



25 **ABSTRACT:** Peak strength and dilatancy of granular materials generally decrease with increasing mean  
26 effective stress, and such a decrease will be enhanced due to the occurrence of particle breakage. This  
27 paper presents a simple empirical approach to modify Bolton's original strength and dilatancy equation  
28 for crushable soils with different crushability. The proposed approach is based on data of a series of  
29 drained triaxial tests on carbonate soils with five different particle size distributions (PSDs) and three  
30 initial relative densities. It is also validated against other published experimental data on various crushable  
31 soils, including carbonate soils, limestones, coarse aggregates, and silica sands. The modified relation  
32 retains a similar form to Bolton's equation with only one additional parameter introduced. As a result, the  
33 crushing strength-related parameter in the original relation is modified to incorporate the impacts of  
34 particle shape, gradings, and mineralogy on particle breakage. This modified parameter tends to increase  
35 as soil crushability decreases, which keeps a similar physical meaning to Bolton's crushing strength-  
36 related parameter, and is suitable for a wider range of crushable soils with different gradings. The proposed  
37 strength and dilatancy equation for crushable soils yields to Bolton's equation for strong soil particles  
38 where particle breakage is negligible.

39

40 **Keywords:** strength; dilatancy; granular material; particle breakage; crushability

## 41 **1. Introduction**

42 Granular soils experience interparticle sliding, rolling, and interlocking under shear stress, leading to  
43 volume changes either in contraction or dilation. It is widely accepted that the shear strength of granular  
44 soils comprises two components: critical state strength and additional strength induced by dilatancy  
45 (Bolton, 1986; Chakraborty and Salgado, 2010; Arda and Cinicioglu, 2021). The strength-dilatancy  
46 relation, linking soil's strength and deformation, is important for predicting soil strength in engineering  
47 construction. Previous studies have developed approaches to determine the bearing capacity of shallow  
48 foundations by incorporating the strength-dilatancy relation, considering nonlinear soil strength and  
49 progressive failure (Perkins and Madson, 2000; Lau and Bolton, 2011a, 2011b). Using Bolton's strength-  
50 dilatancy equation (Bolton, 1986), Jamiolkowski et al. (2003) evaluated the peak friction angle based on  
51 data of cone penetration tests and flat dilatometer tests. Recently, the expanding civil engineering industry  
52 in China has faced challenges in large projects like island and reef engineering, giant dam constructions,  
53 and high-fill airport projects. In these projects, granular soils, which serve as the primary fill material and  
54 bear substantial upper loads, inevitably experience significant particle breakage. For example, carbonate  
55 soils, known as bioclastic deposits, are susceptible to crushing at low or medium stress levels and are  
56 commonly classified as 'problematic soil'. Taking pile foundation engineering on carbonate soil as an  
57 example, the pile penetration causes damage to the soil structure at the pile sides, which decreases the  
58 dilation and reduces the lateral resistance. This breakage-induced impairment of strength and dilatancy is  
59 the main reason for the low bearing capacity of carbonate soil foundations (Yasufuku, 1995). Therefore,  
60 an in-depth investigation to the strength-dilatancy relation of crushable soils is of practical engineering  
61 importance in addressing these challenges.

62 Based on the minimum energy ratio principle, Rowe (1962) proposed a widely used stress-dilatancy  
63 relation that incorporates soil strength and dilatancy rate. However, it assumes that the strength and  
64 dilatancy of an assembly depend on interparticle friction by neglecting the effect of particle breakage, and  
65 generally overestimates the dilatancy of granular materials (Wan and Guo, 1998). Such overestimation is  
66 supported by subsequent laboratory observations that particle breakage leads to a reduction in strength  
67 and impairment of dilatancy of sands (McDowell and Bolton, 1998; Coop et al., 2004). Hence, more  
68 attention has been paid to the effect of particle breakage on the strength and dilatancy behaviour of  
69 granular soils (Cheng et al., 2003 & 2004; Phuong et al., 2018). Some studies have modified Rowe's  
70 theory by introducing energy consumption due to particle breakage  $E_b$  (Ueng and Chen, 2000; Salim and

71 [Indraratna, 2004; Tarantino and Hyde, 2005](#)). However, breakage energy is difficult or even impossible  
72 to measure directly. It is commonly back-calculated by subtracting friction energy and dilatancy energy  
73 from the total energy input. [Guo and Zhu \(2017\)](#) found that if one follows the assumption that the friction  
74 coefficient  $M$  equals the critical state stress ratio  $M_c$  when calculating the friction energy, the calculated  
75  $E_b$  may be negative at the onset of shear and decrease with increasing strain especially when dilation  
76 occurs. Although some attempts have been made to address this problem, those approaches are still  
77 complex in form and involve many fitting parameters without clear physical meaning.

78 On the other hand, [Bolton \(1986\)](#) aimed to develop a strength and dilatancy relation that is simple in form  
79 and easy to apply in engineering practice. Based on experimental data of 17 silica sands in triaxial shear  
80 and plane strain conditions, [Bolton \(1986\)](#) proposed the famous empirical strength-dilatancy relation,  
81 which has been widely used in theory and engineering practice ([Lau and Bolton, 2011a; Jamiolkowski et](#)  
82 [al., 2003](#)). Bolton's equation is expressed as

$$83 \quad \varphi'_p - \varphi'_{cs} = b\psi_{max} = mI_R \quad (1a)$$

$$84 \quad I_R = D_r(Q - \ln p'_f) - R \quad (1b)$$

85 where the difference in effective friction angle ( $\varphi'_p - \varphi'_{cs}$ ) represents the shear strength contributed from  
86 dilatancy with  $\varphi'_p$  and  $\varphi'_{cs}$  being the effective friction angle at peak and critical state, respectively. The  
87 maximum dilatancy angle,  $\psi_{max}$ , is commonly assumed to be equal to the peak dilatancy angle  $\psi_p$  ([Bolton,](#)  
88 [1986](#)). The value of  $b$  is 0.8 for plane strain and 0.48 for the triaxial condition, as implied by Bolton  
89 ([Bolton, 1986; Chakraborty and Salgado, 2010](#)).  $I_R$  is the relative dilatancy index, it is valid for  $0 \leq I_R \leq 4$   
90 and is set to be 4 when  $I_R > 4$ ,  $p'_f$  is the mean effective stress at failure,  $D_r$  is the initial relative density.  
91 The value of  $m$  is set to be 3 for triaxial shear and 5 for plane strain.  $Q$  and  $R$  are dimensionless empirical  
92 parameters, with  $R = 1$ . According to [Bolton \(1986\)](#), the collected silica sands data satisfies  $Q = 10$ . As  
93 indicated in Equation (1), only parameter  $Q$  is unknown, therefore, in theory, only one reliable triaxial test  
94 is needed to determine Bolton's equation. Engineers can then predict the soil's strength under other soil  
95 states.

96 Bolton's relation has been widely used and modified to accommodate additional factors such as fine or  
97 gravel content, initial grading, test type, stress path, stress level, and particle breakage. For example,  
98 [Simoni and Houlsby \(2006\)](#), and [Xiao et al. \(2014\)](#) modified Bolton's relation to take into account the  
99 influence of gravel content and fine content on mixed soils, respectively. [Chakraborty and Salgado \(2010\)](#)  
100 and [Amirpour Harehdasht et al. \(2017; 2019\)](#) made their modifications in terms of stress level, type of

101 test, initial PSD, etc. The details are summarized in Table 1. Among these, some modified equations  
 102 introduced more than two extra parameters without clear physical meaning, while some are not applicable  
 103 to the triaxial stress path and are different in form from Bolton's equation.

104 Table 1 Modification of Bolton's original strength-dilatancy relation in the literature

Author(s)	Proposed relation	Notes
Jamiolkowski et al., 2003	$\varphi'_p - \varphi'_\mu = mD_r \ln(\sigma'_c/p'_f)$ $\varphi'_p \geq \varphi'_\mu + m$ $\varphi'_{cs} - \varphi'_\mu = 3^\circ$ for triaxial shear $\varphi'_{cs} - \varphi'_\mu = 5^\circ$ for plane strain	$\varphi'_\mu$ is the pure friction angle defined by Rowe (1962), $\sigma'_c$ is a threshold stress in 1-D compression test.
Simoni and Houlsby, 2006	$\varphi'_p - \varphi'_{cs} = 5I_{R, \text{mix}}$ $I_{R, \text{mix}} = 5D_{r, \text{mix}} - (1 - 4.3 \cdot \Delta e)$ $\Delta e = e_{\text{min, sand}} - e_{\text{min, mix}}$	Modification for sand-gravel mixtures in direct shear tests. $D_{r, \text{mix}}$ is relative density of the mixture, $e_{\text{min, sand}}$ , $e_{\text{min, mix}}$ are the minimum void ratios of host sand and the mixture.
Hamidi et al., 2009	$\varphi'_p - \varphi'_{cs} = 5D_{r, \text{mix}} - [1 + (4.5 - 0.006\sigma_v) \cdot \Delta e]$ $\Delta e = e_{\text{min, sand}} - e_{\text{min, mix}}$	Modification for sand-gravel mixtures in direct shear tests considering particle breakage, where $\sigma_v$ is the normal pressure.
Chakraborty and Salgado, 2010	$\varphi'_p - \varphi'_{cs} = 3.8I_R = 3.8[D_r(Q - \ln p'_f) - R]$ $Q = 7.4 + 0.60 \ln \sigma_c$ for triaxial shear $Q = 7.1 + 0.75 \ln \sigma_c$ for plane strain	Modification for low confining pressures (< 196 kPa) in triaxial shear and plane strain.
Xiao et al., 2014	$\varphi'_p - \varphi'_{cs} = \alpha_\varphi [D_r(Q - \ln p'_f) - R]$ $\alpha_\varphi = \alpha_{\varphi 0} + \chi_\alpha \exp[-\exp(-F) - F + 1]$ $F = (f_c - f_{c0})/k_f$ $Q = Q_0 + \chi_Q \ln(100(\sigma_c - \sigma_Q)/p_A), R = 1$	Modification for silty sands. $f_c$ is fine content; $f_{c0}$ , $k_f$ , $\chi_\alpha$ , $Q_0$ , $\chi_Q$ , $\sigma_Q$ are the material parameters. $\alpha_{\varphi 0}$ is the $\alpha_\varphi$ when $f_c = 0$ .
Amirpour Harehdasht et al., 2017; 2019	$\varphi'_p - \varphi'_{cs} = b\psi_{\text{max}}$ $\varphi'_p - \varphi'_{cs} = mI_R = m[D_r(Q - \ln p'_f) - R]$ $b = c_1(d_{50})^{-c_2}, m = c_3(d_{50})^{-c_4}$	Modification for considering the effect of initial PSD in plane strain and triaxial shear, where $d_{50}$ is the mean particle size, $c_1$ - $c_4$ are the fitting parameters.

105 It is worth noting that most sands used in Bolton's research are silica-based sands with strong grains and  
 106 are considered less crushable within the stress range tested, as compared to crushable carbonate soils. For  
 107 fragile materials, Bolton (1986) suggested smaller values of  $Q$  based on Billam's experimental data  
 108 (Billam, 1972). This suggestion was supported by Jamiolkowski et al. (2003) (observing  $Q = 9.5$  for Kenya  
 109 sand and 7.5 for Quiou sand) and Airey et al. (1988) ( $Q = 8.8$  for Halibut sand and 7.9 for Kingfish sand).  
 110 For uniformly graded soils, Bolton (1986) considered that the value of the parameter  $Q$  is related to the  
 111 crushing strength of soil grains, and therefore linked the parameter  $Q$  to the characteristic stress  $\sigma_0$  that  
 112 can represent the crushing strength of grains (McDowell and Bolton, 1998), which is expressed in  
 113 Equation (2).

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$$I_R = D_r \ln \left( \frac{A\sigma_0}{p'_f} \right) - 1 \quad (2)$$

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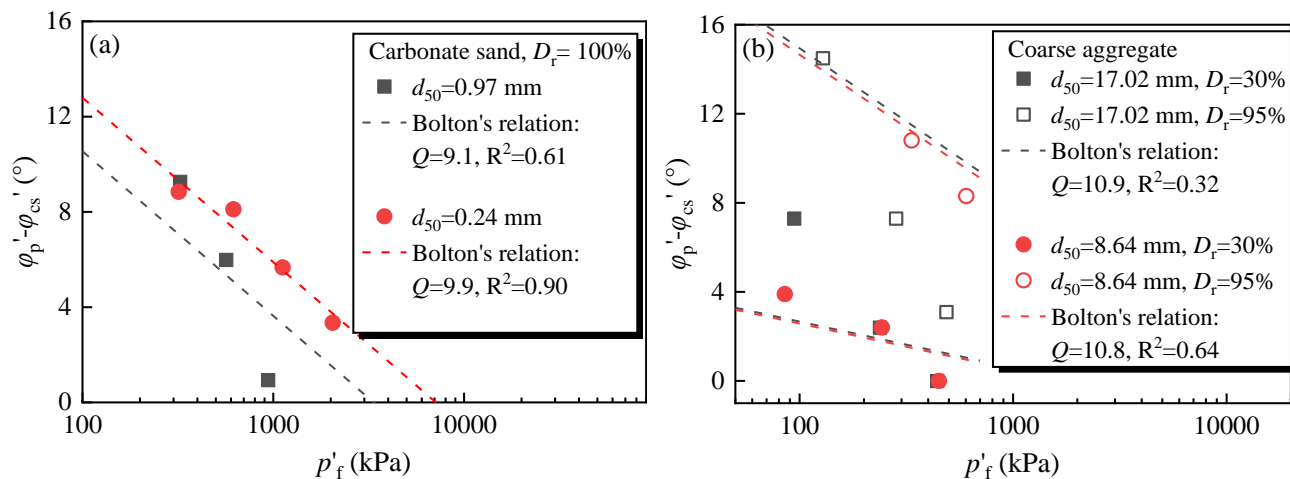
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where  $A$  is a scalar multiplier. In fact, the crushability of soils with different gradations is more complex. Correlating the value of  $Q$  purely to particle crushing strength is not sufficient. For crushable soils with different initial PSDs, particle breakage impairs the degree of dilatancy, causing a more pronounced decrease in  $\phi'_p - \phi'_{cs}$  with increasing  $p'_f$ , which was supported by laboratory testing data (Datta et al., 1979; Ueng and Chen, 2000; Kuwajima et al., 2009; Nicks and Adams, 2018). Figure 1(a) depicts carbonate sands tested by Datta et al. (1979) with two different gradations having similar uniformity coefficients  $C_u$  (1.46 and 1.53) but different mean particle sizes  $d_{50}$  (0.97 mm and 0.24 mm). The carbonate sand with  $d_{50}=0.97$  mm is more crushable, showing a larger reduction rate in  $\phi'_p - \phi'_{cs}$  with  $\ln p'_f$ . Nicks and Adams (2018) also observed that the poorly graded coarse aggregate with  $d_{50} = 17.02$  mm has a faster reduction rate of  $\phi'_p - \phi'_{cs}$  with  $\ln p'_f$  than that with  $d_{50} = 8.64$  mm, as shown in Figure 1(b). It can be seen that Bolton's relation underestimates the shear strength of crushable granular soils at low stresses and overestimates it at high stresses, such as carbonate sand with  $d_{50}=0.97$  mm and coarse aggregate with  $d_{50}=17.02$  mm. For the less crushable soils, Bolton's relation performs better. This is because Bolton's Equation (1) has a limitation where the reduction rate is identical for all sands at a given relative density  $D_r$ , which is not appropriate for some crushable materials. The more crushable the material, the less accurate Bolton's relation becomes, indicating the necessity for modification.



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Figure. 1. The limitation of Bolton's relation illustrated using (a) carbonate sand data from Datta et al. (1979) and (b) coarse aggregates data from Nicks and Adams (2018)

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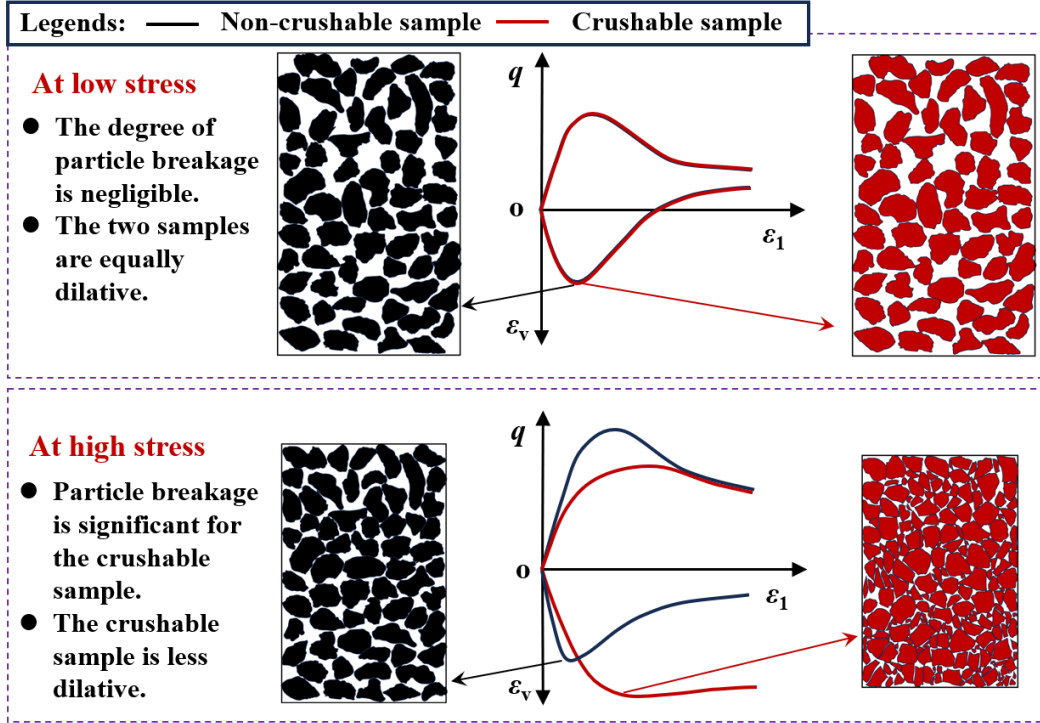
For this purpose, this paper attempts to propose a modification in this paper by introducing one additional parameter  $B$ . This additional parameter is related to the wider effects of particle breakage, particle

136 angularity and gradings together causing a different decreasing rate of strength and dilatancy of crushable  
137 soils. The modification is then validated against the results from a series of drained triaxial tests on  
138 carbonate soils and other published experimental data on crushable soils, and the physical meaning of  
139 parameters of the modified relation is further explored. The proposed empirical relation shows the  
140 advantage of simplicity in relating the crushability of granular soils to their mechanical behaviour, and is  
141 useful for engineers to estimate the strength of crushable soils.

## 142 **2. The modified strength and dilatancy relation**

### 143 *2.1 The purpose of the modified relation*

144 For crushable soils, when an assembly is under deviatoric stress, the interlocked grains will crush. The  
145 broken pieces fall into the void space, the sample repacks itself in a denser arrangement, leading to  
146 enhanced compressibility, and impairment of dilatancy (McDowell and Bolton, 1998). Consider two  
147 identical samples with angular particles, one more crushable (represented in red) and the other almost non-  
148 crushable (represented in black), as shown in Figure 2. At low stresses, where particle breakage is  
149 negligible, the dilatancy of the crushable and the non-crushable samples should be similarly high.  
150 However, the crushable sample exhibits less dilation at high stresses compared to the non-crushable  
151 sample. In other words, within the same stress range, the dilatancy of the crushable soil has a larger  
152 variation. The initially very high dilatancy at low stress was suppressed at a much faster rate than normal  
153 as stress increased. This stress-related suppression rate is related to the level of particle breakage, which  
154 varies at high stresses according to an average coordination number of an assembly depending on gradings,  
155 resulting in a different decreasing rate of strength and dilatancy. As shown in Equation (1b), the relative  
156 dilatancy index  $I_R$  is controlled by the initial relative density and stress. The limitation of Bolton's equation  
157 in capturing a faster reduction of  $\phi'_p - \phi'_{cs}$  with  $\ln p'_f$  can be attributed to the limitation of using the initial  
158 relative density  $D_r$ . When the soil is more crushable, the initial PSD was altered more significantly by the  
159 crushing of particles, making it necessary to modify  $D_r$  to improve accuracy.



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Figure 2. The Schematic diagram of dilatancy impairment due to particle breakage

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To account for the change in soil structure resulting from particle breakage, we introduce an additional empirical parameter  $B$ , which modifies the initial relative density to a suitable value as particle breakage occurs. The parameter  $B$  can also be used as a rate-related factor to allow for a different rate of change of  $\varphi'_p - \varphi'_{cs}$  with  $\ln p'_f$ . The modified relation is then expressed as

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$$\varphi'_p - \varphi'_{cs} = b\psi_{max} = mI_R^* \quad (3a)$$

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$$I_R^* = D_r B \left( Q^* - \ln \frac{p'_f}{p_r} \right) - R \quad (3b)$$

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where  $I_R^*$  is the modified relative dilatancy index;  $p_r$  is a reference pressure (= 1 kPa) for ensuring dimensional consistency.  $m = 3$  for triaxial tests and  $R = 1$  as suggested by Bolton (1986).  $B$  is a rate-related parameter,  $Q^* = Q/B$  because of the introduction of parameter  $B$ .

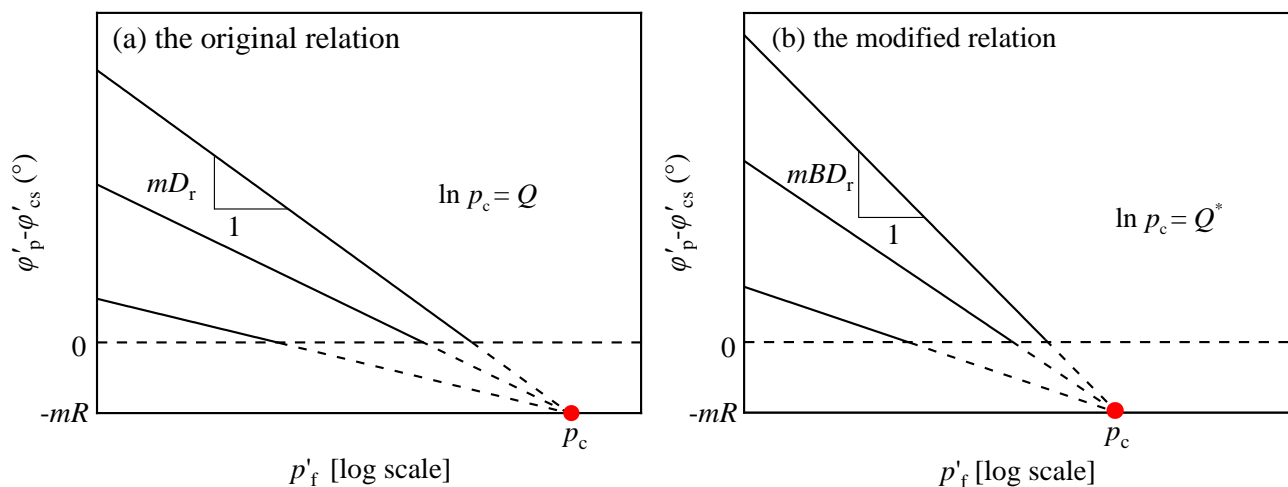
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Figure 3 shows the schematic diagram of the original and modified relation. The original and modified relations are similar in form, differing only in the slope of the lines. In both cases,  $\varphi'_p - \varphi'_{cs}$  increases with increasing initial relative density  $D_r$  and decreases with increasing mean effective stress at failure  $p'_f$ . Both the original and modified relations represent sets of straight lines in the  $(\varphi'_p - \varphi'_{cs}) - \ln p'_f$  plane converging at a point with coordinates  $(p_c, -mR)$ . This imaginary convergent stress  $p_c$  is important because it expresses some intrinsic features of the material. For a given material with a specific initial PSD, the convergent

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177 stress  $p_c$  is unique regardless of the soil states (e.g., the initial relative density and the stress level (Been  
 178 and Jefferies, 1985)). Specifically, the natural logarithm of  $p_c$  is equivalent to  $Q$  in the original relation  
 179 and  $Q^*$  in the modified relation. Bolton (1986) suggested that the value of  $Q$  is related to the soil  
 180 crushability, implying that  $p_c$  might be a stress associated with particle crushing. The  $Q^*$  of the modified  
 181 relation has the same expression as Bolton's equation ( $= \ln p_c$ ), indicating that the  $Q^*$  may be a substitute  
 182 for  $Q$ , which is also related to soil crushability. The detailed evidence will be given later.



183  
 184 Figure 3 Schematic diagram of (a) the original and (b) the modified strength-dilatancy relation

## 185 2.2 Methodology

186 The validation process of the modified relation was twofold: through experimental validation as designed  
 187 in this paper, and by using data available in published literature. These components were elaborated in  
 188 Section 3 and Section 4, respectively. The correlation between the parameters of the modified relation and  
 189 soil crushability was investigated and is presented in Section 3.4.

190 For model validation using experimental data, a series of drained triaxial tests were conducted on  
 191 carbonate soils with different initial PSDs. In general, a uniform-graded sample will suffer more particle  
 192 breakage, and hence be more crushable than a well-graded one for a given stress path. Five initial PSDs,  
 193 representing different crushability, were adopted in this paper. The modified relation was then validated  
 194 using these experimental data.

195 Published data in the literature were adopted to further validate the modified relation. Four types of  
 196 materials were mainly employed. The first type is carbonate soils used as foundation fills for offshore  
 197 engineering, which are widely recognised as crushable soils. The second type is coarse aggregates such

198 as rockfills used in constructing retaining walls, pavement bases, and dams. They commonly have larger  
199 particle sizes and are susceptible to crushing since the crushing strength of particles typically decreases  
200 with increasing particle size (McDowell and Bolton, 1998). The third type includes limestone, anthracite,  
201 and chalk, crushable materials mentioned in Bolton's (1986) paper. Using the same dataset for comparison,  
202 we found that the modified relation provided a better fit. The fourth type is silica sands, used to  
203 demonstrate that the modified relation can degrade to Bolton's equation for less crushable silica sands.

204 The proposed modified relation (i.e., Equation (3)) can be rewritten in the following linear form.

$$205 \quad \frac{(\varphi'_p - \varphi'_{cs})/m + R}{D_r} = Q^* B - B \ln p'_f \quad (4)$$

206 where  $m = 3$  and  $R = 1$  as Bolton suggested (Bolton, 1986). Letting  $Y = [(\varphi'_p - \varphi'_{cs})/m + R]/D_r$  and  $X = \ln p'_f$ ,  
207 the values of parameters  $Q^*$  and  $B$  can be obtained using simple linear regression. It can be seen that only  
208 two parameters,  $Q^*$  and  $B$ , are unknown in the modified relation. After determining these two parameters  
209 of the modified relation using equation (4), we can predict the soil's strength under different states (e.g.,  
210 different initial relative density and different stress level (Been and Jefferies, 1985)).

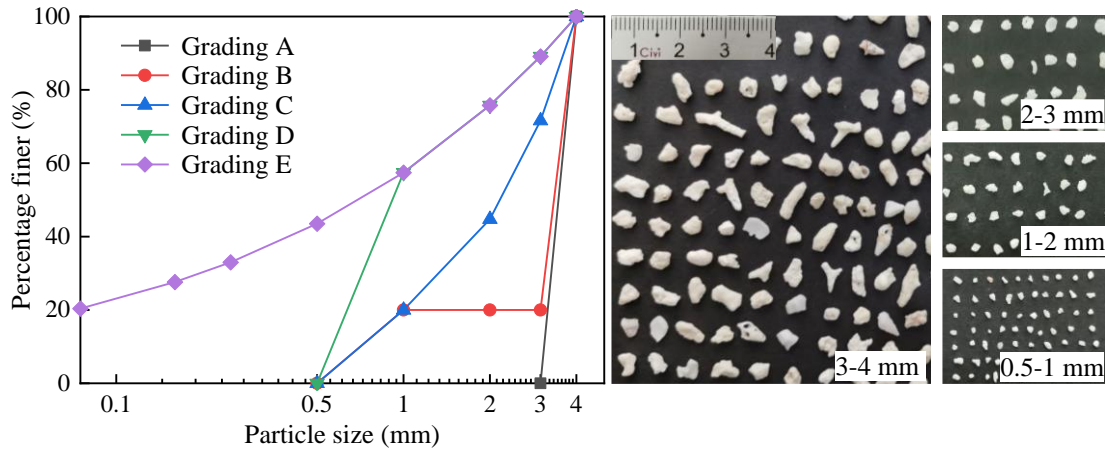
211 In this paper, the modified strength and dilatancy relation remains consistent with Bolton's equation in  
212 terms of assumptions. The peak strength of granular soil consists of two components, the critical state  
213 strength and the strength contributed by dilatancy. The critical state strength is constant for a specific  
214 material. It is also assumed that no particle breakage occurred during the initial consolidation process, so  
215 only the shearing data of normally consolidated soils is used in the validation process. Dilative behaviour  
216 is controlled by the soil's state relative to the critical state line on the volume-stress plane before shearing.  
217 For soils initially loaded to a very high-stress level, resulting in significant particle breakage, the grading  
218 changes. In such cases, further research on the parameters in the modified relation is required. Additionally,  
219 the materials selected for validation do not include binary mixtures, such as sand-silt mixtures or sand-  
220 gravel mixtures. Further investigation is needed to assess the applicability of the modified relation for  
221 these materials.

### 222 **3. Model validation using test data**

#### 223 *3.1 Tested materials and method*

224 The materials tested in this study are hydraulic-filled carbonate soils found on a coral reef island in the  
225 South China Sea. These soils have a high  $\text{CaCO}_3$  content of 96.88% and are characterized by well-

226 developed internal pores, irregular shape, low strength, and high brittleness. The original material was  
 227 sieved to produce samples with five different PSDs (gradings A-E) based on ASTM standard (ASTM  
 228 C136/C136M, 2014), as shown in Figure 4.



229  
 230 Figure 4 The initial PSDs of the tested carbonate soils

231 According to the Standard for Geotechnical Testing Method (GB/T 50123-2019), the minimum void ratio  
 232  $e_{\min}$  is measured by hammering the top of a soil sample while applying vibration on both sides. This  
 233 process can cause particle breakage for crushable soils, altering their initial PSDs. To avoid particle  
 234 breakage occurring, some researchers only retained the vibration part when measuring  $e_{\min}$  (Zhang, 2000).  
 235 This modified approach is adopted in this study, while the test for maximum void ratio  $e_{\max}$  still follows  
 236 the method in GB/T 50123-2019, where dry sands with a given mass are slowly poured into a measuring  
 237 cylinder to reach the loosest state. Table 2 presents the physical properties of the tested carbonate soils.

238 Table 2. Basic physical parameters of the carbonate soil with different initial PSDs

Initial PSD	$G_s$	$e_{\max}$	$e_{\min}$	$d_{50}$	$C_u$	$C_c$
Grading A	2.83	1.61	1.11	3.50	1.16	0.98
Grading B	2.83	1.42	0.92	3.34	4.65	3.73
Grading C	2.83	1.37	0.88	2.16	3.43	1.02
Grading D	2.83	1.34	0.86	0.91	1.96	0.84
Grading E	2.83	0.90	0.41	0.69	29.73	0.98

239 The carbonate soil with grading A is uniform-graded, which is expected to have the greatest particle  
 240 breakage. Grading B is a discontinuous gradation with the absence of 2-3 mm and 1-2 mm size fractions.  
 241 Gradings C-D are fractal within 1-4 mm particle size range with the fractal dimension  $D$  being 1.84 and  
 242 2.6, respectively, while grading E is fractal within particle size of 0.075-4 mm with  $D = 2.6$ . The mass of  
 243 each size fraction of gradings C-E is calculated according to the fractal model proposed by Tyler and

244 [Wheatcraft \(1992\)](#), which is expressed as:

245 
$$\frac{M(\Delta < d)}{M_T} = \left( \frac{d}{d_{max}} \right)^{3-D} \quad (5)$$

246 where  $d$  and  $M (\Delta < d)$  are the particle size and the mass of particles smaller than  $d$ , respectively;  $d_{max}$  is  
247 the maximum particle size;  $M_T$  is the total mass of particles;  $D$  is the fractal dimension.

248 As shown in Figure 4, grading C has the same small particle content (0.5-1 mm) as grading B (=20%),  
249 while grading D has a small particle content of 57.4%. The grading E samples have a fine content (particle  
250 diameter  $d < 0.075$ mm) of about 20%, which may make their behaviour different from that of the other  
251 carbonate soils in this paper ([Tong et al., 2022b](#)). It has been widely reported that particle breakage  
252 ultimately ceases at a fractal grading. Although the fractal dimension of this ultimate grading remains  
253 inconclusive, as it may depend on factors such as the initial PSD and the type of loading, it is commonly  
254 reported in the literature to fall within the range of 2.5-2.6 ([McDowell and Bolton, 1998](#); [Coop et al., 2004](#);  
255 [Fan et al., 2021](#)). Therefore, the grading E samples with a fractal dimension of 2.6 are not expected to  
256 crush. As expected, no detectable particle breakage was observed for the grading E samples after shearing.  
257 It is not difficult to deduce that the crushability of the A-E graded samples decreases sequentially, which  
258 is supported by the post-test sieving results.

259 A total of 61 sets of drained triaxial compression tests were conducted on the carbonate soils with five  
260 initial PSDs at three relative densities and different confining pressures. The initial relative density of a  
261 soil sample is controlled by controlling its mass. All samples were prepared with 39.1 mm in diameter and  
262 80 mm in height by the moist tamping method with 0.5% water content to ensure homogeneous  
263 distribution of small and large particles ([Zhang and Baudet, 2013](#)). Then they were saturated by applying  
264 the back pressure of 300-400 kPa with the Skempton's B value greater than 0.95. After saturation, the  
265 samples were isotopically consolidated to different confining pressures and then sheared at the strain rate  
266 of 0.05%/min until the axial strain reached 25%.

267 A 1 mm thick rubber membrane was used to avoid puncturing by sharp corners of particles. Appropriate  
268 corrections were made to account for the additional volumetric change due to membrane penetration and  
269 extra deviator stress caused by rubber membrane, based on the methods proposed by [Baldi and Nova](#)  
270 [\(1984\)](#) and ASTM standard ([ASTM D4767-11, 2020](#)), respectively. The post-test samples were sieved to  
271 quantify the degree of particle breakage. According to the sieving results, particle breakage of all samples  
272 during sample preparation and consolidation is negligible. Therefore, the particle breakage in our tests all

273 refers to that during the shear stage. Table 3 summarizes the detailed test scheme and results.  
 274 In order to ensure the observed dilatancy behaviour, relatively low confining pressures (up to 400k Pa)  
 275 were adopted for gradings A-D samples. Table 3 records the data at the peak state together with the  
 276 breakage index  $B_g$  (Marsal, 1967) measured at the end of the test.  $B_g$  is the sum of the mass difference of  
 277 each size fraction to the total mass of the sample, defined in detail in Section 3.4. The degree of particle  
 278 breakage is still evident. A higher amount of particle breakage is observed in dense samples than in loose  
 279 ones. This trend contrasts with the results typically obtained in one-dimensional compression tests, which  
 280 is attributed to the effect of the coordination number (Tong et al., 2022b).

281 Table 3. Summary results of drained triaxial compression tests on the carbonate soils

Initial PSD	Test setup		$\phi'_{cs}$ (°)	Data at peak state			Breakage index
	$D_r$ (%)	$\sigma'_3$ (kPa)		$p'_f$ (kPa)	$\phi'_p$ (°)	$\psi_p$ (°)	$B_g$ (Marsal, 1967)
Grading A	30	50	39.9	127.37	44.34	10.70	0.088
		100		232.59	41.72	6.18	0.128
		150		333.39	40.33	0.51	0.190
		200		424.11	38.83	-0.63	0.223
	60	50		148.32	48.31	15.86	0.091
		100		257.08	44.59	10.66	0.150
		150		363.34	42.91	4.14	0.192
		200		442.17	40.16	0.58	0.250
	90	50		170.00	51.50	24.32	0.094
		100		289.09	47.67	14.78	0.164
		150		396.59	45.36	10.62	0.243
		200		486.70	43.04	4.32	0.259
Grading B	30	50	39.2	117.57	42.04	7.45	0.061
		100		220.42	40.07	3.49	0.080
		150		327.12	39.73	1.82	0.121
		200		419.13	38.44	-0.18	0.147
	60	50		128.85	44.66	12.40	0.056
		100		240.64	42.72	7.99	0.082
		150		346.59	41.52	4.04	0.119
		200		437.69	39.84	0.41	0.154
	90	50		160.60	50.21	21.87	0.075
		100		273.42	46.24	11.02	0.099
		150		387.05	44.69	9.35	0.147
		200		477.69	42.50	3.61	0.176
Grading C	30	50	39.0	114.54	41.26	6.94	0.014
		100		219.73	39.97	3.40	0.034
		150		323.62	39.39	3.18	0.044
		200		427.29	39.07	1.29	0.051
	60	50		131.49	45.21	15.04	0.013

		100		237.21	42.30	9.82	0.030
		150		347.95	41.63	7.18	0.047
		200		453.39	40.94	3.52	0.052
	90	50		161.82	50.39	26.61	0.019
		100		281.97	47.04	18.19	0.033
		150		399.91	45.58	16.13	0.049
		200		503.22	43.99	9.91	0.060
		400		917.20	41.29	0.48	0.097
Grading D	30	100	38.2	214.81	39.25	5.65	0.012
		200		418.33	38.38	2.92	0.029
		250		513.55	37.78	1.48	0.039
		300		620.06	37.98	0.38	0.049
	60	100		242.07	42.89	12.85	0.017
		200		456.01	41.11	7.02	0.037
		300		635.35	39.68	2.67	0.051
		400		857.69	39.19	1.31	0.068
	90	100		270.51	45.96	20.52	0.014
		200		509.32	44.33	12.23	0.037
		300		723.47	42.78	10.16	0.060
		400		930.19	41.71	3.89	0.074
Grading E	60	100	41.1	247.53	43.53	7.08	—
		200		480.08	42.65	3.09	—
		400		944.46	42.16	2.62	—
		800		1852.20	41.58	2.55	—
	75	100		265.23	45.44	9.97	—
		200		512.34	44.49	6.29	—
		400		982.22	43.30	4.73	—
		800		1907.18	42.45	2.97	—
	90	100		284.68	47.29	13.41	—
		200		542.57	46.04	9.27	—
		400		1032.24	44.70	5.73	—
		800		1996.46	43.76	4.54	—

### 282 3.2 Test results

283 The strength and dilatancy of triaxial test are quantified by the friction angle  $\varphi'$  and dilatancy angle  $\psi$ ,  
284 which can be expressed as (Vaid and Sasitharan, 1992)

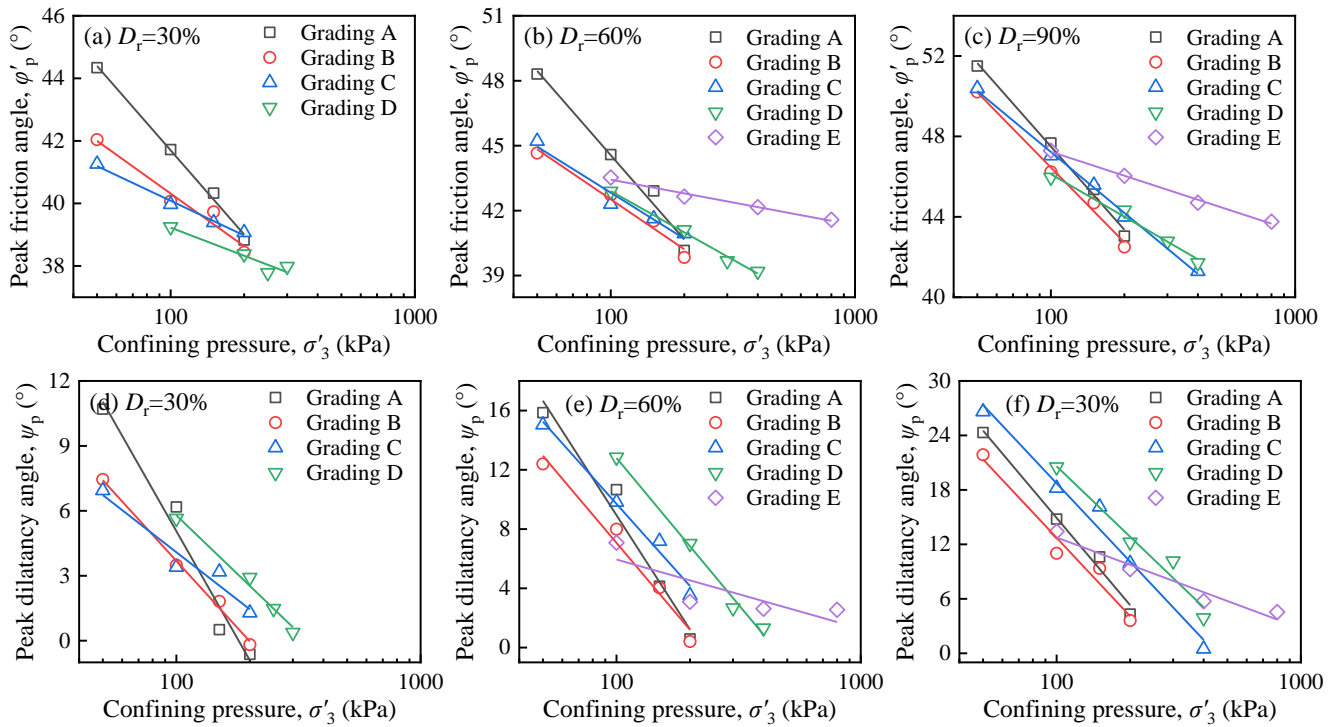
$$285 \quad \sin \varphi' = \frac{\sigma'_1/\sigma'_3 - 1}{\sigma'_1/\sigma'_3 + 1} \quad (6)$$

$$286 \quad \sin \psi = -\frac{d\varepsilon_v}{d\gamma} = \frac{2}{1 - 3/(d\varepsilon_v/d\varepsilon_1)} \quad (7)$$

287 where  $\sigma'_1$  and  $\sigma'_3$  are the major and minor effective principal stresses;  $d\gamma$  is the shear strain increment;  $d\varepsilon_v$   
288 and  $d\varepsilon_1$  are the volumetric strain increment and axial strain increment, respectively.

289 Figure 5 presents the variation of peak friction angle and dilatancy angle for samples with five different

290 gradations. It can be seen that the  $\phi'_p$  increases with increasing relative density and decreases with  
 291 increasing confining pressure at different rates for the carbonate soil with different initial PSDs. For each  
 292 relative density, the grading A samples have the largest decreasing rate of  $\phi'_p$ , followed by the gradings  
 293 B-D samples, while the grading E samples have the smallest decreasing rate. The  $B_g$  data from Table 3  
 294 also show that for each relative density, the amount of particle breakage is highest for grading A samples,  
 295 followed by gradings B-D samples while Grading E samples have no particle breakage. The evolution of  
 296 peak dilatancy angle  $\psi_p$  is very similar to that of  $\phi'_p$ , demonstrating the first-order factor of the dilatancy  
 297 on the peak friction angle of carbonate soils.



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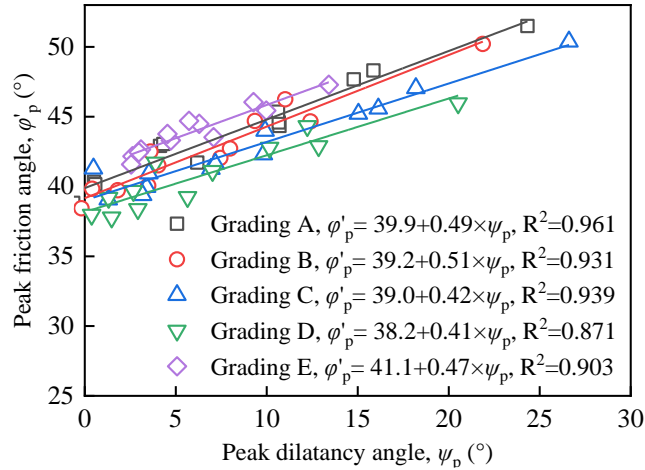
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Figure 5 Variation of peak friction angle and dilatancy angle of the carbonate soils with different PSDs

301 The critical state occurs at constant shear stress and zero volume change when shearing. The critical state  
 302 friction angle is often derived from experimental extrapolations, based on the fact that critical state holds  
 303 zero dilation (Bolton, 1986). The relations between peak friction angle  $\phi'_p$  and peak dilatancy angle  $\psi_p$   
 304 for the five tested samples (A to E) are presented in Figure 6. The critical state friction angles  $\phi'_{cs}$  are  
 305 extrapolated to be 39.9°, 39.2°, 39.0°, 38.2° and 41.1° for the carbonate soil with gradings A-E,  
 306 respectively. The value of  $\phi'_{cs}$  sequentially decreases for the carbonate soils with gradings A-D. This may  
 307 be related to the difference in particle shape (Yang and Luo, 2015).





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Figure 6 Extrapolation of the critical-state friction angle for the carbonate soils

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The particle shape is quantified for carbonate soils with gradings A-D using a photographic and image processing method (Dong et al., 2024). Three 2D particle-shape indices, sphericity, aspect ratio, and convexity, are adopted in this paper based on the work of Altuhafi et al. (2016). The sphericity is calculated as the ratio of the perimeter of the equivalent circle with the same area as the particle to the actual perimeter, the aspect ratio is the ratio between Feret minimum and Feret maximum diameters, and the convexity refers to the ratio of the projected particle area to the convex area. Table 4 shows the particle shape indices for each particle size fraction of gradings A-D.

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Table 4 Particle shape indices of different particle size groups

Particle group	Sphericity	Aspect ratio	Convexity
0.5-1 mm	0.880	0.730	0.962
1-2 mm	0.868	0.709	0.956
2-3 mm	0.858	0.701	0.948
3-4 mm	0.835	0.662	0.929

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Sphericity, aspect ratio, and convexity gradually decrease as the particle size increases, indicating a more irregular particle shape with increasing particle size. The more irregular particle shape of the larger particle size groups explains why the grading A sample has the highest critical state friction angle. Yang and Luo (2015) created a sequence of mixtures with varying particle shape by mixing spherical glass beads and crushed angular glass beads in different proportions. They found that the value of  $\phi'_{cs}$  is affected by particle shape but is independent of the initial PSD. Wu et al. (2021) conducted a series of drained shear tests on 12 types of clinker ash with varying particle shapes and proposed a useful mathematical expression to predict the critical state strength, taking into account the effect of particle shape. The conclusion of this

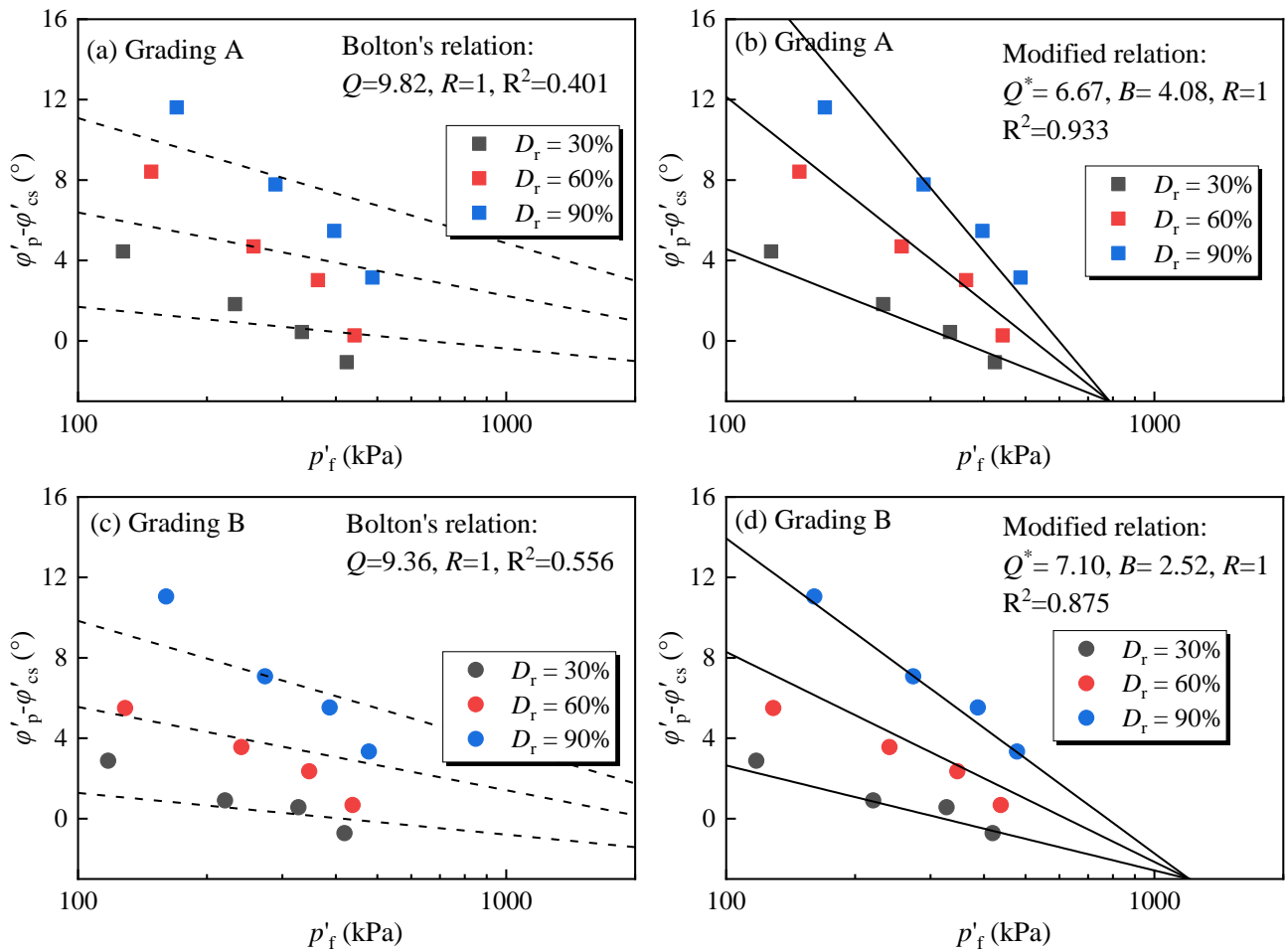
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326 paper regarding the influence of particle shape on critical state strength is consistent with the findings of  
 327 [Yang and Luo \(2015\)](#) and [Wu et al. \(2021\)](#). Figure 6 also shows that the  $\phi'_{cs}$  of the grading E samples are  
 328 the highest, which may be attributed to the 20% fine content contained. Further investigation of the effect  
 329 of fine content on  $\phi'_{cs}$  is required in the future.

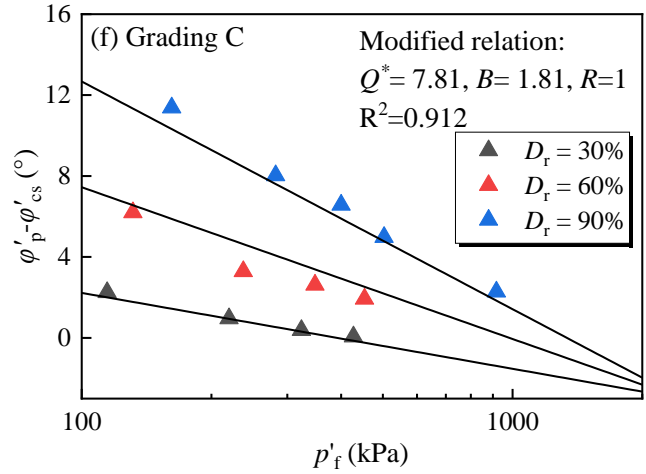
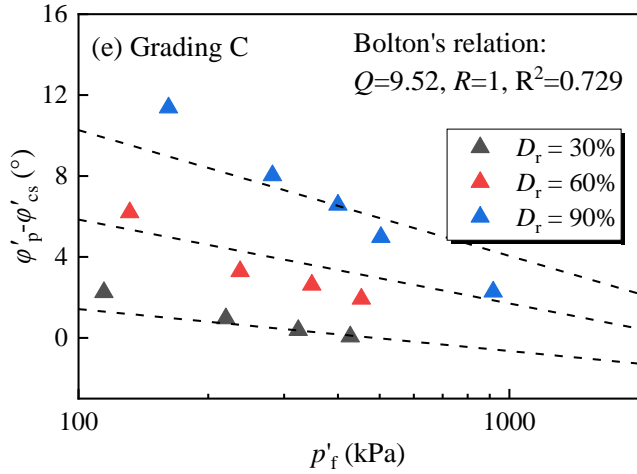
### 330 3.3 Model validation

331 Figure 7 presents the fitting results of the original and modified relations using the experimental data of  
 332 the carbonate soils. Bolton's equation can also be fitted using Equation (4) where  $Q^*$  is replaced by  $Q$  and  
 333  $B=1$ . For a better comparison, both the original and modified relations employed the optimal fit of  
 334 Equation (4). The superiority of the modified relation over the original relation is obvious. The value of  
 335 parameter  $Q^*$  increases from 6.67 for grading A samples to 10.71 for grading E samples, whereas  
 336 parameter  $B$  decreases from 4.08 for grading A samples to 0.62 for grading E samples.

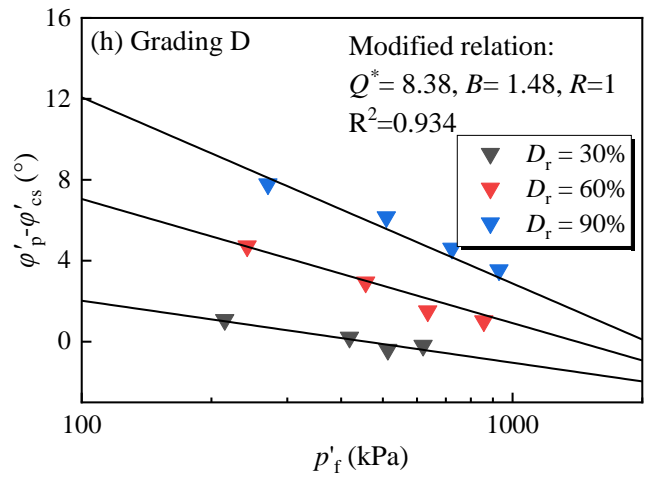
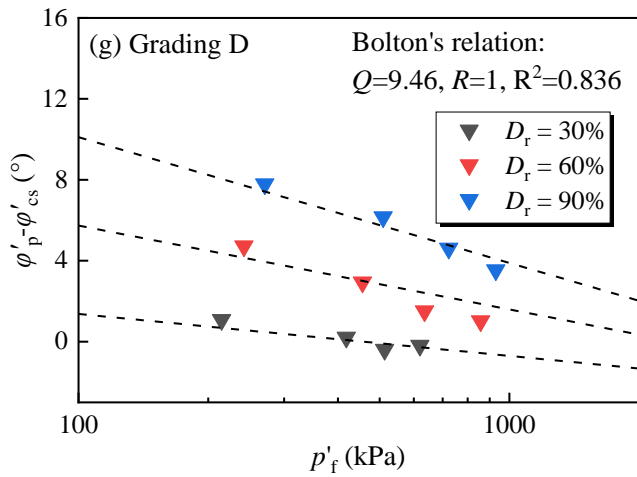


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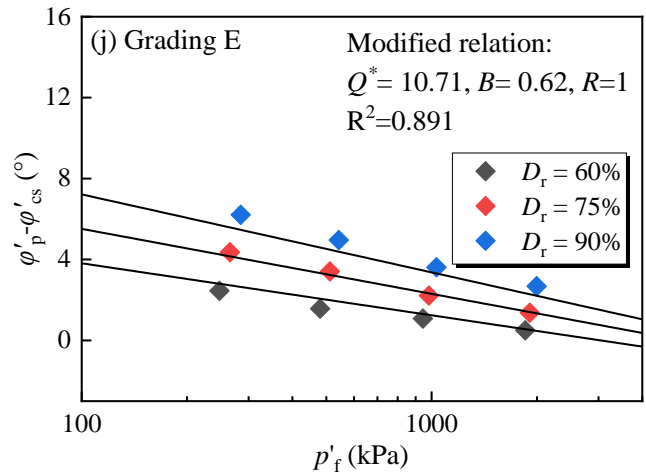
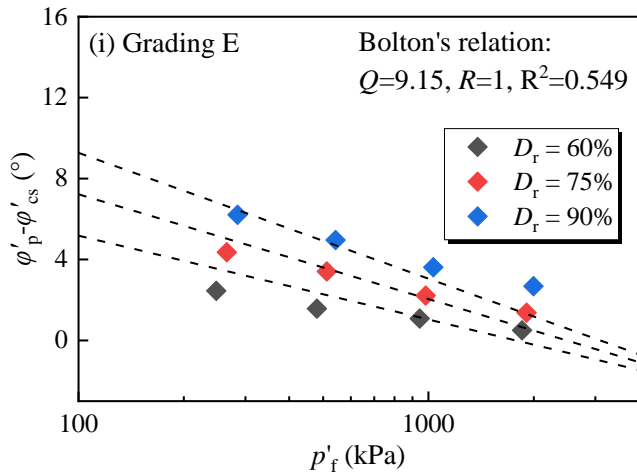
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Figure 7 Comparison of the original and modified relation using experimental data of the carbonate soils with (a)-(b) grading A; (c)-(d) grading B; (e)-(f) grading C; (g)-(h) grading D; (i)-(j) grading E

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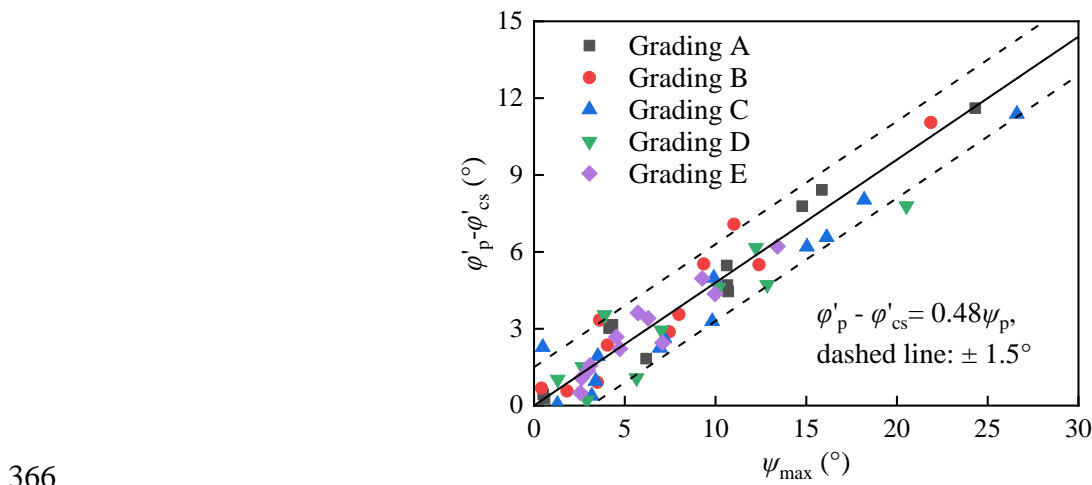
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The difference in the fitting results between the two strength-dilatancy relations is significant for very crushable samples (e.g., samples with gradings A and B), but negligible for samples that are difficult to break (e.g., samples with gradings D and E). Carbonate particles regardless of their size, are almost equally

347 angular and elongated as shown in Figure 4 and Table 4. If they are surrounded by grains of a similar size,  
 348 they will naturally trap a large void fraction. The interlocked grains may fracture when placed in an  
 349 assembly under deviatoric stress, repacking themselves in a denser arrangement. However, if the larger  
 350 grains are surrounded by smaller grains, e.g. gradings B to E, the magnitude of intergranular forces acting  
 351 on the larger grains will be much reduced when the number of contacts increases. The same level of  
 352 protection against fracture will be provided to each grain size of a distribution, depending on the initial  
 353 PSD.

354 It is worth noting that the smallest grain size for gradings A-D samples is 0.5-1 mm, while the grading E  
 355 samples contain approximately 20% silt fractions, which may lead to a different failure mode, for example,  
 356 a bulging failure mode was observed for the gradings A-D samples, while a shear band failure mode was  
 357 observed for the grading E sample. Fine content has been reported to influence the strength and dilatancy  
 358 behaviour of soils (Salgado et al., 2000; Xiao et al., 2014), which may consequently affect the magnitude  
 359 of  $Q^*$ . Further investigation into the influence of fine content is needed in future studies.

360 Although Vaid and Sasitharan (1992) suggested that  $b = 0.33$  of Equation (1a) for Erksak sand regardless  
 361 of triaxial stress path, Bolton's equation suggested that parameter  $b$  equals 0.48 for silica sands regardless  
 362 of the initial PSDs. This means that the difference in friction angle at peak state  $\phi'_p - \phi'_{cs}$  is approximately  
 363 half of the peak dilatancy angle. Figure 8 shows that  $b=0.48$  fits well within the maximum margin of  $\pm 1.5^\circ$ ,  
 364 the same value as suggested by Bolton (1986). This also supports that the relationship between  $\phi'_p - \phi'_{cs}$  and  
 365  $\psi_{max}$  is unique regardless of the initial PSD and the crushability of soils.



366  
 367 Figure 8 The relationship between the difference in friction angle  $\phi'_p - \phi'_{cs}$  and peak dilatancy angle  $\psi_{max}$

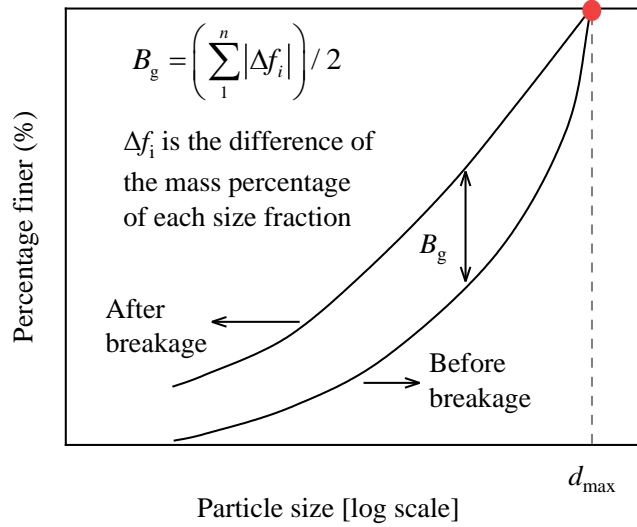
368 *3.4 Correlation of parameters  $Q^*$  and  $B$  with soil crushability*

369 As aforementioned,  $Q^*$  may be related to soil crushability. For a soil sample, its crushability depends on  
370 many factors, such as mineralogy, particle size, particle shape, intra-particle structure, and the initial PSD  
371 of a soil sample. At present, the authors could not find a comprehensive definition of crushability in the  
372 literature, the concept of crushability is primarily qualitative. For example, carbonate soils with weaker  
373 grains tend to be more crushable compared to silica soils, and a uniform-graded sample of the same  
374 material is more crushable than a well-graded sample. The question then arises how to quantify the  
375 crushability of a soil.

376 As the name implies, crushability should represent the ability of soils to be crushed. This means that, under  
377 the same conditions, the greater the degree of particle breakage of a soil, the larger its crushability. To  
378 quantify the crushability, we follow a three-step approach:

- 379 ● Select a suitable breakage index to compute the degree of particle breakage;
- 380 ● Establish a correlation between the breakage index and the total energy input;
- 381 ● Obtain a crushability indicator based on the above correlation.

382 At present, many breakage indices have been proposed to quantify the degree of particle breakage.  
383 Although the relative breakage indices  $B_r$  (Hardin, 1985) and  $B_r^*$  (Einav, 2007) are widely used, the  
384 calculations of these indices are based on the initial PSD of a soil, which might make it difficult to compare  
385 the degree of particle breakage of soils with different initial PSDs. Khonji et al. (2020) conducted a  
386 comparison of five different crushing indices using a large number of breakage data. They found that  
387 Marsal's index  $B_g$  (Marsal, 1967) provided the best description of the evolution of breakage behaviour.  
388 Marsal's breakage index  $B_g$  is therefore adopted in this paper. This index is calculated based on the  
389 percentage difference in the mass of each size fraction before and after tests, and is independent of the  
390 initial PSD. Additionally, the breakage index  $B_g$  can also be interpreted as the maximum difference value  
391 of the percentage finer between the two PSDs, as shown in Figure 9.



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Figure 9 Schematic representation of Marsal's breakage indices  $B_g$  (Marsal, 1967)

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Many attempts have been made to study the evolution of particle breakage from the perspective of energy input, transformation, and dissipation (McDowell and Bolton, 1998; Tong et al., 2020; Tong et al., 2022a).

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In this paper, the total energy input during triaxial shearing has been adopted to correlate breakage induced by shearing. The total energy input per volume  $E_T$  during the shear stage can be expressed as follows

397

by shearing. The total energy input per volume  $E_T$  during the shear stage can be expressed as follows (Lade et al., 1996), where the summation is applied between BOS (beginning of shear) to EOS (end of shear).

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shear).

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$$E_T = \sum_{BOS}^{EOS} (\sigma'_1 - \sigma'_3) \cdot d\varepsilon_1 + \sum_{BOS}^{EOS} \sigma_c \cdot d\varepsilon_v \quad (8)$$

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Figure 10 (a) plots the relationship between breakage index  $B_g$  and total energy input  $E_T$  for the carbonate soils with gradings A-D. It is clear that grading A samples experience the most particle breakage, while

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grading D samples exhibit the lowest degree of particle breakage for a given  $E_T$ . The relationship between  $B_g$  and  $E_T$  can be expressed as the following hyperbolic function (Lade et al., 1996):

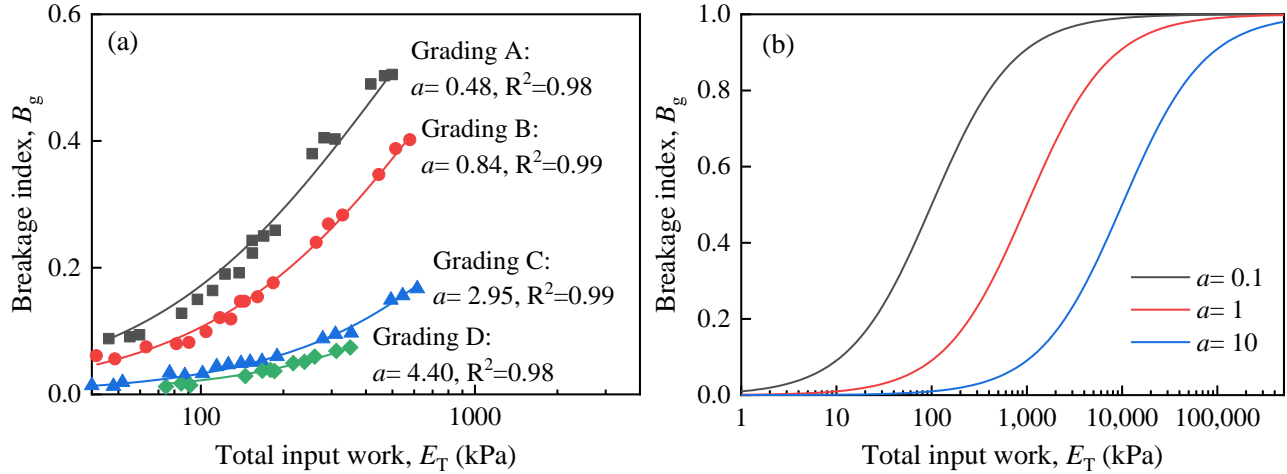
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$$B_g = \frac{E_T}{a \times p'_r + E_T} \quad (9)$$

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where  $a$  is a fitting parameter,  $p'_r$  is the reference pressure (= 1000 kPa) for dimensional consistency.



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Figure 10 (a) The relationship between breakage index  $B_g$  against total energy input per volume  $E_T$  of the carbonate soils; (2)  $B_g$ - $E_T$  curves with different values of parameter  $a$

As shown in Figure 10(b), the evolution of particle breakage is controlled by parameter  $a$ . Soil with a lower  $a$  value exhibits early and more pronounced particle breakage. Robustness is defined as the ability of a system to resist change. A lower robustness implies a higher susceptibility of the system to change. Therefore, parameter  $a$  serves as a granular robustness indicator, with a smaller value of  $a$  indicating higher crushability.

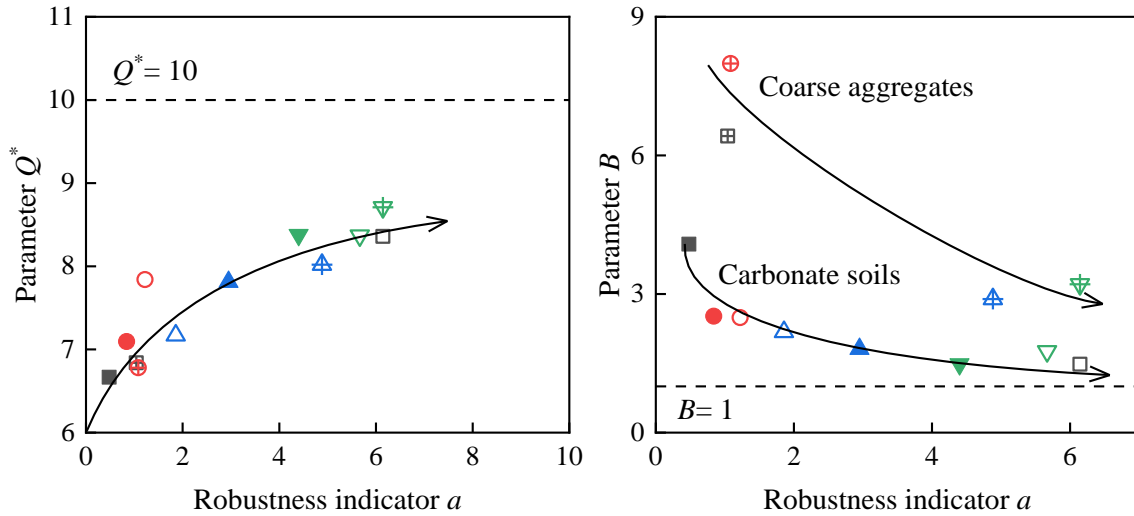
Figure 11 presents the relationship between the parameters  $Q^*$ ,  $B$  and the granular robustness indicator  $a$  of the carbonate soils in this paper and other published data on carbonate soils and coarse aggregates from different regions. As the value of parameter  $a$  increases, parameter  $B$  gradually decreases, approaching 1, and it is observed that its value is larger for coarse aggregates than for carbonate soils. The exact relation between parameter  $B$  and the physical properties still needs more comprehensive and in-depth research in the future. However, the parameter  $Q^*$  increases with the robustness indicator  $a$  for both the carbonate soils and the coarse aggregates. This supports the speculation that the parameter  $Q^*$  is related to soil crushability. Parameter  $B$  is related to the decreasing rate of  $\phi'_p - \phi'_{cs}$  with  $\ln p'_f$  whose physical meaning is encapsulated in the parameter  $Q^*$  ( $=Q/B$ ). For grading E samples in this paper, no detectable particle breakage was observed, indicating an infinite value of the robustness indicator  $a$  in Equation (9). Also,  $Q^*$  is equal to 10.71 (see Figure 7 (j)). Therefore, we hypothesize that  $Q^*$  will approach a value of approximately 10 as crushability decreases. This is consistent with Bolton's equation (Bolton, 1986), where  $Q$  is set to 10 for silica sands.

**Carbonate soils**

- Grading A, this paper   ● Grading B, this paper   ▲ Grading C, this paper   ▼ Grading D, this paper
- Liu et al., 2020   ○ Wang et al., 2020   △ Wei et al., 2020   ▽ Wu et al., 2020

**Coarse aggregates**

- ▣ Latite basalt, Gradation A, Indraratna et al., 1998   ⊕ Gradation B, Indraratna et al., 1998
- ⊞ Rockfill, Liu et al., 2016   ⊟ Rockfill, Jia et al., 2017



428

429 Figure 11 The relationship between parameters  $Q^*$  and  $B$  with the granular robustness indicator  $a$  of  
 430 crushable soils in this paper and in the literature

431 **4. Model validation using other experimental studies**

432 To validate the modified strength-dilatancy relation, different types of granular soils, such as carbonate  
 433 soils, coarse aggregates, limestone, anthracite, chalk, and silica sands, as mentioned in Section 2.1 are  
 434 adopted for analysis and the data are summarized in Table 5. For comparison, Figures 12-15 present the  
 435 fitting performance of both the original and modified relations. In these figures, the fitting results of the  
 436 modified relation are depicted using solid lines, while those of the original relation are illustrated with  
 437 dotted lines.

438 Table 5 Summary of soil's properties and empirical parameters of the modified relation

Martials		Description	$d_{50}$	$C_u$	$\phi'_{cs}$	$Q^*$	$B$	Reference
Carbonate soils	Grading A	Highly angular	3.50	1.16	39.89	6.67	4.08	This paper
	Grading B		3.34	4.65	39.16	7.10	2.52	
	Grading C		2.16	3.43	39.01	7.81	1.81	
	Grading D		0.91	1.96	38.17	8.38	1.48	
	Grading E		0.69	29.73	41.08	10.71	0.62	

	Sand A	Angular to subrounded	0.97	1.46	41.70	7.41	2.60	Datta et al., 1979
	Sand D	Subrounded	0.24	1.53	41.70	9.79	1.02	
	—	Highly angular	0.55	2.70	38.19	8.36	1.48	Liu et al., 2020
	—		0.70	1.16	40.71	7.84	2.49	Wang et al., 2020
	—		1.50	1.45	38.99	7.17	2.18	Wei et al., 2021
	—		0.16	1.00	43.44	7.76	1.81	Shen et al., 2021
	—		0.32	2.85	42.00	8.37	1.76	Wu et al., 2021
	Chiibishi sand	Platey to rounded	0.68	2.39	42.58	7.72	1.89	Ku wajima et al., 2009
	Dogs Bay sand		0.22	1.77	42.92	6.53	2.42	
Rockfill	—	Angular sandstone	4.18	—	38.67	7.46	3.43	Charles and Watts, 1980
Rockfill	Gradation A	Sedimentary rock; mainly quartz	4.90	6.00	32.35	7.38	4.37	Indraratna et al., 1993
	Gradation B		3.60	6.00	32.84	7.68	3.35	
Latite basalt	Gradation A	Highly angular	38.90	1.52	45.58	6.84	6.42	Indraratna et al., 1998
	Gradation B		30.30	1.58	45.58	6.78	7.99	
Rockfill	Rockfill A	Rounded andesite	5.87	22.09	46.50	9.06	1.27	AtashBahar et al., 2015
	Rockfill B	Rounded dolomite	5.87	22.09	41.84	7.97	2.33	
	Rockfill C	Rounded dolomite	0.80	3.60	40.63	7.38	3.38	
Rockfill	—	Angular quarried material	15.72	17.25	43.71	8.02	2.89	Liu et al., 2016
Rockfill	—	Granite	19.38	—	38.28	8.48	2.51	Guo and Zhu, 2017
Rockfill	—	Moderately weathered basalt	24.16	6.93	41.22	8.71	3.21	Jia et al., 2017
Gravel	$d_{50}=25$ mm	Highly angular; dominant mineral: CaO and Fe <sub>2</sub> O <sub>3</sub>	25.00	1.00	39.50	7.62	2.18	Alhani et al., 2020
	$d_{50}=20$ mm		20.00	1.00	40.00	7.52	1.92	
	$d_{50}=14$ mm		14.00	1.00	39.39	7.58	1.78	
	$d_{50}=10$ mm		10.00	1.00	40.25	7.37	1.60	
	$d_{50}=5$ mm		5.00	1.00	39.58	7.54	1.37	
Open-graded aggregates	$d_{50}=17.02$ mm	Subrounded grains	17.02	1.72	39.01	6.78	3.41	Nicks and Adams, 2018
	$d_{50}=10.67$ mm		10.67	2.63	38.92	7.64	2.42	
	$d_{50}=8.64$ mm		8.64	2.01	39.60	8.49	1.85	
	$d_{50}=7.11$ mm		7.11	0.79	36.72	8.16	1.74	

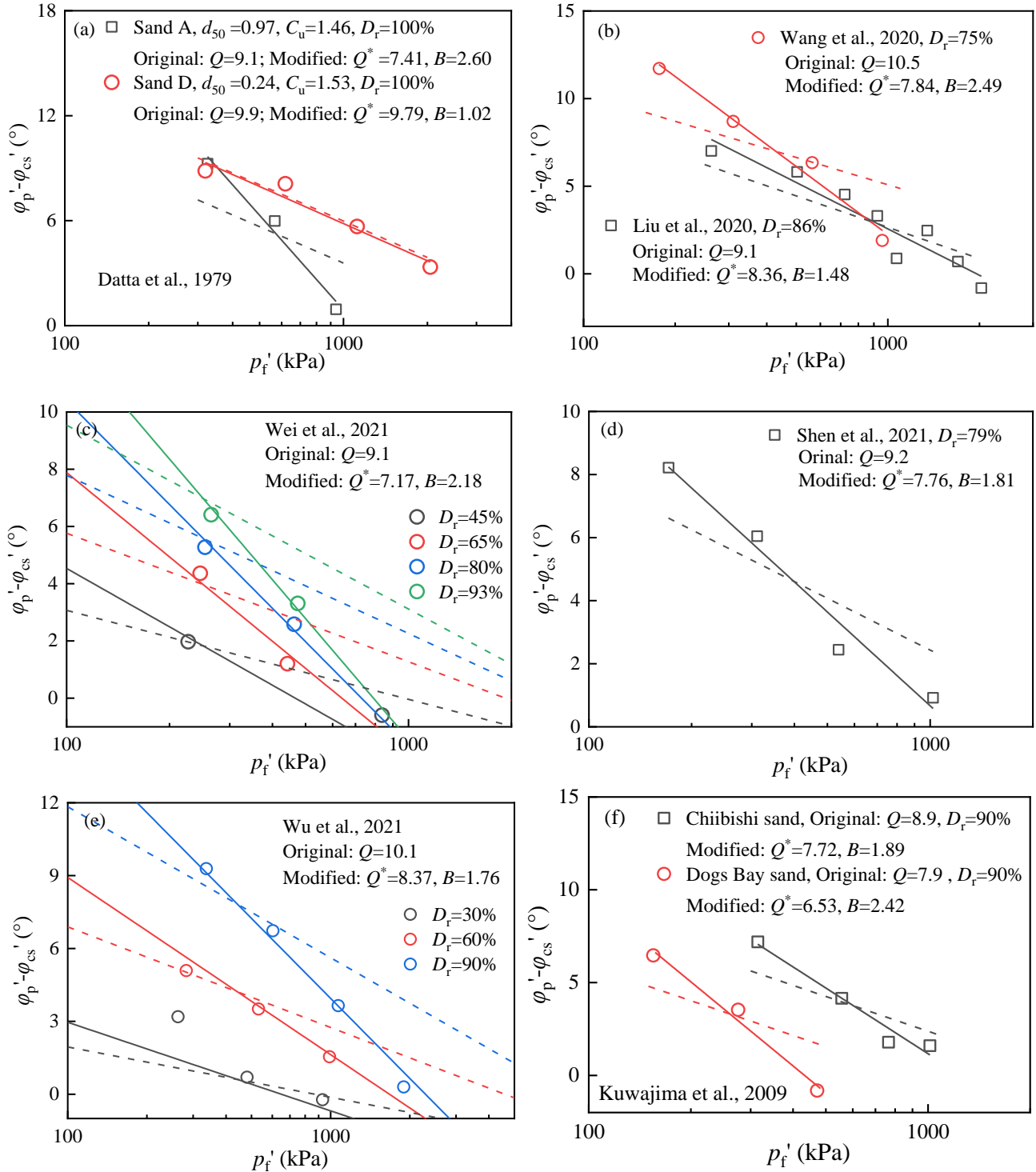


	$d_{50}=1.27$ mm		1.27	9.92	37.83	10.56	1.24	
Limestone	$\sigma_0=3.5$ MPa	Angular	—	—	—	5.86	2.56	Lee, 1992
	$\sigma_0=7.0$ MPa	Angular	—	—	—	7.18	1.45	
	—	Angular	15.75	1.54	41.39	7.19	2.67	Duncan et al., 2007
	—	Angular	13.88	2.51	41.39	7.43	2.36	Knierim, 2014
	—	—	—	4.29	43.18	8.30	1.32	Billam, 1972
Anthracite	—	—	—	1.64	36.82	7.04	1.14	
Chalk	—	—	—	1.53	46.83	4.62	2.30	
Silica sands	Fulung sand	Subangular	0.20	1.40	33.33	9.85	1.09	Ueng and Chen, 2000
	Toyoura sand	Subrounded	0.65	1.60	31.36	10.92	1.02	Kuwajima et al., 2009
	Toyoura sand	Subrounded	0.20	1.30	33.45	10.23	1.09	Sun et al., 2007
	LBS, $\sigma_0=26$ MPa	Rounded	—	—	—	9.68	1.08	Lee, 1992
	LBS, $\sigma_0=26$ MPa	Rounded	—	—	—	10.11	1.02	
	Ottawa sand	Rounded	—	—	—	10.90	1.22	Lee and Seed, 1967
	Ottawa sand	Rounded	0.56	1.32	31.80	10.49	1.37	Datta et al., 1979

439 Note:  $\sigma_0$  refers to the characteristic stress of single particle crushing (McDowell and Bolton, 1998); LBS refers to Leighton  
440 Buzzard sand.

#### 441 4.1 Data of carbonate soils

442 Figure 12 presents the fitting results for various carbonate soils in the literature to the modified relation,  
443 the values of parameters  $Q^*$  and  $B$  are also shown in the Table 5. The data collected show that  $Q^*$  ranges  
444 from 6.5 to 9.79, and  $B$  ranges from 1.02 to 2.60. In the data from Datta et al. (1979), illustrated in Figures  
445 12(a), both Sand A and Sand D share the same  $C_u$  value. However, due to Sand A's the larger mean particle  
446 size ( $d_{50} = 0.97$  mm) compared to Sand D ( $d_{50} = 0.24$  mm), Sand A exhibits a smaller  $Q^*$  value (= 7.4) in  
447 contrast to Sand D's  $Q^*$  (= 9.8). Additionally, the value of  $B$  decreases from 2.60 for Sand A to 1.02 for  
448 Sand D. For other carbonate soils from the South China Seas in Figure 12 (b)-(e), the values of  $Q^*$  are  
449 similar around 7.2-8.4, and  $B$  values are around 1.5-2.5.



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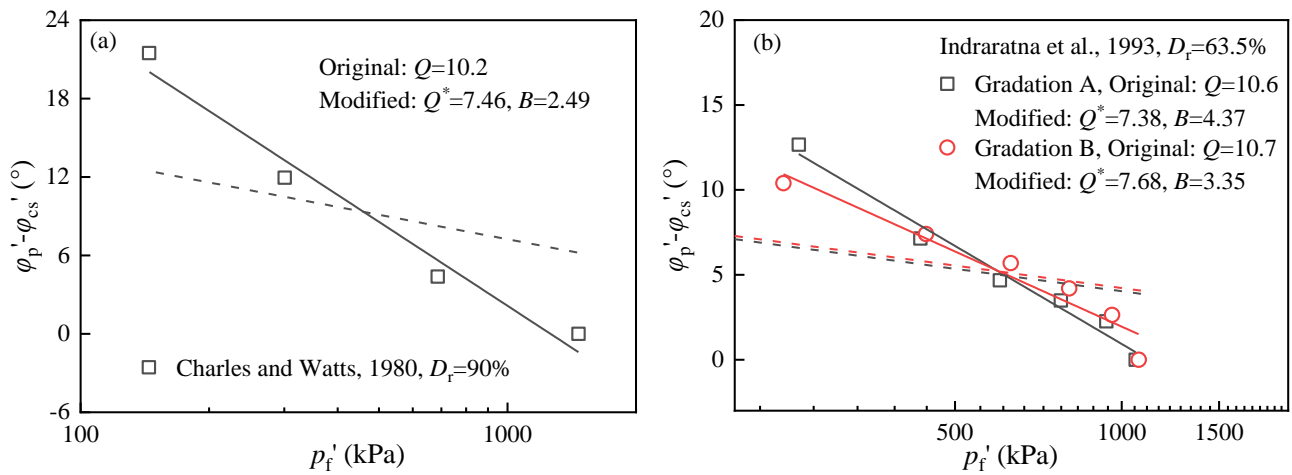
Figure 12 Performance of the original and modified relations using published data of carbonate soils, with solid lines representing the modified relation and dotted lines representing the original relation

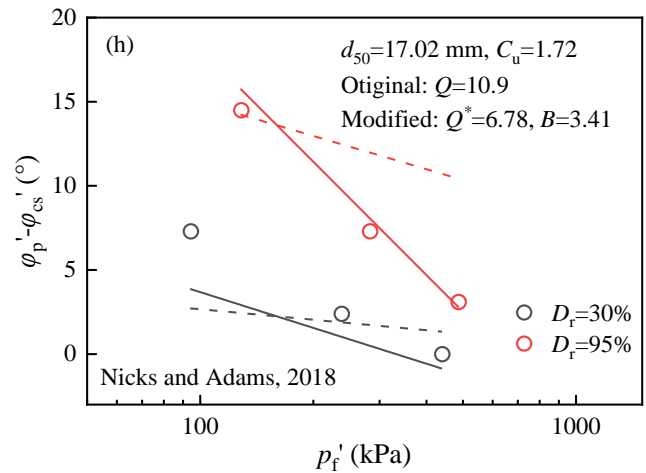
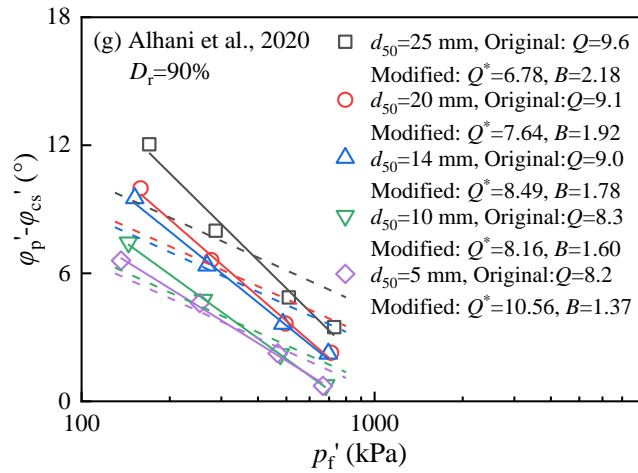
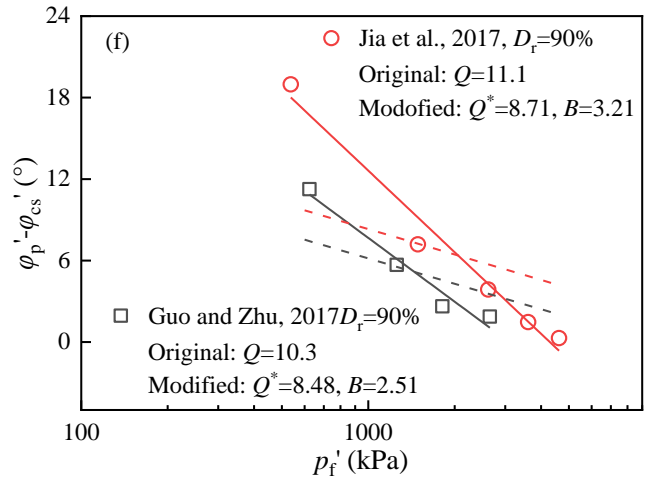
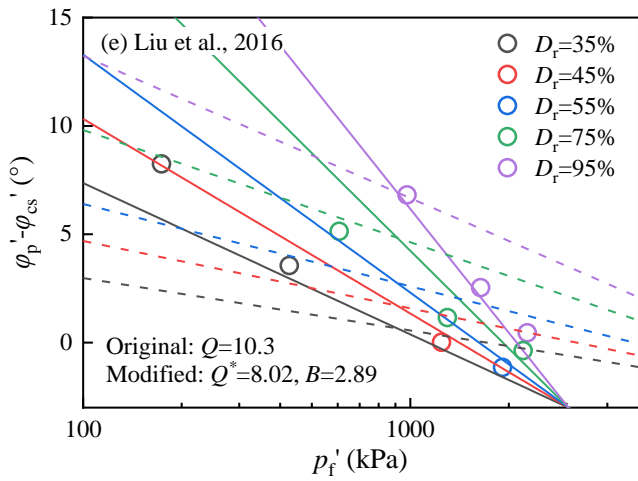
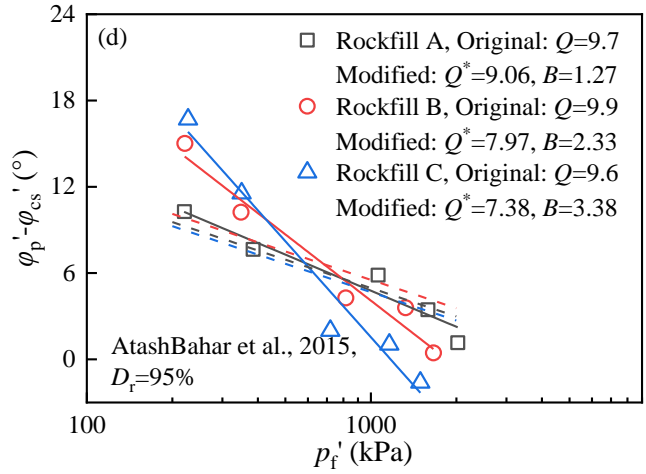
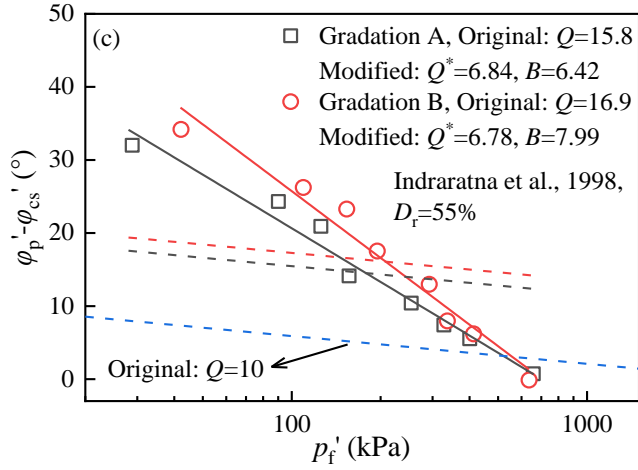
#### 4.2 Data of coarse aggregates

Figure 13 presents the fitting results of the modified relation for some coarse aggregates in the literature,

457 with parameter  $Q^*$  ranging from 6.78 to 10.56, and parameter  $B$  ranging from 1.24 to 7.99. For example,  
 458 in Figure 13(g), Alhani et al (2020) tested five uniform-graded gravels with  $d_{50}$  decreasing sequentially  
 459 from 25 mm to 5 mm. The value of  $Q^*$  increases from 6.78 to 10.56 and  $B$  decreases from 2.18 to 1.37.  
 460 Nicks and Adams (2018) conducted a large amount of drained shearing tests on open-graded aggregates,  
 461 including four uniform-graded aggregates as shown in Figures 13(h)~(k), and one well-graded aggregate  
 462 as shown in Figure 13(l). For the aggregates with initial uniform PSDs ( $C_u < 2.63$ ), as the  $d_{50}$  decreases  
 463 sequentially from 17.02 mm to 7.11 mm,  $Q^*$  increases from 6.78 to 8.16, while  $B$  decreases from 3.41 to  
 464 1.24. The well-graded sample ( $C_u = 9.92$ ) exhibited a  $Q^*$  value of 10.56 and a  $B$  value of 1.24. The  
 465 maximum value of  $Q$  is 10 for silica sands in Bolton's equation. But for coarse aggregates, the optimal  
 466 fitting value of  $Q$  may exceed 10, as shown in Figure 13 (c). Adopting a maximum  $Q$  value of 10 results  
 467 in a less satisfactory fitting result.

468 In Equation (3),  $B$  was introduced to modify the initial relative density to better control dilatancy, which  
 469 is related to the slope of  $\phi'_p - \phi'_{cs}$  with  $\ln p'_f$ , as shown in Figure 3(b). Comparing the data for a given relative  
 470 density in Figures 13(h) - (l),  $B$  is equal to 3.41 for the soil with  $d_{50} = 17.02$  mm (the largest slope), and  
 471 decreases to 1.24 for the soil with  $d_{50} = 1.27$  mm (the smallest slope). For soils that are more susceptible  
 472 to crushing, a larger value of  $B$  is required to amplify the initial relative density for a better fitting.

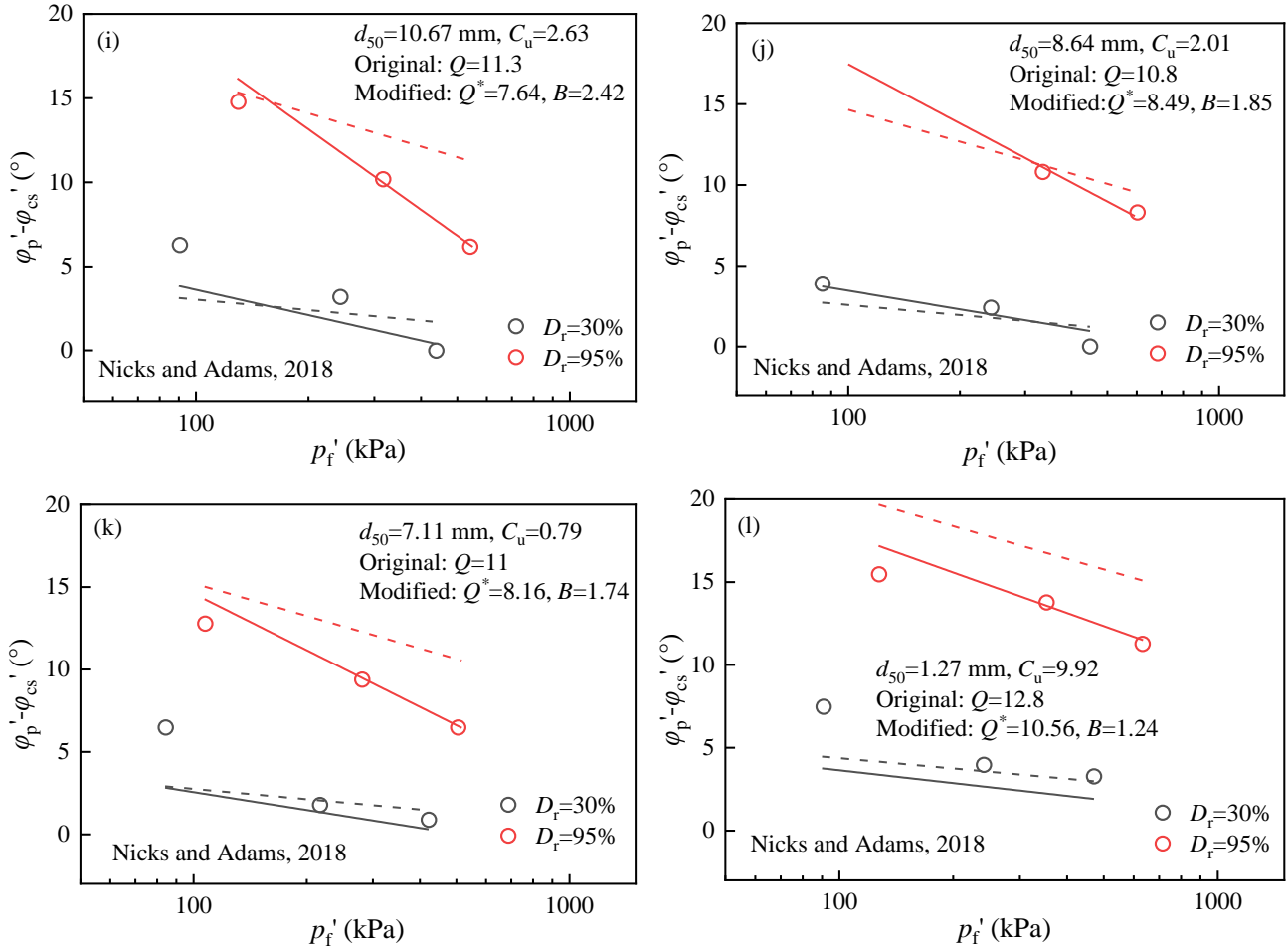




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479 Figure 13 Performance of the original and modified relations using published coarse aggregates data,  
 480 with solid lines representing the modified relation and dotted lines representing the original relation

481 **4.3 Data of materials used in Bolton's paper**

482 Bolton suggested smaller  $Q$  for soils with weaker grains using data from [Billam \(1972\)](#). Specifically,  $Q$   
 483 values of 8 were recommended for limestone, 7 for anthracite, and 5.5 for chalk. These crushable materials  
 484 were also fitted using the modified relation, as shown in Figures 14(a) - (c). It can be seen that the  
 485 performance of the modified relation is better, especially for the fragile granulated chalk. Comparing the  
 486 range of published limestone data shown in Figures 14(a), (d), (e), and (f), the best-fit values of  $Q^*$  and  $B$   
 487 are different. This indicates that using a fixed value of  $Q$  (e.g.,  $Q=8$  for limestone as suggested by [Bolton](#)  
 488 [\(1986\)](#)) is not appropriate. Although  $Q$  was believed to be related to crushability, crushability could be  
 489 affected by many factors including particle size, particle shape, inter-particle defects, and initial PSD. A  
 490 relatively wide range of values for both  $Q^*$  and  $B$  is observed for a material, which is consistent with the  
 491 triaxial test data of the carbonate soils presented earlier in this paper.

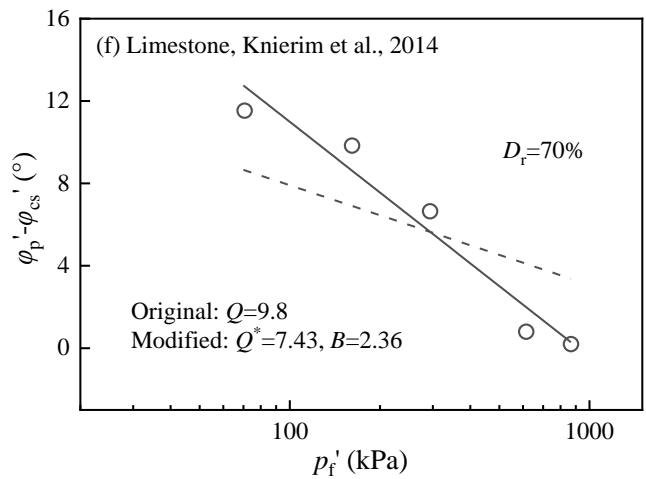
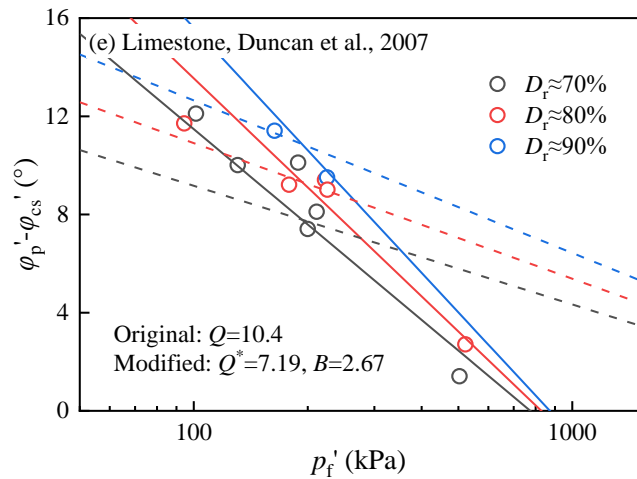
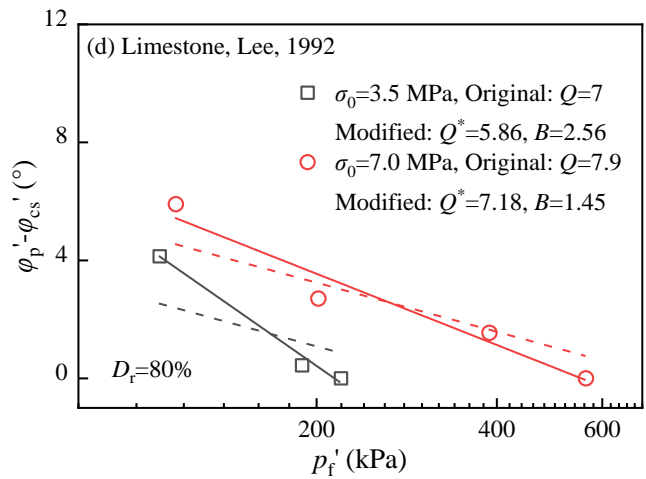
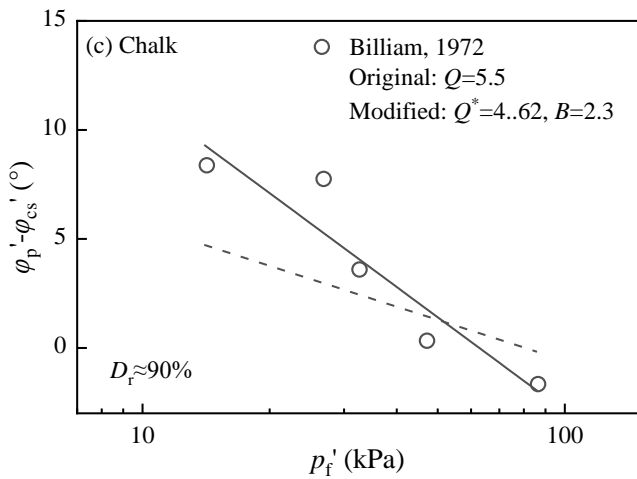
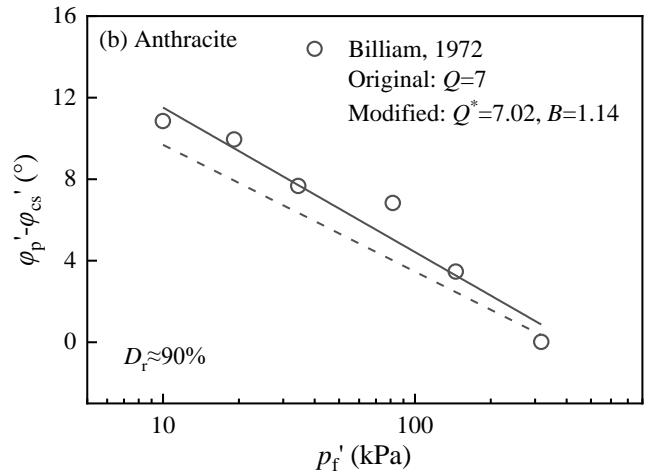
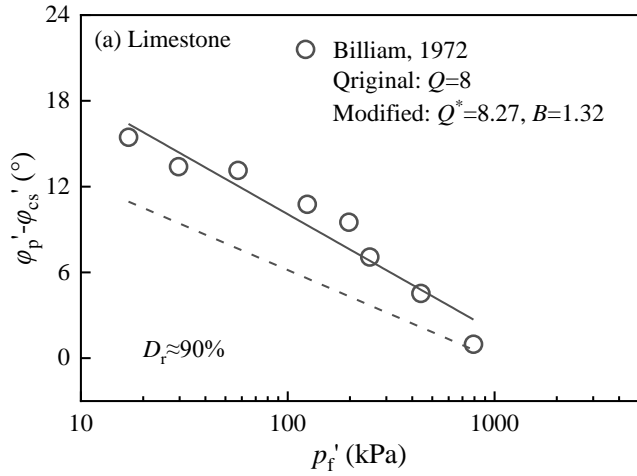
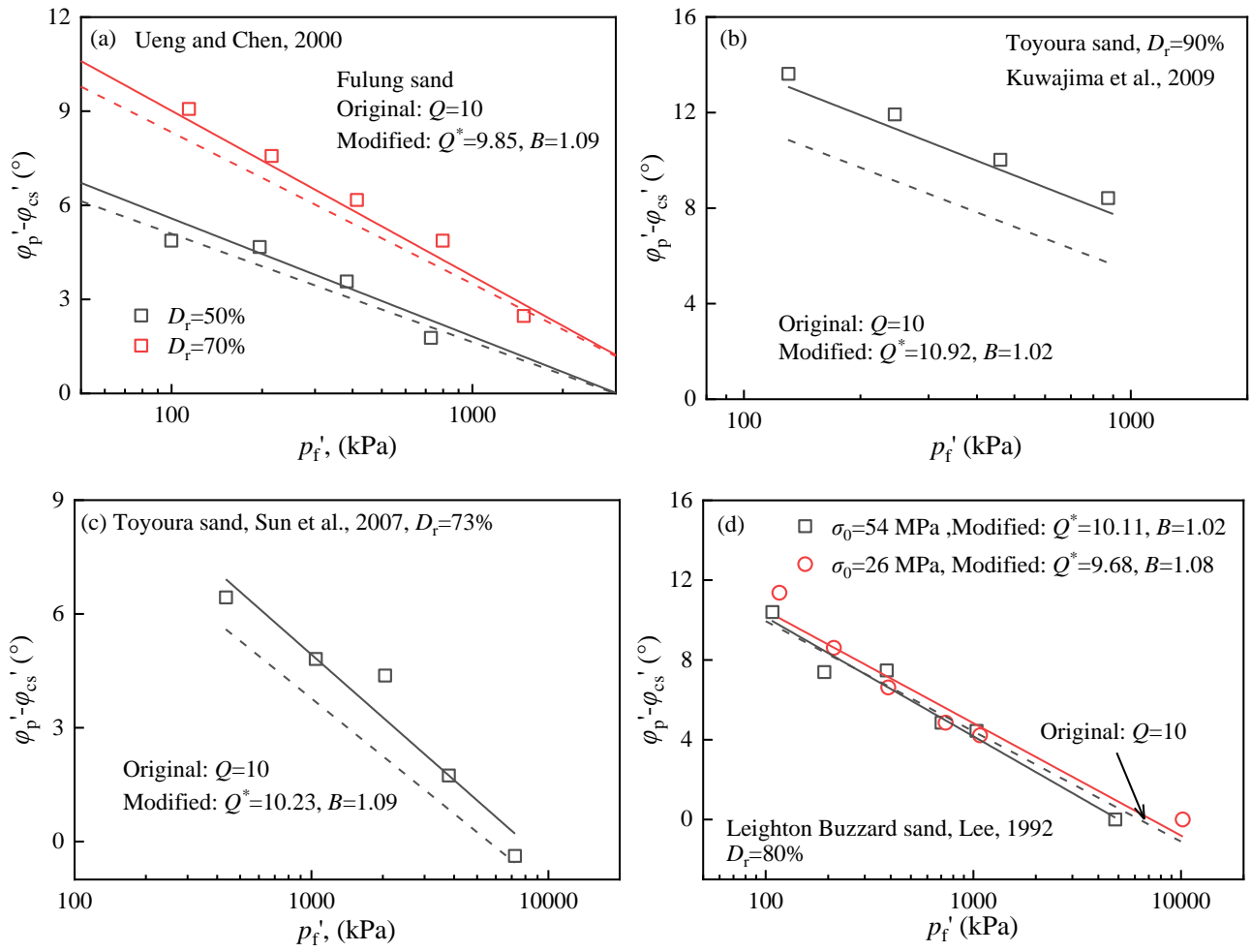


Figure 14 Performance of the original and modifieds relation using published data of limestone, anthracite and chalk, with solid lines representing the modified relation and dotted lines representing the original relation

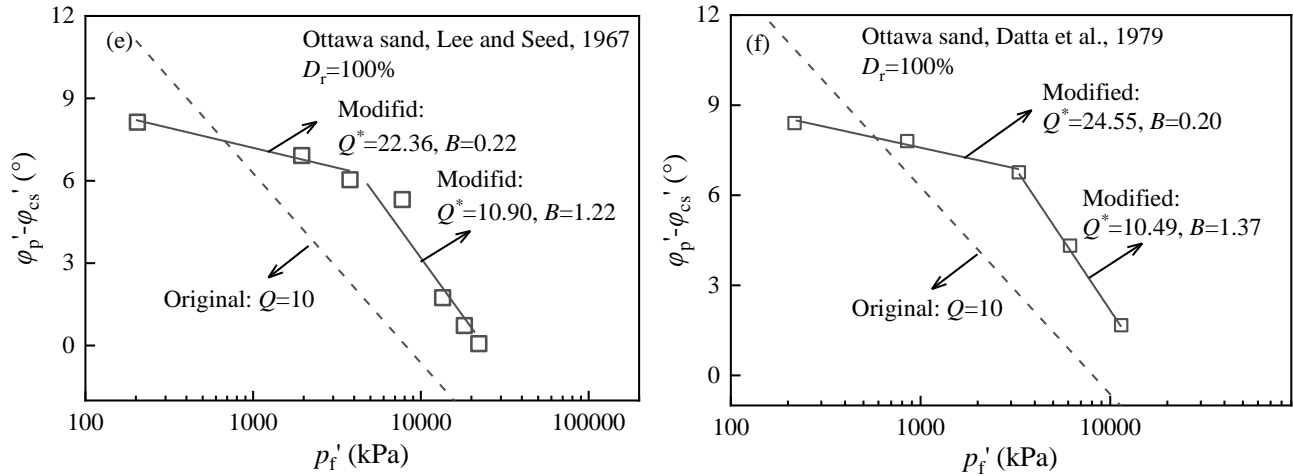
498 *4.4 Data of silica sands with particle breakage observed*

499 Although Bolton's equation considers the effect of particle breakage, the validation is mainly focused on  
 500 silica sands. Compared to carbonate soils, coarse aggregates, limestone, anthracite, and chalk, silica  
 501 particles exhibit greater strength and a more subrounded to rounded shape. Consequently, they experience  
 502 lower levels of particle breakage, while surface grinding and asperity breakage may occur during shearing.  
 503 Published drained triaxial data for silica sands was collected and fitted using the original and modified  
 504 relation (Ueng and Chen, 2000; Kuwajima et al., 2009; Sun et al., 2007; Lee, 1992; Lee and Seed, 1967;  
 505 Datta et al., 1979). The fitting results are shown in Figure 15 with  $Q = 10$  for silica sands as suggested by  
 506 Bolton (1986). It can be seen that Bolton's equation performs better for less crushable silica sands. For  
 507 the fitting of the modified relation, the value of parameter  $Q^*$  fluctuates around 10 and parameter  $B$   
 508 fluctuates around 1, indicating that the modified relation yields to Bolton's original equation.



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512 Figure 15 Performance of the original and modified relations using published data of silica soils, with  
 513 solid lines representing the modified relation and dotted lines representing the original relation

514 For the Ottawa sand data, as shown in Figures 15(e) and (f), there are two distinct slopes for  $p'_f$  smaller  
 515 than and larger than around 3 MPa.  $Q^*$  is around 10 and  $B$  is around 1 when  $p'_f$  is larger than 3 MPa.  
 516 However,  $Q^*$  is significantly large up to 24.55 for  $p'_f$  values below this threshold. Bolton (1986) also found  
 517 that some initially rounded silica sands experienced minimal changes in their friction angle, and the  
 518 original equation is only applicable when the stress exceeds a certain threshold. In this case, the threshold  
 519 stress for Ottawa sand is approximately 3 MPa. When fitted against the modified equation in this region,  
 520  $Q^*$  is significantly larger than 10, and  $B$  is relatively small. They are out of the range of most datasets, and  
 521 further investigation is required.

## 522 5. Conclusions

523 Bolton's strength-dilatancy relation (Bolton, 1986) is modified by introducing one empirical parameter  $B$ .  
 524 The modified relation is then validated against a series of drained triaxial tests on carbonate soils as well  
 525 as against experimental data from the literature. The following conclusions are drawn from the study:

- 526 1. The modified relation better captures the different decreasing rate of  $\phi'_p - \phi'_{cs}$  with effective mean stress  
 527 at failure  $\ln p'_f$  for a wider range of crushable soils and gradings than Bolton's equation. This is based  
 528 on the data of five different particle size distributions of the same carbonate soil, and the data of other  
 529 crushable soils such as various carbonate soils, coarse aggregates and less crushable silica sands from  
 530 different regions of the world, as well as the data of limestone sand, crushed anthracite and granulated  
 531 chalk used by Bolton (1986). The relation between the difference in effective friction angle  $\phi'_p - \phi'_{cs}$



532 and the maximum dilatancy angle  $\psi_p$  is unique regardless of initial PSD and crushability of soil  
533 samples, with  $b = 0.48$  being the same as that suggested by Bolton (1986).

534 2. The modified relation maintains the simple form of Bolton's strength-dilatancy equation without  
535 changing the values of the original fitting parameters in Equation (1):  $b = 0.48$ ,  $m = 3$ ,  $R = 1$  for triaxial  
536 condition. Both the original and modified relations represent sets of straight lines converging at a  
537 point in  $(\phi'_p - \phi'_{cs}) - \ln p'_f$  plane. The convergent stress  $p_c$ , whose natural logarithm is equal to  $Q^*$ , is  
538 independent of the initial relative density and stress. The parameter  $Q^*$  tends to decrease with  
539 increasing soil crushability. The parameter  $B$  is related to the slope of  $\phi'_p - \phi'_{cs}$  with  $\ln p'_f$ . For materials  
540 that are more susceptible to crushing, a larger  $B$  is required to modify the initial relative density to  
541 better fit data with a larger slope. The variability of  $Q^*$  and  $B$  for crushable soils with varying  
542 mineralogy and initial PSDs strongly suggests that engineers should perform at least two project-  
543 specific triaxial tests at appropriate relative densities and effective stresses if the performance of these  
544 materials is to be accurately predicted.

545 3. A correlation was established between the degree of particle breakage and the total energy input  
546 during shearing. It is found that the fitting parameter  $a$  (Lade et al., 1996) can be used as a granular  
547 robustness indicator with a smaller value of  $a$  indicating a larger crushability. Soil crushability affects  
548 both the parameter  $Q^*$  and  $B$  in the modified relation. For less crushable materials such as silica sands,  
549  $Q^*$  approaches 10 and  $B$  approaches 1, indicating that the proposed modified strength and dilatancy  
550 relation yields to Bolton's equation.

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558 of this paper.

## 559 List of symbols:

$a$  granular robustness indicator

$b, m, Q, R, Q^*, B$	parameters of the original and modified relation
$B_g$	breakage index proposed by Marsal (1967)
$B_r, B_r^*$	the relative breakage indices proposed by Hardin (1985) and Einav (2007)
$C_u, C_c$	uniformity coefficient and coefficient of curvature
$d$	particle size
$d_{50}$	mean particle size
$d_{\max}$	the maximum particle size
$D$	fractal dimension
$D_r$	relative density
$e_{\max}, e_{\min}$	the maximum and minimum void ratio
$E_b$	accumulated particle breakage energy
$E_T$	the total energy input per volume
$G_s$	specific gravity
$I_R, I_R^*$	the original and modified relative dilatancy index
$M (\Delta < d)$	mass of particles with diameter smaller than $d$
$M$	friction coefficient used to calculate frictional energy
$M_c$	critical state stress ratio
$M_T$	total mass of particles
$p'_{\text{crit}}$	critical mean effective stress in relation to zero dilation
$p'_f$	mean effective stress at failure
$p_r, p'_r$	reference pressures, $p_r = 1$ kPa and $p'_r = 1000$ kPa
$p_c$	convergent stress of the original and modified relation
$d\varepsilon_v, d\gamma, d\varepsilon_1$	volumetric, shear and axial strain increment
$\sigma'_1, \sigma'_3$	the major and minor effective principal stress
$\varphi'_p, \varphi'_{cs}$	effective friction angle at peak and critical state
$\varphi'_u$	pure friction angle between the mineral surfaces of grains
$\psi_{\max}, \psi_p$	the maximum dilatancy angle and peak dilatancy angle

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