increasing the spatial resolution of images. While super-resolution techniques primarily  
50 focus on enhancing the spatial resolution, IQT also aims to improve the image contrast.  
51 This dual enhancement is crucial for medical imaging applications where both resolution  
52 and contrast are necessary for accurate diagnosis and analysis. Moreover, super-resolution  
53 techniques are generally used to upsample images, making them appear sharper and more  
54 detailed. In contrast, IQT is specifically designed to transfer the quality from high-quality  
55 images to low-quality images. This is particularly beneficial in medical imaging, where  
56 high-quality images from advanced scanners are used to enhance the quality of images  
57 obtained from lower-power or less advanced scanners. Lastly, IQT differs from modality  
58 transfer methods, which maps one modality to another to obtain multi-modality information  
59 Iglesias et al. (2021, 2023, 2022), whereas IQT's primary goal is to enhance the existing  
60 image quality, specifically improving resolution and contrast rather than the developing  
61 new content. By highlighting these differences, we aim to clearly delineate the unique  
62 characteristics and advantages of the IQT task.

63 Machine learning models are often trained on a specific data distribution, but may  
64 encounter unseen data from different distributions in real-world scenarios. This poses a  
65 critical challenge for the security and reliability of machine learning systems, especially  
66 in some error-sensitive applications, such as medical diagnosis including the application  
67 investigated in this work. One of its powerful capabilities lies in the promising generalisation  
68 ability from training data to unseen in-distribution (InD) data. However, the finite training  
69 data cannot guarantee the completeness of data distribution, so it is inevitable to encounter

70 out-of-distribution (OOD) data. Machine learning models can be broadly categorised into  
71 supervised, unsupervised and self-supervised learning models. In supervised learning, the  
72 model is trained by paring inputs with their expected outputs. However, this is far from  
73 being practical, since the full data distribution cannot be represented in the training data  
74 set. To circumvent this difficulty, unsupervised and self-supervised learning methods can  
75 be used.

76 All IQT models proposed in the literature use supervised learning frameworks to learn  
77 a regression between matched patches in low- and high-quality images. In particular deep  
78 learning frameworks substantially outperform the original random-forest implementation in  
79 terms of global error metrics for enhancement of both diffusion-tensor MRI and low-field  
80 structural MRI Alexander et al. (2014, 2017); Lin et al. (2019); Tanno et al. (2021); Lin et al.  
81 (2021, 2022). However, interpretation of images enhanced via such regression models needs  
82 caution. First, regression models in general can lead to bias that depends on the training  
83 data distribution Obermeyer et al. (2019). In particular, inputs (here patches) that are rare  
84 in the training data are often skewed towards outputs more common in training data; and  
85 degenerate regions of the input-space where the mapping is ambiguous are often mapped to a  
86 consistent mean giving a false impression of consistent and confident output. Moreover, the  
87 performance of deep-learning based methods can degrade even more with OOD data. These  
88 effects have been well documented in other image-related regression applications recently,  
89 such as parameter mapping Gyori et al. (2022). So far, they have not been considered in IQT  
90 and image enhancement although similar effects are likely to arise. Additional problems,  
91 particularly in deep learning, can arise from over-fitting and under-fitting which can further  
92 add to bias in estimates particularly for examples that are over/under-represented in the  
93 training data. Moreover, state-of-the-art IQT models, specifically deep neural networks, are  
94 generally designed for a static and closed world Krizhevsky et al. (2017); He et al. (2015).  
95 The models are trained under the assumption that the input distribution at test time will be  
96 the same as the training distribution. In real world MRI data, however, deep-learning-based  
97 techniques effectiveness diminishes when applied to images that differ significantly from the  
98 training data set Gu et al. (2019). Although various approaches have been developed to  
99 tackle this issue, such as training networks to handle multiple types of degradation Soh  
100 et al. (2020); Xu et al. (2020); Zhang et al. (2018a); Zhou and Susstrunk (2019) and making  
101 models less sensitive to degradation through iterative optimisations Shocher et al. (2018);  
102 Gu et al. (2019), it is also crucial to enhance the robustness of the network structure.

103 Sparse representation (SRep) using dictionary learning is an unsupervised learning  
104 framework that assumes a given signal is sparse in some domain (Wavelets, Fourier,  
105 discrete cosine transform, etc.). SRep has proven robust to noise and redundancy in the data,  
106 where supervised deep learning algorithms encounter problems Elad (2010). In the IQT  
107 context, low and high-quality dictionaries ( $\mathbf{D}_\ell$ , and  $\mathbf{D}_h$  respectively) can be trained using  
108 a sparse representation model using pairs of low- and high-quality volumes. Subsequently,  
109 the sparse representation of a low-quality block, in terms of the low-quality dictionary  $\mathbf{D}_\ell$ ,  
110 can be directly used to recover the corresponding high-quality block using the high-quality  
111 dictionary  $\mathbf{D}_h$ . As such, low-quality or high-quality volume patches are represented as a  
112 linear combinations of atoms drawn from a dictionary. SRep has been successfully applied

113 to many other related inverse problems in image processing, such as denoising Li et al.  
114 (2012); Elad and Aharon (2006), restoration Zhang et al. (2014); Li et al. (2012), image  
115 quality assessment Liu et al. (2017, 2018, 2024, 2019), outlier or anomaly detection Eldaly  
116 (2018); Eldaly et al. (2019), image reconstruction Eldaly and Alexander (2024); Eldaly et al.  
117 (2025), and super resolution Yang et al. (2010). In a convex optimisation framework, train-  
118 ing and testing samples are forced to follow the observation model of the imaging system on  
119 hand. Therefore, any new unseen test samples (either InD or OOD) will follow this model,  
120 which can avoid the “regression to the mean” problems observed with supervised regression  
121 models, often observed in OOD data.

122 On the other hand, in supervised deep learning, Dong et al. Dong et al. (2014) replaced  
123 the dictionary learning using sparse representation steps described above with a multilay-  
124 ered convolutional neural network to take advantage of the powerful capability of deep  
125 learning. As such, the low and high-quality dictionaries are implicitly acquired through  
126 network training. Various methods have been proposed to improve the performance of this  
127 approach such as in Kim et al. (2016); Lim et al. (2017); Tai et al. (2017); Zhang et al.  
128 (2018b). However, most of these studies, follow the same formality as in Dong et al. (2014)  
129 from a general perspective, where all the processes in the sparse-coding-based methods  
130 are replaced by a multilayered network. Recently, deep dictionary learning Tariyal et al.  
131 (2016) is proposed to take advantage of both transductive and inductive nature of dictionary  
132 learning and deep learning, respectively, and is very well suited where there is a scarcity  
133 of training data. While dictionary learning focuses on learning “basis” and “features” by  
134 matrix factorisation, deep learning focuses on extracting features via learning “weights”  
135 or “filter” in a greedy layer by layer fashion. Deep dictionary learning has been applied  
136 to various problems including recognition Tang et al. (2020); Sharma et al. (2017), image  
137 inpainting Deshpande et al. (2020), super resolution Huang and Dragotti (2018); Zhao et al.  
138 (2017), classification Majumdar and Singhal (2017); Majumdar and Ward (2017); Manjani  
139 et al. (2017), and load monitoring Singh and Majumdar (2017).

140 In this work, in contrast to existing IQT models in the literature, we propose two novel  
141 IQT algorithms, from which one is an example of unsupervised learning while the other is  
142 an example of blended supervised and unsupervised learning. The first approach is based  
143 on a sparse representation model and dictionary learning, which we call IQT-SRep. In this  
144 approach, low and high-quality dictionaries can be trained using a sparse representation  
145 model using pairs of low- and high-quality volumes. Subsequently, the sparse representation  
146 of a low-quality block, in terms of the low-quality dictionary, can be directly used to recover  
147 the corresponding high-quality block using the high-quality dictionary. The second approach  
148 is based on deep dictionary learning which we call IQT-DDL. This approach explicitly learns  
149 high-quality dictionary through network training. The main network predicts the high-  
150 quality dictionary coefficients, and the weighted sum of the dictionary atoms generates a  
151 high-quality output. This approach differs fundamentally from traditional deep-learning  
152 methods, which typically employ upsampling layers within the network. The upsampling  
153 process in our IQT approach is efficient since pre-generated high-quality dictionary serves  
154 as a magnifier during inference. Additionally, the main network no longer needs to retain  
155 pixel-level information in the high-quality space, enabling it to focus solely on predicting

156 the dictionary coefficients. The main advantages of these two novel formulations are that  
157 they are robust to super resolve heavily OOD test data, and they are well suited where there  
158 is a scarcity of training data. We demonstrate the two models using experiments from a  
159 low-field MRI application and compare the results with the recently proposed state-of-the-  
160 art supervised deep learning approach Lin et al. (2022). As such, the main contributions of  
161 this paper can be summarised as follows.

- 162 1. We propose two new formulations of the IQT technique, from which one is an un-  
163 supervised learning based (IQT-SRep), and one is based on a combination of both  
164 supervised and unsupervised learning (IQT-DDL). Both of these formulations have  
165 never been previously applied to the IQT problem in literature.
- 166 2. The IQT-SRep approach is based on sparse representation and dictionary learning  
167 model and assumes that a given low- or high-quality volume patch can be represented  
168 as a linear combination of atoms drawn from a dictionary that is trained using training  
169 examples of pairs of low- and high-quality volume patches. This requires training of  
170 a pair of coupled dictionaries using a sparse representation model using pairs of low-  
171 and high-quality volumes.
- 172 3. The IQT-DDL approach is based on a combination of supervised and unsupervised  
173 learning using deep dictionary learning. This approach assumes that a given low- or  
174 high-quality volume patch can be represented as a non-linear combination of atoms  
175 drawn from a dictionary that is trained using training examples of pairs of low- and  
176 high-quality volume patches.
- 177 4. We demonstrate the performance of the model using experiments from a low-field  
178 MRI application, using both InD and OOD data, and compare with the state-of-the-  
179 art supervised deep learning IQT method, for low-field MRI enhancement.

180 The remaining sections of the paper are organised as follows. Section 2 formulates the  
181 problem of IQT using three learning techniques; the formulations that we propose here for  
182 IQT-SRep and IQT-DDL are described in detail, and finally, the supervised deep learning  
183 approach proposed in Lin et al. (2022) is briefly presented for comparison. Experiments  
184 conducted using a low-field MRI application synthesised using data from the human con-  
185 nectome project (HCP) are presented in Section 3. A general discussion is then presented  
186 in 4. Conclusions and future work are finally reported in Section 5.

187 **2. Proposed Approaches**

188 **2.1 Image quality transfer using sparse representation and dictionary learning**  
189 **(IQT-SRep)**

190 **2.1.1 IMAGING MODEL**

191 The IQT problem can be mathematically formulated as follows: Given an original vectorised  
192 high-quality volume  $\mathbf{X} \in \mathbb{R}^M$ , its corresponding low-quality version is denoted as  $\mathbf{Y} \in \mathbb{R}^P$ ,  
193 where the relation between the two volumes can be modeled as

$$\mathbf{Y} = \mathbf{LH}\mathbf{X} + \mathbf{W}, \quad (1)$$

194 where  $\mathbf{H}$  is the matrix representing a linear blurring operator,  $\mathbf{L}$  is the downsampling  
195 operator, and  $\mathbf{W}$  stands for additive noise, modelling observation noise and model mismatch  
196 and is assumed to be a white Gaussian noise sequence. This equation states that  $\mathbf{Y}$  is a  
197 blurred and down-sampled version of the original high-quality volume  $\mathbf{X}$ .

198 In IQT, the goal is to recover a high-quality volume  $\hat{\mathbf{X}}$  given its blurred and down-  
199 sampled version  $\mathbf{Y}$ , such that  $\hat{\mathbf{X}} \approx \mathbf{X}$ . The problem of estimating  $\mathbf{X}$  from  $\mathbf{Y}$  in Eq. (1)  
200 is an ill-posed linear inverse problem (LIP), i.e., the matrix  $\mathbf{LH}$  is singular and/or very  
201 ill-conditioned, since for a given low-quality input, infinitely many high-quality volumes  
202 satisfy the above equation. Consequently, this problem requires additional regularisation (or  
203 prior information from Bayesian perspective) in order to reduce uncertainties and improve  
204 estimation performance.

205 Figure 1 shows a schematic diagram to the IQT problem using a sparse representa-  
206 tion model and dictionary learning. The proposed model consists of two separate stages.  
207 First, the coupled low-quality and high-quality dictionaries,  $\mathbf{D}_\ell$  and  $\mathbf{D}_h$  respectively, are  
208 constructed from training data set. Then, a reconstruction algorithm is applied to upscale  
209 a test low-quality volume to recover its high-quality version. This algorithm considers the  
210 patch-based sparse prior model to recover an estimate to the high-quality volume in a patch-  
211 by-patch basis. The following sections provide more details about the two stages mentioned  
212 above.

213 **2.1.2 JOINT DICTIONARY CONSTRUCTION**

214 Constructing the high-quality and low-quality dictionaries requires a set of matched high-  
215 and low-quality volume patches. The training set is composed by a set of high-quality  
216 and the corresponding low-quality volumes. As proposed by Zeyde et al. (2010), the high-  
217 quality volumes are processed to obtain only the high-frequency information, whereas the  
218 intensity maps are used for the low-quality volumes. Each of the high- and low-quality  
219 volumes are then split into a set of 3D patches which are vectorised and training pairs  
220 are generated. Patches containing  $> 80\%$  background voxels are excluded from the patch  
221 library. The coupled-dictionary training algorithm proposed by Zeyde et al. (2010) is then

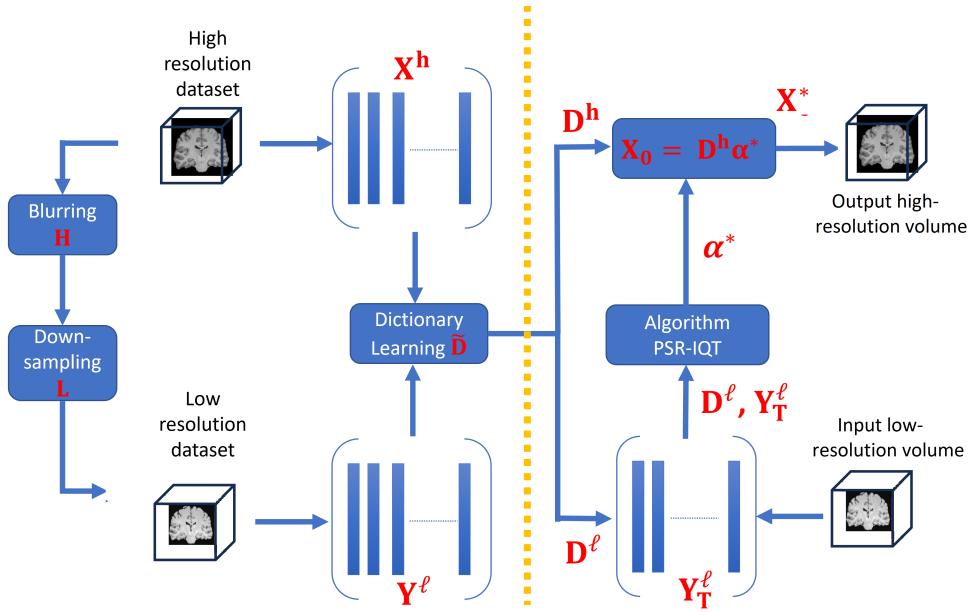


Figure 1: A schematic diagram of the proposed IQT approach using sparse representation and dictionary learning (IQT-SRep), where  $\mathbf{D}_h$ : High-quality dictionary,  $\mathbf{D}_\ell$ : Low-quality dictionary,  $\mathbf{Y}$ : Low-quality input volume,  $\mathbf{X}_0$ : Initial high-quality volume,  $\lambda, \beta$  Regularisation parameters,  $\sqrt[3]{m}$ : Patch size,  $p$ : Number of pixel overlap,  $s$ : Scale,  $\mathbf{y}$ : A patch from the low-quality image  $\mathbf{Y}$ ,  $\mu$ : Mean intensity of the patch  $\mathbf{y}$ ,  $\alpha$ : Sparse representation coefficients,  $\alpha^*$ : Optimised sparse representation coefficients,  $\mathbf{F}$ : Transformation matrix,  $\mathbf{x}$ : High-quality patch, and  $\mathbf{X}^*$ : High-quality volume.

222 used in order to obtain the low- and high-quality dictionaries  $\mathbf{D}_\ell$  and  $\mathbf{D}_h$  respectively. For  
 223 this local model, the two dictionaries  $\mathbf{D}_h$  and  $\mathbf{D}_\ell$  are trained such that they share the  
 224 same sparse representations for each high- and low-quality volume patch pair. Finally, the  
 225 dimensionality of  $\mathbf{D}_\ell$  may be reduced to speed up the subsequent computations, given the  
 226 intrinsic redundancy of the multi-scale edge analysis. For doing so, a Principal Component  
 227 Analysis (PCA) is applied to this matrix, searching for a set of projection coefficients that  
 228 represents at least 90% of the original variance. All patches are collected together to form  
 229 the reduced low-quality dictionary  $\mathbf{D}_\ell$ , whereby the number of atoms in the dictionary has  
 230 not changed.

231 2.1.3 PATCH-BASED SPARSITY PRIOR MODEL

232 The low-quality volume  $\mathbf{Y}$  can be split into a set of overlapping 3D patches  $\mathbf{y}$ , each of size  
 233  $\sqrt[3]{m} \times \sqrt[3]{m} \times \sqrt[3]{m}$ . With the sparse generative model, each patch  $\mathbf{y}$  can be represented by  
 234 a linear combination of a few atoms drawn from a dictionary  $\mathbf{D}_\ell$ , which characterises the  
 235 low-quality patches. This can be written as

$$\mathbf{y} = \mathbf{D}_\ell \boldsymbol{\alpha}_\ell, \quad (2)$$

236 where  $\boldsymbol{\alpha} \in \mathbb{R}^K$  is a sparse vector and  $\|\boldsymbol{\alpha}\|_0 \ll K$ . The corresponding high-quality patch  $\mathbf{x}$ ,  
 237 with size  $\sqrt[3]{p} \times \sqrt[3]{p} \times \sqrt[3]{p}$ , can be computed by again applying the following sparse generative  
 238 model

$$\mathbf{x} = \mathbf{D}_h \boldsymbol{\alpha}_h. \quad (3)$$

239 From Eq. (2) and (3), it can be assumed that the sparse representation of a low-quality  
 240 patch in terms of  $\mathbf{D}_\ell$  can be directly used to recover the corresponding high-quality patch  
 241 from  $\mathbf{D}_h$ , namely, that  $\boldsymbol{\alpha}_\ell = \boldsymbol{\alpha}_h$ . Therefore, the reconstructed high-quality image  $\hat{\mathbf{X}}$  can  
 242 be built by applying the sparse representation to each patch  $\mathbf{y}$  in  $\mathbf{Y}$  and then using the  
 243 estimated  $\boldsymbol{\alpha}$  with  $\mathbf{D}_h$  to obtain each  $\mathbf{x}$ , which together form the image  $\hat{\mathbf{X}}$ .

244 2.1.4 LOCAL RECONSTRUCTION BY SPARSITY

245 The aim is to estimate a high-quality version  $\tilde{\mathbf{X}}$  from a given low-quality volume  $\mathbf{Y}$ . Given a  
 246 test low-quality volume, for each input low-quality patch  $\mathbf{y}$ , we find a sparse representation  
 247 with respect to  $\mathbf{D}_\ell$ . The corresponding high-quality patch bases  $\mathbf{D}_h$  will be combined  
 248 according to these coefficients to generate the output high-quality patch  $\mathbf{x}$ . The problem of  
 249 finding the sparsest representation of  $\mathbf{y}$  can be formulated as

$$\underset{\boldsymbol{\alpha}}{\text{minimise}} \quad \frac{1}{2} \|\mathbf{F} \mathbf{D}_\ell \boldsymbol{\alpha} - \mathbf{F} \mathbf{y}\|_2^2 + \lambda \|\boldsymbol{\alpha}\|_1, \quad (4)$$

250 where  $\lambda$  balances sparsity of the solution and fidelity of the approximation to  $\mathbf{y}$ , and  $\mathbf{F}$  is a  
 251 linear feature extraction operator as in Zeyde et al. (2010). Given the optimal solution  $\boldsymbol{\alpha}^*$   
 252 of Eq.(4), the high-quality patch  $\mathbf{x}$  can be reconstructed as  $\mathbf{x} = \mathbf{D}_h \boldsymbol{\alpha}^*$ . This optimisation  
 253 problem can be solved using the Basis Pursuit algorithm Chen and Donoho (1994).

254 The complete IQT process is summarised in Algorithm (1). In this algorithm, the input  
 255 low-quality volume  $\mathbf{Y}$  is up-sampled using bicubic interpolation to provide a preliminary  
 256 high-resolution volume. For each cubic patch of size  $\sqrt[3]{m} \times \sqrt[3]{m} \times \sqrt[3]{m}$  from the up-sampled  
 257 volume, starting from the top left corner with an overlap  $p$ , the mean intensity  $\mu$  of the  
 258 patch is computed to ensure that the dictionary represents image textures rather than  
 259 absolute intensities. The sparse representation  $\boldsymbol{\alpha}^*$  of the patch is then obtained by solving  
 260 an optimisation problem that minimises the difference between the transformed low-quality  
 261 patch and its sparse representation in the low-quality dictionary  $\mathbf{D}_\ell$ , subject to a sparsity  
 262 constraint controlled by the regularisation parameter  $\lambda$ . Using the high-quality dictionary  
 263  $\mathbf{D}_h$  and the sparse coefficients  $\boldsymbol{\alpha}^*$ , a high-quality patch  $\mathbf{x}$  is generated. This high-quality  
 264 patch, with the mean intensity restored, is placed in the corresponding location in the initial  
 265 high-quality volume  $\mathbf{X}_0$ . After processing all patches, the final high-quality volume  $\mathbf{X}$  is  
 266 obtained.

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**Algorithm 1** IQT using patch-based sparse representation and dictionary learning (IQT-SRep)

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- 1: **Input:**  $\mathbf{D}_h, \mathbf{D}_\ell$  and  $\mathbf{Y}$
- 2: **Initialise**  $\mathbf{X}_0$ , **Choose** Regularisation parameters  $\lambda, \beta$ , Patch-size  $\sqrt[3]{m}$ , pixel-overlap  $p$  and scale  $s$
- 3: Up sample the input low-quality volume using bicubic interpolation.
- 4: **For** each  $\sqrt[3]{m} \times \sqrt[3]{m} \times \sqrt[3]{m}$  patch  $\mathbf{y}$  from an image  $\mathbf{Y}$ , from top left corner of the volume, with an overlap  $p$ 
  - Compute: mean intensity  $\mu$  of the patch  $\mathbf{y}$
  - Solve:  $\boldsymbol{\alpha}^* = \underset{\boldsymbol{\alpha}}{\text{minimise}} \frac{1}{2} \|\mathbf{F}\mathbf{D}_\ell \boldsymbol{\alpha} - \mathbf{F}\mathbf{y}\|_2^2 + \lambda \|\boldsymbol{\alpha}\|_1$
  - Generate the high-quality patch  $\mathbf{x} = \mathbf{D}_h \boldsymbol{\alpha}^*$
  - Place the high-quality patch  $\mathbf{x} + \mu$  in the high-quality volume  $\mathbf{X}_0$
- 5: **End**
- 6: **Output** High-quality volume  $\mathbf{X} = \mathbf{X}_0$

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267 **2.2 Image quality transfer using deep dictionary learning (IQT-DDL)**

268 The IQT using a deep dictionary learning model is composed of three main steps: constructing  
 269 the high-quality dictionary  $\mathbf{D}_H$ , per-pixel prediction, and finally image reconstruction  
 270 from patches. The high-quality dictionary  $\mathbf{D}_H$  is generated from random noise input. The  
 271 per-pixel predictor then estimates the coefficients of  $\mathbf{D}_H$  for each pixel from a low-quality  
 272 input. In the reconstruction phase, the high-quality image can be computed using the  
 273 weighted sum of the elements (or atoms) of  $\mathbf{D}_H$ . In this work, we use L1 loss function  
 274 to optimise the network  $L = \frac{1}{M} \sum_{m=1}^M \|I_m^{gt} - \Theta(I_m^{lq})\|_1$ , where  $I_m^{lq}$  and  $I_m^{gt}$  are low- and  
 275 high-quality patches respectively,  $M$  is the number of training pairs, and  $\Theta(\cdot)$  represents a  
 276 function of the IQT-DDL network. Figure 2 provides a schematic diagram of the proposed  
 277 method. The following sections provide more details about each step.

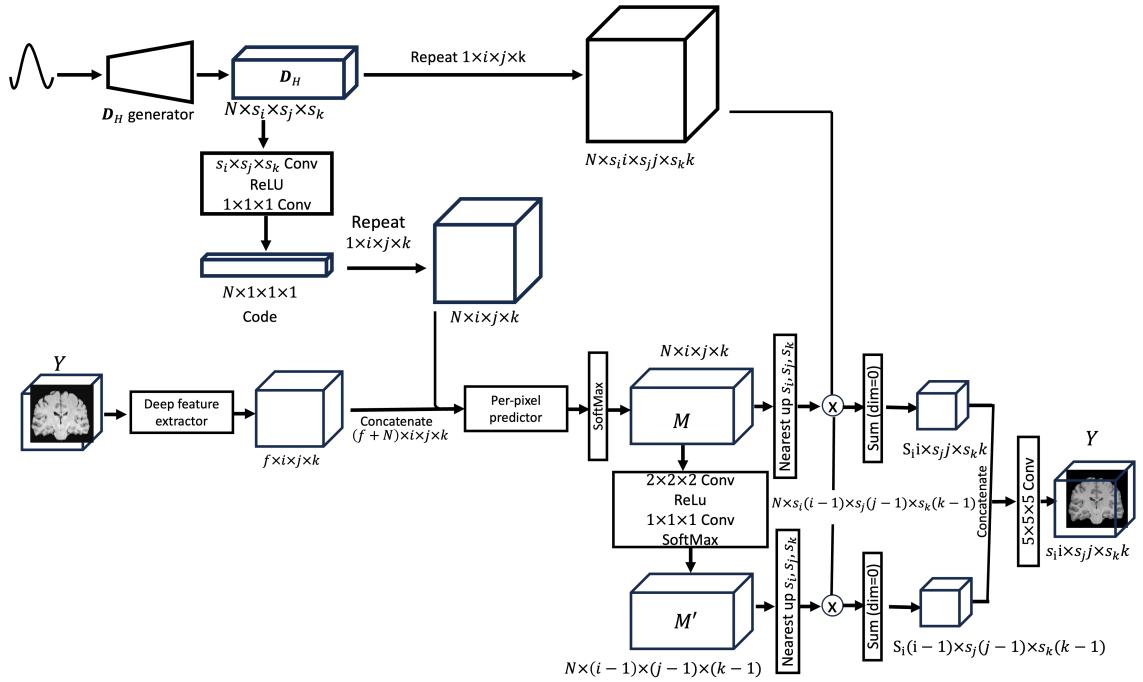


Figure 2: A schematic diagram of IQT using deep dictionary learning. Random noise generates the high-resolution dictionary  $\mathbf{D}_H$ . Then a per-pixel predictor takes as input a concatenation of an encoded code of  $\mathbf{D}_H$  and an extracted feature. A final image based on  $\mathbf{D}_H$  is then constructed using predictor output.

278 2.2.1 CONSTRUCTION OF THE HIGH-QUALITY DICTIONARY  $\mathbf{D}_H$

279 The high-quality dictionary  $\mathbf{D}_H^{(N \times s_i \times s_j \times s_k)}$  is constructed from random noise using a stan-  
 280 dard Gaussian distribution, where  $s_i, s_j$  and  $s_k$  are up-scaling factors in  $i, j$  and  $k$  directions, and  $N$  is the number of dictionary atoms. The high-quality dictionary  $\mathbf{D}_H$  is then encoded  
 281 by  $s_i \times s_j \times s_k$  convolution with groups  $N$ , followed by ReLU Nair and Hinton (2010) and  
 282  $1 \times 1 \times 1$  convolution. Each  $N$  element of the resultant code  $\mathbf{C}_H^{N \times 1 \times 1 \times 1}$  represents each  
 283  $s_i \times s_j \times s_k$  atom as a scalar value. Note that low-quality dictionaries can be naturally  
 284 replaced by convolutional operations, and therefore only  $\mathbf{D}_H$  is constructed. The  $\mathbf{D}_H$  gen-  
 285 erator has a tree-like structure, where the nodes consist of two  $1 \times 1 \times 1$  convolutional layers  
 286 with ReLU activation. The final layer has a Tanh activation followed by a pixel shuffling  
 287 layer. To produce  $N$  atoms, depth  $d$  of the generator is determined as  $d = \log_2(N)$ .

289 2.2.2 PER-PIXEL PREDICTION

290 We use the UNet++ Zhou et al. (2018) as a deep feature extractor in Fig. 2, with depth  
 291 of three, and a long skip connection is added. For an input image  $I \in \mathbb{R}^{i \times j \times k}$ , the deep  
 292 feature extractor generates a tensor of size  $f \times i \times j \times k$ . The per-pixel predictor then takes  
 293 as input a concatenation of the extracted feature and the expanded code of  $\mathbf{D}_H$ , such that  
 294  $\mathbf{C}_H^{N \times i \times j \times k} = R_{1 \times i \times j \times k}(\mathbf{C}_H^{N \times 1 \times 1 \times 1})$ , where  $R_{a \times b \times c}(\cdot)$  denotes the  $a \times b \times c$  repeat operations.  
 295 The per-pixel predictor is composed of ten bottleneck residual blocks followed by a softmax  
 296 function that computes the  $N$  coefficients of  $\mathbf{D}_H$  for each input pixel. Both the deep feature  
 297 extractor and per-pixel predictor contain batch normalisation layers Loffe and Normaliza-  
 298 tion (2014) before the ReLU activation. The resultant prediction map  $M^{N \times i \times j \times k}$  is further  
 299 convolved with a  $2 \times 2 \times 2$  convolution layer to produce a complementary prediction map  
 300  $M'^{N \times (i-1) \times (j-1) \times (k-1)}$ , that compensates the patch boundaries when reconstructing the fi-  
 301 nal output. The detail of the compensation mechanism is described in the next subsection.

302 2.2.3 RECONSTRUCTION

303 The prediction map  $M^{N \times i \times j \times k}$  is upscaled to  $N \times s_i \times s_j \times s_k$  by nearest-neighbor interpo-  
 304 lation, and the element-wise multiplication of that upscaled prediction map  $U_{s_i s_j s_k}(M^{N \times i \times j \times k})$   
 305 with the expanded dictionary  $R_{1 \times i \times j \times k}(\mathbf{D}_H^{N \times s_i \times s_j \times s_k})$  produces  $N \times s_i \times s_j \times s_k$  tensor  $T$   
 306 consists of weighted atoms. The  $U_{s_i, s_j, s_k}(\cdot)$  denotes  $s_i \times s_j \times s_k$  nearest neighbor upsampling.  
 307 Finally, the tensor  $T$  is summed over the first dimension, producing the output  $x$  as

$$x^{1 \times s_i \times s_j \times s_k} = \sum_{n=0}^{N-1} T^{N \times s_i \times s_j \times s_k}[n, :, :, :], \quad (5)$$

$$T^{N \times s_i \times s_j \times s_k} = U_{s_i, s_j, s_k}(M^{N \times i \times j \times k}) \otimes R_{1 \times i \times j \times k}(\mathbf{D}_H^{N \times s_i \times s_j \times s_k}). \quad (6)$$

308 The same sequence of operations is applied to the complementary prediction map to  
309 obtain the output  $x'$ . The final high-field prediction is obtained by centering  $x$  and  $x'$  on  
310 top of each other and concatenating the overlapping parts of the centered  $x$  and  $x'$ , and  
311 applying a  $5 \times 5 \times 5$  convolution. For non-overlapping parts,  $x$  is simply used as the final  
312 output.

313 **2.3 Image quality transfer using deep learning (IQT-DL)**

314 A supervised learning IQT algorithm which was implemented using a deep learning frame-  
315 work (IQT-DL) is recently proposed Lin et al. (2022, 2019). This approach was used for  
316 IQT application in low-field MRI and showed superior performance compared to existing  
317 methods. The model is based on an anisotropic U-Net trained on matched pairs of image  
318 patches from real high-field and synthetic low-field volumes generated by a stochastic dec-  
319 imation model which is presented in the Experiments section. This model considered the  
320 anisotropic U-Net architecture, which is an adaptation of the U-Net architecture to map  
321 input and output patches that differ in voxel dimension by the downsampling factor,  $s$ , in  
322 the slice direction. The main additions to the classic U-Net architecture are a bottleneck  
323 block, connecting corresponding levels of the contracting and expanding paths, and a resid-  
324 ual core used to include more convolutional layers on each level. All convolution layers are  
325 activated by Rectified Linear Unit (ReLU) with Batch Normalisation (BN). The average  
326 voxel-wise mean square error over all patch pairs was used as a loss function. For more  
327 details and a block diagram of their proposed approach, see Lin et al. (2022).

328 **3. Experiments**

329 The performance of the proposed IQT-SRep and IQT-DDL approaches is demonstrated  
330 using a low-field MRI application, using both in-distribution (InD) and out-of distribution  
331 (OOD) datasets. The aim is to recover contrast enhanced and super-resolved images akin  
332 to those obtained using high field MRI scanners, standard in higher income countries,  
333 from low-field MR images from scanners still widely used in low-and-middle class income  
334 countries (LMICs). The proposed approaches are compared against the state-of-the-art  
335 supervised deep learning framework (IQT-DL) Lin et al. (2022, 2019), described in the  
336 previous section, to reveal both advantages and disadvantages of each of them. The main  
337 data set for training and testing is derived from the T1-weighted MRI images provided  
338 by the Human Connectome Projects (HCP), acquired on a 3 Tesla Siemens Connectome  
339 scanner Sotropoulos et al. (2013a), with a 0.7-mm isotropic voxel. The repetition time  
340 (TR), echo time (TE), and inversion time (TI) for T1w are set to 2400, 2.14, and 1000 ms,  
341 respectively. We have chosen 65 subjects, from which 60 were used for training and 5  
342 for testing. The training and testing datasets are synthesised using a stochastic low-field  
343 simulator described in Lin et al. (2022), the inputs of which are the signal-to-noise ratio  
344 (SNR) in gray matter (GM) and white matter (WM). The training data set is built using,  
345 for each synthetic volume, a randomly sampled SNR pair from the bivariate Gaussian  
346 distribution estimated from a real low-field MRI data set acquired in Nigeria Lin et al.

347 (2022). Three Low-field test datasets, five volumes each, are synthesised. Two test datasets  
 348 are synthesised using parameters sampled from the same 2D Gaussian distribution used for  
 349 the training set, and are called in-distribution data (InD1 and InD2). In particular, InD1 is  
 350 synthesised with parameters using a Mahalanobis distance  $< 1$ , and InD2 with Mahalanobis  
 351 distance  $> 3$ , with the constraint of having the SNR higher in WM than in GM, to keep  
 352 the tissue contrast compatible with T1w. The simulation parameters of third data set are  
 353 sampled from a distribution estimated from ultra-low field T1w images, and is called out-  
 354 of-distribution (OOD) data set. Figure 3 shows a schematic diagram of both training and  
 355 testing data structure, with the stochastic low-field image simulator for training and testing  
 samples described below.

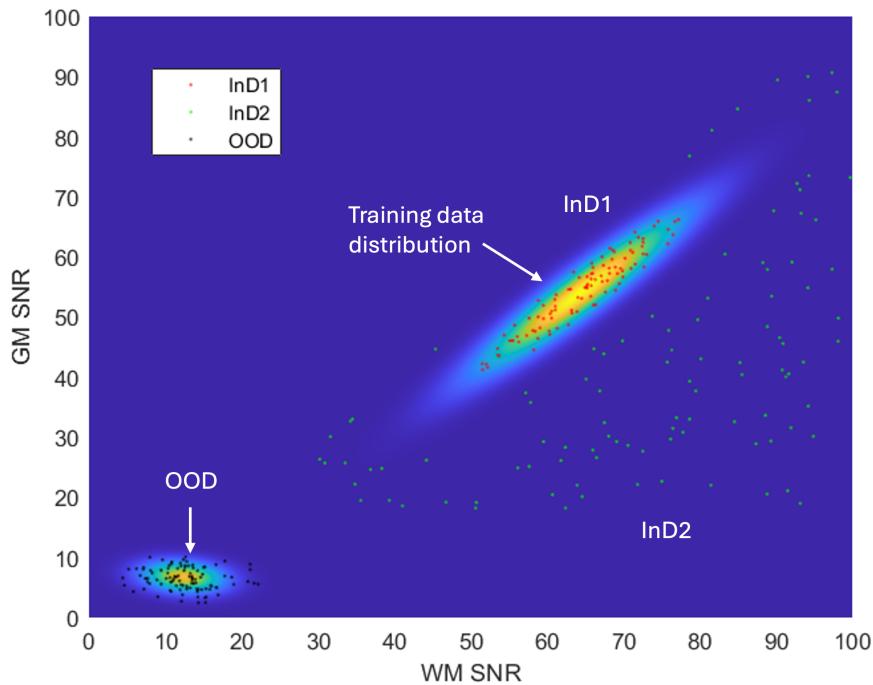


Figure 3: A schematic diagram of training and testing datasets. The two test in distribution datasets (InD1 and InD2) are synthesised using parameters sampled from the same 2D Gaussian distribution used for the training set. In particular, InD1 is synthesised with parameters using a Mahalanobis distance  $< 1$ , and InD2 with Mahalanobis distance  $> 3$ , with the constraint of having the SNR higher in WM than in GM, to keep the tissue contrast compatible with T1w. The out-of-distribution (OOD) data set is simulated using parameters sampled from a distribution estimated from ultra-low field T1w images.

357 **3.1 Model training for IQT-SRep, IQT-DDL, and IQT-DL**

358 Once the training set of matched low-and high-field pairs is composed as explained above,  
359 paired patches are obtained by cropping corresponding high-quality and synthetic low-  
360 quality volumes into patches at regularly spaced locations. Patches containing  $> 80\%$   
361 background voxels are excluded from the patch library. Training details of the IQT-SRep,  
362 IQT-DDL and IQT-DL models are presented below.

363 **3.1.1 IQT-SREP**

364 The number of atoms and patch-sizes in dictionaries  $\mathbf{D}_\ell$  and  $\mathbf{D}_h$  has impact on two impor-  
365 tant aspects of the proposed IQT-SRep model; that are the reconstruction accuracy and  
366 reconstruction time. Larger dictionaries include more image patterns, and therefore more  
367 accurate super-resolved volumes. However, the drawbacks are the computational complex-  
368 ity of solving the optimisation problem and the longer time required for patch extraction.  
369 Following this, from an initial set of 100,000 3D-vectorised patches, we learned compact  
370 dictionaries of different atom numbers, including 150, 256, 512, 1024 and patch-sizes of  
371  $3 \times 3 \times 3$ ,  $5 \times 5 \times 5$  and  $7 \times 7 \times 7$ . We first present those of 1024 atoms using  $7 \times 7 \times 7$   
372 patch-size, which provide best construction quality, and the effect of different atom number  
373 is presented afterwards.

374 **3.1.2 IQT-DDL**

375 In this work, we adopt a model using different atoms numbers of 64 and 128 atoms. The  
376 number of filters of the models is adjusted according to the number of atoms. The scaling  
377 factors  $s_i$ ,  $s_j$ , and  $s_k$  are set to  $s_i = 1$ ,  $s_j = 1$ , and  $s_k = 4$ . The network is trained using  
378 low-quality patch size of  $32 \times 32 \times (32/s_k)$  with a mini-batch size of 32. Random flipping and  
379 rotation augmentation is applied to each training sample. An Adam optimiser Kingma and  
380 Ba (2014) with  $\beta_1 = 0.9$ ,  $\beta_2 = 0.999$ , and  $\epsilon = 10^{-8}$  is used. The learning rate of the network  
381 except for the  $\mathbf{D}_H$  generator is initialised as  $2e^{-4}$  and halved at  $[200k, 300k, 350k, 375k]$ .  
382 The total training iterations is  $400k$ . The learning rate of the  $\mathbf{D}_H$  generator is initialised  
383 as  $5e^{-3}$  and halved at  $[50k, 100k, 200k, 300k, 350k]$ . In addition, to stabilise training of the  
384  $\mathbf{D}_H$  generator, we randomly shuffle the order of output atoms for the first  $1k$  iterations.  
385 The results of the 128 atoms dictionary are first presented, followed by a comparison with  
386 those of 64 atoms dictionary.

387 **3.1.3 IQT-DL**

388 As in Lin et al. (2022), we use a default patch size of  $32 \times 32 \times (32/s_k)$  and  $32 \times 32 \times 32$ ,  
389 respectively for low-field and high-field volumes, and a step size of 8, 16, and  $16/s_k$  along  
390  $x$ -,  $y$ -, and  $z$ -directions, which provide best construction quality. Training model is then  
391 constructed using the training procedure explained in Section 2.3.

392 **3.2 Testing**

393 Each test volume is split into overlapping patches of size similar to that used for training  
394 in each model. The trained IQT-SRep, IQT-DDL and IQT-DL models described above are  
395 then applied to each of these patches to estimate the high-field volumes. The magnification  
396 factor  $s_k$  for all models is set to 4. For the IQT-SRep model, in all experiments, the  $\lambda$   
397 parameter is set to 0.01. Slight variation of this parameter does not change the results  
398 significantly.

399 **3.3 Evaluation**

400 The quantitative measure used to assess the quality of the IQT algorithms presented in the  
401 previous section are the normalised root mean squared error (NRMSE), defined as

$$\text{NRMSE} = \frac{\sqrt{\frac{\sum_{n=1}^N (\mathbf{x}_n - \hat{\mathbf{x}}_n)^2}{N}}}{\text{Max}(\mathbf{x})}, \quad (7)$$

402 where  $\mathbf{x}$  is the ground truth high-quality image,  $\hat{\mathbf{x}}$  is the corresponding estimate from the  
403 low-field counterpart, and  $\text{Max}(\mathbf{x})$  is the maximum intensity of the ground truth high-field  
404 image  $\mathbf{x}$ , and structural similarity index measure (SSIM) which can be computed as in  
405 Wang et al. (2004).

406 **3.4 Results**

407 We utilise the proposed unsupervised learning IQT-SRep, the supervised deep learning  
408 IQT-DL and the blended learning IQT-DDL approaches to super resolve the testing datasets  
409 InD1, InD2 and OOD described above. Below, we show the quantitative and the qualitative  
410 performance, as well as the effect of changing different crucial parameters such as atom  
411 number in IQT-SRep and IQT-DDL approaches.

412 **3.4.1 QUANTITATIVE RESULTS**

413 Table 1 provides NRMSE and SSIM results of InD1, InD2 and OOD using the three meth-  
414 ods IQT-SRep, IQT-DDL and IQT-DL. We can observe that the supervised deep learning  
415 approach IQT-DL provides better results (lowest NRMSE and highest SSIM) using the in-  
416 distribution datasets (InD1 and InD2), compared to the unsupervised learning IQT-SRep  
417 algorithm, revealing that supervised learning is more robust for super-resolving images that  
418 follow the same distribution of the training data set compared to unsupervised learning.  
419 However, when testing using out-of distribution data that is different from the distribu-  
420 tion of the training samples, the unsupervised learning approach IQT-SRep provides lower  
421 NRMSE and higher SSIM compared to the supervised deep learning model IQT-DL. This  
422 highlights the importance of unsupervised learning models since the full data distribution  
423 cannot be represented in the training data set. On the other hand, we can observe that

424 the supervised deep learning model IQT-DL performs better (lower NRMSE and higher  
425 SSIM) than the blended supervised and unsupervised learning IQT-DDL approach using  
426 InD1, whereas the IQT-DDL provides better results using both InD2 and OOD datasets.  
427 This reveals the robustness of the blended learning IQT-DDL approach in super-resolving  
428 datasets differ from that the model was trained on, in addition to data that slightly deviates  
429 from InD1 but still part of the training samples.

430 3.4.2 QUALITATIVE RESULTS

431 Figure 4 shows examples of coronal T1 weighted images from the HCP data set, corre-  
432 sponding to synthesised low-field images using InD1, InD2 and OOD, and results of IQT-  
433 SRep, IQT-DDL and IQT-DL. Figure 5 shows corresponding absolute error maps between  
434 high-quality ground truth images and corresponding low-quality images, and results of IQT-  
435 SRep, IQT-DDL and IQT-DL. Moreover, the binary maps of regions (in red label) where  
436 the IQT-SRep and IQT-DDL models provide closer estimates to ground truth high-quality  
437 images compared to IQT-DL are also presented. The qualitative results in general follow  
438 the same behaviour of the quantitative results described earlier: although IQT-DL provides  
439 better visual results of brain structure compared to IQT-SRep using InD1, and InD2, the  
440 IQT-SRep model shows better visual results using OOD data compared to IQT-DL. This is  
441 clearer in the absolute error maps in Fig. 5, between high-quality ground truth images and  
442 results of both IQT-DL and IQT-SRep, and in the binary maps where there are more image  
443 regions where IQT-SRep and IQT-DDL performs better than IQT-DL. This implies that the  
444 IQT-SRep approach is more robust for image enhancement using out-of-distribution data,  
445 which are created using a different distribution to that of the training samples mimicking  
446 real-world examples. Moreover, the IQT-SRep approach provides smoother outputs com-  
447 pared to that of the IQT-DL approach where artifacts arising from patch construction are  
448 very obvious. On the other hand, the blended learning IQT-DDL approach provides better  
449 visual results than the supervised deep learning approach IQT-DL using InD2 and OOD  
450 datasets. This is also clear in the absolute error maps and in the binary maps where there  
451 are more image regions where IQT-DDL performs better than IQT-DL in Fig. 5. On the  
452 other hand, while in this work we process data volumes by splitting them into overlapping  
453 patches, the proposed approaches ensure that information from the borders of each patch  
454 is preserved and integrated into the subsequent patches, thereby there is no information  
455 loss and the continuity of image features across the entire volume is maintained. Moreover,  
456 we synthesise the low-quality volumes from the high-quality ones, which ensures that there  
457 are no pixel alignment problems, as both low-quality and high-quality volume pairs are  
458 inherently aligned during the synthesis.

459 To summarise, the blended learning IQT-DDL approach provides best visual results  
460 compared to the supervised deep learning IQT-DL and the unsupervised learning IQT-  
461 SRep approaches using both InD2 and OOD datasets, whereas the unsupervised learning  
462 approach IQT-SRep provides better visual results than the supervised deep learning IQT-  
463 DL approach using OOD which is generated using a different distribution to that of the  
464 training samples. There are widespread regions where the errors are lower for IQT-SRep

Table 1: Normalised root mean squared error (NRMSE), and structural similarity index measure (SSIM) using in-distribution data (InD1), and (InD2), and out-of-distribution data (OOD). Best results are highlighted in bold font, and second best are underlined.

	Interpolation		IQT-SRep		IQT-DDL		IQT-DL	
	NRMSE	SSIM	NRMSE	SSIM	NRMSE	SSIM	NRMSE	SSIM
<b>InD1</b>	0.257	0.698	0.240	0.711	<u>0.126</u>	<u>0.792</u>	<b>0.096</b>	<b>0.869</b>
<b>InD2</b>	0.328	0.612	0.319	0.641	<b>0.238</b>	<b>0.732</b>	0.258	0.724
<b>OOD</b>	0.469	0.585	<u>0.450</u>	<u>0.632</u>	<b>0.435</b>	<b>0.642</b>	0.455	0.630

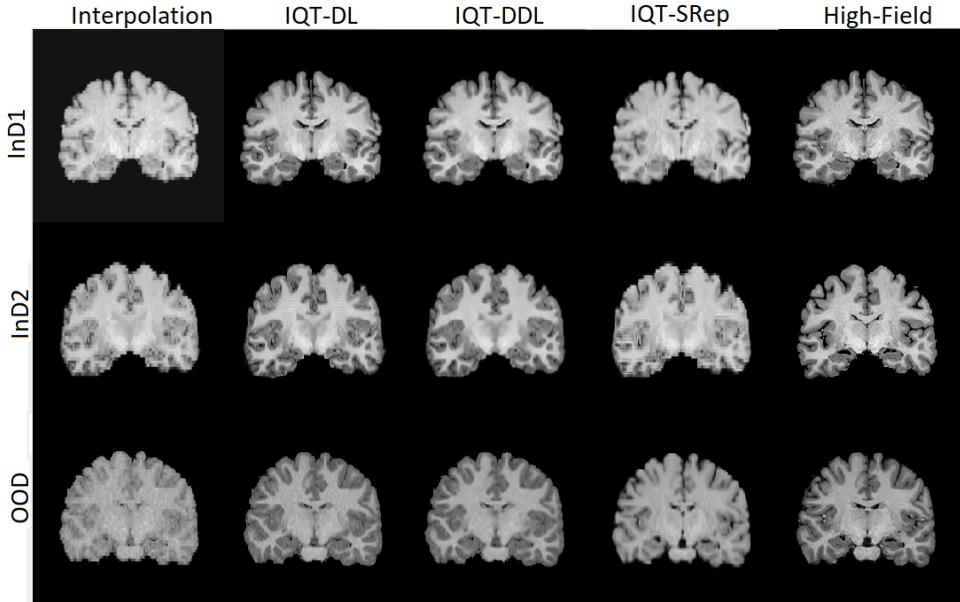


Figure 4: Results using the HCP data set on coronal direction of the three different data distributions InD1, InD2 and OOD (rows) using IQT-SRep, IQT-DDL and IQT-DL. First column shows interpolated low-field image, second to forth columns show image estimate using IQT-DL, IQT-DDL and IQT-SRep, respectively, and fifth column shows original high-field image.

465 and IQT-DDL compared to IQT-DL highlighting bias in the regression model estimates,  
466 which both IQT-SRep and IQT-DDL can avoid.

#### 467 3.4.3 EFFECT OF ATOM NUMBER AND OUTPUT PATCH SIZE

468 Now, we evaluate the effect of atom number and patch size on both approaches. From  
469 the sampled 100,000 image patch pairs, and for the IQT-SRep approach, we train four  
470 dictionaries of size 150, 256, 512, 1024, and use each to estimate the high-field image

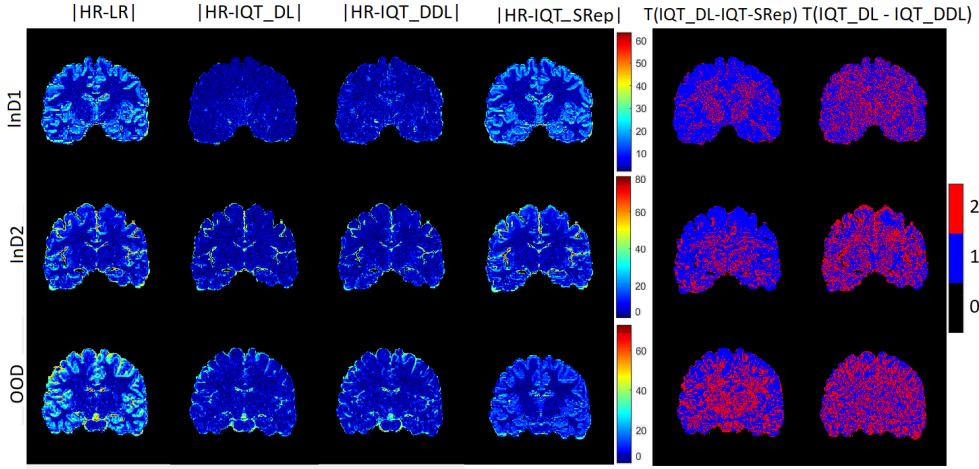


Figure 5: Absolute errors for results in Figure 4, between gold-standard high-field image (Column 5 of Figure 4), and Column 1: corresponding low-quality image, Column 2: IQT-DL, Column 3: IQT-DDL, and Column 4: IQT-SRep. Columns 5 and 6 show binary maps of regions (in red label) where the IQT-SRep and IQT-DDL, respectively provide closer estimates to the gold-standard high-field images compared to IQT-DL.

471 from the low-field counterpart. Moreover, for the IQT-DDL approach, in order to assess  
 472 the performance of the algorithm using different atoms numbers, we construct dictionaries  
 473 using atom number of 64, in addition to that of 128 whose results are presented in the  
 474 previous section. Table 2 shows NRMSE of the IQT-SRep and IQT-DDL approaches using  
 475 different atom number using the three testing datasets InD1, InD2 and OOD. We can  
 476 observe that in general, as atom number increases, construction quality improves (NRMSE  
 477 decreases and SSIM increases), but saturates for atom number higher than 512 for the  
 478 IQT-SRep approach. For the IQT-SRep approach, all tested atom numbers still provide  
 479 better construction results using OOD data as compared to the IQT-DL approach. On the  
 480 other hand, we tested several patch sizes for both the IQT-SRep and IQT-DDL models.  
 481 Specifically, for the IQT-SRep model, we tested patch sizes of P3:  $3 \times 3 \times 3$ , P5:  $5 \times 5 \times 5$ ,  
 482 and P7:  $7 \times 7 \times 7$  using a dictionary size of 1024 (which provides the best results). For  
 483 the IQT-DDL model, we tested patch sizes of P16:  $16 \times 16 \times 16$ , P32:  $32 \times 32 \times 32$ , and  
 484 P48:  $48 \times 48 \times 48$  using a dictionary size of 128 (which provides the best results). Table  
 485 3 provides the NRMSE and SSIM for two in-distribution datasets (InD1 and InD2) and  
 486 one out-of-distribution (OOD) dataset. We can observe that for the IQT-SRep model, the  
 487 reconstruction results improves (NRMSE decreases and SSIM increases) as the patch size  
 488 increases. Conversely, for the IQT-DDL model, a patch size of P32:  $32 \times 32 \times 32$  outperforms  
 489 both P16:  $16 \times 16 \times 16$  and P48:  $48 \times 48 \times 48$ , indicating that it is a good operating point,  
 490 balancing structural information content with the ability to learn and generalise from a  
 491 finite training set. Fig. 6 shows an example of super resolved images using the OOD  
 492 data set using dictionaries of different sizes at patch sizes providing best results (P7 for  
 493 IQT-SRep and P32 for IQT-DDL). While there are no substantial visual differences, we can

Table 2: Effect of atom number for the IQT-SRep and IQT-DDL methods: NRMSE and SSIM using in-distribution data (InD1), and (InD2), and out-of-distribution data (OOD).

		IQT-SRep				IQT-DDL	
		D150	D256	D512	D1024	D64	D128
InD1	NRMSE	0.243	0.242	0.240	0.240	0.128	0.126
	SSIM	0.704	0.705	0.706	0.706	0.791	0.792
InD2	NRMSE	0.322	0.321	0.319	0.319	0.240	0.238
	SSIM	0.639	0.640	0.641	0.641	0.731	0.732
OOD	NRMSE	0.452	0.451	0.450	0.450	0.437	0.435
	SSIM	0.630	0.631	0.632	0.632	0.641	0.642

494 observe in the binary error maps that the number red pixels (improvement over interpolated  
 495 low-field image) gradually increase with larger dictionaries until saturation for dictionary  
 496 size of 1024. In terms of computation time, the IQT-SRep algorithm is implemented in  
 497 MATLAB and the experiments are carried out on a laptop with a 2.8 GHz processor CPU,  
 498 with 16 GB of RAM, under Microsoft Windows 10. Dictionary construction times ranges  
 499 from  $\sim 25$  min for a 150-size dictionary to  $\sim 80$  min for a 1024-size dictionary. During the  
 500 testing, in terms of test image reconstruction time, the computation is approximately linear  
 501 to the size of the dictionary, that larger dictionaries will result in heavier computation. For  
 502 example, smaller dictionaries, such as those with 150 atoms, yield reconstructions in an  
 503 average time of  $\sim 7$  min, while larger dictionaries, such as those with 1024 atoms, yielded  
 504 image reconstructions in an average time of 50 min. On the other hand, for the IQT-DDL  
 505 algorithm, as shown in Table 2, the NRMSE is slightly lower using dictionary with atom  
 506 number of 128 compared to that of 64 atoms for all testing datasets InD1, InD2 and OOD,  
 507 as it retains more image patterns. Fig. 6 shows visual results of the IQT-DDL approach  
 508 using an OOD example. Similar to the IQT-SRep approach, while there is no substantial  
 509 visual difference, we indeed observe the increase in more super-resolved pixels (in red) in  
 510 the binary error map images compared to the interpolated low-field image for atom number  
 511 of 128 compared to that of 64. In terms of computation time, the IQT-DDL algorithm is  
 512 implemented in PyTorch, and the testing construction time ranges from  $\sim 4$  to 7 min for  
 513 atom numbers of 64 to 128, respectively.

## 514 4. Discussion

515 This work introduced two novel IQT approaches. To the best of our knowledge, it is the  
 516 first time in the literature that an unsupervised learning and a blended supervised and  
 517 unsupervised learning frameworks are considered for IQT. These approaches are introduced  
 518 to highlight biased estimates that can result from supervised learning approaches, such  
 519 as supervised deep learning, especially using out-of-distribution data. The main advan-  
 520 tages of these two novel formulations are that they tend to avoid biased estimates using  
 521 out-of-distribution test data, and are very well suited where there is a scarcity of training

Table 3: Effect of output patch size for the IQT-SRep (using D1024) and IQT-DDL (using D128) methods: NRMSE and SSIM using in-distribution data (InD1), and (InD2), and out-of-distribution data (OOD).

		IQT-SRep			IQT-DDL		
		P3	P5	P7	P16	P32	P48
InD1	NRMSE	0.250	0.244	0.240	0.133	0.126	0.129
	SSIM	0.671	0.702	0.706	0.768	0.792	0.785
InD2	NRMSE	0.325	0.322	0.319	0.244	0.238	0.237
	SSIM	0.635	0.639	0.641	0.725	0.732	0.729
OOD	NRMSE	0.459	0.455	0.450	0.440	0.435	0.437
	SSIM	0.625	0.628	0.632	0.635	0.642	0.638

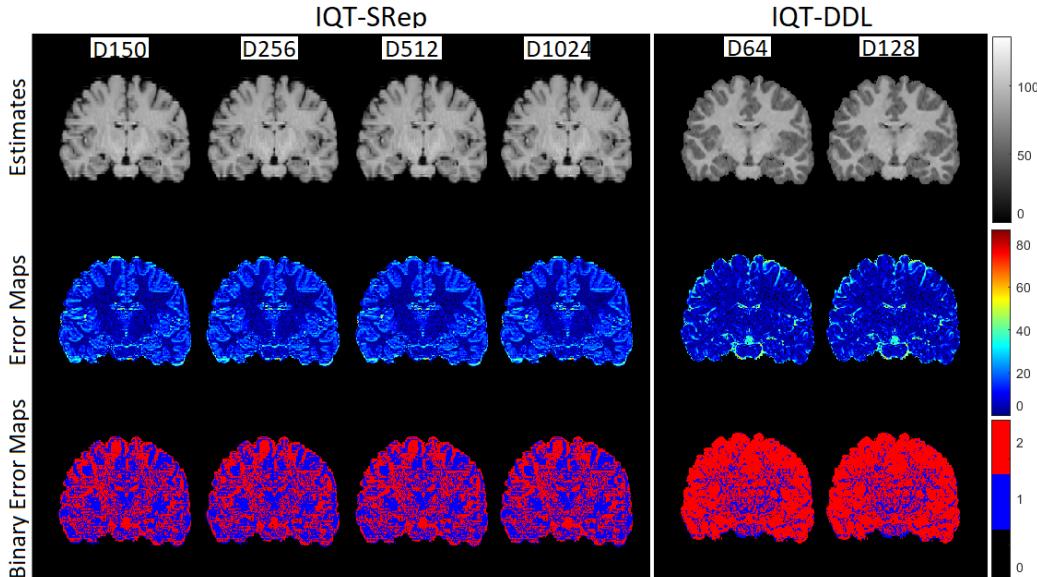


Figure 6: Effect of atom number for the IQT-SRep and IQT-DDL approaches using the OOD example in Fig. 4. Row 1: Image estimates using different atom numbers (from left to right: 150, 256, 512 and 1024 atoms (for IQT-SRep), and 64 and 128 atoms (for IQT-DDL). Row 2: Absolute difference error maps between the high quality image and each estimate in Row 1, and Row 3: Binary maps between interpolated low-field images and estimates in Row 1, where we can observe, as atom number increases, slightly more image regions (in red) are observed, until saturation from atom numbers of 512 to 1024 for IQT-SRep.

522 data. The first approach is based on a sparse representation and dictionary learning model,  
523 which trains two dictionaries using a sparse representation model from pairs of low- and  
524 high-quality volumes, whereas the second is based on a deep dictionary learning approach  
525 which explicitly learns high-resolution dictionary to upscale the input volume as in the

526 sparse-coding-based methods, while the entire network, including high dictionary generator,  
527 is simultaneously optimised to take full advantage of deep learning methods. The perfor-  
528 mance of both approaches is demonstrated using a low-field MRI application, and compared  
529 against state-of-the-art supervised deep learning algorithm using both in-distribution and  
530 out-of-distribution datasets. Although supervised deep learning approach showed a superior  
531 performance using an in-distribution data set, one disadvantage of such class of methods is  
532 that their performance is degraded for images with a different contrast than in the training  
533 data set (OOD data). The results presented in the previous section show that the sparsity  
534 prior for image patches in the IQT-SRep and approach is effective in regularising the ill-  
535 posed IQT problem leading to good performance using out-of-distribution data compared  
536 to the IQT-DL approach. In these results, the dictionary size is fixed be 1024. Obviously,  
537 larger dictionaries retain more expressive patterns to the volumes of the trained data set,  
538 thus, yield more accurate approximation to the sparsity optimisation problem during the  
539 testing phase. However, this comes at the expense of increasing the computation cost. On  
540 the other hand, the blended learning IQT-DDL approach shows that the upsampling pro-  
541 cess is efficient because the main network does not need to maintain the information of  
542 the processed image at the pixel level in high-quality image space. Therefore, the network  
543 can concentrate only on predicting the coefficients of the high-quality dictionary yielding  
544 better performance using the InD2 and OOD datasets compared to IQT-DL and IQT-SRep.  
545 Extensive experiments show that sparse representation using dictionary learning and the  
546 deep dictionary learning approaches are more robust in super-resolving out-of-distribution  
547 test images compared to supervised deep learning. On the other hand, unsupervised learn-  
548 ing is more robust to noise and redundancy in the data compared to supervised learning.  
549 Precisely, in a convex optimisation framework, training and testing samples are forced to  
550 follow the observation model of the imaging system on hand, and therefore, any new un-  
551 seen test samples will follow this model, which can avoid the “regression to the mean”  
552 problems observed with supervised regression models. The biased estimates produced us-  
553 ing the IQT-DL model likely arise because these image regions are under-represented in  
554 the training data, and thus the model is under-fit, which further adds bias in estimates.  
555 Although other unsupervised approaches, in particular deep unsupervised learning, might  
556 produce better results, the proposed approach highlight the problem and provide a baseline  
557 potential solution. It is worth pointing out that the proposed methods performed slightly  
558 better than the supervised approach only for data that were quite significantly different  
559 from the training dataset; this might be a not very relevant scenario for simulation-based  
560 training approaches, as most of the current IQT implementations are, as it would be much  
561 more advantageous to adapt the simulation parameters for the specific application and train  
562 an ad hoc supervised model than to adopt an unsupervised approach. However, there are  
563 several situations in which test images may have features that are difficult to simulate or  
564 predict, e.g. pathological alterations or artifacts. Some applications may also require very  
565 complex models that would be impractical to retrain for every single applications. In both  
566 these cases, it is critical to have a model that is robust enough to OOD data. Further-  
567 more, as already mentioned, this was a proof-of-concept study considering relatively simple  
568 supervised approaches. More advanced methods will be investigated in the future and are  
569 expected to provide a more significant advantage compared to supervised baselines.

570 **5. Conclusion and Future Work**

571 In this work, we introduced two novel formulations of the IQT problem, which use an  
572 unsupervised learning framework, and a blended supervised and unsupervised learning,  
573 respectively. The unsupervised learning approach considers a sparse representation and  
574 dictionary learning model, whereas the combination of supervised and unsupervised learning  
575 approach is based on deep dictionary learning. The two models are evaluated using a  
576 low-field magnetic resonance imaging application aiming to recover high-quality images  
577 akin to those obtained from high-field scanners. Experiments comparing the proposed  
578 approaches against state-of-the-art deep learning IQT method identified that the two novel  
579 formulations of the IQT problem can avoid bias associated with supervised methods when  
580 tested using out-of-distribution data that differs from the distribution of the data the model  
581 was trained on. This highlights the potential benefit of these novel paradigms for IQT.  
582 Future work involves demonstrating the performance of the approach using real low-field  
583 MRI data and providing uncertainty bounds to the estimates, as well as extension to deep  
584 unsupervised methods that can combine the high fidelity appearance of supervised deep  
585 learning approaches to image enhancement with the reduced bias provided by unsupervised  
586 learning. The reduction of bias is an important step in the deployment of learning based  
587 methods for image enhancement, itself a vital component in the realisation of the potential  
588 of emerging low-field and portable MRI systems particularly for deployment in regions where  
589 accessibility is currently low.

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598 **Ethical Standards**

599 The work follows appropriate ethical standards in conducting research and writing the  
600 manuscript, following all applicable laws and regulations regarding treatment of animals or  
601 human subjects.

602 **Conflicts of Interest**

603 The authors declare that they have no known competing financial interests or personal  
604 relationships that could have appeared to influence the work reported in this paper.

605 **Data availability**

606 The IQT models are trained and evaluated on the publicly available high-field MRI datasets,  
607 i.e. the HCP data set for T1w and T2w images Sotiroopoulos et al. (2013b).

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